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3	Negative interplay between biofilm formation and competence
4	in the environmental strains of Bacillus subtilis
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31 Abstract

Environmental strains of the soil bacterium *Bacillus subtilis* have valuable 32 33 applications in agriculture, industry, and biotechnology. They are capable of forming 34 robust biofilms and demonstrate excellent biological control activities in plant 35 protection. However, environmental strains are genetically less accessible, a sharp contrast to the laboratory strains well known for their natural competence and a 36 37 limitation toward their application. In this study, we observed that robust biofilm formation of the environmental strains greatly reduces the rate of competent cells 38 39 within the biofilm. By using the model strain 3610, we reveal a cross-pathway regulation that allows biofilm matrix producers and competence-developing cells to 40 undergo mutually exclusive cell differentiation. We show that the competence 41 activator ComK represses the key biofilm regulatory gene sinl by directly binding to 42 the sinl promoter, thus blocking competent cells from simultaneously becoming 43 matrix producers. In parallel, the biofilm activator SIrR represses competence 44 through three distinct mechanisms, involving both genetic regulation and cell 45 morphological changes. We discuss potential implications of limiting competence in 46 47 a bacterial biofilm.

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49 **Importance**

The soil bacterium Bacillus subtilis is capable of forming robust biofilms, a 50 51 multicellular community important for its survival in the environment. B. subtilis also 52 exhibits natural competence, the ability of cells to acquire genetic materials directly 53 from the environment. By investigating competence development in situ during B. 54 subtilis biofilm formation, we reveal that robust biofilm formation, an important 55 feature of the environmental strains of *B. subtilis*, often greatly reduces the rate of 56 competent cells within the biofilm. We characterize a cross-pathway regulation that 57 allows cells associated with these two developmental events to undergo mutually exclusive cell differentiation during biofilm formation. Finally, we discuss potential 58 biological implications of limiting competence in a bacterial biofilm. 59

60 Introduction

Bacillus subtilis is a soil-dwelling, spore-forming bacterium widely present in 61 62 nature, and plays important roles in environment, agriculture, and industry. B. subtilis 63 is also a plant growth-promoting rhizobacterium (PGPR), and a biological control agent that shows various beneficial activities in plant protection (1). Biological control 64 by *B. subtilis* is attributed to a number of important abilities of the bacterium. 65 including antibiotic production, inhibition of pathogenic fungi and parasites, induction 66 of plant systemic resistance, and formation of plant root-associated biofilms (2-4). 67 68 Biofilms are communities of microorganisms encased in a self-produced extracellular matrix, which provides protection to cells within the biofilm against various biotic and 69 70 abiotic stresses (5-8). Undomesticated environmental strains of *B. subtilis* form robust biofilms in response to a variety of environmental and cellular signals (9-16). 71 Some of those strains, such as NCIB3610 (hereafter abbreviated as 3610), become 72 73 a model system to study bacterial biofilm formation (2, 14, 17-20). 74

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In *B. subtilis*, biofilm formation is initiated by one or several histidine kinases 75 76 (KinA-KinD) sensing environmental signals and activating a phosphorelay (Spo0F-77 Spo0B-Spo0A), which then activates Spo0A, a master regulator for sporulation and biofilm formation, via protein phosphorylation (Fig. 1)(21, 22). Phosphorylated Spo0A 78 79 (Spo0A~P) activates sinl; the product Sinl is an antagonist that counteracts a biofilm 80 repressor SinR (23, 24). Another regulatory protein SIrR is also involved in 81 antagonizing SinR (25). SIrR and SinR form a double negative regulatory switch (26). 82 Under non-biofilm conditions, those matrix operons are repressed. Interestingly, 83 domesticated strains of *B. subtilis* that have been applied in the laboratory research for multiple decades due to their feasibility in genetic manipulation, have lost their 84 85 ability to form robust biofilms due to genetic mutations occurred during domestication 86 (19, 20, 27).

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Cell differentiation is another hallmark feature in bacterial biofilm formation. In *B. subtilis*, it is known that cells in the biofilm differentiate into phenotypically distinct cell types (2, 14, 28). Some of these cell types may overlap or become mutually exclusive. Previous studies showed that a subpopulation of cells progressed to become sessile matrix producers while cells in another subpopulation remained motile (26, 29). The two subsets of cells are mutually exclusive due to the control via

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94 an epigenetic switch involving several regulatory genes including *sinl*, *sinR*, *slrR*, and ymdB (26, 30-32). The switch allows bifurcation of the population into SIrR^{ON} and 95 SIR^{OFF} cells, which correspond to sessile and motile cells due to SIR-mediated 96 gene regulation (26, 32). Some cells in the *B. subtilis* biofilm undergo sporulation 97 (19). It was found that heat-resistant spores constitute 15-20% of the total cell 98 population in a mature *B. subtilis* biofilm. It was proposed that in the matrix 99 100 producers, Spo0A~P accumulates to intermediate levels to induce biofilm development (33). When Spo0A~P activities keeps rising, it eventually activates 101 102 expression of hundreds of genes involved in sporulation (34, 35). This may explain how matrix producers transition to become sporulating cells and why sporulating 103 104 cells, as a cell type, are inclusive to matrix producers. Competent cells are those capable of acquiring DNAs from the environment and are believed to be present in 105 the *B. subtilis* biofilm as well (28). However, competence development has not been 106 well studied in situ in a B. subtilis biofilm (36). 107

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Natural competence is an ability of certain bacterial species, such as B. 109 110 subtilis, to acquire environmental DNAs for genetic exchange (37, 38). Natural 111 competence is evolutionarily important for the bacteria to increase genetic diversity and adaptability. In *B. subtilis*, the complex regulatory network controlling 112 113 competence development has been elegantly elucidated (37-40). Competence is 114 initiated when cells produce a quorum-sensing molecule, a peptide pheromone 115 derived from ComX (Fig. 1)(41). This quorum-sensing peptide is sensed on the membrane by a sensory kinase ComP of the ComA-ComP two-component system 116 117 (37). The response regulator ComA then activates a srfAA-AD operon, which not 118 only encodes enzymes involved in biosynthesis of surfactin, but surprisingly also 119 transcribes a small gene named comS (42). The protein ComS is critical in activating 120 the competence activator ComK by helping release of ComK from a protein called MecA, an adaptor protein that normally routes ComK to be degraded (43, 44). Hence, 121 122 the ComS-mediated release of ComK from MecA allows ComK to accumulate. In addition, the comK gene is subject to a bistable control mechanism; only a subset of 123 124 cells accumulate high levels of this competence activator and enter the so-called K-125 state (39). Different from the well-studied laboratory strains, many environmental 126 strains of *B. subtilis* are much less competent in general for still unclear reasons. 127 This may limit the application of those environmental strains in industry and

128 agriculture. In the model strain 3610, a *coml* gene located in a cryptic plasmid was shown to inhibit the competence; deletion of *coml* or cure of the cryptic plasmid 129 130 boosted transformation efficiency of 3610 by more than one hundred fold (45). 131 Whether such a plasmid-born competence inhibitory gene is broadly present in 132 environmental strains is not known. Another regulatory gene degQ was also shown to reduce competence in 3610; deletion of *degQ* similarly boosted transformation 133 134 efficiency of 3610 (27). In addition, there was convincing evidence that a point 135 mutation in the promoter of degQ in the laboratory strain 168, which likely lowers the 136 expression of *degQ*, contributes to the much higher transformation efficiency of 168 137 (27).

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In this study, we aim to investigate factors that impact competence in the 139 140 environmental strains of *B. subtilis*. We reveal an interplay between biofilm formation 141 and competence development; robust biofilm formation in the environmental strains 142 greatly reduces the rate of competent cells within the biofilm. We show that a very 143 low number of cells express a late competence gene reporter and that those cells 144 become mutually exclusive from matrix producers in the biofilm. We characterize a 145 cross-pathway regulation that contributes to the above mutual exclusivity and limits competence in individual cells in the *B. subtilis* biofilm. 146

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148 **Results**

B. subtilis environmental strains are robust biofilm formers but poor in competence.

151 We previously investigated a number of environmental isolates of B. subtilis 152 for their biological control activities in plant protection (4). Many of those strains form 153 robust biofilms both under the laboratory setting (Fig. 2A) and on plant roots (4, 10). On the other hand, most of those environmental strains are much more difficult to 154 manipulate genetically than the laboratory strains. They showed a much lower 155 156 transformation efficiency, hundreds to tens of thousands fold lower than that of the 157 laboratory strain 168 (Fig. 2B); some were not even transformable (data not shown). 158 In those tested strains, big variations in transformation efficiency were also seen. For 159 example, there was a several hundred-fold difference in transformation efficiency 160 between 3610 and CY54, as judged by the percentage of transformants relative to the total number of cells (Fig. 2B). To test if variations in transformation efficiency 161

162 were due to altered competence gene regulation, we constructed a fluorescent reporter for a late-stage competence gene *comGA* (P_{comGA}-gfp), and introduced the 163 164 reporter into those environmental strains as well as the laboratory strain 168. The 165 engineered reporter strains were grown in the competence medium (MC) to early 166 stationary phase, and cells were examined under fluorescent microscopy. P_{comGA}- *afp* expressing cells were observed in only a small subset of cells in the population (with 167 168 the exception of 168, Fig. 3A). A clear bimodal pattern in P_{comGA}-gfp expression was 169 also seen, indicating that bistability in competence development reported previously 170 in laboratory strains is also reinforced in environmental strains (39). The ratio of 171 P_{comGA}-gfp expressing cells in different environmental strains varied significantly and 172 ranged from about 0.25% to 7.7%, much lower than in 168, the ratio in which was 173 seen ~40% in our hand (Fig. 3B). In general, the results from assays of the 174 fluorescent reporter correlated well with those from genetic transformation with the exception of CY54 (Figs. 2B and 3B), suggesting that the reduced transformation 175 efficiency in environmental strains is likely due to genetic regulation. In summary, 176 177 those environmental strains are robust biofilm formers but poor in competence.

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179 DegQ negatively impacts competence in some, but not all, tested

180 environmental strains.

181 Previous studies suggested that the *degQ* gene negatively regulates genetic competence in *B. subtilis* (27). A deletion mutation in *degQ* increased the 182 183 transformation efficiency of 3610 by a few fold while degQ overexpression led to a reduction in the transformation efficiency (Supple, Fig. 1A). DegQ is believed to 184 185 impact competence through DegU, a response regulator and a transcription factor on 186 the comK gene. A degU deletion mutation almost completely eliminated competence 187 in 3610, while the deletion mutation of *degS*, which encodes the histidine kinase of 188 the DegS-DegU two-component system (46), modestly impaired the transformation efficiency (Supple. Fig. 1A). 189

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To test if DegQ plays a similar role in competence in the environmental strains, 191 192 we introduced $\Delta degQ$ into those strains and examined the transformation efficiency of the resulting mutants. $\Delta degQ$ increased transformation efficiency in 5 out of the 7 193 194 tested environmental strains (except for Ze90 and W13-3). The increase ranged from 195 ~2 to 88 fold when compared to the wild type strains (Supple. Fig. 1B). In Ze90 and W13-3, $\Delta degQ$ decreased the transformation efficiency by ~4 and 7 fold, respectively 196 (Supple. Fig. 1B). This result indicates that the impact of DegQ on competence 197 198 varies in different environmental strains. We also wondered if the same or similar 199 point mutation identified in the *deqQ* promoter in the laboratory strain 168 is present 200 in any of those environmental strains. We amplified the promoter region of the degQ201 gene by PCR and performed DNA sequencing. Our sequencing results show that the 202 point mutation in *degQ* in 168 is not present in any of the environmental strains (highlighted in the blue square, Supple. Fig. 1C). However, additional mutations were 203 204 identified in the promoter of degQ in CY35 and CY54 (single nucleotide changes at -28, -48, and -77 positions from the degQ transcription start, highlighted in red 205 squares, Supple. Fig. 1C). Whether these newly identified mutations impact degQ 206 expression and influence competence in CY54 and CY35 is yet to be tested. 207 208

209 Matrix producers and competent cells are mutually exclusive in the *B. subtilis*210 biofilm.

To investigate why many environmental strains are poor in competence, we 211 212 decided to examine competence development in situ during biofilm formation in the 213 model strain 3610. A dual-labelled fluorescent reporter strain of 3610 (PtapA-mkate2 and P_{comGA}-gfp, EH43) was constructed, which allowed us to measure the 214 expression of the matrix operon tasA-sipW-tapA (P_{tapA}-mKate2) and the activity of 215 the late stage competence gene *comGA* (P_{comGA}-gfp) simultaneously in the same 216 217 cells (47, 48). Cells from a 3-day pellicle biofilm by the reporter strain were collected 218 and examined under fluorescent microscopy. Cells were seen in bundled chains and showed strong expression of the matrix reporter P_{tapA}-mKate2 [chaining and matrix 219 220 production are known to be coregulated during biofilm development, (32)](Fig. 4A and Supple. Fig. 2). In contrast, cells expressing P_{comGA}-gfp were very rare, always in 221 222 singlets, and almost never overlapped with cells expressing P_{tapA}-mKate2. Since the 223 number of cells expressing P_{comGA}-gfp was very low, flow cytometry was applied to 224 quantitatively determine the ratio of cells expressing the two reporters in the pellicle 225 biofilm. Cells were similarly collected, treated with mild sonication to disrupt the 226 bundled chains, and applied to flow cytometry. As shown in Fig. 4B-C, only 0.08% of 227 the total cells expressed P_{comGA} -gfp (Average results from three replicates were

shown in Fig. 4C). The reason why the ratio of P_{comGA} -gfp expressing cells was even lower in this assay than previously observed in Fig. 3B (~0.25% for 3610) is likely because the assay in Fig. 3 was done under competence favorite conditions (use of competence medium, cells collected at the early stationary phase, *etc*). More importantly, the results shown here (Fig. 4A-B and Supple. Fig. 2) strongly suggest that in the *B. subtilis* biofilm, matrix producers and competent cells rarely overlap; they are mutually exclusive cell types.

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Overexpression of the competence activator gene *comK* blocks biofilm formation in *B. subtilis*.

238 We hypothesized that the biofilm and the competence pathways may 239 negatively cross-regulate each other and consequently these two cell types become mutually exclusive. To test our hypothesis, we first looked at the competence 240 pathway. Since ComA-ComP is also important for biofilm formation (49), we focused 241 242 on the competence activator ComK, which acts downstream of ComA-ComP in the pathway (Fig. 1)(44). To test if ComK negatively regulates the biofilm pathway, a 243 244 $\Delta com K$ deletion mutant was constructed and the biofilm phenotype of the mutant 245 examined. Surprisingly, the mutant did not show any noticeable biofilm phenotype compared to the wild type (Fig. 5A). Given the ultralow ratio of cells expressing 246 247 P_{comGA}-gfp (Fig. 4A) and the knowledge on ComK regulation, we reasoned that ComK was not active in the majority of cells, which could explain why $\triangle comK$ and 248 249 the wild type strain did not differ in the biofilm phenotype. We then tested *comK* overexpression. An IPTG-inducible copy of comK was constructed and introduced 250 251 into 3610. This time, upon addition of IPTG, the engineered strain displayed a strong 252 biofilm defect (Fig. 5A), similar to what was seen in the laboratory strain 168 (Fig. 253 2A). This indicates that ComK strongly impacts biofilm development in *B. subtilis*. 254 ComK activation is known to eventually cause growth arrest in *B. subtilis* (50). As an important control, the growth of the comK overexpression strain was examined. 255 Upon induction of *comK* in the presence 10 µM IPTG, no difference in the growth 256 257 rate of the cells was found when compared to without *comK* induction (Supple. Fig. 3A). 258

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260 **ComK negatively regulates biofilm matrix genes.**

261 To further characterize the impact of ComK on biofilm formation, we tested if ComK regulates any of the matrix genes, such as the epsA-O and the tapA operons. 262 263 A previously constructed transcription reporter P_{epsA}-lacZ was introduced into the 264 *comK* overexpression strain (51). The resulting strain (YC160) was used to test the 265 impact of *comK* overexpression on the activity of P_{epsA}-*lacZ*. As shown in Fig. 5B, the 266 activity of PepsA-lacZ decreased dramatically upon addition of 10 µM IPTG to induce *comK*. In another test, we introduced the *comK* overexpression construct 267 268 (thrC::P_{spank}-comK) into the previously described dual fluorescent reporter strain (P_{tapA}-mkate2 and P_{comGA}-gfp). When the resulting strain (EH44) was grown in MSgg 269 270 without addition of IPTG, about 50% of the cells were expressing P_{tapA}-mkate2 (cells in red, Fig. 5E-F), and again a very low number of cells expressed P_{comGA}-gfp (cells 271 in green, Fig. 5E-F). Upon addition of 50 or 100 μM IPTG to induce *comK* expression 272 273 for about an hour, the competence reporter P_{comGA}-gfp was found activated in a 274 significantly increased subpopulation of cells (this time about 58% or 78% of the total 275 cells were in green, middle and lower panels, respectively, Fig. 5E-F) while the 276 expression of P_{tapA}-mKate2 was turned off almost completely (cells in red, middle 277 and lower panels, Fig. 5E-F). Importantly, matrix producers and competent cells 278 again rarely overlapped in this assay. These results suggest that ComK negatively 279 regulates biofilm matrix genes.

280

281 ComK negatively regulates sinl.

The epsA-O and the tapA operons are directly repressed by SinR while 282 derepression occurs when SinI counteracts SinR through protein-protein interactions 283 284 (23, 24, 52, 53). To determine how ComK negatively regulates the matrix operons, we tested if ComK regulates either sinl or sinR. We took a similar approach by 285 applying previously constructed transcription reporters of P_{sin}-lacZ and P_{sin}-lacZ 286 (51). Each reporter was introduced into the comK overexpression strain, and the 287 impact of *comK* overexpression on the activity of the reporters was similarly tested. 288 289 Indeed, comK overexpression was found to have a strong negative impact on the 290 activity of P_{sin}-lacZ, but not on P_{sin}-lacZ (Fig. 5C-D). These results indicate that ComK negatively regulates the matrix operons likely through its regulation on sinl. 291 292

293 ComK directly binds to the regulatory region of sinl.

ComK regulates genes through binding to the so-called K-box often found in the regulatory region of the genes (54). When the promoter sequence of *sinl* was analyzed, a region of DNA sequence that resembles the consensus K-box ("AAAA- N_5 -TTTT-N₈-AAAA-N₅-TTTT") was recognized (Fig. 6A). This DNA sequence overlaps with both the -35 and -10 motives of the sigma A-dependent promoter and a Spo0A~P activation site (OA~P) in the *sinl* promoter (33). ComK binding to this putative K-box could prevent *sinl* transcription.

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302 To test if the ComK protein binds to the promoter of *sinl*. An electronic mobility 303 shift assay (EMSA) was performed. Recombinant His₆-ComK proteins were 304 expressed in *E. coli* and purified. Fluorescently end-labeled DNA probe containing 305 about 300 bp of the sinl promoter was mixed with a gradient of His₆-ComK proteins 306 in the mobility shift assay. ComK was found to shift the DNA fragment, indicating a 307 direct binding (upper panel, Fig. 6B). As a negative control, a similar size DNA probe 308 containing the promoter of a ganS gene, not known to be regulated by ComK (54, 309 55), was used in the same assay and little DNA shift was observed (lower panel, Fig. 310 6B). Thus, the binding of ComK to the sinl promoter appeared to be specific. To 311 further test if ComK recognizes the putative K-box in the sinl promoter, site-directed mutagenesis was performed on the box1 and box3 of the putative K-box as indicated 312 313 (Fig. 6C, nucleotide changes highlighted in red). Mutagenesis on box2 and box4 was 314 avoided due to their overlap with the -35 and -10 promoter motives (Fig. 6A). The 315 reporter strains bearing P_{sin}-lacZ with sited directed mutations in the K-box were constructed and the activities of those strains tested. The results show that the point 316 317 mutations in the box3 (mut2) and in both boxes 1 and 3 (mut1+2) had the most 318 significant effect, resulting in increased *sinl* expression (Fig. 6D). To summarize, the 319 competence pathway negatively cross-regulates the biofilm pathway likely through 320 the competence activator ComK directly repressing the key biofilm regulatory gene sinl. 321

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323 Biofilm matrix negatively impacts competence in *B. subtilis*.

We also predicted that the biofilm pathway negatively regulates competence development. A previous study showed that the extracellular biofilm matrix physically blocked cells from sensing the competence pheromones, which is essential for ComA-ComP-mediated activation of the *srfAA-AD* operon (49). The *srfAA-AD* 328 operon harbors the key competence gene comS, critical for activating ComK and inducing late competence genes in *B. subtilis* (42). Here, we further showed that 329 330 deleting the biofilm matrix genes ($\Delta epsH\Delta tasA$) indeed improved competence of B. subtilis 3610; the transformation efficiency of the matrix double mutant was ~7 fold 331 332 higher than that of the wild type (Fig. 7A). This phenomenon was not only seen in 333 3610, but also in some other environmental isolates of *B. subtilis*. When the epsA-O 334 operon was deleted in those environmental isolates and the transformation efficiency 335 of the mutants was compared to the respective wild type strains, an increase in transformation efficiency from about 2 to 100 folds was seen in 6 out of the 9 strains 336 337 (Fig. 7B and Supple. Fig. 3B). This result indicates that it may be a general mechanism that the presence of extracellular matrix can reduce the competence of B. 338 339 subtilis cells.

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341 Extensive chaining in the biofilm negatively impacts competence.

Cells in the *B. subtilis* biofilm form long bundled chains, important for building 342 343 organized 3-dimentional structure of the biofilm (Fig. 4A)(19, 56). Interestingly, the DNA uptake machinery was shown to localize to the poles of the cells during B. 344 subtilis competence development (57, 58). If true, one would predict that the 345 346 nonmotile chained cells may encounter reduced efficiency in DNA uptake. To test the possible impact of chaining on competence, we first applied a $\Delta sigD$ mutant. 347 Sigma D (SigD) is responsible for the transcription of genes encoding multiple 348 349 autolysins for cell separation, but not known to directly influence competence (59). 350 The $\Delta sigD$ mutant formed extensive long chains, consistent with the previous report (Supple. Fig. 4A)(59). Interestingly, when the transformation efficiency was 351 compared between the wild type and the $\Delta sigD$ mutant, the mutant showed 352 353 drastically reduced efficiency even after taking into consideration of the impact of cell 354 chains on CFU counting (see methods). The diminished competence in $\Delta sigD$ was 355 almost comparable to that of the $\triangle comK$ mutant (Supple. Fig. 4B).

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In the wild type biofilm, chaining is also controlled by the SinR/SIrR switch (26, 30, 32, 56, 59). SIrR^{ON} cells tend to form long chains of cells bundled together by the extracellular matrix due to matrix genes being activated while autolysin genes being simultaneously shut off (26). We decided to test if increasing SIrR production by 361 gene overexpression could have a similar negative impact on the transformation efficiency seen in $\Delta sigD$. A previously constructed $\Delta slrR$ mutant containing an IPTG 362 inducible copy of *slrR* was applied (56). Both the chaining phenotype and the 363 364 transformation efficiency of the engineered cells were compared between no addition and with addition of IPTG. As shown in Figs. 8C-D, adding IPTG to the media 365 366 significantly increased cell chaining even in shaking conditions while substantially 367 reduced the transformation efficiency compared to no addition of IPTG. Our results 368 thus suggest that extensive cell chaining during *B. subtilis* biofilm development likely 369 plays a role in limiting competence of *B. subtilis* cells.

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371 SIrR negatively regulates the *srfAA-AD* operon.

372 A previous study showed that the null mutation of *sinR* abolished competence 373 but not clear how (60). Since the inhibition on competence by $\Delta sinR$ was reported in a laboratory strain unable to form robust biofilms, overproduction of the matrix by the 374 $\Delta sinR$ cells may not be the answer. Here we present evidence that SIrR, whose gene 375 376 is repressed by SinR, negatively regulates *srfAA-AD* and thus competence (Fig. 1). We introduced a P_{srfAA}-gfp fluorescent reporter into the slrR mutant bearing an IPTG 377 378 inducible copy of *slrR*. Upon addition of IPTG, *slrR* was induced, evidenced by the chaining phenotype and the activity of P_{srfAA}-gfp noticeably decreased compared to 379 380 no addition of IPTG (Fig. 8E). Fluorescent pixel density in individual cells was 381 quantified using ImageJ. In cells overexpressing *slrR*, the average pixel density was 382 about half of that in cells not overexpressing slrR (11.5 vs 20.5, Fig. 8F). The repression of srfAA-AD by SIrR was also confirmed by real-time quantitative PCR 383 384 using three different probes for the operon (Fig. 8G). Our genome-wide transcription profiling to characterize global SIrR regulon showed similar results (Chai, 385 386 unpublished). Our results thus suggest that SIrR negatively regulates the srfAA-AD operon. In summary, we believe that SIrR, together with SinR, negatively regulates 387 competence through three distinct mechanisms (Fig. 8H), by i) promoting matrix 388 production to block competence signaling, and ii) forming extensive cell chains to 389 390 possibly block DNA uptake, and iii) negatively regulating the srfAA-AD operon (and 391 conceivably comS, Fig. 1).

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394 Discussion

Environmental strains of *B. subtilis* have important applications in agriculture, 395 396 industry, and biotechnology. However, different from well-studied laboratory strains, 397 those environmental strains are genetically less accessible for still unclear reasons. 398 This imposes limitation of their application in various fields. Environmental strains of *B. subtilis* are often capable of forming robust biofilms both under the laboratory 399 400 settings and on plant roots, an ability important for them to establish intimate 401 relationship with the plant in the rhizosphere. In this study, we uncovered that during 402 biofilm formation by the B. subtilis model strain 3610, a very low number of cells 403 differentiates into competent cells. Similar observations were also made in several 404 environmental strains of *B. subtilis* (Fig. 3). We presented evidence that the low competence is contributed by the ability to form robust biofilms. The very low ratio of 405 406 competent cells in the *B. subtilis* biofilm might be ecologically more relevant than what is often studied in test tubes with optimized competence media. The biological 407 408 implication of greatly reduced competence associated with biofilm formation by wild 409 B. subtilis strains is not very clear. One could argue that this is how natural 410 competence in B. subtilis is expected to function in order to balance the ability of 411 generating genetic variations and the potential risk of having too many individual cells in the population acquiring genetic variations. 412

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Cell differentiation is a hall mark feature of bacterial biofilm formation. A 414 415 number of studies have demonstrated co-existence of distinct cell types in the B. 416 subtilis biofilm, and in a few cases have characterized molecular mechanisms of how 417 specific cell types become mutually exclusive or inclusive (2, 14, 26, 28, 29). In this 418 study, we showed that competent cells and matrix producers are mutually exclusive 419 cells types during *B. subtilis* biofilm formation and provided mechanistic explanations 420 of why they become mutually exclusive. Based on our evidence, we propose a working model on the cross-regulation between these two developmental pathways 421 422 that contributes to their mutual exclusivity (Fig. 1). In one regulation, ComK in the K-423 state cells directly turns off expression of the key biofilm gene sinl. Since activity of 424 sinl is indispensable for biofilm activation, direct repression of sinl by ComK allows 425 K-state cells to shut down the biofilm pathway, and eliminate matrix production and 426 cell chaining, which we showed negatively influence transformation efficiency. In the 427 other regulation, the biofilm regulator SIrR plays a central role that allows SIrR^{ON}

428 cells to avoid competence development. Not only matrix may physically block 429 competence quorum-sensing, but cell chaining and negative regulation of the srfAA-430 AD operon (and comS) by SIrR further contribute to the shut-off of competence in SIR^{ON} cells. Similar regulations could also be present in the *B. subtilis* 431 432 environmental strains. However, since we carried out our mechanistic studies primarily in the model strain 3610, further studies will be needed to test those 433 434 regulations directly in the environmental strains of *B. subtilis*. In addition, the regulation in those environmental strains could differ from that in 3610, as some of 435 436 our data already indicate so (e.g. Fig. 7B).

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Previous studies have shown that competent cells are inclusive to cells 438 439 responding to the competence pheromones and activating the surfactin biosynthesis operon, which very intriguingly also transcribes a small comS gene key to the 440 competence development (42, 49). According to a previous study, under biofilm 441 conditions in 3610, the genes involved in initiating competence (e.g. comQ-comX-442 *comP*) is expressed in a majority of the cells, while the surfactin operon is expressed 443 in only about 10% of the total number of cells (defined as *srf^{ON}*) in the population (49). 444 445 This significant difference in the ratio is thought to be contributed in part by the paracrine signaling mechanism in that although the majority of cells produce the 446 447 competence pheromone, only a handful of them respond to this quorum-sensing signal. Further, another peptide pheromone CSF is also involved by regulating the 448 response regulator ComA through a feedback mechanism (61). It can be speculated 449 that in those 10% srf^{ON} cells. ComK is activated above a critical threshold in a further 450 reduced ratio of the cells, due to the well-studied complex regulation on ComK and 451 the *comK* gene. Those ComK^{ON} cells enter the so-called K-state and ultimately 452 become competent for environmental DNA acquisition. It is a bit surprising to us that 453 the ComK^{ON} cells (presumably cells expressing P_{comGA} -gfp) is less than 0.1%, versus 454 10% of srf^{ON} cells. This implies that at best only about 1 out of 100 cells enter the K-455 456 state even after all the cells initiate the competence by inducing the *srfAA-AD* operon and *comS*. Again, it could be evolutionarily important to limit competence capacity 457 458 when *B. subtilis* cells live in multicellular communities in the natural environment. 459

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462 Materials and Methods

Strains and media. Strains used in this study are listed in Table 1. B. subtilis strains 463 PY79, 168, NCIB3610, their derivatives, and environmental isolates of *B. subtilis* 464 were cultured in lysogeny broth at 37°C. Pellicle biofilm formation in B. subtilis was 465 induced using MSgg broth (50 mM potassium phosphate and 100 mM MOPS at pH 466 467 7.0 supplemented with 2 mM MgCl₂, 700 µM CaCl₂, 50 µM MnCl₂, 50 µM FeCl₃, 1 µM ZnCl₂, 2 µM thiamine, 0.5% glycerol, and 0.5% glutamate) at 30°C. Colony 468 biofilm formation of *B. subtilis* was induced using MSgg solidified with 1.5% (w/v) 469 agar at 30°C. When growing the chromosomal *thrC* integration strains of *B. subtilis*, 470 additional 300 µg ml⁻¹ threonine was added. Enzymes used in this study were 471 purchased from New England Biolabs (MA, USA). Chemicals and reagents were 472 473 purchased from Sigma or Fisher Scientific (MA, USA). Oligonucleotides were purchased from Eurofins Genomics (PA, USA) and DNA sequencing was also 474 performed at Eurofins Genomics. Antibiotics, if needed, were applied at the following 475 concentrations: 5 µg ml⁻¹ of tetracycline, 1 µg ml⁻¹ of erythromycin, 100 µg ml⁻¹ of 476 spectinomycin, 10 μ g ml⁻¹ of kanamycin, and 5 μ g ml⁻¹ of chloramphenicol for 477 transformation in *B. subtilis* and 100 μ g ml⁻¹ of ampicillin and 50 μ g ml⁻¹ of kanamycin 478 479 for *E. coli* DH5α and BL21/DE3 strains.

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Strain construction and DNA manipulation. General methods for molecular
cloning followed the published protocols (62). Restriction enzymes (New England
Biolabs) were used according to the manufacturer's instructions. Transformation of
plasmid DNA into *B. subtilis* strains was performed as described previously (63).
SPP1 phage-mediated general transduction was also used to transfer antibioticmarked DNA fragments among different strains (64). Plasmids used in this study are
listed in Table 1 and oligonucleotides are listed in Table 2.

To generate the competence gene reporter strains (P_{comGA} -gfp), the promoter of *comGA* was amplified via PCR using 3610 genomic DNA as the template and primers PcomGA-F1 and PcomGA-R1. The PCR product was cloned into the EcoRI and HindIII sites of pYC121 aiming for the integration into the *amyE* locus of 3610 and other environmental isolates of *B. subtilis*. The recombinant plasmid was transformed into DH5 α for amplification. The recombinant plasmid extracted from transformed DH5 α was subsequently transformed into PY79 and then to 3610. 495 To generate *comK* insertional deletion mutation in 3610 (YC100), the lysate containing $\triangle com K$:: kan was made from RL2262 (a gift from Rich Losick, Harvard 496 University) and introduced into 3610 by transduction. To create an IPTG-inducible 497 copy of *comK* for integration at the *amyE* locus, the *comK* coding sequence was 498 amplified by PCR using primers comK-F1 (HindIII) and comK-R1 (NheI). The PCR 499 product was digested and cloned into the HindIII and Nhel sites of pDR111 (65) to 500 501 make an IPTG inducible P_{snank}-comK fusion, generating a recombinant plasmid pYC119. The pYC119 plasmid was then used for integration of P_{spank}-comK into the 502 amyE locus of 3610. To do so, the plasmid was first introduced into PY79 by 503 504 transformation and then into 3610 by SPP1 phage mediated transduction. To create 505 a second version of an IPTG-inducible *comK* for integration at the *thrC* locus of 3610, 506 a DNA fragment containing the P_{spank} promoter was cut from the above pYC119 with EcoRI and HindIII double digestion, and a second DNA fragment containing the 507 508 comK coding sequence and the lacl gene was cut separately from pYC119 by HindIII 509 and BamHI double digestion. These two DNA fragments were cloned into the EcoRI 510 and BamHI sites of pDG1664 by three-way ligation to generate an IPTG-inducible P_{spank}-comK in the thrC integration plasmid, resulting in pYC128. The pYC128 511 plasmid was introduced into PY79 by transformation. To generate three reporter 512 513 strains of YC159 (P_{sinl}-lacZ), YC160 (P_{epsA}-lacZ), and YC177 (P_{sinR}-lacZ), each with an inducible copy of *comK* at the *thrC* locus, the lysate containing *thrC*::P_{spank}-514 515 comK::mls was prepared from the above recombinant pY79 strain, and introduced into YC108 (P_{sinR}-lacZ), YC110 (P_{sin}-lacZ), and YC130 (P_{epsA}-lacZ), respectively, by 516 SPP1 phage mediated transduction. 517

To generate the recombinant plasmid pQS06 for His₆-ComK overexpression 518 and purification, the *comK* coding gene was amplified by PCR using 3610 genomic 519 520 DNA as the template and primers PcomK-F1 and PcomK-R1. The PCR product was 521 cloned into the pET28a vector between the restriction sites Ndel and HindIII to create the PT7-his6-comK fusion. The recombinant plasmid pQS06 was prepared 522 from *E. coli* DH5 α and then introduced into *E. coli* BL21/DE3 by chemical 523 524 transformation. The resulting *E. coli* strain QS16 was used for His6-ComK 525 overexpression and purification. To create the strain YC1270, the lysate containing amyE::P_{hyperspank}-slrR was prepared from YC672 and introduced into DL744, which 526 527 bears the reporter *lacA*::P_{srfAA}-gfp::m/s, by transduction (49, 56). To create the

528 transcription reporter fusion of P_{comGA}-gfp, the promoter sequence of the comGA gene was amplified by PCR using 3610 genomic DNA as the template and primers 529 530 PcomGA-F1 and PcomGA-R1. The PCR product was cloned into the pYC121 531 plasmid between the restriction sites EcoRI and HindIII to create the P_{comGA}-gfp 532 fusion. The recombinant plasmid was transformed into DH5 α for amplification. The 533 recombinant plasmid extracted from transformed DH5 α was subsequently 534 transformed into PY79 and then to 3610. 535 Colony and pellicle biofilm development. For colony biofilm formation, cells were 536

grown to exponential phase in LB broth and 2 μ L of the culture was spotted onto MSgg media solidified with 1.5% (w/v) agar. The plates were incubated at 30°C for 3 days. For pellicle biofilm formation, cells were grown to exponential phase in LB broth, and 3 μ L of the culture was inoculated into 3 mL of MSgg liquid media in a 6well or 12-well microtiter plate (VWR). The plates were incubated at 30°C for 2-3 days. Images of colony and pellicle biofilms were taken using a Nikon Coolpix camera or a Leica MSV269 dissecting scope.

544

545 Site-directed mutagenesis. Site-directed mutagenesis of the *sinl* regulatory 546 sequence was performed by using 6 different primers to change the nucleotides in the putative ComK binding boxes in the *sinl* promoter region. In the P_{sinl}^{Mut1} 547 construction (box 1), PsinI-F4 and PsinI^{Mut1}-R were used to amplify the fragment 1. 548 PsinI-R4 and PsinI^{Mut1}-F were used for the amplification of fragment 2. The 549 fragments 1 and 2 were subsequently used in the second round of overlapping PCR 550 551 to generate the full-length DNA fragment containing the *sinl* promoter with designated point mutations. Overlapping PCR product was purified using PCR 552 553 purification kit (Qiagen) and subsequently digested using EcoRI and HindIII. The 554 plasmid pDG268 was digested simultaneously using EcoRI and HindIII. Both digestion products were gel-purified, ligated using T4 Ligase, and transformed E. coli 555 DH5 α . The recombinant plasmid was purified from *E. coli* DH5 α and transformed 556 557 into PY79. The *amyE* homologous region containing mutated P_{sint}^{Mut1} and the 558 chloramphenicol resistance marker was integrated onto PY79 chromosome via 559 double crossover homologous recombination. The genomic DNA of the resulting transformant was prepared and subsequently transformed into 3610. Site-directed 560

mutagenesis on P_{sinl}^{Mut2} (box3) was performed similarly except that the primers 561 PsinIMut2-F, PsinIMut2-R, PsinI-F4 and PsinI-R4 were used. Construction of 562 P_{sinl}^{Mut1+2} was performed by using the recombinant plasmid containing P_{sinl}^{Mut1} as the 563 template during the first round of PCR amplification and primers PsinIMut2-F, 564 565 PsinIMut2-R, PsinI-F4 and PsinI-R4. Designated point mutations in the sinI promoter 566 on the recombinant plasmids were verified by DNA sequencing before being 567 introduced into *B. subtilis*. 568 Assays of transformation efficiency. Assays on transformation efficiency were 569 performed by introducing *B. subtilis* genomic DNAs containing specific antibiotic 570 571 resistance genes as a selection marker into indicated strains. Specifically, the three plasmids pDG1662 (*amyE::chl^R*), pDG1663 (*thrC::mls^R*), and pDG1730 572 573 (*amyE*::spec^R) containing different antibiotic markers flanked by the either *B. subtilis*

574 *amyE* or *thrC* sequences (26), were introduced into 3610 first for double crossover

recombination on the chromosome. The genomic DNA bearing either $amyE::chl^R$, or

576 $amyE::chl^R$, or thrC::mls^R was prepared from the above strains. The concentration of

577 the prepared genomic DNAs was determined using Nanodrop (Thermo Fisher). For

each transformation event, a fresh single colony of the strain was picked and grown

in LB broth to log phase. The log phase culture was then 1:100 subcultured into 2
mL competence medium (MC) supplemented with 3 mM MgSO₄. Cells were grown

580 mL competence medium (MC) supplemented with 3 mM MgSO₄. Cells were grown 581 at 37 °C in shaking until early stationary phase ($OD_{600}=1.5$), 10 µg of the genomic

582 DNA was then mixed with 500 µL competent cells, and cells were incubated for

583 another hour before harvest. Samples were plated on the LB plates with the addition

584 of either 100 μ g/mL of spectinomycin (for spec^R selection) or 5 μ g/mL of

585 chloramphenicol (for *chl*^R selection) or 25 μg/mL of lincomycin+1 μg/mL of

586 erythromycin (for *mls*^R selection). Next day, CFU on the transformation plates was

587 counted. The total number of cells was calculated by measuring the O.D.₆₀₀ of the

588 culture prior to plating and assuming 3×10^8 cells for OD₆₀₀=1.0 of the culture (which

589 was experimentally determined for 3610, data not shown) across all *B. subtilis*

590 cultures used in the transformation assays unless for the strains involving extensive

591 cell chains (see below). Each assay was done at least three times.

592 For transformation of the *slrR* inducible strain (YC672), cells were grown in LB 593 broth to log phase, diluted 1:100 into MSgg broth, and grown to mid log phase 594 $(OD_{600}=0.5)$ again. Cells were then split into two fractions, one added with 100 μ M IPTG to induce *slrR* overexpression and the other no addition of IPTG. Both cultures 595 596 were continued to grow for another hour for sIrR induction. 10 µg of genomic DNA was then added to each culture of 500 µL followed by one more hour growth at 37°C 597 598 with shaking. Before harvest, the cultures were mildly sonicated (scale 1.5 output, 50% 599 interval, 3-5 pulses on ice) using the sonicator (Scienz). After sonication, cells were 600 analyzed under light microbiology to verify the disruption of chaining. Cells were then 601 the plated on LB plates supplemented with appropriate antibiotics. Next day, the 602 number of transformants on the plates were calculated. For counting the total 603 number of cells, cultures were serial-diluted and plated on regular LB plates. CFU 604 was counted next day. All assays were done at least three times with biological 605 replicates. The transformation experiment using the *siqD* mutant followed the similar 606 protocol to eliminate the impact of chaining.

607

608 Expression and purification of recombinant ComK proteins. BL21/DE3 cells 609 harboring the recombinant plasmid (PT7-his6-*comK*) were grown in LB broth 610 supplemented with 50 µg/mL kanamycin at 37°C overnight in shaking. The overnight 611 culture was aliquoted at 1:500 to 300 mL LB media supplemented with 50 µg/mL 612 kanamycin in shaking condition at 30 °C. 1 mM IPTG was added when OD₆₀₀ of 613 culture reached 0.5. IPTG induction was allowed to continue for two hours before harvesting the culture. The culture was harvested and centrifuged at 4500 rpm at 4 °C 614 615 for 30 minutes. The cell pellet was resuspended and washed twice using cold 616 phosphate buffer solution. The supernatant was discarded, and the cell pellets were again resuspended using 10 mL lysis buffer (20 mM Tris-HCl, 300 mM NaCl, 1 mM 617 618 PMSF, pH 8.5). The cell resuspension was lysed using sonication on ice. The total 619 cell lysate was centrifuged at 5000 rpm at 4 °C for 30 minutes. The cleared lysate 620 containing soluble His6-ComK was transferred into a new precooled tube. Cell 621 lysate was mixed with 1 mL of Ni-NTA agarose beads (Qiagen), and the mixture was 622 rotated at 4 °C for 2 hours. The mixture of lysate and beads was transferred into the column and washed for five times with wash buffer (20 mM Tris-HCl, 300 mM NaCl, 623 624 25 mM imidazole, pH 8.5). 2 mL wash buffer was applied in each wash. The flow-625 through was also collected in 5 separate tubes. The column was eluded five times 626 using elution buffer (20 mM Tris-HCl, 300 mM NaCl, 250 mM imidazole, pH 8.5).

500 µL of elution buffer was applied to the column each time and the elute was
collected in the tubes separately. 12% SDS-PAGE was applied to size-fractionate
the proteins and verify the purity and abundance of the recombinant His6-ComK
proteins. The purified protein fractions were pooled and dialyzed in a dialysis buffer
(20 mM sodium phosphate, 300 mM NaCl, 0.3 mM DTT, 10% glycerol, pH 7.4)
overnight. The final concentration of the protein was determined using Bradford
protein assays. The proteins were stored at -80 °C.

634

635 Electrophoretic mobility shift assays (EMSA). An about 300-bp DNA fragment containing the promoter of sinl (P_{sinl}) was used as the DNA probe for the binding of 636 637 recombinant ComK proteins in EMSA, and a similar size DNA fragment containing the promoter of ganS (P_{ganS}) was used as a negative control DNA probe. The 638 fluorescent DNA probes were generated by PCR using 3610 genomic DNA as the 639 template, and with the forward primers P_{sin}-F and P_{ganS}-F covalently linked to 5'Cy3 640 fluorescent dye, and the regular reverse primers P_{sint}-R and P_{ganS}-R. The PCR 641 642 product was gel purified, eluded in ddH₂O and the quality was measured by 643 NanoDrop (Fisher Thermo Scientific). A gradient of protein concentrations was 644 applied in the reaction mixtures. A decreasing gradient of 150, 60, 15, and 7.5 nM of the recombinant His₆-ComK was applied in each binding mixture. 200 pmol of 645 646 fluorescent labelled DNA probe was applied in each lane. The protein-DNA binding reaction was incubated in 20 µL reaction volume, containing 10 mM Tris-HCl, 10 mM 647 648 HEPES, 50 mM KCl,1 mM EDTA, 10 µg/mL BSA, and 4% sucrose. To reduce nonspecific binding, 500 ng of random DNA (poly dl:dC) was added to each binding 649 650 reaction. The reaction was incubated on ice for 30 min. The gel was run in 0.5X TBE buffer at 65 V for 3.5 h at 4°C. The resulting gel was imaged using ChemiDoc MP 651 652 (Bio-Rad, USA).

653

Assays of β-galactosidase activity. Cells were cultured in MSgg medium at 30°C with shaking. When indicated, IPTG was added to the media at the beginning at a final concentration of 10 μ M. One milliliter of culture was collected at each indicated time point and cells were centrifuged down at 5,000 rpm for 10 min. Cell pellets were suspended in 1 ml Z buffer (40 mM NaH₂PO₄, 60 mM Na₂HPO₄, 1 mM MgSO₄, 10 mM KCl, and 38 mM β-mercaptoethanol) supplemented with 200 μ g ml⁻¹ lysozyme.

660 Resuspensions were incubated at 37°C for 15 min. Reactions were started by adding 200 μ I of 4 mg ml⁻¹ ONPG (2-nitrophenyl- β -D-galactopyranoside) and stopped by 661 adding 500 µL of 1 M Na₂CO₃. Samples were then briefly centrifuged down at 5,000 662 rpm for 1 min. The soluble fractions were transferred to cuvettes (VWR), and 663 absorbance of the samples at 420 nm was recorded using a Bio-Rad 664 665 Spectrophotometer. The β -galactosidase specific activity was calculated according to the equation $(Abs_{420}/time \times OD_{600}) \times dilution factor \times 1000$. Assays were conducted in 666 667 triplicate.

668

Cell membrane staining. For cell membrane staining, cells were grown to log 669 670 phase and harvested. Cell pellets were washed with PBS buffer twice, resuspended in 100 µL PBS buffer, and mixed with 1 µL of FM 4-64 dye (Life Technologies) for 5 671 672 min on ice with gentle tapping of the tube. 2 µL of the resuspension was placed on a 673 1% (w/v) agarose pad and covered with a cover slip. For observation of the FM 4-64 fluorescence dye, the the excitation wavelength was set at 540-580 nm and the 674 675 emission wavelength at 610-680 nm. Cells from three independent biological 676 replicates were imaged using a Leica DFC3000 G camera on a Leica AF6000 677 microscope.

678

679 Real time quantitative PCR (qPCR). Cells were collected after the overexpression of SIrR in experimental group. Total RNAs were extracted by using TRIzol 680 681 (Invitrogen) following the manufacturer's protocol. Isolated RNAs were reverse transcribed into single-stranded complementary DNA (cDNA) using a High Capacity 682 683 cDNA Reverse Transcription Kit (Applied Biosystems). RT-gPCR was performed by using Fast SYBR[™] Green Master Mix (Applied Biosystems) with Step One Plus 684 Real-Time PCR system (Applied Biosystems). The 16S rRNA gene was used as an 685 internal reference. The relative expression of specific genes was calculated by using 686 the $2^{-\Delta\Delta CT}$ method. The statistically analysis was performed using t-test. 687

688

689 **Cell fluorescence imaging and pixel quantification.** For imaging of the

690 environmental strains bearing the P_{comGA}-gfp fluorescent reporter, the reporter strains

- 691 were grown in LB broth to log phase. Each log phase culture was then 1:100
- subcultured into 2 mL competence medium (MC) supplemented with 3 mM MgSO₄.

693 Cells were grown at 37 °C in shaking until early stationary phase ($OD_{600}=1.5$). Cells 694 were then spun down, washed with PBS buffer once, and resuspended in 100 µL of 695 PBS buffer. 2 µL of the resuspension was placed on a 1% (w/v) agarose pad, 696 covered with a cover slip, and observed under fluorescent microscopy. Imaging of 697 different samples was conducted using the same exposure settings.

698 To quantify the ratio of P_{comGA}-gfp expressing cells relative to the total number 699 of cells, fluorescence of single cells was quantified in 3 different images comprising 700 of a total of 600-800 cells per sample, using the MicrobeJ plugin for ImageJ (66, 67). 701 These 3 images were randomly selected from more than half-dozen separate 702 images obtained in two experimental repeats. Using the "Analyze Particles" 703 command, the size cutoff of 100 pixel^2 was set to exclude the noise from the viable 704 cells. Using the "Threshold" command, the threshold number was adjusted to 705 highlight and select the pixel area of interest, which indicates the viable cells, for the 706 analysis. A threshold above three times of the average background pixel density was 707 used to define P_{comGA}-gfp expressing cells. The total number of cells were counted in 708 phase images while the fluorescent cells were counted with the corresponding 709 fluorescent channel images and verified in combination with manual examination.

710 For imaging of the slrR inducible strain (YC1270), cells were grown in LB 711 broth to log phase. Cells were then diluted 1:100 to MSgg broth and grown to mid log 712 phase (OD₆₀₀=0.5). Cells were then split into two fractions, one added with 100 μ M 713 IPTG and the other no addition of IPTG. Both fractions were continued to grow for 714 another hour. Cells were then spun down, washed with PBS buffer once, and resuspended in 100 µL of PBS buffer. 2 µl of the resuspension was placed on a 1% 715 716 (w/v) agarose pad, covered with a cover slip, and observed under fluorescent 717 microscopy. Non-specific background fluorescence was determined by guantifying 718 WT cells bearing no fluorescent reporter. Imaging of different samples was 719 conducted using the same exposure settings. Pixel density of single-cell 720 fluorescence was quantified on >200 cells per sample using the MicrobeJ plugin for 721 ImageJ.

722

Flow cytometry. Flow cytometry was carried out using a BD FACSAria II with a 70
 micron nozzle. Briefly, biofilms were grown for 48 hours in defined monosodium
 glutamate-glycerol (MSgg) biofilm promoting-media. After 48 hours of growth, cells

726 were harvested from pellicle biofilms, cell chains were disrupted by mild sonication, 727 and 5 µL of resuspended cells were diluted in 1 mL PBS through a 35 µm filter 728 (Corning Falcon tube, Thermo Fisher). FACS DIVA software was used to collect 729 100,000 events for each sample. Data were analyzed in FlowJo software. Gates 730 were drawn, based on the size, to exclude the bulky events, which were considered 731 as clumps or cell chains. This size bias was confirmed by plotting these events on 732 FSC/SSC axis. The rest of the gated cells was considered to be single cells and 733 were displayed in GFP-A/mKate-A axis. Four strains, 3610 as a gating control for 734 fluorescent signals, two single reporter strains, (P_{comGA}-gfp and P_{tapA}-mkate2), and the dual reporter strain (P_{comGA}-gfp/ P_{tapA}-mkate2), were applied in the analyses by 735

- flow cytometry. Assays were performed in three biological replicates.
- 737
- 738

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745 Conflict of interests

The authors declared that there is no conflict of interests.

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- 914
- 915
- 916 Figure Legends

Figure 1. A working model for cross-pathway regulation between competence 917 and biofilm in B. subtilis. Competence development initiates when the quorum-918 919 sensing (QS) peptide derived from ComX (ComX^{*}) is sensed by a membrane 920 histidine kinase ComP (37). The response regulator ComA then activates a srfAA-921 AD operon and an embedded small gene comS; the latter encodes a positive regulator ComS for the competence activator ComK (42). ComK^{ON} cells express late 922 competence genes, which ultimately differentiate into competent cells ready for DNA 923 924 uptake. Here we propose that ComK simultaneously and negatively regulates the biofilm pathway by repressing the key biofilm regulatory gene sinl (shown as 1). Sinl 925 926 antagonizes the biofilm master repressor SinR to derepress genes for the biofilm 927 matrix production (epsA-O, tapA, etc). Negative regulation of sinl by ComK is 928 expected to inhibit biofilm formation. SIrR is another antagonist of SinR and forms a 929 double negative loop with SinR. Under biofilm inducing conditions, *sinl* is activated 930 by the developmental master regulator Spo0A in response to sensory kinases (e.g. 931 KinC) sensing various environmental signals. Here, we also propose that the biofilm 932 regulator SIrR negatively regulates competence development through several 933 distinct mechanisms. First, SIrR activates matrix production, which physically blocks 934 sensing of the quorum-sensing peptide signal ComX* (shown as 4)(49); second, SIrR-induced cell chaining may block DNA uptake since DNA uptake machinery was 935 shown to be pole-localized (57, 58)(shown as 3); third, SIrR negatively regulates the 936 srfAA-AD operon and comS (shown as 2). Red arrows and blue lines represent 937 positive and negative regulation, respectively. ComX*, a secreted QS peptide 938

derived from ComX. Surfactin induces matrix production by a paracrine signalingmechanism (49).

941

942 Figure 2. B. subtilis environmental strains are strong biofilm producers but 943 poor in competence. (A) The colony and pellicle biofilm phenotypes of 7 environmental strains of *B. subtilis* plus 168 and 3610. Scale bar in the picture of 944 945 colony, 2 mm; scale bar in the picture of pellicle, 5 mm. The scale bar in the picture of colony is representative for all pictures of colonies, so as the scale bar in the 946 947 picture of pellicle. (B) Transformation efficiency of the 7 environment isolates of B. 948 subtilis plus 168 and 3610. Results are shown as percentage (%) of the number of 949 transformants relative to the total number of cells. Assays were performed in 950 triplicates. Each dot represents one technical replicate. Error bars represent 951 standard deviations.

952

Figure 3. A small proportion of cells from the environmental strains express 953 954 the late competence gene comGA. (A) Environmental strains harboring the late 955 competence gene reporter P_{comGA} -gfp were grown in the competence medium (MC) 956 to early stationary phase. Cells were harvested and observed under fluorescent 957 microscopy. The 168 and 3610 strains were included for comparison. Representative images were shown here. Scale bars, 10 μ m. (B) The percentage of P_{comGA}-gfp 958 expressing cells relative to the total number of cells in 7 different environmental 959 960 strains plus 168 and 3610. In each bar, three dots represent three individual data 961 points calculated from three different images comprising of about 600-800 cells in 962 total per sample. Error bars represent standard deviations.

963

964 Figure 4. Matrix producers and competent cells are mutually exclusive in the

3610 biofilm. (A) Fluorescent microscopic analyses of cells collected from a *B.* subtilis 3610 pellicle biofilm bearing dual fluorescent reporters of P_{comGA} -gfp and P_{tapA} -mKate2 (EH43). The activity of P_{tapA} -mKate2 (cells in red) indicates expression of the key biofilm matrix operon tapA-sipW-tasA while P_{comGA} -gfp reports a late competence gene comGA (cells in green). More images are available in Supple. Fig 2. Scale bar, 10 μ m. Scale bar is representative to all images in the figure. (B) Flow cytometry analyses of the above dual fluorescent reporter strain (EH43, indicated as

972 mKate2/GFP), two single reporter strains (QS34 for P_{comGA}-gfp and EH41 for P_{tapA}-973 *mKate2*, indicated as GFP and mKate2, respectively), and 3610 (as a gating control). Activities of P_{comGA}-gfp and P_{tapA}-mKate2 were measured in the GFP (y-axis) and 974 975 RFP (for mKate2, x-axis) filters, respectively. Numbers represent the percentage (%) 976 of gated cells vs total cells in the corresponding guadrant. (C) The guadrant analyses 977 of the flow cytometry results. The percentage indicates gated cells/total cells in 978 corresponding guadrant. Each dot represents one biological replicate. Experiments 979 were repeated three times. Error bars indicate standard deviations. [One dot 980 representing the mKate2/GFP guadrant from the single reporter (EH41, shown as 981 GFP) and one dot representing the mKate2/GFP quadrant from the double reporter (EH43, shown as mKate2/GFP) were omitted due to errors]. 982

983

Figure 5. comK negatively regulates key biofilm genes. (A) Overexpression of 984 *comK* impairs biofilm formation in *B. subtilis*. Phenotypes of the colony biofilms by 985 the wild type (3610), $\triangle comK$ (YC100), and the wild type strain harboring an IPTG-986 987 inducible copy of *comK* (YC142) on MSgg plates supplemented with 0, 2, or 10 µM 988 IPTG. Scale bar, 2 mm. The scale bar is representative for all pictures in this panel. 989 **(B-D)** Wild type strains bearing both an IPTG inducible copy of *comK* and one of the 990 three biofilm gene reporters, P_{epsA}-lacZ (B, YC160), P_{sinl}-lacZ (C, YC159) and P_{sinR}-991 *lacZ* (D, YC177), were assayed for β -galactosidase activities. Cells were cultured in MSgg in shaking, in the absence $(com K^0)$ or presence $(com K^{++})$ of 10 μ M IPTG to 992 induce comK. Assays were done in triplicates. Error bars represent standard 993 deviations. The *t*-test was applied for statistical analysis. * indicates P value < 0.05, 994 ** indicates P value < 0.005, and *** indicates P value < 0.0005. (E) Fluorescent 995 microscopic analyses of the dual reporter strain (P_{comGA}-gfp and P_{tapA}-mKate2) that 996 997 also contains an IPTG inducible copy of comK (EH44). Cells were grown in shaking 998 MSgg to log phase ($OD_{600}=0.5$), split into three fractions, one without IPTG and the 999 other two with either 50 or 100 µM IPTG, and continued to grow for an hour before 1000 harvested and analyzed under fluorescent microscopy. Scale bars, 10 µm. (F) 1001 Quantitative analyses of the dual reporter activities upon *comK* overexpression. For 1002 each IPTG concentration (0, 50, or 100 µM), individual dots represent results from 4 1003 separate images (in one biological replicate) comprising of about 600-800 cells in 1004 total. Error bars represent standard deviations. The *t*-test was applied for statistical

analysis. *** indicates P value < 0.0005.

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1007 Figure 6. ComK directly binds to the promotor of sinl. (A) Shown is the DNA 1008 sequence of the promoter region of sinl. The Spo0A~P activation site (in yellow and 1009 underlined), the -10 and -35 motives (underlined), and putative ComK boxes (from box 1 to 4) are highlighted (31). (B) Electrophoretic mobility shift assay (EMSA) of 1010 1011 His₆-ComK binding to the promoter of sinl. P_{sinl} was end-labeled with Cy3 dye and used as the DNA probe. Cy3-labeled Pgans was used as a negative control. The far-1012 1013 left lanes are the control of free DNA without proteins. A decreasing gradient of 150, 1014 60, 15, and 7.5 nM of the recombinant His₆-ComK was applied in the lanes in EMSA 1015 as indicated. 200 pmol of fluorescent labelled DNA probe was applied in each lane. (C) Site-directed mutagenesis of the ComK boxes in the *sinl* promoter is indicated. 1016 1017 Nucleotide changes in box 1 (mut1) and box 3 (mut2) are highlighted in red. Changes in box 2 and box 4 are avoided due to their overlap with -10 and -35 1018 1019 promoter motives. (D) β -Galactosidase activities of the cells with an inducible *comK* construct and bearing either wild type P_{sinl} -lacZ or the reporter fusions with indicated 1020 1021 point mutations in the K-box (mut1, mut2, and mut1+2, as shown in C) were 1022 performed. IPTG was added at 10 µM in the media. Cells were grown in shaking MSgg. Samples were periodically collected and assayed for 1023 1024 β -galactosidase activities. Assays were performed at least in triplicates. Error bars 1025 represent standard deviations. 1026 1027 Figure 7. SIrR negatively regulates competence through three distinct 1028 mechanisms. (A) Comparison of the transformation efficiency of the wild type (3610) 1029 and the $\Delta epsH\Delta tasA$ double mutant (YC775). Results were presented as percentage 1030 (%) of the number of transformants relative to the total number of cells. Experiment 1031 was repeated three times. Each dot indicates one biological replicate. Error bars indicate standard deviation. * indicates P value < 0.05. (B) Comparison of the 1032 1033 transformation efficiency between the 7 environmental strains of *B. subtilis* and their respective $\Delta epsA$ -O mutants (4). Strains 168, 3610 and the $\Delta epsA$ -O mutants of 168 1034 1035 and 3610 were also included. Results were shown as fold changes of the CFU 1036 counts during transformation comparing the $\Delta epsA-O$ mutants and the respective

- 1037 wild type strains. Each dot indicates one biological replicate. Error bars indicate
- 1038 standard deviation. * indicates P value < 0.05 and *** indicates P value < 0.0005. (C)

1039 The microscopic images of a *sIrR* inducible strain (YC672). Cells were grown in shaking LB without or with the addition of 100 µM IPTG to induce slrR expression 1040 and cell chaining phenotype. Red indicates cell membrane staining by the 1041 membrane dye FM 4-64. Scale bars, 10 µm. (D) Comparison of the transformation 1042 1043 efficiency of the sIrR inducible strain (YC672) in the absence or presence of 100 µM IPTG. The transformation efficiency is shown as percentage of the number of 1044 transformants vs the total number of cells. The experiment was repeated three times. 1045 1046 Each dot represents one biological replicate. Error bars indicate standard deviation. * indicates P value < 0.05. (E) Fluorescence microscopic analyses of the slrR1047 1048 overexpression strain harboring a fluorescent reporter of P_{srfAA}-gfp (YC1270) in the absence or presence of IPTG to induce *slrR* expression. Cells were grown in shaking 1049 1050 MSgg to early log phase ($OD_{600}=0.3$), and split into two fractions, one without IPTG $(slrR^{0})$ and the other with 100 μ M IPTG $(slrR^{++})$ added to induce slrR expression for 1051 an hour before harvest and analysis of the cells. (F) Quantification of fluorescent 1052 pixel density of the cells in (E) by ImageJ (with MicroJ plugin). More than 200 cells 1053 1054 from each sample were randomly picked for analysis. The results were plotted indicating the difference in P_{srfAA} -gfp activity between without and with slrR 1055 overexpression. The numbers 20.5 ($slrR^{0}$) and 11.5 ($slrR^{++}$) indicate average pixel 1056 density (AU) of the top 50% of the cells in each population. (G) gPCR analyses to 1057 test the negative regulation of SIrR on *srfAA-AD*. Total RNA was prepared from the 1058 slrR inducible strain (YC672) grown with (slr R^{++}) and without (slr R^{0})100 µM IPTG. 1059 1060 Three primer pairs, two for detection of srfAA and one for detection of srfAB, were applied. Each experiment was repeated three times. Each dot indicates one 1061 1062 biological replicate. The error bars indicate standard deviation. * indicates P values < 0.05. ** indicates P value < 0.005. (H) A schematic drawing of how the biofilm 1063 1064 regulator SIrR negatively impacts competence through three distinct mechanisms. In Figure 7, the *t*-test was applied for statistical analysis. 1065 1066

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Strain	Genotype	Reference
B. subtilis		
PY79	SPβ-cured laboratory strain of <i>B. subtilis</i> as a host for transformation	(68)
168	A laboratory strain of <i>B. subtilis</i> as a host for transformation	
NCIB3610	Undomesticated <i>B. subtilis</i> strain capable of biofilm formation	(19)
EH41	sacA::P _{tapA} -mKate2 in 3610, kan ^R	This study
EH43	A dual fluorescent reporter strain of sacA::P _{tanA} -mKate2 and	This study
	amyE::P _{comGA} -gfp in 3610, km ^R , cm ^R	,
EH44	sacA::P _{tapA} -mKate2, amyE::P _{comGA} -gfp, thrC::P _{hpspank} -comK in 3610,	This study
	km ^R , cm ^R , erm ^R	
RL4169	$\Delta sigD::tet$ in 3610, tet ^R	(59)
YC100	$\Delta com K:: kan in 3610, km^R$	This study
YC108	amyE::P _{sinR} -lacZ in 3610, cm ^R	(51)
YC110	amyE::P _{sinl(WT)} -lacZ in 3610, cm ^R	(51)
YC130	$amyE::P_{ensA}$ -lacZ in 3610, cm ^R	(51)
YC157	amyE::P _{hpspank} -comK in 3610, spec ^R	This study
YC159	thrC:: $P_{hospank}$ -comK and amyE:: P_{sinl} -lacZ in 3610, erm ^R , cm ^R	This study
YC160	thrC:: P_{hospank} -comK and amyE:: $P_{\text{ens}A}$ -lacZ in 3610, erm ^R , cm ^R	This study
YC177	thrC:: $P_{hospank}$ -comK and amyE:: P_{sinR} -lacZ in 3610, erm ^R , cm ^R	This study
YC672	Δ slrR::tet, amyE::P _{hospank} -slrR, in 3610, tet ^R , spec ^R	(26)
YC775	$\Delta epsH::tet$ and $\Delta tasA::spec in 3610, tetR, specR$	This study
YC1270	amvE::Phospank-slrR. lacA::Psrf44-gfp in 3610, spec ^R , erm ^R	This study
QS34	$amvE::P_{comG4}-gfp$ in 3610, cm ^R	This study
QS35	thrC::Penank-comK. amvE::Pein/WTI-lacZ in 3610. mls ^R . cm ^R	This study
QS36	thrC::P_popt-comK, amvE::P_pint(mut)-lacZ in 3610, mls ^R , cm ^R	This study
QS37	thrC::P _{snapk} -comK. amvE::P _{sin/(mut2)} -lacZ in 3610. mls ^R .cm ^R	This study
QS38	thrC::Popper-comK, amvE::Popper-lacZ in 3610, mls ^R .cm ^R	This study
QS42	$\Delta deg Q$: spec in Ze90, spec R	This study
QS43	$\Delta degQ$: spec in HS1-1. spec ^R	This study
QS44	$\Delta degQ$::spec in CY35. spec ^R	This study
QS45	$\Delta degQ$: spec in CY54, spec ^R	This study
QS46	$\Delta degQ$: spec in W13-3, spec ^R	This study
QS47	$\Delta degQ$: spec in W14-2, spec ^R	This study
QS48	$\Delta degQ$: spec in H23-4, spec ^R	This study
CY35	An environmental isolate of <i>B</i> , subtilis	(4)
CY54	An environmental isolate of <i>B. subtilis</i>	(4)
H23-4	An environmental isolate of <i>B. subtilis</i>	(4)
HS1-1	An environmental isolate of <i>B</i> subtilis	(4)
W13-3	An environmental isolate of <i>B. subtilis</i>	(4)
W14-2	An environmental isolate of <i>B. subtilis</i>	(4)
Ze90	An environmental isolate of <i>B. subtilis</i>	(4)
CY94	$\Delta degl/in 3610$ tet ^R	This study
CY96	$\Delta degS$ in 3610, tet ^R	This study
CY601	$\Delta degQ$: spec in 3610, spec ^R	This study
CY602	deaQ overexpression in 3610 spec ^R	This study
01002		The olday
E. coli		
DH5a	An <i>E_coli</i> host for molecular cloning	Invitrogen
0516	E coli BI 21/DE3 with the plasmid pOS06	This study
Plasmide		
nYC110	$amvF:P_{1},\dots,P_{r}$	This study
nYC121	amyE::afp(promoterless) in nDG1662 amn ^R sm ^R	(51)
nYC128	thrC:P, $-comK$ in pDG1664 amp ^R erm ^R	This study
nYC166	$amvE^{R}P$ $amvE^{R}C^{R}$	(33)
nOS06	$rFT28a(P_{}h)s6-comk) plasmid kap^{R}$	This study
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Table 1. Strains used in this study.

1069 Table 2. Oligonucleotides used in this study.

primer	sequence (5'-3')
comK-F1	gactaagcttaaggatggaggccataatatg
comK-R1	gactgctagcctaataccgttccccgagctc
PcomK-F1	gtccatatgagtcagaaaacagacgca
PcomK-R1	gtcaagcttctaataccgttccccgag
Psinl _{Mut1} -F	acttttttaccattcgaca <mark>gtg</mark> ttctcgttttttttgagaa
PsinI _{Mut1} -R	ttctcaaaaaaacgagaa <mark>cac</mark> tgtcgaatggtaaaaaagt
Psinl _{Mut2} -F	tcgttttttttgag <mark>tcg</mark> atacgattataataa
PsinI _{Mut2} -R	ttattataatcgtat <mark>cga</mark> ctcaaaaaaaacga
PsinI-F	agaaaaacaggcgctgaaaa
PsinI-R	cagtccggccatgacttatt
PsinI-F4	gtcagaattctttcactgacgtctca
PsinI-R4	gtca <u>aagctt</u> ctcctcctaaaatactt
PganS-F	gtacgaattccggacccgattgcagtgggctg
PganS-R	gtacggatccttcggtaggaatgaaagcgct
PcomGA-F1	gtacgaattctcttgaaaatgaccaaatgaccgg
PcomGA-R1	sgtac <u>aagctt</u> caacgcatattgtagaaaaagaagaaaagg
PdegQ-F	tcggtagaacgaaaaaaagacttg
PdegQ-R	aaacgctctttcgcatagaaagata
srfAA1F	ctttttaccctttaacggatgcaca
srfAA1R	gttttcatctagccgcaaccgaagg
srfAA2F	tttacgcaaatgttcatcacgtgat
srfAA2R	ttcttttgtctctgagccgctggct
srfAB1F	cacaattagagcttgggattcacggc
SrfAB1R	ctgatgcacaaataccgtacggaga

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1072 Supplemental Figure Legends

Supple. Figure 1. DegQ impacts competence negatively in some, but not all, 1073 1074 environmental strains. (A) Transformation efficiency of WT, $\Delta degQ$, degQ1075 overexpression, $\Delta degS$, and $\Delta degU$ mutants of *B. subtilis* 3610. Results were shown 1076 as percentage of the number of transformants vs the number of total cells. Assays were done in triplicates. Error bars represent standard deviations. * indicates P value 1077 1078 < 0.05. The *t*-test was applied for statistical analysis. (B) Comparison of 1079 transformation efficiency between the $\Delta degQ$ deletion mutants and the respective 1080 wild type environmental strains. Numbers in the y-axis represent fold changes comparing CFU counts of the $\Delta degQ$ deletion mutants and those of the respective 1081 1082 wild type strains. Assays were done in triplicates. Error bars represent standard deviations. (C) DNA sequence alignments of the degQ promoter region from 7 1083 1084 environmental strains plus 168 and 3610. Newly identified nucleotide changes in 1085 environmental strains, which correspond to the -28, -48, and -77 positions relative to the *degQ* transcription start, are highlighted in red boxes. The previously identified 1086 1087 single nucleotide change in 168 is highlighted in the blue box.

1088

1089 Supple. Figure 2. Matrix producers and competent cells are mutually exclusive

in the 3610 biofilm. Fluorescent microscopic analyses of cells collected from a *B*. *subtilis* 3610 pellicle biofilm bearing dual fluorescent reporters of P_{comGA} -gfp and P_{tapA} -*mKate2* (EH43). In the above overlay images, the activity of P_{tapA} -*mKate2* (cells 1093 in red) indicates expression of the key biofilm matrix operon *tapA-sipW-tasA* while P_{comGA} -gfp reports a late competence gene *comGA* (cells in green). Scale bar, 10 µm.

- 1095 Scale bar is representative to all images in the figure.
- 1096

1097 Supplemental Figure 3. (A) Mild overexpression of *comK* does not cause

1098 **growth inhibition.** The wild type strain harboring an IPTG-inducible copy of *comK*

- 1099 (YC142) was inoculated in MSgg broth and grown at 37°C over a period of 10 hours.
- 1100 IPTG was either not added $(comK^0)$ or added to the medium at the final
- 1101 concentration of 10 μ M to induce mild *comK* overexpression (*comK*⁺⁺). Cell were
- 1102 periodically collected and cell optical density (O.D.₆₀₀) was measured. (B)
- 1103 Comparison of transformation efficiency between the epsA-O mutants of the
- 1104 environmental strains and their respective wild type strains. Results were presented

- in log scale as percentage of the number of transformants vs the number of total
 cells. Experiment was done in triplicates. Error bars represent standard deviations. *
 indicates P value < 0.05; *** indicates P value < 0.0005. The *t*-test was applied for
 statistical analysis.
- 1110 Supplemental Figure 4. Δ*sigD* cells form long chains and demonstrate very
- 1111 **low transformation efficiency. (A)** The microscopic images of the wild type (3610)
- 1112 cells and the $\Delta sigD$ mutant (RL4169), known to form long cell chains due to lack of
- 1113 SigD-controlled autolysin activities. Red indicates cell membrane staining by the
- 1114 membrane dye FM 4-64. Scale bar, 10 μ m. Scale bar applies to both images here.
- 1115 **(B)** Comparison of transformation efficiency of the wild type (3610) and the $\Delta sigD$
- 1116 mutant (RL4169). The $\Delta comK$ mutant (YC100) is known to be deficient in
- 1117 transformation and used as a negative control in the experiment. Assays were done
- 1118 in triplites. Error bars represent standard deviations. The *t*-test was applied for
- 1119 statistical analysis. ** indicates P value < 0.005.
- 1120





















aaaggtatattggaaaaaaattctggtgatttaatggcaaatgacttccag agactaatgaagcatacaataagtcatggccggactggctgaaatacataa acaagtattttaggaggagaaactgc**atg(sinI)**











