1   Epigenetic signatures associated with the observed link between maternal tobacco

2   use during pregnancy, and offspring conduct problems in childhood and adolescence

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29   Abstract

30   Background

31   Metastable epialleles (MEs) are loci at which epigenetic regulation is established
32   during development and subsequently maintained throughout life. Consequently,
33   individuals can have the same genetic sequence, yet their epigenetic regulation of
34   the underlying sequence can vary. MEs can be independent of genetic variation and
35   may be induced by environmental exposures. Maternal tobacco smoking during
36   pregnancy can alter offspring DNA methylation, hence there is potential for MEs to
37   be induced during development in response to maternal tobacco smoking during
38   pregnancy. Furthermore, associations between maternal tobacco use during
39   pregnancy and conduct problems (CP) in offspring exposed to tobacco smoke *in*
40   *utero*, have been observed. However, currently, we do not know what molecular
41   mechanism may link these associations.

42   Results

43   We investigated the observed link between maternal tobacco use during pregnancy
44   and CP outcomes in exposed offspring. Individuals who were exposed to tobacco *in*
45   *utero* via maternal smoking, and unexposed individuals, both with and without CP,
46   were selected from the Christchurch Health and Development Study (CHDS), a
47   longitudinal birth cohort studied for over 40 years in New Zealand. Bisulfite-based
48   amplicon sequencing (BSAS) was used to investigate DNA methylation differences
49   and potential MEs between the groups at high risk loci. We identified nominally
50   significant differential DNA methylation at specific CpG sites in individuals with CP
51   who were exposed to tobacco *in utero*. This differential methylation appears to be
52   specific to *in utero* tobacco exposure, and interacts with CP. Given its association
53   with the *in utero* environment we hypothesise that these methylation changes may
54   represent MEs.

55   Conclusion

56   We conclude that environmentally-induced DNA methylation differences may play a
57   role in the observed link between maternal smoking during pregnancy and
58   childhood/adolescent CP, potentially via the generation of MEs. Larger sample sizes
59   and a genome-wide approach are required to investigate this association further.

Introduction

The use of tobacco during pregnancy is one of the leading causes of perinatal compromise for developing offspring, and one of the most preventable [1]. For example, low birth weight [2], congenital heart anomalies [3], asthma/respiratory illness [4, 5], and sudden infant death syndrome (SIDS)[6] are all associated with maternal tobacco use during pregnancy, the rate of which remains relatively high in New Zealand (18.4% [7]), despite declining tobacco use rates overall [8].

While immediate perinatal compromise in infants due to maternal smoking is well documented, the long term effects into later childhood, adolescence and adulthood are not understood. There is increasing evidence of linkages between maternal tobacco use in pregnancy and later risks of mental health and related adjustment problems in childhood and adolescence. In particular, there is evidence that maternal smoking during pregnancy is associated with increased risks of conduct disorders and antisocial behaviours in offspring [9] [10-12]. This association is not explained by post-natal environment [13]. Further associations have been identified between maternal tobacco use during pregnancy and the increased risk of cardiometabolic disease [14], and the development of attention-deficit hyperactivity disorder (ADHD) [15]. Also affected are offspring neurodevelopment and behaviour, suggesting that poor behavioural adjustment (often termed 'conduct problems', CP) can be considered a consequence of maternal smoking during pregnancy [9]. While these traits in themselves can be linked to other societal risk factors such as low socioeconomic status and early-life adversity [16], their association with maternal tobacco use during pregnancy is intriguing. Understanding the link between exposures such as tobacco use during pregnancy and the association with conduct disorder is crucial to further our understanding the paradigm of the developmental origins of human health and disease (DOHaD) [17].

Recent research has demonstrated links between prenatal tobacco exposure and specific DNA methylation patterns of newborn offspring [18-21]. Tobacco-induced DNA methylation changes can persist into adolescence [22] [21, 23] with potential for these unexplained marks to be inherited by future generation of offspring of exposed individuals [24]. Further, meta-analyses of multiple CpG sites in the gene, *GFI1* (Growth Factor Independent one transcriptional repressor) were found to be

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94 differentially methylated in adult offspring in response to being exposed to tobacco *in*
95 *utero*, at multiple sites within the gene [25]. However, these studies are limited in
96 their scope - they provide evidence for differential DNA methylation induced in both
97 children and adults by tobacco exposure *in utero*, but do not relate these DNA
98 methylation changes to a phenotype that is associated with *in utero* tobacco
99 exposure. Thus, while limited preliminary work has been carried out, in which three
100 loci which indicated modest DNA methylation changes in response to maternal
101 smoking during pregnancy and CP phenotypes [26], the etiology of this link has not
102 been fully explored. One potential mechanism is that differential DNA methylation
103 caused during the *in utero* time period is playing a role later in life of the affected
104 offspring via the *in utero* generation of metastable epialleles (MEs). Evidence at this
105 stage has largely come from animal studies, where *in utero* exposures cause the
106 development of MEs [27-29]. Potentially these *in utero* exposures can generate
107 permanent epigenetic changes to the genome [30] that may contribute to an
108 individual's phenotype later in life [29-32]

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110 Thus, given: i) the fact that maternal tobacco smoking during pregnancy is linked to
111 offspring conduct problems during early childhood and adolescence, and; ii) that
112 maternal tobacco use during pregnancy can affect DNA methylation of offspring
113 through to adolescence and adulthood, and; iii) that *in utero* exposures can create
114 permanent epigenetic changes that can affect health in later life, here we
115 hypothesise that DNA methylation is altered at genes involved in *in utero* brain
116 development, and in those that associate with CP phenotypes, in the adult offspring
117 of individuals who were exposed to tobacco *in utero*.

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119 To test this hypothesis, we quantified DNA methylation at a suite of genes with
120 known roles in *in utero* neurodevelopment and CP phenotypes, to assess whether
121 DNA methylation may be implicated in the interaction between maternal tobacco use
122 during pregnancy and the development of conduct problems in offspring.

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124 We applied a targeted approach via bisulfite-based amplicon sequencing (BSAS) of
125 each gene in our panel, to interrogate differential methylation in the DNA of
126 participants from the Christchurch Health and Development Study (CHDS) whose

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127 mothers consumed tobacco during pregnancy (offspring DNA collected from whole
128 blood at ~28 years of age). We partitioned the analyses into multiple subsets (Table
129 1) to show that differential methylation in this panel of genes is most fully explained
130 by the specific analysis of individuals exposed to tobacco *in utero* with CP, vs. those
131 that were not exposed *in utero*. Further, we show that these specific methylation
132 changes, in response to *in utero* tobacco exposure are independent of adult smoking
133 status, implying that the changes we observe in methylation status of these genes
134 are permanent epigenetic changes induced during *in utero* exposure, indicating their
135 potential as metastable epialleles.

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138 *Table 1 - CHDS subsets selected for analysis. The range of conduct problem scores in each category is*
139 *indicated in brackets. A score of 53 or more is the top quartile for CP, a score of 60 or more the top decile for*
140 *CP.*

		Group 1 Exposed *in utero* and never smokers	Group 2 Exposed *in utero* and a regular smoker	Group 3 Not *exposed in utero* and never smokers
		n= 32	n= 32	n= 32
Sex				
	Male	69%	72%	60%
	Female	31%	28%	40%
Tobacco smoking status at the time of blood collection				
	Never	100%	0%	100%
	Regular	0%	100%	0%
Conduct problem score (CPS)				
	Low CPS (<46)	n= 16 (42-46)	n= 16 (42-46)	n= 16 (41-43)
	High CPS (>53)	n= 16 (53-75)	n= 16 (60-85)	n= 16 (53-68)

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143   **Results**

144   Here we assessed DNA methylation in 10 separate genes. DNA sequence data for

145   15 amplicons (Supplementary Table 1) was generated, comprising a total of 280

146   CpG sites. These CpG sites included a combination of sites previously identified as

147   differentially methylated, as well as amplification of all CpGs within the promoter

148   region of genes associated with *in utero* neurodevelopment and CP phenotypes

149   (Table 2). Differential methylation across these CpG sites was fitted to four separate

150   statistical models (see Methods) and calculated to address whether any were

151   specifically differentially methylated in individuals with CP, in response to *in utero*

152   tobacco exposure.

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170   *Table 2 - Genes selected to investigate the link between in utero tobacco exposure and CP.*

Gene	Function	Significance
AHRR [33-37]	Mediates toxicity of dioxin (found in cigarette smoke)	Hypomethylated in tobacco smokers and their offspring
ASH2L [38]	Histone lysine methyltransferase	Associated with schizophrenia
BDNF [39, 40]	Nerve growth factor	Promotes neuronal survival. Implicated in neurodegenerative disease
CNTNAP2 [34, 41, 42]	Neurexin family – functions in vertebrate nervous system	Implicated in schizophrenia, autism, ADHD, intellectual disability. Hypomethylated in offspring of maternal smoking
CYP1A1 [33-37, 43]	Monooxygenase – expression is induced by hydrocarbons found in cigarette smoke	Hypomethylated in offspring of maternal smoking
DUSP6 [44]	Protein phosphatase, cellular proliferation and differentiation	Regulates neurotransmitter homeostasis
GFI1 [33, 36, 37]	Zinc finger protein - transcriptional repressor	Part of a complex that controls histone modifications and gene silencing. Hypermethylated in offspring of maternal smoking
GRIN2B [45]	Glutamate receptor – expressed early in the brain and is required for normal brain development	Mutations associated with autism, ADHD, schizophrenia
MEF2C [44]	MEF2C is associated with hippocampal-dependent learning and memory	MEF2C is crucial for normal neuronal development. Associated with ADHD
PRDM8 [41]	Histone methyltransferase - Controls expression of genes involved in neural development and neuronal differentiation	Hypomethylated in offspring of maternal smoking

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172   Quantification of DNA methylation at previously reported CpG sites in

173   response to *in utero* exposure to tobacco – Model 1

174   Initially, we attempted to validate in our cohort (age ~28-30 years) 5 CpG sites which

175   have been previously reported to be differentially methylated in the DNA of cord

176   blood from newborns, and whole blood from children and adolescents (ages

177 newborn to 17) in response to *in utero* tobacco exposure (Table 3). Data were
178 partitioned into those individuals exposed *in utero*, and those who were not (Model 1,
179 Methods).

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181 *Table 3 - Previously reported CpG sites showing differential DNA methylation in response to in utero tobacco*
182 *exposure, and their average methylation values in individuals from this cohort (Model 1).*

Gene	Illumina ID	Exposed *in utero* β value	Non-exposed *in utero* β value	β difference	P Value
AHRR	cg05575921	72.287	75.448	-3.161	0.022
CNTNAP2	cg2594950	3.8457	3.8600	-0.014	0.991
CYP1A1	cg05549655	26.894	21.699	5.195	0.425
GFI1	cg09935388	75.151	75.330	-0.582	0.055
GFI1	cg09662411	95.837	97.400	-1.583	0.274

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185 *AHRR* (cg05575921) displayed a 3.1% decrease in DNA methylation between
186 exposed and non-exposed individuals, at a nominal P-value of 0.02. This site has
187 been previously identified as hypomethylated in adult tobacco smokers, as well as in
188 postnatal cord blood samples between *in utero* tobacco-exposed and non-exposed
189 individuals. The probe cg05549655 in the gene *CYP1A1* displayed a 5.19% increase
190 in DNA methylation in the *in utero*-exposed group, however, this site did not reach
191 nominal statistical significance in our cohort. Cg09935388 and cg09662411 in *GFI1*
192 were unable to be replicated as differentially methylated between the exposed and
193 the non-exposed groups (no significant change in β values). Both CpG sites did
194 show hypomethylation, supporting previous observations of differential methylation
195 within this gene. *CNTNAP2* (cg2594950) was similarly unable to be validated in our
196 cohort.

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198 **Differentially methylated CpGs by *in utero* tobacco exposure status**

199 Data were partitioned according to *in utero* exposure status only (exposed vs.
200 unexposed) using Model 1 (Methods). Of the 10 genes (encompassing a total of 280
201 CpG sites) selected for BSAS, 6 genes showed nominally significant differential
202 methylation between *in utero*-exposed and non-exposed controls, across 22 different
203 CpG sites that resided in those regions: *AHRR2*, *GRIN2b*, *GFI1*, *BDNF*, *ASH2L* and
204 *DUSP6* (Table 4). The remaining genes, *CNTNAP2*, *MEF2C*, *SLC9A9* and *CYP1A1*,
205 showed no differential methylation across the region in response to *in utero* tobacco
206 exposure alone.

207 *Table 4 - Top CpG sites found to be nominally significantly differentially methylated (unadjusted P < 0.05) in*
208 *response to* in utero *tobacco exposure (Model 1). Asterisk, *, indicates CpG sites in genes identified as*
209 *differentially methylated in response to adult smoking status (Supplementary Table 3). Abbreviations: FC, fold*
210 *change; CPM, counts per million; FDR, FDR-corrected P value.*

Gene	Illumina ID, CpG site location	Log FC	Average Log CPM	P Value	FDR
*AHRR	Chr5, 373398	-0.369	12.699	0.0009	0.187
*GFI1	Chr1, 92946546	-0.588	12.284	0.002	0.192
*BDNF	Chr11, 27743856	-1.323	10.237	0.004	0.192
*GRIN2b	Chr12, 14133243	2.100	10.113	0.004	0.192
*GFI1	Chr1, 92947559	-0.507	9.0675	0.005	0.192
*GFI1	Chr1, 92947752	-0.433	9.8441	0.006	0.192
GRIN2b	Chr12, 14133359	1.789	10.523	0.007	0.192
*GFI1	Chr1, 92946452	-0.374	12.211	0.008	0.192
*GIF1	Chr1, 92946429	-0.558	12.163	0.009	0.192
BDNF	Chr11, 27743594	-0.773	11.078	0.010	0.192
GFI1	Chr1, 92946514	-0.477	10.053	0.011	0.200
*BDNF	Chr11, 27743729	-1.266	8.550	0.016	0.262
GFI1	Chr1, 92946568	-0.339	12.218	0.019	0.284
*AHRR	cg05575921	-0.270	12.687	0.022	0.291
AHRR	Chr5, 373355	-0.228	12.749	0.022	0.291
*GIF1	Chr1, 92946418	-0.512	12.160	0.030	0.365
DUSP6	Chr12, 89746641	-0.635	10.060	0.033	0.371
GFI1	Chr1, 92946434	-0.314	12.193	0.035	0.371
GFI1	Chr1, 92946340	-0.368	12.360	0.047	0.413
*GFI1	Chr1, 92946132	-0.420	12.295	0.048	0.413
DUSP6	Chr12, 89746479	0.813	10.285	0.049	0.413
ASH2L	Chr8, 37962720	0.692	11.626	0.049	0.413

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212   The top log fold changes (2.1 and 1.78) in differential methylation between *in utero*

213   exposed individuals verses non-exposed individuals both come from CpG sites in

214   *GRIN2b* (Chr12: 14133243 and Chr12: 14133359), followed by two further larger log

215   fold changes in two CpG sites in *BDNF* (Chr11:, 27743857 and Chr11:, 27743730).

216   A MA plot of the log average difference between individuals exposed *in utero*, and

217   non-exposed individuals (Figure 1,Table 4) indicates those sites with the highest log

218   fold changes, and demonstrates the direction of change in methylation of the 22

219   nominally significantly differentially methylated CpGs ($P < 0.05$); 4 are

220   hypermethylated (pink) and 18 are hypomethylated (cyan).

221

222   Data were then partitioned based upon CP and non-CP status (Model 2). A total of

223   nine CpG sites were found to be differentially methylated (Supplementary Table 2).

224   Four CpG sites were independent of *in utero* exposure, and the remaining five were

225   also differentially methylated in response to *in utero* exposure.

226

227   Differential methylation in response to adult smoking status (Model 3)

228   Smoking in adulthood was assessed for its confounding effect on DNA methylation

229   across the amplicons of genes of interest. The data was partitioned into those

230   individuals who were tobacco smokers in adulthood, and those who were never

231   smokers. When differential methylation was calculated in smokers vs. never

232   smokers, 26 out of 280 CpG sites in total were identified as significantly differentially

233   methylated (nominal $P < 0.05$, Supplementary Table 3). These loci were in general

234   hypomethylated, consistent with the literature for the same or near sites with the only

235   hypermethylated site located in the GRIN2b promoter. There were a total of 12 CpG

236   sites that were also found to be differentially methylated in response to both of the

237   univariate analyses of adult smoking status and *in utero* exposure (* in Table 4). 14

238   CpG sites were found solely to be differentially methylated in response to adult

239   smoking status and 10 CpG sites differentially methylated only in response to *in*

240   *utero* exposure.

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242 **Differentially methylated CpGs dependent on both *in utero* tobacco exposure**
243 **and CP (Model 4)**

244 Differential methylation dependent on both in utero exposure and conduct problem
245 score was found at 10 loci in 6 genes at nominal significance level, none were
246 significant after correcting for false discovery rate (Table 5).

247 For 9 out of the 10 sites (all except *DUSP6*) there was more differential methylation
248 between *in utero* exposure states for high conduct scores than low conduct scores.
249 CpG sites within *CYP1A1*, *GFI1*, ASH2L, *and GRIN2b* were nominally significantly
250 differentially methylated P < 0.05 in the DNA of *in utero*-exposed individuals with
251 high CP score (Model 4, Table 5).

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253 *Table 5 - CpG sites where differential methylation between conduct problem scores differs with in utero*
254 *exposure at P<0.05.* Log Fold Change (FC) and P values (unadjusted) from log ratio tests for the effect on normalized
255 methylation ratios of: (1) interaction between *in utero* exposure and Conduct Problem Score, (2) In utero exposed - non-
256 exposed contrast within Low CPS and (3) within High CPS participants. Loci with nominally significant (P<0.05) interaction
257 shown, all FDR P values > 0.05.

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Gene	CpG location	Interaction[1]		Low CPS[2]		High CPS[3]	
		Log FC	P value	Log FC	P value	Log FC	P value
CYP1A1	Chr15, 75019290	-2.013	0.010	0.344	0.493	-1.669	0.005
GFI1	Chr1, 92947705	-0.957	0.011	0.002	0.992	-0.955	0.001
ASH2L	Chr8, 37962878	1.257	0.024	-0.447	0.253	0.811	0.042
MEF2C	Chr5, 88179596	-1.679	0.040	0.678	0.174	-1.000	0.122
DUSP6	Chr12, 89746588	-1.444	0.041	0.864	0.107	-0.580	0.204
ASH2L	Chr8, 37962657	-0.199	0.042	0.052	0.455	-0.147	0.033
CYP1A1	Chr15, 75019127	-1.221	0.045	0.403	0.319	-0.819	0.072
ASH2L	Chr8, 37962901	1.250	0.046	-0.561	0.205	0.688	0.121
GRIN2b	Chr12, 14133359	2.711	0.048	0.121	0.903	2.832	0.004
MEF2C	Chr5, 88179541	-1.336	0.050	0.615	0.139	-0.720	0.190

259 Negative log fold change values for the significantly differentially methylated sites
260 within the high conduct problem score group correspond to hypomethylation within
261 the exposed group, whereas positive log fold changes correspond to
262 hypermethylation in the *in utero* exposed group as the log normalized ratios are

263   negative, three examples are shown in Figure 2. These associations were not

264   detected when data was partitioned and analysed to assess the impact of CP only

265   on DNA methylation (Model 2, Supplementary Table 2).

266

267   **Discussion**

268   *In utero* tobacco exposure is known to alter DNA methylation at the genome-wide

269   level in offspring [18, 19] [20, 21]. The later-life implications of these tobacco-induced

270   DNA methylation changes are unclear, however, an association between *in utero*

271   tobacco exposure and CP has previously been observed [26]. Given the complex

272   etiology of conduct disorder phenotypes [46-48] and the vast array of socioeconomic

273   variables associated with tobacco use [49], proving a causal link between maternal

274   smoking and offspring CP is inherently challenging. However, here we provide

275   preliminary evidence of tobacco-induced DNA methylation changes that associate

276   with conduct problem phenotypes in offspring exposed to tobacco *in utero* (via

277   maternal smoking), within a panel of genes that have known roles in *in utero* brain

278   development and conduct problem phenotypes.

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280   **Validation of previously identified differentially methylated CpG from *in utero***

281   **tobacco exposure**

282   First, we asked whether differentially methylated CpGs that have been previously

283   associated with *in utero* tobacco exposure were supported by this cohort. Here, we

284   present validation of differential methylation of a CpG site within the gene *AHRR*

285   (cg05575921). *AHRR* is a well-defined tobacco smoking gene, which is consistently

286   represented in tobacco methylation data. *AHRR* has previously been found to be

287   differentially methylated in response to *in utero* tobacco exposure [22, 35, 50]. We

288   find that this particular CpG within *AHRR* remains differentially methylated in

289   response to *in utero* tobacco exposure in our adult cohort at age ~28-30 (Table 3).

290   However, in this study, differential methylation at this CpG site was also explained by

291   adult smoking status (Supplementary Table 3). Four other CpG sites investigated

292   here due to previous association with *in utero* tobacco exposure were not

293   differentially methylated in our data. However, the direction of methylation change

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294 was supported at all five sites investigated [37, 51, 52]. We suggest that further

295 investigation in a larger cohort may lead to nominal significance at the sites in

296 *CYP1A1, CNTNAP2,* and *GFI1.*

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298 Given this finding, we further propose that the differential methylation at *AHRR*

299 identified here, in adults, that specifically associates with *in utero* tobacco exposure,

300 may represent a metastable epiallele; the stable integration of differential methylation

301 during fetal development, in response to *in utero* maternal tobacco exposure at

302 specific CpG sites, which remain stable through adulthood. Given the nature of

303 methylation at this site, it is possible that differential methylation at *AHRR* represents

304 a permanent epigenetic change in offspring. The status of metastable epialleles at

305 *AHRR* is supported by the finding that *AHRR* is differentially methylated in cord

306 blood of newborns as well as blood samples from childhood and adolescence [14,

307 21, 51], and through to adulthood as identified in this study. Further exploration of

308 *AHRR* methylation status in a longitudinal cohort with access to DNA from multiple

309 timepoints would allow a more robust assessment of this question.

310

311 **Identification of *in utero* exposure-related differentially methylated CpGs**

312 Next, we compared all individuals exposed to tobacco *in utero*, to individuals not

313 exposed to tobacco *in utero,* and we identified a large number of differentially

314 methylated CpG sites (22, Table 4). Of these, 20 represent novel sites, which are not

315 target CpG sites in the Illumina EPIC array system. Thus, these sites were unable to

316 be previously identified as differentially methylated in response to *in utero* tobacco

317 exposure. This highlights the benefits of the BSAS method, which enables estimates

318 of differential methylation of all CpGs within a particular amplicon [53]. Further, the

319 novel CpG sites we identify here are all in relatively close proximity to one another,

320 suggesting that these sites may represent differentially methylation regions.

321 Differentially methylation regions have important roles in regulating gene expression,

322 thus potentially leading to changes in phenotype that could have detrimental health

323 outcomes [54]. The identification here of differential methylation across multiple CpG

324 sites within genes, rather than just one specific CpG site, illustrates that a gene

325 regulation process directly related to *in utero* tobacco exposure may be associated

326   with CP. None of the 22 CpG sites identified as being differentially methylated in

327   response to *in utero* tobacco exposure remained significantly differentially

328   methylated after FDR correction, which was expected because of small sample size.

329   However, while our data are nominally significant, it does suggest that *in utero*

330   tobacco exposure may be affecting DNA methylation at CpG sites within genes that

331   had no overlap with adult smoking status in this study. Nevertheless, we note that

332   the CpG sites that were identified in both the *in utero* exposure model (Model 1) and

333   the adult smoking model (Model 3) cannot yet be ruled out as being differentially

334   methylated as a consequence of *in utero* exposure. This is because the identification

335   of differential methylation in both models here may be due to either the *in utero*

336   environment, or the effect of adult smoking on DNA methylation.

337

338   Some changes in response to adult smoking status and *in utero* exposure

339   unable to be differentiated

340   We assessed what effect adult smoking status was having on differential methylation

341   within well studied genes, in order to determine differential methylation patterns

342   specifically impacted by *in utero* tobacco exposure. The premise here was that CpG

343   sites which were not identified in response to adult smoking status would indicate

344   that the differential methylation we identify was much more likely to be induced

345   during development, and not a by-product of adult smoking status. When the data

346   were partitioned based on adult smoking status (Model 3), we identified 26

347   differentially methylated CpGs (Supplementary Table 3). Of these, 12 CpG sites

348   overlapped with the CpG sites found to be differentially methylated when the data

349   was partitioned based upon *in utero* tobacco exposure status (Table 4, Model 1).

350   This indicates that differential DNA methylation identified in genes which overlap

351   between Models 1 and 3 may be explained by adult smoking status, or *in utero*

352   exposure. However, the remaining ten CpG sites observed in our panel of genes are

353   not explained by adult smoking status. This implies that differential methylation at

354   these CpG sites is explained more fully by *in utero* tobacco exposure, and provides

355   confidence that the differential methylation we observe within these genes is more

356   likely due to the *in utero* environment, than to adult smoking. We cannot ignore the

357   fact that adult tobacco smoking may still be playing a role in differential DNA

methylation at these sites, but it does not appear to explain the variation in methylation we observe at the sites investigated in this study as fully as the *in utero* environment.

Differential methylation within *AHRR* (cg05575921) was explained by adult smoking status in this study (Supplementary Table 3). This was an expected result as this site is one of the most pronounced and associated sites found to be differentially methylated in tobacco smoking [55, 56]. This site, however, also showed nominal significance in response to *in utero* maternal tobacco exposure. The reason for this may be due to the study design; this study was limited by sample size, and as such, distinguishing between adult smoking status and *in utero* tobacco exposure is difficult; CpG sites which could show differences in response to both variables may have skewed the results when independently assessing them within this relatively small sample.

Tobacco smoking is known to greatly affect DNA methylation, and because the DNA samples used in this study are from individuals who were between 28 and 30 years old, adult smoking is closer temporally than *in utero* exposure. Thus we hypothesise that the data used in the *in utero* exposure model could be expected to be confounded to some extent by adult smoking status, meaning that, in these data, differential methylation at certain sites can be explained independently by both *in utero* tobacco exposure and adult smoking status. Further investigations in larger cohorts, preferably at the genome-wide level, are required. To further rule out adulthood smoking status as an explanatory factor in the differential methylation we observe within our panel of brain development and CP genes, this study should be expanded to include an additional group of individuals that were not exposed to tobacco *in utero*, but are smokers as adults.

Identification of *in utero* exposure-related differentially methylated CpGs that are specific to individuals with conduct problem (CP)

An overwhelming amount of epidemiological data has shown an increased association between *in utero* tobacco exposure and behavioural disorder in children and adolescents [57, 58]. Thus, here, we investigated DNA methylation changes induced by *in utero* tobacco exposure as a potential molecular mechanism of

390 dysfunction that could link the phenotypic trait of conduct problem to maternal
391 tobacco use during pregnancy. We therefore analysed DNA methylation patterns
392 within our gene panel in response to *in utero* tobacco exposure and its interaction
393 with CP status. A total of 10 CpG sites in six genes were found to display nominal
394 significance in DNA methylation in response to *in utero* tobacco exposure and CP in
395 this cohort (Table 5, Model 4). Differential methylation at none of these CpG sites
396 could not be explained by adult smoking status.

397 The candidate genes explored here have been shown to be differentially methylated
398 in response to both adult smoking and *in utero* smoking. We observed that when *in*
399 *utero* smoking and conduct problem score were considered together, differential
400 methylation attributed to *in utero* exposure was significantly different in those with
401 high conduct problem scores than in those with low conduct problem scores. In the
402 10 loci we identified with interactive differential methylation, all but the loci in *DUSP6*
403 showed greater magnitude differential methylation in high conduct problem scores
404 (exposed *in utero* vs. non-exposed with high CPS), with reduced reversed or no
405 evidence of differential methylation at the same sites with low conduct problem score
406 . While we cannot assert causality, our results are consistent with *in utero* smoking
407 altering methylation at loci associated with neural phenotypes which persist into
408 adulthood and are then associated with increased risk of conduct problems.

409 Our results indicate that *in utero* tobacco exposure is associated with a greater level
410 of *MEF2C* hypomethylation in participants who were exposed to tobacco *in utero*
411 with CP in this cohort, although not at the FDR significance level. We identified
412 differential methylation at two CpG sites that are located next to each other within the
413 gene *MEF2C* (chr5, 88179596 and 88179541). *MEF2C* (Myocyte enhancer factor
414 2C) is a transcription factor which regulates gene expression for development and
415 maintenance in a variety of tissues [59]. It has been shown to play an important role
416 in the brain [60-64], particularly, in neuronal migration and neuronal differentiation
417 [65-67]. More so, *MEF2C* in plays a role in neural crest formation during
418 development, where tissue-specific inactivation of the gene results in embryonic
419 lethality [68]. Further, *MEF2* interacts with oxytocin, which is affiliated with prosocial
420 behaviours [69, 70]. Alterations to oxytocin have been shown to change the
421 morphology of neurons via *MEF2A* [71, 72]. Functional roles of the gene in relation

422   to early neuronal development still remain unclear, however it is thought to play
423   crucial role [73].

424   Three CpG sites from the gene *ASH2L* (ASH2 like histone lysine methyltransferase
425   complex subunit) were also found to display differential methylation in response to *in*
426   *utero* tobacco exposure and CP. *ASH2L* has been found to interact with *MEF2C* to
427   mediate changes in histone 3 lysine 4 trimethylation (H3K4me3 [74]). Recent
428   research in animal models suggests that nicotine-dependent induction of the *ASH2L*
429   and *MEF2C* complex during development induces alterations that could lead to
430   fundamental changes in the brain. These consist of dendritic branching and
431   hypersensitive passive avoidance behaviour which is a consequence of
432   developmental nicotine exposure [74]. Our findings support this hypothesis by
433   providing molecular evidence of CpG site alterations in these genes via *in utero*
434   tobacco exposure in individuals with high CP score.

435   However, these sites were not differentially methylated in response to CP vs non-CP
436   alone (Model 2, Supplementary Table 2), suggesting that DNA methylation changes
437   in developmental genes are both induced by maternal tobacco use during
438   pregnancy, and involved in pathways in development of CP phenotypes. Further, the
439   persistence of specific *in utero* related DNA methylation changes into adulthood, as
440   identified here, indicates that methylation differences at these genes may be induced
441   during development and stable over the life course, potentially indicating the
442   presence of metastable epialleles within these genes.

443   Although adult smoking status was the only other variable able to control for in this
444   study we cannot account for many other confounding variables when assessing *in*
445   *utero* effects. Other genetic factors such as sex and ethnicity, as well as social
446   interactions of economic status are all confounding variables. Ideally, this study
447   should be repeated in a larger cohort to further for assess these confounding
448   variables on *in utero* tobacco exposure.

449   **Conclusion**

450   Here we have presented preliminary data to suggest that the association between
451   maternal tobacco use during pregnancy and the development of CP in children and
452   adolescents may in part be mediated by altered DNA methylation, induced by *in*
453   *utero* tobacco exposure during development, at genes that have roles in *in utero*

454 brain development and CP phenotypes. We acknowledge the limitations of this

455 study described above, however, the data presented here are suggestive of a role

456 for DNA methylation in the link between *in utero* tobacco exposure and offspring CP.

457 Our findings should stimulate further study using a larger sample size, preferably

458 with analysis at the genome-wide level.

459 **Abbreviations**

460 CP   Conduct problems

461 CHDS Christchurch health and development study

462 BSAS Bisulfite based amplicon sequencing

463 SIDS   Sudden infant death syndrome

464 ADHD Attention-deficit hyperactivity disorder

465 DOHaD Developmental origins of human health and disease

466 GFI1   Growth Factor Independent one transcriptional repressor

467 CPS   Conduct disorder score

468 AHRR   Aryl hydrocarbon receptor repressor

469 ASH2L ASH2 like histone lysine methyltransferase complex subunit

470 BDNF Brain-derived neurotrophic factor,

471 CNTNAP2 Contactin associated protein 2

472 CYP1A1 Cytochrome P450 Family 1 Subfamily A Member 1

473 DUSP6 Dual specificity phosphatase 6

474 GRIN2b Glutamate Ionotropic Receptor NMDA Type Subunit 2B

475 MEF2C Myocyte enhancer factor 2C

476 PRDM8 PR/SET Domain 8

477 FC Fold change

478 CPM   Counts per million

479 FDR   False discovery rate

480

481 **Methods**

482 **Sample**

483   A sub-group of individuals from the CHDS were selected for this study (Table 1).
484   This longitudinal originally included 97% of all the children (n = 1265) born in the
485   Christchurch, New Zealand urban region during a period in mid-1977 and has been
486   studied at 24 time points from birth to age 40 (n = 987 at age 30). All participants
487   were aged between 28-30 when blood samples and DNA was extracted. For the
488   subsets studied in this report, CHDS participants were chosen based on their *in*
489   *utero* tobacco exposure status, their adult smoking status, and their CP scores.
490   Group 1 consisted of individuals who were exposed *in utero* to tobacco smoke, and
491   never smokers at the time blood samples were taken (n=32). Group 2 consisted of
492   individuals who were exposed *in utero* to tobacco smoke and were themselves
493   regular smokers at the time the blood was taken (n=32). Group 3 consisted of
494   individuals who were not exposed to tobacco *in utero*, and never smokers at the time
495   blood was taken (n=32). *In utero* tobacco exposure was defined as 10+ cigarettes
496   per day throughout pregnancy. Within each group, 16 individuals were selected with
497   a 'high' score on a measure of childhood conduct problems at age 7-9 years and 16
498   with a 'low' score. Severity of childhood conduct problems was assessed using an
499   instrument that combined selected items from the Rutter and Conners child
500   behaviour checklists [75-78] as completed by parents and teachers at annual
501   intervals from 7-9 years. Parental and teacher reports were summed and averaged
502   over the three years [79] to derive a robust scale measure of the extent to which the
503   child exhibited conduct disordered/oppositional behaviours (mean (SD)=50.1(7.9) ;
504   range 41-97). For the purposes of this report a 'high' score was defined as falling
505   into the top quartile of the score distribution (scores>53) and a 'low' score was
506   defined as scores<46.

Bisulfite-based amplicon sequencing

508   Bisulfite-based amplicon sequencing (BSAS) was carried out as described [53]. DNA
509   was extracted from whole blood samples using the Kingfisher Flex System (Thermo
510   Scientific, Waltham, MA USA). DNA was quantified via nanodrop (Thermo Scientific,
511   Waltham, MA USA) to 100 ng/µl. Bisulfite treatment was carried out using the EZ
512   DNA Methylation-Gold kit (Zymo Research, Irvine, CA, USA) as per the
513   manufacturer's instructions. DNA samples were then diluted to a final concentration
514   of 100 ng/µl.

515 Amplicons for sequencing (Supplementary Table 1) were picked based upon several
516 criteria: i) previously published differential DNA methylation in response to *in utero*
517 tobacco smoking; ii) known associations with *in utero* brain development, and; iii)
518 known associations with CP phenotypes. Primers were then designed to flank the
519 CpG sites of interest, ~350 base pairs (bp) in total, or to amplify ~350bp of the
520 promoter region of the gene if a specific CpG site was not known. Multiple pairs of
521 primers were designed to amplify larger regions.

522 Bisulfite-converted DNA was amplified via PCR, using KAPA Taq HotStart DNA
523 Polymerase (Sigma, Aldrich) under the following conditions: 95 °C for 10 min, 95 °C
524 for 30 sec, 59 °C for 20 sec, 72 °C for 7 min, and held at 4 C° using the Mastercycler
525 Nexus (Eppendorf, Australia). This was then cycled a total of 40 times. PCR
526 products were purified with the Zymo DNA Clean & Concentrator Kit™ (Zymo
527 Research, Irvine, CA, USA).

528 Following PCR, DNA was cleaned up with Agencourt® AMPure® XP beads
529 (Beckman Coulter) and washed with 80% ethanol and allowed to air-dry. DNA was
530 then eluted with 52.5 µl of 10 mM Tris pH 8.5 before being placed back into the
531 magnetic stand. Once the supernatant had cleared, 50 µl was aliquoted for the
532 experiment. DNA samples were quantified using the Quant-iT™ PicoGreen™
533 dsDNA Assay kit (Thermo Fisher) using the FLUROstar® Omega (BMG Labtech).
534 Samples were processed using the Illumina MiSeq™ 500 cycle Kit V2 and
535 sequenced on the Illumina MiSeq™ system by Massey Genome Service
536 (Palmerston North). Illumina MiSeq™ sequences were trimmed using SolexQA++
537 software [80] and aligned to FASTA bisulfite converted reference sequences using
538 the package Bowtie2 (version 2.3.4.3) Each individual read was then aligned to all
539 reference sequences using the methylation-specific package Bismark [81].

540

541 **Statistics**

542 Differential DNA methylation was assessed using the package edgeR [82]. MA plots
543 were carried out for clustering based on group and for the top differentially
544 methylated sites via edgeR. The following models were fitted to the data:

545

546   Univariate regression:

547   *Model 1 - effect of* in utero *tobacco exposure on DNA methylation (Table 3 and*
548   *Table 4)*

$$Y \sim U + e$$

549

550   *Model 2 - effect of conduct problem on DNA methylation (Supplementary Table 2)*

$$Y \sim C + e$$

551

552

553   *Model 3 - effect of adult smoking on DNA methylation (fitted on Exposed participants*
554   *only, Supplementary Table 3)*

$$Y \sim AS + e$$

555

556   Multiple Regression:

557   *Model 4 - effect of* in utero *tobacco exposure and conduct problem on DNA*
558   *methylation (Table 5)*

$$Y \sim U + C + U{:}C + e$$

559

560

561   Where:

562

563   Y = methylation M ratio

564   U = Exposed/Unexposed *in utero* to maternal smoking

565   C = Conduct problem/Non-conduct problem

566     $e \sim N(0,s)$

567   AS = Adult smoking/Non-adult smoking

568   U:C is interaction term between U and C

569

570 Model 4 was fitted with both anova parameters and with contrasts between *in utero*

571 exposure groups (exposed – non-exposed) within conduct problem score levels.

572 Top tables were constructed using the topTags function in edgeR, Log fold change,

573 average log counts per million, and in some cases F statistic and were calculated

574 and nominal significance was given for P <0.05, these were then corrected using

575 FDR. Co-variates such as ethnicity and sex were not corrected for. Box plots were

576 constructed from log transformed normalized methylated and unmethylated counts. .

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581 **Availability of data**

582 Upon request.

583 **Contributions**

584 AJN-molecular lab work, data analysis and major contributor to manuscript. JFP-

585 study design, data analysis and major contributor to manuscript. ADN- data analysis.

586 JMB and LJH study design, provided DNA samples via CHDS. MAK- study design

587 and over view. AJO- study design, molecular lab work, major contributor to

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590

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593 **Ethics declarations**

594 All aspects of the study were approved by the Southern Health and Disability Ethics

595 Committee, under application number CTB/04/11/234/AM10 "Collection of DNA in

596 the Christchurch Health and Development Study".

597 **Consent for publication**

598 Not applicable

599   **Competing interests**

600   The authors declare that they have no competing interests.

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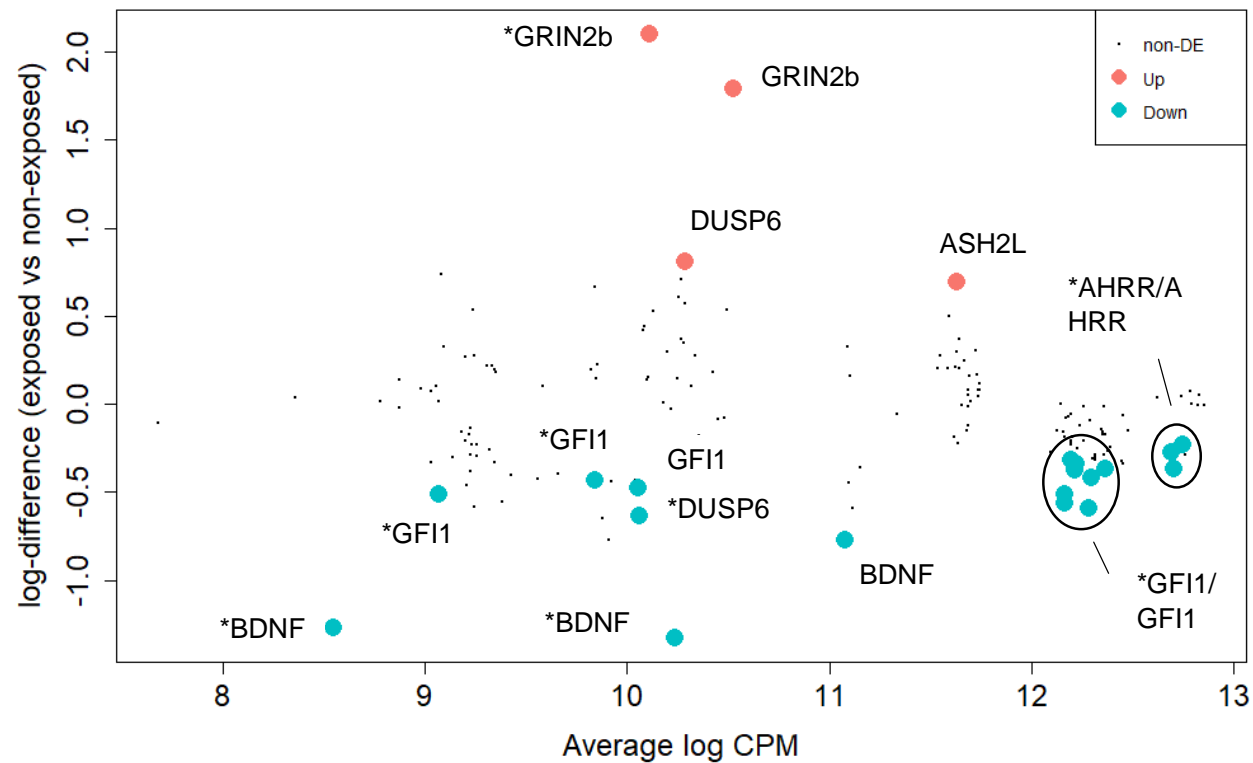
802

*Figure 1- Differential DNA methylation of individuals exposed to tobacco in utero vs non-exposed in utero individuals. Dots that are displayed in colour represent those that are significantly differentially methylated at a nominal P < 0.05: cyan, hypomethylation; pink, hypermethylation; black, non-significantly differentially methylated sites. *previously shown to be differentially methylated in response to adult smoking status.*
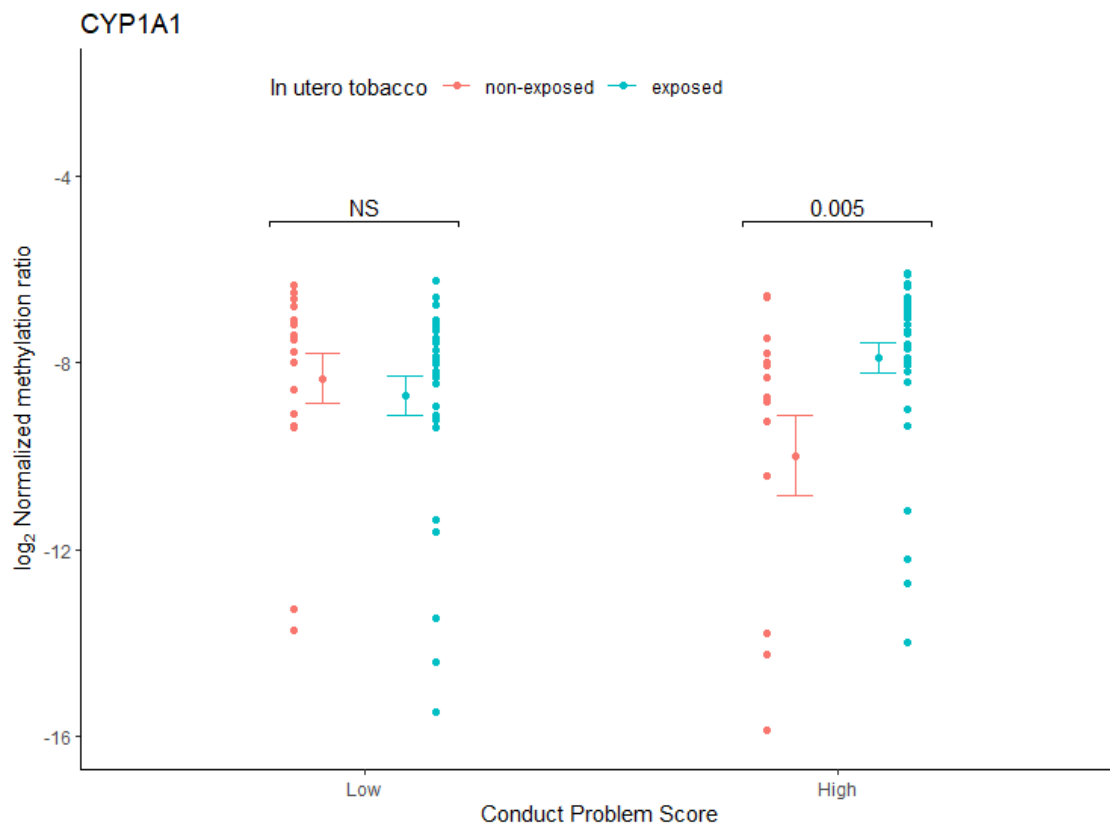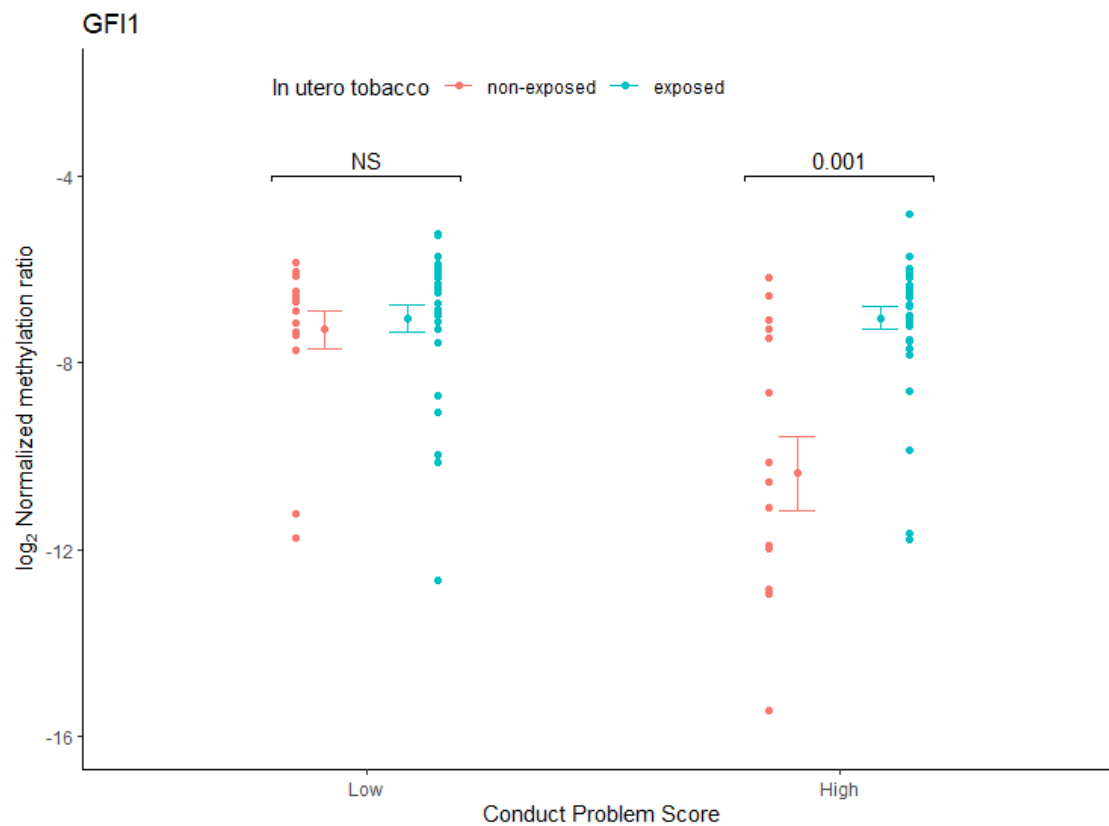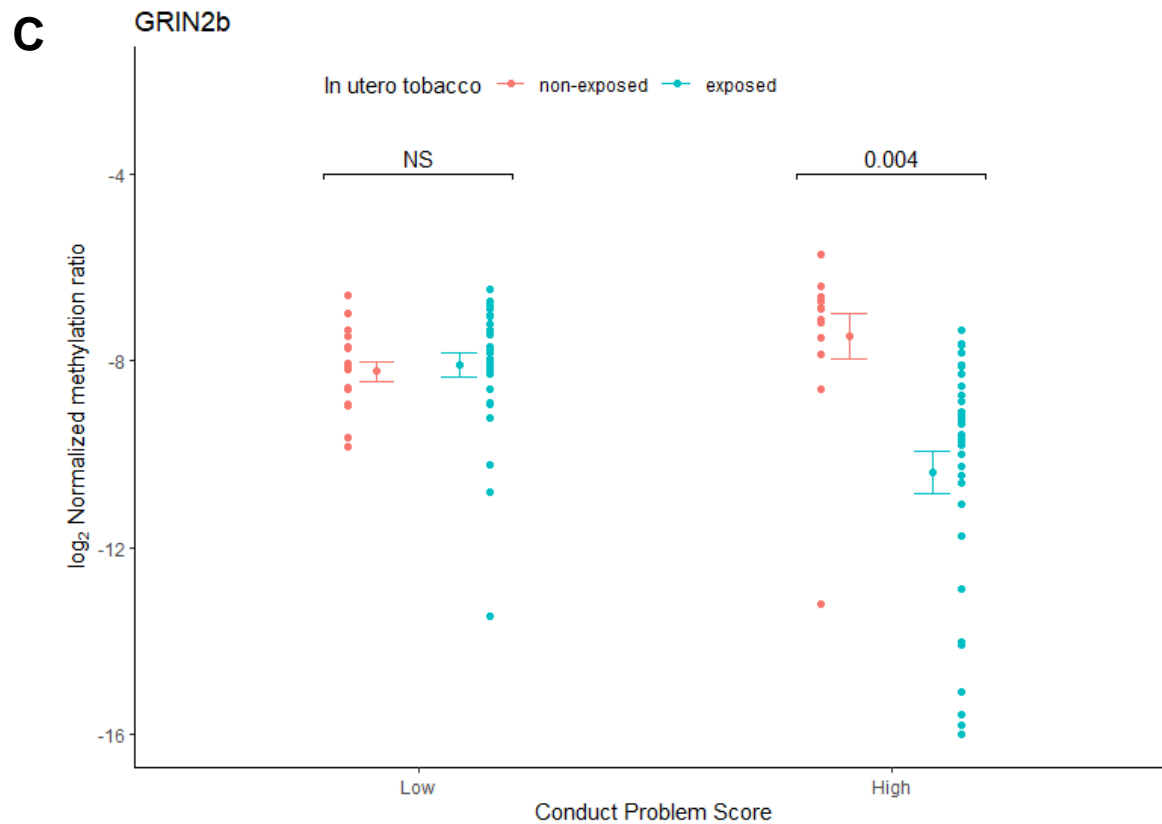
Figure 2- Differential methylation with *in utero* tobacco exposure for individuals with high conduct problem score that is not observed in individuals with low conduct problem score. A- *CYP1A1* (Chr15, 75019290), B- GFI1 (Chr1, 92947705) and C- , GRIN2b (Chr12, 14133359).