#### 1 Title

- 2 Presynaptic NMDA receptors cooperate with local action potentials to implement activity-
- 3 dependent GABA release from the reciprocal olfactory bulb granule cell spine

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### 15 Abstract

16 In the rodent olfactory bulb the smooth dendrites of the principal glutamatergic mitral cells 17 (MCs) form reciprocal dendrodendritic synapses with large spines on GABAergic granule 18 cells (GC), where unitary release of glutamate can trigger postsynaptic local activation of 19 voltage-gated Na<sup>+</sup>-channels (Na<sub>v</sub>s), i.e. a spine spike. Can such single MC inputs evoke 20 reciprocal release? We find that unitary-like activation via two-photon uncaging of glutamate 21 causes GC spines to release GABA both synchronously and asynchronously onto MC 22 dendrites. This release indeed requires activation of Na<sub>v</sub>s and high-voltage-activated Ca<sup>2+</sup>-23 channels (HVACCs), but also of NMDA receptors (NMDAR). Simulations show temporally overlapping HVACC- and NMDAR-mediated Ca<sup>2+</sup>-currents during the spine spike, and 24 25 ultrastructural data prove NMDAR presence within the GABAergic presynapse. The 26 cooperative action of presynaptic NMDARs allows to implement synapse-specific, activity-27 dependent and non-topographical lateral inhibition and thus could provide an efficient 28 solution to combinatorial percept synthesis in a sensory system with many receptor 29 channels.

30

### 31 Introduction

32 Reciprocal dendrodendritic microcircuits can be found in several parts of the nervous system 33 and are especially abundant in the vertebrate olfactory bulb (Crespo et al. 2013), where the 34 dendrites of the principal mitral and tufted cells (MTCs) engage in such interactions with 35 several major subtypes of local GABAergic neurons. In the glomerular layer, the MTC apical 36 tuft is reciprocally connected to mostly periglomerular cell dendrites. In the external plexiform 37 layer, the long MC lateral dendrites are densely covered with GABAergic synapses that 38 mostly originate from reciprocal arrangements (Bartel et al. 2015; Sailor et al. 2016; Matsuno 39 et al. 2017). While proximal circuits are thought to be mostly formed by granule cell (GC) 40 spines (Miyamichi et al. 2013), there are also reciprocal dendrodendritic interactions with 41 other GABAergic cell types such as SOM+ neurons, CRH+ neurons and most prominently 42 parvalbumin/PV+ neurons that all feature aspiny, smooth dendrites (partially overlapping 43 populations; Toida et al. 1994; Lepousez et al. 2010; Huang et al. 2013; Kato et al. 2013; 44 Miyamichi et al. 2013).

Thus the MC-GC synapse differs from the other bulbar reciprocal arrangements by its location within a large spine on the GC side. These spines feature particularly long necks (*Woolf et al. 1991*) which might serve to electrically isolate the synapse and boost local

processing (e.g. *Miller et al. 1985; Spruston 2008*). Indeed, we have gathered evidence that unitary postsynaptic potentials in GC spines are electrically compartmentalized and thus can locally activate voltage-gated Na<sup>+</sup>-channels (Na<sub>v</sub>s) which in turn activate classic presynaptic high-voltage activated Ca<sup>2+</sup>-channels (HVACCs) within the spine ('spine spike', *Bywalez et al. 2015*). Thus, the reciprocal spine might operate as a mini-neuron that can generate synaptic output upon local activation (*Egger & Urban 2006*).

54 While there have been many earlier studies of recurrent dendrodendritic inhibition at the 55 MTC-GC synapse, it is so far unknown whether a unitary, purely local activation of the 56 spine's microcircuit can indeed trigger release of GABA back onto the exciting MTC. The 57 issue is still unresolved because (1) paired recordings of synaptically coupled GCs and 58 MTCs are notoriously hard to perform (e.g. Pressler & Strowbridge 2017; see also 59 Discussion) and would also not allow to fully dissect the circuit, and (2) most earlier studies 60 used a strong stimulation of voltage-clamped MCs, namely a depolarization to 0 mV for 20-61 50 ms (Isaacson & Strowbridge 1998; Halabisky et al. 2000; Isaacson 2001; Chen et al. 62 2000). This protocol will cause massive release of glutamate from the lateral MC dendrites, 63 invoking glutamate spillover between them (Isaacson 1999) and resulting in long-lasting 64 recurrent inhibition of MCs with both synchronous and asynchronous components, the latter with a time constant of ~ 500 ms. Under these circumstances and zero extracellular  $[Mg^{2+}]_{e}$ 65 GC NMDA receptor (NMDAR) activation was shown to be an important source of Ca<sup>2+</sup> entry 66 67 that suffices to trigger release of GABA from the GC spine (also in Schoppa et al. 1998). However, in normal [Mg<sup>2+</sup>]<sub>e</sub> GABA release was mostly abolished by HVACC blockade 68 69 (Isaacson 2001; see Discussion).

70 Here we aim to determine whether - and possibly how - single inputs from MC lateral 71 dendrites to GC spines can also trigger recurrent release of GABA from the reciprocal 72 synapse, using recordings from fluorescently labeled MCs and two-photon uncaging (TPU) of 73 glutamate along their lateral dendrite near Venus-tagged GC spines. TPU of glutamate 74 allows to study the activation of the reciprocal microcircuit in single GC spines without 75 interfering with spine Nav and HVACC channels or causing broader GC activation (Bywalez 76 et al. 2015), which here also enables investigation of the reciprocal output by the GABAergic 77 release machinery via recording of IPSCs in mitral cells in response to TPU at single GC

spines. Thus, processing within a single mini-neuron can be disentangled in space and time from broader extended activation, in order to test the main predictions from our previous study: The mini-neuron hypothesis along with the GC spine spike phenomenon suggest that just like in conventional axons, Na<sub>v</sub> and ensuing HVACC activation are required to cause release, and that unitary activation of the spine is sufficient for triggering release.

While these notions could be confirmed, our study also reveals that presynaptic NMDARs can gate reciprocal output at the single-spine level. What is the advantage of such an arrangement compared to classical neuronal processing? In olfactory coding, a large number of input channels needs to be combined to synthesize an olfactory percept (e.g. *Mori et al. 1999, Murthy 2011*). We propose that the mechanisms revealed here allow reciprocal GC spines to play a central role in the efficient binding of changing sets of activated olfactory receptor channels.

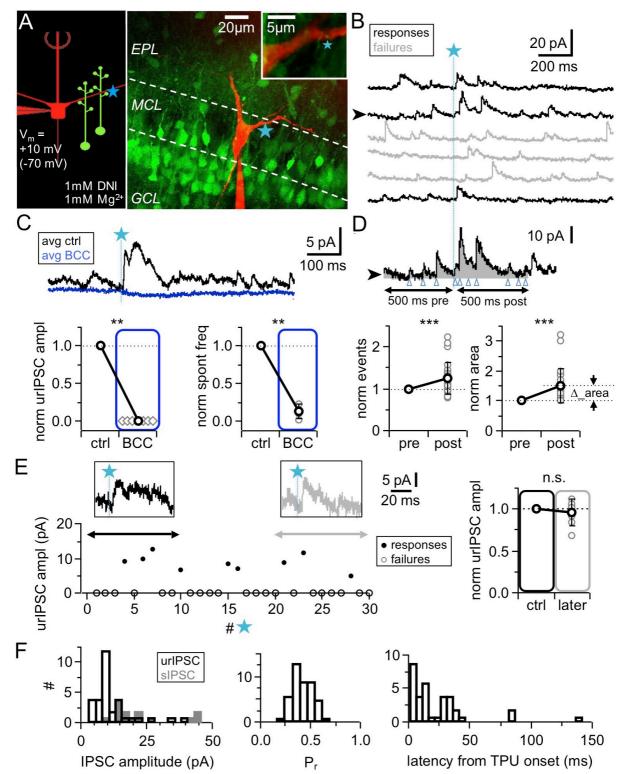
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### 91 Results

### 92 Experimental configuration

To enable pharmacological interference with components of the reciprocal microcircuit such as Na<sub>v</sub>s and HVACCs, we bypassed release from the MC presynapse via TPU of DNI-caged glutamate (DNI, see Methods; *Chiovini et al. 2014; Bywalez et al. 2015; Palfi et al. 2018*) in acute juvenile rat olfactory bulb slices, while recording from MCs in somatic whole-cell voltage-clamp. To visualize the lateral dendrites, MCs were filled with the dye Alexa594 (50 µM). Fig. 1A shows the recording configuration.

99 In all MC recordings we observed a high basal frequency of spontaneous events which is a 100 general hallmark of the olfactory bulb network (Egger & Urban 2006). Wash-in of 1 mM DNI 101 further increased the basal frequency by on average  $1.9 \pm 1.2$  times (mean value before DNI: 102  $4.5 \pm 2.1$  Hz, n = 14, P < 0.01), due to the disinhibition resulting from a partial blockade of 103 GABA<sub>A</sub>Rs by DNI, which also reduced the mean spontaneous IPSC amplitude to a fraction of 104  $0.47 \pm 0.16$  of control (n = 14 MCs, P < 0.001, Fig. S1A,B,C (attached at the end of the 105 manuscript). In the presence of DNI, the GABA<sub>A</sub>R antagonist bicuculline (BCC, 50  $\mu$ M) 106 blocked spontaneous activity almost completely (frequency: 0.13 ± 0.10 of control, n = 6, P < 107 0.01, Fig. 1C, Fig. S1A).



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Figure 1. TPU-induced glutamatergic activation of GC spines triggers GABA release detected as
 uncaging-evoked reciprocal IPSCs (urIPSCs) in MCs.

111 (A) Experimental setting (scheme and image): Somatic whole cell voltage clamp recording from MC

112 filled with Alexa 594 (red, 50  $\mu$ M) and TPU of DNI-caged glutamate (DNI; blue star) at GC spines in

113 VGAT-Venus rats (GCs green).

114 (B) Example of consecutive uncaging traces showing urIPSC responses (black) and failures (grey).

115 (C) Effect of GABA<sub>A</sub>R blockade (bicuculline, BCC, 50  $\mu$ M, blue) on urIPSCs and spontaneous 116 activity. Top: Example experiment, showing averaged traces. Bottom: Cumulative data on urIPSC 117 amplitude (left panel, n =7) and on frequency of spontaneous events (right panel, n = 6).

118 (D) Analysis of asynchronous events. Top: Example trace from (B) with analysis intervals pre- and

119 post-uncaging (grey areas, black arrows). Counted events marked by blue arrowheads. Bottom: 120 Normalized IPSC event number and area (integrated activity, relative increase vs control  $\Delta$ ) in pre-121 versus post-uncaging intervals (n = 27, see Methods).

122 (E) Stability of urIPSC recordings. Left: Representative experiment showing individual urIPSC 123 amplitude values over consecutive stimulations (1 TPU per min). Insets: Averaged urIPSC responses 124 in the first (black, n = 3 responses) and last ten minutes (grey, n = 3). Right: Comparison of averaged 125 normalized urIPSC amplitudes separated by 10 min interval (n = 7 MCs).

125 normalized urIPSC amplitudes separated by 10 min interval (n = 7 MCs). 126 (F) Properties of first triggered urIPSCs. Left: Amplitudes (n = 32 MCs,  $V_m = +10$  mV). Dark grey:

127 (F) Properties of first triggered units Cs. Lett. Amplitudes (n = 32 MCs,  $v_m = +10$  mV). Dark grey. 127 Amplitude distribution of spontaneous IPSCs for comparison (n = 14 MCs, mean amplitudes). Middle: 128 Release probabilities  $P_r$  (n = 44). Right: Latencies from TPU onset (n = 36).

129 All Figures: Significance (non-parametric tests): n.s. not significant, \* P < 0.05, \*\* P < 0.01, \*\*\* P < 130 0.001; mean data ± S.D.

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Since in initial experiments we occasionally observed activation of both NMDA and AMPA autoreceptors on MC dendrites at a holding potential of -70 mV, MCs were clamped to +10 mV in the main body of experiments, near the reversal potential of ionotropic glutamate receptors (*Isaacson 1999; Friedman & Strowbridge 2000*). All experiments were performed at physiological levels of  $[Mg^{2+}]_e$  (1 mM).

137 Triggering of reciprocal IPSCs via TPU

138 To prevent overstimulation with glutamate, we uncaged with similar laser parameter settings 139 as in the previous study (*Bywalez et al. 2015*), in which TPU-evoked GC Ca<sup>2+</sup> signals were 140 indistinguishable from those of unitary spontaneous or triggered synaptic inputs, and 141 routinely tested these settings; to ensure similar laser power across experiments, uncaging 142 sites were located at a shallow depth no more than 20 - 30 µm below the surface of the 143 tissue (see Methods). In most experiments, uncaging was performed at one spot in the 144 immediate vicinity of GC spines that were visible in VGAT-Venus rats and in close proximity 145  $(0.2 - 0.5 \,\mu\text{m})$  to the MC lateral dendrite (e.g. Fig. 1A, 2A); in a few initial experiments in WT 146 rats uncaging was performed 'blindly' at up to four spots along the dendrite to increase the 147 likelihood for triggering a unitary response (e.g. Fig. 2B; 18% of experiments, see Methods). 148 Responses were detectable in  $\sim$  30% of tested MCs (total n = 166, see Methods) and in this 149 fraction of MCs would occur only in a small subset of stimulations (see below, Fig. 1F), thus 150 overstimulation of the circuit appears unlikely. All uncaging spots were located proximally, at 151 distances < 50 µm from the MC soma (Fig. S1D). The rationale for this choice was to 152 minimize electrotonic attenuation, since IPSC amplitudes were already small (see above, 153 Fig. S1A,C). Moreover, proximal stimulation and the use of VGAT-Venus rats should prevent 154 inadvertent stimulation of reciprocal synapses with other interneuron types (see Introduction).

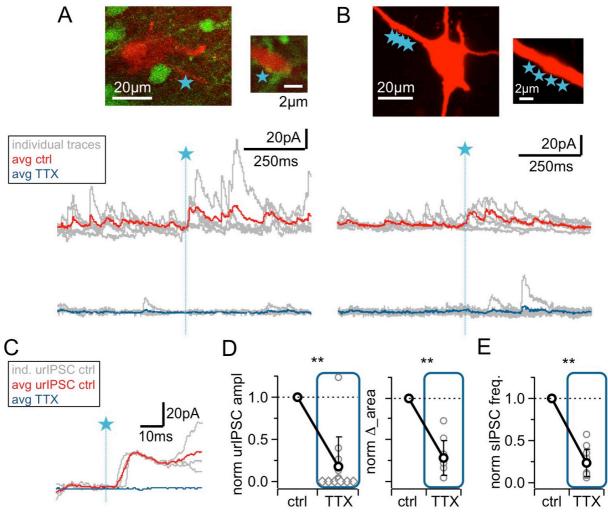
155 TPU of glutamate resulted in consecutive triggered responses and failures in MCs (Fig. 1B). 156 Responses were classified as triggered if they were observed repeatedly within the same 157 time window and showed similar kinetics (see Methods). Next we tested whether these 158 triggered responses were indeed GABAergic by applying the GABAAR antagonist Bicuculline 159 (BCC, 50  $\mu$ M), which invariably blocked responses completely (Fig. 1C, n = 7, P < 0.01, 160 Wilcoxon test). Thus in the following the triggered events are denoted as urIPSCs (uncaging-161 evoked reciprocal IPSCs). Sufficient stability of such urIPSC responses was established via 162 long-term recordings (see Methods). The mean amplitudes of responses during the first and 163 last 5 -10 photostimulations (with at least 10 min in between to mimic the time course of 164 pharmacological manipulations) were not significantly different (Fig. 1E, n = 7, ratio last to 165 first  $0.95 \pm 0.15$ , P = 0.74).

The amplitude of triggered urIPSCs was on average  $12 \pm 8$  pA, with a rise time of  $6 \pm 3$  ms (in n = 32 MCs clamped to +10 mV), which is significantly smaller than the mean amplitudes of spontaneous IPSCs (n = 14; 22 ± 12 pA; P < 0.001, Mann-Whitney test, Fig. 1F). Since spontaneous IPSCs are highly likely to also originate from the reciprocal MC-GC circuit (see Discussion), this observation further argues against overstimulation with glutamate in our experiment. There was no detectable correlation between the urIPSC amplitude and the distance from the soma (n = 20, r = -0.07, Fig. S1D).

The average release probability from the reciprocal spine was estimated as  $P_r = 0.34 \pm 0.11$ (Fig. 1F, range 0.13 - 0.60, based on n = 44 MCs, see Methods and Discussion). The latencies of urIPSPCs were not normally distributed (Fig. 1F), with a first peak within the first 10 ms post TPU onset (n = 13), a second peak around 30 ms (n = 19 within the range 10-50 ms) and a yet more delayed tail (n = 3, see Fig. S1F and Discussion).

In most experiments we detected putative asynchronous urIPSCs following the first triggered event which were quantified via integral analysis and counting of events (Fig. 1D, see Methods and Discussion). Both area and number of events increased highly significantly in the 500 ms interval following TPU ('post') compared to the same interval right before ('pre'; mean increase of integrated activity  $\Delta$ \_area + 0.50 ± 0.55 relative to 'pre' value; mean increase in event number + 0.25 ± 0.37 relative to 'pre' value; n = 27, P < 0.001 for both; absolute values in 'pre' area 2.40 ± 1.83 pAs, event numbers 26.1 ± 14.9).  $\Delta$ \_area was also

- 185 significantly increased if the extra area of the synchronous urIPSC was subtracted (P <
- 186 0.005). The total duration of recurrent inhibition was on average 179 ± 137 ms (range 32 -
- 187 533 ms, n = 26, Fig. S1E). Thus, asynchronous recurrent inhibition can be already triggered
- 188 by single glutamatergic inputs.
- 189 From all these experiments we conclude that local, unitary-like TPU of glutamate can indeed
- 190 trigger both fast and slow reciprocal release of GABA, providing proof that the reciprocal
- 191 microcircuit can be activated by single synaptic inputs at physiological levels of Mg<sup>2+</sup>.
- 192 mean data  $\pm$  S.D.



193ctrlTIXctrl194Figure 2. The urIPSC is reduced by Na, blockade (TTX, 500 nM).

195 (A, B) Representative experiments showing a patch-clamped mitral cell (Alexa594 50 µM, red), the

196 uncaging site(s) along a lateral dendrite (blue star) and below the corresponding uncaging traces with 197 individual traces shown in grey, average control traces in red and average TTX traces indicated in blue

198 (A: VGAT-Venus rat, single-site TPU, B: Wistar rat, multi-site TPU).

199 (C) Magnified illustration of traces in (A) (for control individual traces with urIPSC responses and 200 their average, for TTX only average, color coding as above).

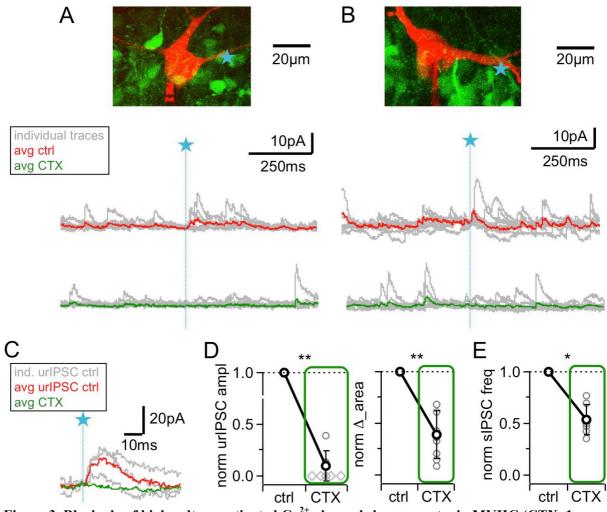
201 (**D**) Left: Cumulative normalized data showing the strong reduction of urIPSC amplitude during  $Na_v$ 202 blockade with TTX (n = 12). Diamonds indicate the experiments with no detectable response in the

203 presence of the drug. Right: Comparison of normalized IPSC  $\Delta$ \_area between control and in the 204 presence of TTX (see Methods, n = 10).

205 (E) sIPSC frequency comparison between control and in presence of TTX (n = 10). See also A,B.

- 206 Sodium channel activation is required for most urlPSCs
- 207 Next, we investigated a possible contribution of the GC spine spike and thus Na<sub>v</sub> activation to
- 208 urIPSC generation. Fig. 2 illustrates that wash-in of TTX (500 nM) substantially reduced both
- 209 triggered and spontaneous events. On average, urIPSC amplitudes were reduced to a
- 210 fraction of 0.17 ± 0.34 of control (Fig. 2D, n = 12, P < 0.005; absolute control amplitudes for -
- 211 70 mV: -7.8 ± 3.6 pA, n = 5; for 10 mV: 15.4 ± 12.4 pA, n = 7), and the increase in integrated
- 212 activity  $\Delta$ \_area was decreased to 0.28 ± 0.21 of its control value (see Methods; n = 10, P <

- 213 0.005). The frequency of spontaneous IPSCs (sIPSC) was also strongly decreased in TTX,
- 214 to a fraction of  $0.24 \pm 0.17$  of control (Fig. 2E, n = 10, P < 0.005).
- 215 Thus Navs are essential to trigger GABA release from the reciprocal spines.



- 216 217 Figure 3. Blockade of high voltage activated Ca<sup>2+</sup>-channels by ω-conotoxin MVIIC (CTX, 1
- μM) causes a prominent reduction of urIPSC amplitudes. 218

219 (A, B) Two representative experiments in brain slices from VGAT-Venus rat with the corresponding

220 MC (red), the site of TPU (blue star) and the uncaging traces according to the condition (individual 221 traces : grey, average control: red, average CTX: green).

222 (C) Left: Magnified illustration of traces in B (for control individual traces with urIPSC responses and 223 their average, for CTX only average, color coding as above).

224 (**D**) Left: Summary of effects of CTX on average normalized urIPSC amplitude (n = 8). Diamonds 225 indicate the experiments with no detectable response in the presence of the drug. Right: Comparison 226 of delta urIPSC areas normalized to control versus in the presence of CTX (n = 9).

- 227 (E) Inhibitory effect of CTX on spontaneous IPSC frequency (n = 7). See also A,B.
- High-voltage activated Ca<sup>2+</sup> channels in the spine trigger GABA release 228
- 229 HVACCs have been implied to mediate recurrent release from reciprocal spines (Isaacson
- 230 2001) and are activated by Na<sub>v</sub>s, contributing a substantial fraction to the total postsynaptic
- Ca<sup>2+</sup> signal in the GC spine (Bywalez et al. 2015). To directly test whether HVACC activation 231
- is required for release of GABA, we blocked NPQ-type  $Ca^{2+}$  channels with 1  $\mu$ M  $\omega$ -conotoxin 232
- 233 MVIIC (CTX; Bloodgood & Sabatini 2007; Bywalez et al. 2015).

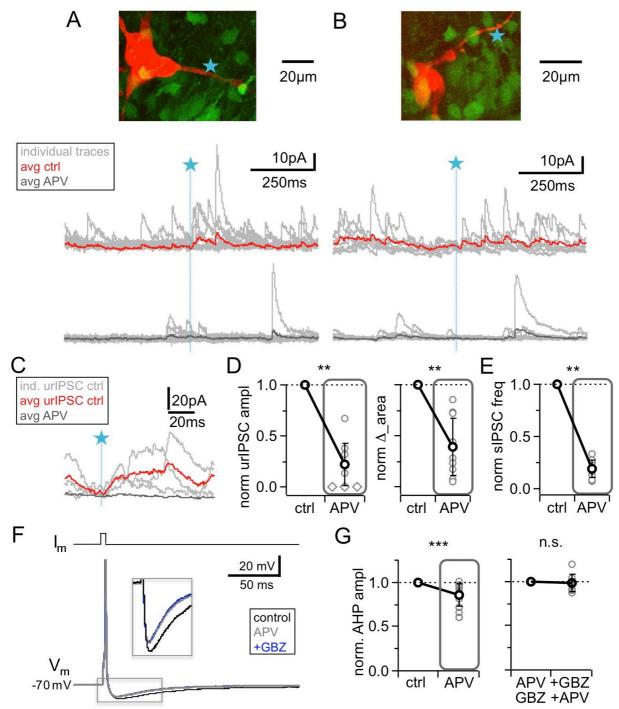
Fig. 3 shows the resulting substantial decrease of urIPSCs, to a fraction of 0.08  $\pm$  0.14 of control (from a mean amplitude of 11.3  $\pm$  5.7 pA; n = 8, P < 0.005, Fig. 3D). This decrease was not different from the effect of TTX on urIPSC amplitude described above (P = 0.35, ratios in CTX vs TTX).  $\Delta$ \_area decreased to 0.39  $\pm$  0.23 of control (n = 9, P < 0.005, Fig. 3D), again statistically not different from the effect of TTX on  $\Delta$ \_area described above (P = 0.15 vs TTX).

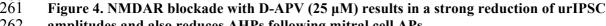
240 CTX decreased sIPSC frequency to a fraction of  $0.53 \pm 0.15$  of control, less markedly than 241 TTX (Fig. 3E, n = 7 cells, control vs CTX: P < 0.01; CTX vs TTX: P < 0.005).

We conclude that HVACC activation is required for release of GABA from the reciprocal spine following local input.

244 NMDA receptors are also relevant for recurrent release

245 NMDARs are known to substantially contribute to unitary synaptic transmission and to postsynaptic Ca<sup>2+</sup> entry at the MC to GC synapse (*Isaacson & Strowbridge 1998; Schoppa et* 246 al. 1998; Isaacson 2001; Egger et al. 2005). However, because NMDAR-mediated Ca<sup>2+</sup> entry 247 248 into GC spines did not depend on Nav activation in our previous study (in contrast to HVACC-249 mediated Ca<sup>2+</sup> entry, *Bywalez et al. 2015*), and because of the strong blocking effects of TTX 250 or CTX on urIPSCs reported above, we hypothesized that NMDAR blockade would have only 251 mild effects on fast recurrent release upon single inputs. Intriguingly however, Fig. 4 shows 252 that the application of 25 µM D-APV resulted in a substantial decrease of urIPSC amplitudes, 253 to on average 0.22  $\pm$  0.21 of control (from a mean amplitude of 13.8  $\pm$  8.6 pA, n = 10, P < 254 0.002, Fig. 4D). All individual experiments showed the amplitude decrease, albeit to a variable degree (range 0.00 - 0.68 of control).  $\Delta$ \_area following TPU decreased to 0.40 ± 255 256 0.28 of control (n = 10, P < 0.003, Fig. 4D). Both effects were statistically not different from 257 the effects of either TTX or CTX (amplitude: P = 0.12 and P = 0.08;  $\triangle$  area: P = 0.19 and P = 258 0.47).





- amplitudes and also reduces AHPs following mitral cell APs 262
- 263 (A, B) Two representative uncaging experiments with the corresponding MC (red), the site of TPU
- 264 (blue star) and the uncaging traces according to the condition (individual traces: grey, average control:
- 265 red, average APV: dark grey); both VGAT-Venus.
- 266 (C) Magnified illustration of traces in A (for control individual traces with urIPSC responses (grey) 267 and their average (red), for APV only average (dark grey)).
- 268 (**D**) Left: Summary of effects of APV on average normalized urIPSC amplitude (n = 10). Diamonds 269 indicate the experiments with no detectable response in the presence of the drug. Right: Comparison 270 of delta urIPSC integrals between control versus in the presence of APV (n = 10).
- (E) Cumulative effect of APV on spontaneous IPSC frequency; see also A,B (n = 9). 271
- 272 (F) Representative example of MC AP evoked by somatic current injection in control conditions 273 (black trace) and in the presence of APV (grey trace) and added GBZ (20  $\mu$ M; blue trace). Inset: 274 Magnified AHPs.
- 275 (G) Left: Cumulative effects of APV on average normalized AHP amplitude (n = 11 MCs). Right:
- 276 Effect of occlusion experiments on AHP amplitude (APV before GBZ or GBZ before APV, n = 8).
- 277

APV also substantially reduced sIPSC frequency, to  $0.19 \pm 0.08$  of control (n = 9, P < 0.01,

Fig. 4E), similar to TTX (P = 0.84) and significantly more pronounced than CTX (P < 0.001).

280 Since the strength of the effect of NMDAR blockade on urIPSCs was surprising to us, we 281 sought to provide another line of evidence for these findings in an experimental setting that 282 does not involve uncaging of glutamate (which might preferentially activate NMDARs, see 283 Discussion). The afterhyperpolarization (AHP) following single MC APs elicited by somatic 284 current injection was found to mainly reflect recurrent inhibition in brain slices from adult 285 mouse (Nunes & Kuner 2018), while in juvenile rat inhibitory synaptic contributions to the 286 AHP were observed to be less substantial but still detectable (on the order of 20-30% relative 287 to the  $K^{+}$  channel-mediated component, *Dumenieu et al. 2015*). We used this paradigm to 288 test whether NMDAR blockade alone could interfere with recurrent inhibition (Fig. 4F, G). 289 Single MC AP AHPs (n = 13 MCs,  $V_{hold}$  = -70 mV) had a mean amplitude  $\Delta V_m$  = -9.5 ± 2.1 290 mV and a mean half duration  $t_{1/2}$  = 43 ± 25 ms. Fig. 4G shows that APV application significantly reduced the mean AHP amplitude to a fraction of 0.86 ± 0.12 of control (P < 291 292 0.001, Wilcoxon test; reduction in 12 of 13 MCs), while the half duration was not changed 293 (fraction of control 1.02  $\pm$  0.42, P = 0.65). Next, to block GABA<sub>A</sub>Rs we turned to gabazine 294 (GBZ, 20  $\mu$ M), since Bicuculline might affect the K<sup>+</sup> channels that contribute to slow AHPs 295 (Khawaled et al. 1999, Dumenieu et al. 2015). GBZ alone caused a slightly stronger 296 reduction than APV, which was not significantly different (to 0.71  $\pm$  0.10 of control, n = 7 297 MCs, P = 0.08 vs APV, not shown). Occlusion experiments using APV before GBZ or GBZ 298 before APV did not result in any further reduction  $(0.98 \pm 0.10 \text{ of amplitude in presence of})$ 299 first drug, n = 8 MCs, P = 0.45, Fig. 4F,G). Thus these experiments also support a 300 substantial role of GC NMDARs for GABA release.

From these and the uncaging experiments we conclude that NMDARs located on GCs are strongly involved in reciprocal release, even though prevention of HVACC activation (via Na<sub>v</sub> block or pharmacology) also blocks reciprocal release. What is the underlying mechanism? The simplest explanation for a cooperation would be a summation of NMDAR- and HVACCmediated Ca<sup>2+</sup> currents at the presynaptic Ca<sup>2+</sup> sensor. For this scenario two requirements need to be satisfied: (1) temporal overlap of Ca<sup>2+</sup> currents and (2) spatial proximity of

307 NMDARs and HVACCs within the same microdomain.

### 308 Temporal overlap: Simulations of GC spine Ca<sup>2+</sup> currents via HVACCs and NMDARs 309 In conventional glutamatergic synapses the NMDAR-mediated postsynaptic current is rising 310 rather slowly compared to the AMPAR-mediated component (rise time ~ 10 ms, Lester et al. 1990) and therefore the fractional NMDAR Ca<sup>2+</sup> current seems at first an unlikely candidate 311 312 to make direct contributions to fast release. In the reciprocal GC spines however, Nav 313 channels are locally activated, which enables fast and substantial NMDAR activation. Fig. 5A shows a simulation of the postsynaptic NMDAR- and HVACC-mediated Ca<sup>2+</sup> currents (based 314 315 on the detailed NEURON model described in Aghvami et al. 2019, see Methods) which illustrates that the local AP causes a transient boosting of the NMDAR Ca<sup>2+</sup> current because 316 of further relief of the Mg<sup>2+</sup> block during the upstroke and overshoot of the spine spike. 317 According to the simulation this fast NMDAR-mediated Ca<sup>2+</sup> spikelet begins even before the 318 319 HVACC-mediated current and tightly overlaps with it within > 1 ms. Thus HVACC- and NMDAR-mediated $Ca^{2+}$ currents could act in a cooperative manner at the $Ca^{2+}$ sensor(s) that 320 321 mediate fast release of GABA, especially so, if the release probability was proportional to the 322 fourth power of local $\Delta$ [Ca<sup>2+</sup>] or more as at other central synapses, and if the channels were 323 close enough to form a microdomain, allowing for an 'overlap bonus' (Stanley 2016; Fig. 5B). 324 In the temporal domain, this overlap was found to be highly robust against the variation of the combined Na<sub>v</sub>/K<sub>v</sub> conductance, and increases in either AMPAR conductance g<sub>AMPA</sub> or neck 325 326 resistance R<sub>neck</sub> resulted in an earlier activation of both HVACC and NMDAR-mediated Ca<sup>2+</sup> 327 currents and even stronger overlap (Fig. 5C, see Methods). Decreases resulted at first in 328 little change and then a loss of the spine spike whereupon there is no more HVACC 329 activation. To illustrate specifically the contribution of the Nay-mediated boosting of the NMDAR-mediated Ca<sup>2+</sup> current, we also calculated the overlap bonus for the HVACC-330 331 mediated current as above and the NMDAR current in absence of the spine spike, which 332 indeed renders the cooperative effect negligible (Fig. 5B).

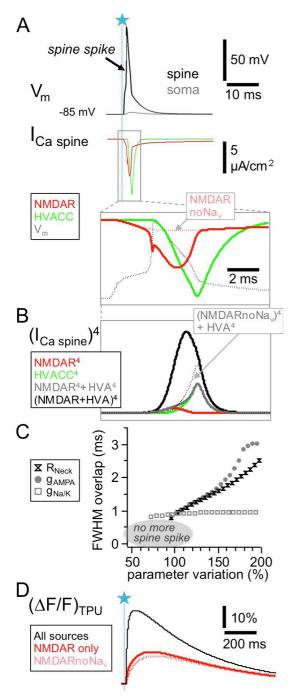


Figure 5. HVACC- and NMDAR-mediated  $Ca^{2+}$ currents overlap temporally in the wake of the spine spike. Simulated spine  $Ca^{2+}$ -currents and  $(\Delta F/F)_{TPU}$ 

(A) Top panels: spine membrane potential  $V_m$  and  $Ca^{2+}$ -currents (as in *Aghvami et al. 2019*, their Fig 2B, but without exogenous  $Ca^{2+}$  buffer). Bottom: Blow-up of NMDAR- and HVACC-mediated  $Ca^{2+}$ -currents, overlaid with the membrane potential  $V_m$ . Note the early onset of the NMDAR  $Ca^{2+}$ -current and its increase during the underlying local action potential. Red dotted line: NMDAR  $Ca^{2+}$ -current in the absence of Na<sub>v</sub>s.

(**B**) The temporal coincidence creates an ,overlap bonus' with respect to local  $Ca^{2+}$  concentration and triggering of release: Fourth power of single and added  $Ca^{2+}$ -currents. Black dotted line: Addition (hypothetical!) of HVACC with NMDAR  $Ca^{2+}$ -current in the absence of Na<sub>v</sub>s.

(C) Robustness test for extent of overlap between NMDAR- and HVACC-mediated  $Ca^{2+}$ -current. Overlap is measured as the stretch of overlapping FWHMs of the current transients (Full Width Half Maxima, see Methods). Parameter variation within 50 - 200% of the nominal value of the neck resistance  $R_{neck}$ , the AMPAR conductance gAMPA and the coupled  $Na_v/K_v$  conductance  $g_{Na/K}$ . Results are shown only for parameter runs with spine spike.

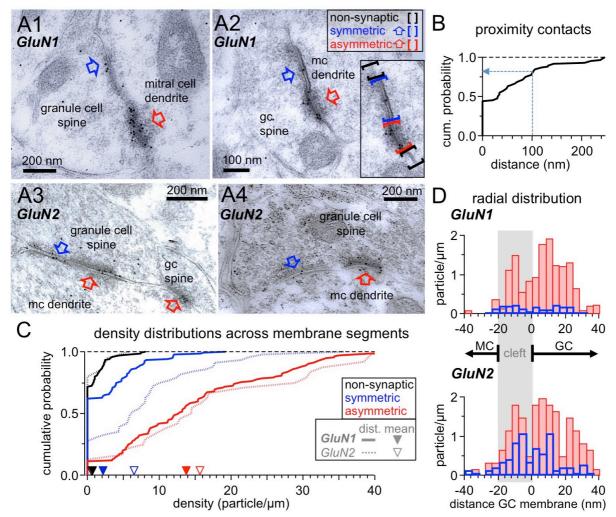
(**D**) Simulated fluorescence transients  $\Delta F/F$  (in the presence of 100  $\mu$ M OGB-1) show that the contribution of the Na<sub>v</sub>-mediated boost of NMDAR Ca<sup>2+</sup>-currents to the total NMDAR-mediated Ca<sup>2+</sup> signal is almost negligible (see Discussion).

333

### 334 Spatial proximity: Ultrastructural evidence for presynaptic localization of NMDARs

For fast neurotransmitter release to occur, the current view is that Ca<sup>2+</sup> entry needs to 335 happen in a proximity of 100 nm or less from the Ca<sup>2+</sup> sensing part of the SNARE machinery 336 (reviewed in Kaeser & Regehr 2014; Stanley 2016). Therefore, to permit cooperation of Ca<sup>2+</sup> 337 influxes, NMDARs should be localized very close to or within the GABAergic presynapse. 338 339 Earlier electron microscopic (EM) studies had reported the presence of postsynaptic 340 NMDARs in GC spines and also instances of extrasynaptic labeling (Sassoè-Pognetto & 341 Ottersen 2000, Sassoè et al. 2003). To test for the presence of NMDARs near or within 342 GABAergic active zones, we analysed GC spine heads in ultrathin sections that were

- 343 immunogold-labeled with either GluN1 or GluN2A/B antibodies (Fig. 6A; see Methods); the
- 344 sections were selected for the presence of at least one asymmetric (i.e. glutamatergic)
- 345 contact and/or one symmetric (i.e. GABAergic) contact.



346 347 Figure 6. Ultrastructural analysis of the distribution of NMDARs shows their presence at both 348 post- and presynaptic GC spine membranes in reciprocal dendro-dendritic synapses.

349 (A) Representative micrographs of reciprocal dendrodendritic synapses labeled for GluN1 (A1, A2) 350 and GluN2A/B (A3, A4). Note that asymmetric MC-to-GC synapses (red arrows) are strongly labeled 351 and that symmetric GC-to-MC junctions (blue arrows) are also immunopositive. Gold particles are 352 usually not associated with non-synaptic plasma membrane domains of GC spines. The inset in panel 353 A2 illustrates the selection of non-synaptic membrane segments (black brackets), symmetric synapses 354 (blue) and asymmetric synapses (red).

- 355 (B) Frequency distribution of distances between symmetric and asymmetric synaptic profiles on the 356 same GC spine membrane (based on n = 60 micrographs with both types of profiles on the same 357 spine).
- 358 (C) Frequency distributions of labeling densities across synaptic (symmetric and asymmetric) and 359 non-synaptic membrane segments for GluN1 (thick lines) and GluN2A/B (thin dotted lines). The 360 arrows on the x-axis indicate the mean density (GluN1: solid, GluN2A/B: open). Color code as in (A).
- 361 (**D**) Radial distribution of gold particles representing GluN1 (top panel) and GluN2A/B (bottom panel)
- 362 at asymmetric (red) and symmetric (blue) synapses. Particle numbers were normalized to the total
- 363 length of the respective synaptic segments.

364 Interestingly, we observed that when both symmetric and asymmetric synaptic profiles were 365 visible in individual GC-MC dendrodendritic pairs, the two synaptic profiles were mostly either 366 contiguous (44% of n = 60 cases, examples Fig. 6A2,A3, cumulative plot Fig. 6B) or closer 367 than 100 nm (36% of cases). This finding implies that on both sides of the dendrodendritic 368 synaptic arrangement the postsynaptic specialization very often merges with presynaptic 369 release sites without interposition of non-synaptic membrane domains, and that therefore on 370 the GC side postsynaptic NMDARs can be located very closely to the GABAergic 371 presynapse.

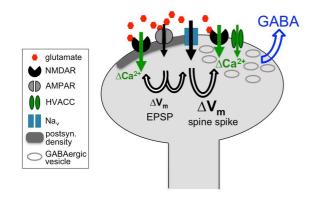
372 Immunogold labeling for NMDARs was also frequently observed in symmetric contacts, albeit 373 at a lesser density compared to asymmetric contacts (Fig. 6A). To establish whether there is 374 an increased likelihood for the presence of NMDARs at symmetric contacts versus 375 extrasynaptic profiles, we compared the densities of labeling in non-synaptic membrane 376 segments of GC spines with those in symmetric synapses, and as a control in asymmetric 377 synapses. GC spines establishing synapses with MC dendrites were selected as described 378 (see Methods) and all discernible membrane segments within a spine were analysed. For 379 GluN1, there were 50 particles along 84.5 µm of 138 non-synaptic spine membrane 380 segments (mean 0.6 particles/µm), 70 particles along 32.7 µm of 120 symmetric profiles 381 (mean 2.1 particles/µm) and 394 particles along 28.6 µm of 111 asymmetric profiles (mean 382 13.8 particles/µm). Fig. 6C shows the density distributions across the three types of 383 membrane segments. The distribution of the density of gold particles at symmetric synapses 384 was significantly higher than the one in non-synaptic membrane (P = 0.000029, Kolmogorov-385 Smirnov test). For GluN2A/B, the sample size was smaller, with 5 particles along 10.0 µm of 386 24 non-synaptic spine membrane segments (mean 0.5 particles/µm), 89 particles along 14.0 387 μm of 46 symmetric profiles (mean 6.4 particles/μm) and 96 particles along 6.1 μm of 25 388 asymmetric profiles (mean 15.7 particles/µm). Still, the density distribution at symmetric 389 synapses was significantly higher than the distribution at the non-synaptic membrane (P = 390 0.000048).

Interestingly, while the labeling densities for GluN1 and also GluN2A/B were similar across
 non-synaptic membranes and asymmetric synapses (P = 0.99 and P = 0.81, respectively),
 labeling of symmetric synapses was considerably stronger for GluN2A/B versus GluN1 (P =

394 0.00042). This difference might be due to a distinct receptor configuration and/or a better
 395 accessibility of the GluN2 epitopes in the presynaptic symmetric membrane.

396 Finally, we analysed the radial distribution of particles across synaptic profiles for both 397 antibodies to establish whether labeling was predominantly associated with either the GC or 398 the MC membrane (Fig. 6D; see Methods). The distribution of both GluN1 and GluN2A/B 399 label at asymmetric synapses was similar, with a main peak just inside the postsynaptic GC 400 membrane and another peak within the synaptic cleft. Only a few gold particles were 401 localized beyond 20 nm on the MC side, suggesting that NMDARs are not expressed at 402 significant levels at the glutamate release sites on the MC membrane. Notably, the 403 distribution of gold particles at symmetric synapses mirrored the one at asymmetric 404 synapses, implying that labeling for NMDARs is predominantly associated with both the 405 presynaptic GABAergic and the postsynaptic glutamatergic membrane of GCs.

In summary, we conclude from these findings that NMDARs do play a direct presynaptic role for GABA release from the reciprocal spine, albeit in cooperation with HVACCs (Fig.7). A direct consequence of this conclusion is that any inhibitory output from a GC spine will require the presence of glutamate and thus synaptic input to the respective spine, with farranging implications for the role of GC-mediated lateral inhibition in early olfactory processing (see Fig. 8, Fig. 9, Discussion).



412 413

# Figure 7 Cooperative release of GABA from the granule cell reciprocal spine

Depolarizing currents are indicated by black solid arrows and  $Ca^{2+}$  entry by green solid arrows. Upon binding of glutamate, AMPARs get activated, leading to depolarization of the spine, then activation of both post- and presynaptic NMDARs and Na<sub>v</sub>s. The latter in turn drive further depolarization, i.e. the spine spike, which both activates HVACCs and enhances  $Ca^{2+}$  entry via NMDARs by additional relief of their Mg<sup>2+</sup> block. HVACC- and presynaptic NMDARmediated  $Ca^{2+}$ -currents cooperate to promote release of GABA.

### 414 **Discussion**

415 Here we have demonstrated that mimicking unitary synaptic inputs via two-photon uncaging 416 of glutamate onto individual olfactory bulb GC spines can activate the entire microcircuit 417 within the spine, from the local spine spike to the release of GABA onto MC lateral dendrites, 418 proving the mini-neuron-like functionality of the reciprocal microcircuit. As in classical axonal 419 release, sequential Nav channel and HVACC activation triggers output, which occurs on both 420 fast and slow time scales. Strikingly, however, presynaptic NMDA receptors are also found to 421 play a role for GABA release. These findings together with other prior knowledge allow to 422 make an educated guess regarding the specific function of reciprocal spines in bulbar 423 processing (see further below).

424 Properties of microcircuit operation and implications for coincident local and global activation 425 For the proximal reciprocal GC inputs investigated here we estimate that under physiological 426 conditions close to the MC resting potential the size of the fast IPSCs is on the order of -5 427 pA, after corrections for the partial GABA<sub>A</sub>R block by DNI and the setting of  $E_{CI}$ . Thus, 428 assuming an *in vivo* MC input resistance of 100 MΩ (Angelo & Margrie 2011), an inhibitory 429 input from a single GC spine will exert a somatic hyperpolarization of at best 0.5 mV, and 430 therefore even proximal GC inputs will barely influence MC firing (Fukunaga et al. 2014; 431 McIntyre & Cleland 2016) - unless there is coordinated activity across GC spines connected 432 to the same MC dendrite, for example in the wake of an MC action potential during the 433 recurrent IPSP (see e.g. Fig. 4F) or during gamma oscillations (e.g. Kay 2003; Lagier et al. 434 2004).

435 Upon local activation we observed a GABA release probability  $P_{r_{GABA}}$  from the GC spine on 436 the order of 0.33. This value might represent an upper limit, because the global reduction of 437 inhibition by DNI could cause a homeostatic upregulation of  $P_{r_{GABA}}$  (e.g. *Rannals & Kapur* 438 *2011*), and the detection of connections is generally biased towards larger  $P_{r_{GABA}}$ .

With  $P_{r_GABA} \approx 0.3$  and the probability for MC glutamate release on the order of  $P_{r_Glu} \approx 0.5 - 0.75$  (*Egger et al. 2005; Pressler & Strowbridge 2017*) the efficiency of the entire reciprocal microcircuit can be estimated as  $P_{reciprocal} = P_{r_Glu} \cdot P_{r_GABA} \approx 0.2$ , possibly informing future network models. The rather low  $P_{r_GABA}$  observed here also implies that GC spines are likely to release with higher probabilities upon coincident global GC signalling (Ca<sup>2+</sup> spike or global

444 action potential), due to substantially increased  $\Delta Ca^{2+}$  in the spine (*Egger et al. 2005; Egger* 445 2008; Aghvami et al. 2019; Mueller & Egger 2020). We predict this effect to boost both 446 recurrent and lateral inhibition, as described below (Fig. 9).

As to the minimal latency for recurrent GABA release, the temporal resolution of our experiments is limited by the uncaging laser pulse duration (1 ms) and by the unknown exact time course  $V_m(t)_{SPINE}$  of the spine spike. Fig. 1E shows that the fastest urIPSCs were detected within 2 ms from TPU onset, implying that there is a fast mechanism coupling Ca<sup>2+</sup> entry to release - in line with earlier findings of tight coupling between Ca<sup>2+</sup> entry and GABA release (using EGTA, *Isaacson, 2001*), and of a crucial role for Na<sub>v</sub> channels (*Bywalez et al.* 2015; Nunes & Kuner 2018).

454 While ~ 30% of urIPSCs occurred within 10 ms post TPU onset, there was also a substantial 455 fraction with longer latencies in the range of 10 - 30 ms and some even larger delays. Again, 456 since  $V_m(t)_{SPINF}$  is unknown, we cannot determine to what extent these urlPSCs were actually 457 asynchronous (if defined as release events that happen later than the fast coupling of HVA 458 presynaptic Ca<sup>2+</sup> currents to the release machinery, e.g. Kaeser & Regehr 2013). In any 459 case, substantial asynchronous release from the GC spine on yet longer time scales 460 (detected at up to 500 ms post TPU) was frequently observed, in line with earlier studies on 461 recurrent inhibition that localized the origin of asynchronous signalling within GCs (Schoppa 462 et al. 1998; Chen et al. 2000; Isaacson 2001). This time course of asynchronous release also matches with the duration of physiological postsynaptic GC spine Ca<sup>2+</sup> transients (Vanessa 463 464 Lage-Rupprecht & Veronica Egger, unpublished observation). Thus, the microcircuit can 465 operate across a wide range of latencies, which might contribute to glomerulus-specific GC 466 global AP firing latencies (Kapoor & Urban 2006), and also can generate combined 467 synchronous and asynchronous output.

468 *Na<sub>v</sub>-mediated and NMDAR-mediated contributions to release* 

How is postsynaptic  $Ca^{2+}$  entry coupled to release of GABA within the GC spine? Previously we have shown via occlusion experiments that  $Ca^{2+}$  entry via NMDARs occurs independently from  $Ca^{2+}$  entry mediated by the Na<sub>v</sub>-HVACC pathway, since AMPAR-mediated depolarization on its own is strong enough to lift the Mg<sup>2+</sup> block, probably due to boosting by the high GC spine neck resistance *(Bywalez et al. 2015)*. Therefore, we initially hypothesized

474 that the Na<sub>v</sub>-HVACC pathway would provide the sole trigger for fast release of GABA, as in 475 classical release from axons (see also *Nunes & Kuner 2018*), reinforcing the notion of the 476 GC spine as an independent mini-neuron that can generate output via its own local AP. 477 Indeed, blockade of either Na<sub>v</sub> or HVACCs strongly reduced or abolished urIPSCs. However, 478 in subsequent experiments probing NMDAR contribution we observed that urIPSCs were 479 also massively reduced by blockade of NMDARs.

480 As a note of caution, activation of single GC spines via TPU might involve spurious activation 481 of extrasynaptic NMDARs. We had observed that TPU resulted in a slightly larger NMDAreceptor mediated component of the postsynaptic Ca<sup>2+</sup> signal than true synaptic activation 482 483 via glomerular stimulation (~ 65 % vs ~ 50% of  $\Delta$ F/F, Bywalez et al. 2015; Egger et al. 2005). 484 Thus, at least part of the strong impact of NMDARs observed here might have been rooted in 485 their over-activation. Therefore, we investigated recurrent inhibition elicited by single MC 486 APs, and could demonstrate that NMDAR blockade alone (which does not prevent GC spine 487 spike generation, Bywalez et al. 2015) also reduces recurrent inhibition. This effect was 488 found to be mutually occlusive with the effect of GABA<sub>A</sub>R blockade, arguing again in favor of 489 an essential role of NMDARs for GABA release and against systematic overstimulation in the 490 TPU experiments (see also Results, Methods).

Another interesting aspect of the strong influence of NMDARs on GC output is that this property might serve to differentiate the MC $\leftrightarrow$ GC microcircuit from the MC $\leftrightarrow$ PV+ cell microcircuit, since PV+ cells feature Ca<sup>2+</sup>-permeable AMPARs and a probably absent NMDAR component in response to MTC input (*Kato et al. 2013*). Thus, the urIPSC blockade by APV observed here further argues in favor of a preferential activation of the MC $\leftrightarrow$ GC circuit by our experimental method.

In our previous study of postsynaptic  $Ca^{2+}$  signals in GC spines there was no obvious influence of Na<sub>v</sub> activation on NMDAR-mediated  $Ca^{2+}$  entry (*Bywalez et al. 2015*). At first, this finding might seem at variance with the simulations in Fig. 5 that demonstrate a boosting of early NMDAR-mediated  $Ca^{2+}$  currents by the spine spike. However, the simulations also indicate that this extra contribution is not substantial in terms of added  $\Delta$ F/F under our experimental conditions and therefore could not be detected (Fig. 5D). In line with the fast NMDAR-mediated  $Ca^{2+}$  spikelet, *Kampa et al. (2004)* have shown that the earlier the

postsynaptic membrane is depolarized after glutamate release, the more efficiently NMDARs
will be activated. These observations are of general importance in the context of spike-timing
dependent plasticity and electrical compartmentalization of spines (e.g. *Grunditz & Oertner*2004, Tonnesen & Nägerl 2016).

How exactly do NMDARs now effect release? The Na<sub>v</sub>/NMDAR-mediated Ca<sup>2+</sup> spikelet results in substantial and fast coincident activation of NMDARs and HVACCs, and our ultrastructural evidence for presynaptic NMDARs implies that indeed these currents can feed into the same presynaptic microdomain (see Results). This overlap bonus at the presynaptic Ca<sup>2+</sup> sensor (as predicted by the simulations) is likely to underlie the observed cooperative signalling of HVACCs and NMDARs.

514 Direct involvement of presynaptic NMDARs in GABA release

515 Presynaptic actions of NMDARs at specific subsets of both glutamatergic and GABAergic 516 synapses have recently received attention (reviewed in Bouvier et al. 2015; Banerjee & 517 Paulsen 2016). Presynaptic NMDARs have been shown to play a role in plasticity induction 518 (e.g. Duguid & Smart 2004) or in modulation of basal synaptic transmission, where effects 519 are mostly observed upon repetitive transmitter release (e.g. McGuiness et al. 2010). 520 Presynaptic NMDARs in the cerebellum are also involved in enhancing spontaneous release 521 of GABA (Glitsch & Marty 1999), with similar recent observations for extrasynaptic NMDA 522 receptors on retinal A17 amacrine cells (Veruki et al. 2019). However, to our knowledge 523 direct triggering of release via presynaptic NMDARs during unitary transmission has not 524 been observed so far, adding another pathway to the already highly diverse signalling 525 downstream of NMDARs.

526 The observed cooperation between Na<sub>v</sub>/HVACCs and NMDARs relates our study back to the 527 initial studies on dendrodendritic recurrent inhibition (DDI), when it was concluded by several 528 groups that NMDARs can contribute directly to release from the reciprocal spine upon 529 extended release of glutamate from the MC dendrite (see Introduction), and Schoppa et al. (1998) already speculated that this presynaptic function might be related to either  $Ca^{2+}$  entry 530 531 or depolarization. However, the relative contribution of NMDARs has been under debate and 532 it was demonstrated that under physiological conditions HVACC activation would be the 533 dominant release trigger (Isaacson 2001). While the standard DDI protocol, using 20-50 ms

534 long depolarizations, would evoke recurrent inhibition also in the presence of TTX (possibly 535 even enhanced, Halabisky et al. 2000), it was reported that recurrent inhibition in response to 536 shorter steps (< 5 ms) is substantially smaller than for the long step and reduced in TTX 537 (Schoppa et al. 1998; Halabisky et al. 2000). In view of our above findings, the standard DDI 538 protocol is likely to recruit NMDAR-dependent pathways for triggering GABA release via 539 prolonged release of glutamate and subsequent summation of EPSPs in GC spines. 540 whereas short steps are more likely to trigger release via the GC spine spike. Thus, the 541 cooperation of NMDARs and HVACCs reconciles these earlier findings.

542 Reciprocal inhibition and spontaneous inhibitory activity

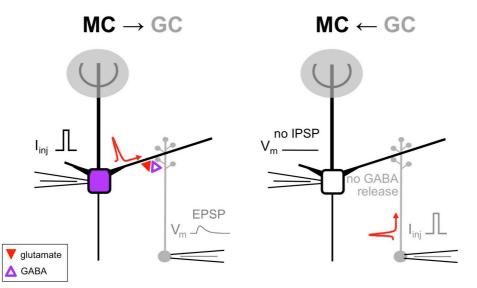
543 Spontaneous IPSCs are likely to predominantly originate from the more proximal lateral MC 544 dendrites (Arnson & Strowbridge 2017). The slightly larger mean amplitude of sIPSCs 545 compared to the triggered urIPSCs observed here might be explained by perisomatic 546 inhibitory contacts onto MCs, e.g. from type S GCs (Naritsuka et al. 2009). All three 547 antagonists of urIPSC generation (TTX, CTX, APV) were found to also substantially reduce 548 sIPSC frequency. For Nav blockade, strong effects on MC sIPSCs were reported also 549 elsewhere (e.g. Halabisky et al. 2000; Arnson & Strowbridge 2017) and are expected 550 because of the blockade of spontaneous MC firing and the GC spine spike. The effect of 551 HVACC blockade was less pronounced than that of Navs, probably because it will not 552 prevent spontaneous MC firing.

The substantial effect of NMDAR blockade on spontaneous IPSCs was also observed in other olfactory bulb studies (*Wellis & Kauer 1993; Schmidt & Strowbridge 2014; but see Chen et al. 2000*). Several factors might contribute: (1) the long-lasting depolarizations in MCs that are enhanced by NMDAR activation (*Carlson et al. 2000*), (2) the presence of MC NMDA autoreceptors (*Sassoé-Pognetto et al. 2003*), and (3) the crucial role of NMDARs for release from the GC spine reported here, since spontaneous release of glutamate from MCs is unlikely to trigger recurrent IPSCs in the presence of APV.

560 *Functional role of presynaptic NMDARs in GC spines: Linking coactive glomerular columns* 561 While a presynaptic contribution of NMDARs to GABA release from GCs was already 562 demonstrated earlier (see above), our findings imply that not only **can NMDAR activation** 

### 563 trigger GABA release, it is actually necessary, also in the presence of a Na<sub>v</sub>-mediated

564 spine spike. This observation is very intriguing for several reasons:





# Figure 8. Action potentials alone are unlikely to cause release from GCs, thus no apparent reciprocal connectivity is oberved in coupled GC↔MC pairs.

569 Left: Recording situation for connection MC $\rightarrow$ GC. A MC action potential is elicited by somatic 570 current injection and propagates along the lateral dendrite. An EPSP will be detected at the GC soma. 571 Recurrent release of GABA will be triggered at the reciprocal synapse (see also Fig. 7).

572 Right: Recording situation for connection  $GC \rightarrow MC$ . A GC action potential is elicited by somatic 573 current injection and propagates into the apical dendrite and its spines. However, this action potential 574 will not trigger release of GABA, since there is no glutamate present at the reciprocal synapse to 575 activate the presynaptic NMDARs, and hence no IPSP will be recorded at the MC soma. 576

577 (1) No AP-mediated release from the reciprocal spine without synaptic input.

Notwithstanding the precise site of AP generation in GCs, any AP will propagate along 578 579 the GC dendrite and the reciprocal spines perfectly well (Egger et al. 2003, Pressler & 580 Strowbridge 2019). The ensuing spine depolarization  $V_m(t)_{SPINE}$  will resemble that of the 581 spine spike (see also Aghvami et al. 2019) which on its own, with NMDARs blocked, 582 according to our findings cannot trigger GABA release. Therefore, any action potential 583 that propagates within the GC is rather unlikely to cause release of GABA by itself. This 584 consequence explains why no reciprocal connectivity was reported from paired 585 recordings of MCs coupled to GCs so far (Isaacson 2001, Kato et al 2013, Pressler & 586 Strowbridge 2017) and why others including our group have been unable to find 587 connected GC  $\rightarrow$  MC pairs in paired recordings in the first place (see Egger et al. 2003. 588 Schoppa 2006), since functional connectivity is tested by eliciting APs in the putative 589 presynaptic cell (see Fig. 8). This lacking proof of functional connectivity has been

590 particularly enigmatic in view of plenty of ultrastructural evidence that spines between 591 MCs and GCs are usually reciprocal (e.g. Price & Powell 1970, Jackowski et al. 1978, 592 Woolf et al. 1991), and of the strong physiological evidence for GC-mediated recurrent 593 inhibition of MCs. It should be noted that extracellular stimulation of the GC layer can 594 elicit MC inhibition, even in the presence of APV (e.g. Chen et al 2000, Egger et al. 2003, 595 Arevian et al. 2008). Possible explanations might be that either there is still some small 596 remaining probability for GABA release from spiking GCs in the absence of glutamate, or 597 that this type of stimulation activates some as of yet unknown inhibitory input to MCs, e.g. 598 via deep short-axon cells or axons of EPL interneurons (Nagayama et al. 2014, Burton 599 2017).

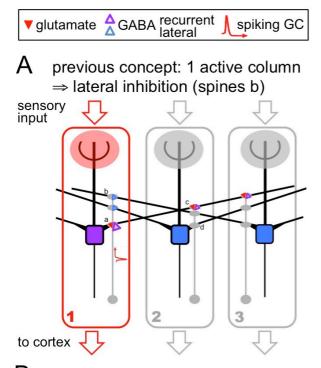
600 (2) Role of GC-mediated lateral inhibition between MCs.

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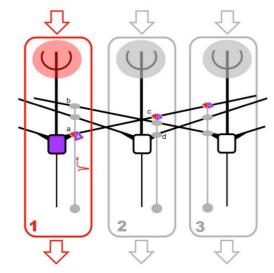
601 It is known by now that broad, far-range lateral inhibition between mitral cells within the 602 EPL is mediated by other interneurons than GCs (see Introduction). Thus, GCs are 603 unlikely to dominate bulbar lateral inhibition in terms of magnitude, i.e. total charge 604 transfer, a view that is also supported by other recent studies (*Fukunaga et al. 2014,* 

Geramita & Urban 2017). What then is the function of GC-mediated lateral inhibition?

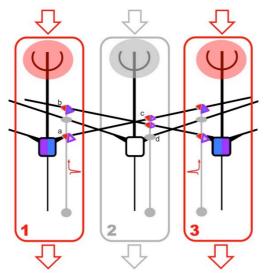
606 Activation of a given olfactory receptor will in turn activate its associated glomerular unit 607 and the neurons belonging to the respective glomerular column. In the following, a given 608 GC belongs a glomerular column if it can be fired (globally or regionally) via the activation 609 of the MTCs associated with that column. Evidence for GC spiking upon uniglomerular 610 activation in vitro has been observed by several groups, including our own (e.g. Schoppa 611 et al. 1998, Egger 2008, Stroh et al. 2012; Burton & Urban 2015); moreover, we have 612 shown recently that rather low numbers of coactivated spines on GC apical dendrites (< 613 10) are sufficient to elicit dendritic spiking (*Mueller & Egger, 2020*).



**B** new: 1 active column: no glutamate  $\Rightarrow$  no lateral inhibition



C coactive columns ⇒ lateral inhibition enhances recurrent inhibition



## Figure 9 GC-mediated lateral inhibition: previous and new concept

In each schematic column, the depicted mitral cell and granule cell stand for the ensemble of all MCs and GCs belonging to the column. GCs belong to a column if they can be fired (globally or regionally) by the ensemble of active columnar MCs (and thus a given GC may belong to more than one column). All three columns are interconnected via MC-GC-MC interactions. There are four GC spine types: a: spines receiving MC input within the column, b: other spines that belong to GCs within the column but without columnar input, c: spines in other columns that are laterally connected to the active column, d: spines in other columns that are not laterally connected to the active column.

### A Classical hypothesis

Column 1 gets activated by sensory input. Its MCs fire and receive recurrent inhibition from the GC spines they are connected to, both from within the column (spines a) and from others (e.g. spines c). Upon firing of the columnar GCs, these release GABA from their reciprocal spines, including contacts to other columns (spines b). Note that the columnar outputs (spines a) will exert stronger recurrent inhibition than the non-columnar spines c because of the coincidence of the GC spike with the local input. Thus recurrent inhibition at spines a will be enhanced. The MCs in column 1 will receive recurrent inhibition.

### B New hypothesis, scenario I: One active column.

If only one column is activated, lateral inhibition will not happen since spines b do not receive any glutamate and the propagating spike alone is not sufficient for GABA release (see also Fig. 8). Still, there will be recurrent inhibition from spines c. Note that the enhanced recurrent inhibition at spine a will also happen in this scenario.

### C New hypothesis, scenario II: Coactive columns.

If two or more columns are coactive, again there will be enhanced recurrent inhibition at their spines a. In addition, spines b in column 1 will now exert recurrent inhibition of the coactive column 3 and this recurrent inhibition will be enhanced by the coincidence of the GC spike in column 1 with the lateral input, thus lateral inhibition will also be exerted. If the connectivity and the strength of activation are symmetric, the situation in column 3 is symmetric, resulting in mutual lateral inhibition and thus possibly synchronized output.

Thus the MCs within the active columns 1, 3 will receive recurrent inhibition enhanced by lateral inhibition and the MCs in the silent column 2 will not be inhibited at all. Even if the GCs in column 2 would become fired by multiple coincident lateral inputs, they will not release GABA on the MCs of column 2, since again there is no glutamate at the respective contacts.

615 Figure 9A illustrates the prevailing concept of GC-mediated lateral inhibition up to now. 616 Upon spiking (global or regional) the spikes will result in GABA release not only at the 617 reciprocal synapses that have received input from an active glomerulus but also in GABA 618 release from other spines invaded by the spike that connect to extra-glomerular mitral 619 cells, exerting lateral inhibition. The spatial structure of this lateral inhibition depends on 620 anatomical connectivity and network dynamics and has been proposed to be either 621 isotropic, consistent with a center-surround field as in the retina, or rather patchy 622 (reviewed in Murthy 2011; see also Chae et al. 2019). Note however, that most of the 623 involved studies did not investigate specifically GC-mediated lateral inhibition.

In any case, the columnar GC spines (i.e. those that receive synaptic input from the active column) will experience coincident local spine spikes and global spikes, resulting in enhanced local  $Ca^{2+}$  entry, and therewith are likely to increase their release probability  $P_{r, GABA}$  (see above).

628 However, as a consequence of the requirement of presynaptic NMDAR activation, there 629 will be no or at best very little GC-mediated lateral inhibition upon activation of a single 630 column (Fig. 9B). We predict that GC-mediated lateral inhibition will happen 631 predominantly across coactive columns, such that a local synaptic input originating 632 from a lateral active column will coincide with a global (or regional) columnar spike. 633 resulting in enhanced inhibition of the lateral coactive column. The mechanism is the 634 same as for the coincidence detection described above for recurrent inhibition within an 635 active column (Fig. 9C). Provided that there are mutually interconnecting GCs in both 636 columns, this effect will be symmetric.

Therefore, GC-mediated lateral inhibition acts non-topographically (i.e. not isotropically)
to enhance recurrent inhibition. Non-topographical lateral interactions in the bulb have
been proposed already previously based on functional evidence and simulations (e.g. *Urban & Arevian 2009, Shepherd et al. 2007, Fantana & Meister 2008*). While nontopographic lateral inhibition may also happen within the glomerular layer (e.g. *Cleland & Sethupathy 2006, Economo et al. 2016*), our hypothesis is built on the spine-specificity of
GABA release. It does not require a specific, pre-established anatomical connectivity but

644 is a property of the synaptic arrangement of the microcircuits. Connectivity is dynamically

645 restricted to coactive columns and thus matched to glomerular activation patterns.

646 This mechanism will allow GC-mediated lateral inhibition to participate in synthesizing the 647 olfactory percept at the level of the bulb from the individual olfactory receptor channels, 648 most likely via synchronization in the gamma band (e.g. Laurent et al. 1996, Kashiwadani 649 et al. 1999, Schoppa 2006, Brea et al 2009, Li et al. 2015, Peace et al. 2017), while at 650 the same time preventing unnecessary energy expenditure and unwanted inhibition of 651 other glomerular columns (i.e. if GABA release would happen from all spines of an 652 activated GC). Thus inactive columns will remain sensitive for new stimuli or changing 653 components because they are not inhibited.

In other words, the discovered mechanism allows GCs to perform lateral inhibition "on demand", selectively on co-active mitral cells, and thus can provide directed, dynamically switched lateral inhibition in a sensory system with 1000 receptor channels, therefore explaining the unusual microcircuit of the GC spine/minineuron as a means of olfactory combinatorial coding.

659 Previous evidence for activity-dependence of lateral inhibition and impact of NMDARs

660 There is substantial prior evidence in the literature for both the activity-dependence and 661 NMDAR-dependence of GC-mediated lateral inhibition. Activity-dependent lateral inhibition 662 was already observed in vitro between pairs of MCs; one 'sending' cell was made to fire at a 663 high frequency, whereas the other 'receiving' cell was either not activated at all or subjected 664 to evoked spike trains with increasing frequency (Arevian et al., 2008). The receiving MC 665 showed effects of lateral inhibition by the sending MC within a certain range of its own firing, 666 but never for low or no own firing. The authors argue that the activity-dependent effect is due 667 to increased recruitment of firing of interconnecting GCs with increased activity (due to the 668 summation of inputs from both sending and receiving MCs); while such summation can 669 certainly contribute, our results further clarify the reason for a complete lack of lateral 670 inhibition of silent MCs.

Yet more importantly, in *in vivo* recordings from MCs there was a subset of MCs that
responded exclusively with inhibition to odor activation, presumably via lateral inhibition
(*Fukunaga et al., 2014, their Fig 4 and 7*). However, optogenetic silencing specifically of GCs

had no effect whatsoever on this lateral inhibition both in anesthetized and in awake animals, whereas silencing of glomerular inhibitory neurons did. Our results can explain this lack of contribution of GCs to lateral inhibition by the lack of odor-evoked activity in the recorded MCs – thus the presynaptic NMDARs could not be activated and no GC output would occur.

678 A third line of evidence is provided by the fact that markers of neuronal activity (such as c-679 Fos or 2-deoxyglucose) have revealed the existence of glomerular units/columns spanning 680 all layers of the bulb (e.g. Kauer & Cinelli 1993). More recently, retrograde transsynaptic 681 tracing studies using pseudorabies virus injections in the olfactory bulb and piriform cortex 682 have further confirmed this concept, by labeling of subsets of glomerular columns dispersed 683 across the bulb, which very frequently contained a substantial fraction of GCs (Willhite et al. 684 2006, Kim et al. 2011). The authors already speculated that this patchy labeling could be 685 explained by activity-dependent transsynaptic crossing from MC lateral dendrites, i.e. 686 specifically to active GC spines, which matches with the powered release of GABA restricted 687 to activated columns predicted by our results. Indeed, a recent study has been able to 688 produce compelling evidence for activity-dependent retrograde transsynaptic viral spread 689 (albeit by rabies virus, not pseudorabies; Beier et al. 2017). We would predict that 690 interference with GC-NMDAR signalling should prevent the transsynaptic labeling of GCs.

Interestingly, *McTavish et al. (2012)* have demonstrated in simulations that columnar arrays of GCs may be particularly effective in mediating synchronization, if the lateral connectivity is symmetric, in line with our hypothesis. To further elucidate this possibility, it would be important to know the impact of more distal GC inputs that were not investigated here for technical reasons. *In vivo*, distal excitation of GCs and accordingly large MC receptive fields have been demonstrated using optical stimulation (*Peace et al. 2017*).

As to functional evidence for a strong role of NMDARs in GC-mediated lateral inhibition, NMDAR blockade is routinely used to dissect lateral inhibition in the glomerular layer from GC-mediated lateral inhibition (*Shao et al. 2012, Najac et al. 2015, Geramita & Urban 2017*). NMDARs play a crucial role in enabling supralinear dendritic integration in GCs, efficiently lowering the threshold for global spiking and thus also for lateral inhibition (*Mueller & Egger 2020*). Both GC NMDARs and Na<sub>v</sub>s are known to play a role specifically in discriminations between binary odorant mixtures in mice, since in the same behavioral paradigm GC-specific

knock-down (in about ~ 50% of GCs) of the NMDAR-subunit GluN1 resulted in an increase in discrimination time of on average ~ 60 ms, and knock-down of Na<sub>v</sub>s of ~ 85 ms versus control, while discriminations between pure odors were not affected (*Abraham et al., 2010; Nunes & Kuner 2018*). These similar effects are in line with our findings, since both modifications should prevent both recurrent and lateral inhibition. However, the relative impact of recurrent inhibition versus lateral inhibition is not known.

Moreover, while there is first evidence for substantial dendritic Ca<sup>2+</sup> signals and local GC 710 711 spine signals in vivo (Wienisch & Murthy 2016; Wallace et al. 2017; Zhang et al. 2016), the 712 extent to which specifically local GABA release from GC spines contributes to odor 713 processing in the olfactory bulb is difficult to estimate at this point. Future methodological 714 advances will be required to tackle the contribution of the GC spine spike along with its 715 cooperative release mechanism to bulbar processing, including voltage-sensitive dye 716 imaging and novel genetic loss-of-function approaches for dissecting local recurrent release 717 from lateral inhibition - perhaps by targeting specifically presynaptic NMDARs.

718 Conclusion: The purpose of the reciprocal spine

In summary, we suggest that reciprocal spines allow the granule cell to selectively interact with coactive glomerular columns. Thus, the reciprocal spine might be a circuit motif that specifically enables efficient binding of dispersed olfactory representations, a coding task that this sensory modality needs to perform due to its large number of receptors. In other words, reciprocal spines with their unique synaptic arrangement and functionality, including spine spikes and presynaptic NMDARs, represent a special adaptation to the demands of sensory processing in the bulb.

Another intriguing yet more speculative potential function of target-activity-dependent output is that concurrent preactivation from glutamatergic cortical inputs onto reciprocal spines (ultrastructure in *Price & Powell 1970*) can also enable or enhance lateral inhibition (in addition to providing feed-forward inhibition), allowing for a top-down projection of olfactory templates as has been proposed by *Zelano et al. (2011*).

731

### 732 Methods

### 733 Animal handling, slice preparation and electrophysiology

734 Animals used in our experiments were juvenile Wistar or VGAT-Venus transgenic rats 735 (VGAT-Venus /w-Tg(SLc32a1-YFP\*)1Yyan) of either sex (P11 – P19). VGAT-Venus 736 transgenic rats are based on mouse BAC transgenic lines. They were generated by Drs. Y. 737 Yanagawa, M. Hirabayashi and Y. Kawaguchi at the National Institute for Physiological 738 Sciences, Okazaki, Japan, using pCS2-Venus provided by Dr. A. Miyawaki (Uematsu et al., 739 2008), RRID: RGD 2314361. In this rat line, fluorescent Venus protein is preferentially 740 expressed in cells carrying the vesicular GABA transporter (VGAT), i.e. GABAergic neurons: 741 the localization of Venus-labeled cells across OB layers was found to be similar to that of 742 GABA-positive cells; direct colocalization in the cortex yielded an overlap of 97% (Uematsu 743 et al., 2008).

Sagittal olfactory bulb brain slices (thickness 300 µm) were prepared in ACSF (composition see below) following procedures in accordance with the rules laid down by the EC Council Directive (86/89/ECC) and German animal welfare legislation. Slices were incubated a water bath at 33°C for 30 min and then kept at room temperature (22°C) until recordings were performed.

749 Olfactory bulb mitral cells were visualized by gradient contrast and recorded from in whole 750 cell voltage clamp mode (at -70 mV or +10 mV) or current clamp mode. Recordings were 751 made with an EPC-10 amplifier and Patchmaster v2.60 software (both HEKA Elektronik, 752 Lambrecht/Pfalz, Germany). Experiments were performed at room temperature (22°C). 753 Patch pipette resistance ranged from 5-6-M $\Omega$ . Mitral cells clamped at -70 mV were filled with 754 intracellular solution containing the following substances (in mM): (1) tip solution: 130 K-755 Methylsulfate, 10 HEPES, 4 MgCl2, 2 Ascorbic acid, 10 Phosphocreatine-di-tris-salt, 2.5 756 Na2ATP, 0.4 NaGTP (2) backfilling solution: 110 Cs-Chloride, 10 HEPES, 10 TEA, 4MgCl2, 757 2 Ascorbic acid, 10 5-N-(2.6-dimethylphenylcarbamoylmethyl) triethylammonium bromide 758 (QX-314, Sigma), 0.2 EGTA, 10 Phosphocreatine, 2.5 Na2ATP, 0.4 NaGTP. Mitral cells 759 clamped at + 10 mV contained internal solution composed of: 125 Cs-methanesulfonate 1 760 NaCl, 0.5 EGTA, 10 HEPES, 3 MgATP, 0.3 NaGTP, 10 Phosphocreatine-di-Tris-salt, 10 QX-

314, 0.05 Alexa 594 (Ca<sup>2+</sup> indicator, Thermofisher Scientific, Waltham. Massachusetts, US),
at pH 7.3.

For current clamp experiments the internal solution contained: 130 K-Methylsulfate, 10 HEPES, 4 MgCl2, 2 Ascorbic acid, 10 Phosphocreatine-di-Tris-salt, 2.5 Na2ATP, 0.4 NaGTP. Single APs were evoked by somatic current injection (3 ms, 1 nA) and 5 APs were elicited for every recording condition. Cells with leak currents > 200 pA (at  $V_{hold} = -70$  mV) were discarded. We chose a hyperpolarized holding potential in order to reduce the activation of NMDA autoreceptors on MC lateral dendrites.

769 The extracellular ACSF was bubbled with carbogen and contained (in mM): 125 NaCl, 26 770 NaHCO<sub>3</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 20 glucose, 2.5 KCl, 1 MgCl<sub>2</sub>, and 2 CaCl<sub>2</sub>. The following 771 pharmacological agents were bath-applied in some experiments: bicucculline (BCC, 50 µM, 772 Sigma-Aldrich), ω-conotoxin MVIIC (CTX, 1 μM, Alomone, Jerusalem, Israel), TTX (500 nM, 773 Alomone), D-APV (25 µM, Tocris), gabazine (GBZ, 20 µM, Tocris). In pharmacological 774 experiments we waited for 10 minutes after wash-in of the drugs TTX, APV resp. CTX. In 775 CTX experiments 1mg/ml cyctochrome C was added to the ACSF. TTX voltage clamp 776 experiments were conducted at clamping potentials of -70 mV (n = 5) or + 10 mV (n = 7), 777 whereas all CTX and APV voltage clamp experiments were conducted at + 10 mV.

778 Combined two-photon imaging and uncaging

779 Imaging and uncaging were performed on a Femto-2D-uncage microscope (Femtonics, 780 Budapest, Hungary). Two tunable, Verdi-pumped Ti:Sa lasers (Chameleon Ultra I and II 781 respectively, Coherent, Santa Clara, CA, USA) were used in parallel. The first laser was set 782 either to 900 nm for simultaneous excitation of YFP and Alexa 594 in one channel for 783 visualization of spines and the mitral cell for urIPSC recordings, and the second laser was 784 set to 750 nm for uncaging of caged glutamate. The two laser lines were directly coupled into 785 the pathway of the microscope with a polarization cube (PBS102, Thorlabs Inc, Newton, NJ, 786 USA) and two motorized mirrors. As caged compound we used DNI-caged glutamate (DNI; 787 Femtonics). DNI was used in 1 mM concentration in a closed perfusion circuit with a total 788 volume of 12 ml. Caged compounds were washed in for at least 10 minutes before starting 789 measurements. The uncaging laser was switched using an electro-optical modulator

- 790 (Pockels cell model 350-80, Conoptics, Danbury, CT, USA). The emitted fluorescence was
- split into a red and a green channel with a dichroic mirror.
- 792 Triggering of mitral cell reciprocal IPSCs

The region of interest on a proximal lateral mitral cell dendrite was moved to the center of the scanning field. In some initial experiments, four uncaging spots were placed in close apposition along the lateral mitral cell dendrite in case of 'blind' uncaging (n = 8 out of total number of successful experiments n = 44). In most experiments, a single uncaging spot was positioned near the region of interest, using YFP fluorescence of GABAergic cells in VGAT-Venus rats as an optical guide to identify potential synaptic contacts between MC lateral dendrites and GC spines.

800 The parameter settings for two-photon uncaging were as established previously on the same experimental rig for precise mimicking of unitary synaptic Ca<sup>2+</sup> transients in GC spines 801 802 (Egger et al. 2005; Bywalez et al. 2015). These parameter settings were routinely verified in parallel test experiments, where we imaged Ca<sup>2+</sup> transients in GC spines following TPU (as 803 804 in Bywalez et al. 2015). The uncaging site was usually chosen within the top 10-30 µm below 805 the slice surface (since otherwise the visibility of spines in the Venus-VGAT rats was 806 compromised) and the uncaging power was adjusted to the depth of the uncaging site. 807 corresponding to a laser power of approximately 15 mW at the uncaging site (Sobczyk et al. 2005). The uncaging beam was positioned at ~ 0.2 - 0.5  $\mu$ m distance from the MC 808 809 dendrite/GC spine head. The uncaging pulse duration was 1 ms. The scanning position was 810 readjusted if necessary before each measurement to account for drift. The microscope was 811 equipped with a 60x Nikon Fluor water immersion objective (NA 1.0; Nikon Instruments, 812 Tokyo, Japan). The microscope was controlled by MES v.5.3190 software (Femtonics). To 813 prevent overstimulation, the uncaging laser power was not increased if there was no 814 detectable response. While we cannot exclude overstimulation per se, we would like to argue 815 that systematic overstimulation is unlikely to have occurred based on the following 816 observations (see also Results):

- size of urIPSCs as compared to spontaneous activity (Fig. 1F)

818 - low reciprocal release probability from the spine (Fig. 1F)

819 - no extended asynchronous release compared to the classical experiments on

820 recurrent inhibition (mean total duration of TPU-evoked recurrent inhibition ~ 200 ms,

Fig. S1E, vs a mean half duration ~ 500 ms in e.g. Schoppa et al. 1998, Isaacson

822 2001)

823 - no evidence for toxicity in our stability experiments (Fig. 1E)

main finding of dependency of recurrent inhibition on NMDAR activation confirmed by
 method that does not involve uncaging

826 Uncaging stability

827 To test for the stability of uncaging evoked recurrent IPSCs in MCs, uncaging at a dendritic 828 region of interest was performed. If repeated uncaging led to the apparent occurrence of 829 urIPSCs within the same time window (see below for anlysis details), the stability 830 measurement was continued by either uncaging 30 times in total with a frequency of 0.033 831 Hz (every 30 s) or 5 times in a row with 0.033 Hz followed by a 10 min break (to mimic the 832 time for wash-in of pharmacological compounds) and another round of uncaging (5x, 833 0.033Hz). urIPSC amplitudes were taken from averages of the first 5 – 10 and the last 5 – 10 834 uncaging sweeps and statistically compared with each other.

835 Electrophysiology: Data analysis and statistics

Electrophysiological data were analysed with custom written macros in Igor pro
(Wavemetrics, Lake Oswego, OR, USA). Additional sIPSC and urIPSC analysis was
performed using the MiniAnalysis program (Synaptosoft, Decature, GA, USA) and Origin
(Northampton, MA, USA).

Detection of urISPCs Due to the high spontaneous activity, in order to test for the presence of a signal we performed first an area and event analysis of IPSC traces (see below and Fig. 1D; if a signal was detected based on these analyses, we went on to search for individual triggered urIPSCs by visual inspection of an overlay of the recorded traces. Individual IPSCs were considered as uncaging-evoked when they repetitively occurred within the same time window (width  $3 \pm 2$  ms, n = 35) after uncaging and had similar kinetics (indicating a similar location of the respective input on the dendrite). Signal types ranged from single urIPSC

847 events to barrages of urIPSCs lasting tens to hundreds of ms. The release probability was

estimated based on 5 - 30 TPU samplings with a mean of  $7.5 \pm 1.7$  stimulations (n = 44).

Area analysis The area was measured in individual traces as the integrated activity above baseline for a 500 ms pre-uncaging baseline window and for a 500 ms post-uncaging window, in order to screen for the presence of a signal (Fig. 1D). The 500 ms extent of the time windows was validated by our measurements of averaged barrage duration (see Fig. S1D2).

854 Delta ( $\Delta$ ) area values were calculated by subtracting the area of the 500 ms pre-uncaging 855 baseline window ('pre') from the 500 ms post-uncaging window ('post'), in order to isolate the 856 amount of uncaging-evoked inhibitory activity from spontaneous activity. If this procedure 857 was applied to averaged traces and the result was negative, the  $\Delta$  area value was set to 858 zero (i.e. no uncaging-evoked activity). While this procedure might still generate false 859 positives due to spontaneous bursts of activity in the post-uncaging window, it also prevents 860 a spurious cancelling of activity (false negative) that otherwise might happen upon averaging 861  $\Delta$ \_area across an entire set of experiments.  $\Delta$ \_area values for pharmacological conditions 862 were normalized to control  $\Delta$  area in order to assess the net effect of drugs on uncaging-863 evoked inhibitory activity (Fig. 2D, 3D, 4D).

Event analysis Within the individual recorded traces, the peak time points of individual IPSCs were analysed. Peak search parameters in MiniAnalysis were adjusted in order to detect potentially all IPSCs within a trace. For detailed spontaneous IPSC amplitude analysis, IPSCs were sorted manually after the automated peak search and discarded if the amplitude exceeded less than 5 pA and/or the IPSC onset was not be detected properly. Event counts were averaged for the 500 ms pre-uncaging and the 500 ms post-uncaging windows, respectively.

Evaluation of effects of pharmacological agents For determining drug effects, the averaged urIPSC amplitudes were scaled down by the ratio of number of responses to total number of trials, both in control and drug condition, in order to account also for changes in release probability. If no single responses/urIPSCs could be detected anymore in the presence of TTX, CTX or APV according to the criteria described above, we measured the mean amplitude of  $V_m$  above baseline in the averaged response at the time point of the maximal

877 response amplitude in control condition. If this value was below 0, the response size was set 878 to 0 pA. If the value was larger than 0, we interpreted it as average drug response amplitude 879 including failures and thus did not scale it. This conservative method prevents false 880 negatives due to lacking sensitivity in individual trials in the presence of the drug.

<u>Detection of spontaneous activity</u> Spontaneous IPSCs were recorded prior to wash-in of DNI,
 in the presence of DNI and in the presence of each pharmacological compound. For each
 condition, data were analysed for a total duration of 20 s of recordings.

884 Analysis of afterhyperpolarizations All stable MC AP recordings within either baseline or drug 885 condition were averaged (n = 5 each). If between the two conditions the holding membrane 886 potential changed by more than 0.3 mV, or the time course (onset of upstroke relative to 887 onset of step depolarization, width) and/or the amplitude of the AP changed by more than 888 15% from their baseline values, the experiment was discarded. If single individual recordings 889 showed such variations, they were not included in the average. For each average, the AHP 890 amplitude was measured as the maximal negative deflection of the membrane potential from 891 the resting membrane potential.

892 Simulations

893 The simulations are based on a published compartmental model in NEURON (Aghvami et 894 al., 2019, ModelDB entry 244687). This model uses the 5-state gating model for NMDA 895 receptors (Destexhe et al. 1998), while the HVACC model is adopted from Hemond et al. 2008, with adjustments based on own  $Ca^{2+}$  current recordings (see Aghvami et al. 2019). For 896 the simulations shown in Fig. 5, there was no exogenous Ca<sup>2+</sup> buffer included except for 897 898 panel E where fluorescence transients were simulated in the presence of 100 µM of the 899 Ca<sup>2+</sup>-sensitive dye OGB-1 in order to emulate the experimental situation from *Bywalez et al.* 900 2015.

As a readout measure for the temporal overlap between  $I_{Ca_NMDAR}$  and  $I_{Ca_HVACC}$  we first determined the FWHM (full width half maximum) for each current and then the interval within which the two FWHMs overlapped. At this point, we focussed on temporal relationships and did not account for current amplitudes or integrals, since Ca<sup>2+</sup> concentration changes within nanodomains cannot be properly simulated in NEURON and thus spine Ca<sup>2+</sup> current amplitudes are of limited meaning. We tested for the robustness of this measure

907 against variation of those model parameters that are crucially involved in the generation of 908 the spine spike, i.e. the resistance of the spine neck ( $R_{neck}$ ), the conductance of AMPA 909 receptors ( $g_{AMPA}$ ) and the voltage-gated sodium channel conductance (varied in proportion to 910 the potassium channel conductance,  $g_{Na/K}$ ). Their nominal values in the GC model are 1.7 911 G $\Omega$ , 2000 pS and 0.5 S/cm<sup>2</sup> respectively. Each parameter was varied between up to 200% of 912 the nominal value, and down until no spine spike was generated any more. 913 *Immunogold labeling and electron microscopy* 

914 Immunogold labeling was performed on cryosubstituted rat olfactory bulbs (n = 4 animals, 3 915 months old), that had been used previously; for further details on the fixation, embedding and 916 immunogold labeling procedure see (*Sassoè-Pognetto & Ottersen, 2000; Sassoè-Pognetto* 917 *et al., 2003*).

To maximize detection of the GluN1 subunit, we used a combination of two rabbit antisera as described in *Sassoè-Pognetto et al. (2003)*. One antiserum (kindly donated by Anne Stephenson) binds an extracellular domain (amino acid residues 17–35) common to all splice variants of the GluN1 subunit (*Chazot et al. 1995; Racca et al. 2000*). The other antiserum was raised against a C-terminal domain and recognizes four splice variants (Chemicon, Temecula, CA; catalog No. AB1516).

For GluN2, we used a affinity-purified rabbit antibody raised against a synthetic peptide corresponding to the C-terminus of the GluN2A subunit conjugated to BSA (Chemicon, cat. no. AB1548). According to the manufacturer, this antibody recognizes the GluN2A and GluN2B subunits in Western blot analysis of transfected cells.

928 Ultrastructure data analysis and statistics

929 Grid squares were analysed systematically for the presence of synaptic profiles (symmetric 930 and/or asymmetric) between GC spines and MC dendrites (Fig. 6; see also Fig. 1A in 931 Sassoè-Pognetto & Ottersen 2000). Synaptic profiles were then photographed at high 932 magnification (75.000 - 120.000x) with a side-mounted CCD camera (Mega View III, 933 Olympus Soft Imaging System). The plasma membrane of GC spines, when clearly visible, 934 was classified as either belonging to an asymmetric synaptic profile, a symmetric profile, or a 935 non-synaptic segment (see Fig. 6A2 for examples). The length of segments was measured 936 along the spine membrane curvature (using ImageJ 1.52 analysis software) and the number

937 of immunogold particles within a distance of  $\leq$  30 nm from the GC spine membrane was 938 counted for the individual segments. The lengths of non-synaptic segments were on average 939 longer than those of synaptic segments, which argues against an undersampling of gold 940 particle densities in non-synaptic membranes compared to synaptic membranes and thus a 941 false positive difference between the density distribution in non-synaptic membranes and 942 symmetric profiles (GluN1: mean non-synaptic segment length:  $610 \pm 400$  nm, n = 138, symmetric synaptic profiles: 270 ± 120 nm, n = 120, asymmetric synaptic profiles: 260 ± 110 943 944 nm, n = 111; similar results for GluN2, not shown). When both symmetric and asymmetric 945 synaptic profiles were visible in the same individual spine, the distance of such reciprocal 946 contacts was also measured along the curvature of the GC spine membrane.

The distribution of labeling along the axis perpendicular to the GC spine membrane (radial axis) was determined by examining micrographs of transversely cut synaptic profiles, with well defined presynaptic and postsynaptic membranes. Here all particles at distances up to 40 µm were counted to prevent a possible bias.

951 Statistical tests

All electrophysiological data were analysed with non-parametric paired (*Wilcoxon matched* pairs) or unpaired (*Mann-Whitney-U*) tests and expressed as mean ± SD. The density distributions of immunogold particles were compared with the Kolmogorov-Smirnov test (<u>https://www.wessa.net/rwasp\_Reddy-Moores%20K-S%20Test.wasp</u>).

956

### 957 Author contributions

958 VLR performed all uncaging experiments. LZ performed MC current clamp recordings. VLR, 959 GB, MSP and VE analyzed data. SSA performed simulations. VE and VLR designed 960 research and wrote the manuscript, with MSP contributing to the parts on ultrastructure. BR 961 provided DNI-caged glutamate and assisted in editing the manuscript.

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## 972 Competing interests

973 There are competing financial interests since BR is a founder of Femtonics Kft and a

974 member of its scientific advisory board. No other competing interests exist.

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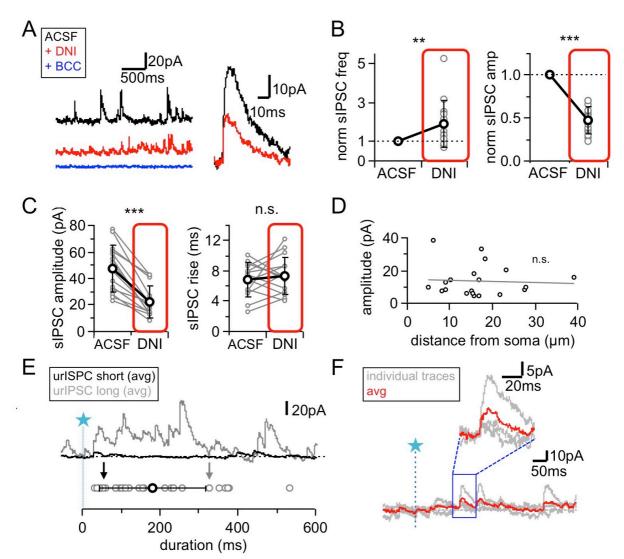
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### Figure S1. Effects of DNI (1 mM) on sIPSCs, uncaging sites, duration of responses

(A) Left: Example recordings in ACSF, after DNI wash-in and with added bicuculline (BCC, 50 μM).
 Right: Representative single events before and after DNI wash-in.

(B) Summary of effects of DNI (red) on spontaneous IPSCs. Left: Amplitude Right: Frequency (n =
 14 MCs).

1255 (C) Absolute changes in sIPSC parameters (amplitude, rise time) upon wash-in of DNI (red, n = 14).

1256 (**D**) Distance of TPU site from soma versus urIPSC amplitudes. No correlation was found (n = 20).

1257 (E) Top: Exemplary averaged responses from two experiments. Bottom: Cumulative durations of

1258 triggered events (black and grey arrow: durations of exemplary averaged responses).

1259 (F) Exemplary experiment with a long latency of first detectable response (see Methods). Inset:

- 1260 Magnification of responses.
- 1261