# Drone-derived canopy height predicts biomass across non-forest ecosystems globally

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## 49 Abstract

50 Non-forest ecosystems, dominated by shrubs, grasses and herbaceous plants, provide 51 ecosystem services including carbon sequestration and forage for grazing, yet are highly 52 sensitive to climatic changes. Yet these ecosystems are poorly represented in remotely-53 sensed biomass products and are undersampled by in-situ monitoring. Current global 54 change threats emphasise the need for new tools to capture biomass change in non-forest 55 ecosystems at appropriate scales. Here we assess whether canopy height inferred from 56 drone photogrammetry allows the estimation of aboveground biomass (AGB) across low-57 stature plant species sampled through a global site network. We found mean canopy height 58 is strongly predictive of AGB across species, demonstrating standardised photogrammetric 59 approaches are generalisable across growth forms and environmental settings. Biomass 60 per-unit-of-height was similar within, but different among, plant functional types. We find 61 drone-based photogrammetry allows for monitoring of AGB across large spatial extents and 62 can advance understanding of understudied and vulnerable non-forested ecosystems across 63 the globe.

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## 65 <u>Keywords:</u>

- 66 Aboveground Biomass, Canopy Height Model, Structure-from-Motion Photogrammetry,
- 67 Unmanned Aerial System (UAS), Drone, Allometry, Fine Resolution Remote Sensing, Plant

68 Height.

#### 69 Introduction

70 Non-forest ecosystems, dominated by shrub and herbaceous plants, cover about 70% of the 71 Earth's land surface<sup>1</sup> and account for around 35% of all aboveground biomass (AGB)<sup>2</sup>. They 72 provide multiple ecosystem services, playing dominant roles in the long-term trends and interannual variability of the global carbon cycle<sup>3,4</sup>, and are highly significant for grazing and 73 74 agriculture<sup>5</sup>. Grassland, shrubland, Arctic tundra, savanna and proglacial montane 75 landscapes are often more sensitive and respond faster to changes in climate than forests<sup>6</sup>. but have received less systematic research attention<sup>1,7,8</sup>. Non-destructive measurements of 76 77 canopy height and biomass are fundamental requirements for plant science<sup>9-11</sup> to understand the roles of these ecosystems in climate change mitigation, sustainable food 78 production and land management<sup>12-14</sup>. However, measuring biomass with in situ 79 80 measurements is labour intensive and thus prone to undersampling, particularly in 81 ecosystems that are spatially heterogeneous and/or temporally dynamic, putting on (and losing) biomass rapidly<sup>1,15–17</sup>. Gaps in available data mean that biomass dynamics are not 82 83 being captured in many important ecosystems across the globe, hindering the calibration and validation of vegetation models and products derived from satellite observations<sup>7,14</sup>. The 84 lack of accurate biomass data limits our ability to track changes and predict future responses 85

86 in globally relevant non-forest ecosystems.

#### 87

88 Improving the accuracy of biomass data in non-forest biomes requires approaches that are: (i) sensitive to small differences in AGB, (ii) sufficiently inexpensive to be adopted worldwide, 89 90 and allow (iii) spatially continuous sampling across (iv) representative areas at (v) temporal frequencies appropriate for dynamic ecosystems<sup>14,16</sup>. The most accurate non-destructive 91 92 estimates of AGB are generally obtained from in situ measurements of attributes such as 93 plant cover, height and stem diameters, using allometric functions fitted to harvested 94 biomass observations. Canopy volume, the product of height and cover, is often the strongest predictor of AGB for shrubs, herbs and other low-stature plants<sup>15,18–22</sup>. Remote-95 96 sensing approaches have been widely used to extend the coverage of biomass predictions. 97 Biomass can be predicted from airborne LiDAR (Light Detection and Ranging) in shrublands 98 and savannas<sup>23</sup>, but the footprints sampled by LiDAR can be insensitive to fine-scale changes in plant structure and these data are expensive and unavailable in many areas. 99 100 Biomass estimates computed from spectral reflectance are often highly uncertain due to 101 asymptotic relationships between AGB and surface reflectance and variable soil albedo<sup>6,22</sup>. Globally-available biomass products from space-based sensors such as LiDAR, synthetic-102

aperture radar or vegetation optical depth are either insensitive and/or poorly calibrated and
 validated in low-biomass (<20 Mg ha<sup>-1</sup>) ecosystems<sup>1,7,8,14,24</sup>.

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106 Photogrammetry using aerial images acquired with unmanned aerial systems (UAS, herein 'drones') could greatly improve quantification of AGB in non-forest ecosystems, both directly 107 108 at a local scale and indirectly by improving the calibration and validation of biomass products 109 obtained from coarser scale remotely-sensed observations. Advances in photogrammetry. 110 particularly structure-from-motion (SfM) with multi-view stereopsis<sup>25</sup>, have made it possible 111 to capture 3D representations of plants, quantitatively describing their fine-scale structure with an unprecedented level of detail<sup>26,27</sup>. SfM allows objective measurements of canopy 112 height at sub-decimetre spatial grain for a wide range of plant growth forms<sup>18-20,27-31</sup>. 113 114 Lightweight and inexpensive drones enable vegetation sampling at temporal intervals 115 appropriate for highly dynamic ecosystems<sup>16,32</sup> and can be used for detailed surveys over extents of 1-10 ha, covering more representative areas of heterogeneous ecosystems<sup>15,27</sup> 116

117 that allow spatially explicit comparison with other biomass estimates<sup>1,14,32</sup>.

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Fully realising the potential of drone photogrammetry in plant science requires reproducible 119 workflows, which minimise biases<sup>30,33,34</sup>. Over the past few years, thousands of hectares of 120 121 low-stature ecosystems have been surveyed with drones across the globe, yielding 122 information-rich datasets. However, drone-photogrammetry products are sensitive to the 123 ways in which data are (i) collected (e.g., ground sampling distance, image overlap, viewing 124 geometry, spatial control, illumination conditions)<sup>27,30,34–38</sup>, (ii) processed (e.g., software, lens model, specification of control accuracy, selection of processing quality, depth filtering)<sup>27,36-</sup> 125 126 <sup>38</sup>, and (iii) analysed (e.g., canopy height metrics, spatial grain and interpolation, statistical treatment)<sup>20,27–29,31</sup>. These sensitivities are more pronounced for subjects with complex 127 128 texture, such as vegetation, and hinder comparisons between products obtained from 129 different workflows. To maximise the value of these approaches, standardised and 130 reproducible protocols are needed, but few efforts currently exist to advance this aim. 131 Addressing critical knowledge gaps in plant science with drone photogrammetry requires 132 knowledge of the relationships between photogrammetrically derived canopy height and 133 AGB across the range of plants and ecosystems in which they will be applied, and 134 systematic understanding of the possible influences of environmental conditions (e.g. wind speed and illumination)<sup>19,27,30,35,39</sup>. In this study, we apply a new, standardised approach for 135 airborne allometric inference of biomass for non-forested ecosystems globally using drone 136

137 photogrammetry. We asked the following research questions: (1) Does canopy height

derived from drone photogrammetry correspond with AGB at the species-level? (2) Does

139 photogrammetry-derived canopy height correspond with AGB at the PFT-level? (3) Are

140 relationships between reconstructed canopy height and biomass influenced by wind speed

141 and (4) and solar elevation?

142

Using rigorous, consistent protocols<sup>33</sup>, we conducted a novel, globally coordinated 143 experiment to sample 36 sites, encompassing a diverse range of non-forest ecosystems, 144 145 including semi-arid and temperate grasslands and shrublands, Arctic tundra, savanna and proglacial montane sites (Fig. 1B), spanning from 71° North to 37° South, across North 146 147 America, Europe, Australia and Africa (Fig. 1A). Our study includes photogrammetric 148 reconstructions from 38 different surveys (Supplementary Table 1), sampling 50 low-stature 149 plant species across six PFTs including ferns, forbs, graminoids, shrubs, succulents and 150 trees that cover phylogenetic diversity including non-flowering plants and the most species-151 rich clades of flowering plants (including monocots and eudicots). To calibrate our allometric models, we sampled 741 harvest plots, with AGB ranging from 9 g m<sup>-2</sup> to 7,892 g m<sup>-2</sup> and 152 mean (maximum) canopy heights ranging from 0 m to 1.9 m (0.01 m to 6.7 m). Our sample 153 154 achieved a more than twenty-fold improvement in the coverage of harvest plots, species and 155 sites compared to previous photogrammetry vegetation studies (Fig. 1C)<sup>20,28,29</sup>. We fitted plant functional type (PFT) and species-specific models that predict AGB from fine-grained 156 157 canopy height as determined by SfM photogrammetry. Mean canopy height, sampled at fine 158 (centimetre) spatial grain, integrated canopy cover and height as well as foliage density. The consideration of these multiple plant size attributes is key to robust prediction of biomass. 159



Fig. 1. Point clouds derived from drone surveys consistently provided structural 161 162 reconstructions of plants across non-forested ecosystems. A depicts the geographic 163 distribution of our sites, spanning four continents. B depicts the bioclimatic distribution of 164 sites in terms of annual average precipitation and temperature. We sampled five biomes where low-stature vegetation is often dominant, representing every appropriate (non-forest) 165 166 biome described by Whitaker<sup>40</sup>. C Reconstructed point clouds corresponded well with photographs of harvest plots. The grid of black points represents the underlying terrain 167 168 model.

#### 169 Methods

### 170 Site and Species selection

171 We focused our efforts on low-stature phenotypes in non-forest ecosystems, including 172 grasslands, shrublands with open and closed canopies, and woody savannas. Low-stature 173 ecosystems are understudied and tools for quantifying forest biomass are better represented 174 in the existing literature<sup>1,7,8</sup>. While photogrammetry can be used to characterise forest canopies<sup>30,31,35</sup>, we consider forest ecosystems better candidates for observation with active 175 remote sensing approaches such as synthetic-aperture radar<sup>7</sup>, vegetation optical depth and 176 177 LiDAR<sup>52</sup>. We selected species that were regionally widespread, accessible and would inform 178 ongoing research efforts, but excluded extensively modified vegetation such as managed 179 hedges. Sampling was undertaken during seasonal peak canopy cover to minimise 180 differences arising from phenophase, although plant development and allometric 181 relationships may still vary especially in more water-limited ecosystems<sup>41</sup>. The data collection protocol was comprehensively described by Cunliffe and Anderson<sup>33</sup>. Two study 182 183 sites ('SES' and 'SEG') were sampled on consecutive years, giving 38 surveys from 36 sites 184 (Supplementary Table 1).

#### 185 Aerial imaging surveys

186 Harvest sites were surveyed using drones to acquire aerial red-green-blue images. For each 187 site, two sets of survey flights were undertaken, the first obtaining nadir imagery to attain a 188 spatial grain of ca. 5 mm per pixel at the canopy top, and the second obtaining oblique (ca. 189 20° from nadir) images from ca. 4 m higher. Survey altitudes varied depending on the resolution and field-of-view of the sensors and the canopy height<sup>30</sup>, but were typically 20 m 190 191 above the canopy. The different perspectives afforded by the nadir and slightly higher, convergent surveys helps to improve the stability of the camera network<sup>36,37,45,53–56</sup>. Both 192 193 survey flights obtained 75% forward and side overlap, together capturing at least 30 images 194 for each part of the study area. The high image overlap facilitated tie point matching in the 195 vegetated scenes. Wind speeds were generally recorded using handheld anemometers 196 immediately prior to the survey<sup>47</sup>. Our sampling protocol<sup>33</sup> was optimised for smaller plants of 197 up to ca. 3 m in height. To support feature matching in texturally complex scenes containing 198 taller vegetation (e.g., mature Juniperus monosperma or Pinus edulis), higher survey 199 altitudes could be used to help minimise excessive parallax (i.e., excessive scene changes 200 between overlapping images).

201 A key requirement for photogrammetric surveys is the inclusion of adequate spatial 202 control<sup>38,45</sup>. Our photogrammetric reconstructions used thirteen ground markers, each 203 measuring ca. 20 cm x 20 cm, deployed across each site and geolocated to a typical 204 precision of  $\pm 0.015$  m horizontally and  $\pm 0.03$  m vertically. Further details on the sites and 205 survey equipment are provided in Supplementary Table 1. Images intended for 206 photogrammetric analysis should ideally not be geometrically corrected in-camera prior to 207 further distortion correction. Such in-camera processing is a problem for JPG-format image 208 files from cameras like the widely used DJI Phantom 4 Advanced/Pro FC6310 camera, and so capturing RAW-format images can help avoid this error source<sup>38,45</sup>. We anticipate ongoing 209 210 improvements to camera geolocation and orientation information from drone systems will 211 continue to improve the accuracy and reliability of the camera parameter estimation, 212 particularly in densely vegetated and thus texturally complex settings (Supplementary Note 1)34,38,45,57,58 213

# 214 Vegetation harvests

215 We used an area-based approach to enable sampling in ecosystems with continuous or 216 coalesced canopies, while also sampling individual plants where these were naturally isolated from other plants<sup>33,59</sup>. We selected harvest plots to sample across the natural range 217 218 of canopy heights observed at each site, in order to estimate the allometric models more 219 efficiently as well as to test the form of the relationship between mean canopy height and 220 biomass<sup>46</sup>. Plots were chosen to try to ensure that  $\geq$  90% of the biomass and  $\geq$  90% of the 221 foliar volume within each plot were from the target species. We aimed for a minimum harvest 222 plot size of 0.5 m x 0.5 m to reduce the possible effects of co-registration errors<sup>22</sup>. The 223 corners of each plot were geolocated with high-precision GNSS before all standing biomass 224 was harvested to ground-level (or the moss level for Salix richardsonii and Arctophila 225 fulva)<sup>22</sup>. Biomass was then dried at ca. 50-80°C until reaching a constant weight over a 24-226 hour period. For some of the largest taxa (Adenostoma fasciculatum, Adenostoma 227 sparsifolium, Atriplex polycarpa, Ericameria nauseosa, Juniperus monosperma, Launaea 228 arborescens, Pinus edulis and Prosopis velutina), freshly harvested biomass was weighed in 229 the field and representative sub-samples were then dried to determine moisture contents<sup>59</sup>. 230 Plot areas were computed from corner coordinates, unless a quadrat was used during 231 harvesting in which case the area of the quadrat was used to minimise propagating errors 232 from GNSS-coordinates.

#### 233 Image-based modelling

234 Aerial images were processed using SfM photogrammetry, using established workflows and following our previous studies<sup>27,59</sup>. Geotagged image data and ground-control marker 235 236 coordinates were imported into AgiSoft PhotoScan Professional v1.4.3 (now Metashape: 237 http://www.agisoft.com) and converted to UTM coordinate reference systems. Image 238 sharpness was measured using PhotoScan's image guality tool, all images had an image 239 sharpness score of  $\geq 0.5^{37}$ . Tie points were matched and cameras aligned using 240 PhotoScan's highest quality setting, a key point limit of 40,000, a tie point limit of 8,000, with 241 generic and reference pair preselection enabled, and adaptive camera model fitting disabled. 242 During camera self-calibration we enabled the following lens parameters: Focal length (f). 243 principal point (cx, cy), radial distortion (k1, k2), tangential distortion (p1, p2), aspect ratio 244 and skew coefficient (b1, b2). Most cameras had global shutters but rolling shutter 245 corrections were used when appropriate. Reference parameters were set to: camera 246 location accuracy = XY  $\pm$  20 m, Z  $\pm$  50 m; marker location accuracy = XY  $\pm$  0.02 m, Z  $\pm$  0.05 247 m; marker projection accuracy was set to 2 pixels; tie point accuracy was set to either the 248 mean root mean square reprojection error or one, whichever was greater. The result of 249 camera alignment was a sparse point cloud that was then filtered and points with 250 reprojection error above 0.45 pixels were excluded from further analysis. An operator 251 reviewed the sparse point clouds and estimated camera positions to verify their plausibility. 252 Any obviously erroneous tie points were removed manually. Geolocated markers were 253 placed by an operator on ten projected images for each of the 13 ground control points. Ten 254 of these markers were used to constrain the photogrammetric reconstructions spatially<sup>60</sup>. 255 while the remaining three were used for independent evaluation of each reconstruction. The 256 three markers used for accuracy assessment were deselected before the interior and 257 exterior camera parameters were optimised. Any obviously implausible camera positions were refined after marker placement and optimisation. All cameras were usually aligned and 258 used for multi-view stereopsis (dense point cloud generation), using the ultrahigh quality 259 setting with mild depth filtering to preserve finer details of the vegetation<sup>27,29,30</sup>. For further 260 261 discussion of some of the limitations of this approach, see Supplementary Note 1. Dense 262 point clouds were exported in the laz format, with point coordinate and RGB attributes.

#### 263 Digital terrain models

An essential requirement for deriving canopy height models from photogrammetry-derived point clouds is a digital terrain model, which must be sufficiently accurate and detailed with respect to canopy heights and topographic complexity<sup>31</sup>. We used terrain models interpolated with Delaunay triangulation between the GNSS-observations of the harvest plot corners (Fig. 1C). In instances where plant canopies are discontinuous in space, suitable

terrain models may be extracted from the photogrammetric point cloud<sup>20,27</sup>. Other options
 can include extracting terrain models from photogrammetric drone surveys during leaf-off
 conditions (or post-harvest, if applicable), LiDAR surveys<sup>61</sup> or walkover surveys with GNSS
 instruments.

### 273 Calculation of canopy heights

274 Point clouds were analysed with PDAL (v2.1.0)<sup>62</sup>. The point cloud representing each harvest 275 plot was subset using the GNSS-observed corner coordinates. In a few instances where plot 276 infrastructure (e.g., marker posts or flags) was visible in the point cloud (n=20 plots), these 277 points were manually assigned to a noise class and excluded from canopy height 278 calculations. Within each plot, the height-above-ground of each point was calculated relative 279 to the terrain model and any points with a negative height-above-ground were set to 280 zero<sup>20,27</sup>. Using a 0.01 m resolution grid, we calculated the maximum point height in each 281 grid cell. For cells containing no points, we interpolated heights using inverse distance 282 weighting considering an array of  $7 \times 7$  cells using a power of one, and cells with no 283 neighbouring points in that area remained empty. Plot-level mean canopy height was then 284 extracted from this grid of local maxima elevations.

#### 285 Statistical analysis

Statistical analyses were conducted in R v3.6.1<sup>63</sup>. Sun elevations during each survey were
computed with the Astral package<sup>64</sup>. We produced the climate space plot using the
plotbiomes R package<sup>65</sup> based on the biomes described by Whittaker<sup>40</sup>. We excluded 13
bryophyte plots from two rocky sites where we were unable to extract meaningful canopy

- 290 height observations (Supplementary Fig. 5) and 16 graminoid plots from one grassland site
- 291 ('WSP') that could not be reconstructed (Supplementary Fig. 6, Supplementary Note 1).

We used ordinary least squares regression to fit separate linear models predicting AGB observations from mean canopy height for each PFT and for each species with four or more observations. We considered ferns, forbs, graminoids, shrubs, trees and succulents as

- 295 PFTs, and constrained the y-intercept to zero in order to ensure zero canopy height
- 296 predicted zero biomass. Model performance was validated using leave-one-out cross-
- 297 validation (LOOCV) to compute the mean out-of-sample prediction error, which was divided
- 298 by the model slope to obtain relative errors for each model<sup>31,66</sup>.

To test the influence of wind speed on allometric functions, we fitted a generalised linear mixed model (GLMM) to predict total biomass as a function of canopy height and wind speed as fixed-effects and PFT as a random-effect based on a gamma error distribution with an

identity link function, using the 'Ime4' package (v1.1-23)<sup>67</sup> (Supplementary Table 3). 302 303 Succulents were excluded from this model because their inclusion prevented model 304 convergence, possibly because this PFT had a much steeper slope between height: biomass 305 (Table 1, Fig. 2) and/or because they may be less influenced by wind speed (Supplementary 306 Fig. 2A). To illustrate the effect of wind speed, we used the 'ggeffects' pacakge (v0.15)<sup>68</sup> to 307 simulate the relationship between height and biomass for three levels of wind speed using 308 the GLMM (Fig. 3A), and plotted the slope of biomass-height models (±83% confidence 309 interval<sup>69</sup>) against wind speed at the PFT- (Supplementary Fig. 2A) and species-levels

- 310 (Supplementary Fig. 3).
- 311 To test the influence of cloud cover on allometric functions, we fitted a linear mixed model
- 312 (LMM) to predict total biomass as a function of canopy height, with PFT as a random-effect
- 313 and cloud cover as fixed-effects, using the 'ImerTest' package (v3.1-2)<sup>70</sup> (Supplementary
- Table 4). Cloud cover was coded as a binary factor, with relatively clear sky (n=620) and
- 315 cloudy conditions where the sun was obscured (n=80, sky codes  $\geq$  6 after<sup>71</sup>, Supplementary
- Table 6). To illustrate the effect of sun elevation, we simulated the modelled relationship
- between height and biomass for the two levels of cloud cover using the LMM
- 318 (Supplementary Fig. 4).

319 To test the influence of sun elevation on allometric functions, we fitted a LMM to predict total 320 biomass as a function of canopy height and sun elevation as fixed-effects and PFT as a random-effect, using the 'ImerTest' package (v3.1-2)<sup>70</sup> (Supplementary Table 5). We only 321 322 included observations (n=620) collected under relatively clear sky conditions (sky codes  $\leq$  5. 323 after<sup>71</sup>) when scene illumination was minimally modulated by clouds. To illustrate the effect 324 of sun elevation, we simulated the modelled relationship between height and biomass for three levels of sun elevation using the LMM (Fig. 3B), and plotted the slope of biomass-325 326 height models (±83% confidence interval<sup>69</sup>) against sun elevation at the PFT-327 (Supplementary Fig. 3B) and species-level (Supplementary Fig. 5). There was insufficient replication to allow convergence of more complex model structures including species nested 328 329 within PFT or site as random-effects. We evaluated diagnostics for all model visually using

330 the R package 'performance'  $(v0.4.6)^{72}$ .

#### 331 Results

332 We found photogrammetrically measured mean canopy height was strongly predictive of 333 AGB at the species-level. Linear models with a zero-intercept provided good approximations 334 of the relationships between mean canopy height and AGB and are readily interpreted (Fig. 335 2, Supplementary Fig. 1)<sup>22,31</sup>. The slopes from these models are equivalent to AGB density 336 (g m<sup>-3</sup>, calculated by dividing g m<sup>-2</sup> by mean canopy height). Species-level densities ranged between 375 g m<sup>-3</sup> to 13,801 g m<sup>-3</sup> (Supplementary Fig. 1, Supplementary Table 2). Mean 337 338 canopy height was an accurate predictor for individual species, especially when calibrated 339 for specific ecophenotypic and phenological conditions<sup>15,31,41</sup>. Model goodness-of-fit was 340 strong, with adjusted R<sup>2</sup> values ranging from 0.46 to 0.99 with a mean of 0.83 341 (Supplementary Fig. 1, Supplementary Table 2). Leave-one-out cross-validation indicated a 342 mean prediction error of 7.4% (Supplementary Table 2). The high goodness-of-fits indicated 343 the photogrammetric approach performed as well as widely used in situ allometric 344 approaches at the species-level (Fig. 1, Table 1, Supplementary Fig. 1, Supplementary Table 2)<sup>15,22,41,42</sup>. Importantly, however, intensive drone surveys are relatively easy to 345 conduct over larger spatial extents of several hectares. Using a carefully designed, 346 347 standardised protocol<sup>33</sup> for acquiring and processing datasets yielded a good level of 348 success in reconstructing 93% (688/741) of plots (Fig. 1C). The few instances where 349 reconstructions were unsuccessful include mosses in rocky terrain, tall and dense grassland, 350 and taller trees and shrubs (> 3 m) and are discussed in Supplementary Note 1. The 351 similarities of the height-biomass relationships indicate this approach is generalisable across

352 growth forms and environmental settings.

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354 At the PFT-level, we found canopy height strongly predicted AGB across all six PFTs, with adjusted R<sup>2</sup> ranging from 0.49 to 0.99 (Fig. 2, Table 1). For every 1-centimetre increase in 355 356 mean canopy height, AGB increased by between 11 to 115 g m<sup>-2</sup>, depending on PFT (Fig. 2, 357 Table 1). Ferns had the lowest density  $(1,096 \text{ g m}^3)$ , followed by forbs  $(1,191 \text{ g m}^3)$ , then graminoids (2,898 g m<sup>-3</sup>) and shrubs (3,214 g m<sup>-3</sup>) with notably similar densities, then small 358 359 trees (5,572 g m<sup>-3</sup>) and lastly succulents had the largest density (11,532 g m<sup>-3</sup>). Species-level 360 model slopes were generally similar within, but different between, PFTs. The similarity of 361 densities within PFTs indicates these relationships are generally transferrable between species within PFTs, particularly for the better sampled types such as graminoids and 362 363 shrubs, although phenotypic and phenological variation will limit accuracy<sup>31,41</sup>. Should 364 destructive harvests for local calibrations not be possible due to resource limitations or taxon

- 365 conservation status, the height-mass models described here could be used to non-
- 366 destructively estimate AGB from similar drone-derived canopy height models (Table 1 and
- 367 Supplementary Table 2). These allometric relationships were linear across the range of
- 368 canopy height and biomass that we sampled, allowing their application from the whole plant-
- 369 level to the ecosystem-level without necessarily requiring the discrete analysis of individual
- 370 plants that can be challenging in ecosystems with coalesced canopies<sup>14,27,31,43</sup>.

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Fig. 2. Photogrammetrically derived canopy height was a strong predictor of biomass within plant functional types. A constant X:Y ratio was used for all plots, so model slopes can be compared visually even though axis ranges vary. Model slopes were generally similar within, but differed between, plant functional types. 'Species' indicates the number of species pooled for each plant functional type and black lines are linear models with intercepts constrained through the origin. Full model results are included in Table 1.

379 Table 1. Parameters for linear models fitted to each plant functional type. LOOCV is the

Plant functional type	n	n of surveys	Slope	Residual standard error	Adj. R²	t- statistic	P value	LOOCV
_			g m <sup>-2</sup>	g m-2				%
Fern	6	1	1,096	53	0.99	20.558	<0.0001	12.0
Forb	22	3	1,191	262	0.47	4.534	0.0002	19.0
Graminoid	227	17	2,898	112	0.75	25.786	<0.0001	3.7
Shrub	397	24	3,214	134	0.59	23.823	<0.0001	11.6
Succulent	22	3	11,532	760	0.91	15.159	<0.0001	2.6
Tree	38	2	5,572	577	0.71	9.654	<0.0001	16.7

380 prediction error from Leave-One-Out Cross-Validation divided by the slope.

381

Wind speed negatively affected canopy heights reconstructed from photogrammetry (Fig. 382 3A, Supplementary Table 3, Supplementary Fig. 2, Supplementary Fig. 3, Supplementary 383 384 Note 2). We found the height-wind interaction parameter was strongly positive and highly 385 significant (p < 0.0001) (Fig. 3A Supplementary, Table 3). This influence was seen at both 386 the PFT-level (Supplementary Fig. 2A) and species-level (Supplementary Fig. 3). Biomass 387 divided by height increased for surveys conducted in windier conditions, because the 388 movement of foliage meant lower mean canopy heights were reconstructed from images that 389 were acquired non-concurrently (see Supplementary Note 2 for extended discussion). 390 However, wind effects had only limited influence in our study because most of our plots were 391 surveyed in relatively light wind conditions (of  $< 4 \text{ m s}^{-1}$ ) (Supplementary Fig. 2A). We expect 392 sensitivity to wind speed differs between species because the effects of wind on foliage 393 motion depend on canopy architecture and mechanical properties like limb stiffness<sup>44</sup> 394 (Supplementary Fig. 3, Supplementary Note 2). Previous studies in forest settings have 395 reported contradictory effects of wind speed on canopy reconstructions<sup>30,35</sup>, but we think that these differences are linked with the spatial grain of analysis. Our study demonstrates the 396 397 need to control for the influence of wind speed in future work particularly when surveying 398 low-stature plant canopies.

399

Sun elevation had no effect on allometric density and by extension reconstructed plant
height (Fig. 3B, Supplementary Fig. 2B, Supplementary Fig. 5, Supplementary Table 5).

402 Cloudy conditions appeared to have a notable effect on allometric density; however, the 403 imbalance in observations under cloudy and clear conditions (n=80 and n=620, 404 respectively), meant this effect was not considered reliable (Supplementary Table 4. 405 Supplementary Fig. 4). As with wind, previous studies in forest settings reported contradictory effects of elevation on canopy reconstructions<sup>30,35</sup>. However, illumination 406 407 conditions affect photogrammetry in complex ways<sup>37,45</sup>, with the influence of sun elevation 408 depending on the distribution and intensity of shadows as well as the properties of the 409 camera sensor and user choices during processing (see Supplementary Note 3 for extended 410 discussion). When comparing findings regarding illumination effects, it is therefore necessary 411 to consider the capabilities of the sensors and workflows employed and the structural 412 complexities of the observed ecosystems. Our findings suggest that surveying under low 413 wind speeds may be a higher priority than optimal illumination conditions for obtaining

414 structural models of vegetation in low stature ecosystems.

415



#### 416

# 417 Fig. 3. Reconstructed plant height and thus height-biomass relationships were

418 systematically influenced by wind speed but were insensitive to illumination

419 **conditions.** Mean predicted aboveground biomass variation over the range of observed

420 mean canopy height, estimated for a range of three wind speeds and sun elevations. Wind

speed has a statistically clear and positive effect on the relationship between height and

biomass (A) (Supplementary Figs. 2A and 3, Supplementary Table 3), but sun elevation had

423 no significant effect on the relationship between height and biomass (**B**) (Supplementary

Figs. 2B and 5, Supplementary Table 5). Shaded areas represent 95% confidence intervalson the model predictions.

#### 426 Discussion

427 We established accurate height-biomass relationships for non-forest vegetation using 428 standardised drone photogrammetry protocols. Our findings enable observations that will 429 provide new insights into ecosystem dynamics at previously understudied scales across 430 non-forested ecosystems. Linear models have strong correspondence with observations at 431 the species and PFT-levels across a diverse range of low-stature ecosystems and perform 432 as well as conventional in situ allometric approaches reported in the literature (Table 1, Fig. 433 2. Supplementary Table 2 and Supplementary Fig. 1). The similarity of graminoid and shrub 434 PFT relationships indicate these could be applied together to estimate AGB in mixed 435 ecosystems, without the need to individually classify these taxa, although there will be cases 436 where allometric functions will need to be calibrated locally (Supplementary Note 4). As 437 mean canopy height is readily compared between taxa, ecosystems and observation approaches<sup>14,22</sup>, these linear allometric relationships are straightforward to interpret<sup>46</sup> and 438 439 can be easily integrated with landscape modelling frameworks. Drone photogrammetry is a 440 relatively 'low-cost' (although see Supplementary Note 5) tool well suited for local-scale 441 observation in non-forest ecosystems. The ease of surveying landscape scales of 1 to 10 ha 442 is critical to advancing beyond existing *in situ* approaches and overcoming the gap between 443 on-the-ground monitoring and the coarser grain of global-scale products derived from satellite-based remote sensing<sup>27,31</sup>. Accurate information at these intermediary scales is 444 445 invaluable for validating models and testing the scaling of ecological relationships and 446 biomass carbon estimates from plots to biomes<sup>6</sup>.

447

Addressing critical knowledge gaps in plant science with drone photogrammetry requires 448 449 standardised protocols, such as those used here, because photogrammetry-derived models are sensitive to the ways in which data are collected<sup>27,30,35-38</sup>, processed<sup>27,36-38</sup>, and 450 451 analysed<sup>20,27–29</sup>. These sensitivities can be more pronounced for subjects with complex 452 texture, such as vegetation, and hinder comparisons between products obtained from 453 different workflows. To date, what has been missing are systematic and reproducible 454 demonstrations of how drone data can be used in real-world plant ecology research. Using 455 standardised protocols, we established comparable height-biomass relationships for a wide range of low-stature plant species for the first time and enable investigation of how factors 456 such as wind speed (Fig. 3A), illumination (Fig. 3B)<sup>35</sup>, or antecedent conditions<sup>41</sup> may 457 458 influence allometric approaches. We show that it is important to account for the effects of 459 wind speed during photogrammetric surveys beyond simply considering the effects of wind

on drone platforms. The most reproducible reconstructions will be obtained under 'zero' wind
 speeds<sup>30,35,37</sup>, but this is often not possible under real world operational conditions<sup>31,45,47</sup>. Our
 findings demonstrate that data will be most comparable when wind speeds are similar, but

also that, where differences are unavoidable, it will be possible to derive corrections for how

- wind influences canopy reconstructions from drone photogrammetry. We call for the
- 465 continued development of harmonised and community-based protocols to maximise
- 466 knowledge gains and support cross-biome syntheses<sup>31,33,34,48</sup>.

467

468 Our findings show drone photogrammetry can yield informative canopy height models 469 capable of detecting ecologically significant differences in AGB across a diverse range of 470 low-stature ecosystems globally. Drones have considerable advantages as data collection 471 platforms for ecological applications, including their relatively low cost, versatility in 472 deployment allowing high temporal resolution monitoring, and capacity to record fine-grained 473 and spatially explicit data<sup>34,45,49</sup>. Systematic and comparable observations of plant canopy 474 structure and biomass are vital for calibrating and evaluating vegetation models and biomass products retrieved from globally-available remote sensing systems<sup>1,32,50,51</sup>. Drone data 475 476 collection can broaden the scope of research and monitoring programmes to obtain more 477 representative observations in vulnerable and understudied low-stature ecosystems. 478 Photogrammetric approaches for monitoring canopy height and biomass provide novel tools that should be used more widely by the ecological research community to improve 479 480 assessments of ecosystem change and global carbon budgets.

481

482

# 483 Data availability

484 Data collected for this publication, including aerial images, marker and plot coordinates, and

dry sample weights, as well as site and survey metadata, are available from the NERC

486 Environmental Information Data Centre (DOI: <DATA DEPOSIT IN PROGRESS> -

487 AVAILABLE ON REQUEST IF REQUIRED FOR REVIEW). Code for photogrammetric

488 processing and statistical analysis is available at <<u>https://github.com/AndrewCunliffe/Global-</u>

489 <u>Drone-Allometry</u>>.

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726

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- A.M.C. conceived the research idea, administered the project, curated the data, did the data
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# 740 Competing interests

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