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1 Neurodegeneration and neuroinflammation are linked, but independent of α-synuclein

2 inclusions, in a seeding/spreading mouse model of Parkinson's disease

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31 Abstract

32 A key process of neurodegeneration in Parkinson's disease (PD) is the transneuronal 33 spreading of α -synuclein. Alpha-synuclein is a presynaptic protein that is implicated in the 34 pathogenesis of PD and other synucleinopathies, where it forms, upon intracellular 35 aggregation, pathological inclusions. Other hallmarks of PD include neurodegeneration and 36 microgliosis in susceptible brain regions. Whether it is primarily transneuronal spreading of 37 α -synuclein particles, inclusion formation, or other mechanisms, such as inflammation, that 38 cause neurodegeneration in PD is unclear. We used spreading/aggregation of α -synuclein 39 induced by intracerebral injection of α -synuclein preformed fibrils into the mouse brain to 40 address this question. We performed quantitative histological analysis for α -synuclein 41 inclusions, neurodegeneration, and microgliosis in different brain regions, and a gene 42 expression profiling of the ventral midbrain, at two different timepoints after disease 43 induction. We observed significant neurodegeneration and microgliosis in brain regions not 44 only with, but also without α -synuclein inclusions. We also observed prominent microgliosis 45 in injured brain regions that did not correlate with neurodegeneration nor with inclusion load. 46 In longitudinal gene expression profiling experiments, we observed early and unique 47 alterations linked to microglial mediated inflammation that preceded neurodegeneration, 48 indicating an active role of microglia in inducing neurodegeneration. Our observations 49 indicate that α -synuclein inclusion formation is not the major driver in the early phases of 50 PD-like neurodegeneration, but that diffusible, oligomeric α -synuclein species, which induce 51 unusual microglial reactivity, play a key role in this process. Our findings uncover new 52 features of α -synuclein induced pathologies, in particular microgliosis, and point to the 53 necessity of a broader view of the process of "prion-like spreading" of that protein.

- 55 Key words: alpha-synuclein spreading, neuroinflammation, Parkinson's disease,
- 56 microgliosis, transcriptional profiling, neurodegeneration

58 Introduction

59 Protein misfolding and aggregation are central pathological processes in neurodegenerative 60 diseases, where they are believed to play a key role in driving the pathology [1, 2]. Proteins 61 such as the amyloid beta peptide $(A\beta)$ and tau in Alzheimer's disease (AD), TAR DNA-62 binding protein 43 (TDP43) in fronto-temporal dementia or motor neuron disease, prion in 63 Creutzfeldt-Jakob disease, and finally alpha-synuclein (α -syn) in Parkinson's disease (PD), 64 are all examples of physiologically occurring proteins that, upon pathological misfolding, 65 form oligomers, fibrils, and extracellular (A β , prion) or intracellular (TDP43, tau, α -syn) 66 deposits, and injure neurons in the process [3-5].

67 An important property of these disease-associated proteins is their ability to self-68 propagate. This has been known for decades in prion diseases, in which disease-associated 69 misfolding proteins themselves are sufficient to induce the disease process when transposed 70 into a susceptible recipient host already decades ago [3, 6]. They do so by acting as a seed 71 and corrupting the endogenous form of the protein, leading it to aggregate and form, over 72 time, intracellular inclusions along interconnected neuronal pathways [7, 8]. Currently, the 73 predominant narrative of this "spreading hypothesis" is that misfolded/aggregated particles of 74 a disease protein move transsynaptically from neuron to neuron, causing dysfunction and 75 damage along the way [4, 8]. Major support for this hypothesis comes from two observations. 76 First, the neuropathological studies by Braak and colleagues, staging tau inclusions in AD 77 [9], and Lewy inclusions in PD [10], suggest a progressive appearance, starting first in a 78 population of susceptible neurons, of proteinaceous intraneuronal inclusions, a process that 79 takes place over decades. Second, postmortem studies of in PD patients that had received 80 intrastriatal fetal neuron transplants to combat dopamine loss, revealed Lewy bodies in a 81 subset of the grafted neurons, indicating a spreading of abnormal α -syn from the diseased 82 neurons of the recipient to those of the donor [11, 12].

83 Alpha-syn is a presynaptic protein that normally is involved in the regulation of the 84 synaptic vesicle cycle [13, 14]. Its involvement in PD was discovered when it was identified as an essential component of a PD pathological hallmark, the Lewy body [15], and when 85 86 mutations in its gene, as well as dupli-or triplication thereof, were shown to lead to hereditary 87 forms of the disease [16, 17]. Its prion-like spreading properties have been demonstrated in *in* 88 vitro and in vivo model systems, using intracranial injection of Lewy-body containing brain 89 extracts, of viral-construct mediated α -syn overexpression and/or administration of pre-90 formed fibrils (PFFs) from recombinant α -syn as seeds to induce spreading and 91 aggregation[18] [19-22].

92 The role of α -syn spreading and inclusion formation is PD pathogenesis still unclear, 93 since no correlation between PD symptoms and α -syn inclusion load was consistently found 94 [23-26]. Different possibilities that could explain what ultimately causes neuronal 95 dysfunction and injury and, hence, neurological symptoms, have recently surfaced [27, 28]. 96 Among those, the notion that smaller moieties, or oligomers, of misfolded proteins rather 97 than more fibrillar, deposited forms of α -syn are the most neurotoxic has gained traction [29, 98 30]. It is important to note that, in the field of AD, it was thought for decades that the $A\beta$ 99 deposited into plaques is the most harmful to neurons, whereas more recent evidence points 100 to diffusible, soluble small A β moieties as being the major neurotoxic form [31]. In the PD 101 field though, this notion is still debated: while some studies report the potential harm α -syn 102 oligomers can cause [30, 32], other studies still contend that neuronal dysfunction and injury 103 cannot occur without the demonstrable presence of inclusions [33, 34].

104 In this study, we addressed this issue in the using a α -syn seeding/spreading induced 105 in wildtype mice [20]. We used intracranial administration of recombinant murine α -syn 106 PFFs to induce α -syn spreading and inclusion formation in the brain of wildtype mice, and 107 examined neurodegeneration and microgliosis in brain regions with α -syn inclusions and, 108 importantly, in those without. We observed neurodegeneration in both cases, indicating that 109 neuronal injury can occur independently of the progressive formation of α -syn inclusions. 110 Because neuroinflammation has emerged as a key player in neurodegenerative disease [35], 111 and because microglia are the main cellular effectors of this process [36, 37], we also 112 measured microgliosis in our model. We noticed, in regions with or without inclusions, a 113 surprisingly strong microgliosis (4-5x over baseline), which far surpassed that observed after 114 administration of neurotoxins such as the dopaminergic lesioning agent 6-hydroxydopamine 115 (6-OHDA). In contrast to mice injected with 6-OHDA, neurodegeneration and microgliosis 116 did not correlate with each other in the brains of α -syn PFFs injected mice. Moreover, by 117 measuring gene expression profiles after intrastriatal α -syn PFF injection, we observed 118 numerous significant changes in inflammation-related genes and pathways, and an unusual 119 microglial molecular activation profile that preceded neuron loss and indicated a direct 120 involvement of these cells in the neurodegeneration process.

121 These findings indicated that, in this model, microgliosis does not occur primarily as a 122 response to neuronal damage, but is likely part of an intrinsic response to a process that is 123 independent of the progression of α -syn inclusion formation. Our results demonstrate that 124 PD-like neurodegeneration can occur independently of the presence of α -syn inclusions, and 125 thus that PD-like pathologies is more than just the progressive formation of pathological 126 inclusions. It may involve spreading of other, more soluble forms of toxic aggregates, such as 127 oligomers, inducing an excessive microglial response, before inclusions are formed. We 128 believe these results add an important aspect on how the pathogenic properties of "prion-like" 129 α -syn should be viewed, and how future therapeutic interventions for PD will be designed.

130

131 Materials and Methods

133 Expression, and purification of recombinant murine α -Syn, and generation of pre-formed

134 fibrils (PFFs), and of oligomers

Expression and purification of recombinant murine a-syn and generation of PFFs were 135 136 performed as described [38]. PFFs were stored aliquoted at -80°C until use. For the 137 preparation of oligomers, recombinant α -syn was purchased from Analytik Jena (Jena, 138 Germany). Oligomers were generated as described [39, 40] by incubating soluble α -syn in 10 139 mM Tris-HCl, 100 mM NaCl under continuous shaking in an Eppendorf Thermomixer at 140 650rpm and 37°C for 24 h, then stored aliquoted at 2 mg/ml at -80°C until use. 141

142 Western Blot of α -syn PFFs and oligomers

143 The composition of α -syn PFFs and oligomers was checked by non-denaturing Western Blot. 144 Three different concentrations of oligomers of PFFs (10 ng, 100 ng, 500 ng), were loaded on 145 4-10% Precast Gel Mini Protean TGX (BioRad) according to manufacturer's instructions. To 146 reveal α -syn bands, anti-synuclein antibody clone 4D6 (Covance) was used at 1:2000 dilution (2 h at RT incubation), followed by IRDye^R 800 CW donkey anti-mouse, diluted 1:10.000 (1 147 148 h at RT incubation). Image was captured with a LI-COR Bioscience C-Digit 149 Chemoluminescence scanner.

150

152 Three- to 6- month-old C57Bl/6J mice were purchased from Jackson via Charles River (Bois-153 des-Oncins, France), or Janvier Labs (Le-Genet-St.-Isle, France). Mice were housed in 154 individually -ventilated cages (IVC) in a conventional animal facility of the University of 155 Luxembourg, or in the facility of SynAging, in Vandeouvre-les-Nancy, France. All animal 156 studies were in agreement with the requirements of the EU Directive 2010/63/EU and 157 Commission recommendation 2007/526/EC. Male or female mice were housed under a 12h-

¹⁵¹ Animals

158 12h dark/light cycle with ad libitum access to water and food (#2016, Harlan, Horst, NL). For 159 time point of 90 dpi PFF injections (see below), the youngest mice were used, for time point 160 13 dpi PFF injections, the oldest mice were used, so that, at euthanasia, all the mice were of 161 comparable age (6 to 6.5 months). Otherwise, mice were assigned randomly to study groups. 162 For quantitative histology (see below), 10-11 mice/group were used, and all were quantified, 163 whereas for transcriptional profiling, 6 mice/group were used. Such numbers have proven 164 sufficient in previous studies on different models of neurodegeneration, while also keeping in 165 line with the rule of the "3Rs" [41-45]. Animal studies were approved by the institutional 166 Animal Experimentation Ethics Committee of the University of Luxembourg and the 167 responsible Luxembourg government authorities (Ministry of Health, Ministry of 168 Agriculture). Alternatively, experiments done at the SynAging site were approved by ethics 169 committee "Comité d'Ethique Lorrain en Matière d'Expérimentation Animale", and by the 170 governmental agency the "Direction Départementale de la Protection des Populations de 171 Meurthe et Moselle- Domaine Expérimentation Animale".

172 Intrastriatal injections of α -syn PFFs, α -syn oligomers, and 6-hydroxydopamine

173 Alpha-syn PFFs were sonicated in a sonicating waterbath (Branson 2510, Danbury, CT) for 2 174 hours at RT, keeping the temperature constant at 25°C by adding ice as needed, or using the 175 Bioruptor UCD 300 (Diagenode, Seraing, Belgium) with 30 cycles of 15sec ON/ 15sec OFF 176 at 4°C. Sonicated PFFs were kept on ice and used within ten hours. Mice were injected under 177 isoflurane anesthesia (2%) on a heating pad. A 1 cm long mid-line scalp incision was made 178 into the desinfected surgical area and a 0.5 mm hole drilled unilaterally into the skull using 179 stereotaxic coordinates for striatum according to the Mouse Brain Atlas of Franklin and 180 Paxinos [46]. Ten µg of PFFs, or respective PBS solution (control mice) were administered, 181 in volumes of 2 μ l, within the right dorsal striatum at the following relative-to-bregma 182 coordinates: anterior +0.5 mm, lateral +2.1 mm; depth +3.2 mm. The 24-gauge blunt tip 183 needle of the Hamilton syringue (7105KH, Bonaduz, CH) was inserted down 3.3 mm for 10 184 seconds to form an injection pocket, and the needle remained in place for 2 minutes before 185 and after the injection procedure. The hole was covered with bonewax (Lukens, Arlington, 186 VA) and the wound closed using 7mm Reflex wound clips (Fine Science Tools, Heidelberg, 187 Germany). Two % xylocaine gel was applied to the wound, and mice were allowed to recover 188 from anesthesia before being put back into their home cages. The day of injection of PFFs 189 was named day 0. Same coordinates and similar procedure were used for 6-OHDA or α-syn 190 oligomers. Striatal injection of 6-OHDA has been described elsewehere [47]. Striatal 191 injections of α -syn oligomers were done with 4 μ g oligomers in 2 μ l vehicle. Control mice 192 received the same volume of vehicle (see above). Mice were euthanized in a deep anaesthesia 193 (i.p. injection of Medetomidin, 1mg/kg and Ketamin, 100mg/kg) by transcardial transfusion 194 with PBS. PFF-injected mice were euthanized either at day 13 (13 dpi) or at day 90 (90 dpi) 195 after intrastriatal injections ("day 0": day of injection). Mice injected with oligomers or with 196 6-OHDA were euthanised at 13 dpi.

197

198 *Tissue extraction and preparation*

199 For immunohistochemistry, extracted brains were fixed in in 4% buffered PFA for 48h and 200 kept in PBS with 0.1% NaN3 until they were cut with a vibratome (VT1000 S from Leica) 201 into sagittal 50µm free-floating sections. Before the staining procedure, sections were kept at -20°C in a cryoprotectant medium (1:1 v/v PBS/Ethylene Glycol, 10g.L⁻¹ Polyvinyl 202 203 Pyrrolidone). Alternatively, for dopamine measurement or RNA extraction, after removal 204 from the skull, brains were dissected on ice into regions, and isolated striatum and ventral 205 midbrain were quickly weighted, then snap-frozen on dry ice until further processing. 206 Extraction and measurement of striatal dopamine (DA) has been described elsewhere [48]. 207 Briefly, after homogenization and derivatization, striatal metabolites were measured with a 208 gas-chromatography/mass-spectrometry set-up (Agilent 7890B GC - Agilent 5977A MSD, 209 Santa Clara, CA). Absolute level of DA were determined using an internal standard, 2-(3,4-210 Dihydroxyphenyl)ethyl-1,1,2,2-d₄-amine HCl (D-1540, C/D/N isotopes, Pointe-Claire, 211 Canada). For RNA extraction from the ventral midbrain, the RNEasy Universal Kit 212 (Quiagen) was used, after homogenization of midbrain tissues in a Retsch MM 400 device (2 213 min at 22Hz, Haan, Germany). RNA concentrations and integrity were determined using a 214 Nanodrop 2000c (Thermo Scientific) and a BioAnalyzer 2100 (Agilent), respectively. 215 Purified RNAs were considered of sufficient quality if their RNA Integrity Number (RIN) 216 was above 8.5, their 260/230 absorbance ratio > 1, and their 260/280 absorbance ratio = 2.

217

218 Single and double-label immunohistochemistry

219 Immunostaining procures followed standard protocols, as described [41, 42]. All stainings, 220 except those for proteinase-K resistant α -syn inclusions (see below), were performed on free-221 floating 50 µm-thick sections. Supplemental Table 1 lists all primary and secondary 222 antibodies used in this study, as well as their dilutions. All other reagents were from Sigma 223 unless indicated otherwise. All antibody incubations were at room temperature, except for the 224 anti-synaptophysin antibody, which was incubated at 4° C. Sections were washed 3 x in PBS 225 between each incubation step. To block endogenous peroxidases and for permeabilization, 226 sections were incubated with 3% H_2O_2 v/v and 1.5% Triton X100 v/v for 30 min. For 227 immoperoxidase staining with anti-synuclein antibody, this step was followed by an epitope 228 unmasking step with 75% v/v formic acid for 5 min. To avoid unspecific antibody binding, 229 sections were incubated with 5% serum (Vector Laboratories, Burlingame, CA) or 5% BSA 230 w/v in PBS for 1h before they were incubated with the respective primary antibody, or 231 antibodies in case of double labeling. The following day, sections were incubated with a 232 secondary antibody for 1-2 hours (fluorophore-coupled for immunofluorescence, or 233 biotinylated for immunoperoxidase). Singly or doubly fluorescently-stained sections were 234 mounted on Superfrost plus slides (Thermoscientific, Walham, MA), air-dried, and 235 coverslipped using ProLong Gold antifade mountant (Life technologies, Darmstadt, 236 Germany). For immunoperoxidase staining, antibody binding was visualized using an ABC 237 Vectastain Kit (Vector Laboratories), followed by detection with diaminobenzidine (Merck) 238 and H_2O_2 as peroxidase substrates. Sections were mounted, dried overnight and coverslipped 239 with Neo-mount (Merck) after soaking in Neo-clear xylene substitute (Merck) for 10 min. 240 Visualization of Proteinase-K resistant α -synuclein inclusions was done by paraffin-241 embedded tissue blot (PET blot) on 3µm paraffin sections mounted on nitrocellulose 242 membrane (0.45µm, BioRad) as previously [49].

243

244 Proximity ligation assay

245 Protocol for PLA was adapted to be performed on free floating sections. All reactants were 246 prepared according manufacturer's recommendations (Duolink, Sigma) and incubation times 247 were as described [50]. Washes were performed in 24- well plates at RT, and reactions 248 volumes were 40µL at 37°C. First, 20µg of anti-pSER129- α -syn mouse monoclonal 11E5 249 antibody (Prothena Biosciences, see suppl. table 1) were conjugated with either plus or minus 250 oligonucleotide probes according manufacturer's recommendations, and stored 4°C until use. 251 Free floating sections were washed in PBS and permeabilized as described above. Blocking 252 was performed with DuoLink blocking solution for 2h RT. Sections were incubated overnight 253 with both plus and minus probe-linked antibody (1:1 1/750 in Duolink antibody diluent 254 solution). For ligation of the probes, after washing of the probe-linked antibodies (2 X 5min 255 in Duolink's Buffer A), the ligation-ligase solution was added and incubated for 30 min at 256 37°C. For detection, after washing of the ligation-ligase solution (2 X 5min in Duolink's 257 Buffer A), sections were incubated with the amplification-polymerase solution for 2.5h at 258 37°C. Sections were then washed in Buffer B for ten minutes, and in Buffer B 0.01X for one 259 minute prior mounting, dried in the dark, and coverslipped. Z-stacks of pictures were 260 acquired at 40X with a Zeiss LabA1 microscope, a maximum intensity projection was created 261 using the Zen Blue 2012 software (Zeiss).

262

263 Quantitative neuropathology on immunostained sections

Imaging of peroxidase- labelled sections for pSER129-α-syn, and of fluorescently labelled
sections for Tyrosine-Hydroxylase (TH), Dopamine Transporter (DAT), or Ionized calcium
binding adaptor molecule 1 (Iba1), was done on a Zeiss LabA1 microscope, coupled to a
Zeiss Axiocam MRm3 digital camera, and to a PC using the Zeiss Zen Blue 2012 software.

268 Alpha-syn inclusions were visualized by immunostaining for pSER129- α -syn. For the 269 quantitation of α -syn inclusions in the frontal cortex and the amygdala (basolateral nucleus), 270 2 immunoperoxidase labeled (see above) sections/animal were imaged, using the 10x 271 objective (frontal cortex) or the 20x objective (amygdala). A total of 4-6 images were 272 collected for each region (10x objective, 2 x 1.52 mm each image), and digitized. After 273 manually drawing regions of interests and thresholding, the percent image area occupied by 274 immunopositive structures was determined using the ImageJ v. 1.45 (NIH, Bethesda, MD) 275 public domain software. All values obtained from sections of the same animal were averaged. 276 For the quantitation of α -syn inclusions in the SN, double immunostainings for TH and 277 pSER129- α -syn were performed. TH staining was used to locate the SN, and images were 278 acquired at 10x magnification. Percent overlap of pSER129- a-syn signal within the TH 279 immunopositive area was calculated using ImageJ. For the quantitation of synaptophysin-280 positive synaptic terminals, fluorescently stained sections from each animal were viewed by a 281 Zeiss LSM 710 laser-scanning confocal microscope, using a $20\times$ objective and a software 282 magnification zoom factor was used to obtain images of $180 \times 180 \,\mu\text{m}$ each. For each animal, a total of 4-6 images were collected from the frontal cortex, and 4 from the hippocampal
pyramidal region. Images were then transferred to a PC personal computer, and average
intensity of positive presynaptic terminals was quantified for each image using the ImageJ.
Values from individual animals were averaged. This method to quantify synaptic integrity
has been validated by electron microscope quantitation of synaptic densities in a previous
study [41].

289 The quantitation of degeneration of TH positive neurons in the SN has been described, and 290 results obtained with this approach have been shown to correlate with stereological cell 291 counts (supplemental material in Ashrafi et al. (2017) [47]). For the quantitation of striatal 292 TH-positive neuronal fibers and of DAT-positive synaptic terminal, doubly labelled sections 293 with anti-TH and anti-DAT were used. A total of six to nine 40x pictures (223.8 x 167.7 µm 294 each) of the dorsal striatum, from 2-3 sections per mouse, were acquired using the optical 295 sectioning system Apotome.2 (Zeiss). The percent area occupied by TH and DAT was 296 determined using Image J software and averaged for each mouse.

297 For the quantitation of microglial activation in the hippocampus and frontal cortex, 2 sections 298 /animal were labeled for the microglial marker *Iba1*. For the frontal cortex, a total of 6, and, 299 for the hippocampus, a total of 3-4 images were collected with a 40x objective (223.8 x 167.7 300 µm each image). Digitized images were transferred to a laptop computer, and, with ImageJ v. 301 1.45, after thresholding, average area occupied by Iba1-positive microglia was measured. All 302 values obtained from sections of the same animal were averaged. For the quantitation of the 303 microglial activation in the SN, TH and Iba1- double-labeled sections were imaged with a 304 10x objective. Average area covered by TH-positive neurons in control mice was used to 305 determine the region of interest, restricted to the SN, to measure microglial activation. Four 306 subregions of the SN were imaged and quantified for each mouse [47]. Iba1 immunopositive 307 cells were quantified within each subregions, averaged for each of them, and converted in 308 mm². For each mouse, the sum of the four averaged subregions was used as a measure for309 microglial activation.

310 All quantitative neuropathological analyses were performed blinded on coded sections, and, 311 for each of the measurements, codes were only broken when quantification for that measure 312 in all animals was complete. For all measures, the ipsilateral and contralateral values of PBS-313 injected control mice were similar (no statistical difference detected), this these values were 314 grouped. Statistics on quantitative histological data were done using the GraphPad Prism 8 315 software. ANOVA followed by Dunnett's post-hoc was used for normally distributed data 316 sets. Pearson's test, or Spearman's rank test where appropriate, were used to study linear 317 correlations. P values smaller than 5% were considered as significant.

318

319 Microarray analysis and calculation of differentially expressed genes

320 GeneChip Mouse Gene 2.0ST Arrays (Affymetrix) were used for transcriptional profiling. 321 Total RNAs (150ng) were processed using the Affymetrix GeneChip® WT PLUS Reagent 322 Kit according to the manufacturer's instructions (Manual Target Preparation for GeneChip® 323 Whole Transcript (WT) Expression Arrays P/N 703174 Rev. 2). In this procedure, adapted 324 from Bougnaud et al. (2016) [51], the purified, sense-strand cDNA is fragmented by uracil-325 DNA glycosylase (UDG) and apurinic/apyrimidinic endonuclease 1 (APE 1) at the unnatural 326 dUTP residues and breaks the DNA strand. The fragmented cDNA was labelled by terminal 327 deoxynucleotidyl transferase (TdT) using the Affymetrix proprietary DNA Labelling Reagent 328 that is covalently linked to biotin; 5.5 μ g of single-stranded cDNA are required for 329 fragmentation and labelling, then 3.5 μ g of labeled DNA + hybridization controls were 330 injected into an Affymetrix cartridge. Microarrays were then incubated in the Affymetrix 331 Oven with rotation at 60 rpm for 16 hr at 45°C, then the arrays were washed and scanned 332 with the Affymetrix[®] GeneChip[®] Scanner 3000, based on the following protocol: UserGuide GeneChip® Expression Wash, Stain and Scan for Cartridge Arrays P/N 702731 Rev. 4, which generated the Affymetrix raw data CEL files containing hybridization raw signal intensities were imported into the Partek GS software. First, probe intensities were summarized to gene expression signals using Partek default options (GCcontent adjustment, RMA background correction, quantile normalization, log2 transformation and summarization by means).

339 For statistical analysis, the normalized and log2 transformed data was loaded into the 340 R/Bioconductor statistical environemnt. The rank product (Package: *RankProd*) approach 341 was chosen to determine the differentially expressed genes (DEGs) [52-54]. Rank product 342 statistics were computed, since they have been shown to enable a robust non-parametric 343 analysis of microarray datasets with limited number of samples [52]. Estimated p-values and 344 pfp (percentage of false prediction) values were determined and used as nomimal and 345 adjusted significance scores, respectively. Pfp scores estimate the significance of differential 346 expression after adjusting for multiple hypothesis testing, and can have values larger than 1. 347 The chosen significance cut-offs were p-value < 0.05 and pfp < 0.1. A relaxed cut-off (pfp <348 0.1 instead of < 0.05) was chosen to avoid loss of information for the subsequent enrichment 349 analysis, which combines several genes below this threshold to enable detection of pathway 350 alterations. No minimal fold change threshold was applied.

For visualization of differential gene expression, Venn diagrams and heatmaps were generated using the *VennDiagram* and *gplots* packages, respectively, in R. Data preprocessing included removal of all transcripts missing gene IDs and duplicated entries (after ranking). To avoid mismatches or other discrepencies, the Affymetrix probe sets IDs were used to calculate the overlapping DEGs between the different comparisons. Diagrams were generated for the following criteria and comparisons: 1) 13 dpi & 90 dpi - ipsiPFF *versus* ipsiPBS (p-value < 0.05); 2) 13 dpi & 90 dpi – ipsiPFF *versus* ipsiPBS (pfp < 0.1); 3) 13 dpi 358 & 90 dpi - ipsiPFF versus contraPFF (p-value < 0.05); 4) 13 dpi & 90 dpi - ipsiPFF vs 359 contraPFF (pfp < 0.1). In a second step, we were interested in investigating the expression 360 direction of the overlapping transcripts between the early to late timepoint. Therefore, we 361 extracted the probe IDs, matched the individual lists and grouped them into high and low 362 expressed transcripts. Then, the above mentioned venn diagrams were generated with these 363 newly generated lists. Heatmaps were generated using the *heatmap.2* function for ipsiPFF vs 364 ipsiPBS and ipsiPFF vs contraPFF for p-value < 0.05 and pfp < 0.1 at 13 dpi and 90 dpi 365 respectively. The log2-transformed data matrix was used to plot. Additionally, we applied 366 hierarchical top down clustering (cor and hclust basic R functions) and the data matrix was 367 scaled row-by-row generating Z-scores.

368

369 Gene Set Enrichment Analysis

370 The enrichment analysis for GO terms (biological processes (BP) only) was performed using 371 the GUI (graphical user interface) version GSEA (version 3.0) published by the Broad 372 Institute (dowload: http://software.broadinstitute.org/gsea/downloads.jsp) [55]. All 373 parameters were set to default in GSEA, except "Collapse dataset to gene symbols" was set to 374 "false", "Permutation type" was set to "gene set" and "Max size: excluding larger sets" was 375 set to "250". One optimization step was introduced: a costumized GMT/GMX file was 376 generated in R/Bioconductor with mouse NCBI EntryzIDs as gene identifiers. This file was 377 used as the "Gene sets database" in GSEA. The resulting enrichment scores (ES) were 378 obtained applying the weighted Kolmogorov-Smirnov-like statistics. ES reflect the level to 379 which a gene set is overrepresented among the top up- or down-regulated genes in a ranked 380 gene list, then, the ES statistic was normalized (normalized enrichment scores, NES) as 381 described [55]. Finally, the p-value significance scores were adjusted for multiple hypothesis 382 testing using the method by Benjamini and Hochberg[56] to provide final FDR scores. A 383 network map of the enrichment analysis results was generated using Cytoscape [57]. The 384 mapping parameters used in Cytoscape were: p-value < 0.05, FDR Q-value < 0.1 (default 385 setting is 1) and Overlap > 0.5. The enrichment map was automatically launched from GSEA 386 and created in Cytoscape. In the enrichment maps, nodes represent enriched gene sets 387 associated with BPs, and edges the degree of similarity between them using the overlap 388 coefficient (threshold > 0.5). Further curation of gene sets was done manually. Since gene 389 sets with similar gene compositions tend to group together, such gene set clusters were easily 390 identifiable. Nodes grouped into more than one gene cluster according to this procedure were 391 assigned to the most overlapping cluster, i.e. the cluster they were associated with by a 392 shorter sequence of connecting edges in the ontology graph. All softwares used are listed in 393 Supplemental table 2.

394

395 Identification of cellular source of DEGs

For the identification of the cellular source of specific DEGs, the public database
 <u>https://www.brainrnaseq.org/</u> was used.

398

399 Results

400

401 Western blot characterisation of α-syn moieties

402 A non-denaturing blot of the α -syn moieties used in this study is shown in supplemental Fig, 403 1. For intrastriatal injections, α -syn oligomers were used non-sonicated, whereas α -syn PFFs 404 were sonicated. Based on their profile on WB, the oligomer preparation was composed 405 mainly of monomers, dimers, and trimers, as well as higher molecular weight species. The 406 sonicated PFFs were composed mainly of monomers and dimers, and higher molecular 407 weight species. When compared to their non-sonicated counterparts, sonicated PFFs seemed 408 to have less of all these components, consistent with a shearing effect of sonication that 409 produces smaller α -syn fragments, which may then act as seeds.

410

411 Intrastriatal injection of PFFs causes bilateral α-syn inclusions in multiple brain regions

412 Because we wanted to capture the early features of α -syn spreading associated pathologies, 413 we decided to focus our investigations on time points when, these pathologies have not 414 reached a peak yet [20]. Since α -syn inclusions have been suggested to be a major driver of 415 PD-like pathology [34, 58], we first looked at the appearance of these inclusions in our 416 model.

417 To determine if intrastriatal injection of murine α -syn PFF reliably induced propagation of 418 fibrillar α -syn in our mice, we first performed immunohistochemistry against pSER129- α -419 syn on sections of both brain hemispheres 13 days and 90 days after they had been injected 420 with PFFs (13 dpi, 90 dpi). Immunostaining for pSER129- α -syn is the most commonly used 421 approach to detect α -syn inclusions in rodent or human brain tissues [59].

422 At an early time point after α -syn PFF administration (13 dpi), we only detected few 423 pSER129- α -syn positive inclusions in frontal cortex, amygdala, and SN, and more in the 424 ipsilateral striatum (supplemental Fig. 2).

However, at 90dpi, we observed robust appearance of pSER129- α -syn positive cellular and neuritic inclusions in the ipsi- and contralaterally, in the same brain regions (Fig.1 A). Quantitation of image area occupied revealed median coverage of 10% for the ipsilateral frontal cortex, 5% for the contralateral frontal cortex, 8% for the ipsilateral amygdala, 4.2% for the contralateral amygdala, and 12% for the ipsilateral SN. No or very few α -syn inclusions were found in the contralateral SN and striatum, and no inclusions in either side of the hippocampus. Cells containing inclusions had neuronal morphology. In the ipsilateral SN, 432 fluorescent double staining for pSER129- α -syn and TH, a marker for dopaminergic neurons

433 in the SN, showed that 85% of inclusions co-localized with TH-positive neurons, indicating

that most, if not all, inclusions are localized in neurons.

435 To determine if the pSER129- α -syn positive inclusions were Proteniase-K resistant, we 436 performed a Proteinase-K assay PET assay [49]. We observed numerous pSER129- α -syn 437 positive signals in these tissue sections (Fig. 1 B), indicating that most inclusions were 438 proteinase-K resistant.

Inclusions are not the only α -syn species that have been suggested to be linked to neurodegeneration in PD. To determine if regions without detectable α -syn inclusions, such as the hippocampus, were still affected by abnormal α -syn after injection of PFFs, we performed a Proximity Ligation Assay (PLA). This assay has been used for detecting oligomeric forms of α -syn in human [60] and mouse models of PD [61]. We observed greatly enhanced signal intensity in the hippocampi of PFF-injected mice than in those of control mice (Fig. 1C), indicating the presence of abnormal levels of oligomeric α -syn in that area.

446 Overall, the pattern of α -syn inclusions we observed 90 dpi matches that described at a 447 similar time point by Luk et al. (2012) [20]. The robust appearance of intracellular α -syn 448 inclusions in this model opened up the possibility of analyzing how they are associated with 449 other pathological hallmarks, such as neurodegeneration and –inflammation, and which of 450 these events might precede the others.

451

452 Intrastriatal injection of PFFs causes bilateral synaptic loss and unilateral 453 dopaminergic neuron injury that is independent of α-syn inclusions

We set out to determine to what extent the presence of neuronal α-syn deposition was linked
to neurodegeneration, 90 dpi after intrastriatal administration of PFFs.

456 First, we analyzed synaptic degeneration in the hippocampus and frontal cortex. In these 457 brain regions, we measured the level of the presynaptic protein synaptophysin. 458 Synaptophysin is a good marker for synaptic integrity [41, 62, 63], and pathological synaptic 459 alterations have been reported in PD post-mortem tissues [64]. Roughly 60% of PD patients 460 suffer from cognitive impairments and dementia [65], indicating that their hippocampus, as a 461 major region involved in memory formation, and their higher cortical association areas are 462 affected. Finally, in vitro, addition to PFFs to cultured primary hippocampal neurons was 463 reported to affect these neuron's synaptic integrity and function [66]. Thus, we measured 464 synaptophysin ipsi- and contralaterally in these brain regions in mice 90 dpi after PFF 465 administration (Fig. 2). We found, in both regions, a highly significant, bilateral 20-25% 466 reduction of this protein. Interestingly, we noticed this decrease in the absence of α -syn 467 inclusions in the hippocampus. The α -syn oligomers though (Fig. 1) in that region may be 468 linked to synaptophysin loss.

469 Next, we examined the SN, because it contains dopaminergic neurons that are one of the 470 most susceptible to PD-associated disease challenges. We measured the area occupied by 471 tyrosine hydroxylase (TH)-positive neuronal profiles in the SN ipsilaterally, where α -syn 472 inclusions were present (see above), but also contralaterally, which was without such 473 inclusions. We did not find any sign of degeneration in the striatum or SN at 13 dpi 474 (Supplemental fig. 2). We found though a 16% decrease of TH-positive neurons in the 475 ipsilateral SN, that was significant, but not in the contralateral SN (Fig 2). To determine if 476 striatal axonal projections of dopaminergic neurons were affected in our model, we analyzed 477 the morphological integrity of these projections and their synaptic terminals. We observed, 90 478 dpi, a significant decrease in TH-positive axonal fibers as well as in dopamine transporter 479 (DAT) positive synaptic terminals, in the ipsilateral, but not the contralateral striatum. To 480 confirm ipsilateral striatal injury, we measured the neurotransmitter dopamine (DA) in

481	dissected ipsi- and contralateral striata of PFF-injected and PBS control mice (n=8-12/group).
482	We found a significant decrease in ipsilateral striatum of PFF mice compared their ipsilateral
483	PBS controls (19.5+/-5.8 versus 27.5+/-7.3 pmol/mg; p=0.02 by ANOVA followed by
484	Sidak's posthoc, results are means +/- S.D.), but no difference between contralateral striatum
485	of PFF mice compared to their compared their ipsilateral PBS controls (27.3+/-3.7 pmol/mg
486	versus 28.5+/-5.7 pmol/mg). This conformed our histological observations.

487

488 Widespread, pronounced, and bilateral microgliosis, caused by intrastriatal injection of



490 Microglia, the local CNS innate immune defense cells [67], react rapidly to CNS infection or 491 injury in an orchestrated fashion. Functional imbalances of these cells can precipitate disease 492 outcomes [36, 37, 68]. While strong microgliosis has been reported in PD and models thereof 493 [69-71], the role of these cells in disease initiation and progression of PD is poorly 494 understood.

495 To better understand the role of these cells in the context of α -syn spreading, and more 496 precisely to determine if they have a role in driving the neurodegeneration we observed, we 497 first analysed their response using a specific marker (Iba1), in mouse brains after injection of 498 α -syn PFFs. We observed a surprisingly strong (4-5 times over control in some brain 499 regions), microgliosis in brain regions with (bilaterally in frontal cortex, amygdala, SN) at 90 500 dpi. The microgliosis was present in brain regions with inclusions, but also those without 501 (hippocampus) or very little (contralateral SN) inclusions (Fig. 3). While no significant Iba1 502 increase was seen at 90 dpi in the ipsilateral striatum in PFF injected mice, microglial 503 Cluster-of-Differentiation 68 (CD68), a marker for phagocytic activity, was increased. No 504 significant microgliosis was observed at 13 dpi (Supplemental fig. 2). Microglia in PFF-505 injected mice had thickened, though still ramified, processes, and an intensely stained cell soma. In the cerebellum, which was devoid of α -syn deposits in all mice, we could not detect any differences in Iba1 positive microglia between PFF-injected and control PBS injected mice (not shown).

509 Our observations indicate that a robust, widespread microglial reaction is an important part of 510 the α -syn spreading process, and warranted further investigation into the pathological 511 implications of that reaction.

512

513 Neurodegeneration and microgliosis neither correlated with α-syn deposition, nor with 514 each other.

515 To gain insight into the pathological properties of α -syn inclusions, we correlated the 516 inclusion load with neurodegeneration and with microgliosis measured locally in frontal 517 cortex and SN. We found that inclusion load correlated with neither of the two (Fig. 4). Thus, 518 neurodegeneration as well as microgliosis induced by α -syn PFFs were independent of α -syn 519 deposition.

520 The strong microgliosis in different brain regions after administration of PFFs prompted us to 521 look into this observation further. In the brain, microglia react rapidly to tissue injury to 522 control the damage and clear up cell debris [37, 72]. Thus, microglial reaction is typically 523 secondary to an underlying neurodegenerative process, and, as a consequence, increase of 524 microglial reaction is directly associated with increase in neuronal damage. For instance, we 525 have observed that microglial reaction (measured on Iba1 immunostained sections) correlated 526 with TH neuron loss in the ipsilateral SN after unilateral 6-OHDA lesioning (Fig. 4B2), and 527 with synapse or dendritic loss in the cortex after lesioning with the excitotoxin kainic acid 528 [43]. After intracerebral injection of α -syn PFF though, we found that microglial reaction was 529 not only much stronger than after injection of neurotoxins (4-5x versus 2-3x over control), but also failed to correlate with measures of neurodegeneration (TH neuron loss in the SN, synaptophysin in the cortex and hippocampus) (Fig. 4B). This observation indicates that the microglial reaction to α -syn spreading may be a direct response to factors produced during that process, and not just a secondary response to neuronal degeneration, as is the case after injection of neurotoxins.

535

536 Microglia across several brain regions react strongly to intrastriatal injection of α-syn 537 oligomers

538 Several studies have indicated that microglia are activated *in vitro* by α -syn oligomers [73, 539 74]. As described above, we have observed the presence of α -syn oligomers, notably in the 540 hippocampus, after intrastriatal injection of α -syn PFFs. To test whether α -syn oligomers 541 could be the factor that leads to a strong microglial reaction during the α -syn spreading 542 process, we injected such oligomers into the same location as the PFFs, the dorsal striatum. 543 Just 13 dpi, we observed, on Iba1 stained sections, a strong microglial reaction in the 544 ipsilateral striatum, frontal cortex, and hippocampus (Fig. 5). Qualitatively, the reaction was 545 even stronger than 90 dpi after PFF injection. This observation shows that, in a mouse brain, 546 α -syn oligomers can induce a microglial reaction, even at a distance from the injection site. 547 Thus, α -syn oligometric emerge as the likely factor that, by diffusing through the brain, 548 induces a strong microglial reaction during the process of α -syn spreading.

549

550 Transcriptional profiling of ventral midbrain reveals most gene expression changes 551 occur 13 days after α-syn PFF injection

To investigate the molecular underpinnings of the neurodegeneration and of the microglial response accompanying α -syn spreading, we generated a gene expression profile from ventral midbrain of PFF injected and control mice using the Affymetrix gene expression profiling platform. Because microglial response typically starts early after an insult [67, 75], we analyzed the midbrain gene expression profiles 13 dpi (no neurodegeneration) and 90 dpi (neurodegeneration in the ipsilateral striatum and midbrain) after intrastriatal α -syn PFF injection.

We focused on two comparisons of ventral midbrain gene expression profiles: 1. ipsilateral midbrain of PFF-injected mice (ipsi PFF, with degeneration of nigral TH neurons and their striatal projections) *versus* ipsilateral midbrain of control PBS-injected mice (ipsi PBS), 2. ipsilateral midbrain of PFF-injected mice *versus* contralateral midbrain of the same, PFFinjected, mice (contra PFF, without loss of nigral TH neurons and their striatal projections). We figured that these two comparisons would be best suited to reveal relevant gene expression changes.

566 The number of DEGs that emerged in these comparisons, and the number of overlapping 567 DEGs between the two time points (13 dpi and 90 dpi) are shown in Fig. 6. By comparing 568 ipsi PFF to ipsi PBS, applying a cut-off of p < 0.05, we found a total of 2.631 significant DEG 569 at 13 dpi, and significant 2584 DEG at 90 dpi, with 985 overlapping DEGs between the two 570 time points. After correcting for multiple hypothesis testing at a cut-off of pfp < 0.1, we 571 found 266 DEGs at 13 dpi, and 82 DEGs at 90 dpi, with 39 DEGs overlapping between the 572 two times points. The majority of overlapping DEGs showed upregulation at 13 dpi, but 573 downregulation at 90 dpi (Venn diagrams of overlapping DEGs in Fig. 7), indicating active 574 gene expression at the early time point after PFF injection. By comparing ipsi PFF to contra 575 PFF, we found 3.477 significantly DEGs at 13 dpi, and 3.209 DEGs at 90 dpi, with 1356 576 overlapping DEGs between the two time points. At pfp < 0.1, we found 648 DEGs at 13 dpi,

and 588 DEGs at 90 dpi, with 227 overlapping DEGs. At 13 dpi, we found a similar number
of upregulated *versus* downregulated DEGs, but at 90 dpi, we saw that most DEGs were,
interestingly, downregulated.

Taken together, these two comparisons indicate that enhanced gene expression changes occurred in the ventral midbrains of both hemispheres at 13 dpi, probably setting the stage for the subsequent pathological events. In contrast, at 90 dpi, in the ipsilateral midbrain, most DEGs dial their expression level back, indicating a reduction in gene transcription, while the major pathological events now appear to take place at the protein level, and are measurable with quantitative histology (see above).

586

587 Gene set enrichment revealed early involvement of inflammation in the α-syn 588 seeding/spreading process

To investigate which molecular pathways underlie the α -syn spreading process and its associated pathologies, in particular microgliosis, we generated an enrichment map, using Gene-Set Enrichment Analysis (GSEA, see Methods), with each gene set based on a Biological Process (BP, see Methods for details). To obtain a global view of the BPs alterations during the evolution of α -syn spreading induced pathologies, we used manual curation to group gene sets into biologically meaningful gene clusters associated with high order pathological processes (Fig. 7).

596 Our first observation was that, in ipsilateral midbrains of PFF-injected mice compared to 597 those of PBS-injected ones, 261 BPs were enriched at 13 dpi, but, surprisingly none at 90 dpi. 598 In contrast, we observed that, at 90 dpi, all BPs in ipsilateral midbrains of PFF-injected mice 599 *versus* those of PBS-injected ones (total of 1067 BPs), showed reduced gene activity. This 600 observation indicates a significant shift from enhanced to greatly reduced transcriptional activity in the time frame between 13 dpi and 90 dpi, and confirms the observations on DEGsdepicted in Fig. 6.

We then observed that many gene clusters with enhanced transcriptional activity at 13 dpi in ipsi PFF were associated with inflammation/immune processes (Fig. 7, upper panels), while gene clusters associated with similar activities had reduced transcriptional activity at 90 dpi, in particular compared to ipsi PBS (Fig. 7, lower left panel). This indicated that, after an initially enhanced activity of genes regulating inflammation/immune responses, that activity was strongly reduced at a stage when pathology was detectable histologically.

Another interesting observation we made was that some gene clusters containing BPs associated with reduced gene activity at 90 dpi, were related to dopaminergic neuron activity (e.g. catecholamine/dopamine metabolic processes, locomotor behavior, regulation of synaptic transmission regulation of signaling pathways upon growth factor stimulus). The reduced gene activity in midbrain dopaminergic neurons was likely a reflection of their pathological demise.

615 Taken together, these observations point to an important role for inflammatory/immune 616 processes, in the initiation and progression of neurodegeneration in the context of α -syn 617 spreading.

618

619 Gene expression changes confirm early microglial reaction in response to α-syn 620 seeding/spreading

To identify the immune cell type(s) active in the inflammatory response to α -syn seeding/spreading, we looked at the 20 most highly changed DEGs and their cellular source for each time points after PFF injection (Fig. 8). We used a public database based on single cell expression profiling from mouse brain to assign a cell type to each DEG in our list (see Methods). At 13 dpi, in both the ipsi PFF *versus* ipsi PBS as well as the ipsi PFF *versus* contra PFF comparison, we observed that the majority of genes with enhanced expression were microglial (ipsi PFF *versus* ipsi PBS: 9 out of 20, or 45%, ipsi PFF *versus* contra PFF: 8 out of 20, or 40%). This indicates a strong gene expression activity of these cells, well before morphological changes can be detected histologically. In contrast, at 90 dpi, we observed that only 1 out of 20 (5%) of DEGs was microglial in both

- 632 comparisons (ipsi PFF versus ipsi PBS, ipsi PFF versus contra PFF). The majority (50%) of
- 633 DEGs in the ipsi PFF *versus* ipsi PBS comparison were neuronal.
- The observation that the majority of DEGs at 13 dpi were microglial, confirmed an early and
- 635 strong response of these cells, at least on the molecular level.

636

637 Unusual microglial molecular signature, induced by intrastriatal injection of α-syn 638 PFFs, precedes neurodegeneration

639 To better understand the microglial molecular processes accompanying the α -syn spreading 640 process, we looked at expression of a series of genes coding for factors associated with 641 typical pro-inflammatory (M1) or anti-inflammatory (M2) profile [76], with the same 642 comparison pairs as before: ventral midbrains ipsi PFF versus ipsi PBS, and ventral 643 midbrains ipsi PFF versus contra PFF (Table 1). In our model, we observed no clear-cut pro-644 inflammatory M1 nor anti-inflammatory M2-profile. Interestingly, we also observed no 645 evidence, at 13 dpi or 90 dpi, for gene expression changes in classical M1 markers such as 646 *Illb*, *Tnfa*, or *Nos2*. M1 markers whose gene expression was enhanced were *Cybb*, *Ptgs2*, and 647 *Cxcl10.* NADPH oxidase 2 (Nox2), coded by *Cybb*, generates free oxygen radicals, which 648 can harm neurons [77]. Cyclooxygenase 2 (Cox2), coded by Ptgs2, generates arachidonic 649 acid metabolites, some of which have been reported to be neurotoxic [78] or form neurotoxic

650 dopamine-quinone adducts [79]. Thus, this may be mediators of the neurodegeneration at 90 651 dpi observed in ipsi PFF midbrains. The only M2 marker that showed enhanced gene 652 expression was Mrc1, coding for the mannose receptor. Other microglial activation markers 653 that have not been associated specifically with an M1 or M2 profile though, such as Cd68, 654 Tyrobd, Trem2, Tlr2, P2ry6, and Aif1, showed increased expression at 13 dpi and/or 90 dpi. 655 Mrc1, Cd68, P2ry6, Aif1 gene products are all involved in phagocytic processes and/or signal 656 transduction [80-82]. We had observed CD68 upregulation by immunostaining in the striatal 657 projection area of dopaminergic neurons (Fig. 3). The Tlr2 gene product is a receptor for α -658 syn, an interaction that elicits the production of microglial neurotoxins [83], and anti-TLR2 659 antibody administration has been reported to have the rapeutic efficacy in mouse models of α -660 syn toxicity [84]. Tyrobp and the gene for its receptor, Trem2, whose product is involved, 661 among other processes in the regulation of microglial phagocytosis [85], were also 662 upregulated.

663 Finally, to see if there was an astroglial and peripheral immune cell involvement in our 664 model, we listed gene expression data for typical markers of these cells from our gene 665 expression dataset (Supplemental table 3). Enhanced expression of a series of astroglial genes 666 in ipsi PFF midbrain indicates a reaction of these cells. Enhanced expression of *Ptprc*, which 667 codes for CD45, a marker that can be both expressed by microglia and invading 668 macrophages, and of Cd4, which codes for the helper T cell antigen CD4, in the same region, 669 indicates possible infiltration of peripheral immune cells that could contribute to neuronal 670 injury [86-88]. Overall, we conclude that this unique molecular signature in the ipsilateral 671 ventral midbrain at 13 dpi underlies the initial molecular events that lead to the 672 neurodegeneration we observed at 90 dpi. Since neurodegeneration in the contralateral SN 673 has been reported at later time points after PFF injection previously [20], in one study even in the absence of α -syn inclusions [89], it is reasonable to assume that, at a point past 13dpi, the

675 same molecular signature occurs there.

676 Our data indicate that inflammatory events, in particular those associated with microglia, and 677 not inclusion formation, are initiators of neurodegeneration in the context of α -syn spreading 678 in PD.

680 Discussion

681 In this study, we have used a seeding/spreading model of α -syn, based on striatal injection of 682 PFFs in the mouse brain, to investigate key questions on how two major pathological features 683 of PD, α -syn inclusion formation and neuroinflammation, contribute to neurodegeneration. 684 We provide evidence that: 1. α -syn inclusion formation does not correlate with 685 neurodegeneration: in areas with inclusions, the inclusion load did not correlate with the 686 extent of neurodegeneration, and, in at least one area (hippocampus), neurodegeneration was 687 detected in the absence of inclusions; 2. an exceptionally strong microglial response was seen 688 across different brain regions, but this response did also not correlate with neurodegeneration; 689 3. the most likely driver of the microglial response were diffusible α -syn oligomers; 4. gene 690 expression changes indicative of early neuroinflammatory events in the ventral midbrain, in 691 particular in microglia, appeared before nigro-striatal degeneration, and some of these factors 692 could be the driver for downstream neurodegeneration. Our study provides novel insights into 693 underlying pathological processes of α -syn spreading mediated PD-like neuronal injury.

We undertook this study because it is unclear how different pathological processes relate to each other in PD. In particular, it is intensely debated whether the α -syn spreading and inclusion formation are the main driving forces in disease initiation and progression, or whether other processes do this or at least participate in them [90-92]. While the nature of the initial trigger of α -syn's misfolding and seeding is still unknown, the hypothesis that its

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699 spreading and seeding in a "prion-like" fashion along interconnected neuronal pathways, 700 ultimately leading to inclusions, is a major driver of the PD pathological process has gained 701 momentum [8, 93]. Observations on PD patients have provided indirect evidence for that 702 view. For instance, the Braak hypothesis [10] posits that α -syn pathology starts in lower 703 motor nuclei of the brainstem (such as the Dorsal Motor Nucleus of the Vagus) or even in the 704 PNS, then gradually moves upwards and, in doing so, causes various PD symptoms, from 705 early non-motor to later motor and cognitive and psychiatric ones, to appear. Other routes of 706 propagation, such as starting and spreading out from the olfactory bulb, have also been 707 suggested [8]. Such a gradual progression of disease could elegantly be explained by α -syn 708 spreading like a prion. It was also observed that fetal grafts of dopaminergic neurons into the 709 striatum of PD patients develop α -syn inclusions after a few decades, which they could have 710 acquired as consequence of α -syn spreading from the surrounding disease tissue [12]. More 711 direct evidence for the importance of α -syn spreading in inducing PD-like disease comes 712 from experimental models. In rodent or primate models, direct injection, in different brain 713 regions, of PD brain tissue, isolated Lewy bodies, or PFF made out of recombinant α -syn, or 714 viral vector driven local overexpression of α -syn, induces a variety of PD-related pathologies, 715 including α -syn spreading along connected neurons and inclusion formation [19]. Peripheral 716 PFF injections, such as intramuscular or intestinal, have been reported to also lead to PD-like 717 pathologies in the brain of mice [94-96]. These studies have cemented, experimentally, the 718 process of "prion-like" propagation and inclusion formation of α -syn.

The mechanism of this process has been investigated in *in vitro* systems. Cultured neurons secrete as well as take up circulating α -syn, and various underlying mechanisms have been proposed, such as unusual forms of endo- and exocytosis, or nano-tubes [97]. Ingested, presumably misfolded α -syn, corrupts its endogenous counterpart and leads it to form pathological inclusions [58]. During the process, different neuronal functions, such as axonal 724 transport or mitochondrial respiration, get impaired, neurons malfunction and may ultimately 725 die [98, 99]. Glial cells have also been reported to take up α -syn, and, in some cases, this can 726 lead to pathological inclusions as well as in the case for oligodendrocytes in Multiple System 727 Atrophy [100]. The toxic potential of inclusions has also been investigated in vivo. In a 728 mouse model of α -syn spreading, where inclusion formation was followed *in vivo* by multi-729 photon laser microscopy, the formation of intraneuronal inclusion was reported to coincide 730 with neuronal dysfunction [33]. Another study has shown a weak correlation between loss of 731 TH neurons in the SN and a global score of inclusion load after striatal PFF injection in both 732 mice and rats, but a strong correlation between the two measures after direct injection of 733 PFFs into the SN of rats [34]. Thus, it is tempting to conclude that α -syn inclusions are at the 734 very least one major driver of PD pathologies. But a closer look at other evidence reveals 735 several unresolved questions in this otherwise elegant picture. In post-mortem brain tissues of 736 early or late PD, the correlation between α -syn inclusion (Lewy body) load and nigral 737 degeneration is not always clear [25, 26]. Across different studies looking at various brain 738 structures affected in PD, α -syn inclusions have been reported in areas with high, moderate, 739 or no neuronal loss [26]. Some PD patients, including some familial forms, have PD 740 symptoms and loss of nigral neurons without detectable α -syn inclusions [27]. Some neurons, 741 such as GABAergic neurons, while appearing in the path of α -syn spreading, never develop 742 inclusions [27]. Interestingly, one study, comparing Incipient Lewy Body Disease (ILBD) to 743 PD autopsy material, reported that neuronal loss precedes α -syn inclusion formation in the 744 SN [101]. In a rodent model where spreading is driven by viral overexpression of α -syn in 745 the Dorsal Motor Nucleus of the Vagus, while intact neuronal architecture was essential for 746 the spreading process to happen, neurodegeneration and inclusion formation were also found 747 to be independent processes [61].

748 Non-fibrillar forms of misfolded α -syn, notably oligomers, diffusing for long distances within 749 the brain, have been suggested to drive neuronal dysfunction and degeneration [30, 90]. In 750 our study, we indeed found evidence for neurodegeneration that was independent of the 751 presence of inclusions, and, in the hippocampus, even appeared in the complete absence of 752 those, but in the presence of oligomers. Published evidence suggests that the hippocampus 753 remains devoid of α -syn inclusions even 180 days after PFF injection into the striatum [20]. 754 Our data therefore does not support the notion of a direct relationship between the formation 755 of α -syn inclusions and neurodegeneration, but rather indicate that the α -syn spreading 756 process may lead to the formation of pathological oligomers that may be the driver of PD-like 757 neurodegeneration.

758 Pathologically misfolded α -syn can drive neuronal injury in PD by different means, and those 759 include mitochondrial dysfunction, oxidative stress, endoplasmic reticulum stress and lysosomal dysfunction, disequilibrium in cytosolic Ca²⁺, neurotoxic oxidized dopamine, 760 761 disruption of axonal transport, and neuroinflammation [99]. The relative contribution of these 762 different processes to neuronal demise is unclear. Neuroinflammation though has received 763 particular attention because of its widespread involvement in various neurological diseases 764 and the potential for therapeutic modulation [75, 102, 103]. The major cellular mediators of 765 this process are microglia. Microglia are a particular kind of myeloid cells that originate from 766 the yolk sack and populate the nervous system during early stages of development, where 767 they act as the innate, resident immune cells [67, 82]. During development and under normal 768 conditions, they modulate nervous system homeostasis, prune synapses and regulate their 769 formation. Under pathological conditions, they act as the primary line of defense against 770 infectious organisms, and clear endogenous tissue debris after injury [37, 67, 82]. They 771 undergo a substantial morphological and functional transition to activated, or reactive, 772 microglia, which makes them functionally equivalent to macrophages [67]. Evidence 773 suggests though that, in many neurological conditions, they are not only reacting to disease, 774 but play an active part in tissue injury exacerbation and propagation [82]. This pathological 775 process is, in particular in PD, incompletely understood. While microglial activation can be 776 induced by neuronal injury and/or misfolded and aggregated protein, notably α -syn oligomers 777 or fibrils [104], it is still unclear how and when microglial activation damages healthy tissue 778 and exacerbates the neurological disease process. In PD, a strong microgliosis is observed 779 post mortem in the SN [70, 105]. Longitudinal imaging studies with PET ligands 780 demonstrated an early microglial activation in various regions beyond the SN, such as cortex, 781 hippocampus, basal ganglia, and pons, but no correlation with other pathological measures, 782 including clinical scores, of PD emerged [106, 107]. Interestingly, in striatal fetal grafts 783 implanted in PD patients [12], microglia activation was observed years before the appearance 784 of α -syn inclusions [108]. In different toxin-induced PD rodent models, microgliosis was 785 reported to precede, coincide, or follow the appearance of neuronal demise[70], while in a 786 transgenic human α -syn model [109] and in rats injected with PFFs into the striatum [110], 787 microgliosis, measured histologically, was shown to precede neurodegeneration.

788 These studies are based on the observation mainly, if not exclusively, of morphological 789 changes of microglial response using immunostaining techniques for generic cell markers. 790 While informative, the detection of morphological changes indicating microglial activation 791 does not yield enough information on the actual physiological or molecular profile of these 792 cells. The common distinction to characterize two functional states of activated microglia is 793 the M1/M2 terminology, with M1 representing a pro-, whereas the M2 representing an anti-794 inflammatory activation status [76]. This distinction however is often inadequate as microglia 795 commonly have a spectrum of activation states that may change over the course of the 796 disease [111]. Recent gene expression profiling approaches have revealed a bewildering 797 complexity in microglial heterogeneity [36, 112]. Evidence suggests a "core" gene expression 798 profile response that is associated with every neurodegeneration condition, while expression 799 changes of a more restricted set of genes may be specific for each condition, leading to the 800 concept of disease-specific microglial signatures [112]. Our study provides new insights into 801 the molecular underpinnings of neuroinflammation preceding neuronal injury in a PD-like 802 context of α -syn spreading, and points to an active role of microglia in inducing 803 neurodegeneration. First, we show, at the level of gene expression, that neuroinflammation-804 linked processes are activated, and that many microglial genes had increased expression 805 levels at an early (13 dpi), which then were down-regulated at a later (90 dpi) time point after 806 PFF injection. Microglia genes that code for factors causing neurodegeneration showed 807 increased expression 13 dpi only in the ipsilateral midbrain, where TH loss was observed 808 later, at 90 dpi. Among these were *Cybb*, which codes for NAPDH oxidase 2, an enzyme that 809 catalyses the production of tissue harming free radicals [77], and *Ptgs2*, which codes for 810 cyclooxygenase 2 (Cox2), an enzyme that forms prostanoids from arachidonic acid, some of 811 which are neurotoxic [78, 113]. Interestingly, Tlr2, Trem2, and Tyrobp RNAs showed 812 increased levels in our model at 13 dpi. Many genes linked to microglial activation are 813 regulated by Tyrobp, a tyrosine kinase binding protein acting that binds to Trem2. The 814 Tyrobp/Trem2 pair triggers pathways that are involved in the inhibition of TLR-mediated 815 inflammation, and in modulating phagocytosis [85]. Tlr2-deficient mice are protected against 816 neurodegeneration induced by transgenic α -syn overexpression [114]. In prodromal PD, 817 TLR2 immunoreactivity on microglia was reported to be enhanced, whereas in late stage PD, 818 it wasn't [115], indicating that, just like in our model, the microglial response happens in 819 early phases of the disease and changes over time. Alpha-syn, in particular in its oligomeric 820 form, activates microglia *in vitro* through Toll-like receptors [73, 104], and targeting TLR2 821 by immunotherapy was shown to be beneficial in α -syn pathology models [84].

822 The absence of increased gene expression of common pro-inflammatory mediators such as 823 *Illb* and *Tnfa* in our α -syn spreading model is puzzling, since these are factors associated 824 with most, if not all, inflammatory conditions. Of note though is that we also did not observe 825 enhanced expression of these factors when primary microglia where exposed to our α -syn 826 PFFs, while they responded strongly to bacterial lipopolysaccharide (not shown). It is 827 possible that the increased expression for these genes was missed and occurs at a time point 828 after PFF injection that we haven't looked at, or that they are indeed not expressed in this 829 model. Overall, the gene expression signature of microglia we detected was neither typical 830 pro-inflammatory M1- nor anti-inflammatory M2-like, and our findings give further credence 831 to the notion that microglia evolve on a spectrum of functional states as the disease 832 progresses.

833

834 Taken together, our data indicate that, at least in the initial period of PD-like disease 835 progression that is associated with α -syn spreading, non-deposited pathological forms of α -836 syn, such as oligomers, drive neurodegeneration in different brain regions *via* their action on 837 microglia. Triggered microglia respond early, before neurodegeneration is apparent, by 838 producing neurotoxic compounds, and through what appears to be a series of different 839 activation states as the disease progresses. Our findings contribute toward first answers to key 840 unresolved questions around neuroinflammation in PD [102], and have important 841 implications for the design of therapeutic interventions during the early stages of the disease.

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843

844 Abbreviations

6-OHDA:6-Hydroxydopamine; 13 dpi: 13 days post injection; 90 dpi: 90 days post injection;
Aβ: amyloid beta peptide; AD: Alzheimer's disease; α-syn: alpha-synuclein; BP: biological

process; CD68: cluster of differentiation 68; Contra: contralateral; COX2: Cyclooxygenase 2;
CNS: central nervous system; DA: dopamine; DAT: dopamine transporter; DEG:
differentially expressed gene; ES; enrichment score; FDR: false discovery rate; GO: gene
ontology; GSEA: gene set enrichment analysis; Iba1: ionized calcium binding adaptor
molecule; Ipsi: ipsilateral; PBS: phosphate-buffered saline; PET assay: paraffin-embedded
tissue assay; PFF: Pre-formed fibril; Pfp: percent false positives; PLA: proximity ligation
assay

854 p-SER129- α -syn: alpha-synuclein phosphorylated at serine position 129; SN: Substantia

Nigra; TDP43: TAR DNA-binding protein 43; TH: tyrosine-hydroxylase; TLR2: Toll-like
receptor 2; WB: Western blot.

857

858 Additional information

859 Ethics approval

Animal studies performed at the Luxembourg Centre for Systems Biomedicine were approved by the institutional Animal Experimentation Ethics Committee of the University of Luxembourg, and the responsible Luxembourg government authorities (Ministry of Health, Ministry of Agriculture). Alternatively, experiments done at the SynAging site were approved by ethics committee "Comité d'Ethique Lorrain en Matière d'Expérimentation Animale", and by the governmental agency the "Direction Départementale de la Protection des Populations de Meurthe et Moselle- Domaine Expérimentation Animale".

867

868 Consent for publication

869	All	authors	have	approved	of	the	contents	of	this	manuscript	and	provided	consent	for
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870 publication.

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872	Availab	ility o	of mate	rials
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873 Alpha-synuclein PFFs, the 11A5 monoclonal anti α -synuclein antibody, and the α -syn 874 oligomers can be obtained, under MTAs, from Biogen, Prothena Biosciences, and ETAP-lab, 875 respectively.

876

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882

883 Authors contributions

P.G., W.W., D.C., and M.B, designed the study. P.G., W.W., O.H., A.Ma., S.B., E.K., T.H.,

885 A.W., C.S., A. Mi., T.P., A.A., N.F. did the experiments (stereotactic surgery, tissue

886 processing, stainings, imaging and RNA extraction). T.K., N.N. generated the microarray

data. K.J.S., E.G. analyzed the microarray data. P.G., W.W., O.H., K.J.S., R.B., W.S.-S.,

888 K.B., M.M., M.B analyzed and interpreted the data. M.B. wrote the paper. All authors read

and approved the final manuscript.

890

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- 1219
- 1220 Figure and table legends

1221

1222 **Figure 1.** Intrastriatal injection of murine α -syn PFFs induced α -syn inclusions in various 1223 brain regions. Mice were euthanized 90 days after injection (90 dpi, n=10-11/group). A. 1224 PhosphoSER129 α -syn immunostaining showed numerous α -syn inclusions in neuritic and 1225 neuronal body structures in different brain regions. Widespread α -syn inclusions were 1226 observed bilaterally in frontal cortex and the amygdala, ipsilaterally in the striatum and the 1227 Substantia Nigra (SN), and only minimally in the contralateral striatum and SN. None were 1228 observed in the hippocampus. No inclusions were observed in either side of the brains of 1229 PBS-injected control mice. Pictures show the ipsilateral side of these mice. B. Proteinase-K 1230 digestion on thin sections generated form paraffin-embedded tissue blocks revealed the 1231 presence of digestion-resistant α -syn inclusions stained for PhosphoSER129 α -syn. Shown 1232 here are ipsilateral striatum and amygdala for illustration. C. Proximity-ligation assay using a monoclonal PhosphoSER129 α -syn antibody showed the presence of enhanced levels of oligomeric forms of α -syn in the hippocampus of PFF-injected mice, where no inclusions could be detected 90 dpi, compared to PBS-injected controls. Scale bar = 250 μ m (A), 250 μ m (B), 25 μ m (C).

1237

1238 Figure 2. Intrastriatal injection of murine α -syn PFFs induced neurodegeneration in various 1239 brain regions. Mice were euthanized 90 dpi. In the frontal cortex and the hippocampus, a 1240 significant bilateral loss of synaptophysin-positive presynaptic terminals was observed (first 1241 two rows). In the striatum, a significant ispilateral loss of TH-positive axonal fibers and DAT-positive synaptic terminals was observed (3rd and 4th row). In the SN, a significant loss 1242 1243 of TH-positive neurons was observed only ipsilaterally. For group comparisons and graphing, 1244 ipsilateral PBS measures were combined contralateral PBS measures, since they were similar. 1245 Pictures show the ipsilateral side of PBS-injected mice. **** p<0.0001, ** p<0.01, compared 1246 to PBS controls by Dunnett's post-hoc; n = 10-11/group. Scale bars: 18 µm (for frontal 1247 cortical and hippocampal synaptophysin panels), 22.5 µm (for striatal TH and DAT panels), 1248 80 µm (for Subst. Nigra panels)

1249

1250 **Figure 3.** Intrastriatal injection of murine α -syn PFFs induced widespread microgliosis in 1251 different brain regions. Mice were euthanized 90 dpi. Panels show microgliosis measured on 1252 Iba1-stained sections of frontal cortex (upper row), hippocampus (second row), striatum (3rd row), and Subst. Nigra (last row), and on CD68-stained sections of striatum (4th row). A very 1253 1254 strong microgliosis (up to 4x over control) was observed bilaterally in frontal cortex, 1255 hippocampus, and SN. No increase in Iba1 signal, but a significant bilateral increase in CD68 1256 signal was observed in the striatum of PFF-injected mice. For group comparisons and 1257 graphing, ipsilateral PBS measures were combined contralateral PBS measures, since they bioRxiv preprint doi: https://doi.org/10.1101/2020.08.05.237750; this version posted August 6, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

were similar. Pictures show the ipsilateral side of PBS-injected mice. **** p<0.0001, * p<0.05, compared to PBS controls by Dunnett's post-hoc; n = 10-11/group. Scale bars: 22.5 μ m (for all panels).

1261

1262 Figure 4. Different PD-related pathologies in the brains of mice injected intrastriatally with 1263 α -syn PFFs do not correlate with each other. Mice were euthanized 90 dpi. A. α -syn 1264 inclusion load did no correlate with neurodegeneration (loss TH-positive neurons, A1) or 1265 with microgliosis (A2) in the SN (Nigra), nor with neurodegeneration (loss of synaptophysin-1266 positive synaptic terminals, A3) or with microgliosis (A4) in the frontal cortex (Cortex). B. 1267 Microgliosis did not correlate with loss of TH-positive neurons in the SN after intrastriatal 1268 PFF injection, but did so after intrastriatal injection of the toxin 6-OHDA. The microgliosis, 1269 measured on Iba1-stained section, was also much higher in the Subst. Nigra of PFF-injected 1270 mice than in that of 6-OHDA-injected mice.

1271 All measures shown are from the ipsilateral brain sides; similar observations were made for 1272 the contralateral sides of PFF-injected mice. Correlation analyses were done using Spearman 1273 rank test for data set including α -syn inclusion load measures, and with Pearson's test for 1274 data sets with the other measures.

1275

1276 **Figure 5:** Strong microglial response after intrastriatal injection of α -syn oligomers. 1277 Oligomers were prepared and injections were performed as described in Materials and 1278 Methods. A strong microgliosis was observed in different brain regions 13 dpi, showing that 1279 α -syn oligomers are robust microglial activators in vivo. Scale bar = 40 µm.

1280

Figure 6. Differentially expressed genes induced in the ipsilateral ventral midbrain of mice at
13 dpi (A) and 90 dpi (B) after intrastriatal injections of α-syn PFFs. Comparisons for each

1283 time point were made between gene expressed in ipsilateral ventral midbrain of PFF-injected 1284 mice versus those in the ipsilateral ventral midbrain for PBS-injected mice (ipsi PFF vs. ipsi 1285 PBS, n = 6/group; upper 4 panels), and between gene expressed in ipsilateral ventral midbrain 1286 versus the contralateral ventral midbrains of PFF-injected mice (ipsi PFF vs. contra PFF, n 1287 =6/group; lower 4 panels). Panels on the left show Venn diagrams with numbers of DEGs 1288 with a significance level of p<0.05 (n=6 mice/group). Because some gene products have an 1289 effect within biological pathways while the expression of their genes may only change 1290 minimally, we show DEGs that emerge with this level of statistical stringency. In ipsi PFF vs. 1291 ipsi PBS, the number of DEGs was 2631 at 13 DPI, and 2584 at 90 DPI, with 985 DEGs 1292 common to both time points. In ipsi PFF vs. contra PFF, the number of DEGs was 3477 at 13 1293 DPI, and 3209 at 90 DPI, with 1356 DEGs common to both time points. Panels on the right 1294 show Venn diagrams with the number of DEGs after adjusting for multiple hypothesis testing 1295 at pfp<0.1. In ipsi PFF vs. ipsi PBS, the number of DEGs was 266 at 13 DPI, and 82 at 90 1296 DPI, with 39 DEGs common to both time points. In ipsi PFF vs. contra PFF, the number of 1297 DEGs was 648 at 13 DPI, and 588 at 90 DPI, with 227 DEGs common to both time points. 1298 The Venn diagrams below the main ones indicate the number of DEGs that show enhanced 1299 ("up") versus decreased ("down"), as well as the overlaps, in the different comparisons.

1300

Figure 7. Enriched inflammatory pathways precedes neurodegeneration in mouse ventral midbrains after intrastriatal α -syn PFFs injection. Enrichment map of gene expression profiles were derived from GSEA. Statistics were done by weighted Kolmogorov-Smirnov, gene set size limits were set to min15 – max250. Details of curation procedure used to group BPs (represented as dots, either red if upregulated, or blue if downregulated) into high-level functional gene set clusters of BPs of related biological function are described in Material & Methods. At 13 dpi, comparing ipsi PFF to either ipsi PBS or contra PFF, most BPs were upregulated and associated with gene sets related to immune and inflammation processes.
This shows that, in the ipsilateral nigro-striatum, neuroinflammation precedes
neurodegeneration (measurable at 90 dpi), and might contribute to its development. At 90
dpi, comparing ipsi PFF to ipsi PBS, all BPs, including those associated with inflammation
gene sets, were downregulated, possibly reflecting the neurodegenerative process itself.
Comparing ipsi PFF to contra PFF at this time point, most BPs were upregulated.

1314

1315 **Figure 8**. Top 20 DEGs in mouse ventral midbrain after intrastriatal injection of α -syn PFF 1316 indicate involvement of microglia in initial pathological events. Cellular source of DEGs was 1317 determined using the brain RNAseq database: https://www.brainrnaseq.org/. The top panels 1318 show pie charts with the cellular source of the 20 top DEGs when comparing ipsi PFF to ipsi 1319 PBS (left panels) or ipsi PFF with contra PFF (right panels) at 13 dpi and 90 dpi. At 13 dpi, 1320 comparing ipsi PFF with ipsi PBS or contra PFF, 45% and 40%, respectively, of the top 20 1321 DEGs were microglial. At 90 dpi, comparing ipsi PFF with ipsi PBS, 50% of top 20 DEGs 1322 were neuronal, possibly a reflection of neurodegeneration. The bottom panel lists the gene 1323 products of the gene symbols, coded proteins, the associated cell type 20, the fold change 1324 (FC) and the pfp of all top 20 DEGs for each comparison.

1325

Table 1: Unique molecular features of microglial response to intrastriatal α-syn PFF injection.

Table shows genes and their coded proteins for factors typically associated with microglial pro-inflammatory M1 profile (highlighted in yellow), for factors typically associated with microglial anti-inflammatory M2 profile (highlighted in green), and for generic microglial activation factors (highlighted in blue). Comparisons are between ventral midbrains of ipsi PFF *versus* ipsi PBS and of ipsi PFF *versus* contra PFF. The rank p-values and the FDRs are

1333 given. The proteins whose genes have either a significant p-value (<0.05) or pfp < 0.1 are 1334 highlighted in bold. Already at 13 dpi, Cybb, the gene for NADPH oxidase 2, a mediator of 1335 oxidative stress, was upregulated in ipsi PFF midbrain, a region that showed 1336 neurodegeneration at 90 dpi (see above). Another gene, Ptgs2, whose product, 1337 cyclooxygenase 2, has been reported to generate neurotoxic arachidonic acid metabolites, 1338 was also upregulated in ipsi PFF midbrains, most strongly so (pfp < 0.1) when comparing ipsi 1339 PFF with contra PFF. This gene can be expressed also in astrocytes. Cd68, whose protein is 1340 linked to lysomal function and possibly acts as a scavenger receptor, was also strongly 1341 upregulated in ipsi PFF midbrains. Finally, a triad of factors associated with microglia 1342 activation (Tyrobp, Trem2, Tlr2) was upregulated at 13 dpi in ipsi PFF midbrains. Their 1343 downregulation at 90 dpi in ipsi PFF versus ipsi PBS could indicate that the inflammation 1344 process starts to resolve.

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1347 Supplemental figures and tables legends

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1349 Suppl. fig.1: Western blot characterization of α -syn moities used for intrastriatal injections. 1350 Loading of the gel was as follows: lanes 1, 6, 14 – ladder; lanes 5, 10,11- blank; lanes 2,3,4 – 1351 α -syn oligomer, 10, 100, and 500 ng respectively; lanes 7, 8, 9 – α -syn PFFs, not sonicated, 1352 10,100, 500 ng respectively; lanes 11, 12, 13 – α -syn PFFs (sonicated), 10,100, 500 ng 1353 respectively. Bands from corresponding to the MW of α -syn monomers, dimers, and trimers 1354 are circled (red, blue, orange, respectively). Note the presence of high molecular weight 1355 moities (visible as a smear) after loading of non-sonicated PFFs. The sonication process of 1356 PFFs appears to reduce all higher molecular weight species of α -syn and the amount of 1357 dimers and monomers, since smears and monomer/dimer bands were visible after loading

1358 100 ng of non-sonicated PFFs, but not after loading the same amount of sonicated PFFs.

1359

1360 **Suppl. fig. 2**: Minimal presence of α -syn inclusions in different brain regions 13 DPI after 1361 intrastriatal injection of α -syn PFFs. Only few α -syn inclusiosn were seen, ipsi- and 1362 contralaterally, in the frontal cortex, amygdala, amd ipsilaterally, but not contralaterally, in 1363 the striatum and SN. Scale bar = 130 µm.

1364

Suppl. Fig. 3: No nigro-striatal degeneration and microgliosis 13 dpi after intrastriatal injection of α -syn PFFs. No loss of striatal TH-postive axons (first row), striatal DATpositive synaptic terminals (second row), nigral TH-positive neurons (third row) was observed 13 dpi aftre injection of PFFs. No increase of Iba1-positive microglial reaction in the SN was observed (last row). Microphotographs show examples of PBS-injected control brains (ipsilateral) and ipsilateral α -syn PFF-injected brains. Scale bars = 25 µm (striatal panels), 200 µm (Subst. Nigra panels).

1372

1373 Suppl. fig. 4: Heatmaps illustrating the ventral midbrain gene expression patterns at 13 dpi 1374 and 90 dpi after intrastriatal injection of α -syn PFFs. Heatmaps are shown for p-values < 0.05 1375 or pfp < 0.1. Scaled row expression values (row Z-score) in red indicate higher, in blue lower, 1376 and in white unchanged gene expression (see Materials and Methods for details). 1377 Comparisons of ipsi PFF versus ipsi PBS (upper panel row) and ipsi PBS versus contra PFF 1378 (lower panel row) reveal distinctive gene expression patterns at 13 dpi for both comparisons 1379 (whether higher, pfp < 0.1, or lower, p<0.05, statistical stringency is used), whereas a 1380 distinctive patterns at 90 dpi only appears in the ipsi PFF versus contra PFF, but not in the 1381 ipsi PFF versus ipsi PBS, comparisons.

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- 1383 Supplemental tables legends
- 1384 Suppl table 1: Antibodies used in this study
- 1385 Suppl table 2: Softwares used in this study
- 1386 Suppl table 3: Molecular astrocyte and peripheral immune cell markers



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Frontal Cortex

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Striatum









Vehicle















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Gene Symbol	Protein Name	Cell type	FC Pfp		Gene Symbol	Protein Name	Cell type	FC	Pfp
	13dpi - ipsi PFF versus ipsi PBS					13dpi - ipsi PFF versus contra PFF			
SIc6a3	Sodium-dependent dopamine transporter	Neuron	2.600	2.94E-08	Oxt	Oxytocin	Pan cellular	-2.762	2.97E-13
Oxt	Oxytocin	Pan cellular	-1.519	1.64E-06	SIc6a3	Sodium-dependent dopamine transporter	Neuron	2.749	5.55E-10
Lilrb4a	Leukocyte immunoglobulin-like receptor, subfamily B, member 4A	Microglia	2.074	3.59E-06	Tmem212	Transmembrane protein 212	Astrocyte	-2.203	1.98E-09
Spp1	Osteopontin	Microglia	1.921	9.29E-06	Stom13	Stomatin-like protein 3	Pan cellular	-2.211	1.99E-09
Cst7	Cystatin F (leukocystatin)	Microglia	1.921	1.63E-05	Lilrb4a	Leukocyte immunoglobulin-like receptor, subfamily B, 4A	Microglia	2.437	2.18E-09
Lpl	Lipoprotein lipase	Microglia	1.795	1.70E-05	Cst7	Cystatin F (leukocystatin)	Microglia	2.412	3.46E-09
Ccl3	Chemokine (C-C motif) ligand 3	Microglia	1.819	1.72E-05	Ogn	Osteoglycin	Endothelial	2.296	4.44E-09
Plin4	Perilipin 4	Neuron	1.747	5.44E-05	Clec7a	C-type lectin domain family 7 member A	Microglia	2.348	8.01E-09
Clec7a	C-type lectin domain family 7 member A	Microglia	1.808	5.94E-05	Ak7	Adenylate kinase 7	Astrocyte	-2.112	8.52E-09
Ogn	Osteoglycin	Endothelial	1.753	8.19E-05	Spp1	Osteopontin	Microglia	2.232	1.28E-08
Avp	Arginine vasopressin	Neuron	-1.149	1.30E-04	Ccl3	Chemokine (C-C motif) ligand 3	Microglia	2.367	1.33E-08
Prg4	Proteoglycan 4 (lubricin)	Astrocyte	1.777	1.72E-04	Trh	Thyrotropin releasing hormone	Astrocyte	-2.067	2.72E-08
Gfap	Glial fibrillary acidic protein	Astrocyte	1.633	1.85E-04	Cdhr3	Cadherin-related family member 3	Pancellular	-1.996	5.01E-08
Sim1	Single-minded homolog 1	Neuron	-1.643	1.88E-04	Slc17a7	Vesicular glutamate transporter 1	Pan-cellular	2.288	1.10E-07
Sgk1	Serum/glucocorticoid regulated kinase 1	Microglia	1.675	2.00E-04	Cd68	CD68 antigen	Microglia	2.042	1.31E-07
Cd68	CD68 antigen	Microglia	1.683	2.07E-04	Ccl6	Chemokine (C-C motif) ligand 6	Microglia	1.980	1.96E-06
Chrna6	Neuronal acetylcholine receptor subunit alpha-6	Neuron	1.585	2.11E-04	Prg4	Proteoglycan 4 (lubricin)	Astrocyte	1.999	1.99E-06
Zbtb16	Zinc finger and BTB domain containing 16	Neuron	1.644	2.73E-04	Dynlrb2	Dynein light chain roadblock-type 2	Astrocyte	-1.815	2.40E-06
Tyrobp	TYRO protein tyrosine kinase binding protein	Microglia	1.628	3.48E-04	Fam183b	Protein FAM183B	Neuron	-1.889	4.02E-06
Fezf1	Fez family zinc finger protein 1	Neuron	-1.475	3.74E-04	Ccl9	Chemokine (C-C motif) ligand 9	Microglia	1.838	7.89E-06
	90dni - insi PFF versus ins iPRS					90dni - insi PEE versus contra PEE			
SIc6a3	Sodium-dependent donamine transporter	Neuron	-2.383	1.51E-06	Kcni13	Inward rectifier potassium channel 13	Pan cellular	2.556	7.66E-09
Gh	Growth hormone	Endothelial	2.007	1.09E-05	Pra4	Proteoglycan 4 (lubricin)	Astrocyte	2.593	1.03E-08
Th	Tyrosine hydroxylase	Neuron	-1.874	5.40E-04	Oan	Osteoglycin	Endothelial	2.749	1.15E-08
SIc18a2	Synaptic vesicular amine transporter	Neuron	-1.782	1.33E-03	Slc13a4	Solute carrier family 13 (Sodium/sulfate symporter), member 4	OPC	2.330	4.82E-07
Chrnb3	Neuronal acetylcholine receptor subunit beta-3	Neuron	-1.748	3.72E-03	Ranbp3l	RAN binding protein 3-like	Astrocyte	2.334	4.89E-07
SIc5a7	High affinity choline transporter 1	Neuron	1.630	3.95E-03	Crvm	Ketimine reductase mu-crystallin	Pan cellular	2.193	1.06E-06
Cvr61	Cysteine-rich angiogenic inducer 61	Astrocyte	-1.692	4.44E-03	Col6a1	Collagen alpha-1(VI) chain	Neuron	2.115	1.41E-06
Gm10754	Unnamed protein	Neuron	1.364	5.64E-03	Ras16	Regulator of G-protein signaling 16	Endothelial	-2.062	1.61E-06
Taf1d	TATA box-binding protein-associated factor RNA polymerase I	Endothelial	1.560	7.33E-03	Slitrk6	SLIT and NTRK-like protein 6	OPC	-2.139	1.91E-06
Chrna6	Neuronal acetylcholine receptor subunit alpha-6	Neuron	-1.707	7.61E-03	Stom/3	Stomatin-like protein 3	Pan cellular	-2.146	1.95E-06
Kcni13	Inward rectifier potassium channel 13	Pan cellular	-1.556	1.38E-02	C030013G03Rik	Unknown	unknown	-2.018	2.12E-06
Ret	Proto-oncogene tyrosine-protein kinase receptor Ret	Neuron	-1.602	1.43E-02	Car12	Carbonic anyhydrase 12	Neuron	2.151	2.28E-06
Meis2	Homeobox protein Meis2	Neuron	1.434	1.43E-02	Pomc	Pro-opiomelanocortin-alpha	Endothelial	-2.112	3.09E-06
Slc13a4	Solute carrier family 13 (Sodium/sulfate symporter), member 4	OPC	-1.358	1.56E-02	Tcf7l2	Transcription factor 7-like 2	Oligodend.	-1.996	3.73E-06
Ranbp3l	RAN binding protein 3-like	Astrocyte	-1.288	2.13E-02	Omd	Osteomodulin	Astrocyte	2.155	4.48E-06
Mir5098	Stem-loop RNA, non coding	Pan cellular	1.507	2.13E-02	Osr1	Protein odd-skipped-related 1	Pan cellular	1.998	1.43E-05
Aldh1a2	Aldehvde dehvdrogenase family 1. member A2	Neuron	-1.442	2.16E-02	Nov	Protein NOV homolog	Neuron	2.039	1.51E-05
Trh	Thyrotropin releasing hormone	Astrocyte	1.436	2.34E-02	Trav7d-4	T cell receptor alpha variable 7D-4	Leucocyte*	-1.783	1.69E-05
C030013G03Rik	Unknown	unknown	-1.540	2.36F-02	Cox6a2	Cytochrome c oxidase subunit 6A2, mitochondrial	Microglia	-1.837	1.73E-05
Delead	Diretain kinasa C dalta tuna	Microalia	1.000	2.300 02	Oxt	Oxytorin	Den cellule:	-1.835	1.855-05

		ipsi PFF <i>versus</i> ipsi PBS					ipsi PFF versus contra PFF							
			13dpi			90dpi			13dpi			90dpi		
Gene Symbol	Protein	FC	p-Value	Pfp	FC	p-Value	Pfp	FC	p-Value	FDR	FC	p-Value	Pfp	
Cybb	NAPDH oxidase 2	1.407	5.17E-05	2.24E-02	-1.221	5.36E-03	3.95E-01	1.413	1.09E-04	2.06E-02	1.155	7.08E-02	7.60E-01	
Ptgs2	Cyclooxygenase 2	1.285	1.50E-03	1.74E-01	-1.189	1.18E-03	2.06E-01	1.506	1.28E-05	4.52E-03	1.436	8.06E-05	1.84E-02	
Cxcl10	Chemokine (C-X-C motif) ligand 10	1.226	6.11E-03	3.62E-01	-1.141	1.03E-01	9.28E-01	1.344	7.64E-04	7.62E-02	-1.003	5.15E-01	1.10E+00	
Cd86	CD86 antigen	1.112	8.32E-02	9.65E-01	-1.139	5.96E-02	8.08E-01	1.304	2.08E-03	1.38E-01	1.073	1.87E-01	9.36E-01	
ll1b	Interleukin 1 beta	1.131	8.25E-02	9.62E-01	1.071	3.38E-01	1.04E+00	1.085	2.54E-01	1.06E+00	1.091	2.41E-01	9.72E-01	
<i>II6</i>	Interleukin 6	1.030	4.26E-01	1.07E+00	-1.022	4.75E-01	1.07E+00	1.032	4.48E-01	1.09E+00	-1.050	3.33E-01	1.06E+00	
Tnf	Tumor necrosis factor alpha	-1.003	6.35E-01	1.02E+00	1.002	6.81E-01	9.97E-01	1.017	4.93E-01	1.08E+00	1.082	3.04E-01	1.00E+00	
Ccl2	Chemokine (C-C motif) ligand 2	-1.023	3.86E-01	1.07E+00	-1.073	1.92E-01	1.03E+00	-1.050	2.35E-01	1.06E+00	1.082	1.46E-01	9.10E-01	
Nos2	Nitric oxide synthase 2, inducible	1.069	2.86E-01	1.10E+00	-1.063	3.21E-01	1.06E+00	-1.014	6.54E-01	1.05E+00	-1.003	6.12E-01	1.10E+00	
ll12b	Interleukin 12b	1.003	6.24E-01	1.03E+00	-1.023	5.26E-01	1.06E+00	-1.006	6.11E-01	1.06E+00	1.074	3.31E-01	1.01E+00	
ll12a	Interleukin 12a	-1.042	4.72E-01	1.06E+00	1.060	2.96E-01	1.05E+00	-1.007	6.61E-01	1.05E+00	1.164	8.29E-02	7.89E-01	
Mrc1	Mannose receptor, C type 1	-1.029	2.09E-01	1.09E+00	-1.064	3.72E-02	7.06E-01	1.070	1.50E-01	9.79E-01	1.439	5.63E-05	1.42E-02	
Tgfb1	Transforming growth factor, beta 1	1.098	9.24E-02	9.90E-01	-1.085	9.92E-02	9.16E-01	1.196	2.36E-02	5.14E-01	1.093	1.39E-01	9.00E-01	
Socs3	Suppressor of cytokine signaling 3	1.037	4.87E-01	1.06E+00	-1.014	5.17E-01	1.06E+00	1.031	5.01E-01	1.08E+00	-1.029	2.80E-01	1.04E+00	
Arg1	Arginase 1	-1.017	4.81E-01	1.06E+00	-1.012	5.62E-01	1.06E+00	-1.059	3.59E-01	1.09E+00	-1.091	1.86E-01	9.64E-01	
<i>II10</i>	Interleukin 10	1.026	4.36E-01	1.07E+00	-1.109	1.19E-01	9.53E-01	1.029	5.00E-01	1.08E+00	-1.064	2.52E-01	1.02E+00	
Chil3	Chitinase-like 3	1.058	2.19E-01	1.09E+00	-1.100	1.29E-01	9.62E-01	1.066	2.42E-01	1.06E+00	-1.023	3.25E-01	1.06E+00	
114	Interleukin 4	-1.110	1.71E-01	1.07E+00	1.088	2.62E-01	1.06E+00	-1.004	6.62E-01	1.05E+00	1.099	2.39E-01	9.72E-01	
Retnla	Resistin like alpha	-1.003	3.26E-01	1.08E+00	1.010	4.66E-01	1.02E+00	-1.101	1.38E-01	9.77E-01	1.140	1.00E-01	8.33E-01	
Cd68	CD68 antigen	1.683	6.74E-08	2.07E-04	-1.201	1.08E-02	4.96E-01	2.042	3.49E-11	1.31E-07	1.292	1.49E-03	1.28E-01	
Tyrobp	TYRO protein tyrosine kinase binding protein	1.628	1.75E-07	3.48E-04	-1.237	1.22E-02	5.08E-01	1.570	2.45E-06	1.26E-03	1.129	8.70E-02	7.97E-01	
Trem2	Triggering receptor expressed on myeloid cells 2	1.363	8.16E-05	2.93E-02	-1.183	2.46E-02	6.34E-01	1.582	2.06E-06	1.16E-03	1.187	2.56E-02	5.52E-01	
Tlr2	Toll-like receptor 2	1.199	1.51E-02	5.48E-01	-1.056	2.77E-01	1.06E+00	1.196	2.15E-02	4.89E-01	1.057	2.35E-01	9.69E-01	
P2ry6	Pyrimidinergic receptor P2Y, 6	1.273	2.68E-03	2.45E-01	-1.144	8.74E-02	8.97E-01	1.477	1.64E-05	5.53E-03	1.064	3.39E-01	1.02E+00	
Aif1	Allograft inflammatory factor 1 (Iba1)	1.167	2.21E-02	6.37E-01	-1.097	1.53E-01	9.92E-01	1.225	9.88E-03	3.31E-01	1.147	7.10E-02	7.61E-01	
Ltb4r1	Leukotriene B4 receptor 1	-1.015	6.22E-01	1.02E+00	-1.007	6.29E-01	1.05E+00	1.011	5.67E-01	1.08E+00	-1.031	5.07E-01	1.10E+00	
Tmem119	Transmembrane protein 119	1.060	3.79E-01	1.08E+00	-1.176	5.15E-02	7.79E-01	1.119	1.50E-01	9.79E-01	1.070	3.27E-01	1.01E+00	
Siglec1	Sialic acid binding Ig-like lectin 1	1.096	2.04E-01	1.09E+00	-1.102	1.31E-01	9.66E-01	1.145	9.39E-02	8.74E-01	1.061	4.23E-01	1.04E+00	