1	Gene expression patterns in chordate embryonic development												
2	suggest partial applicability of Haeckel's postulates												
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21 Abstract

22 The relationship between embryonic development and evolution historically 23investigated based on embryo morphology, could now be reassessed using mRNA 24 expression endophenotype. Here, we investigated the applicability of von Baer's and 25 Haeckel's arguments at mRNA expression level by comparing the developmental 26 changes among nine evolutionarily distinct species: from oyster to mouse. In 27 agreement with models based on von Baer's postulates, up to 36% of mRNA 28 expression indicated nearly linear conservation of species' developmental programs. 29 By contrast, 5-15% of developmental expression profiles, enriched in neural genes, 30 displayed an alignment pattern compatible with the terminal edition paradigm 31 proposed by Haeckel. Thus, the development-evolution relationship based on mRNA 32 expression agrees with early concepts based on embryo morphology and demonstrates 33 that the corresponding patterns coexist in chordate development.

34

35 Keywords: evolution, evo-devo, Haeckel, von Baer, development

36

37 Introduction

The general relationship between ontogeny and phylogeny, or development and evolution, has long been discussed. Among cornerstones of the evolutionary developmental theory are the arguments formulated in von Baer's laws of embryology and Ernst Haeckel's Biogenetic law. Although von Baer did not accept the concept of

42 evolution, his idea that the earlier stages of embryogenesis reflect shared traits of a 43 broader taxonomic group(Von Baer, 1828) laid the foundation for the current 44 evolutionary views on developmental programs' conservation. Ernst Haeckel, who 45 advocated evolution, proposed in his Biogenetic law that the embryogenesis replays 46 the species' evolutionary past. Thus, according to this concept, the new developmental 47 stages will be added to the ancestral embryonic program to produce more recently 48 evolved species(Haeckel, 1866). After a long debate, the general concept of early 49 embryogenesis conservation, reflecting a more ancestral stage, continued in a form of 50 a monotonic developmental conservation model known as the funnel model. More 51 recently, a developmental conservation model called the developmental hourglass 52 model was proposed, which placed the most significant stage conservation at a 53 mid-embryonic part of embryogenesis, defined as the phylotypic period(Duboule, 54 1994). The applicability of the hourglass model to the conservation of developmental 55 stages at mRNA expression level has been supported both in animals(Domazet-Loso 56 & Tautz, 2010; Hu et al., 2017; Irie & Kuratani, 2011; Irie & Sehara-Fujisawa, 2007; 57 Kalinka et al., 2010) and plants(Cheng, Hui, Lee, Wan Law, & Kwan, 2015; Cridge, 58 Dearden, & Brownfield, 2016; Quint et al., 2012). Recent studies, however, 59 demonstrated the applicability of the funnel model or the coexistence and validity of 60 both funnel and hourglass models at different levels of trait evolution in 61 vertebrates(Artieri, Haerty, & Singh, 2009; Bininda-Emonds, Jeffery, & Richardson, 62 2003; Comte, Roux, & Robinson-Rechavi, 2010; Hu et al., 2017; Levin et al., 2016; 63 Piasecka, Lichocki, Moretti, Bergmann, & Robinson-Rechavi, 2013; Roux &

Robinson-Rechavi, 2008; Uesaka, Kuratani, Takeda, & Irie, 2019). Even the broadly
criticized Biogenetic law, has been pointed out to have potential applicability of its
principles(Richardson & Keuck, 2002).

67 One of the major barriers in testing the relationship between development and evolution, including the applicability of concepts proposed in Biogenetic law or the 68 69 Baer's embryology, difficulty identifying von law of is the in 70 evolutionarily-homologous developmental stages among distant species. While 71pioneering studies focused on the investigation of embryonic morphology(Jeffery, 72 Bininda-Emonds, Coates, & Richardson, 2002), recent works relied on developmental 73 changes in mRNA expression profiles as an informative endophenotype(Bozinovic, 74Sit, Hinton, & Oleksiak, 2011; J. J. Li, Huang, Bickel, & Brenner, 2014; Piasecka et 75 al., 2013; Yanai, Peshkin, Jorgensen, & Kirschner, 2011). The use of gene expression 76 facilitates inter-species comparisons, as orthologous genes can be matched among 77 species, and their expression profiles could be traced at all stages of development. 78 expression Several studies examined developmental gene patterns in 79 mammals(Wagner, Tabibiazar, Liao, & Quertermous, 2005), vertebrates(Piasecka et 80 al., 2013), chordates(Levin et al., 2016; Yanai et al., 2011), and fruit fly 81 species(Tomancak et al., 2007). These studies, however, either focused on gene 82 expression patterns within a specific species or compared developmental stage 83 conservation within the concepts of the hourglass model paradigm.

84 Here, we directly tested the compatibility of developmental expression patterns 85 with predictions of the Biogenetic law and von Baer's law of embryology. To do so, 86 we performed temporal alignment of mRNA developmental expression trajectories 87 among eight chordate species and oyster. Haeckel's Biogenetic law proposing the 88 addition of new parts to the ancestral developmental program (terminal addition) 89 could be described as a "progressive" developmental model concerning the 90 ontogenetic gene expression pattern alignment. By contrast, Baer's law and its later 91 modifications presume the existence of a general developmental program spanning 92 the entire development, while more conserved at early embryonic stages. Such a 93 model could be termed as a "continuous" developmental model concerning the ontogenetic gene expression pattern alignment. 94

95

96 **Results**

97 Alignment of developmental gene expression patterns among species

We investigated the relationship between ontogeny and phylogeny of chordate species at the level of molecular phenotype using RNA-sequencing (RNA-seq) data collected over the entire course of embryonic development in eight chordate species of different organizational complexity (amphioxus, ciona, zebrafish, two species of frogs, turtle, chicken, and mouse) and an outgroup species (oyster)(Hu et al., 2017; Zhang et al., 2012). In each species, the data were collected at 11 to 20 developmental stages and

104 measured in duplicates (Figure 1A; Supplementary file 1: Table S1 and Figure
105 1A-figure supplement 1).

106 Within species, differences among developmental stages explained approximately 107 90% of total expression variation (Figure 1B,C and Figure 1C-figure supplement 2). 108 By contrast, other factors, such as biological replicates and sequence coverage, 109 explained 1% and 0.6% of variation each (Figure 1B). Accordingly, on average, 85% of detected genes showed significant expression differences among developmental 110 111 (development-related stages in each species genes) (polynomial test, 112 Benjamini-Hochberg (BH) corrected p < 0.05; Figure 1D and Figure 1D -Source data 113 1).

We searched for the best temporal alignment between each pair of species using genes preferentially expressed at a particular developmental stage (stage-associated genes; Methods; Supplementary file 2: Table S2)(J. J. Li et al., 2014). Surprisingly, despite substantial differences in organizational complexity, an approximately linear alignment of developmental stages was a dominant stage-matching pattern in each pair of species (Figure 1E and Figure 1E–figure supplement 3,4).

120 *Two alternative evolutionary models of species' development*

We next sought to test, from the perspective of gene expression, two models, the progressive and continuous one, describing embryogenesis rooted in von Baer's and Haeckel's ideas. Specifically, we based the progressive model (PM) on Haeckel's postulate that embryogenesis replays the evolutionary history of the species. Based on

125this view, the developmental gene expression profiles of a species with more ancestral 126 body plan organization will align the best to early embryonic stages of species with 127 more recently evolved organization, "replaying" the evolutionary history of this body 128 plan (Figure 2A; Figure 2A-figure supplement 5). By contrast, evolutionary 129 developmental models rooted in von Baer's ideas, such as the hourglass and the funnel 130 model, presume general preservation of the developmental profiles across species 131 (Figure 2A). Accordingly, we based an alternative continuous model (CM) on this 132 assumption, thus predicting the best alignment between complete, non-truncated, 133 developmental expression profiles of the species.

134 We found that out of all development-related genes that aligned significantly to 135the mouse developmental trajectory, 19-36% aligned linearly, thus behaving in 136 agreement with the continuous model predictions (CM genes) (correlation test, r > 0.7, 137 p < 0.05; Figure 2B,E; Figure 2B-figure supplement 6 and Figure 2E - source data 1). By contrast, 5-15% of development-related genes aligned significantly better to the 138 truncated sets (PM genes) (permutations, BH-corrected p < 0.05; Figure 2B,C,E; 139 140 Figure 2B-figure supplement 6 and Figure 2E – source data 2). Further, in each 141 pairwise alignment, PM genes did not align uniformly to all shortened mouse 142 developmental intervals but tended to peak at a particular fragment. For instance, 143 oyster PM genes aligned best to the mouse developmental fragments ending at stages 144 3-4, while amphioxus PM genes – to the fragments ending at stages 5-6 (Figure 2B,C 145 and Figure 2C-figure supplement 7). Overall, PM genes in species evolutionarily

146 proximal to the mouse tended to align to increasingly longer truncated mouse 147 developmental sets (Figure 2D). This phylogenetic ordering of ontogenetic patterns 148 was not caused by the alignment procedure, which was not biased to any 149 developmental stage, and robust to the use of evolutionarily old genes present in each 150 of the nine investigated species (Supplementary file 3: Figure S1). At the same time, 151this phenomenon matches the phylogeny-ontogeny relationship among multiple 152species proposed by Haeckel, even though we did not include this aspect in PM model 153formulation. By contrast, repeating the alignment procedure using species with more 154 ancient body plans, such as amphioxus or ciona, instead of the mouse, did not yield a consistent significant excess of genes following PM predictions (Supplementary file 4: 155156Figure S2).

157 Properties of CM and PM genes

158 While we defined CM and PM gene sets independently for each species, each set 159 overlapped significantly between species (Methods, subsampling, 160 Bonferroni-corrected p < 0.05; Supplementary file 5: Table S3), indicating the 161 conservation of CM and PM patterning across chordate embryogenesis and 162 presumably convergent functionality specific to each model.

163 Indeed, CM genes showed enrichment in Gene Ontology (GO) terms 164 corresponding to general cellular functions, such as spliceosome, RNA transport, 165 DNA replication, and several metabolic processes (Hypergeometric test, p < 0.05; 166 Figure 2F – source data 1). By contrast, PM genes were primarily enriched in neural

167	functions, including axon guidance, glutamatergic synapse, dopaminergic synapse,
168	and MAPK signaling pathways (Hypergeometric test, $p < 0.05$; Figure 2F and Figure
169	2F – source data 1). In line with functional enrichment results, expression of CM
170	genes displayed significantly lower tissue-specificity in mouse(B. Li et al., 2017)
171	compared to PM genes, and all expressed genes (one-sided Fisher's exact test, $p <$
172	0.05; Supplementary file 6: Figure S3). Nonetheless, PM genes were more conserved
173	at the amino acid sequence level compared to CM genes (Ka/Ks, one-sided Wilcoxon
174	rank-sum test, $p < 0.0005$) (Figure 2G and Figure 2G–figure supplement 8,9).

175 Visualization of developmental expression patterns

We next investigated developmental expression trajectories of the 244 orthologous 176 177genes classified as development-related in all nine species using the nearly linear, 178species' alignment (Figure 3A). These genes were grouped into six clusters based on 179 their developmental profiles in the unsupervised clustering analysis (Figure 3B). 180 Remarkably, 66% of the genes fell within a single cluster representing a decreasing 181 expression pattern conserved across all nine species (CL9.1) (Figure 3C). By contrast, 182 the expression trajectories in the other clusters differed more among species, with the 183 extent of differences being directly proportional to corresponding phylogenetic 184 distances (Figure 3E and Figure 3E-figure supplement 10). Accordingly, CL9.1 genes substantially overlapped with CM genes (one-sided Fisher's exact test, odds ratio = 3, 185 186 p < 0.0001) and were shown in the same biological processes as CM genes, including 187 spliceosome and RNA processing (Supplementary file 7: Table S4).

The same analysis conducted using 2,038 development-related orthologs shared among six vertebrate species revealed a CL9.1-like developmental pattern represented by a single cluster (CL6.1) (Figure 3D). Genes in clusters CL9.1 and CL6.1 overlapped significantly and were enriched in similar GO terms (Figure 3F and Supplementary file 8: Table S5).

193 The second-largest cluster found in vertebrates (CL6.2) showed an opposite, 194 ascending expression pattern conserved among species and contained genes enriched 195 in signaling pathways, such as cGMP-PKG signaling pathway, oxytocin signaling 196 pathway, and Renin secretion (Supplementary file 8: Table S5). Notably, this cluster 197 overlapped with the chordate cluster CL9.4, where the ascending pattern was 198 conserved among the seven species, excluding ciona and oyster (Figure 3C).

199

200 **Discussion**

201 Our goal was to explore the general relationship between ontogeny and phylogeny 202 based on the comparison of developmental gene expression trajectories among nine 203 species separated by approximately 800 million years of evolution. Our results 204 indicate that developmental patterns consistent with evolutionary models rooted in 205 von Baer's and Haeckel's ideas match approximately half of all detected mRNA 206 developmental expression profiles. Between the two historical concepts, the 207 continuous developmental model consistent with Baer's arguments was nearly 208 three-fold more prominent compared to the progressive model compatible with the

Biogenetic law. Still, a measurable fraction of genes displayed the developmental expression pattern matching Haeckel's predictions, indicating the need for a further critical assessment of this historical concept. These results do not conflict with the hourglass model of development. Our previous work demonstrated the applicability of this model to all nine species' development(Hu et al., 2017). While the hourglass model compares relative conservation of developmental stages, we focused on the alignment of expression differences among stages.

216 Expression profiles conserved along the entire species' development included 217 two main patterns: a gradual decrease conserved among all nine species and gradual 218 increase conserved among six vertebrates. The conserved downward pattern coincides 219 with the reported preferential expression of evolutionary older genes at earlier 220 developmental stages(Gao et al., 2018). Consistently, genes forming this pattern tend 221 to display ubiquitous expression among tissues and are involved in essential functions, 222 such as RNA processing (Supplementary file 9: Figure S4). Further, a study 223 examining embryonic gene expression reported the existence of such a pattern in 224 isolated mouse tissues(Sarropoulos, Marin, Cardoso-Moreira, & Kaessmann, 2019), 225indicating that the descending expression reflects alterations within embryo tissues 226 rather than changes in embryo composition. Overall, expression patterns conserved 227 between species over the entire development involve 19-36% of orthologous 228 development-related genes detected in our study, constituting the major alignment 229 trend.

230 The second prominent type of developmental relationship among species, 231 involving 5-15% of orthologous development-related genes, was generally consistent 232 with predictions of Haeckel's Biogenetic law. According to Haeckel's views, the best 233 developmental alignment should match stages reflecting the phylogenetic history of the 234 species, rather than the entire developmental span. When aligning developmental 235 profiles of eight species to the mouse, we indeed observed this phenomenon. First, for 236 this gene group, the developmental expression profiles indeed aligned the best to the 237 truncated mouse developmental series lacking late stages. Second, for each species, 238 these alignments peaked at a particular shortened mouse developmental fragment, 239 with species phylogenetically more distant from the mouse aligning best to the 240 increasingly shorter mouse developmental sets. Intriguingly, while all species evolved 241 their developmental programs after branching from the common ancestor, a mirror 242 procedure, alignment to the fragmented developmental series of amphioxus and ciona 243 representing more ancient body plans, revealed potential PM genes in only two of 16 244 alignments (Supplementary file 4: Figure S2). Further, there was no relationship 245 between the length of the best-aligning developmental fragment and the phylogenetic 246 distance between species.

Similar to the linearly-aligning genes, genes displaying this "Haeckelian" expression behavior overlapped significantly between species (Supplementary file 5: Table S3), indicating their potential functional conservation. These genes were indeed more conserved at the amino acid sequence level than the linearly-aligning genes and

displayed enrichment in specialized functions, such as synaptic signaling andsecretion.

- 253 Our work highlights the usefulness of quantitative endophenotypes as an essential
- 254 complement to morphology-based developmental studies. It further suggests partial
- 255 applicability of Haeckel's ideas to a fraction of developmental expression differences
- 256 involving genes associated with neural functions.

258 Methods

259 RNA-seq data processing

260 All data in this analysis were downloaded from Hu et al. (Hu et al., 2017). We adopted 261 the same methods to quantify gene expression. In brief, we got the RNA-seq data of 262 Crassostrea gigas from GEO database (accession number SRP014559)(Zhang et al., 2012), and the rest of species from DDBJ (accession number DRA003460) 263 264 (Supplementary file 1: Table S1). To quantify gene expression, we mapped RNA-seq 265reads to the corresponding genome (Supplementary file 1: Table S1) using Tophat 266 (v2), allowing up to three mismatches and indels, except for ciona (Ci). In the case of 267 ciona, we mapped reads to the genome with up to five mismatches and indels as the 268 ciona RNA-seq data and the genome data have slightly lower quality compared to the 269 rest.

To define the expressed genes, we required the maximal expression across all development stages exceeding 1 FPKM. For each stage, we calculated expression as the mean of expression of the replicated samples. More than 70% of coding genes annotated for a given species were reliably detected, except for amphioxus (41%) (Supplementary file 10: Table S6).

275 Identification of development-related genes

We defined developmental alterations of gene expression levels using polynomial regression models following the method described in Somel et al. (Somel et al., 2009). For each gene, we chose the best regression model with the developmental stage (by

279 rank) as predictor and expression level as a response with Benjamini-Hochberg 280 corrected p < 0.05. The genes that fit a significant regression model were termed as 281 development-related genes (Figure 1D -Source data 1).

282 *Identification of stage-associated genes*

283 We defined genes preferentially expressed at a particular developmental stage as 284 stage-associated genes in each species following the method described in(Hu et al., 285 2017; J. J. Li et al., 2014). For each species, we required FPKM (fragments per 286 kilobase of exon model per million reads mapped) > 2 at a particular stage and 287 Z-score (normalized FPKM across samples) representing the difference between this stage and the rest of stages > 1.5. On average, 65%-90% of genes expressed in 288 development were identified as stage-associated genes (Supplementary file 2: Table 289 290 S2).

291 Alignment of mouse developmental stage sets to developmental profiles of other species

292 To assess the transcriptome similarity in the course of developmental stages between 293 mouse (Mm) and other species, we used stage-associated and 1:1 orthologous genes 294 to align the developmental stages between mouse and other species followed by the 295 method reported in(Hu et al., 2017; J. J. Li et al., 2014). The detailed description can 296 be found in the supplementary materials of(Hu et al., 2017; J. J. Li et al., 2014). In 297 brief, we calculated the pairing score using the hypergeometric test to evaluate the 298 ratio of orthologs overlapping within the stage-associated genes pairwise. The pairing 299 score can be written as:

300 Paring score = $-\log_{10}$ (Bonferroni corrected P-values)

The paring score was used to quantify the significance of the overlap on each pairwise stage comparison between the mouse and another species. From the paring score, we identified the relationship between mouse and other species (Figure 1E). To check the stability of this relationship, we repeated the comparison 500 times with randomly assigned stage-associated genes for each stage of each species using the same procedure.



307

According to the above scheme, we further assigned the corresponding stage alignment between mouse and other species using the Needleman-Wunsch algorithm with the gap penalty equal to one. To estimate the stability of alignment based on the mean of the replicates, we randomly chose one individual per stage, aligned two species 500 times, and calculated the frequency for each pair of alignment. The resulting frequency was presented by the thickness of the line in Figure 3A.

314

315 Identification of CM/PM genes

316 To identify genes with expression trajectories compatible with the continuous model 317 (CM) or progressive model (PM) predictions, we considered development-related 318 genes as mentioned in "Identification of development-related genes" with existing 319 orthologs between mouse and any other species. We then linearly aligned the 320 complete set of developmental stages in a given species (oyster, amphioxus, ciona, 321 zebrafish, frogs, turtle, and chicken) to the increasingly truncated sets of mouse 322 developmental stages, i.e., from first two to all 17 stages (Figure 2A-figure 323 supplement 5). To this end, we interpolated 20 time points uniformly distributed 324 across the whole ontogeny of non-mouse species using cubic smoothing spline (with 325 three degrees of freedom for amphioxus data and six degrees of freedom for other 326 species) or polynomial regression curves (up to the fourth degree). The two methods for interpolating expression values across development were used to ensure the 327 328 robustness of our CM or PM predictions. Of note, during cubic smoothing spline, the 329 degrees of freedom chosen for amphioxus were fewer than other species, due to the 330 relatively fewer sampled developmental stages of this species; whereas during 331 polynomial regression, we chose up to four degrees to take advantage of the 332 near-fitness of polynomial regression in most time series data.

We then aligned the resulting expression trajectories to the gradually increasing sets of mouse developmental stages, where the expression trajectory was interpolated using the same approach (cubic smoothing spline with six degrees of freedom or polynomial regression with up to the fourth degree). The alignments with the Pearson correlation coefficient (PCC) greater than 0.7 and correlation test *p*-value less than

338 0.05 were considered as valid. We further selected the alignment with the maximum 339 PCC among all valid alignments as the ultimate one. Genes failing to pass the two 340 alignment criteria were considered as non-aligned, regardless of the complete or 341 partial linear alignment paradigms.

342 Based on the above classification procedure, we summarized for each species, the 343 number of genes aligning to each set of developmental stages of the mouse. 344 Furthermore, we repeated the classification process by shuffling the orthologous 345 relationships between non-mouse species and the mouse (Figure 2B-figure supplement 6). Specifically, we expected that by shuffling, each developmental stage 346 347 of the mouse would be equally represented in other species, allowing us to calculate 348 the random expectation of alignment occurrences for each stage. Thus, the final 349 number of genes aligning to each set of developmental stages of the mouse was 350 obtained after subtracting these background contaminations. Genes adhering to the 351 continuous model (CM) were defined as those best aligned between mouse and other 352 species over the complete developmental intervals. By contrast, genes following the 353 progressive model (PM) were defined by the following criteria: i) aligned best to a 354 truncated set of mouse developmental stages; ii) displayed a significantly greater 355 number of aligning genes compared to the background distributions, determined using 356 a BH-corrected *p*-value of less than 0.05 as a cutoff; and iii) an increase in the number 357 of genes aligning to particular sets of mouse stages compared to its adjacent sets 358 (Figure 2A, Figure 2E – source data 1 and Figure 2E – source data 2).

To further check the robustness of the alignment results, we restricted our analysis to evolutionarily old genes. To do so, we repeated the alignment procedure using a subset of the development-related genes with inferred Earliest Ortholog Level (EOL) < level 10. This conservation level corresponds to genes that appeared and became fixed within the genomes before the separation of Chordata(Litman & Stein, 2019).

365 Overlap of CM/PM genes between species

366 To calculate the significance of the overlap of CM or PM genes between species, we 367 sampled the same number of CM or PM genes in each species from all 368 development-related genes 1,000 times and recalculated the number of overlapping genes to obtain the empirical distribution. The overlap significance *p*-value was 369 370 calculated as the proportion of the values, which were as larger or greater than the 371 actual overlapping gene number. Given all pairs of species involved, a 372 Bonferroni-corrected *p*-value of less than 0.05 was used as the cutoff of the overlap 373 significance (Supplementary file 5: Table S3).

374 Amino acid conservation of CM/PM gene

We compared the evolutionary conservation between proteins encoded by CM and PM genes using the Ka/Ks metric(Yang & Nielsen, 2000). Since in this study, we are not studying Ka/Ks variations across the evolutionary branches – we focused on the comparisons of PM versus CM genes within each individual species, we conducted this analysis mainly in a pairwise manner between a given species and mouse. Firstly,

380 we extracted the coding sequences of each gene based on the corresponding 381 annotation information and then translated the sequences into amino acid sequences 382 using the function "translate" implemented in the Bioconductor package "Biotrings". 383 The longest protein sequence was selected as the gene protein sequence. Next, we 384 performed protein sequence alignments between non-mouse species and the mouse 385 using the function "pairwiseAlignment" in "Biostrings", with the scoring matrix set as 386 BLOSUM50. The resulting protein alignment was translated to the nucleotide level 387 and used as the input for PAML(Yang, 1997) for a Ka/Ks analysis output. This 388 procedure was conducted in a pairwise manner for the mouse and a given non-mouse species to match the corresponding definitions of PM and CM genes carried out in a 389 390 pairwise manner (Figure 2G-figure supplement 8). To test the robustness of our 391 Ka/Ks calculations in the context of multiple species, we performed multiple 392 sequence alignment using Clustal Omega(Sievers et al., 2011) for all protein 393 sequences mentioned above convert to nucleotide levels. We next utilized the 394 CODEML application in PAML to calculate the Ka/Ks for given cross-species 395 ortholog. The significance of the difference between PM and CM genes in each 396 species was assessed using one-sided Wilcoxon rank-sum test (Figure 2G-figure 397 supplement 9).

398 Gene expression interpretation to mouse developmental stage

To compare the similarity of the expression profiles across developmental stages ofnine species, we used the predicted developmental stage alignment presented in Figure

401 3A to create a unified alignment of eight species to the mouse developmental curves.

- 402 To do so, we mapped 33 stages cumulatively interpolated from eight species to the full
- 403 mouse developmental curve fitted using cubic smoothing spline with ten degrees of
- 404 freedom. We then compared gene expression curves among nine species based on
- 405 z-transformed expression of each gene interpolated at these 33 stage points.

406 *Clustering of gene expression profiles in six vertebrate and nine chordate species*

407 To investigate the expression pattern diversity in nine or six species, we used 408 hierarchical clustering (hclust function in R) of z-transformed gene expression 409 trajectories aligned among species with (1 - rho) as the distance measure, where rho is 410 the Spearman correlation coefficient. We chose k equal six, as optimal, based on visual 411 inspection of clusters obtained using different k values.

412 Functional annotation of developmental expression patterns

To check the functions of genes in each cluster, we applied Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG)(Kanehisa & Goto, 2000) enrichment tests. GO annotation of mouse genes was downloaded from Bioconductor package 'org.Mm.eg.db'. Mouse pathway annotation was downloaded from http://rest.kegg.jp/list/pathway/ and http://rest.kegg.jp/link/genes/.

For GO enrichment test, the "elim" algorithm of topGO(Alexa & Rahnenfuhrer, 2016) was chosen to eliminate the hierarchical dependency of the GO terms. Fisher's exact test was applied for each GO term. The background set consisted of all development-related genes orthologous among six vertebrate species (2,038 genes) and

422 nine chordate species (244 genes), respectively. For the test of vertebrate gene clusters, 423 Benjamini-Hochberg correction on "molecular function", "biological process", and 424 "cellular component" was applied independently. GO terms with BH corrected p <425 0.05 were reported. We applied no multiple test correction to chordate' gene cluster 426 enrichment analysis due to low statistical power of the dataset and used a more 427 stringent nominal *p*-value cutoff of p < 0.001.

428 For the pathway enrichment test, the reference genes were the same as for 429 GO-based analysis. We used hypergeometric test (phyper in R) to assess the 430 enrichment in each KEGG pathway. Bonferroni correction was applied for genes in 431 vertebrate clusters. Pathways with corrected p < 0.05 were reported as significantly 432 enriched. No correction was applied to genes from chordate clusters due to low 433 statistical power, and the nominal pathway enrichment *p*-value was set to p < 0.05. This 434 relaxed cutoff was used to assess the potential overlap between enriched functions 435 found in vertebrate and chordate clusters (Supplementary file 8: Table S5).

436 Species tree construction

- 437 The species tree was generated under the web https://phylot.biobyte.de/ with NCBI
- 438 taxonomy IDs (10090, 9031, 13735, 8364, 7955, 7719, 8355, 7739, 29159).
- 439 The species separation time to the mouse was obtained from
 440 http://www.timetree.org/(Kumar, Stecher, Suleski, & Hedges, 2017).
- 441

443 Statistical analysis and software

444	Analyses were conducted in the R environment (http://www.r-project.org/). To										
445	minimize the type I error rate, we used multiple test correction for <i>p</i> -value calculations,										
446	unless specifically indicated otherwise. The statistically significant level used for each										
447	was specified in the main text. Additionally, we used Perl, Python, and R packages,										
448	including 'topGO', 'reshape', 'RColorBrewer', 'ggplot2',' Biotrings', as well as shell										
449	scripts, in the analyses. Pathway visualization was conducted under										
450	https://pathview.uncc.edu/ for the spliceosome pathway.										
451											
452	Acknowledgments										

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461

463 Author contribution

- 464 S.G, HY.H and C.X designed and executed the bioinformatics analysis. S.G and PK
- 465 wrote the manuscript. N.I and P.K revised the manuscript. PK supervised the project.

466

467 **Conflict of interest**

468 The authors declare no conflict of interest.

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585 Figures and figure legends



586

587 Figure 1. Species phylogeny and alignment of embryonic development stages.

588A Experimental scheme showing the phylogenetic relationship among species (dark 589 blue dendrogram) and numbers of sampled developmental stages. The abbreviations 590 here and throughout the figures indicate species: Cg - oyster (Crassostrea gigas), Ci - ciona (*Ciona intestinalis*), Bf - amphioxus (*Branchiostoma floridae*), Dr - zebrafish 591 592 (Danio rerio), Xt – Western clawed frog (Xenopus tropicalis), Xl – African clawed 593 frog (Xenopus laevis), Ps – turtle (Pelodiscus sinensis), Gg – chicken (Gallus gallus), 594 Mm - mouse (Mus musculus). B Variance explained by different factors based on 595 ANOVA. Boxes represent the interquartile values of the variance proportion 596 explained by the factors listed on the x-axis among nine species. Dots represent the 597 mean explained variance proportion for each species. C PCA plot based on the 598 expression of 16,685 genes in mouse development. Dots represent samples, and color 599 represents developmental stages (red – early, blue – late). **D** The number of detected 600 and development-related genes in each species. E Heatmap showing the pairing 601 scores reflecting overlap of stage-associate genes (darker shade of blue representing 602 greater overlap) and the optimal alignment path calculated using the 603 Needleman-Wunsch algorithm (black line).

604

Figure 1A-figure supplement 1. Illustration of sampled developmental stages for each species correspondent to Figure 1A.

The dendrogram shows the phylogenetic relationship among species, indicated by
silhouette figures and two-letter abbreviated species' classification names. Dots
indicate sampled embryonic stages. Detailed sampled stage information is listed in
Supplementary file 1: Table S1.



612

Figure 1C-figure supplement 2. PCA plot and dendrogram based on expression variation within each species.

Dots represent samples, color represents developmental stages (red – early, blue –
late). Dendrograms were constructed using 1- Spearman correlation coefficient
dissimilarity matrix. Panel titles show abbreviated species' identifiers and the number
of expressed genes with FPKM > 1 in at least one developmental stage.



621

Figure 1E-figure supplement 3. Heatmap of the pairing scores reflecting the
overlap of stage-associate genes correspondent to Figure 1E.

Darker shade of blue represents greater overlap of stage-associate genes. Black line
represents the optimal alignment path calculated using the Needleman-Wunsch
algorithm.



628

Figure 1E-figure supplement 4. Heatmap of the average pairing scores calculated randomly assigned stage-associated genes correspondent to Figure 1E.

631 The heatmap shows pairing scores based on the average of 500 iterations of random

- 632 assignments of stage-specific gene labels. Panel titles show abbreviated species'
- 633 identifiers. Darker shades of blue represent greater overlap between stages.



635

636 Figure 2. Relationship of developmental gene expression patterns among species. A Schematic description of the progressive and continuous models and their predicted 637 638 alignment patterns. The progressive model (PM, left) involves an extension of 639 developmental program in more recently evolved species, thus predicting the best 640 alignment to a shortened mouse developmental course. The continuous model (CM, 641 right) predicts the best alignment to the complete developmental course. **B** Numbers of genes showing the best expression trajectory's alignment between the complete 642 643 developmental course of the oyster (left), amphioxus (middle), and zebrafish (right) 644 and mouse developmental sets of different lengths: from two to 17 stages. Colors 645 indicate two methods used for developmental expression trajectory calculation: cubic 646 smooth spline (orange) and polynomial regression (gray). Colored rectangles mark 647 stages containing alignments fitting CM (green) or PM (orange) predictions. Black 648 triangles indicate the significantly greater (up) or lesser (down) number of genes than 649 that expected by chance aligning to an indicated mouse developmental interval 650 (permutation test, BH-corrected p < 0.05). C Examples of PM gene expression 651 patterns. Dots show the cluster-level standardized expression levels at each

developmental stage in mouse (black) and the other species (orange). The curves 652 653 represent average expression profiles, and the shaded regions represent the standard 654 deviation of curve estimates. **D** The relationship between the lengths of truncated sets 655 of mouse stages containing maximal numbers of PM genes for each of the eight 656 species and the phylogenetic distances to the mouse. Dots represent species. The 657 dotted line marks the regression curve. The Pearson correlation coefficient and linear 658 regression p-value are shown at the top right. Mya – millions of years ago. E 659 Numbers of CM and PM genes identified in each of the eight species. Note that PM 660 gene number in chicken (Gg) might be inflated due to poor resolution of PM and CM 661 predictions at close phylogenetic distances. F KEGG pathways significantly enriched in PM or CM from all eight non-mouse species. The heat map shows the p-values of 662 663 the enrichment tests. Colored rectangles mark significantly enriched KEGG pathways. 664 G Amino acid sequence conservation (Ka/Ks) of PM and CM genes from all eight 665 non-mouse species. Asterisks indicate the significance of the difference (Wilcoxon 666 rank-sum one-sided test, p < 0.0005).





Figure 2A-figure supplement 5. Schematic representation of the alignment
procedure used to test the predictions of progressive and continuous models
correspondent to Figure 2A.

672 The scheme depicts an example of all possible alignments between the complete 673 developmental series of a species (any of investigated species except mouse; blue line) 674 and mouse developmental sets (black lines). The mouse developmental sets included 675 the entire developmental series consisting of 17 sampled stages and developmental 676 series progressively shortened by truncation of the last stage, down to the first two 677 developmental stages. For each gene showing development-dependent expression in 678 the two compared species, we then aligned the developmental profile of a species to the 679 entire mouse developmental course and the increasingly truncated sets of mouse 680 developmental stages. The continuous model (CM) predicts the best alignment of the 681 amphioxus development to the complete mouse developmental course. The progressive 682 model (PM) predicts the best alignment to the truncated developmental course. We 683 used all possible truncated mouse developmental series, from 16 to two stages, to 684 identify the best alignment to the mouse developmental series in an unbiased manner.



686

Figure 2B-figure supplement 6. Numbers of genes showing the best expression
trajectory's alignment between the complete developmental course of five species
and mouse developmental sets of different lengths: from two to 17 stages.

690 Panel titles show abbreviated species' identifiers. Colors indicate two methods used 691 for developmental expression trajectory calculation: cubic smooth spline (orange) and 692 polynomial regression (gray). Colored rectangles mark stages containing alignments 693 fitting CM (green) or PM (orange) predictions. Black triangles indicate significantly 694 greater (up) or lesser (down) number of genes than that expected by chance aligning 695 to an indicated mouse developmental interval (permutation test, BH-corrected p < p696 0.05). The background distribution of gene numbers for subtraction was estimated by 697 shuffling the orthologous relationships of mouse and a given species.



699

Figure 2C – figure supplement 7. Examples of PM gene expression patterns correspondent to Figure 2C.

Dots show the cluster-level standardized expression levels at each developmental
stage in mouse (black) and the other species (orange). The curves represent average
expression profiles and the shaded regions represent the standard deviation of curve
estimates.



707

Figure 2G – figure supplement 8. The distributions of Ka/Ks values of PM and
CM genes in each non-mouse species correspondent to Figure 2G.

710 Significance of the difference between the two distribution was assessed using 711 one-sided Wilcoxon rank-sum test. ***, p < 0.0005, **, p < 0.005, *, p < 0.05, +, p <712 0.5, #, p > 0.5.



Figure 2G – figure supplement 9. The distributions of Ka/Ks values of PM and
CM genes in all species, and in each of the non-mouse species correspondent to
Figure 2G.

Significance of the difference between the two distributions was assessed using one-sided Wilcoxon rank-sum test. ***, p < 0.0005, **, p < 0.005, *, p < 0.05, +, p <0.5, #, p > 0.5. In contrast to results displayed in Figure 2G and Figure 2G – figure supplement **8**, the Ka/Ks ratios here were estimated using multiple species alignment.

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723

Figure 3. Developmental expression of genes based on the species' alignment.

725 A Pairwise alignment of developmental stages between mouse and the other species. 726 Thick lines represent alignments supported by technical replicates. Thicker lines 727 represent more stable alignments calculated by random subsampling of samples 500 728 times. B Hierarchical clustering of concatenated developmental gene expression 729 trajectories of 244 gene orthologs shared among nine species. Colors represent 730 clusters. C Developmental gene expression patterns in each of six clusters based on 731 nine-species gene orthologs. Colors represent species. Panel titles show cluster 732 identifiers and number of contained genes. **D** Developmental gene expression patterns 733 in each of six clusters based on six vertebrate species gene orthologs. Panel titles

show cluster identifiers and number of contained genes. **E** Relationship between the

similarity of developmental gene expression patterns and phylogenetic distances. The

736 expression similarity was calculated as the mean of Spearman correlation coefficients

737 between mouse and non-mouse expression trajectories. **F** Chord graph indicating the

738 relationship between clusters obtained using nine-species and six-species ortholog

739 genes. Wider chords represent stronger connection between clusters.



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Figure 3E – figure supplement 10. Relationship between the similarity of
developmental gene expression patterns and phylogenetic distances
correspondent to Figure 3E.

The relationship is shown for each of six clusters of development-related genes orthologous among nine species. The expression similarity was calculated as the mean of Spearman correlation coefficients between mouse and non-mouse expression trajectories. The x-axis shows the phylogenetic distance to the mouse.



750

Supplementary file 3: Figure S1. Numbers of genes showing the best expression
trajectory's alignment between the complete developmental course of eight
species and mouse developmental sets of different lengths: from two to 17 stages.

Panel titles show abbreviated species' identifiers. Bar colors indicate two methods used for developmental expression trajectory calculation: cubic smooth spline (orange) and polynomial regression (purple). Colored rectangles mark stages containing alignments fitting CM (green) or PM (orange) predictions as in Figure 2B. The analysis was restricted to a subset of evolutionarily old genes inferred to exist back in *chordata* ancestor or earlier.



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Supplementary file 4: Figure S2. Numbers of genes showing the best expression
trajectory's alignment between the complete developmental course of eight
species and amphioxus (panel A) and ciona (panel B) developmental sets of
different lengths.

Panel titles show abbreviated species' identifiers. Bar colors indicate two methods
used for developmental expression trajectory calculation: cubic smooth spline (orange)
and polynomial regression (purple). Orange and green colored rectangles mark stages
corresponding to alignments with significant excess of genes following PM and CM
predictions respectively, as in Figure 2B.





773 Supplementary file 6: Figure S3. Tissue specificity of CM and PM genes.

A Bars represent the ratio of tissue-specific and non-tissue specific genes for three gene groups. Numbers within bars show the number of tissue-specific genes in each group. **B** Bars represent the ratio of housekeeping and non-housekeeping genes. Numbers within bars show the number of housekeeping genes in each group. The significance of the differences between gene groups was assessed using one-sided Fisher's exact test. ***, p < 0.0005, **, p < 0.005, *, p < 0.05, +, p < 0.5.



781 Data on KEGG Rendered by P

Supplementary file 9: Figure S4. Visualization of spliceosome pathway showing developmental expression profile correlation among nine species.

Scheme shows mouse orthologs of spliceosome pathway genes present in nine species.

785 Colors indicate the coefficients of developmental expression profile correlations

estimated in all pairwise comparisons between mouse and the other eight species.

- 788 Additional files
- 789 Figure 1D source data1. Developmental related gene list of each species
- 790 correspondent to Figure 1D.
- 791 Figure 2E source data1. The list of continuous model genes for each species
- 792 Figure 2E source data2. The list of progressive model genes for each species
- 793 Figure 2F source data 1. Enriched KEGG pathways for progressive model (PM)
- and continuous model(CM) genes correspondent to Figure 2F.
- 795 Supplementary file 1: Table S1. Sample information used in our study with raw file
- 796 lists and the reads number.
- 797 Supplementary file 2: Table S2. The number of stages associated gene for each
 798 species
- 799 Supplementary file 5: Table S3. Bonferroni-corrected p-values of overlapping
- 800 CM genes between pairwise species
- 801 Supplementary file 7: Table S4. Annotations and GO terms of overlapped genes
- 802 between CM and CL9.1
- 803 Supplementary file 8: Table S5. Enriched GO functional annotation for
- 804 developmental expression patterns CL1-6
- 805 Supplementary file 10: Table S6. Summary of expressed gene number for each
 806 species
- 807