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2	Single-cell transcriptomics, scRNA-Seq and C1 CAGE discovered distinct
3	phases of pluripotency during naïve-to-primed conversion in mice
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24 Abstract

25

Background: Two types of mammalian pluripotent stem cells (PSC), i.e. naïve and primed possess distinct cellular characteristics. It is largely unknown how these differences are generated during naïve-to-primed transition process. We have established a robust *in vitro* transition system using a Wnt inhibitor for the first time and analyzed dynamic changes in cellular status via single-cell RNA-sequencing and C1 CAGE analyses.

32 **Results:** Analysis of known marker genes suggested that the cell transition process 33 progresses as expected. However, cluster analyses revealed a sudden increase in 34 expression profile diversities three and four days after induction of the transition. These expression diversities can be reconciled by the presence of two subpopulations with 35 36 distinct transcription profiles emerging at these time points. One of the subpopulations 37 appears transiently, and surprisingly these cells showed a global downregulation of 38 gene expression. Moreover, initiation of random X chromosome inactivation (XCI) 39 coincides with the appearance of these transient cells. The other subpopulation can 40 be maintained as a stem cell line and possesses expression profiles more similar to 41 those of primed epiblast stem cells (EpiSC) than embryonic stem cells (ESC). 42 However, there are important differences in gene expression related to epithelialmesenchymal transition (EMT), suggesting that this subpopulation may represent a 43 novel pluripotent state that has an intermediate cellular phenotype between ESC and 44 45 EpiSC.

46 Conclusions: These findings should contribute to our understanding of the
47 establishment and maintenance of distinct differentiation statuses of mammalian PSCs
48 and provide new insights into the pluripotency spectrum in general.

49

50 243 words (Max 250 words)

51

52 Keywords

53 Single cell transcriptomics, Pluripotent stem cells, Cell differentiation, Naïve 54 pluripotency, Primed pluripotency, Developmental transition, enhancer RNA, non-55 coding RNA, X chromosome inactivation

57 Introduction

58

59 Pluripotency of cells becomes restricted during development. Cells are undergoing 60 differentiation and acquire distinct functions required for each cell type and cell lineage. In mammals, there exists cell lineage maintaining pluripotency in the early stage of 61 62 development, and cultured stem cell lines which can be propagated indefinitely in vitro 63 while retaining pluripotency have been derived from these pluripotent cells. Currently, 64 at least two types of PSCs are known in mammals, i.e. naïve and primed. Mouse ESCs 65 correspond to naïve PSCs, while mouse EpiSCs, human ESCs and human induced pluripotent stem cells (iPSCs) are classified as primed PSCs. The mouse ESCs are 66 67 derived from preimplantation blastocysts, while EpiSCs are derivative of epiblast cells of mouse postimplantation embryos. Naïve and primed PSCs, both have capacities to 68 69 differentiate into multiple cell types from the three germ layers, although they are 70 different in various aspects. For example, there are differences between mouse ESCs 71 and EpiSCs in their epigenetic status, e.g. DNA methylation [1], enhancer usage [2, 3], expression of naïve pluripotent markers [4], cell adhesion properties [5], nuclear 72 73 architecture/replication timing [6], and metabolism [7]. Furthermore, in female cells X 74 chromosome inactivation (XCI) takes place in EpiSCs, whereas mESCs show no XCI [8]. These differences were revealed by comparisons between mouse ESCs and 75 76 EpiSCs, but it is still largely unknown how these differences are generated during the 77 transition process from naïve to primed status or how cells exit from the naïve state to 78 gain primed pluripotency. On the other hand, it has been suggested that mammalian 79 PSCs may have greater diversities than previously thought [9; 10]. For example, it was reported that EpiSC-like cells may be present in the mES cell population or vice versa 80 [11, 12]. Recently, "formative state", a hypothetical state representing the intermediate 81 82 state between naïve and primed states has been proposed [13, 14]. However, such 83 an intermediate state between naïve and primed has previously not been clearly 84 defined. This is probably due to the lack of an experimental model system that recapitulates the naïve-to-primed transition reproducibly in vitro. Mouse ESCs can be 85 86 converted to primed PSCs by changing the culture medium, but massive cell death 87 occurs, which hampers a precise analysis of the transition process [15, 16]. Epiblast-88 like cells (EpiLC) possess cellular characteristics similar to the primed EpiSCs, but

89 these cells appear only transiently after induction from mESCs and cannot be 90 maintained as a stem cell line [17]. We recently reported a robust method to efficiently establish EpiSC cell lines by using an Wnt inhibitor [18]. Using a modified culture 91 92 condition with the Wnt inhibitor we succeeded to establish an *in vitro* system, in which 93 we could efficiently and reproducibly convert ESC to primed PSC-like cells for the first 94 time. The primed PSC-like cells generated in this way show cellular morphologies 95 highly similar to those of the existing EpiSC lines and can be maintained in vitro for at 96 least 20 passages (this work) without losing the primed PSC characteristics. As a 97 preliminary experiment, we have converted mES cells carrying a fluorescence reporter 98 specific to the naïve state and found that the transition process proceeds 99 asynchronously, and that cells with distinct cellular states were intermingled within a 100 colony. Therefore, we applied two methods of single-cell RNA sequencing; as the 101 Fluidigm single-cell RNA-Seg (scRNA-Seg) [19] and single-cell C1 Cap Analysis of 102 Gene Expression (C1 CAGE) [20] to elucidate dynamic changes in cellular status 103 during the naïve-to-primed transition process at single-cell resolution for the first time. 104 CAGE detects 5'-end of coding mRNA as well as non-coding RNA including enhancer 105 or antisense RNAs [21]. Thus, this technique may provide insights into the 106 enhancer/promoter interplay or non-coding RNA functions, which drives hierarchical 107 regulations of gene expression during development.

108 Single-cell transcriptome data revealed distinct cell clusters in addition to the 109 clusters mainly composed of ESCs or EpiSCs. The temporal order of emergence of 110 these intermediary clusters was estimated by pseudotime analysis. Surprisingly, 111 thousands of genes are globally downregulated in one of the intermediary clusters. 112 Moreover, initiation of XCI coincides with the appearance of this cell cluster. The other 113 subpopulation represents self-renewing stem cells exhibiting distinct expression 114 profiles from the EpiSC cells, suggesting that this subpopulation may represent novel 115 stem cells that have an intermediate cellular phenotype between mESC and EpiSC.

These findings should contribute to our understanding of the establishment and maintenance of distinct differentiation statuses of mammalian PSCs and provide new insights into the pluripotency spectrum in general.

119

121 Materials and Methods

122

123 Cell line

124 ESCs used in this study were established from female F1 inter-subspecific hybrid 125 embryos (MB3), a cross between C57BL/6J (B6) and MSM/Ms (MSM) (RIKEN RBC 126 No. RBRC00209). MSM is an inbred mouse strain derived from the Japanese wild 127 mouse Mus musculus molossinus. We also used female EpiSCs, 129Ba2, a 129xB6N 128 F1 hybrid line [18]. In addition, we sampled the primed PSC-like cells at Day 22 (P10) 129 and a clonal cell line isolated from the primed PSC-like cells sampled at passage 20 130 (Clone 1E). All animal experiments were approved by the Institution Animal 131 Experiment Committee of RIKEN Tsukuba Institute.

132

133 ES cell culture

Mouse ESCs were cultured in ES medium composed of Glasgow-Minimal Essential Medium (GMEM) (Sigma-Aldrich) supplemented with 14% knockout serum replacement (KSR) (Life Technologies), 1% ES culture grade fetal calf serum (FCS) (Life Technologies), 1x non-essential amino acid (NEAA) (Life Technologies), 1000 units/mL LIF, 100 µM 2-mercaptoethanol and penicillin/streptomycin. Mouse ESCs were maintained on mitomycin C (Sigma-Aldrich) treated mouse embryonic fibroblast (MEF) feeder cells [22].

141

142 Naïve-to-primed conversion

143 Mouse ESCs were seeded onto MEF feeders at a density of 1-3 x 10⁵ cells per 3 cm dish and cultured in the ES medium over night at 37°C. For conversion of ES cells to 144 EpiSC-like cells, ES cell medium was replaced with EpiSC medium (DMEM/F12 plus 145 146 glutamax (Gibco), 1xNEAA (Life Technologies), 15% KSR (Life Technologies), 5 147 ng/mL of basic FGF (Reprocell), 10 ng/mL of Activin A (Wako) and 2 µM IWP-2 148 (Stemgent) and the cells were incubated at 37°C overnight. The day of the medium 149 change was set as Day 0. On the next day (Day 1), cells were passaged using CTKCa 150 dissociation buffer (phosphate buffered saline containing 0.25% trypsin (BD Diagnostic 151 Systems), 1 mg/ml of collagenase (Life Technologies), 20% KSR (Life Technologies), 152 1 mM CaCl₂) essentially as described by Sugimoto et al. [18]. The medium was

153 changed every day and cells were passaged every other day. For harvesting primed

- 154 PSC-like cells, cells were dissociated by 0.25% Trypsin, 1 mM EDTA and the single
- 155 cell suspension was used for single-cell capture or plate purification was done to
- 156 remove feeder cells before harvesting.
- 157

158 Single-cell capture, RT and cDNA synthesis

- 159 For each sample 3,000 cells were loaded in a C1 single-cell Auto Prep array (Fluidigm,
- 160 100-5760) for mRNA-sequencing (10-17 μm). We processed samples of all time
- 161 points following the Fluidigm manufacturer's instructions and recommended reagents
- 162 (PN 100-7168 I1) as well as the C1 CAGE protocol
- 163 (https://www.fluidigm.com/c1openapp/scripthub/script/2015-07/c1-cage-
- <u>1436761405138-3</u>) [23]. After priming the C1 array and loading of the cell mix we
 added a Calcein AM/ Ethidium homodimer-1 staining mix (LIVE/DEAD kit, Life
 Technologies). Both protocols follow the manufacturer guide to perform the cell mix
 loading, staining, loading of reagent mixes for lysis, reverse transcription, PCR
 amplification and cDNA harvest. We used External RNA Controls Consortium (ERCC)
 spike Mix 1 (Thermo Fisher, 4456740) [24] instead of ArrayControl RNA spikes.
- 170

171 Single-cell capture imaging

- 172 Imaging of the cell capture chambers was done in brightfield, green filter and red filter 173 mode. Due to the different sample acquisition time points for both Fluidigm scRNA-174 Seq protocol and C1 CAGE two different imaging systems have been used. The first 175 device was Cellomics ArrayScan VTI High Content Analysis Reader (Thermo 176 Scientific) and it was applied as described elsewhere [25]. The main difference 177 between the Cellomics platform and the follow up IN Cell Analyzer 6000 system (GE 178 Healthcare) is the eased use in automated C1 array scans and the capability of the IN Cell Analyzer to take z-stacked images, which show a vertical cross section of the 179 180 capture chamber. All images from the two platforms are available from SCPortalen at 181 (http://single-cell.clst.riken.jp/riken_data/mES2EpiSC_summary_view.php) [26]
- 182

183 Library preparation and sequencing

184 The optimal concentration range for harvested single-cell cDNA is between 0.1 to 0.3

185 ng/µL. In case of the Fluidigm scRNA-Seg protocol 2 µL of each cell have been diluted 186 in appropriate amounts of harvest dilution buffer based on prior picogreen (Thermo 187 Fisher, P11496) cDNA concentration measurements for each cDNA cell sample. The 188 workflow for the library preparation equally follows the Fluidigm manufacturer 189 instructions and used reagents from Illumina (FC-131-1096, FC-131-1002). In brief, 190 after cDNA sample dilution comes the tagmentation reaction, followed by an enzyme 191 deactivation step and finally an indexing PCR for multiplexing samples. Fluidigm 192 scRNA-Seq utilizes the Nextera XT index primer kit with 96 indices, whereas C1 CAGE 193 uses a custom primer set [20](Invitrogen) instead of the kit's S index primer set. All 194 samples are pooled after the index PCR and the pooled mix is purified using Agencourt 195 AMPure XP magnetic beads as described in the Fluidigm manual. Prior to sequencing 196 on Illumina HiSeq2500 we quantified all libraries (KAPA Library Quantification kit, 197 KK4835) and adjusted the library concentration for loading on the flow cell to 9 pM. 198 Library quality has been checked with Agilent High Sensitivity DNA kit (5067-4626) 199 prior to loading on the flow cell. Fluidigm scRNA-Seq protocol samples were 200 sequenced in high-output mode, paired end, 100 bases and C1 CAGE in high output 201 mode, paired end, 50 bases.

202

203 Fluidigm scRNA-Seq data processing

204 All FASTQ files from Fluidigm scRNA-Seq runs where mapped using STAR v2.4.1d 205 [27] against the GRCm38p4 reference genome and Gencode M8 as annotation 206 reference. The mapping output was used for upload to ZENBU. We used Tagdust 207 v2.13 [28] to remove library primer and adapter sequence artifacts, rRNA sequences, 208 Spike sequences, and other non-desirable sequences before RNA-seq quantification. 209 Estimates of RNA expression were generated with Kallisto v0.44.0 [29, 30] using 210 Gencode M8 transcript IDs as reference. We combined the resulting single-cell 211 expression matrices into two comprehensive matrices with single cells in columns and 212 rows with gene level expression values as estimated counts and TPM values 213 respectively.

214

215 **C1 CAGE sequence data processing**

216 Two different C1 CAGE data processing workflows have been applied. For the first,

217 C1 CAGE FASTQ files have been processed using the Moirai software platform [31] (https://github.com/Population-Transcriptomics/C1 CAGE-preview/blob/master/OP-218 WORKFLOW-CAGEscan-short-reads-v2.0.ipynb). The Moirai pipeline creates BED12 219 220 files for all C1 CAGE samples, which are used to make a CAGEexp object with the 221 CAGEr R Bioconductor package [32] (https://rdrr.io/bioc/CAGEr/). We made a custom 222 BED file for annotating expressed TSS in order to make a C1 CAGE gene expression 223 matrix. The annotation BED file from refTSS [33] combines annotations from 224 FANTOM5 DRA000914 [34], the mouse promotor and enhancer atlas (https://fantom.gsc.riken.jp/data/) and the Eukaryotic Promotor Database EPDnew 225 226 mouse promotors (https://epd.vital-it.ch/EPDnew_database.php), as well as Gencode 227 M8. The gene expression matrix was generated with the CAGEr function 228 CTSStoGenes. The resulting expression matrix was used to perform DEG analysis 229 and k-means clustering analog to how it was done on Fluidigm scRNA-Seg data. This 230 was done for direct comparison of Fluidigm scRNA-Seg and C1 CAGE data (Figure 231 1D, S2A, 4A)

232

233 Expression data analysis

234 All expression data analysis was done on the respective gene expression matrices for 235 Fluidigm scRNA-Seq and C1 CAGE after removing cells that fail quality controls and 236 have been tagged for removal in the affiliated experimental metadata tables. Quality 237 was assessed from various sources such as capture images, cDNA concentration or 238 sequencing reads. Based on t-Distributed Stochastic Neighbor Embedding (t-SNE) k-239 means clusters we performed differential gene expression analysis between all 240 clusters using the SCDE v2.10.1 R package [35]. Pseudotime analysis was done with 241 TSCAN v1.20.0 [36] using the set of differentially expressed genes between the Day 242 0 cells and the EpiSC cells and the differentially expressed genes between t-SNE kmeans cluster 1 and 5 in case of pseudotime sorting of C1 CAGE samples. 243 244 Hierarchical clustering heatmaps have been created with the pheatmap v1.0.12 R 245 package [37]. Gene ontology analysis was done with the Enrichr web tool [38, 39]. Cell 246 cycle assignment was done using a set of orthologous mouse genes based on the set 247 from Whitfield et al. [40] with the phase scoring method described in [41]. All sample 248 BAM files of the STAR alignment output and C1 CAGE BED12 files have been

uploaded to the ZENBU browser for expression visualization and data exploration [42](Figure S1J).

251

252 **Promotor/ enhancer analysis**

253 A promotor/ enhancer expression matrix was constructed intersecting read 5' ends 254 with FANTOM5 promotor/enhancer annotation using a second C1 CAGE data 255 processing workflow (https://fantom.gsc.riken.jp/data/). The data were processed 256 using Seurat [43] v3.1.1, excluding features detected in fewer than 3 cells and cells 257 tagged for removal in metadata, and normalized with Seurat NormalizeData 258 (normalization.method = "LogNormalize", scale.factor = 10000).Differential 259 expression testing was performed with Seurat FindAllMarkers (min.pct = 0.05, 260 logfc.threshold = 0.25, using a Wilcoxon Rank Sum test). Pseudotime analysis was 261 performed with Slingshot v1.4.0, tradeSeg v1.1.03 and clusterExperiment v2.6.1: 262 PCA1-30 of the top 10000 promotors/enhancers were clustered using Seurat FindClusters (algorithm = 4 (Leiden), resolution = 0.7). Pseudotime curve was 263 264 generated with Slingshot getLineages using the previous PCA embeddings specifying the start and end cluster. NB-GAM model fit with Slingshot fitGAM (nknots=7) to the 265 top 20% of features by variance across cells (4334 promotors and 341 enhancers). 266 Consensus clustering of the expression patterns was performed with tradeSeq 267 268 clusterExpressionPatterns 50) (minSizes = and merged with 269 mergeClusters(mergeMethod="adjP",DEMethod="limma",cutoff=0.95) from into 5 270 enhancer/promotor clusters.

271

272 RNA-FISH and immunostaining

RNA-FISH analysis of *Xist* RNA using strand-specific DNA probe and
immunofluorescence analysis of H3K27me3 histone modifications were performed as
described in Shiura and Abe [44].

276

277 Allelic expression preprocessing

278The single nucleotide polymorphisms (SNPs) data for MSM/Ms was downloaded279fromNIGMouseGenomeDatabase(MSMv4HQ,280http://molossinus.lab.nig.ac.jp/msmdb/index.jsp). We used X chromosome SNPs of

281 the coding region and filtered out multi allelic SNPs. The information about indels 282 was also filtered out. The SNPs lifted over from the mm10 genome to the mm9 283 genome with CrossMap-0.2.6 [45]. MSM/Ms mouse genome was reconstructed from 284 **SNPs** mm9 using the with bigBedToBed 285 (http://hgdownload.soe.ucsc.edu/admin/exe/macOSX.x86_64/) and SegKit v0.7.0 286 [46].

287

288 Allelic expression analysis

289 For allelic expression analysis, we aligned all reads to both B6 mouse genome 290 (mm9) and MSM/Ms mouse genome independently using STAR-2.5.3a. We sorted 291 and merged reads from both B6 and MSM using SAMtools version 1.5 [47]. Variant 292 calling was performed using the Genome Analysis Toolkit (GATK) version 3.7-0-293 gcfedb67 [48]. Variant annotation was performed using SnpEff [49] /SnpSift [50] 4.3r 294 (build 2017-09-06 16:41). To identify high-confidence SNPs, we considered only heterozygous bases present in dbSNP (build 128) and MSMv4HQ reference 295 296 database. SNPs detected from B6 and MSM genome were collected.

The samtools mpileup command (pileup2base_no_strand.pl, <u>https://github.com/riverlee/pileup2base</u>) was used to count the reads at each SNPs genomic position from the merged reads from both B6 and MSM.

We classified the reads with SNPs as biallelic, B6 monoallelic or MSM monoallelic. Allelic expression was measured as the total number of reads mapped on the B6 genome divided by the total number of reads for each SNP: Allelicpercentage = (B6 reads/(B6 + MSM) reads) * 100 [%].

304

305 biallelic: allelic-percentage \geq 10 or \leq 90 [%]

306 B6 monoallelic: allelic-percentage > 90 [%]

307 MSM monoallelic: allelic-percentage <10 [%]

308 Not detected: The reads were less than 10

309

We used two criteria to define the XCI state of each cell: one is biallelic expression ratio and the other is B6 and MSM monoallelic expression ratio. In clone 1E cells,

which are supposed to complete XCI, the biallelic expression ratio of each cell was 312 313 found to be 11% or less. Therefore, cells with a biallelic ratio of 11% or less are defined 314 as 'XCI', while the rest of the cells are defined as 'XC Active'. We also used the MSM 315 and B6 monoallelic expression ratio for defining XCI state. The clone 1E cells, in which B6 chromosome X is inactivated, showed MSM monoallelic expression ratio of \geq 72%. 316 Thus, we defined the cells with B6 or MSM monoallelic expression ratio of more than 317 318 72% as cells undergone rXCI. When both criteria were fulfilled, a cell was defined as 319 either 'XCI' or 'XCI_active'. If the two criteria are not fulfilled, a cell was classified as 320 'XCI_Intermediate'. Cells with less than 50 variants were labeled as 'No_definition'. 321

323 **Results**

324

325 Transition from naïve to primed pluripotency

326 Naïve state to primed state transition was initiated by replacing ES cell culture medium 327 with EpiSC medium containing an Wnt inhibitor, IWP-2, and the day of the medium 328 change was set as Day 0. Cells at Day 0 showed typical morphologies of naïve ESCs, 329 i.e. round and dome-shaped compact colonies (Figure S1A). These dome-shaped 330 colonies were observed until Day 2 (Figure S1B, C) but larger and flatter colonies 331 appeared from Day 3 on (Figure S1D, E). Morphologies of these flat colonies are 332 similar to those of EpiSCs directly derived from post-implantation embryos (Figure 333 S1F), indicating that primed PSC-like cells appear to form after Day 3. These primed 334 PSC-like cells can be propagated stably for more than 12 passages (~22 days after 335 the initiation of transition). From the primed PSC-like cells, clonal cell lines can be 336 obtained. Those clones were also morphologically stable even after 20 passages. 337 Addition of IWP-2 to the medium is highly effective for transition to primed type stem 338 cells. Cells cultured in the medium containing IWP-2 were converted efficiently to the 339 primed type cells, whereas high mortality was observed in cell culture without the Wnt 340 inhibitor (Figure S1G, H). In this study, we used a female ES cell line derived from intersubspecific hybrid embryos, which can be used for XCI analysis. Taking 341 advantage of numerous SNPs existing between the two subspecies, it is possible to 342 343 perform allele-specific gene expression analysis. We also used a female EpiSC line 344 as a reference primed PSCs [18]. In addition, we sampled the primed PSC-like cells 345 at Day 22 (P10) and a clonal cell line isolated from the primed PSC-like cells (Clone 346 1E), which underwent >20 passages.

347

348 Single cell transcriptome analyses of the transition process using

349 scRNA-Seq and C1 CAGE

We used scRNA-Seq on a time-course of pluripotent mESCs triggered to undergo the transition from a naïve to primed pluripotent state. In total we obtained 579 single cell transcriptome profiles via the Fluidigm scRNA-Seq protocol and 587 cells via C1 CAGE (Figure 1A). These cells passed stringent quality screenings before applying computational analysis and represent sampling time points from a transition stage

between these two pluripotent states. They have been deeply sequenced with average
3.1 million sequencing reads per cell for scRNA-Seq and 1 million reads for C1 CAGE
respectively.

358 We observed a reduction of the median number of expressed genes within each 359 group of time points after Day 2 from more than 8500 expressed genes to less than 360 8000 genes (Figure 1B). Furthermore, the variability of expressed genes in individual 361 cells was larger in cells from the Day 3, Day 4 and EpiSC group compared to earlier 362 time points. Plotting the Spearman correlation of nearest cells [51] also shows a more 363 variable distribution for the same groups (Figure 1C), thus indicating a global change 364 in cellular expression profiles during the transition process from naïve to primed stem 365 cells.

We also checked known marker genes of the naïve state (shown here *Esrrb*, *Nr0b1*, *Dppa4*, *Zfp42*), pluripotency markers (*Pou5f1*, *Sox2*) and primed state markers (*Sox4*, *Cd24a*, *Dnmt3b*) and could validate our data by matching the expression of these known markers with our time point samples (Figure 1D).

370 We performed differential gene expression analysis between the cells from the 371 Day 0 mES group and the EpiSC group. This resulted in 950 significantly differentially 372 expressed (DE) genes (p adjust < 0.01) between these groups (File S2) which allowed 373 us to visualize our data via hierarchical cluster analysis (Figure 2A). Many genes 374 appear to be specifically downregulated in the cluster 3 group (Figure 2A, Figure S7G, 375 Figure S14A and S14B, File S3). Principal component analysis (PCA) demonstrates 376 that PC1 and PC2 separate the cells depending on their developmental progression 377 from naïve to primed (Figure 2B). The Day 0 to Day 2 samples form a dense cluster 378 of cells, whereas after Day 2 cells start to show larger expression heterogeneity and thus distribute more widespread in the PCA plot. This observation is consistent with 379 380 the wider distribution seen in Figure 1B and C. EpiSC cells are clustered together on 381 the opposite side of the naïve cells, i.e. Day 0 (Figure 2B), and the Day 3 and Day 4 382 samples are mapped in between Day 0 and EpiSC, indicating that these cells are in 383 transition states. Next, we used t-SNE based on the same set of differentially 384 expressed genes and applied a k-means clustering with 5 clusters to organize our cells 385 into comparable groups (Figure 2C and 2D, Figure S4A). These cluster results were 386 obtained after removing a group of 37 cells that formed a distinct sixth cluster via t-

SNE (Figure S2A). These cells were found to be contaminating feeder cells due to
 their expression of Y chromosome genes and the expression of the fibroblast marker
 Vimentin as well as their lack of *Pou5f1* expression (Figure S2B-F).

In order to rule out confounding effects contributed due to the cell cycle phase of cells we performed a cell cycle phase assignment based on the expression of known phase marker genes [43; 52]. The cell cycle distribution among the cells (Figure S3A and S3B) indicates that cell cycle did not contribute to the results obtained through pseudotime analysis.

We also used pseudotime analysis to determine the temporal order of cell samples from transitioning time points and overlaid the t-SNE plot with the pseudotime order of cells (Figure 2E, Figure S4B). This pseudotime sorting enabled us to determine the developmental trajectory of samples within the five k-means cluster groups. The pseudotime order reflects the actual time points of cell sampling and serves as a validation of temporal developmental order purely based on cellular gene expression profiles (Figure 2F).

402 Following the trajectory indicated by the pseudotime sorting, the developmental 403 order of the clusters is 1, 2, 3, 4 and 5. Cluster 1 is mainly composed of Day 0 and 404 Day 1 cells, representing mostly naïve pluripotent cells. The Day 2 cells are contained 405 in both cluster 1 and cluster 2, indicating that the Day 2 cells are heterogenous and a 406 fraction of the cells start transitioning their pluripotency state. Part of cluster 2 is 407 composed of Day 3 and Day 4 cells. All the cells belonging to cluster 5 correspond to 408 EpiSCs. Surprisingly, we found two intermediary clusters (3 and 4) between the naïve 409 and the primed state. Cluster 3 contains mainly Day 3 and 4 cells, while cluster 4 410 includes Day 3 and 4 as well as the primed PSC-like cells which have gone through 411 10~20 more passages compared to Day 3 and 4 cells, i.e. P10 and Clone 1E (Figure 412 2C and 2D). It should be noted that morphologies of P10 and Clone 1E cells are highly 413 similar to those of EpiSCs, but the cluster 4 clearly demonstrates distinct expression 414 profiles from those of cluster 5 according to the t-SNE results.

415

416 **Characterization of t-SNE clusters based on single cell gene expression profiles** 417 After grouping cells into five clusters, we performed differential gene expression 418 analysis between the clusters (File S2). As shown in Figure 3A, there is a large 419 increase in the number of significant DE genes between cluster 2 and 3, as well as 3 420 and 4, suggesting that cluster 3 exhibits distinct expression profiles compared to other 421 clusters. Expression of each DE gene can be visualized at single-cell resolution by 422 overlaying single-cell expression levels onto the t-SNE map (Figure 3B, Figure S5). By 423 manually examining such visualizations for 1044 selected DE genes, we identified 424 genes specific to each cluster, as well as genes enriched in multiple clusters, or absent 425 from all but one cluster. Based on these DE genes expression patterns, we can outline 426 characteristics of each cluster.

427 Cluster 1 is enriched with naïve pluripotency genes such as *Esrrb* or *Zfp42*. 428 Expression of these genes is also detected in cluster 2, thus they are not very specific 429 to cluster 1. There are some genes highly enriched in cluster 1, e.g. *Nlrp4f* and 430 *Arl14epl*, whose expressions are detected predominantly in oocytes and 431 preimplantation embryos [53].

Most of the DE genes in cluster 2 are expressed in other clusters as well. Many naïve pluripotency genes are heterogeneously expressed in this cluster and are downregulated as cell differentiation progresses. There are some genes, e.g. *Tmem59I* or *Car4*, whose expression is initiated in cluster 2 on and continued to be expressed until later stages, indicating naïve to primed conversion already commenced from this cluster. There are only a few genes exhibiting cluster 2-specific expression, e.g. *Wnt8a*.

439 The intermediary cluster 3 is characterized by specific downregulation of 440 thousands of genes; approximately one third of the transcriptome shows 441 downregulation in this cluster (Figure 2A, Figure S14A and S14B, File S3). Therefore, 442 there are many examples for genes specifically downregulated in cluster 3 such as 443 *Tmem263*, *Trp53* or *Ccnb2* (Figure S5, File S4). On the other hand, there is also a 444 group of genes exhibiting specific upregulation only in this cluster, e.g. H1fx, Itga7, 445 *Ccdc36* and *Rpph1*. Along this line, it is interesting to find cluster 3-specific expression 446 of *Rn7sk*, which is a small nuclear RNA known to act as a transcriptional regulator in 447 embryonic stem cells by decreasing the rate of RNA PollI elongation and inhibiting the 448 CDK9/Cyclin T complex [54, 55]. This observation can be an indicator that gene 449 regulatory networks are re-configured in this transient state in order to prepare cells 450 for later lineage commitment. Besides these genes unique to cluster 3, the cells in

451 cluster 3 show residual expression of naïve pluripotency genes and initial expression452 of primed marker genes same as cluster 2 cells.

453 In cluster 4 known primed marker genes are expressed, while naïve 454 pluripotency gene expression has been almost diminished, suggesting their primed 455 identity. In fact, known primed marker genes like Fgf5 or Pou3f1 are positives for 456 cluster 4 as well as cluster 5, which is solely composed of EpiSCs. However, there are 457 several genes expressed in clusters 2, 3 and 4, but greatly reduced in cluster 5. In 458 particular, the cell adhesion molecule E-cadherin (*Cdh1*) is known to be expressed in 459 naïve type ESCs, but not in primed EpiSCs [56]. Cdh1 is clearly expressed in cluster 460 4, while downregulated in cluster 5. Other genes like Cyp24a1 or Krt18 demonstrate 461 cluster 4 specific expression as well, suggesting that cluster 4 cells have distinct 462 expression profiles compared to those of cluster 5.

463 Cluster 5 is composed of only EpiSCs, therefore express primed PSC markers, 464 many of which are shared by cluster 4 cells. However, there are genes whose 465 expressions are specific to cluster 5, but not to cluster 4 cells. For example, expression 466 of *Cdh2* which encodes N-cadherin or *Vim* which encodes vimentin are detected only 467 in cluster 5. Cdh2 and Vim are known to be involved in EMT, and the results suggest 468 that cluster 5 cells have completed EMT, whereas cluster 4 cells have not. This is 469 significant, because EMT is one of the hallmarks of naïve-to-primed transition [57]. In 470 other words, this finding indicates that cluster 4 cells have not completed EMT, 471 representing a novel, intermediate pluripotency state between naïve and primed 472 pluripotency. In addition, we manually identified 54 cluster 5-specific genes (File S4); 473 one of which is Cd59a representing a novel, highly specific EpiSC marker (Figure 3B, 474 Figure S5).

Based on the significant DE genes we performed gene set enrichment analysis with the web-based Enrichr tool [39, 40]. We identified DE genes enriched in KEGG pathways (Figure S6). In the differences between cluster 1 and 2 we find genes linked to pluripotency maintenance, whereas cluster 3 vs 4 show many DE genes belonging to metabolic pathways and in the cluster 4 vs 5 differences we can see striking changes in genes linked to cell adhesion molecules, which suggests that cell surface properties of the cluster 4 and 5 are different.

482

483 C1 CAGE revealed dynamic changes in promoter/enhancer activities during the 484 transition process

Like the procedure used to cluster the Fluidigm scRNA-Seq derived data, we 485 486 generated a t-SNE plot for the C1 CAGE data using 635 genes differentially expressed 487 between Day 0 mES and EpiSC samples. Strikingly, we can independently validate 488 our cluster results from the Fluidigm scRNA-Seq protocol with the C1 CAGE data. 489 There are also two naïve k-means clusters (1 and 2), two transition stage clusters 490 (cluster 3 and 4), as well as an EpiSC specific cluster (5) and a small cluster comprising 491 of feeder or differentiated cells (6) (Figure 4A, S7G). Unlike the Fluidigm scRNA-Seq 492 protocol C1 CAGE allows the detection of both non-poly adenylated transcripts and 493 poly(A)+ RNA. Cluster 7 in the heat map consists of 48 histone gene transcripts, most 494 of which show upregulated expression in the k-means cluster 4 and 5 (Figure 4B, File 495 S3). Such a histone cluster upregulation is not detected by the Fluidiam scRNA-Seq. 496 as they are mostly non-poly adenylated [58]. Due to the different priming strategies 497 and thus in RNA capture between these protocols, there is a larger variability with 498 regards to which expressed genes have been detected. Nevertheless, we could 499 observe that marker genes are expressed appropriately in the clusters (Figure S7A-500 F). According to the results, the k-means clusters 1, 2, 3, 4, 5 generated from the C1 501 CAGE data correspond to the clusters 1, 2, 3, 4, 5 of the scRNA-Seq analysis, 502 respectively (Fig. 2D, Fig. 4A).

503 NASTs are a class of short, low abundance non-coding RNA expressed 504 specifically in naïve ESCs [34]. We found that a number of NAST genes are expressed 505 during the naïve-to-primed transition process, and some of them appear to be naïve 506 state-specific downregulated upon entering the primed state, e.g. heatmap row cluster 507 1 (Figure S7G). We can also observe a decrease in expression of many NASTs during 508 the naïve to primed transition phase cluster 3 (Figure S7G). It is not well studied to 509 what extent annotated NASTs may be part of annotated genes from other annotation 510 sources and thus to what degree individual NASTs are genuinely unique genes.

511 With the C1 CAGE data we could demonstrate dynamic changes in single-cell 512 promotor and enhancer usage during the naïve-to-primed conversion process (Figure 513 4B, Figure S8A-B). There are enhancer RNAs (eRNAs) that show specificity for the 514 naïve state, the transition period, and the primed state (Figure S8C).

515 In accordance with the findings from the scRNA-Seg data, C1 CAGE data also 516 shows that TSS level (Transcription Start Site) expression is reduced in cluster 3 (Figure 4B). Figure S9A shows the promotors exhibiting great reduction of expression 517 518 only in the cluster 3 (Figure S9A), while nine promotors show specific upregulation in 519 the cluster 3 (Figure S9B). We also identified 10 non-coding eRNAs downregulated in 520 k-means cluster 3 and two eRNAs that are upregulated (Figure S9C), suggesting that 521 the enhancer activities are also altered in the cluster 3 cells. Figure S10 shows the top 522 nine differentially expressed promotors and enhancers for each C1 CAGE k-means 523 cluster group.

524 We calculated pseudotimes for all cells based on TSS expression, using 525 Slingshot [58] for C1 CAGE pseudotime analysis. We divided the Slingshot 526 pseudotime scale into 10 bins. By comparing Slingshot pseudotime bins with k-means 527 clusters and sampling time points (Figure S11A-C), we could show that the scRNA-528 Seq k-means cluster 3 corresponds to the Slingshot pseudotime bin 6 or [19.9-529 23.8](Figure S11D). Here we identified 5 modules of promotors and enhancers with 530 similar expression patterns depicted along the Slingshot pseudotime bin (Figure 4C, 531 Figure S12). Modules 1 is active in the naïve state and the activities is decreased 532 progressively as differentiation proceeds. Module 2 is constant until the bin 6 and 533 declines thereafter. Other modules 3, 4 and 5 also show changes in their activities at 534 around the bin 6, suggesting it corresponds to the transition point. These expression 535 pattern modules of promotors and enhancers might correspond to gene regulatory 536 networks interactions involved in establishment and maintenance of pluripotency 537 states.

538

539 X chromosome inactivation initiated at Day 3 as revealed by RNA-FISH and 540 scRNA-Seq

As described before, the period between Day 2 and Day 3 corresponds to the transition point, where cells exit from a naïve state to a more differentiated state. To support this notion, we analyzed XCI status of cells, since XCI is one of the most reliable indicators of cell differentiation [59, 60] Random X chromosome inactivation (rXCI) is a phenomenon in which one of the two X chromosomes is randomly inactivated in a female mammalian cell during development [61]. It results in chromosome-wide

547 silencing of either the maternal or paternal X chromosome. Once established, the XCI 548 pattern of individual cells will be clonally inherited to the daughter cells. The large noncoding RNA Xist is known to be involved in the initiation of XCI, leading to silencing of 549 550 most X-linked genes except for escapees, genes known to be exempted from XCI. XCI 551 is thought to occur as the cells exit from the naïve state, though precise timing of the 552 XCI initiation has not been determined [44]. Since we obtained global expression 553 profiles of single cells transitioning from naïve to primed, we reasoned that we could 554 delineate progression of the XCI process, taking advantage of our *in vitro* transition 555 system.

556 First, we conducted RNA-FISH analysis of Xist RNA expression (Figure 5A). 557 The result indicates that Xist RNA clouds can increasingly be observed within nucleus 558 of each cell from Day 3. Analysis of H3K27me3 deposits, another landmark of inactive 559 X, also showed the same trend (Figure 5B). Next, we calculated and compared X 560 chromosome/autosome (X/A) expression ratios in each single cell (Figure 5C). The ratio is close to 2 at Day 0, Day 1 and Day 2, whereas it decreased to about 1 after 561 562 Day 3. This indicates that total expression levels of the X-linked genes are reduced to 563 about half at Day 3 compared to Day 0, Day 1 and Day 2. These results suggest that 564 XCI initiates between Day 2 and Day 3.

565

566 Allele-specific expression analysis of X-linked genes during the transition 567 process

568 To analyze allele specific gene expression, we developed a rXCI pipeline based on 569 the detected variants. We detected 1570 SNPs in the transcripts and focused on the 570 137 informative SNPs with reads > 10 expressed in at least 50% of the cells. As shown 571 in Figure 6A, we colored allelic expression status for each gene; blue for maternal 572 (MSM strain) allele, red for paternal (B6 strain) allele, green for biallelic expression and 573 gray for not detected. We observed a trend that biallelic expression of each X-linked 574 gene continues until Day 2, while mono-allelic expression of X-linked genes appears 575 to increase from Day 3 onwards. At Day 4, more than half of the cells underwent rXCI. 576 These findings demonstrate that rXCI begins at Day 3, thus supporting the RNA-FISH 577 results. At Day 3 and Day 4, there are cells still showing biallelic expression (green), 578 but P10 cells which have undergone 12 passages show much less biallelic expression,

- suggesting that rXCI may be completed in these cells. Analysis of the clone 1E sampleindicates that all the single cells derived from the same clone show the same allelic
- 581 expression pattern of the X-linked genes as expected (Figure 6A).
- 582

583 Identification of known and novel escape genes

584 As just described, XCI is completed in P10 and clone 1E cells. However, several cells 585 showing biallelic expression were detected in these cells and we noticed that most of 586 the genes are known escape genes. Variants showing biallelic expression in at least 587 two cells from P10 and 1E clone were identified as escape genes, and among them 588 we found known escapees such as *Ddx3x*, *Eif2s3x*, *Kdm5c*, *Kdm6a* (Figure S13A). 589 These results confirmed that our computational pipeline is appropriate for the analysis 590 of XCI status. We also identified some genes (SIc7a3, Hnrnpa1 or Cetn2) as potential 591 novel escapee candidates. Furthermore, regardless of the cell type or the 592 differentiation stage, genes expressed specifically from the B6 or MSM allele in almost 593 all the single cells were detected. These are also considered to be escape genes, but 594 their expressions are biased strongly to one of the two alleles. To validate our findings, 595 we performed Sanger sequencing of several candidate genes and confirmed that 596 Cetn2, Slc7a3 and Hnrnpa1 are novel escape genes whose expression is biased to 597 one of the two alleles.

598

rXCI analysis and pseudotime estimation suggests that rXCI initiation coincides with global downregulation of gene expression

Based on the bioinformatics analysis of the scRNA-Seq data, each cell was ordered along the pseudotime axis to identify the starting time of rXCI on the pseudotime axis (Figure 6B). Surprisingly, we observed a transient downregulation of many X-linked genes at a specific period during the transition. Such transient downregulations do not seem to be X-linked gene specific. A heatmap visualization of 21,777 autosomal genes shows that many of the genes are downregulated during this period (Figure S14A), while 965 X-linked genes show similar results (Figure S14B).

During the downregulation period, it is not possible to assess XCI status.
However, cells undergone XCI begin to emerge after this downregulation period,
implying that cells might have to go through the downregulation period to attain XCI.

611 To visualize the XCI state of each single cell in a different way, we first 612 categorized the cells into four groups based on X chromosome states; i.e. XCI, XCI Intermediates, XC Active, No definition, according to the definition criteria 613 614 described in the method section. The assigned XCI status of each cell was overlaid 615 onto the t-SNE map (Figure 6C). Almost all the cluster 1 and 2 cells are XC active. On 616 the other hand, all four categories of cells, especially considerable number of XCI cells, 617 were identified in the cluster 3. It is interesting to find that Xist RNA expression is 618 upregulated in some of the cluster 3 cells, whereas *Tsix*, antisense partner of *Xist* with 619 repressive function on Xist expression, is being downregulated in the same cluster 620 (Fig. S13B). There are more cells undergone XCI in the cluster 4 than in the cluster 3, 621 while number of XCI Intermediate cells is similar to that of the XCI cells in the cluster 622 4. In the cluster 4, cells corresponded to P10 or clone 1E (Figure 2C) represent mainly 623 XCI cells, indicating that XCI is completed at later stages of the development. All the 624 above results indicate that cells in the cluster 3 just exited from the naïve state begin 625 to undergo XCI accompanied by a transient downregulation of gene expression not 626 just limited to the X-chromosome, and that XCI process is more advanced in the cluster 627 4 and almost completed in P10 and clone 1E cells.

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- 629

630 **Discussion**

631

632 In this study, transcription dynamics of the naïve-to-primed transition process have 633 been explored for the first time by using two different single-cell transcriptomics 634 techniques, i.e. scRNA-Seg and C1 CAGE. The data obtained could thus generate a 635 comprehensive catalog of genes exhibiting characteristic changes during the 636 transition. Differential gene expression analysis identified known and novel marker 637 genes that should be extremely useful for functional characterization of this 638 developmental transition process. Interestingly, cluster analyses revealed 639 intermediary subpopulations of cells in addition to the naïve and the primed PSCs. The 640 presence of such subpopulations cannot be discovered by bulk expression analysis, emphasizing the merits of the single-cell technologies. Here we used female ESCs 641 642 from intersubspecific hybrid embryos. Taking advantage of existing SNPs between the 643 two subspecies of mice [62], we could perform allele-specific expression analysis at 644 the single-cell level and adopted this technique for the analysis of the random X 645 chromosome inactivation phenomenon.

646

647 Discovery of transient global downregulation of gene expression in the648 transition stage

649 One of the most intriguing findings of this study is that approximately one third of the transcriptome (~6000 genes) is downregulated transiently and specifically in cells 650 651 classified as the cluster 3 (Figures 2A, 4B, 6B). Both autosomal and X-linked genes 652 showed this transient gene repression. The cluster 3 cells exhibited expression profiles 653 highly divergent from those in cells of other identified clusters. This is probably due to 654 the global gene repression occurring in those cells. Heterogeneities and high variation 655 in expression profiles among cluster 3 cells may also be explained by different degrees 656 of gene repression at the time of sample collection. Such a subpopulation of cells, i.e. 657 cluster 3, was detected reproducibly in three different batches (two Day4 samples for 658 scRNA-Seq and one C1 CAGE Day4) of samples by using two different single-cell 659 technologies. Although the cluster 3 cells exhibited very distinct expression profiles, 660 pseudotime analysis estimated the cluster 3 emerged just after cells exited from the 661 naïve state. In fact, the cluster 3 cells express some of the naïve genes as well as

662 early markers for the primed state, suggesting that the cluster 3 cells position at an 663 intermediate step between naïve and primed. Cell cycle assignment analysis indicated 664 that the cluster 3 cells do not correspond to any specific cell cycle phase. There are many genes specifically downregulated in cluster 3, whereas those genes are highly 665 666 expressed in other clusters. On the other hand, there is a set of genes exhibiting 667 transient upregulation only in the cluster 3, which may provide clues to the global gene 668 repression phenomenon in this cluster. One interesting example is Rn7sk, which 669 encodes a small non-coding RNA involved in transcription repression. Rn7sk is an 670 RNA component of a small nuclear ribonucleoprotein complex (snRNP) and known to 671 inhibits the cyclin dependent kinase activity of the positive transcription elongation factor P-TEFb [54], acting as a gene-specific transcription repressor in ESCs [55] 672 673 Therefore, it is possible that *Rn7sk* may contribute to the global gene repression 674 occurring in the cluster 3. Experimental tests of this hypothesis are currently underway. 675

676 The cluster 4 represents the third pluripotent stem cells with intermediate 677 characteristics between naïve and primed

678 The second unexpected finding in this study is the discovery of the cluster 4 (Figure 2D). Cells in this cluster show morphologies similar to the primed PSCs and express 679 680 a number of the primed state marker genes. However, bioinformatical analysis 681 classified these cells to the cluster distinct from the EpiSC cluster, i.e. cluster 5, and 682 the pathway analysis suggested that genes involved in cell adhesion are expressed 683 differentially between the cluster 4 and 5. We noticed that the cluster 4 cells express 684 Cdh1 (E-cadherin) but do not express Cdh2 (N-cadherin) (Figure S5). It is known that 685 naïve PSCs undergo epithelial-mesenchymal transition (EMT) process, in which Cdh1 686 expression of the naïve PSCs is replaced with Cdh2 expression that is specific to the 687 primed PSCs [64]. The absence of Cdh2 expression in the cluster 4 cells suggests that the EMT may not be complete in these cells. Absence of vimentin expression in the 688 689 cluster 4 supports this notion (Figure S2, S5). Since the completion of EMT is one of 690 the criteria defining the EpiSCs, the cluster 4 cells stay at the stage prior to the EpiSC 691 state and self-renew this cellular state. In other words, the cluster 4 cells may represent 692 novel pluripotent stem cells in mice besides ESCs and EpiSCs, exhibiting an 693 intermediate state between ESCs and EpiSCs. A third pluripotency state called

⁶⁹⁴ "formative" has previously been proposed [13]. The formative state is thought to be an ⁶⁹⁵ intermediate state between naïve and primed, although the formative PSCs have not ⁶⁹⁶ been established in mice. Whereas EpiLC [17] is suggested to be in the formative ⁶⁹⁷ state, it is a transient cell type and not self-renewing stem cell unlike our cluster 4 cells. ⁶⁹⁸ Our preliminary analysis suggests that EpiLC is more to the naïve state compared to ⁶⁹⁹ the cluster 4 cells. Although stem cells with intermediary pluripotency states had been ⁷⁰⁰ reported [65, 66], relationships of these cells with the formative state remain elusive.

701 Recently, it was reported that human naïve PSCs can acquire novel 702 pluripotency comparable to the formative state, if the naïve cells are cultured in 703 medium containing Wnt signaling inhibitor [14]. It is thus possible that our cluster 4 704 cells represent a mouse counter part of their formative state cells. Formative state 705 PSCs or PSCs cultured in the presence of Wnt inhibitor seem to have greater 706 capacities for multi-lineage differentiation compared to the existing naïve or primed 707 PSCs [18, 67, 68] and therefore those new versions of PSCs have a potential to 708 replace the naïve or primed PSCs in stem cell sciences. However, research on those 709 novel PSCs is still in its infancy and further studies must be conducted to elucidate its 710 full potential. Comparison of the putative formative-like PSCs between human and 711 mice should contribute to the understanding of this novel pluripotent state, and the 712 cluster 4 cells of this study provide a good reference for these comparisons.

713

714 Initiation of XCI coincides with emergence of the cluster 3

715 In our *in vitro* experimental system, random XCI happens between the time points Day 716 2 and Day 3. This was confirmed by RNA-FISH, immunostaining and allele-specific 717 gene expression analysis at single cell resolution (Figure 5A-B). Allele-specific 718 expression analysis enabled to classify each single cell arbitrarily into three categories, 719 i.e. biallelic, intermediate and inactivated. Detailed analysis of these three categories 720 of cells should yield important information about initiation and progression of this 721 epigenetic reprogramming event. Moreover, the analysis could detect known and 722 novel escaped genes as well as monoallelic expressed genes showing genetic-origin-723 dependency. Combined, random XCI appears to be initiated in cells of the cluster 3 and more advanced in the cluster 4 cells. As described above, gene repression takes 724 725 place in the cluster 3. Currently, we do not know whether this is just a coincidence or

726 indicative of mechanistic relationships between the two phenomena. Perturbation 727 experiments for either one of the phenomena could help to infer whether these two are 728 interdependent or not. There are precedents of the global gene repression: XCI in 729 mammalian female embryos, meiotic chromosome inactivation during male 730 spermatogenesis or global epigenomic changes in primordial germ cells [69, 70, 71, 731 72]. Failures in these global repression phenomena lead to various abnormalities such 732 as embryonic lethality and infertility, clearly indicating the biological importance of the 733 global repression. Common feature of these phenomena is that they occur when cells 734 undergo major epigenetic reprogramming events. Therefore, the cluster 3 cells should be analyzed with regards to epigenetic changes. In any case, our experimental system 735 736 should provide unprecedented opportunity for the studies of global gene repression 737 and epigenetic reprogramming.

738

739 C1 CAGE: a single cell transcriptome profiling beyond scRNA-Seq

740 In this study, we tried to use two different single cell expression profiling techniques 741 and compared the results. Basically, the results from the two methods are highly 742 consistent. In addition, as C1 CAGE can detect non-polyadenylated RNA, we were 743 able to observe expression dynamics of eRNAs, histone mRNAs and NASTs during 744 the transition process for the first time. Interestingly, some NASTs seem to show 745 specificity only to the naïve pluripotency states. Perturbation experiments on the 746 specific NASTs might help to shed light on the regulatory role of this class of non-747 coding RNA in naïve states. It is known that usage of enhancers changes during the 748 naïve-primed transition [2, 3]. For example, it is well known that *Pou5f1* gene has both 749 distal and proximal enhancers, of which proximal enhancer drives the primed state-750 specific expression [73]. In this particular case eRNA expression was not observed in 751 our analysis. This may be due to either very low level or no expression of eRNAs in 752 this locus, because even a bulk analysis using hundreds of cells conducted at the 753 same time as the C1 CAGE analysis could not detect CAGE counts in this region. 754 Thus, identification of enhancer should not rely on single parameter/technique alone. 755 Nevertheless, the present C1 CAGE analysis could detect novel RNA expression at a 756 number of enhancer regions annotated by FANTOM5 atlas, and some of which show 757 specificities to either naïve or primed state, confirming the previous notion [2, 3].

Therefore, we consider the C1 CAGE data of this study a valuable resource for further

759 studies on the regulatory roles of diverse classes of expressed non-coding RNAs

⁷⁶⁰ including eRNAs in the early mammalian developmental process.

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1092 Abbreviations

1093 PSC: pluripotent stem cell, XCI: X chromosome inactivation, EpiSC: epiblast stem cell, eRNA: enhancer RNA, ESC: embryonic stem cell, EMT: epithelial-mesenchymal 1094 transition, iPSC: induced pluripotent stem cell, EpiLC: epiblast-like cell, scRNA-Seg: 1095 1096 single-cell RNA-Seq, C1 CAGE: single-cell Cap Analysis of Gene Expression, GMEM: 1097 Glasgow-Minimal Essential Medium, KSR: knockout serum replacement, FCS: fetal 1098 calf serum, NEAA: non-essential amino acid, MEF: mouse embryonic fibroblast, 1099 ERCC: External RNA Controls Consortium, t-SNE: t-Distributed Stochastic Neighbor 1100 Embedding, SNP: single nucleotide polymorphism, GATK: Genome Analysis Toolkit, NAST: non-annotated stem cell transcript, rXCI: random X chromosome inactivation, 1101

- 1102 snRNP: small nuclear ribonucleoprotein
- 1103

1104 Availability of data and materials

- All raw FASTQ sequencing files can be downloaded from DDBJ with the accession numbers DRA010828 and DRA010829. All C1 capture array images as well as additional files affiliated with the samples are available on SCPortalen [26] (http://single-cell.clst.riken.jp/riken_data/mES2EpiSC_summary_view.php). ZENBU exploratory tracks can be found here after sign in:
- 1110 Fluidigm scRNA-Seg:
- 1111 https://fantom.gsc.riken.jp/zenbu/gLyphs/#config=1qUudPWiDNTgcknv0TJkp;loc=m
- 1112 m10::chr12:86353254..86594021+
- 1113 C1 CAGE:
- 1114 https://fantom.gsc.riken.jp/zenbu/gLyphs/#config=bYYvK4ICEIFj8aWmkAJ7z;loc=m
- 1115 m10::chr8:106586626..106686971+
- 1116

1117 Competing interests

- 1118 The authors declare that they have no competing interests.
- 1119

1120 Authors' contributions

- 1121 KA and PC conceived the project. MK and HU maintained cell cultures. MB performed
- all single-cell experiments. MB and IA managed the data. MB, JM and YT did
- bioinformatics analysis, and IA, TK, CCH and KN helped with some parts. PC and KA

supervised the project. MB, YT, JM and KA wrote the manuscript. All authors read andapproved the final manuscript.

1126

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1137

1138 Figure legends

1139 Figure 1: Single-cell transcriptome profiling of a time course of mouse embryonic stem cells undergoing naïve to primed transition. A) Outline of the experimental setup 1140 showing the number of cells passing initial quality filtering for each time point for both 1141 1142 Fluidigm scRNA-Seq and C1 CAGE data. B) Distribution of the number of expressed 1143 genes per time point of the scRNA-Seq data. Only genes expressed in more than 10 1144 cells with a TPM > 1 are considered. C) Quality assessment via neighboring cell 1145 similarities. D) Expression profiles of selected pluripotency related marker genes. Box 1146 plots represent medians (center lines) with lower and upper quartiles. Whiskers represent 1.5x the interquartile range. Outliers are represented as dots. 1147

1148

1149 Figure 2: Clustering and pseudotime sorting of scRNA-Seg data based on 950 DE 1150 genes (p-adjusted < 0.01) between the mES and EpiSC time point samples. A) 1151 Heatmap with cells sorted by t-SNE k-means cluster groups and pseudotime. Twenty 1152 k-means gene clusters formed via hierarchical clustering. Expression scale 1153 log₂(TPM+1) - rowMeans(log₂(TPM+1)). B) PCA and C) t-SNE plot of all cells. D) Five 1154 k-means cluster groups based on t-SNE data. E) Color coded pseudotime of all cells within the t-SNE visualization. F) Pseudotime ordered cells grouped by sampling time 1155 1156 points and sample origin. Box plots represent medians (center lines) with lower and 1157 upper quartiles. Whiskers represent 1.5x the interquartile range. Outliers are 1158 represented as dots.

1159

Figure 3: Differential gene expression between t-SNE k-means clusters for marker gene identification. A) Number of up and downregulated DE genes (p-adjusted < 0.01) between clusters. B) Selected cluster specific genes for the naïve (*Nlrp4f*), transition phase (*Rn7sk*) and primed state (*Cd59a*) shown as overlay of the t-SNE plot and the expression plotted against the pseudotime scale.

1165

Figure 4: Clustering of the C1 CAGE data. A) t-SNE based on 635 DE genes (padjusted < 0.01) between the mES and EpiSC time point samples. B) Changes in promotor/enhancer expression detected by C1 CAGE during the time course.

Heatmap with cells sorted by the t-SNE k-means cluster groups and Slingshot pseudotime. 10 k-means gene clusters formed via hierarchical clustering.

1171 C) Five expression modules of promotors and enhancers from C1 CAGE data. Cells

1172 pooled into 10 bins along a pseudotime axis generated with Slingshot. Promotors and

1173 enhancers are clustered with tradeSeq/clusterExperiment.

1174

1175 Figure 5: RNA-FISH, immunostaining and dosage analysis of the X-linked genes 1176 suggest that XCI initiates between Day 2 and Day 3 in our cell conversion system. A) 1177 RNA-FISH of Xist RNA. Red signals were found only in intercellular space in Day 1, indicating these were artifacts. Day 2 cells were mostly negative for the signal. In Day 1178 1179 3, Xist-positive cells appeared and increased in Day 4. B) Immunostaining for 1180 H3K27me3 (red) and OCT4 (green)). Day 1 and Day 2 cells were negative for the 1181 staining. Approximately 40% of nuclei in the Day 3 colony were positive for the 1182 H3K27me3 signal, while majority of the nuclei were positive in the Day 4 colony. C) 1183 Differences in ratios of X-chromosome expression levels to autosomal expression 1184 levels, from mESCs to EpiSCs. Box plots represent medians (center lines) with lower 1185 and upper quartiles. Whiskers represent 1.5x the interquartile range. Outliers are 1186 represented as dots.

1187

Figure 6: Allele specific expression analysis at the single-cell level revealed 1188 1189 heterogeneity of XCI status among cells. A) Heatmap representing allele-specific 1190 expression from mESCs to ESC-derived primed PSC-like cells of X-linked genes. Red: specifically expressed from B6 allele (allelic percentage > 90%); Green: biallelically 1191 1192 expressed (allelic percentage <= 90%, >= 10%); Blue: specifically expressed from 1193 MSM allele (allelic percentage < 10%). Gray colors were shown for data not available 1194 (less than 10 reads). SNPs are ordered based on genomic position. N = 137 1195 informative SNPs. B) Pseudotime-ordered heatmap representing allele-specific 1196 expression which indicates the onset of rXCI. C) XCI status plotted onto the t-SNE 1197 clustering reveals coordinated XCI during stem cell conversion process.

- 1198
- 1199

1200 Additional files

Figure S1: Microscopic images of the cell culture at each time point. A) Day 0, B) Day 1202 1, C) Day 2, D) Day 3, E) Day 4, F) EpiSC derived from embryos. Morphologies of 1203 cells transitioned with (G) and without IWP-2 (H). Photos were taken at Day 4. I) 1204 Cellular morphologies of clone 1E cells. J) Screen capture of Zenbu browser 1205 expression histograms of *Pou5f1* locus.

1206

Figure S2: A) Initial t-SNE clustering of scRNA-Seq data based on 916 DE genes (padjusted < 0.01) between the mES and EpiSC time point samples. B - F) Expression of selected genes plotted onto the t-SNE clustering. B) and C) are Y-linked genes. A cluster of cells marked by dotted circle likely corresponds to contaminated feeder cells.

Figure S3: Cell cycle analysis of Fluidigm scRNA-Seq data. Cell cycle scoring based on 176 phase marker genes [40]. A) Each cell's estimated cycle phase plotted onto the t-SNE clustering. B) Pie charts showing cell cycle distribution per t-SNE k-means cluster.

1216

Figure S4: Alternative PCA visualizations. A) t-SNE k-means cluster groups overlaidonto PCA plot. B) Color coded pseudotime of all cells within the PCA plot.

1219

1220 Figure S5: Expression of selected DE genes between all t-SNE k-means clusters 1221 plotted onto the t-SNE clustering. Shown are genes that are either specific to a k-1222 means cluster or absent from a cluster. A) and B) enriched in cluster 1, i.e. naïve-1223 specific. C) specific to cluster 2. D) an example of gene upregulated from cluster 2 on 1224 except for cluster 3. E) and F) examples of genes expressed in all the clusters except for cluster 3. G) and H) examples of genes enriched in cluster 3 but not in other 1225 1226 clusters. I) and J) genes known for their specificity to primed PSCs. K), L), M) and N) 1227 genes related to EMT. O) and P) examples of genes with specificity to cluster 4.

1228

Figure S6: Enrichr gene set enrichment analysis based on DE genes from t-SNE kmeans cluster comparisons. A) KEGG Pathways enriched in DE genes between cluster 1 and cluster 2. B) Pathways enriched in DE genes between cluster 2 and

1232 cluster 3. C) Pathways enriched in DE genes between cluster 3 and cluster 4. D)1233 Pathways enriched in DE genes between cluster 4 and cluster 5.

1234

1235 Figure S7: Clustering and expression visualization of C1 CAGE data. A - F) Expression 1236 of selected genes between k-means clusters 1-5 plotted onto the t-SNE clustering. G) 1237 Heatmap of DE NASTs between the mES and EpiSC time point samples. Cells sorted 1238 by t-SNE k-means cluster groups and pseudotime. Twenty k-means NAST clusters 1239 hierarchical Expression formed via clustering. scale $log_2(count+1)$ 1240 rowMeans(log₂(count+1)).

1241

1242 Figure S8: Promotors and enhancers differentially expressed at sample time points

A) Dotplot of gene promotors with significantly upregulated (Wilcoxon Rank Sum test , Bonferroni adjusted p < 0.05) expression in one time point. B) Dotplot of enhancer loci with significantly upregulated (Wilcoxon Rank Sum test, Bonferroni adjusted p < 0.05) expression in one time point. C) Expression of selected enhancers from B) left: smoothed expression along the pseudotime, right: percentage of cells where the enhancer was detected in each time point.

1249

Figure S9: Promotors and enhancers differentially expressed in C1 CAGE k-means cluster 3. A) Dotplot of the top 12 differentially expressed promotors during the time course, all are downregulated in k-means cluster 3. B) Dotplot of significantly upregulated gene promotors in k-means cluster 3. (Wilcoxon Rank Sum test, Bonferroni adjusted p < 0.05). C) Dotplot of all differentially expressed enhancers when comparing k-means clusters (Wilcoxon Rank Sum test, Bonferroni adjusted p < 0.05).

Figure S10: Promotors and enhancers differentially expressed during the Slingshot pseudotime. A - E) The top 9 differentially expressed promotors or enhancers from each k-means cluster group plotted across the Slingshot pseudotime.

1260

Figure S11: Relationship between time point, C1 CAGE k-means clusters, and Slingshot pseudotime. A) Barplot where cells from each k-means cluster appear on the Slingshot pseudotime. B) Barplot where cells from each time point appear on the

Slingshot pseudotime. C) Barplot where cells from each pseudotime bin appear on the
Slingshot pseudotime. D) Number of cells from each k-means cluster appearing in
each pseudotime bin.

1267

Figure S12: Enhancers differentially expressed during the Slingshot pseudotime. All differentially expressed enhancers from each expression module of Fig. 4C plotted across the Slingshot pseudotime.

1271

Figure S13: Single-cell allelic expression analysis detected escape genes. A) In this bar graph: black shows known escape genes, red shows novel biased escape genes and false-positive results are shown in green. Each line indicates the position on the X chromosome. B) Expression of *Tsix* and *Xist* plotted onto the t-SNE clustering. The cluster 3 cells are marked by the dotted circle.

1277

Figure S14: Global downregulation of genes in Fluidigm scRNA-Seq t-SNE k-means cluster 3. Heatmaps with A) autosomal genes and B) X linked genes. Cells sorted by t-SNE k-means cluster groups and pseudotime. Twenty k-means gene clusters formed via hierarchical clustering. Expression scale log₂(TPM+1) - rowMeans(log₂(TPM+1)).

1282

Table S1: This 2-column table contains the cell_id and the cell cycle phase assignedto each cell_id.

1285

Table S2: Gene information parsed from the M8 Gencode GTF reference file. Thistable was used to filter genes by chromosomes.

1288

File S1: This zip file contains all scRNA-Seq and C1 CAGE metadata files, expression tables and tables containing t-SNE dimensions and k-means clusters that have been used to create figures. The metadata file discard column can be used to remove all cells that fail quality criteria. These cells are tagged as TRUE. All analysis was done on the subset that is tagged as discard FALSE.

1294

1295 File S2: Zip file containing all tables for differential gene expression results.

1296

- 1297 File S3: Zip file containing heatmap related tables for Figure 2A, 4B, S7G and S14A-
- 1298 B. These tables list all genes for each of the heatmap k-means clusters.
- 1299
- 1300 File S4: All t-SNE visualizations overlaid with expression of selected genes. Examples

1301 are shown in Figure S5.

1302

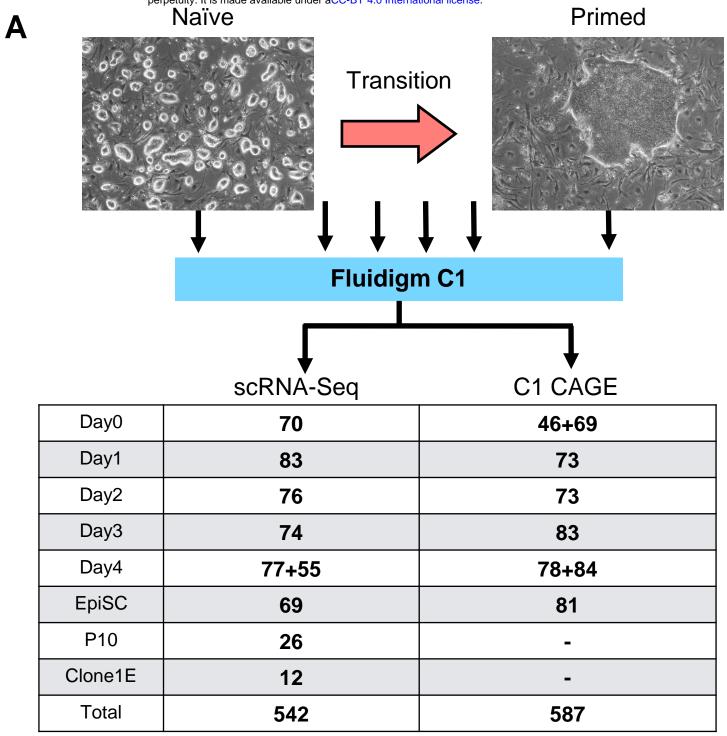
1303 File S5: Tables providing variant position, allelic expression status and other 1304 information related to Figure 6A-C.

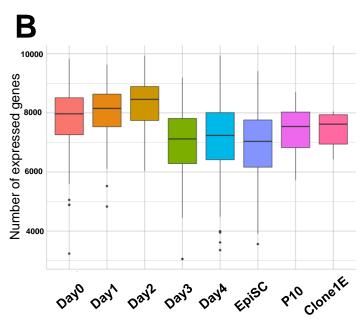
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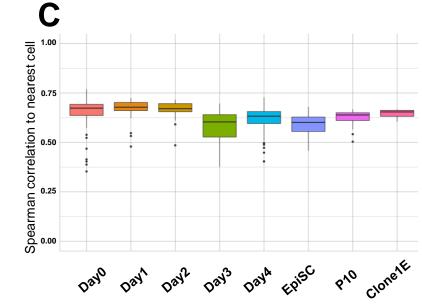
1306 File S6: Various source code files.

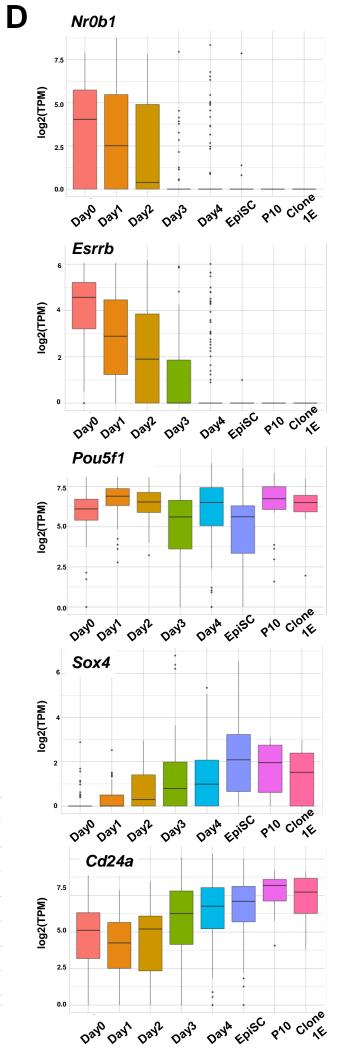
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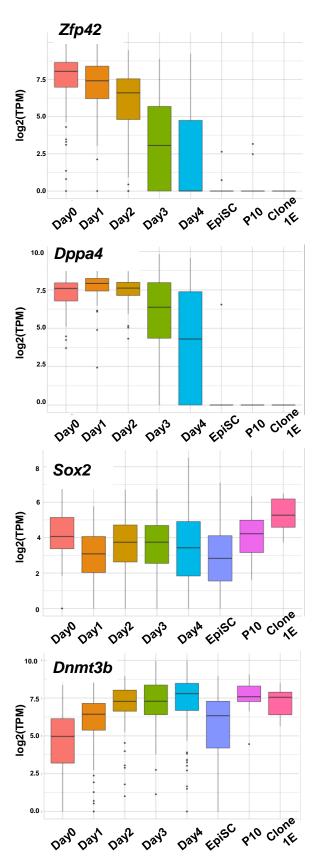




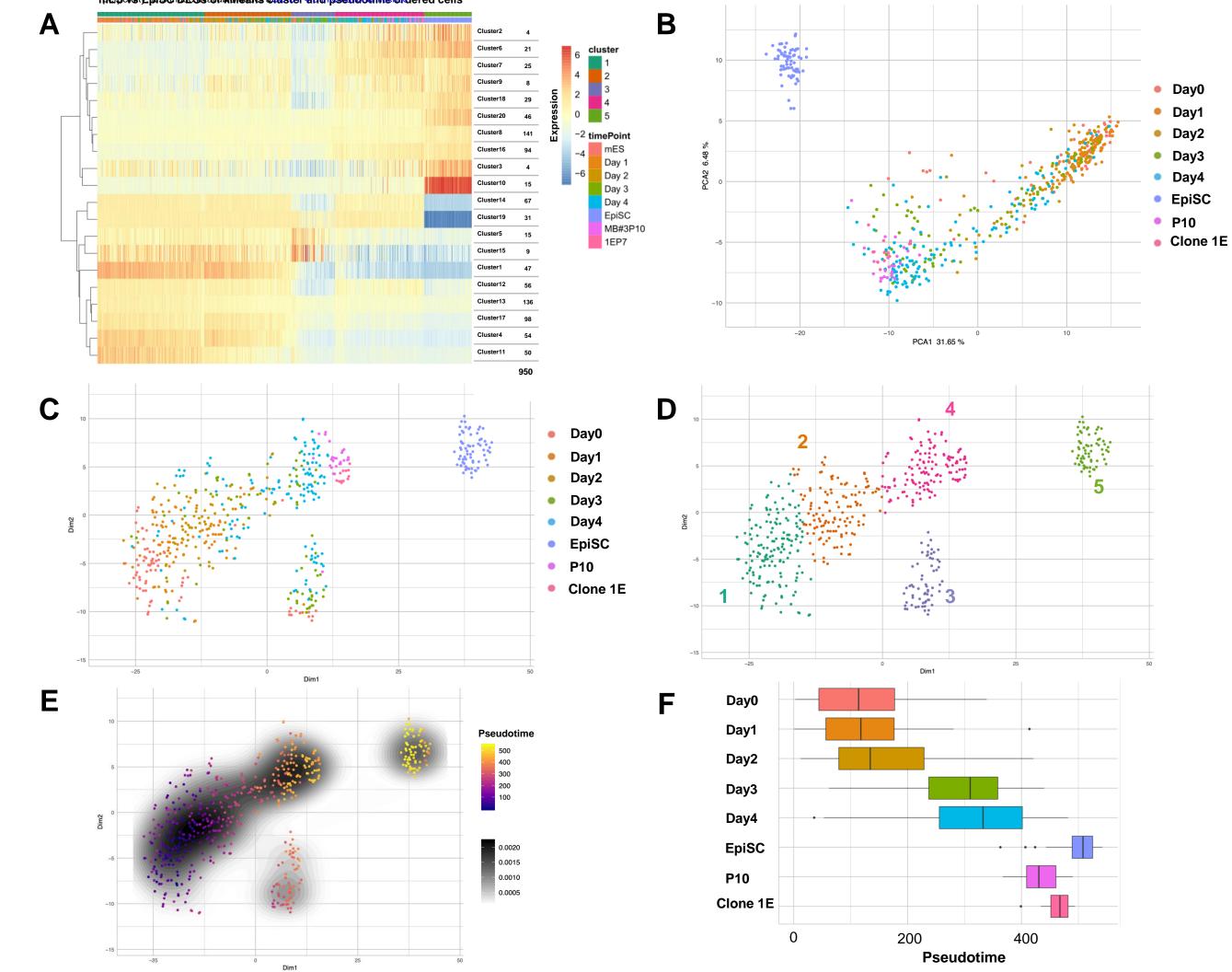








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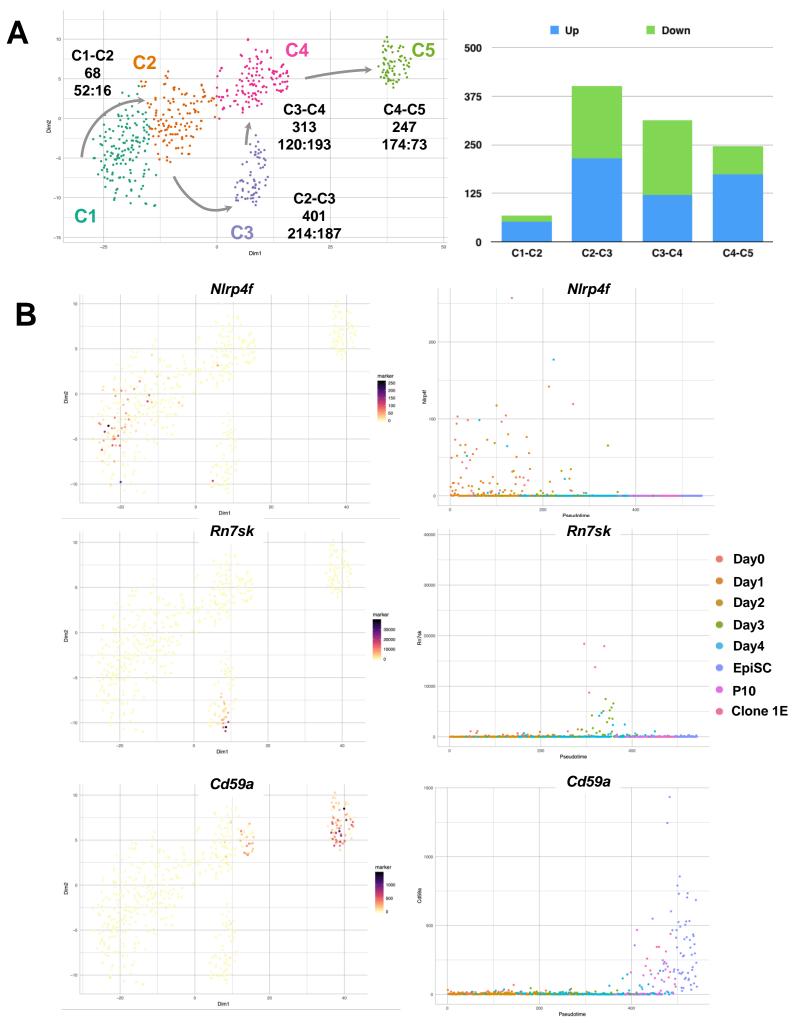
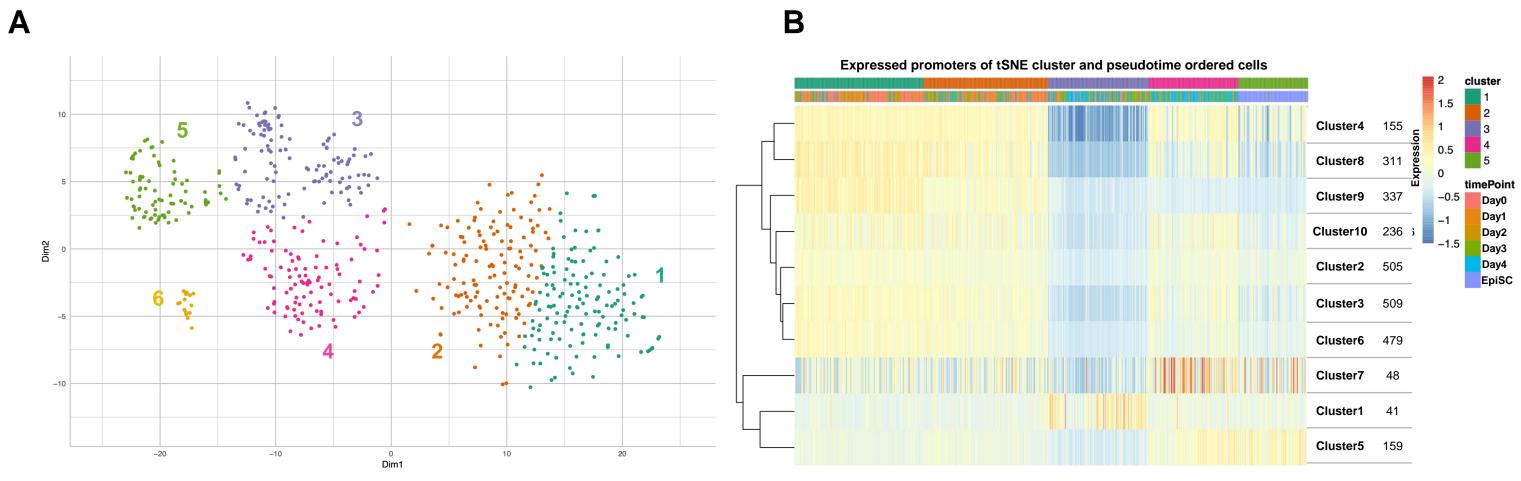
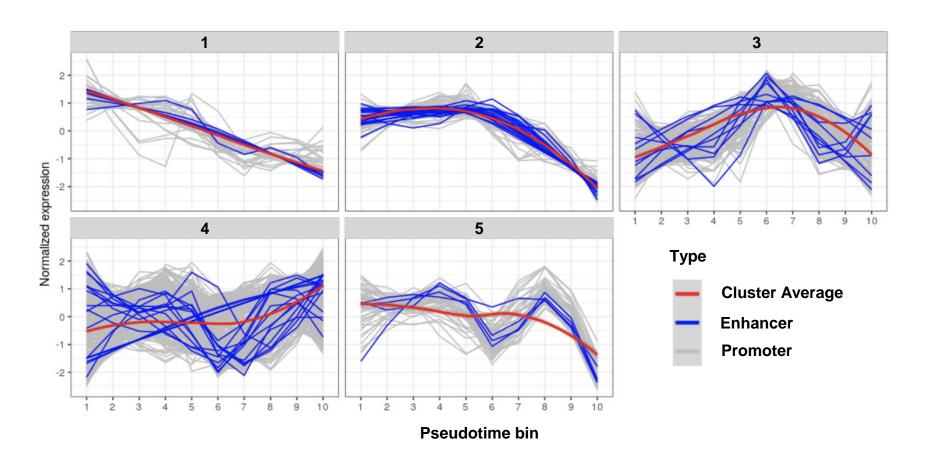


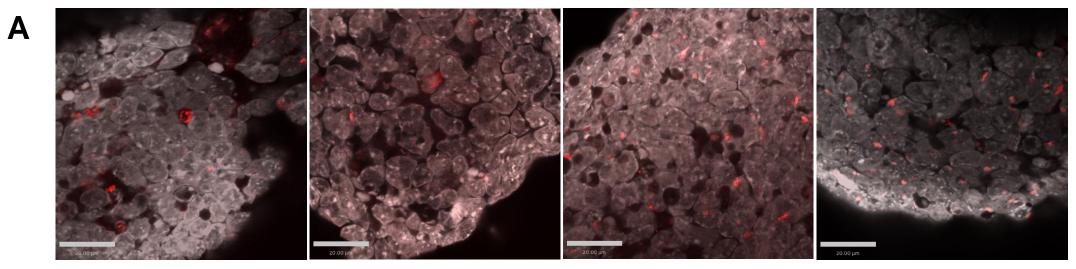
Fig. 3

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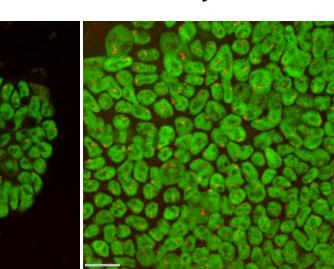
Day1

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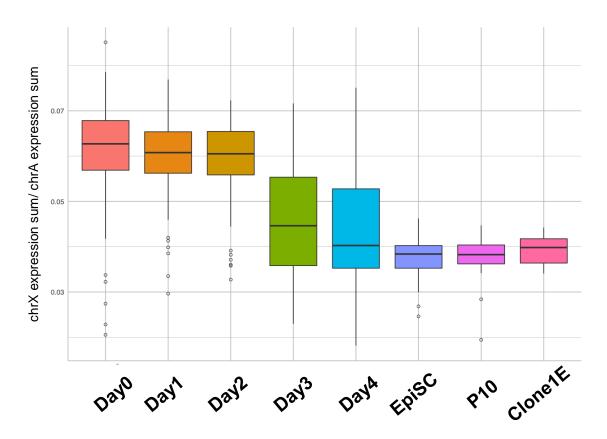
Day3



Day1

Day2

Day3



Day4

Day4

