| 1 2 3 | FRONT MATTER |
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| 4 5 6 7 | TITTLE Encoding of odor information and reward anticipation in anterior cortical amygdaloid nucleus |
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30 Abstract

31 Olfactory information directly reaches the amygdala through the olfactory cortex, without the involvement of thalamic areas, unlike other sensory systems. The anterior cortical 32 33 amygdaloid nucleus (ACo) is one of the olfactory cortices that receives olfactory sensory input, 34 and is part of the olfactory cortical amygdala, which relays olfactory information to the 35 amygdala. To examine its electrophysiological features, we recorded individual ACo neurons during the odor-guided go/no-go task to obtain a water reward. Many ACo neurons exhibited 36 37 odor-evoked go cue-preferred during the late phase of odor-sampling supporting the 38 population dynamics that differentiate go/no-go responses before executing the odor-evoked 39 behaviors. We observed two types of neurons with different anticipation signals: one neuron 40 type exhibited gradual increases of activity toward reward delivery, while another type 41 exhibited a phasic go cue-preferred activity during odor sampling as well as another phasic 42 anticipatory activity for rewards. These results suggest that the ACo may be involved in reward-related behavioral learning by associating the olfactory information with reward 43 44 anticipation.

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48 Introduction

Olfaction is closely related to emotion in attributing positive (attractive) or negative (aversive) valence to the environment more than any other sensory modality ¹². The close bidirectional connection and the particular organization of the olfactory cortex to the amygdala distinguishes the olfactory system from other sensory systems ³⁴. Afferent sensory inputs from the main olfactory bulb (OB) directly target the amygdala through the olfactory 54 cortex, while afferent inputs from most of the other sensory systems enter the amygdala via 55 the thalamus and neocortical regions ⁵. OB mitral cells project their axons through the lateral 56 olfactory tract to the olfactory cortex ⁶. Olfactory cortical amygdala, which is a part of the 57 olfactory cortex, relays olfactory information to the amygdala. The anterior cortical 58 amygdaloid nucleus (ACo) is a part of the olfactory cortical amygdala, and has a bidirectional 59 connection with the amygdala.

A study reported that the ACo receives dense projections from the main olfactory bulb (OB), moderate projections from the piriform cortex, lateral entorhinal cortex, basomedial amygdaloid nucleus (BMA), and medial amygdaloid nucleus (Me), and scarce projections from the ventral tegmental area (VTA) and the ventral tenia tecta (vTT) ³. Moreover, the ACo projects densely to BMA ³. These anatomical studies indicate that the ACo is closely related to the amygdala, and it is possible that the ACo is involved in odor-evoked motivational behaviors.

A behavioral study revealed that ACo participates in olfactory fear conditioning in 67 rats as electrical stimulation of the olfactory bulb induces evoked field potential signals 68 (EFPs), that are persistently potentiated specifically in the ACo after training ⁷. Moreover, 69 70 electrical stimulation of the ventral tegmental area (VTA) showed that the ACo, besides other mesolimbic structures, displays increased Fos expression in rats⁸. A whole-cell patch clamp 71 72 study showed that with the activation of sodium conductance, pyramidal neurons of the ACo 73 displayed rhythmic fluctuations of intrinsically generated voltage-dependent membrane 74 potential in the theta-low beta range, suggesting that the ACo was related to synaptic plasticity and learning ⁹. ACo has been poorly investigated, but comprehensive evidence 75 76 suggests that it may play a prominent role in reward-related behavioral learning by olfactory

stimulation. However, little is known about the electrophysiological features of the AConeurons for reward-related behavioral tasks.

79 Here, we recorded the neural activity of ACo neurons during odor-guided 80 reward-directed behaviors. Many ACo neurons responded to the go-cue odor stimulus at the 81 late phase of the odor-sampling epoch (from the odor valve off to the odor port exit). The ACo neuron population showed profound and persistent transformations in the dynamics of 82 83 cue encoding over 400 ms after odor onset. Furthermore, we found that the ACo neuron 84 groups each coded a different type of anticipation signal: one neuron group type exhibited 85 gradual increases in the signals to the reward, while the other type showed phasic anticipation signals with the go-cue preference responses during odor sampling. Our results suggest that 86 87 the ACo neurons may play an important role in odor-guided reward-directed learning.

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90 **Results**

91 Go-Cue-Odor preferred responses of ACo neurons during the late phase of 92 odor-sampling epoch

93 We recorded 158 well-isolated neurons in the ACo of four mice performing an odor-guided 94 go/no-go task (Fig. 1a, recording positions are shown in Fig. 1b). Briefly, the go trial requires 95 the mice to first sample a go-cue odor presented at an odor port and then to move to a reward 96 port to receive water reward. Conversely, the no-go trial requires the mice to first sample a 97 no-go-cue odor presented at the odor port and then to stay near it to wait for the next trial. It 98 is important to note that the mice were required to keep their nose inserted into the odor port, 99 at least during odor presentation (500 ms). After the mice were well trained, their behavioral 100 accuracy remained above 80% throughout the session. For all mice, the median duration of

101 the odor-sampling epoch (the time from odor valve opening until the mouse withdrew its 102 snout from the odor port) was 1053 ms (interquartile range: 902–1212 ms) in the go trials, 103 and 764 ms (interquartile range: 657–968 ms) in the no-go trials (31 sessions from four 104 mice).

105 Since the ACo receives direct inputs from the mitral cells of the olfactory bulb, we 106 first focused on whether ACo neurons exhibited cue-odor selective activity during odor-sampling epochs (from odor poke-in to odor poke-out). We found that a subset of ACo 107 108 neurons increased their firing rates during the odor presentation phase (0-500 ms after the 109 odor valve opening) during both go and no-go trials, and then showed a go-cue-odor 110 preferred response 500 ms after the odor onset (an example shown in Fig. 1c). To quantify 111 the dynamics of the cue-encoding, we calculated the firing rate changes from baseline (200 to 112 0 ms before the end of the inter-trial interval) in the sliding bins during the odor-sampling epoch for each neuron. For each correct trial, we calculated the area under the receiver 113 114 operating characteristic curve (auROC) value at each time bin (width: 100 ms, step: 20 ms), 115 and defined the go-cue selective neurons (n = 57 neurons, 36.1 % of the recorded neurons) as 116 those neurons that significantly increased their firing rates from the baseline (p < 0.01, 117 permutation test) for five consecutive bins (100 ms) during the odor-sampling epoch in the go 118 correct trials (Fig. 1d). Across the go-cue odor-selective population, calculation of go-cue 119 versus no-go-cue preferences during odor-sampling epochs clearly showed a go-cue 120 preference manner from 500 ms after the odor onset to the odor poke-out (late phase of the 121 odor sampling epoch) (Fig. 1e, p < 0.01, permutation test). These results suggest that the ACo 122 received not only a particular odorant profile directly from the olfactory bulb but rather the 123 complex odor information, including behavioral contexts from other olfactory cortical areas 124 and top-down inputs from higher areas.

125

126 Late phase of go-cue odor preferred responses were evoked by the odor onsets and were 127 stable across trials

128 The go-cue odor-selective population showed cue-odor-preferred responses during the late 129 phase of the odor sampling epoch (Fig. 1c). It is possible that the late phase of odor-preferred 130 responses was tuned to the odor port exit behaviors or contained the premotor signals that were observed in many brain regions ¹⁰¹¹¹². To take these signals into account, and to help 131 132 isolate signals related to odor presentation and action, we developed an encoding model 133 (generalized linear model, GLM). This model incorporated task-related variables during the 134 odor-sampling epoch as predictors of each neuron's activity (Fig. 2a and Supplementary Figs. $1a-c)^{13}$. 135

136 Using this encoding model, we quantified the relative contribution of each 137 behavioral variable during the odor-sampling epoch to the response of each neuron by 138 determining how much the explained variance declined when that variable was removed from 139 the model (see Materials and methods; a relative contribution for an example neuron is shown 140 in Fig. 2a and Supplementary Fig. 1e). Averaged across the go-cue odor selective population, 141 the highest relative contribution during odor-sampling epochs was attributed to late go-cue 142 odor sampling $(36.1 \pm 3.3\%)$ of the total variance explained during the odor-sampling epoch), 143 followed in descending order by the go-cue odor presentation ($23.2 \pm 1.7\%$), the no-go-cue 144 odor presentation (18.5 \pm 1.6%), the late no-go-cue odor sampling (9.9 \pm 1.5%), 145 pre-go-behavior (8.2 \pm 1.7%), and pre-no-go behavior (4.2 \pm 0.7%) (bars in Fig. 2b). The 146 relative contributions of the late go-cue odor sampling were significantly positive across 147 71.9% of the go-cue odor-selective neurons (a pie chart in Fig. 2b). Furthermore, across the 148 population, the go-cue responses during odor-sampling epochs in both correct and error trials

were higher than those in the no-go-cue correct and odorless trials (Fig. 2c), suggesting that the go-cue excitation responses mainly reflected signals of encoding cue-odor information. Notably, the intensities of the majority of the go-cue responses remained stable across trials (Fig. 2d). Taken together, the go-cue-preferred responses during the late phase of the odor-sampling epoch were considered to reflect the go-cue odor information.

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155 Response dynamics of the ACo neuron population during the late phase of 156 odor-sampling epoch

157 We demonstrated that ACo neurons showed odor-evoked cue-preferred responses during the 158 late phase of the odor-sampling epoch (Figs. 1-2). Were the distinct cue responses reflected in the ACo neuron population dynamics, and how much could the population activity account 159 160 for animals' behavioral accuracy? First, to gain insight into the dynamics of the population 161 response, we visualized average population activity using principal component analysis, a 162 dimensionality reduction method. Fig. 3a shows trajectories of the mean response of the ACo 163 neuron population to go-cue and no-go-cue odors, represented as projections onto the first 164 three principal components (PC) during the odor-sampling epochs. Throughout the 165 approximately 400 ms interval from the odor onset, trajectories remained converged, showing 166 little difference across conditions. Over the late phase of odor-sampling epochs, trajectories 167 in the odor-sampling epoch subspace began to spread out and were clearly separated at the 168 population level. To quantify these observations, we measured the instantaneous separation 169 between the population cue responses (Fig. 3b). The separation started to increase from 400 170 ms after odor onset, reaching a maximum at ~800 ms, and remained above baseline levels 171 until odor port exit. Thus, the ACo neuron population showed profound and persistent 172 transformations in the dynamics of cue-encoding, 400 ms after odor onset.

173 Second, to examine whether the population activity accounted for the animals' 174 behavioral accuracy, we performed a decoding analysis to determine whether the firing rates 175 of the ACo neuron populations could be used to classify each individual trial as go or no-go. 176 We used SVMs with linear kernels as a decoder. Based on ACo neurons, analyses of the decoding time course, using a sliding time window, revealed that decoding accuracy was first 177 178 maintained at chance levels 400 ms after the odor onset, and then increased above the 179 behavioral accuracy level of the animals around 500–600 ms after odor onset (the top-right 180 graph in Fig. 3c). In the 700-800 ms period, about 100 neurons provided sufficient 181 information to account for the behavioral accuracy (the bottom right graph in Fig. 3c). Thus, 182 a hundred ACo neurons accounted for animals' behavioral accuracy in the late phase of odor 183 sampling.

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Two types of reward anticipation responses of ACo neurons

186 We then focused on the ACo activity during odor-evoked behaviors after an odor-sampling 187 epoch. A subset of ACo neurons gradually increased their firing rates from the time of water 188 port entry till the reward was received, and another subset of neurons increased their firing 189 rates while waiting for reward (examples shown in Fig. 4a). We quantified the data by 190 calculating firing rate changes from baseline (spike data were aligned to the water port entry), 191 and three measures from the values: "time of center of mass", "onset time", and "duration" 192 (from water port entry to 1000 ms after opening the water valve, Fig. 4b, see Materials and 193 methods). The drinking epoch selective neurons (n = 30, 19.0 % of the recorded neurons) 194 were defined as neurons that had the time of center of mass during the drinking epoch, and the waiting epoch selective neurons (n = 14, 8.9 % of the recorded neurons) were defined as 195 196 neurons that had the time of center of mass during the waiting epoch. Across the population,

the drinking-epoch-selective neurons gradually increased their firing rates -190 ms before the water valve opened for 432 ms, and the waiting-epoch-selective neurons increased their firing rates 10 ms after water port entry for 108 ms (Figs. 4c-d, p < 0.01, permutation test). Thus, ACo neurons exhibited two distinct types of reward anticipation responses.

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Association of go-cue excitations with excitatory responses for the reward anticipation behavior

204 We observed that the waiting-epoch-selective neurons showed go-cue-preferred activity 205 during the odor-sampling epoch; however, the drinking-epoch-selective neurons did not 206 (examples shown in Fig. 5a). To examine the relationship between the reward anticipation 207 responses and cue encoding, we quantified the response profiles of each neuron group during 208 odor-evoked behaviors by calculating the firing rate changes from baseline (Fig. 5b). Across 209 the population, drinking-epoch-selective neurons showed significant excitatory responses for 210 the waiting and drinking epochs (red histogram at the top in Fig. 5b, p < 0.01, permutation 211 test), and significant inhibitory responses for other behavioral epochs (blue histogram at the 212 top in Fig. 5b, p < 0.01, permutation test). Alternatively, waiting-epoch-selective neurons 213 showed significant excitatory responses for the late phase of go-cue odor-sampling and 214 waiting epochs (red histogram at the bottom in Fig. 5b, p < 0.01, permutation test), and 215 significant inhibitory responses for the drinking and no-go waiting epochs (blue histogram at 216 the bottom in Fig. 5b, p < 0.01, permutation test). The waiting-epoch-selective neurons 217 showed higher responses during the go-cue odor-sampling epoch than those of other groups 218 (Fig. 5c, one-way analysis of variance with Tukey's post hoc test). Thus, waiting-epoch-selective neurons exhibited associations between the go-cue excitations and 219

excitatory responses for waiting behavior, suggesting that a subset of ACo neurons wasinvolved in cue-outcome associations.

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224 **Discussion**

225 The purpose of the study was to understand the electrophysiological features of ACo neurons 226 on odor-evoked reward-related behavioral tasks. We found that many ACo neurons exhibited 227 go-cue odor-preferred responses at the late phase of the odor-sampling epoch (Figs. 1-2). 228 Consequently, the ACo population showed profound and persistent transformations in the 229 dynamics of cue encoding, and provided sufficient information to account for the behavioral performance before executing the odor-evoked behaviors (Fig. 3). In addition to the late 230 231 phase of odor-evoked activities, we also found two types of reward anticipation signals 232 during the odor-evoked behaviors: ramp-like gradual increases in the signals to the reward 233 exhibited by drinking-epoch-selective neurons, and phasic anticipation signals exhibited by 234 waiting-epoch-selective-neurons (Fig. 4). The waiting-epoch-selective neurons exhibited 235 associations between the go-cue excitations and excitatory responses for the waiting behavior 236 (Fig. 5). Thus, the ACo showed unique encodings during various behavioral states in the task, 237 suggesting that the ACo neurons play an important role in reward-related learning evoked by 238 olfactory stimulus.

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240 Odor representation of the ACo

The ACo was a previously unexplored area in the olfactory amygdala located caudally to the lateral olfactory tract and rostromedially to the posterolateral cortical nucleus of the amygdala. The projection from the olfactory bulb (OB) terminates in the outer portion of the most 244 superficial layer (layer Ia) of the cortex, and the projection from other olfactory cortex areas terminates in the deep portion of the ACo¹⁴¹⁵. Unlike other sensory systems, olfactory 245 information from the external world reaches the amygdala without passing through thalamic 246 247 areas. Therefore, the olfactory amygdala, including the ACo, receives olfactory information from the OB via the olfactory cortex ¹⁶¹⁷. Moreover, previous comprehensive indirect 248 249 evidence suggests that ACo may play a prominent role in reward-related behavioral learning from olfactory stimuli ¹⁸¹⁹. However, little is known about the functions of the ACo in 250 251 olfactory information processing. We performed in vivo recordings in the ACo neurons 252 during the odor-guided go/no-go task to obtain a water reward. ACo neurons exhibited 253 cue-odor-preferred responses at the late phase of odor-sampling epochs (Figs. 1.2, and 3). 254 The peak firing in ACo neurons during odor-sampling epochs was later than that in other olfactory cortical areas (e.g., the piriform cortex ²⁰; and the ventral tenia tecta ²¹). This late 255 256 phase coding in the ACo neurons was not the premotor signal (Fig. 2). Population coding of 257 ACo neurons showed profound and persistent transformations in the dynamics of 258 cue-encoding, 400 ms after the odor onset (Fig. 3). This may reflect the input of other 259 olfactory cortex from layers Ib, II, and III, and the top-down inputs from other brain areas, rather than the direct sensory inputs from OB in layer Ia. Therefore, we speculate that ACo 260 261 neurons send task-modulated olfactory information to other amygdala areas.

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263 **Role of reward-anticipation response in the waiting epoch**

264 ACo sends the axons massively to the basolateral amygdala complex (BLA)³. The BLA plays a role in learning and storing CS-US associations¹⁸¹⁹. Therefore, the projections from 265 the ACo to the BLA may send olfactory information for the CS-US association in the 266 267 odor-guided learning tasks. We demonstrated that exhibited some neurons

reward-anticipation responses in the waiting epoch and also showed a go-cue-odor preference (Fig. 5). Since the ACo receives direct inputs from the BLA³, it may also serve some function for the CS-US association by linking the olfactory information with the reward anticipation.

272 A previous study revealed that pyramidal neurons of the ACo displayed rhythmic fluctuations of the intrinsically generated voltage-dependent membrane potential in the 273 274 theta-low beta range with the activation of sodium conductance ⁹. Synchronizing theta 275 oscillations have been found to increase between regions when enhanced communication occurs during memory acquisition ²²²³ and goal selection ²⁴²⁵. Oscillatory synchronization for 276 277 CS occurs in the theta band, between the lateral entorhinal cortex (LEC), which is a part of 278 the olfactory cortex, and hippocampus (HPC), during the learning stage of trace CS-US associative learning tasks ²⁶. The ACo has bidirectional connections with the LEC ³. We 279 280 speculate that the ACo additionally drives the LEC-HPC circuit and supports the CS-US 281 association by synchronizing the ACo-LEC-HPC theta oscillations during the learning stage. 282

283 Role of reward-anticipation response in the drinking epoch

In learning, reward signals have very important implications²⁷. A subset of ACo neurons 284 increased their firing rate during the drinking reward epoch (Fig. 4). These neurons started to 285 286 increase their firing rate before the drinking epoch, and these activities persisted during the 287 epoch. Previous studies reported that similar firing patterns were observed in the dopamine 288 neurons in the VTA. Post learning, dopamine activity may change phasic responses to cues and rewards, and ramping activity may arise as the agent approaches the reward ²⁸. The ACo 289 receives direct inputs from the VTA³. It is assumed that the ramping-like response in the 290 291 ACo may reflect the inputs from the VTA. In addition, ACo has anatomical connections with

| 292 | other olfactory cortices ³ . We speculate that the VTA reward signals may be transmitted to |
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| 293 | other olfactory cortical areas via ACo, making learning more efficient in the olfactory cortex. |
| 294 | A previous behavioral study revealed that electrical stimulation of the VTA showed |
| 295 | that the ACo, besides other mesolimbic structures, displayed increased Fos expression in |
| 296 | rats ⁸ . ACo sends excitatory glutamatergic/aspartatergic projections to the nucleus accumbens |
| 297 | (NAc) ²⁹ . Dopamine (DA) projections from the VTA to the NAc, which constitute the |
| 298 | mesolimbic DA system ³⁰³¹³² , play an essential role in motivated behaviors, reinforcement |
| 299 | learning, and reward processing ³³³⁴³⁵ . Therefore, the ACo may assist in driving the |
| 300 | NAc-VTA circuit for reward-related behavior. |
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| 303 | Methods |
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| 304 | Animals |
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314 Apparatus

315 We used a behavioral apparatus controlled by the Bpod State Machine r0.5 (Sanworks LLC,

316 NY, USA), an open-source control device designed for behavioral tasks. The apparatus 317 comprised of a custom-designed mouse behavior box with two nose-poke ports on the front 318 wall. The box was contained in another soundproof box (BrainScience Idea. Co., Ltd., Osaka, 319 Japan) equipped with a ventilator fan that provided adequate air circulation and low-level 320 background noise. Each of the two nose-poke ports had a white light-emitting diode (LED) and 321 an infrared photodiode. Interruption of the infrared beam generated a transistor-transistor-logic 322 (TTL) pulse, thus signaling the entry of the mouse head into the port. The odor delivery port was equipped with a stainless steel tubing connected to a custom-made olfactometer ³⁶. 323 324 Eugenol was used as the go-cue odor and amyl acetate (Tokyo Chemical Industry Co., Ltd., 325 Tokyo, Japan) as the no-go-cue odor respectively. These odors were diluted to 10% in mineral 326 oil and further diluted 1:9 by airflow. Water-reward delivery was based on gravitational flow, 327 controlled by a solenoid valve (The Lee Company, CT, USA), and connected via Tygon tubing 328 to stainless steel tubing. The reward amount (6 μ L) was determined by the opening duration of 329 the solenoid valve, which was regularly calibrated.

330

331 Odor-Guided go/no-go task

332 After a 3 s inter-trial interval, each trial began by illuminating the LED light at the right odor 333 port, which instructed the mouse to poke its nose into that port. This resulted in the delivery of 334 one of the two cue odors for 500 ms. Mice were required to keep their nose poked during odor 335 stimulation to sniff the odor. After odor stimulation, the LED light was turned off and the mice 336 could withdraw their nose from the odor port. If an eugenol odor (go-cue odor) was presented, the mice were required to move to the left water reward port and poke their nose within a 337 338 timeout period of 2 s. At the water port, the mice were required to maintain their nose poke for 339 300 ms before water delivery began. Next, 6 µL of water was delivered as a reward. If an amyl

340 acetate odor (no-go-cue odor) was presented, the mice were required to avoid entering the 341 water port for 2 s following odor stimulation. Once in 10 trials, we introduced catch trials in 342 which the air stream was delivered through a filter containing no odorants during which the 343 mice were not rewarded regardless of their choice (go or no-go behavior). During the training 344 sessions, mice learned to obtain water rewards at the left water port, move from the right odor 345 port to the left odor port, and associate odor cues with the correct action. The accuracy rate 346 was calculated as the total percentage of successes in the go and no-go trials in a session. The 347 mice performed up to 524 trials (go error: ~51 trials, no-go error: ~13 trials, catch: ~48 trials) in 348 each session per day.

349

350 Electrophysiology

351 Mice were anesthetized with medetomidine (0.75 mg/kg i.p.), midazolam (4.0 mg/kg i.p.), and 352 butorphanol (5.0 mg/kg i.p.), and implanted with a custom-built microdrive of four tetrodes in the ACo (0.1 mm anterior to the bregma, 2.2 mm lateral to the midline). Individual tetrodes 353 354 consisted of four twisted polyimide-coated tungsten wires (California Fine Wire, single wire 355 diameter 12.5 μ m, gold plated to less than 500 k Ω). Two additional screws were threaded into the bone above the cerebellum for reference. The electrodes were connected to an electrode 356 357 interface board (EIB-18, Neuralynx, MT, USA) on a microdrive. The microdrive array was 358 fixed to the skull using LOCTITE 454 (Henkel Corporation, Düsseldorf, Germany). After the 359 completion of surgery, the mice received atipamezole (0.75 mg/kg i.p.) to reverse the effects of 360 medetomidine and to allow for a shorter recovery period. The mice also received analgesics (ketoprofen, 5 mg/kg, i.p.). Behavioral training resumed at least 1 week after surgery. 361 362 Electrical signals were obtained using open-source hardware (Open Ephys). For unit 363 recordings, signals were sampled at 30 kHz in Open Ephys and band-pass filtered at 600-6,000

364 Hz. After each recording, the tetrodes were adjusted to obtain new units.

365

366 Data analyses

All data analyses were performed using the built-in software in MATLAB 2019a (TheMathworks, Inc., MA, USA).

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370 Spike sorting: Spikes were sorted into clusters offline using Kilosort2 371 (https://github.com/MouseLand/Kilosort2), with default parameters. Kilosort2 sorted spikes on the basis of spike waveform similarity, the bimodality of the distribution of waveform 372 373 features, and the spike auto- and cross-correlograms. A unit was considered a single unit if 374 Kilosort2 categorized that unit as "good." Additional analyses and spike waveform plotting 375 with data were performed with MATLAB code modified from N. Steinmetz 376 (https://github.com/cortex-lab/spikes).To assess the quality of our recordings, we checked all spike waveforms defied by the "good" units with Kilosort 2, and some single units that had 377 378 strange waveforms were excluded from the analyses.

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Spike train analyses: Neural and behavioral data were synchronized by inputting each event timestamp from the Bpod behavioral control system into the electric signal recording system.
For calculation of firing rates during tasks, peri-event time histograms (PETHs) were calculated using a 20 ms bin width, and smoothed by convolving spike trains with a 60 ms wide Gaussian filter.

385

ROC analyses: To quantify the firing rate changes, we used an algorithm, based on ROCanalyses, that calculates the ability of an ideal observer to classify whether a given spike rate

388 was recorded in one of two conditions (e.g., during go-cue or no-go-cue odor presentation) ³⁷. 389 We defined an auROC equal to 2 (ROCarea – 0.5), with the measure ranging from -1 to 1, 390 where -1 signifies the strongest possible value for one alternative and 1 signifies the strongest 391 possible value for the other.

392 The statistical significance of these ROC analyses was determined using a 393 permutation test. For this test, we recalculated ROC curves after randomly reassigning all 394 firing rates to either of the two groups arbitrarily, repeated this procedure a large number of 395 times (500 repeats for analyses of dynamics [Figs. 1e, 4b-c and 5b], 1000 repeats for all other 396 analyses [Fig. 5c]) to obtain a distribution of values. We then calculated the fraction of random 397 values exceeding the actual value. For all analyses, we tested for significance at $\alpha = 0.01$. Only 398 neurons with a minimum number of three trials for each analyzed condition were included in 399 the analyses.

400 For analyses of dynamics (width: 100 ms, step: 20 ms), we calculated three measures 401 from the auROC values of correct trials (Figs. 4b and 4d):

402 (1) Time of center of mass: the time corresponding to the center of mass of the significant 403 points of the auROC values (p < 0.01, permutation test). Only neurons with significant points 404 for each analyzed condition were included in this analysis.

405 (2) Duration: The duration to the time of center of mass over which the auROC values were 406 significant (p < 0.01, permutation test) for five or more consecutive bins, containing the time of 407 center of mass. Only neurons with consecutive bins for each analyzed condition were included 408 in this analysis.

409 (3) Onset time: The time at which the duration was first evident.

410

411 Generalized linear models: To quantify the contribution of behavioral variables to neural

412 activity, we used a generalized linear model (GLM), which was a multiple linear regression 413 with the firing rate of each neuron as the dependent variable, and predictors derived from the behavioral variables as the independent variables (Fig. 2a and Supplementary Figs. 1a-c)¹³. In 414 this analysis, the firing rate (20 ms bin width and smoothed by convolving spike trains with a 415 416 60 ms wide Gaussian filter) of each neuron is described as a linear sum of temporal filters 417 aligned to task events. For the current study, only odor stimulus onset, offset, and pre-odor port 418 exit events were required, since we considered only the period between odor stimulus onset and 419 500 ms after the odor port exit. In the model, the predicted firing rate is given as:

$$\hat{y_t} = \beta_0 + \sum_c \sum_i \beta_i^{E_{early}} x_{t-i}^{E_{early}} + \sum_c \sum_i \beta_i^{E_{late}} x_{t-i}^{E_{late}} + \sum_c \sum_i \beta_i^{E_{pre-exit}} x_{t-i}^{E_{pre-exit}}$$

420 The response of a neuron at bin t is modeled (\hat{y}_t) by the sum of a bias term (β_0) and the weighted (β_i) sum of various additional binary predictors at different lags (*i*), and *c* represents 421 422 the two conditions (go or no-go trials). Binary predictors for the odor stimulus presentation $(x_t^{E_{early}})$ are supported over the window 0 to 500 ms relative to the onset of odor value in either 423 424 go or no-go trials (lags corresponding to odor presentation period, 25 time bins) as well as late phase of odor-sampling predictors $(x_t^{E_{late}})$ that are supported over the window 0 to 553/264 ms 425 426 relative to the offset of the odor valve in either go or no-go trials (lags corresponding to the median durations between the odor valve offset and odor port exit, 28/14 time bins). Binary 427 predictors for pre-odor port exit predictors $(x_t^{E_{pre-exit}})$ are supported over the window -300 to 0 428 429 ms relative to the odor port exit in either go or no-go trials (15 time bins). The β values were 430 calculated using the glmfit MATLAB function.

431

432 Calculation of the relative contributions of behavioral variables to neural activity: We433 quantified the relative contribution of each behavioral variable to neural activity (Fig. 2b and

434 Supplementary Figs. 1d-f) by determining how the performance of the encoding model declined when each variable was excluded from the model ¹³³⁸. We predicted the firing rate of 435 each neuron in either case with all variables (full model), or by excluding one of the variables 436 437 (partial model), with fivefold cross-validation (over trials; meaning that in each fold, 80% of trials were used for training the model and the remaining trials were used for testing the model 438 439 performance). The relative contribution of each behavioral variable was calculated by comparing the variance explained by the partial model to the variance explained by the full 440 441 model. For the current study, which included six behavioral variables, the relative contribution 442 of each variable was defined as

$$\left(1 - \frac{R_{p,i}^2}{R_f^2}\right) / \sum_{j=1}^6 \left(1 - \frac{R_{p,j}^2}{R_f^2}\right)$$

Here, $R_{p,i}^2$ is the variance explained by the partial model that excludes the *i*th variable, and R_f^2 is variance explained by the full model. Negative relative contributions were set to zero (this occurs when the R² of the full model is lower than that of the partial model, owing to the introduction of noise by the excluded variable).

We used two approaches to exclude variables from the full model and calculated the 447 variance explained by the partial model ¹³. In the first approach, the partial model was 448 449 equivalent to the full model, except that the β values of the predictors of the excluded variable 450 were set to zero ('no refitting'). In the second approach, we calculated new β values by 451 re-running the regression without the predictors of the excluded variable (refitting). Both 452 approaches to exclude variables produced comparable results; the no-refitting approach was used to generate the main figures, and comparison with the refitting approach is shown in 453 454 Supplementary Fig. 1d.

455

Moreover, we compared relative contributions as assessed separately using three

different approaches: no refitting (NR; used in the paper), no refitting + Lasso regularization (NR + L), and refitting (R). Lasso regularization was applied using the lasso function in MATLAB; the mean square error (MSE) of the model was estimated using fivefold cross-validation, and we chose the lambda value that minimized the MSE. The results obtained with lasso regularization were almost identical to those obtained without regularization (Supplementary Fig. 1d), which suggested that there was no significant overfitting in our model.

463 Finally, to evaluate the significance of relative contributions assessed by the 464 no-refitting approach, we calculated the control values. In this approach, the partial model was 465 equivalent to the full model, except that the randomly selected β values of the predictors of the 466 excluded variable (10% of predictors, mostly corresponding to the sum of time bins of each 467 behavioral predictor) were set to zero, in which case, processing was performed 1,000 times 468 (Supplementary Figs. 1e-f). Using the control mean ± 2 standard deviation (SD), the statistical significance was determined (< mean - 2SD, negative relative contribution; > mean + 2SD, 469 470 positive relative contribution).

471

Population vector construction and analyses: We constructed 2 conditions (91 time bins) \times 158 472 neurons matrix ³⁹⁴⁰⁴¹ during the odor-sampling epoch, in which columns contained the auROC 473 474 values of the correct trials, corresponding to the trial-averaged firing rate changes from 475 baseline (Supplementary Fig. 2a). By performing principal component analysis (PCA) on the 476 dataset, we reduced the dimensionality of the ACo population from 158 neurons to three 477 principal components (PCs), and obtained the odor-sampling epoch subspaces. Note that we 478 used the three subspaces because they explained 80.6% of the total variance (Supplementary 479 Fig. 2b). To visualize the ACo population responses, we projected the dataset onto

three-dimensional subspaces (Fig. 3a). This allowed us to obtain a point reflecting the entire population response for each of the two conditions at a given instance. The distance between cue responses was computed as the Euclidean distance between pairs of activity vectors of all subspaces at a given instant (Fig. 3b) ⁴²⁴³. This value was compared with the values during the baseline epoch (1200 to 1000 ms before the odor port entry).

485

SVM decoding analyses: We used a support vector machine (SVM) algorithm with a linear 486 kernel as a classifier ²⁰⁴² and a MATLAB function (fitcsvm) for analyses. All analyses were 487 488 conducted on trial data pooled across animals. A matrix containing concatenated firing rates 489 for each trial was used, and each neuron was the input to the classifier. The matrix dimensions 490 were the number of neurons by the number of trials. To avoid over-fitting, k-fold 491 cross-validation (k = 10) was used to calculate the decoding accuracy of trial type 492 discrimination. To compute decoding accuracy, forty trials for each trial type (from the start of 493 the session) were chosen as the dataset. Next, the dataset was partitioned into ten equal parts; 494 one part was used for testing, and the remaining parts were used for training the classifier. This 495 process was repeated ten times to test each individual part, and the mean value of the accuracy 496 was used for decoding accuracy. To compute the decoding accuracy of a 100 ms bin window 497 (step: 20 ms), the classifier was trained and tested with a 100 ms bin window (step: 20 ms).

498

499 Statistical analyses: Data were analyzed using MATLAB 2019a. Statistical methods in each 500 analysis are described above, in the result section, or in the figure legends. The Tukey-Kramer 501 method was applied for significance tests with multiple comparisons. Sample sizes in this 502 study were not pre-determined by calculation, they were based on previous research in the 503 olfactory cortex fields ²⁰⁴⁴. Randomization and blinding were not employed. Biological

504 replicates for the histological studies are described in the figure legends.

505

506 Histology

507 After recording, the mice were deeply anesthetized by intraperitoneal injection of sodium 508 pentobarbital. Electric lesions were made using 10-20 µA direct current stimulation for 5 s of 509 one of the four tetrode leads. Mice were perfused transcardially with phosphate-buffered saline (PBS) and 4% paraformaldehyde (PFA). Brains were removed from the skull and post-fixed in 510 511 PFA. Brains were then cut into 50-µm-thick coronal sections and stained with cresyl violet. 512 Electrode track positions were determined in reference to the atlas developed by Paxinos and Watson⁴⁵. 513 514 515 Data availability 516 517 The data that support the findings of this study are available from the corresponding author 518 upon reasonable request. 519 520 521 **Code availability** 522 The custom code used for the analyses in the present study is available from the 523 corresponding authors upon reasonable request. 524 525 References 526 Ehrlichman, H. & Bastone, L. Olfaction and Emotion BT - Science of Olfaction. in 527 1.

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- 640

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648

649 **Author contributions**

- 650 K.S., Y.T., and H.M. designed the experiments, and K.S., Y.T., and H.M. performed the
- 651 experiments. K.S., Y.T., J.H., and H.M. performed the data analysis. K.S., Y.T., and H.M.

wrote the paper. Y.S. supported and advised the project.

653

654

655 **Competing interests**

656 No conflicts of interest, financial or otherwise, are declared by the authors.

657

658

659 **Figure legends**

Fig. 1. Cue-Odor-preferred responses of ACo neurons during the late phase of
 odor-sampling in the odor-guided go/no-go task.

- 662 (a) Time course of the odor-guided go/no-go task. Behavioral epoch temporal progression663 from left to right.
- (b) Nissl-stained frontal section (an arrow indicates recording track) and recording tracks

665 (vertical thick lines) of the ACo. NLOT, nucleus of lateral olfactory tract. CxA,
666 cortex-amygdala transition zone. APC, anterior piriform cortex. Scale bar: 500 μm.

(c) Example firing patterns of ACo neurons during odor-sampling epoch (the time from odor 667 668 valve opening to odor port exit) in the odor-guided go/no-go task. Each row contains spikes (black ticks) for one trial, aligned to the time of odor valve opening (corresponding to odor 669 670 port entry, orange ticks). Red ticks represent times of odor port exit. Correct trials are 671 grouped by odor, and within each group, are sorted by the duration of the odor-sampling 672 epoch (50 selected trials from the end of the session are shown per category). Histograms are 673 averaged across odors, and calculated using a 20 ms bin width and smoothed by convolving 674 spike trains with a 60 msec-wide Gaussian filter (blue, go-cue odor; green, no-go-cue odor). 675 Vertical dashed lines indicate the time of odor valve opening.

(d) Normalized firing rates (auROC values) for go-cue selective neurons (n = 57). auROC
values (aligned by odor valve opening) were calculated by go-cue odor presentation versus
baseline (left) and no-go-cue odor presentation versus baseline (right) in the sliding bins
(width, 100 ms; step, 20 ms). Red, increase from baseline; blue, decrease from baseline. Each
row corresponds to one neuron, with neurons in the left and right graphs in the same order.
Neurons are sorted by the peak time for auROC values calculated by go-cue odor
presentation versus baseline.

(e) Cue preference curves (auROC values, go-cue versus no-go-cue odor presentation, aligned by odor valve opening, odor port exit) for go-cue selective neurons. Each row corresponds to one neuron, with neurons in the left and right graphs in the same order of (d). Color scale indicates significant preferences (p < 0.01, permutation test; positive values correspond to the go-cue preferred responses). The black boxes indicate bins with non-significant preferences (p > 0.01, permutation test).

689

Fig. 2. Late go-cue odor-preferred responses were evoked by the odor onsets and werestable.

692 (a) Schematic of the encoding model used to quantify the relationship between behavioral 693 variables and the activity of each neuron (see Materials and methods). Behavioral predictors 694 for the odor stimulus-presentation epoch are supported over the window 0 to 500 ms relative to the onset of odor valve, as well as late phase of odor-sampling epoch that are supported 695 696 over the window 0 to 553/264 ms relative to the offset of odor valve in either go/no-go trials 697 (median of the valve offset to odor port exit), and pre odor port exit epoch that are supported 698 over the window -300 to 0 ms relative to the odor port exit. Inset, predicted and actual 699 averaged firing rate relative to the odor onset and odor port exit for one neuron.

(b) Top: relative contribution of each behavioral variable to the explained variance of the neural activity, averaged across the go-cue-selective neurons. All error bars represent the standard error of the mean. Bottom: relative contribution significance of the late phase of go-cue odor-sampling variable; see Supplementary Fig. 1f for the other variables.

(c) Go-cue odor-preferred responses during correct trials, error trials, and catch (odorless) trials. The auROC values were calculated during the odor-sampling epochs and only neurons with a minimum number of three trials for each analyzed condition were included in this analysis. Black horizontal lines and black vertical lines indicate medians and interquartile ranges. The statistical significance among five groups (*p < 0.05, **p < 0.01, ***p < 0.001) was assessed by one-way analysis of variance (ANOVA) with Tukey's post hoc test.

(d) The development of go-cue responses in go-cue-selective neurons during learning. For
each go-cue-selective neuron, we calculated the correlation between the firing rate during the
go-cue odor-sampling epoch relative to the baseline (a mean firing rate during inter trial

713 interval was subtracted for each neuron) and the order of go trial from the start of the session. 714 The correlation coefficient was compared with control values calculated by the 1000 715 trial-shuffled data (gray shaded area) and then the statistical significance was determined (< 716 0.5th percentiles of the control values, negative correlation; > 99.5th percentiles of the control 717 values, positive correlation). Across go-cue-selective neurons, the majority of the go-cue 718 responses were not correlated with trial progression (86.0%, not significant; 7.0%, negative; 719 7.0%, positive).

720

Fig. 3. Dynamics of ACo neuron population response during the late phase ofodor-sampling.

(a) Visualization of ACo neuron population responses during odor-sampling epoch using
principal component analysis (158 neurons). The responses to cue odors are projected onto
the first three principal components corresponding to odor-sampling epoch subspaces. Blue
line, go-cue odor; green line, no-go-cue odor. Temporal progression from unfilled blue/green
spheres to filled spheres.

(b) Distance between ACo neuron population responses. Gray line and shaded area show
 mean ± 2SD baseline values during pre-odor-sampling epoch. Top dots indicate time bins
 showing values more than mean + 2SD baseline values.

(c) The time course of odor decoding accuracy. A vector consisting of instantaneous spike counts for 1–158 neurons in a sliding window (width, 100 ms; step, 20 ms) was used as input for the classifier. Training of the classifier and testing were done at every time point. Green horizontal lines indicate the level of animal behavioral performance. Gray horizontal lines indicate chance level (50%). Green vertical dashed lines indicate the first points at which the decoding accuracy reached the level of animal behavioral performance. Shaded areas

737 represent \pm SD.

738

739 Fig. 4. Two types of reward-related responses of ACo neurons.

(a) Example firing patterns of reward-related responses. Spike histograms are calculated
using a 20 ms bin width and smoothed by convolving spike trains with a 60 ms wide
Gaussian filter. A vertical black line indicates the water valve opening.

743 (b) Evaluation of the reward-related responses. Normalized firing rates (auROC values) were 744 calculated by go-behavior versus baseline in the sliding bins (width, 100 ms; step, 20 ms). 745 Left: red bars show significant excitation (p < 0.01, permutation test). Based on the 746 significant time points, onset time (black triangle), time of center of mass (black circle) and duration (black horizontal line) were calculated. Vertical black lines indicate the water valve 747 748 opening. Right: each row corresponds to one neuron and neurons are sorted by times of 749 center of mass (white dots) of auROC values. Based on the times of center of mass, 750 drinking-selective neurons and waiting-selective neurons were defined (a horizontal dashed 751 line). Color scale as in Fig. 1d. Vertical white lines indicate the water port entry and the water 752 valve opening.

- (c) The proportions of neurons that exhibited a significant response, calculated from auROC
 values (p < 0.01, permutation test) for each neuron group (orange, drinking selective neurons;
 brown, waiting selective neurons). Vertical black lines indicate the water valve opening.
- (d) Distributions of the times of center of mass, onset times and durations (orange,drinking-selective neurons; brown, waiting-selective neurons).

758

Fig. 5. Waiting-selective neurons also showed go-cue odor-preferred responses during
odor-sampling.

(a) Example firing patterns of cue-outcome responses. Spike histograms are calculated using
a 20 ms bin width and smoothed by convolving spike trains with a 60 ms wide Gaussian filter.
A vertical black line indicates the water valve opening.

764 (**b**) The proportions of neurons that exhibited significant excitatory and inhibitory response

calculated from auROC values (p < 0.01, permutation test) for each neuron group. Vertical

766 black lines indicate the water valve opening.

767 (c) auROC values during odor-sampling epoch of go-cue odor-selective responses (top graph)

and no-go-cue odor-selective responses (bottom graph) for each neuron group. Black

769 horizontal lines and black vertical lines indicate medians and interguartile ranges. Red dots,

significant excitation; blue dots, significant inhibition; gray dots, non-significant (p < 0.01,

permutation test). Statistical significance among three groups (***P < 0.001) was assessed by

one-way analysis of variance (ANOVA) with Tukey's post hoc test.

- 773
- 774

775 Supplementary figure legends

Supplementary Fig. 1. Generalized linear models and the relative contributions of
behavioral variables to neural activity.

(a) Schematic of the encoding model used to quantify the relationship between behavioral
variables and the activity of each neuron. Inset, predicted and actual averaged firing rate
relative to the odor onset and odor port exit for one neuron.

(b) Structure of predictor matrices. The predictor has columns for each variable, which take
non-zero values for time bins (rows) corresponding to the appropriate time offset from the
given event.

784 (c) Variance explained (R^2 of PSTH reconstructions) between predicted and actual averaged

firing rate relative to the odor onset and odor port exit across the go-cue-selective neurons.

(d) Average relative contributions across the go-cue-selective neurons assessed separately using three different approaches: no refitting (used in the paper); no refitting + Lasso regularization; and refitting. Lasso regularization was applied using the lasso function in MATLAB; the mean square error (MSE) of the model was estimated using fivefold cross-validation, and we chose the lambda value that minimized the MSE. The results with lasso regularization were almost identical to the result without regularization, which suggests that there was no significant overfitting in our model.

(e) Evaluation for significance of relative contributions assessed no refitting approach. The partial model was equivalent to the full model, except that the randomly selected β values of the predictors of the excluded variable (10% of predictors) were set to zero, in which processing was performed 1,000 times. Using the control mean ± 2 standard deviation (SD), the statistical significance was determined (< mean – 2SD, negative relative contribution; > mean + 2SD, positive relative contribution).

(f) Proportions of the significance of relative contributions for each behavioral variable acrossthe go-cue-selective neurons.

801

802 Supplementary Fig. 2. Population vector construction and analyses for ACo neuron 803 population response.

(a) Population vector construction. We constructed the two conditions (91 time bins) \times 158 neurons matrix during the odor-sampling epoch, within which, the columns contained the auROC values corresponding to the trial-averaged firing rate changes from the baseline. By performing principal component analysis (PCA) on the dataset, we reduced the dimensionality of the ACo population from 158 neurons to three principal components (PCs).

- 809 Subsequently, we obtained the odor-sampling epoch subspaces (graphs show the values of
- 810 the first dimension of the odor-sampling epoch subspaces).
- 811 (b) Screen plot of the odor-sampling epoch subspaces. It is notable that we used the three
- subspaces because they explained 80.6% of the total variance.

813

814

Go / No-Go task





0.5

Anterior cortical

-0.5

b

1



Time - odor (s)

Fig. 1







а

Fig. 4



b





С

Waiting selective neurons





odor / odor port exit / water port entry (s)



Time odor / odor port exit (s)



Fig. 5

а



Behavioral variables

2.

Predictors (Behavioral variables)

3.

4.

5.

6.

1.

Predictor-3 \rightarrow 0

for calculating relative contribution-3



6

b

а

Fig. S1

е



Fig. S2