

1 **TITLE**

2 Distribution and functional potential of photoautotrophic bacteria in alkaline hot springs

3
4 Anastacia C. Bennett¹

5 Senthil K. Murugapiran¹

6 Eric D. Kees¹

7 Trinity L. Hamilton^{1,2*}

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10 ¹Department of Plant and Microbial Biology, University of Minnesota, St. Paul, MN 55108

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12 ²Biotechnology Institute, University of Minnesota, St. Paul, MN 55108

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15 **CORRESPONDENCE:**

16 Trinity L. Hamilton. Department of Plant and Microbial Biology, University of Minnesota, St. Paul, USA,

17 55108. Phone: +16126256372_Email: trinityh@umn.edu; ORCID: 0000-0002-2282-4655

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38 **ABSTRACT (250 words)**

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40 Alkaline hot springs in Yellowstone National Park (YNP) provide a framework to study the relationship
41 between photoautotrophs and temperature. Previous work has focused on cyanobacteria (oxygenic
42 phototrophs), but anoxygenic phototrophs are critical parts of the evolutionary history of life on Earth and
43 and are abundant across temperature gradients in alkaline hot springs. However, many questions remain
44 regarding the ecophysiology of anoxygenic photosynthesis due to the taxonomic and metabolic diversity
45 of these taxa. Here, we examined the distribution of genes involved in phototrophy and carbon and
46 nitrogen fixation in eight alkaline (pH 7.3-9.4) hot spring sites approaching the upper temperature limit of
47 photosynthesis (~72°C) in YNP using metagenome sequencing. Genes associated with cyanobacteria are
48 abundant throughout our data and more diverse at temperatures > 63°C, genes for autotrophic Chloroflexi
49 are more abundant in sites > 63°C and genes associated with phototrophic Chloroflexi are abundant
50 throughout. Additionally, we recovered deep branching nitrogen fixation genes from our metagenomes,
51 which could inform the evolutionary history of nitrogen fixation. Lastly, we recovered 25 metagenome
52 assembled genomes of Chloroflexi. We found distinct differences in carbon fixation genes in *Roseiflexus*
53 and *Chloroflexus* bins, in addition to several novel Chloroflexi bins. Our results highlight the
54 physiological diversity and evolutionary history of the understudied, anoxygenic autotrophic Chloroflex.
55 Furthermore, we provide evidence that genes involved in nitrogen fixation in Chloroflexi is more
56 widespread than previously assumed.

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59 **IMPORTANCE (150 words)**

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61 Photosynthetic bacteria in hot springs are of great importance to both microbial evolution and ecology
62 because they are responsible for the rise of oxygen and are critical to nutrient cycling. While a large body
63 of work has focused on the oxygenic photosynthesis in cyanobacteria, many questions remain regarding
64 the metabolic potential of anoxygenic phototrophs but are further compounded by their metabolic and
65 taxonomic diversity. Here, we have recovered several novel metagenome bins and quantified the
66 distribution of key genes involved in carbon and nitrogen metabolism in both oxygenic and anoxygenic
67 phototrophs. Together, our results add to the body of work focusing on photosynthetic bacteria in hot
68 springs in Yellowstone National Park.

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72 INTRODUCTION

73 A rich history of research on microbial communities in hot springs in Yellowstone National Park
74 (YNP) has revealed that photoautotrophic bacteria are the main primary producers in alkaline hot springs
75 > 60°C to 72°C (reviewed in 1). Alkaline hot springs > ~56°C are typically devoid of eukaryotic life,
76 contain fine-scale temperature gradients and, therefore, are excellent environments to study the
77 ecophysiology of photoautotrophs *in situ* (2). Historically, cyanobacteria have been the focus of these
78 studies as they are the only bacteria capable of oxygenic photosynthesis—a process that arose >2.2 billion
79 years ago and is of great importance to Earth's history (3). The distribution of cyanobacterial species in
80 Mushroom and Octopus Springs, two alkaline hot springs in YNP, has been described at ~1°C resolution
81 (4–7), revealing ecotypes that are highly adapted to specific temperatures. Additionally, cyanobacterial
82 diversity increases with decreasing temperature (8). While anoxygenic phototrophs are also present in
83 high temperature alkaline springs (5,6,8–10), many questions remain regarding their metabolic potential
84 and contribution to carbon and nitrogen cycling *in situ*, which is complicated by their taxonomic and
85 metabolic diversity.

86 While Cyanobacteria have been widely studied for several decades, researchers have only begun
87 to ascertain the metabolic potential of phototrophic Chloroflexi. In alkaline, high temperature hot springs,
88 cyanobacteria are the only organisms that perform oxygenic photosynthesis, using two photosystems in
89 concert to harvest electrons from water for metabolic processes like carbon fixation. In contrast to
90 oxygenic phototrophs, anoxygenic phototrophs require one light-harvesting reaction center complex –
91 either type I or type II – and have been observed in seven additional bacterial phyla that persist in alkaline
92 hot springs (1). Of these phyla, Chloroflexi are the most abundant anoxygenic phototrophs in alkaline hot
93 springs > 60°C, while other anoxygenic phototrophs (e.g. Chlorobi and *Candidatus Chloracidobacterium*
94 *thermophilum*), are usually present but less abundant (8,9,11,12). At present, phototrophy in hot spring
95 Chloroflexi is limited to class *Chloroflexales*, with the exception of the novel photoheterotroph,
96 *Candidatus Roseilineae* in class *Anaerolineae* (13). To date, there have been a handful of isolate and *in*
97 *situ* studies on *Chloroflexus* and *Roseiflexus*. For example, in pure culture, *Roseiflexus castenholzii* has
98 not been grown in the absence of fixed carbon or nitrogen, yet *Roseiflexus* genomes recovered from
99 alkaline hot springs contain genes involved in both of these processes (14). Additionally, we observe
100 *Chloroflexus* in alkaline hot springs up to ~70°C; however, in isolate studies, carbon fixation using the 3-
101 hydroxypropionate bicycle (3HPB) by *Chloroflexus* has not been demonstrated at temperatures > 55°C

102 (15). Therefore, many questions remain regarding the distribution and metabolic potential of the
103 phototrophs in class *Chloroflexales* and of novel classes within the phylum.

104 Photosynthesis is essential to cycle carbon in hot springs. However, these environments are also
105 nitrogen limited, which allows nitrogen-fixing bacteria to thrive in hot springs. Because oxygen solubility
106 decreases as a function of increased temperature, oxygen concentrations are often low, creating conditions
107 that are suitable for the production of the oxygen-sensitive enzyme, nitrogenase (16,17). Nitrogenase is an
108 iron-sulfur complex containing one of three metals harbored in the active site: molybdenum (Mo), iron
109 (Fe) or vanadium (V). All three varieties exist in nitrogen limited environments, but Mo-nitrogenase is the
110 most common and is encoded by *nif* genes (18,19). *nif* genes are dispersed throughout environments on
111 Earth, especially areas that are typically nitrogen-limited, including alkaline hot springs in YNP (20,21).
112 In-depth studies quantifying the distribution of *nifH* (which encodes the iron protein (NifH) in
113 nitrogenase) and potential nitrogenase activity have been conducted in a number of acidic hot springs >
114 55°C in YNP (22,23). These studies revealed that diazotrophs in acidic hot springs are highly adapted to
115 local conditions. In alkaline hot springs, transcriptional activity of *nifH* in *Leptococcus* (Cyanobacteria,
116 *Synechococcus* renamed to *Leptococcus* in (24)) species was monitored over a diel cycle in 53-63°C mats
117 which revealed an increase in activity at the end of the day, once mats turned anoxic (16,25). However,
118 broader studies linking the distribution of *nifH* to phototrophic community composition have not been
119 conducted in alkaline springs > 63°C, to our knowledge. Additionally, *Roseiflexus* genomes contain *nif*
120 genes, but they lack the full protein suite required to build a functional nitrogenase and likely do not fix
121 carbon *in situ*, but the functional purpose of NifH in *Roseiflexus* remains unknown.

122 Previous work in YNP has largely relied on single marker gene or metagenome assembled
123 genome (MAG, herein referred to as 'bin') abundance to define the range of photosynthesis in alkaline hot
124 springs *in situ* (1-4). Our previous work suggested that Chloroflexi and cyanobacteria were highly
125 abundant in alkaline hot springs ranging from 60°C to 72°C (12). Given the abundance of cyanobacteria
126 and Chloroflexi in these sites and the crucial role that they play in nitrogen and carbon cycling, we sought
127 to determine the distribution and functional potential of phototrophic bacteria in a subset of hot springs
128 from Hamilton *et al.* 2019. Here, we overcame the limitations of primer bias in single gene surveys and
129 potentially contaminated/incomplete metagenome bins and examined genes and pathways associated with
130 phototrophy and nitrogen fixation in metagenomes as has been proven informative in other systems (23).
131 We sequenced metagenomes from eight alkaline hot spring samples in YNP with temperatures ranging
132 from 62°C to 71°C (Table 1). We found that 1) genes associated with cyanobacterial photoautotrophy are
133 abundant throughout our data and more diverse at temperatures > 63°C, 2) genes for autotrophic
134 Chloroflexi are more abundant in sites > 63°C, 3) genes associated with phototrophic Chloroflexi are
135 abundant throughout, and 4) we recovered deep branching nitrogen fixation genes from our

136 metagenomes. Lastly, we binned our metagenome assemblies and recovered 25 Chloroflexi bins. We
137 found distinct differences in carbon fixation genes in *Roseiflexus* and *Chloroflexus* bins, in addition to
138 several novel Chloroflexi bins. Together, these results add to the body of work on photoautotrophic
139 bacteria in alkaline hot springs which is critical to solving the evolutionary history and ecophysiology of
140 nitrogen fixation and photosynthesis in bacteria.

141

142 **Results and Discussion**

143 *Overview of site geochemistry and metagenome assemblies.*

144 In Hamilton *et al.* 2019 (12), we surveyed the distribution and putative activity of phototrophy in
145 22 hot springs using 16S rRNA gene sequencing, ¹³C and ¹⁵N isotopic signatures and photosynthetic
146 microcosm experiments. We report that a large proportion of the population in sites > 60°C and pH > 7
147 are putative phototrophic Chloroflexi and Cyanobacteria, namely *Leptococcus*, *Roseiflexus* and
148 *Chloroflexus*. Our 2019 study, in addition to several other studies, suggest that Cyanobacteria and
149 phototrophic Chloroflexi are the dominant photoautotrophs in alkaline hot springs from 60°C to the upper
150 temperature limit of photosynthesis (72°C) (1,5,14,28,29). To this end, we generated metagenome
151 sequences from a subset of samples (n=8, Table 1) to determine the distribution of specific genes
152 involved in photoautotrophy and nitrogen fixation in YNP alkaline hot springs that approach the upper
153 temperature limit of photosynthesis. The sites range in temperature from 62°C to 71°C and pH between 7
154 and 9 (Table 1, (12)). The average number of reads between the eight metagenomes was 830473, with a
155 standard deviation of 267811 reads (Table S1). In our metagenome assemblies, the maximum number of
156 reads was from site 629F (1187870 reads) while the lowest number of reads was from 626C (375420

Table 1. Geochemistry of sites.

Site ID	JGI Genome ID	Site Name (corresponds with Hamilton <i>et al.</i> 2019)	pH	Temperature (°C)	Sulfide (μM)	δ ¹³ C (‰)	Fe ²⁺ (μM)	SiO ₂ (mM)	δ ¹⁵ N (‰)	SO ₄ (mM)	PO ₄ (mM)	Mo (nM)
626A	3300028606	Rabbit Creek OF1	9.14	68.40	0.34	-21.97	0.00	3.14	-0.93	0.20	5.43	290.61
626B	3300028609	Rabbit Creek OF2	9.24	62.30	0.44	-17.25	0.00	2.48	-1.32	0.19	5.40	287.30
626C	3300028611	Smoking Gun Spring OF	9.44	62.40	19.00	-12.34	0.00	4.19	-2.38	0.16	6.44	304.56
626D	3300028818	Rabbit Creek OF3	9.29	62.30	0.25	-17.65	0.00	3.43	-2.09	0.19	5.32	301.98
629B	3300028893	Boulder Geyser OF2	8.68	68.50	45.53	-17.54	0.90	2.13	4.09	0.19	3.18	483.06
629F	3300028816	Mouthful Geyser OF2 photo	8.80	71.00	3.12	-20.55	2.33	4.63	4.12	0.16	7.15	259.47
629H	3300028617	Stumped Spring OF2 photo	8.56	69.40	1.25	-22.75	0.36	3.96	4.26	0.15	9.07	267.31
630D	3300028820	Mixy Fritzy	7.30	62.70	0.87	-20.00	0.12	3.71	2.82	1.39	4.68	683.71

157 reads) (Table S1). Site 626B contained the highest number of open reading frames, 332336 (ORFs, e.g.
 158 genes), while 626C had the lowest number of genes, 150190 (Table S1).

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161 *Photosynthetic reaction center alpha diversity varies with temperature.*

162 Oxygenic photosynthesis is a remarkable metabolism that involves two photosystems,
 163 Photosystem I (PSI) and Photosystem II (PSII), working in concert to harvest electrons from water to fuel
 164 carbon fixation and other cellular processes. PSII houses the oxygen evolving complex where light energy
 165 is captured to liberate electrons from water — a process that requires manipulation of five proteins
 166 (encoded by *psb* genes) surrounding the oxygen evolving complex (30). In our data, we quantified the
 167 abundance of three *psb* genes: *psbA*, *psbB* and *psbD*. *psbA* and *psbD* encode for the D1 and D2 proteins,
 168 respectively, which both serve to ligate the redox active components in PSII and are highly
 169 transcriptionally regulated in Cyanobacteria (31,32). *psbB* encodes CP47, a chlorophyll binding protein
 170 crucial to forming a stable PSII reaction center (33). In our metagenomes, abundance of *psb* genes varied
 171 between sites (Figure 1). We observed larger mean abundances of *psb* genes at temperatures above 68°C
 172 and the highest mean abundance of *psb* genes occurred in the site with the highest temperature (71°C,
 173 629F, Figure 1), while the lowest mean abundance was observed at 69.4°C (site 629H), suggesting other
 174 factors limit cyanobacteria in 629H. However, in sites < 63°C, we recovered more copies of *psb* genes,
 175 suggesting higher alpha diversity within the cyanobacteria population or that single species harbor
 176 multiple copies of the *psb* genes. Previous work has shown that cyanobacterial diversity in alkaline hot
 177 springs decreases with increasing temperature and that *Leptococcus* species, which contain up to three
 178 copies of *psbA* (4) are most abundant in these conditions (8,9,12).

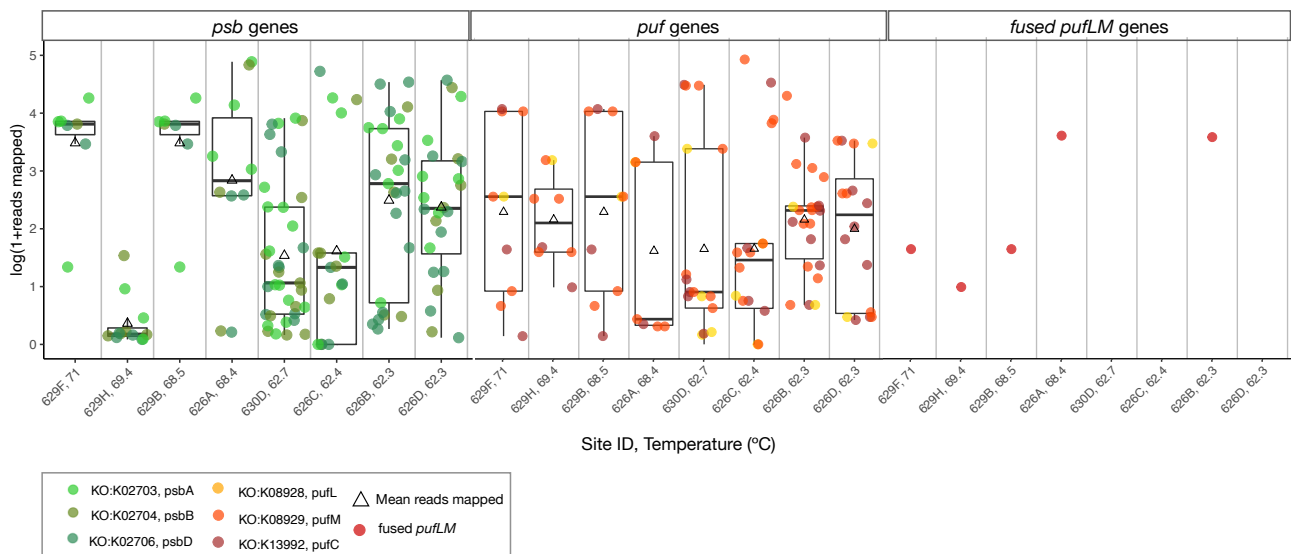
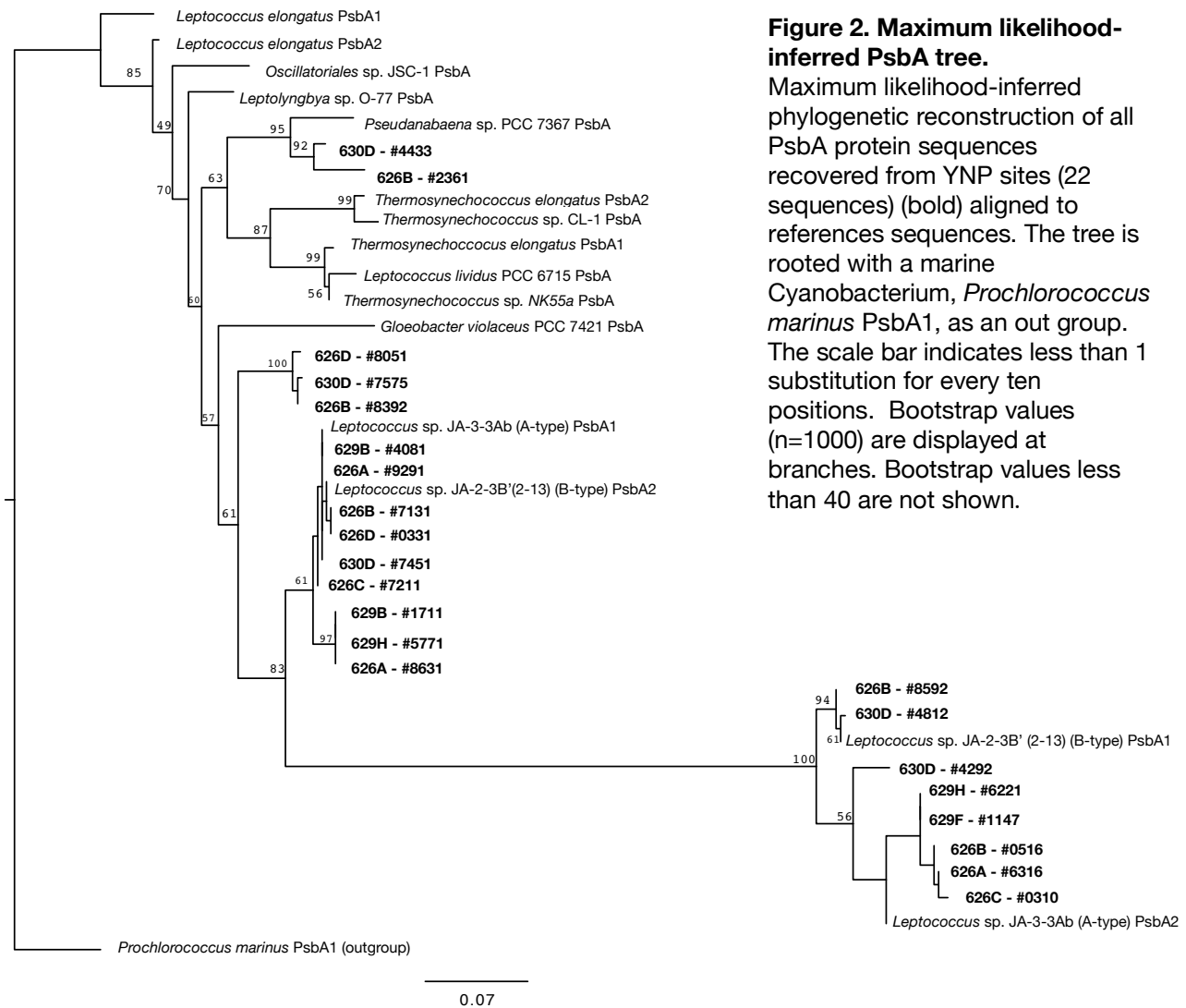


Figure 1. Distribution of photosynthetic machinery with temperature.

The overall abundance (natural log of 1 + reads mapped) of genes that encode for Cyanobacterial photosystem II (*psb*) and type II anoxygenic photosynthesis reaction centers (*puf* and fused *pufLM*) are shown as box plots for each site. Triangles represent the mean abundance for the gene set and dots represent individual gene abundances, shaded by the corresponding photosystem or reaction center gene. Box plot outliers were removed. Sites are ordered by decreasing temperature.



179

180 In all organisms that perform oxygenic photosynthesis, the *psbA* gene encodes the D1 protein —
 181 a core protein of photosystem II. Several cyanobacteria genomes contain multiple copies and variant
 182 forms of the *psbA* gene to rapidly repair the D1 protein or invoke a variant isoform in response to
 183 environmental conditions (32). Typically, cyanobacteria encode a ‘standard’ form (usually *psbA1*),
 184 expressed under normal conditions, and a ‘stress-induced’ form (*psbA2-n*), expressed under high-stress
 185 conditions such as low temperature, high UV irradiance or microaerobic conditions (31). For example, in
 186 marine cyanobacteria, the stress-induced isoform (encoded by *psbA2*) is preferentially expressed under
 187 high UV conditions (34). Fine-scale sampling of *Leptococcus* in Octopus Spring revealed there are five
 188 *Leptococcus* ecotypes (A'', A', A, B', and B, in order of decreasing thermotolerance) that persist between
 189 73°C to 50°C and are adapted to specific temperatures (7,35). Reference sequences from an A-type and
 190 B'-type ecotype – *Leptococcus yellowstonii* JA-3-3Ab and *Leptococcus springii* JA-2-3B'a(2-13)
 191 respectively (35)– were used to identify *psbA* genes in our samples. Henceforth, we will refer to the

192 *Leptococcus* A and B 'ecotypes, which respectively occupy temperature ranges of ~55-68°C and ~50-
193 62°C, as either A-type or B'-type. Each of the YNP *Leptococcus* genomes contain two to three variants of
194 the *psbA* gene, but the environmental conditions that select for strains that harbor multiple copies is
195 unknown.

196 In the present study, we recovered several *Leptococcus*-like PsbA sequences. To determine
197 whether they were most closely related to the A- or B'-type *Leptococcus* PsbA variants, we built a
198 phylogenetic tree with our *Leptococcus*-like PsbA sequences and both A-type and B'-type reference
199 sequences (Figure 2). 17 of the 22 PsbA sequences in our data were most closely related to PsbA from
200 one of the two *Leptococcus* reference strains and, in general, we did not observe a preference for either
201 PsbA1 or PsbA2 with temperature. The B'-type PsbA2 and A-type PsbA1 references formed a separate
202 clade containing six of our PsbA sequences. Bifurcating in a deeper branch from this clade, the A-type
203 PsbA2 and B'-type PsbA1 references grouped with the remaining eight *Leptococcus* PsbA sequences.
204 Within each of those clades, several sequences branch outside of the PsbA1 and PsbA2 reference
205 sequences, suggesting a third PsbA sequence is present in our metagenomes.

206 Anoxygenic photosynthesis requires only one light-harvesting reaction center complex—type I or
207 type II. *psc* genes encode Type I reaction centers (in Chlorobi, Firmicutes (*Heliobacteriaceae*),
208 Acidobacteria (*Candidatus Chloracidobacterium thermophilum*)), while *puf* genes encode type-II reaction
209 centers (in Chloroflexi, (class *Chloroflexales*, and *Candidatus Thermofonsia*), Proteobacteria (*Alpha*-,
210 *Beta*-, and *Gamma*-) and Gemmatimonadetes (strain AP64)) (1,36–38). In our metagenomes, *psc* genes
211 were more abundant in sites below 63°C while *puf* genes were abundant in all sites (Figure 1, Figure S1).
212 Similar to *psb* genes, we recovered more copies of both *psc* and *puf* genes in sites < 63°C, suggesting
213 diversity (or taxa) abundance increases with decreasing temperature. These results are consistent with our
214 previous work that suggest phototrophs with type-I RCs are less abundant at high temperatures, while the
215 type-II phototrophic Chloroflexi are highly abundant and diverse in 60-72°C hot springs (8,12).

216 In anoxygenic phototrophs with type II reaction centers, genes that encode essential functions
217 differ between species. *pufL* and *pufM* are common to all type-II phototrophs and encode PufL and PufM
218 membrane-spanning proteins that bind bacteriochlorophylls in type-II reaction centers (39). Both *pufL*
219 and *pufM* genes are required to form a functional reaction center yet in our data, we recovered 5:1
220 *pufM:pufL* genes in sites > 63°C (Figure 1). In *Roseiflexus* and *Kouleothrix* species *pufL* and *pufM* are
221 fused into a single gene (37). We recovered five fused *pufLM* sequences in our dataset (Figure 1) that
222 were represented in five of our eight sites. They were the most abundant in 626A and 626B, two sites in
223 the Rabbit Creek area, where *Roseiflexus* are common and abundant (Bennett *et al.* 2020).

224

225 *Abundance and diversity of carbon cycle machinery varies with temperature*

226 Photoautotrophic bacteria fix the majority of carbon in alkaline geothermal springs by using one
227 of three different pathways. Cyanobacteria use the Calvin-Benson-Bassham (CBB) cycle, Chlorobi use
228 the reductive tricarboxylic acid (rTCA) cycle and photoautotrophic Chloroflexi use the 3-
229 hydroxypropionate bicycle (3HPB) (reviewed in (41)) with the exception of CBB-containing *Kouleothrix*
230 *aurantiaca* isolated from activated sludge (42). In our data, mean reads mapped for the CBB cycle
231 surpassed the 3HPB and rTCA in all but two sites (626C and 626B) (Figure 3B). In the CBB cycle, the
232 carboxylation step is carried out by the enzyme ribulose 1,5 bisphosphate carboxylase/oxygenase
233 (RuBisCO, encoded by *rbcL* [large subunit] and *rbcS* [small subunit] genes). RuBisCO, the workhorse of
234 the CBB cycle, is highly abundant in several environments on Earth and considered to be the most
235 abundant protein in the world (43). In hot springs, specifically, *Leptococcus* species have evolved a
236 thermotolerant form of RuBisCO that functions at up to 74°C – toxic intermediates (reactive oxygen
237 species) form above this temperature (44,45). Phosphoribulokinase (encoded by the *prk* gene), a second
238 essential step of the CBB cycle, does not appear to have an upper temperature limit beyond that of
239 phototrophy, but is likely only present in organisms that use the CBB cycle (46).

240 While it is known that characteristic enzymes in the CBB cycle function at high temperatures, we
241 sought to compare abundances of these genes across sites (Figure 3C). We observed larger mean
242 abundances of *rbcS* than *rbcL*, but more copies of *rbcL* than *rbcS*, suggesting the CBB taxa could contain
243 more copies of *rbcL*, or multiple forms of RuBisCO are present in these high temperature, alkaline hot
244 springs. Furthermore, we computed the ratio of *rbcL:rbcS* and *prk:rbcS* with temperature (Figure S2) and
245 found that both ratios were higher (more *rbcL* and *prk* genes) in sites with low temperature. At present,
246 four forms of RuBisCO exist in nature: form I RuBisCO (cyanobacteria, alpha-, beta-, gamma-
247 proteobacteria, chloroflexi and higher eukaryotes) is encoded by both *rbcL* and *rbcS* genes, while forms II
248 (alpha-, beta-, gamma- proteobacteria) and III (only in methanogenic archaea) contain only the large
249 subunit (encoded by *rbcL* genes) (47). Our data suggest that more distinct CBB taxa are present at low
250 temperatures, or that form II or III RuBisCO taxa (*rbcL* only, non-cyanobacterial CBB cycle) persist at
251 lower temperatures.

252 Chlorobi are the only phototrophic group that fix carbon via the rTCA cycle, but this pathway is
253 distributed across several non-phototrophic lineages that are often recovered from hot springs (e.g. in the
254 Aquificae phylum; 12,17). In general, reads associated with the rTCA cycle were low compared to other
255 two pathways (Figure 3B). Additionally, we recovered very few reads associated with ATP citrate-lyase,
256 an irreversible and critical enzyme in the rTCA cycle (Figure S3), suggesting few taxa fix carbon using
257 this pathway between 62-71°C.

258 In contrast to the rTCA cycle, reads associated with genes involved in 3HPB, the carbon fixation
259 pathway in most photoautotrophic Chloroflexi, were widespread and abundant in our metagenomes
260 (Figure 3B). The 3HPB requires two carboxylation steps (via acetyl-CoA carboxylase and propionyl-CoA
261 carboxylase), followed by steps that generate 3-hydroxypropionate and glyoxylate intermediates (48). To
262 this end, we surveyed the abundance of three genes that are involved in these critical steps in the pathway:
263 malyl-CoA/citramyl-CoA lyase (*mcl* gene, glyoxylate generation), propionyl-CoA carboxylase (*pccA*
264 gene, CO₂ carboxylation) and 3-hydroxypropionate dehydrogenase (*mcr* gene, 3-hydroxypropionate
265 generation). We found that the mean abundances of *mcr* showed high variation between sites (Kruskal-
266 Wallis H test, $p < 0.05$), while *pccA* and *mcl* abundances were relatively consistent across sites (Figure
267 3D). It was previously thought that the 3HPB pathway was only present in Archaea until *Chloroflexus*
268 isolates were grown autotrophically using the 3HPB (48,49). While *Roseiflexus castenholzii* has not been
269 grown without acetate (50), transcripts for the 3HPB have been recovered from metatranscriptomes of
270 *Roseiflexus* species *in situ* (14). Previous work has shown that *Roseiflexus* and *Chloroflexus* species are
271 abundant in alkaline hot springs $>60^{\circ}\text{C}$ and ^{13}C fractionation values suggest the 3HPB could be active in
272 these sites (8,14,20).

273 Years of research on primary productivity in hot springs has shown that autotrophic bacteria
274 produce carbon isotope fractionation values that correspond to specific carbon fixation pathways
275 (reviewed in (20)). To test our hypothesis that the CBB cycle is more prominent in samples $> 68^{\circ}\text{C}$ and
276 the 3HPB in samples $< 63^{\circ}\text{C}$, we compared the isotopic fractionation of ^{13}C in our samples to
277 fractionation values of characterized isolates (Figure 3A) (12). Carbon isotope fractionation in high
278 temperature samples ($>68^{\circ}\text{C}$) were similar to fractionation of YNP isolate *Synechococcus lividis* grown
279 autotrophically at 70°C (51), while the low temperature samples grouped together within range of
280 *Chloroflexus* 3HPB fractionation values at 55°C (15). Carbon isotope fractionation of isolates fixing
281 carbon via the 3HPB have not been reported within range of our sites, but both the abundance of *puf*
282 genes (Figure 1), 3HPB genes in our samples (Figure 3D) and ^{13}C fractionation data suggest this pathway
283 in phototrophic Chloroflexi could play an important role in primary productivity in alkaline hot springs
284 $>62^{\circ}\text{C}$.

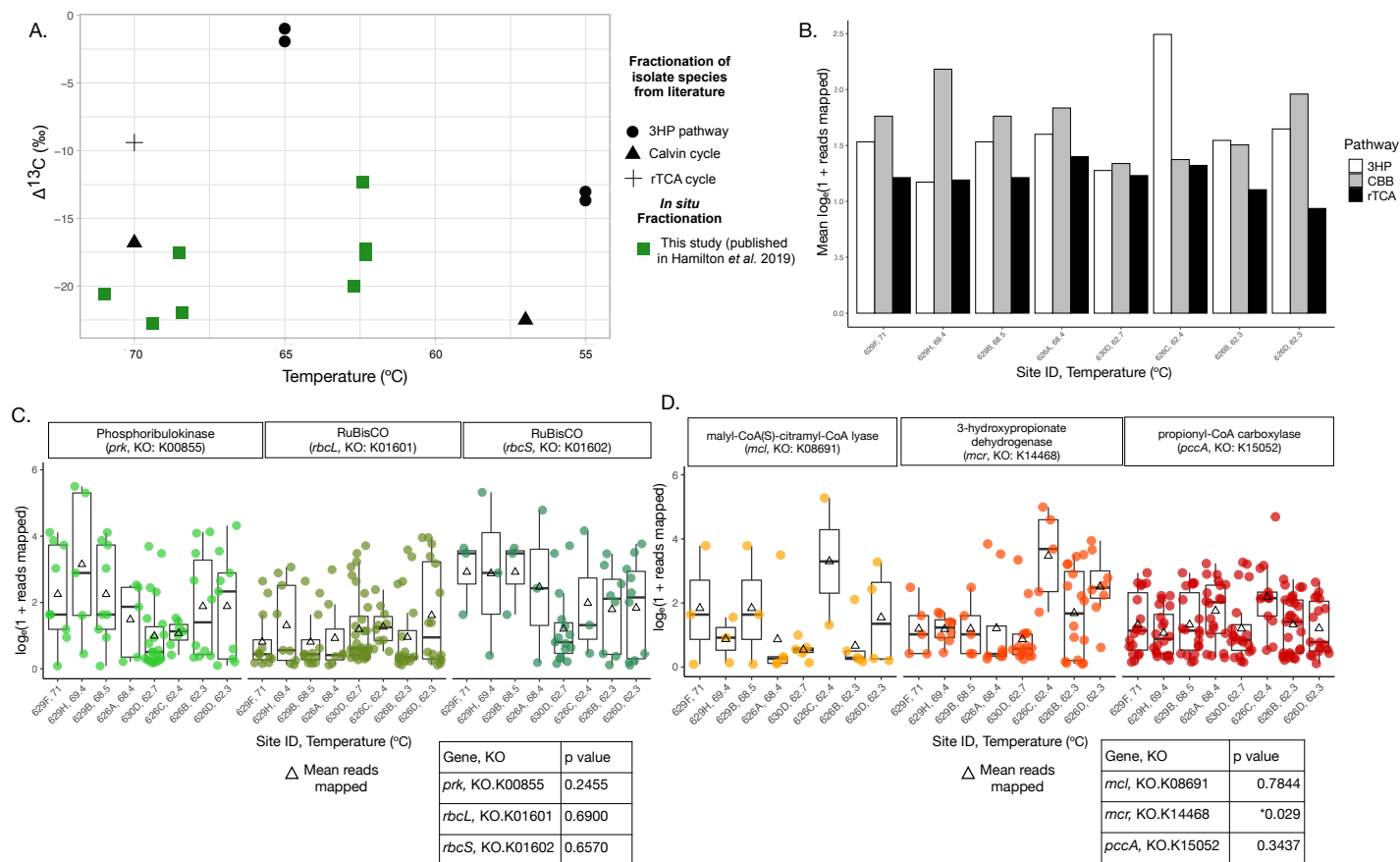


Figure 3. ^{13}C isotopic signatures and distribution of genes involved in phototrophic carbon fixation pathways.

(A) Carbon stable isotope signals of biomass are plotted by site temperature. (B) The mean abundance (natural log of 1 + reads mapped) for three photoautotrophic carbon fixation pathways are shaded by pathway. Mean abundances are calculated from three representative genes for each pathway shown in (B), the abundance (natural log of 1 + reads mapped) of genes that encode for the Calvin cycle (C) and the 3-hydroxypropionate bicycle (D) are shown as box plots for each site. Triangles represent the mean abundance for the gene set and dots represent individual gene abundances, shaded by the genes. Box plot outliers were removed. Sites are ordered by decreasing temperature. Below each box plot are tables of Kruskal-Wallis H test significance values (p-value) for each gene.

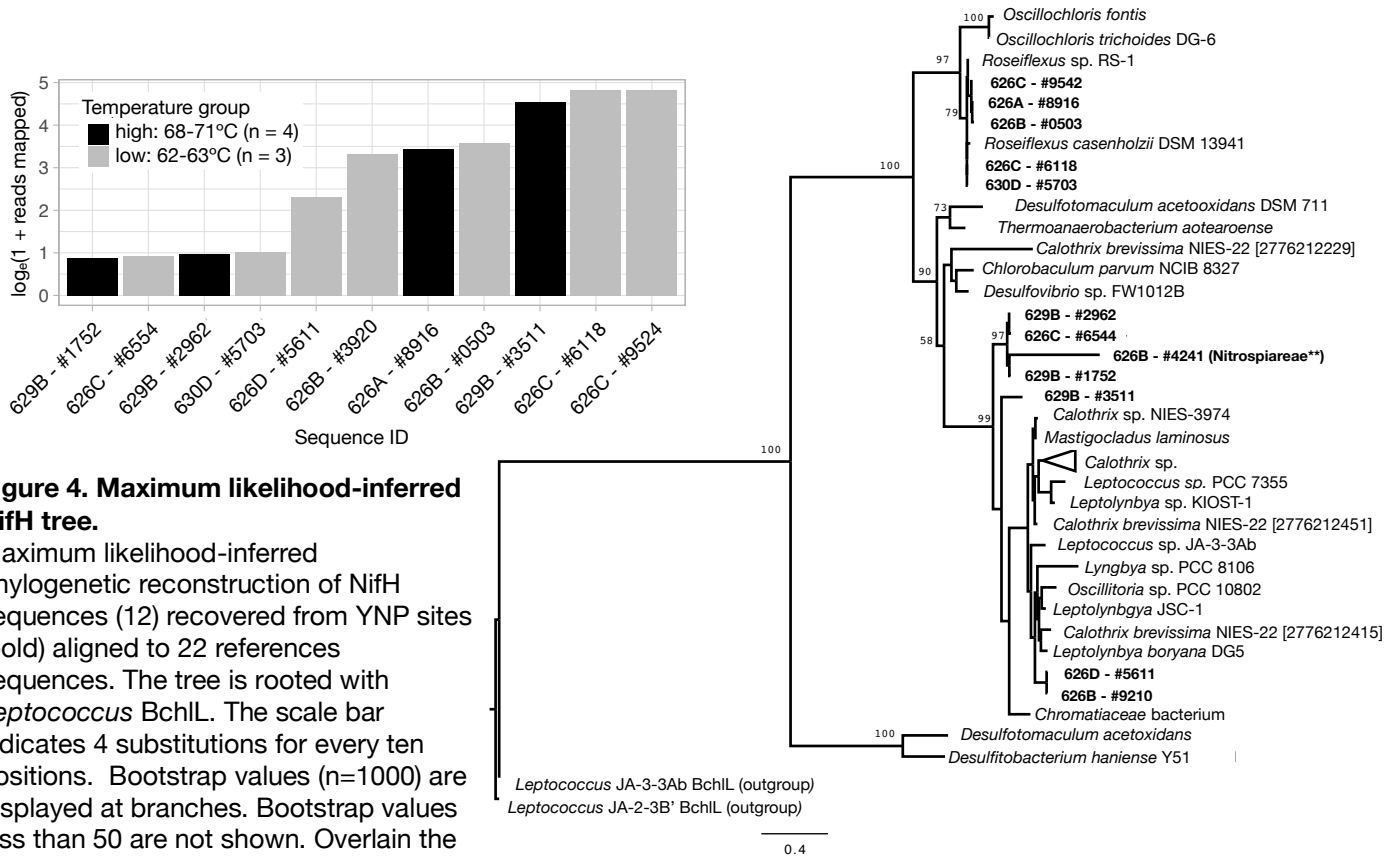
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286 *Deeply-branching nifH genes are abundant in alkaline hot springs*

287 We quantified the distribution and abundance of *nifH* genes in eight metagenome assemblies,
 288 spanning six alkaline hot springs with temperatures from 62 to 71°C to determine if *nifH* abundances vary
 289 with temperature (Figure S4). We recovered more *nifH* genes in sites below 63°C (Figure S5B) while the
 290 mean abundance of *nifH* was highest in 626A and lowest in 629H, both sites with temperatures > 68°C.
 291 Spearman-rank correlations of our *nifH* genes with temperature, sulfide, total iron and molybdenum
 292 revealed that none of these environmental parameters correlated with abundance of *nifH* genes in our
 293 samples (Figure S4C). Together, these results suggest that *nifH* abundance does not correlate with
 294 environmental parameters, but more diverse diazotrophs are present at lower temperatures.

295 To determine the taxa associated with our *nifH* sequences, we constructed a phylogenetic tree
296 with translated *nifH* gene sequences (Figure 4). Two NifH sequences were closely-related to
297 cyanobacteria species, notably *Leptococcus* JA-3-3Ab, a common constituent of alkaline hot springs >
298 60°C and a known diazotroph (6,25). Four of the five most abundant NifH sequences in our dataset were
299 closely related to *Roseiflexus* species from both high and low temperature sites (Figure 4). *nifH* genes are
300 present in *Roseiflexus* genomes and *nifH* transcripts *Rosiefleus* have been observed *in situ* (14), but they
301 lack the full gene suite required to build a functional nitrogenase— *Roseiflexus* genomes only encode
302 *nifHBDK* (52) – and neither of the two isolate species (*R. castenholzii* or *Roseiflexus* sp. RS-1) can grow
303 in the absence of fixed nitrogen (50,52), therefore, it is unlikely that *Roseiflexus* fix nitrogen. However,
304 *Roseiflexus nifH* could be important to determining the evolutionary history of nitrogenase – *nifH* shares
305 an evolutionary history with *bchL*, a gene involved in chlorophyll biosynthesis in anoxygenic phototrophs
306 and BchL and NifH have high sequence and structure similarity but are functionally different (53). Lastly,
307 while *Roseiflexus* species lack a full gene set to fix nitrogen in alkaline hot genes are abundant in our data
308 and *nifH* mRNA has been detected in similar hot springs (14), suggesting NifH serves a functional
309 purpose that remains unknown.

310 Finally, the third most abundant *nifH* in our dataset (629B #3511) formed a separate clade near,
311 but not within, the cyanobacteria clade (Figure 4). Protein BLAST analysis (27) revealed that these NifH
312 sequences are Aquificae-like NifH, a deep-branching chemolithoautotrophic group with diazotrophic
313 representatives found in high temperature (>70°C) hot springs (17). Previous analysis of *nifH* genes
314 across all domains of life suggested Aquificae are the oldest extant diazotrophic bacteria (19). Thus, our
315 data contain several *nifH*-containing lineages that are of great importance for solving the evolutionary
316 history of nitrogen fixation.



317

318

319 *Metagenome assembled genomes reveal distinct differences between Chloroflexus and Roseiflexus species*

320 Phototrophic Chloroflexi species are widely distributed and abundant in alkaline hot springs >

321 60°C, but their contribution to carbon and nitrogen cycling in hot springs is not well understood. Through

322 metagenome assembly data, we have begun to piece together the metabolic potential of phototrophic

323 Chloroflexi *in situ*. We have shown that genes for phototrophic machinery in cyanobacteria and

324 Chloroflexi are abundant throughout the eight hot spring samples surveyed here. Key genes in

325 photoautotrophic Chloroflexi (3HPB pathway) genomes are variable with temperature (*mcr* is less

326 abundant in low temperature sites, Figure 3D), but generally abundant across sites. Additionally, a large

327 proportion of the NifH sequences we recovered were most closely related to *Roseiflexus* species. To

328 examine the distribution of specific Chloroflexi taxa, we binned our metagenome assemblies and

329 quantified the abundance of bins classified as Chloroflexi taxa in each site (Figure 5A, S5, Table S2).

330 Furthermore, we conducted a BLASTN search (27) of the Chloroflexi metagenome bins for genes

331 involved in carbon fixation, Type-II reaction center photosynthesis and NifH/BchL protein families
332 (Figure 5B).

333 We recovered two putative, phototrophic Chloroflexi genera from our binning analysis:
334 *Roseiflexus* and *Chloroflexus*. These two genera were represented by several distinct bins across multiple
335 sites (Figure 5A, Table S2). *Roseiflexus* and *Chloroflexus* bins were more abundant than non-phototrophic
336 Chloroflexi, consistent with our gene analysis above and previous work in alkaline hot springs (8,9,12).
337 *Roseiflexus* bins comprise a large proportion of the metagenome in several sites (Figure 4A), while
338 *Chloroflexus* bins were generally more abundant in sites > 68°C. Furthermore, *Roseiflexus* bins appear to
339 be functionally different than *Chloroflexus* bins in our metagenomes (Figure 5B). Each *Roseiflexus* bin
340 contained fused *pufLM* sequences, all three 3HPB genes from our assembly analysis (*mcl*, *mcr* and *pccA*)
341 and *nifH* sequences. Not surprisingly, none of our *Roseiflexus* bins contained genes involved in the CBB
342 cycle, which has not been found in any of the sequenced *Roseiflexus* genomes (50,52). Since *Roseiflexus*
343 are dispersed throughout these sites (12), abundant in our metagenomes (Figure 5A) and encode the
344 3HPB pathway, it is likely that they are living autotrophically or mixotrophically *in situ*.

345 Alternatively, several of our *Chloroflexus* bins harbored a mosaic of genes involved in
346 photoautotrophy. Each *Chloroflexus* bin contained *pufL* and *pufM* genes, which would support the
347 characteristic phototrophic lifestyle of this group (54). However, three of the five *Chloroflexus* bins were
348 missing genes that are critical to the 3HPB pathway, but the bins contained *prk* or *rbcl* genes (Figure
349 4B). This suggests that a handful of our *Chloroflexus* may have lost a functional 3HPB pathway, but are
350 instead operating a modified CBB cycle to fix carbon, which has been suggested in other systems (55).
351 Previous studies have implied that photoautotrophy is a characteristic of *Chloroflexus*, while *Roseiflexus*
352 are photoheterotrophs (6,14,50,56), but our metagenome bin analysis coupled with ¹³C fractionation
353 suggest *Roseiflexus* could be contributing to carbon fixation *in situ* and that *Chloroflexus* strains could be
354 using the CBB cycle to fix carbon. Lastly, nitrogen fixation and bacteriochlorophyll synthesis genes share
355 an evolutionary history (57); therefore, we would expect that closely related species like *Roseiflexus* and
356 *Chloroflexus* would both contain these genes. Yet, our data suggest that only *Roseiflexus* encode both
357 *nifH* and *bchL*, while *Chloroflexus* contain only *bchL* genes, which is in accordance with previous work
358 (50,52,53).

359 While phototrophy in phylum Chloroflexi is thought to be limited to the class *Chloroflexales*, a draft
360 genome classified within the candidate genus *Roseilinea*, was recovered from a sulfidic spring and
361 appears to live a photoheterotrophic lifestyle (13). *Roseilinea* is within the non-phototrophic class
362 Anaerolineae and is only distantly related to *Chloroflexus* and *Roseiflexus* species. Two of our metagenome bins
363 were classified as 'Anaerolineae unclassified' (626A.11 and 626B.23) and both contain *pufL* and *bchX* sequences,
364 suggesting they are likely within the novel *Roseilinea* genus. Both of these alkaline sites were low in sulfide, which

365 differs from the acidic and sulfidic Japanese hot springs where the *Ca. Roseilinea mizusawaensis* AA3_104
 366 genome was recovered (13). Additionally, our metagenomes contained several unclassified or novel Chloroflexi
 367 bins (Figure 4, S6, Table S2), suggesting high temperature alkaline, hot springs are a suitable environment to tease
 368 apart the physiology of novel, phototrophic Chloroflexi *in situ*.

369 In summary, our metagenome data indicate a decrease in the diversity but not necessarily in the
 370 abundance of photoautotrophs with increasing temperature: genes associated with cyanobacteria (*psb* and
 371 CBB pathway genes) were more diverse at lower temperatures, but abundant across sites while key genes
 372 in the 3HPB pathway are present between 60-71°C Our data are consistent with high temperature
 373 cyanobacteria are highly adapted to specific temperatures in alkaline hot springs and suggest temperature
 374 selects for specific Chloroflexi taxa as well: *Chloroflexus* bins were most abundant in sites below 63°C
 375 while *Roseiflexus* bins that contain genes necessary for the 3HPB pathway were abundant in sites with
 376 varying temperatures. Furthermore, *nifH* genes were abundant across sites, regardless of site temperature
 377 and *Roseiflexus*-like NifH were among the most abundant in our data. While *Roseiflexus* are likely not
 378 fixing nitrogen *in situ*, *nifH*-containing *Roseiflexus* could be critical to solving the evolutionary puzzle of
 379 nitrogen fixation in bacteria. While previous work has suggested that *Roseiflexus* and *Chloroflexus* are
 380 heterotrophs and autotrophs, respectively, our work suggests that both taxa could live a mixotrophic
 381 lifestyle *in situ*.

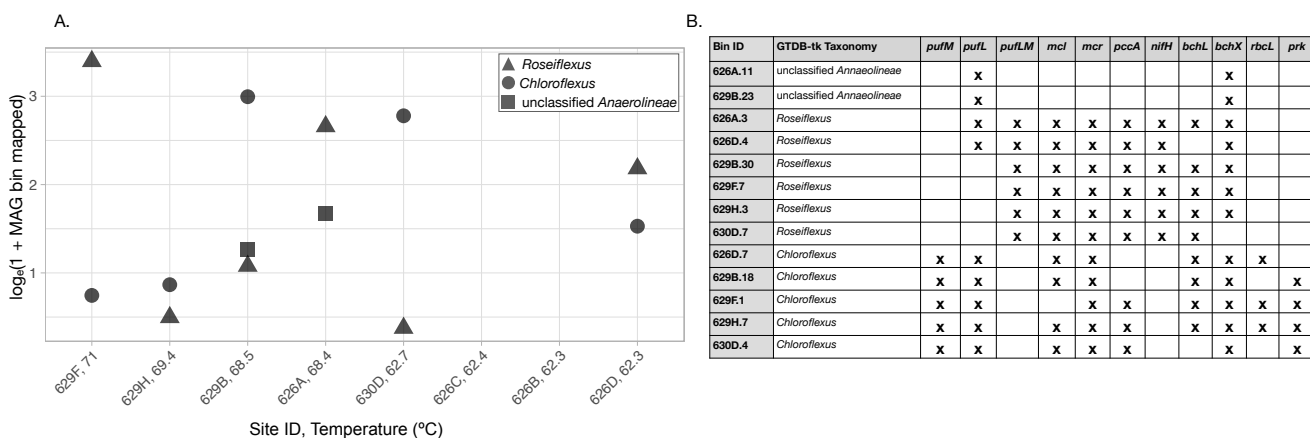


Figure 5. Phototrophic Chloroflexi bins have distinct distributions with temperature.

(A) Metagenome bins were mapped to assemblies to determine the proportion of the metagenome accounted for by that bin and natural log (1 + reads mapped) transformed. Each point represents an individual MAG (see Table S2). MAGs are plotted for each site and sites are ordered by decreasing temperature. GTDB-tk assigned taxonomy is differentiated by shape. (B) BLASTN hits for select genes in the metagenome bin. Note: *rbcS* was not recovered in any of the bins and is, thus, excluded from the table.

382

383

384 **Materials and Methods**

385 *Data collection, geochemistry and sample processing*

386 Biomass from eight sites in YNP (Table 1) were collected and processed as previously described
387 (10). Briefly, samples were collected in 2017 using sterilized forceps or pliers and stored on dry ice in
388 transit. DNA (~250mg) was extracted using the QIAgen powersoil kit following the manufacturer's
389 protocol. Sulfide, Fe²⁺, and dissolved silica were measured onsite using a DR1900 portable
390 spectrophotometer (Hach Company, Loveland, CO). Water samples were filtered through 0.2- μ m
391 polyethersulfone syringe filters (VWR International, Radnor, PA, USA) and analyzed for dissolved
392 inorganic carbon (DIC) concentration, $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ as described previously (20). Field blanks comprised
393 of filtered 18.2 M Ω /cm deionized water, transported to the field in 1-liter Nalgene bottles (acid washed as
394 described above), were collected onsite using the equipment and techniques described above.

395

396 *Metagenome sequencing, assembly and binning*

397 Total DNA for eight samples was submitted to the University of Minnesota Genomics Center (St.
398 Paul, Minnesota, UMGC) for metagenomic sequencing with an Illumina HiSeq 2500. The UMGC
399 prepared dual indexed Nextera XT DNA libraries following the manufacturer's instructions for each
400 sample. The samples were sequenced on two lanes, generating >220M 1x125 bp reads. The mean quality
401 scores were \geq Q30 for all libraries. Reads were trimmed using Sickle (v. 1.33) with a PHRED SCORE >
402 20 and a minimum length threshold of 50 (58) and assembled using SPades (v. 3.11.0) (59) using the
403 default parameters. Initial binning of metagenome assemblies was conducted using Metabat2 (v.
404 2.12.1)(60) and assessed for completeness and contamination via CheckM (v. 1.1.3) (61). Bins were
405 considered clean if they were <10% contaminated and > 70% complete – bins that did not meet this
406 threshold were further refined using DASTool (v. 1.1.2)(62). DASTool takes bins from multiple binning
407 algorithms and creates a set of 'best' bins. To run DASTool, we re-binned assemblies containing 'unclean'
408 bins with MaxBin (v. 1.2.9) (63) and CONCOCT (v 1.0.0)(64), in addition to MetaBat, and input the bins
409 from three binning algorithms into DASTool following the default settings. DASTool bins were assess for
410 quality via CheckM. Quality-filtered sequence reads mapped to each bin in a sample by the program
411 BBMap (65) were used to calculate percent mapped reads as a proxy for bin relative abundance. All bins
412 were assigned taxonomy using GTDB-tk (v 1.3.0) (66). Final bin classification and statistics are displayed
413 in Table S2. A BLASTN search using the default settings (27) for photosynthesis and *nifH* gene families
414 was conducted genes from isolate genomes (see Supplemental material).

415

416

417 *Annotation and comparison of functional genes*

418 Metagenome assemblies for eight sites (Table 1, Table S1) were submitted to the Joint Genome
419 Institute for structural and functional annotation via the DOE-JGI Microbial Genome Annotation
420 Pipeline. Briefly, open reading frames (ORFs) were predicted using Prodigal (67) and the resulting amino
421 acid sequences were assigned functional annotations. Select genes (see supplemental files for complete
422 list and KEGG IDs) involved in three carbon fixation pathways (the Calvin Cycle, 3-Hydroxypropionate
423 Bicycle, and the reverse Tricarboxylic Acid cycle), nitrogen fixation and photosynthesis were queried in
424 the annotated assemblies. Genes of interest were retrieved using search tools on the Joint Genome
425 Institute web interface and known KEGG Orthologies. Metagenome reads were mapped to each JGI ORF
426 using Bowtie2 (68). All reads were mapped at > 90% alignment rate.

427 To determine abundance of select genes involved in photosynthesis, carbon fixation, and nitrogen
428 fixation, number of reads mapped to genes of interest were calculated using the pileup.sh script in
429 BBTools (65). In order to directly compare genes of interest, genes were normalized by gene length and
430 metagenome size using the following equation:

$$431$$
$$432 \frac{\text{reads mapped to gene}}{\text{gene length}} \times \left(\sum \frac{1}{\frac{\text{reads mapped to each gene}}{\text{length of each gene}}} \right) \times 10^6$$
$$433$$
$$434$$
$$435$$

436 If multiple ORFs were assigned to a functional annotation, the normalized read abundance for that
437 functional annotation was averaged. All analysis of functional genes, plotting and statistical analysis was
438 conducted in R v3.6.1(69) using the following packages: *tidyverse* (70), *ggplot2* (71) and *vegan* (72). All
439 metagenome assemblies are available on JGI (see Table 1, Table S1).

440

441 *Phylogenomic tree construction*

442 Phylogenetic trees for both NifH and PsbA were built by compiling the proteins sequences of
443 interest from the functionally annotated metagenome assemblies with reference protein sequences.
444 Reference sequences were obtained on UniPort (73). Briefly, sequences < 200 amino acids were removed,
445 sequences were aligned with MUSCLE v3.8.31(default parameters) (74) and alignments were trimmed
446 using Gblocks (default parameters with the exception of *-b5-h*) (75). Phylogenetic analysis with bootstrap
447 support (n=1000) of trimmed, aligned protein sequences was conducted using RAxML (v. 8.2.11) (76)
448 using the PROTGAMMAJTTF substitution model. The subsequent newick file was edited using FigTree
449 (v. 1.4.4) (77) to generate trees.

450

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461

462 **AUTHOR CONTRIBUTIONS**

463 A.C.B and T.L.H. designed the study and completed the laboratory analyses. A.C.B. and T.L.H. collected
464 samples and performed the field work. A.C.B, S.K.M, E.K. and T.L.H. analyzed the data. A.C.B
465 interpreted the data and wrote the manuscript with contributions from S.K.M, E.K. and T.L.H.

466

467 **COMPETING FINANCIAL INTERESTS**

468 The authors declare no competing financial interests.

469

470 **MATERIALS & CORRESPONDENCE**

471 Correspondence and requests for materials should be addressed to T.L.H.: Trinity L. Hamilton.
472 Department of Plant and Microbial Biology, University of Minnesota, St. Paul, USA, 55108.
473 Phone: +16126256372_Email: trinityh@umn.edu

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485 **SUPPLEMENTAL FIGURE LEGENDS**

486

487 Table S1. Metagenome assembly statistics.

488

489 Figure S1. Type I reaction center genes.

490 The overall abundance (natural log of 1 + reads mapped) of type I anoxygenic photosynthesis reaction

491 centers are shown as box plots for each site. Triangles represent the mean abundance for the gene set and

492 dots represent individual gene abundances, shaded by reaction center gene. Box plot outliers were

493 removed. Sites are ordered by decreasing temperature.

494

495 Figure S2. Ratio of CBB genes with temperature.

496 The ratio of *rbcS* genes (x axis) to both *rbcL* and *prk* genes (y axis) is shown. Points are shaded by site

497 temperature.

498

499 Figure S3. rTCA cycle gene distribution with temperature.

500 The mean abundance (natural log of 1 + reads mapped) for the rTCA cycle plotted as boxplots for each

501 site. Triangles represent the mean abundance for the gene set and dots represent individual gene

502 abundances, shaded by gene. Box plot outliers were removed. Sites are ordered by decreasing

503 temperature. Kruskal-Wallis H test significance values (p-value) for each gene are displayed to the right

504 of the boxplot.

505

506 Figure S4. Distribution of *nifH* and alpha diversity of genes with temperature.

507 (A) Natural log (1 + reads mapped) for *nifH* genes recovered from each site, ordered by decreasing

508 temperature displayed as boxplots. Triangles represent the mean. (B) Distinct *nifH* genes recovered from

509 each site, ordered by decreasing temperature. Dashed vertical line separates sites > 68°C and < 63°C. (C)

510 Spearman-rank correlations for *nifH* natural log (1 + reads mapped) for temperature, molybdenum, sulfide

511 and total iron in each site. Shaded area represents the standard error, R^2 and p-values are displayed in the

512 upper right corner of each plot.

513

514 Figure S5. Abundance and alpha diversity of all Chloroflexi bins.

515 Metagenome assembled genomes (MAGs) were mapped to assemblies to determine the proportion of the

516 metagenome accounted for by that bin and natural log (1 + reads mapped) transformed for all Chloroflexi

517 bins. Phototrophic Chloroflexi includes class *Chloroflexales* and Non-Phototrophic Chloroflexi includes

518 the remaining classes. Each point represents an individual MAG (see Table S2). MAGs are plotted for

519 each site and sites are ordered by decreasing temperature. GTDB-tk assigned taxonomy (“Genus”) is
520 differentiated by shaded points. (B) Number of distinct Chloroflexi bins in each site is plotted, ordered by
521 temperature.

522

523 Table S2. Chloroflexi bins statistics determined by CheckM and GTDB-tk.

524

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