- 1 **Running Title:** Gene conversion in rice subspecies
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Title: Conversion between 100-million-year-old duplicated genes contributes to rice
 subspecies divergence

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30	
31	One-sentence summary
32	On-going gene conversion between duplicated genes produced by 100 mya polyploidization
33	contributes to rice subspecies divergence, often involving the same donor genes at

34 chromosome termini.

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### 41 Author contributions

- 42 J.W. and L.W. conceived and led the research. C.W. implemented and coordinated the
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### 47 **Competing interests**

48 The authors declare no competing financial interests.

### 50 Abstract

51 Extensive sequence similarity between duplicated gene pairs produced by

paleo-polyploidization may result from illegitimate recombination between homologous 52 chromosomes. The genomes of Asian cultivated rice Xian/indica (XI) and Geng/japonica (GJ) 53 have recently been updated, providing new opportunities for investigating on-going gene 54 conversion events. Using comparative genomics and phylogenetic analyses, we evaluated 55 gene conversion rates between duplicated genes produced by polyploidization 100 million 56 years ago (mya) in GJ and XI. At least 5.19%-5.77% of genes duplicated across three 57 58 genomes were affected by whole-gene conversion after the divergence of GJ and XI at ~0.4 mya, with more (7.77% - 9.53%) showing conversion of only gene portions. Independently 59 converted duplicates surviving in genomes of different subspecies often used the same donor 60 genes. On-going gene conversion frequency was higher near chromosome termini, with a 61 single pair of homoeologous chromosomes 11 and 12 in each genome most affected. Notably, 62 on-going gene conversion has maintained similarity between very ancient duplicates, 63 provided opportunities for further gene conversion, and accelerated rice divergence. 64 Chromosome rearrangement after polyploidization may result in gene loss, providing a basis 65 for on-going gene conversion, and may have contributed directly to restricted 66 recombination/conversion between homoeologous regions. Gene conversion affected 67 biological functions associated with multiple genes, such as catalytic activity, implying 68 opportunities for interaction among members of large gene families, such as NBS-LRR 69 disease-resistance genes, resulting in gene conversion. Duplicated genes in rice subspecies 70 generated by grass polyploidization ~100 mya remain affected by gene conversion at high 71 72 frequency, with important implications for the divergence of rice subspecies.

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Keywords: rice, polyploidization, whole-genome duplication, duplicated genes, on-goinggene conversion

### 77 Introduction

Rice is the largest food crop in the world. There are two distinct types of domesticated rice, 78 Asian rice (Oryza sativa) and African rice (Oryza glaberrima), each with unique histories of 79 domestication (Sweeney and McCouch, 2007). Asian rice is planted worldwide, feeding half 80 of the world's population as staple food and providing more than 20% of the energy for 81 human survival (Kim et al., 2008, Stein et al., 2018, Wang et al., 2018b). Xian/Indica (XI) 82 and Geng/Japonica (GJ) are the two major subspecies of rice, which diverged ~0.4 million 83 years ago (mya). The first whole-genome draft sequence of GJ cultivar 'Nipponbare', which 84 is representative of the subspecies, was obtained in 2002 (Goff et al., 2002), and genome 85 sequencing and annotation have been continuously improved (Tanaka et al., 2008). The 86 whole-genome sequence of XI (93-11) has also been deciphered (Yu et al., 2002), with 87 high-quality genome sequences of representative varieties Zhenshan 97 (XI-ZS97) and 88 Minghui 63 (XI-MH63) made available (Zhang et al., 2016). These two main varieties of XI 89 are the parents of an excellent Chinese hybrid. XI accounts for more than 70% of global rice 90 production and possesses much higher genetic diversity than GJ (Huang et al., 2010), as 91 highlighted by recent analysis of 3,010 diverse Asian cultivated rice genomes and 1,275 rice 92

93 varieties (Li et al., 2020, Wang et al., 2018b).

94 Recursive polyploidization or whole-genome duplication (WGD) is the doubling of an entire set of chromosomes in cells and is prevalent throughout the plant and animal kingdoms 95 (Frawley and Orr-Weaver, 2015). The impact of polyploidization on plant functional 96 evolution is extremely profound, facilitating rapid expansion and divergence of species (Jiao 97 et al., 2011, Puchta et al., 1996, Barker et al., 2016, Wu et al., 2020). A large number of 98 duplicated genes generated by polyploidization are distributed on the homologous 99 chromosomes of extant species, which leads to genome instability. Homoeologous 100 101 recombination may result in loss of large segments of DNA (Paterson et al., 2004, Zhuang et al., 2019), de novo functionalization of genes, subfunctionalization (Taylor and Raes, 2004), 102 or rearrangement of genomic DNA (Wang et al., 2005, Murat et al., 2017, Wang et al., 2018a, 103 Wang et al., 2017), providing material for plant evolution. At least five WGD events occurred 104 during the formation of modern cultivated rice. The two oldest are a WGD event (called  $\zeta$ ) 105 shared by seed plants (divergence  $\sim$ 310 mya) and a WGD event (called  $\varepsilon$ ) that occurred prior 106 to the appearance of the most recent common ancestor of all extant angiosperms (~235 mya) 107 (Jiao et al., 2011). Two relatively recent WGD events occurred after the formation of 108

109 monocotyledons: one ( $\tau$ ) shared by most monocotyledons at ~130 mya, and another ( $\sigma$ )

shared by Poales at ~115-120 mya (Tang et al., 2010, Paterson et al., 2004, Ming et al., 2015).

- 111 The most recent WGD event  $(\rho)$  was originally thought to have occurred before the
- divergence of major grasses (~70 mya) (Paterson et al., 2004, Wang et al., 2005); however,
- the latest fossil evidence advances this  $\rho$  event to ~100 mya (Wang et al., 2015).

Homologous recombination provides a major source of genetic innovation (Kurosawa 114 and Ohta, 2011). In plants, meiotic and mitotic recombination result in reciprocal or 115 symmetric exchange of DNA sequence information between homologous chromosomes 116 (Gardiner et al., 2019). In addition to normal genetic recombination, highly similar sequences 117 undergo frequent recombination between homologous chromosomes, which is called 118 illegitimate recombination (Wang et al., 2009). One result of this recombination is gene 119 conversion, where one gene (or DNA fragment) replaces another gene (or DNA fragment) on 120 a homologous chromosome or chromosomal region. Gene conversion between duplicated 121 genes produced by polyploidization has been identified in the genomes of *Poaceae*, *Arachis* 122 123 hypogaea, Gossypium, Brassica campestris, and Brassica oleracea (Wang et al., 2009, Wang et al., 2011, Paterson et al., 2012, Zhuang et al., 2019, Yu et al., 2013, Liu et al., 2020). Gene 124 conversion is frequent and on-going between homologous chromosomes, such as 125 homologous chromosomes 11 and 12 produced from the duplication common to grasses (p 126 event) in the modern rice genome (Wang et al., 2009, Kurosawa and Ohta, 2011, Wang and 127 Paterson, 2011, Wang et al., 2019). 128

Recombination is a mutagenic factor, and mutations lay the foundation for natural 129 selection. The main role of gene conversion is to maintain the homology or similarity of 130 duplicated sequences. Comparison between rice and sorghum clearly suggests that gene 131 conversion promotes gene divergence (Wang et al., 2009). Recombination accelerates 132 mutation, with gene conversion playing an important role (Guo et al., 2013). Gene conversion 133 of functional sequences and new mutations produced by related homologous recombination 134 may affect gene function. Sequences encoding functional domains are converted more 135 frequently than those encoding non-functional domains (Wang et al., 2007). Gene conversion 136 and DNA duplication may facilitate functional innovation through gene extension and 137 mutations in structural domains of disease-resistance genes (Ratnaparkhe et al., 2011). Gene 138 conversion between chromosomes 11 and 12 of rice has been accompanied by 139 subfunctionalization or purifying selection of genes related to spikelet abortion (Zhang et al., 140 141 2011), lipid transfer genes (Jang et al., 2008, Wang et al., 2012), two recessive vellowing

142 control genes (Mao et al., 2011), genes encoding cyclic C2-type proteins (Jung et al., 2012),
143 and the zinc-inducible promoter family (Ricachenevsky et al., 2011).

Our knowledge of gene conversion between paralogous genes in the two rice subspecies 144 (Wang et al., 2007) is based on outdated genomic data (ver. 4), and the imperfections in 145 genome sequencing assembly and annotation particularly may have implications for gene 146 conversion analysis. Here, we used the latest genomic data and recent approaches for 147 resolving genomic homology (Wang et al., 2018a) to identify paralogous genes generated by 148 WGD event  $(\rho)$  in three rice genomes representing the two major subspecies. We then 149 combined this with comparative and phylogenetic genomics to establish an improved method 150 for inferring gene conversion. We evaluated the ratio, level, and pattern of gene conversion in 151 three rice genomes and explored the effects of this process on genome evolutionary rate, gene 152 function innovation, chromosome structure, and genome stability. 153

### 155 **Results**

### 156 Intra/intergenomic homologous genes

We performed genomic colinearity and structure analysis and identified duplicated genes 157 generated by the WGD event common to grasses in the GJ, XI-MH63, and XI-ZS97 genomes. 158 For blocks containing more than four colinear genes, there were more duplicated genes in GJ 159 (3,314 pairs) than in XI-MH63 or XI-ZS97 (2,629 pairs and 2,889 pairs, respectively). We 160 identified 46, 18, and 10 homologous blocks with more than 10, 20, and 50 colinear gene 161 162 pairs in GJ, respectively. XI genomes had much shorter duplicated blocks, with fewer than 10 blocks possessing more than 50 colinear gene pairs (Supplemental Table 1). We also used a 163 164 bidirectional best BLAST homology search to identify homologous gene pairs residing in paralogous regions because some pairs might have been removed from the colinearity 165 analysis. Finally, 3,256, 2,502, and 2,816 homologous gene pairs were identified in GJ, 166 XI-MH63, and XI-ZS97, respectively (Figure 1). Compared with GJ, the XI varieties had 167 fewer homologous genes because XI has experienced more chromosomal rearrangement 168 events. 169

We used colinearity and structure analysis of intergenomic homologous genes to infer 170 orthologous genes generated by the recent species divergence (Supplemental Table 1). 171 Colinearity analysis identified 19,089 orthologous gene pairs in 103 blocks between the GJ 172 173 and XI-MH63 genomes. Between GJ and XI-ZS97, there were 18,498 orthologous gene pairs 174 in 119 blocks. The two varieties of XI, XI-MH63 and XI-ZS97, showed better colinearity, with 25,262 orthologous gene pairs between them in 146 blocks. We again performed a 175 176 bidirectional best BLAST homology search among the three genomes to identify additional orthologous genes. There were 23,719 orthologous gene pairs between GJ and XI-MH63, and 177 23,056 orthologous gene pairs between GJ and XI-ZS97. Since XI-MH63 and XI-ZS97 are 178 more closely related, we identified 35,049 orthologous gene pairs between the genomes of 179 these varieties (Supplemental Table 2). 180

#### 181 Homologous gene quartets

To detect possible gene conversion between homologous genes produced by WGD, we used homology and colinearity information to identify homologous gene combinations for WGD and species divergence, which we defined 'homologous gene quartets.' Assuming that the genomes of two species ('O' and 'S') retain a pair of duplicated chromosomal generated in a

common ancestor through WGD, the paralogous genes O1 and O2 in species O and the 186 respective orthologous genes S1 and S2 in species S constitute a homologous gene quartet 187 (Figure 2A). Sequence similarity between orthologous gene pairs is more similar than that 188 between paralogous gene pairs if there is no gene conversion (or nonreciprocal recombination) 189 between the duplicated gene pairs after species divergence (Figure 2B). However, if gene 190 conversion occurs between duplicated genes, we might find that the gene tree topology has a 191 different structure than expected (Figure 2C-E). Changes in the topological structure of the 192 gene tree can be determined from the similarity of homologous sequences in homologous 193 194 gene quartets. As the gene sequence may be converted in whole or in part, we used different methods to infer whole-gene conversion (WCV) and partial-gene conversion (PCV) (see 195 Materials and Methods for details). 196

Based on colinearity information of intragenomic and intergenomic homologous genes, 197 we identified 2,788 quartets between GJ and XI-MH63, and 2,879 quartets between GJ and 198 XI-ZS97. Although XI-MH63 and XI-ZS97 are varieties of the same subspecies, relatively 199 200 few quartets (2,566) were identified between them, probably due mainly to differences in gene loss after the three genomes diverged. By comparing the three genomes, we inferred a 201 possible ancestral gene content before divergence of 19,104. Rates of gene loss or 202 translocation were 6.13%, 13.31%, and 7.89% in GJ, XI-MH63, and XI-ZS97, respectively. 203 Finally, we identified 3,332, 3,322, and 3,254 homologous genes in GJ, XI-MH63, and 204 XI-ZS97, respectively. These homologous genes were mainly conserved in 82, 85, and 93 205 blocks, and they were unevenly distributed across the 12 chromosomes in the three genomes 206 (Figure 1). 207

#### 208 Gene conversion and occurrence patterns

We removed highly divergent sequences to reduce the possibility of inferring gene 209 210 conversion events from unreliable sequences (see Materials and Methods for details). After this, 2,788 gene quartets were identified between GJ and XI-MH63, 2,879 quartets between 211 GJ and XI-ZS97, and 2,566 quartets between XI-ZS97 and XI-MH63 (Supplemental Table 212 2). We used two methods to infer gene tree topology, one based on synonymous nucleotide 213 substitution rate (Ks) as a similarity measure and the other based on amino acid identity ratio, 214 which we called whole-gene conversion type I and type II (WCV-I and WCV-II), respectively. 215 We used a combination of dynamic planning and phylogenetic analysis to infer possible 216 partial-gene conversion (PCV) events (Supplemental Table 3). Since paralogous gene pairs 217

may be identified in different quartets, we merged the paralogous gene pairs affected by gene
conversion in each genome. This gave us the gene conversion events of each genome after
the divergence of rice.

In GJ, 398 pairs (~12%) of paralogs had been converted. Of these, 179 pairs (5.37%) 221 had undergone WCV: 11 pairs were inferred by WCV-I and 168 pairs were inferred by 222 WCV-II. Another 259 pairs (7.77%) were PCVs, which occurred at a remarkably higher rate 223 than WCV. In XI-MH63, 466 pairs (~14%) of paralogs had been converted, of which 182 224 pairs (5.48%) were WCVs: 8 pairs were inferred by WCV-I and 174 pairs were inferred by 225 WCV-II. Another 312 pairs (9.39%) were PCVs, which was significantly higher than WCVs. 226 In XI-ZS97, 468 pairs (~14%) of paralogs had been converted: 185 pairs (5.69%) were 227 WCVs, comprising 8 pairs inferred by WCV-I and 177 pairs inferred by WCV-II. Another 228 310 pairs (9.53%) were PCVs, which was also more than the number of WCVs (Table 1). For 229 230 example, we detected gene conversion between the paralogous genes  $Z_{s11g0407.01}$  and Zs12g0396.01, and one gene fragment from 335 to 462 bp was converted through one-way 231 232 genetic information transmission (or rearrangement) (Figure 3A). We discovered that the gene conversion rate in XI was significantly higher than that in GJ (Figure 3B). By analyzing 233 topological changes in the gene trees reconstructed using homologous genes, we further 234 determined that gene conversion occurred between *Mh11g0214.01* and *Mh12g0189.01* 235

236 (Figure 3C; Supplemental Text).

#### 237 High-frequency on-going gene conversion

By comparing the similarity of homologous gene quartets between different genomes, we 238 inferred gene conversion events in the three genomes during different evolutionary periods. 239 Duplicated gene pairs produced ~100 mya were still being affected by gene conversion. In GJ, 240 we identified 398 pairs of paralogous genes that might have undergone gene conversion after 241 the divergence of rice (Figure 3D). The amino acid identity of four (1.01%) pairs of 242 paralogous genes was > 99%, with Ks < 0.01 (Supplemental Figures 1 and 2). A relatively 243 large number of duplicated genes were affected by gene conversion in the two XI varieties, 244 XI-MH63 and XI-ZS97. In XI-MH63, we found 466 pairs of paralogous genes that might 245 have undergone gene conversion (Figure 3D) after the divergence of rice subspecies; six 246 (1.29%) of these pairs of paralogous genes had > 99% amino acid identity between them and 247 Ks < 0.01 (Supplemental Figures 1 and 2). Similarly, we identified 471 pairs of paralogous 248 genes in XI-ZS97 that might have undergone gene conversion after GJ diverged from XI 249

(Figure 3D), and six (1.27%) of these pairs of paralogous genes had > 99% amino acid identity between them and Ks < 0.01 (Supplemental Figures 1 and 2). We identified small synonymous and nonsynonymous nucleotide substitutions and high sequence identity between duplicated gene pairs in which gene conversion had occurred, suggesting that gene conversion may have occurred over a very short time.

Another striking indication was that 407 and 391 pairs of paralogous genes were

affected by gene conversion before the formation of XI-MH63 and XI-ZS97, respectively; 78

and 79 pairs of paralogous genes were converted after formation of the two varieties,

accounting for 16.7% and 16.6% of the total gene conversion, respectively (Figure 3D).

259 Duplicated genes in XI-MH63 and XI-ZS97 sharing a homologous region showed nearly 99%

amino acid identity and 0.99 nonsynonymous nucleotide substitution rate (Ks)

261 (Supplemental Figures 1 and 2). These data suggest that gene conversion between

262 paralogous gene pairs is on-going and occurs at high frequencies in rice subspecies.

#### 263 A donor is usually a donor

Gene conversion involves a donor locus and an acceptor locus. Donors and acceptors can be 264 265 identified by comparing topological changes in the phylogenetic trees of homologous gene quartets since the paralog of the donor should be more similar than its ortholog. Donors have 266 267 at least 30% more converted sites than acceptors. We found that 765, 934, and 930 duplicated genes had been converted in GJ, XI-MH63, and XI-ZS97, respectively, with 196, 215, and 268 269 200 of these representing donors. A total of 1,520 duplicated genes had been converted in the three genomes, with 1,378 (90.66%) of these converted in two or three genomes. Interestingly, 270 271 113 (88.98%) genes had preferred donors in at least two genomes, and 85 (66.93%) genes 272 had the same donor in the three genomes (Supplemental Table 4). This suggested that the duplicated gene that had undergone gene conversion was usually present as a donor locus in 273 each different genome (Figure 4A). For example, in the region of ~1.0 Mb near the telomere 274 on chromosomes 11 and 12, gene conversion had occurred in 13 duplicated genes. Twelve 275 duplicated genes had undergone gene conversion in at least two genomes. Ten duplicated 276 genes were present as donors, and seven duplicated genes acting as donors in different 277 genomes (Figure 4B). 278

#### 279 Gene conversion and uneven distribution

280 Gene replacement and conversion were unevenly distributed across the different paralogous

homologous chromosomal regions, and all three genomes were most affected by gene 281 replacement and conversion between duplicated genes on chromosomes 11 and 12. The gene 282 conversion rate was 18.88%, 21.78%, and 18.71% on chromosomes 11 and 12 of GJ, 283 XI-MH63, and XI-ZS97, respectively (Supplemental Table 5). In GJ, XI-MH63, and 284 XI-ZS97, gene conversions were clustered in the 2 Mb region at the termini of chromosomes 285 11 and 12, and the gene conversion rate was 74.60%, 67.11%, and 73.02%, respectively. This 286 suggests that gene conversion usually occurs at the termini of chromosomes. (Figure 1D). 287

The physical location of genes on chromosomes may influence the chance of gene 288 conversion. Gene conversion is usually found at the termini of chromosomes, where gene 289 density is high (Figure 1; Table 2). In GJ, 692 paralogs were located in the 2 Mb at the 290 291 termini of chromosomes and about 17.20% of the paralogs were converted. This was higher than the gene conversion rate for the whole genome (12.09%). In XI-MH63, we found 584 292 293 paralogs in the 2 Mb at the termini of chromosomes, and approximately 25.34% showed gene conversion, which was also higher than the gene conversion rate for the whole genome 294 295 (18.57%). In XI-ZS97, there were 675 paralogs located in the 2 Mb close to the termini of chromosomes, of which about 20.59% had undergone gene conversion, which was higher 296 than the gene conversion rate for the whole genome (16.62%). We found that the physical 297 location of genes on chromosomes may correlate with the chance of gene conversion, with 298 genes near the chromosomal termini more frequently affected by gene conversion. 299

#### Effect of chromosome rearrangement on gene conversion 300

307

Chromosome rearrangement is a random process, and block number in the genome can 301

- reflect the degree of chromosome rearrangement after polyploidization. Block number and 302
- gene conversion rate showed a positive correlation (Supplemental Table 6) in XI-MH63 ( $\mathbb{R}^2$ 303
- = 0.22, P-value = 0.12), XI-ZS97, and GJ. However, there was no significant positive 304

correlation in the three genomes (Figure 5A). If four special homologous chromosomes 305

- (homologous chromosome pairs 1-5 and homologous chromosome pairs 11-12) were 306
- removed, there was a significant positive correlation between block number and gene conversion rate in XI-MH63 ( $R^2 = 0.85$ , P-value < 0.01). There was also a significant positive 308
- correlation between block number of the chromosomes and gene conversion rate in XI-ZS97 309
- $(R^2 = 0.75, P-value < 0.01)$  and GJ  $(R^2 = 0.74, P-value < 0.01)$  (Figure 5B). 310
- Correlation does not imply a direct factor leading to gene conversion. For this reason, 311 we further analyzed the relationship between block length and gene conversion rate on each 312

chromosome (Supplemental Table 7). We found that longer blocks had a higher gene 313 conversion rate (Supplemental Figure 3). The average gene conversion rate for a total of 14 314 blocks with more than 100 paralogous gene pairs was 14.12% (349 pairs). The block with 315 fewer than 20 paralogous gene pairs was block 219, with a gene conversion rate of 11.77% 316 (178 pairs). These results indicate that the direct result of chromosome rearrangement is the 317 loss of duplicated genes, which may increase the chances of gene conversion. However, 318 chromosome rearrangement may also reduce recombination between chromosomes and 319 inhibit gene conversion. 320

#### 321 Gene conversion and evolution

Gene conversion homogenizes paralogous gene sequences. This makes the affected 322 homologous genes appear younger than expected, based on sequence divergence with one 323 another. The synonymous substitution rate (Pn) and nonsynonymous substitution rate (Ps) 324 between paralogs undergoing gene conversion were smaller than those of paralogs not 325 affected by gene conversion (Table 3). In GJ, the average Pn=0.20 and Ps=0.46 for converted 326 genes were significantly smaller than the average Pn=0.25 and Ps=0.51 for genes not 327 converted. The average Pn=0.18 and Ps=0.44 for XI-MH63 gene conversion were 328 significantly smaller than the average Pn=0.23 and Ps=0.49 for XI-MH63 genes with no 329 conversion. XI-ZS97 gene conversion had average Pn=0.18 and Ps=0.45, which was 330 significantly smaller than the average Pn=0.24 and Ps=0.49 for genes showing no conversion. 331 We could not determine whether converted genes evolve slowly based on the paralogs 332 themselves, since pairwise distances between paralogs are converted. However, Pn and Ps 333 were slightly larger between orthologous gene pairs affected by gene conversion than 334 between orthologs not showing gene conversion. This suggests that the orthologs in which 335 gene conversion has occurred have evolved faster than those not affected by gene conversion. 336

We used Ps and Pn for determining whether gene conversion was affected by 337 evolutionary selection pressure. The ratio of Pn/Ps reflects the selection pressure between 338 gene pairs during evolution. We compared the Pn/Ps ratio between genes subjected to 339 conversion and those with no conversion. The average Pn/Ps ratio for XI-MH63 gene 340 conversion was 0.41, and the average Pn/Ps ratio of non-converted paralogs was 0.48. This 341 indicates that converted genes were subject to purifying selection (Table 3). The Pn/Ps ratios 342 for gene conversion in XI-ZS97 and GJ were also smaller than those for non-converted genes. 343 The selection pressure for gene conversion or no gene conversion did not change much. 344

However, there was not much difference in the selection pressure between orthologous gene
pairs with and without gene substitution. No evidence suggests a change in selection pressure
of converted genes.

348 **On-going gene conversion and function** 

Some duplicated genes are preferentially converted. We performed Gene Ontology (GO) 349 350 analysis to relate duplicated genes to biological functions. The GO analysis revealed that some genes with specific functions may be preferred for conversion, while gene conversion 351 of some functional genes is avoided (Supplemental Figures 4-6; Supplemental Table 8). 352 We analyzed 761, 910, and 912 duplicated genes with gene conversion and 5,262, 5,224, and 353 5,135 duplicated genes without gene conversion in GJ, XI-MH63, and XI-ZS97, respectively. 354 Genes involved in functions associated with large numbers of genes (catalytic activity, 355 metabolic process) were biased toward gene conversion in the three genomes. By contrast, 356 some genes associated with functions encoded by few genes (protein-containing complex, 357 transporter activity) might have avoided gene conversion. 358

GO analysis of duplicated genes with and without gene conversion suggested that genes 359 360 associated with functions encoded by a large number of genes are more biased towards gene conversion (Table 4). Four secondary-level terms were significantly enriched at the level of 361 molecular function and biological processes, and accounted for about 30% of the 362 corresponding gene sets. For example, the number of catalytic activity genes and metabolic 363 process genes in the three genomes in which gene conversion occurred (31.4% - 37.7%) was 364 significantly more than that in which no gene conversion occurred (26.6% - 30.6%) (P-value 365 < 0.01). Similarly, binding genes and cellular process genes showed higher gene conversion 366 (27.4% - 39.9%) than duplicated genes without gene conversion (24.6.6% - 38.4%), 367 suggesting that they are more likely to be converted. 368

#### 369 Evolution and conversion of NBS-LRR genes

370 Rice diseases caused by various pathogens are one of the most serious constraints in global

rice production (Divya et al., 2014). Disease resistance genes play a very important role in the

evolution of plant genomes and are one of the indispensable families of genes for survival of

plants under natural selection (Keen, 1992, Bertioli et al., 2016). We therefore identified

- 1,697 NBS-LRR (nucleotide binding site-leucine rich repeat) resistance genes in the three
- genomes (Supplemental Table 9). Among these, we identified 462 NBS-LRR genes in GJ,

less than in XI-MH63 (644) and XI-ZS97 (591). The NBS-LRR genes were unevenly 376 clustered on the chromosomes of the three genomes. The density on chromosome 11 was the 377 highest, as confirmed in previous studies (Zhang et al., 2014, Stein et al., 2018). We found 378 113 (24.46%), 126 (21.32%), and 181 (28.11%) NBS-LRR genes on chromosome 11 of GJ, 379 XI-MH63, and XI-ZS97, respectively. There were more NBS-LRR genes on chromosome 11 380 than on the other chromosomes (3.68% - 10.66%). 381 GO analysis of NBS-LRR genes in the genomes revealed enrichment mainly in terms 382 associated with molecular function and biological process (Supplemental Figure 7). In GJ, 383

XI-MH63, and XI-ZS97, 97%, 91.1%, and 93.1% of genes, respectively, were involved in 384 binding (P-value=0.01) (Supplemental Table 10). Therefore, the NBS-LRR genes may be 385 associated with the molecular function of binding and might be biased toward the occurrence 386 of gene conversion. Polyploidization may also result in expansion of NBS-LRR genes, with 387 ectopic recombination causing the NBS-LRR genes to further undergo a birth-to-death 388 process. Evolutionary analysis of the NBS-LRR genes revealed 25, 67, and 39 young genes 389 390 with *Ks* < 0.1 in the three genomes (**Figure 6A-C**). Most of the NBS-LRR genes were generated after the divergence of rice subspecies, and clusters of young NBS-LRR genes 391 were found on chromosomes 2 and 11. These NBS-LRR genes showed a pattern of proximal 392 localization and young origin in the three genomes, as well as similarity in gene conversion. 393 We found a positive correlation between NBS-LRR genes and converted genes in regions 394 with more than 1% of the NBS-LRR genes in the three genomes. This suggested that during 395 their evolution, NBS-LRR genes might have had many chances to interact with one another, 396 leading to gene conversion. (Figure 6D). 397

### 398 **Discussion**

### 399 On-going conversion between duplicated genes

Recombination between neo-homologous chromosome pairs or homologous chromosome
pairs resulting from WGD has existed throughout a long evolutionary history, generated a
large number of chromosomal rearrangements (Murat et al., 2010, Bowers et al., 2003, Murat
et al., 2014). This recombination can persist for a long time, maybe even hundreds of millions
of years (Wicker et al., 2015). Previous studies have illustrated that many duplicated genes
from WGD events about 100 mya are affected by illegitimate recombination and gene
conversion (Jacquemin et al., 2009, Jacquemin et al., 2011). In some genomic regions, this

407 effect persists for millions of years, especially on chromosomes 11 and 12 of rice (Wang et al.,

408 2007). We used new, high-quality genomic data to analyze the genome sequences of GJ,

- 409 XI-ZS97, and XI-MH63, revealing the level and pattern of gene conversion in all
- 410 homologous genes of modern crop rice during domestication and improvement. Study of
- 411 gene conversion after the divergence of rice subspecies and after the divergence of the two XI
- 412 varieties revealed a shared region of gene substitution between XI-MH63 and XI-ZS97. This
- suggests that gene conversion may be on-going for a long time in the evolution of species and
- 414 continue to provide a driving force in genome evolution and genetic innovation.

#### 415 Gene conversion has contributed to cultivated rice divergence

Gene conversion is the result of recombination. Classical theoretical studies point out that 416 recombination accelerates mutation (Koszul and Fischer, 2009, Jacquemin et al., 2011). Gene 417 conversion may therefore play an important role in recombination, and we used the results of 418 the new data analysis to further confirm this conclusion. We identified that the Ks between 419 orthologous genes showing gene conversion was significantly smaller than that of 420 orthologous genes without conversion. This suggests that genes having undergone gene 421 conversion may have evolved more rapidly, which has been demonstrated by previous studies 422 (Chen et al., 2007, Wang and Paterson, 2011). Gene conversion is one of the major 423 mutational mechanisms in the evolution of species. Gene conservation can provide 424 opportunities for gene conversion (Cossu et al., 2017). Our results showed that 46% of 425 ancient gene conversions may have again undergone gene conversion more recently after the 426 divergence of rice subspecies. Gene conversion is an accelerating force in the genetic 427 evolution of mutations. After gene conversion, these genes restart the evolutionary process 428 and accelerate the divergence of rice subspecies. 429

#### 430 Gene conversion and chromosome rearrangement

Our results showed that the degree of chromosome rearrangement and gene conversion rate 431 432 are positively correlated. However, gene conversion is not necessary for the survival of the species, as most grass species have undergone massive chromosome rearrangements (Murat 433 et al., 2010, Wang et al., 2019). Previous reports suggest that the occurrence of a large 434 inversion in the short arm before the rice-sorghum divergence may suppress gene conversion, 435 with the lowest rate of gene conversion occurring between chromosomes 1 and 5 in rice 436 (Wang et al., 2009, Paterson et al., 2009). However, we did not find the lowest rates of gene 437 438 conversion in the three genomes of rice subspecies between chromosomes 1 and 5, possibly

because chromosome recombination may be stage-specific. Shorter homoeologous regionsare a modern state resulting from historical evolution. We found more chromosomal

rearrangements in XI than GJ, which may lead to gene loss, and relatively more gene

- 442 conversion. Chromosome rearrangement might result in gene loss and thus provide
- 443 conditions for on-going gene conversion. Chromosome rearrangement might have directly
- 444 contributed to restriction of recombination/conversion between homoeologous regions.

### 445 Why is a donor usually a donor?

Gene conversion is to copy one gene sequence from a donor locus to a receptor locus (Harpak 446 et al., 2017). Analyzing the scale of gene conversion helps to illuminate the mechanism of 447 gene conversion (Cossu et al., 2017). We found that independent conversions that have 448 survived (so far) in different lineages have often used the same genes as donors. It seems 449 improbable to attribute this to selection, noting that the donor and acceptor copies have 450 coexisted in the genome for 100 million years. A more plausible explanation is that one gene 451 copy has some 'privileged' nature over the other. This could be genetic or epigenetic. If one 452 copy or its neighboring region possesses mutations or epigenetic changes, the other copy 453 might be more likely to act as a donor, helping to reinstate intactness. Moreover, some 454 homologous chromosomal segments also seem to be preferential donors rather than acceptors. 455 Mechanisms underlying these biases remain unknown, but an exciting future investigation 456 will be to explore epigenetic phenomena such as have been suggested to influence patterns of 457 gene retention/loss along chromosome segments (Woodhouse et al., 2010). 458

### 459 Gene conversion and function

Gene conversion leads to genes similar or even identical in sequence. The analysis above 460 indicates that large gene families may be more susceptible to gene conversion. Duplicated 461 copies may neutralize the presence of putative mutations, providing an opportunity for 462 functional innovation (Daugherty and Zanders, 2019). Rather than being a conservative factor 463 among different genotypes, gene conversion accelerates divergence (Wang et al., 2011). Gene 464 conversion has been used to explain the evolution of large gene families, such as NBS-LRR 465 genes and rRNA genes, which typically have dozens of copies on chromosomes (Okuyama et 466 al., 2011, Nawrocki and Eddy, 2013, Rooney, 2004). Extensive analysis has shown that the 467 evolution of functional genes that are members of large families may often be accompanied 468 by strong purifying selection. Until 1990, most multigene families were thought to have 469 470 coevolved with related homologous genes through gene conversion (Godiard et al., 1994).

Evolution of the NBS-LRR gene family, rRNA gene family, and some other highly conserved
gene families may be consistent with this conclusion. For these families, most genes are
usually extremely similar. However, the evolution of other gene families may be better
explained by the birth-and-death model. New genes are created through gene duplication, and
some genes remain in the genome for a long time while others may be lost (Finet et al.,
2019).

477

### 478 Materials and Methods

#### 479 Sequence data

- 480 Genomic sequence data for XI-MH63 and XI-ZS97 were obtained from the GenBank
- 481 database (<u>https://www.ncbi.nlm.nih.gov/</u>). Genomic data for GJ 'Nipponbare' and
- 482 Arabidopsis thaliana were downloaded from genome databases Gramene
- 483 (<u>http://www.gramene.org/</u>) and TAIR (<u>https://www.arabidopsis.org/</u>), respectively.

### 484 Detection of duplicated segments and homologous gene quartets

BLASTP (Camacho et al., 2009) was used to search for intragenomic and intergenomic 485 homology of protein sequences (E < 1e-5). ColinearScan (Wang et al., 2005) was used to 486 analyze colinear regions based on gene homology predictions, and the significance of 487 colinearity was tested. Colinear intragenomic and intergenomic chromosome fragments were 488 inferred from analysis of homologous genome structures, and homologous and colinear genes 489 490 were determined. Blocks of homologous genome structure within and between rice subspecies were also deduced. These blocks might represent paralogs produced by WGD 491 492 events in the common ancestor or orthologs caused by species divergence. To determine homology and colinearity between chromosomes, genes in large gene families were removed 493 494 from the ColinearScan analysis. Therefore, to obtain more complete homology information within genomes, further bidirectional best BLASTP homology searches were performed on 495 the three genomes. Gene quartets were inferred from intragenomic and intergenomic paralogs 496 and orthologs. 497

#### 498 Inference of gene conversion

499 To infer possible gene conversion between paralogs, ClustalW (Larkin et al., 2007)

comparison of the quartets identified between any two genomes was performed. Highly
divergent sequences were removed to eliminate potential problems created by inferring gene
conversion from unreliable sequences. Quartets showing gaps in the pairwise alignments
exceeding 50% of the alignment length, or with amino acid identity between homologous
sequences of less than 40% were removed.

Whole-genome conversion (WCV) inference: Since paralogous genes arise before 505 species divergence, the similarity between orthologous gene pairs in two species should be 506 higher than the similarity between paralogous gene pairs. However, gene conversion events 507 change the similarity between gene pairs. The first whole-genome conversion inference 508 method (WCV-I) used was based on studying the homology relationship between genomes, 509 using Ks value as a similarity measure. The Ks values between paralogous and orthologous 510 gene pairs were used to infer possible gene conversion, and 1000 bootstrap tests were 511 performed on all gene trees in which gene conversion occurred to obtain the confidence level 512 for each gene (Wang et al., 2009, Wang and Paterson, 2011). The second whole-genome 513 514 conversion inference method (WCV-II) calculated the ratio of amino acid locus identity between homologous gene pairs, and compared point-by-point homology between paralogous 515 gene pairs and between orthologous gene pairs. These sequences were used to infer possible 516 changes to evolutionary tree topology, depending on whether the paralogous genes were more 517 similar to each other than orthologous genes (Wang et al., 2009). This is a strict criterion, as 518 paralogs were produced at least 100 mya from a WGD, whereas orthologs have diverged 519 more recently. Instead of using Ks values as a metric here, identical sites between 520 homoeologous sequences were calculated directly. The similarity between sequences 521 representing different rice subspecies is often very high, as in a previous study of hexaploid 522 wheat (Liu et al., 2020). 523

Partial-gene conversion (PCV) inference: Quartets were used to identify possible gene 524 conversion among partial gene sequences that may occur after species divergence. A 525 combination of dynamic planning and phylogenetic analysis was used to document the 526 differences between two aligned bases from paralogous and orthologous genes for each 527 genome. In averaged distance arrays, the paralogs in each species should be more distant if 528 no PCV was involved. Bootstrap frequency was obtained by repeating the 1000 bootstrap 529 tests to identify high-scoring segments with shorter lengths and smaller scores. After masking 530 some of the larger fragments, a recursive procedure revealed shorter high-scoring fragments, 531 532 which helped to reveal genes affected by multiple gene conversion events (Wang et al.,

### 533 2009).

#### 534 GO enrichment analysis

- 535 The GO data search software InterProScan (Jones et al., 2014) was used to determine
- whole-genome GO functional annotation. GO annotation results of the gene sets were
- compared and plotted using the online visualization tool WEGO (Ye et al., 2018) to visualize
- the distribution of functional genes and trends. The significance of the enrichment of
- 539 GO-annotated genes was explained using calculated P-value.

#### 540 Identification of disease-resistance genes

- 541 The comparison software HMMscan (Eddy, 2011) was used to identify NBS-LRR domains in
- the whole genomes of GJ, XI-MH63, and XI-ZS97, and NBS-LRR gene set A was obtained.
- 543 The whole genome of the model organism *Arabidopsis thaliana* was searched for the
- 544 NB-ARC domain (PF00931) using HMMsearch (Eddy, 2011) to identify NBS-LRR domains
- with E-value of 1e-10. After obtaining the NBS-LRR genes of Arabidopsis thaliana,
- 546 BLASTP was used to compare these sequences with the whole genomes of GJ, XI-MH63,
- and XI-ZS97. Genes with a score value of > 150 and E-value > 1e-10 were designated
- 548 NBS-LRR gene set B of the rice subspecies. Genes present in both gene sets A and B were
- identified as NBS-LRR genes in the three genomes.

#### 550

### 551 Accession Numbers

- 552 Sequence data from this article can be found in Materials.
- 553

## 554 Supplemental Data

- 555 **Supplemental Text** Gene conversion and occurrence patterns.
- 556 Supplemental Figure 1. Distribution of amino acid identity between duplicated genes in
- 557 *Oryza* subspecies genomes.
- 558 **Supplemental Figure 2.** Distribution of synonymous nucleotide substitution percentage (Ps)
- between syntenic paralogs in duplicated blocks of *Oryza* subspecies genomes.

- 560 Supplemental Figure 3. Relationship between length of blocks on each chromosome and
- 561 rate of gene conversion.
- 562 Supplemental Figure 4. Histogram of Gene Ontology (GO) statistics for duplicated genes
  563 with and without gene conversion in GJ.
- Supplemental Figure 5. Histogram of Gene Ontology (GO) statistics for duplicated genes
  with and without gene conversion in XI-MH63.
- Supplemental Figure 6. Histogram of Gene Ontology (GO) statistics for duplicated genes
  with and without gene conversion in XI-ZS97.
- Supplemental Figure 7. Histogram of Gene Ontology (GO) statistics of NBS-LRR genes in
   GJ, XI-MH63 and XI-ZS97.
- Supplemental Table 1. Number of homologous genes and blocks in GJ, XI-MH63, andXI-ZS97.
- 572 Supplemental Table 2. Identified quartets and gene conversion in GJ, XI-MH63, and573 XI-ZS97.
- 574 **Supplemental Table 3.** Gene conversion of quartets in the three rice subspcies genomes.
- 575 **Supplemental Table 4.** Homology of donor locus and acceptor locus in gene conversion.
- 576 Supplemental Table 5. Distribution of paralogs and gene conversion GJ, XI-MH63, and577 XI-ZS97.
- 578 Supplemental Table 6. Relationship between the block number and the gene conversion rate579 in GJ, XI-MH63, and XI-ZS97.
- Supplemental Table 7. Relationship between the block length and the gene conversion ratein the three rice subspecies genomes.
- Supplemental Table 8. GO analysis of gene conversion and non-gene conversion in GJ,
  XI-MH63, and XI-ZS97.
- Supplemental Table 9. NBS-LRR gene counts by chromosome in GJ, XI-MH63, andXI-ZS97.
- 586 Supplemental Table 10. GO annotation analysis of NBS-LRR genes in GJ, XI-MH63, and

588

### 589 **Competing interests**

590 The authors declare no competing financial interests.

591

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595

- 596 Legends
- **Table 1.** Converted paralogs in GJ and XI genomes (XI-MH63 and XI-ZS97).

598

**Table 2.** Relationship between gene physical location and gene conversion

600

**Table 3.** Nucleotide substitution rates of quartets in rice subspecies

602

Table 4. Function comparison of genes subjected to conversion or not in GJ, XI-MH63, andXI-ZS97.

605

Figure 1. Genome duplications and conversion patterns in three rice subspecies genomes.
Lines show duplicated gene pairs between chromosomes in three genomes. Colored lines
indicate gene-conversion pairs; grey lines indicate non-gene-conversion pairs. (A) Gene
duplication and gene conversion in GJ. (B) Gene duplication and gene conversion in

XI-MH63. (C) Gene duplication and gene conversion in XI-ZS97. (D) Gene duplication and
gene conversion on chromosomes 11 and 12 of GJ, XI-MH63, and XI-ZS97.

612

Figure 2. Gene conversion events were inferred by construction of homologous gene quartets 613 and changes in phylogenetic tree topology. (A) Colinear chromosomal segments from two 614 615 genomes (O and S), represented by rectangles of different colors. Arrows show genes, and homologous genes are indicated by the same color. Homologous gene quartets are formed by 616 paralogous genes O1 and O2 in one genome and their respective orthologs S1 and S2 in the 617 other genome. (B-E) Squares symbolize a WGD event in the common ancestral genome; 618 circles symbolize species divergence. (B) The expected phylogenetic relationship of the 619 homologous genes if no conversion occurs. (C) O2 (an acceptor) is converted by O1 (a 620 donor). (D) S1 is converted by S2. (e) Both of the above conversions occur. 621

622

**Figure 3.** Evolution of gene conversion. (A) Sequence alignment for a homologous gene quartet. The nucleotide sequence from 335 to 462 bp of Zs12g0396.01 and Zs11g0407.01 has undergone gene conversion, with Zs11g0407.01 as the donor. (B) The number of WCV and PCV events occurring in the three genomes. (C) Evolutionary tree of genes in which gene conversion has occurred. the numbers at nodes represent boostrap value. Gene conversion has occurred in *Mh11g0214.01* and *Oj12g0111700.00*. (D) Gene conversion in species divergence events.

630

Figure 4. Distribution of donors and receptors in the genome where gene conversion occurs. 631 (A) Homologous distribution of donors and acceptors on chromosomes undergoing gene 632 conversion. Curved lines within the inner circle are formed by 12 chromosomes color coded 633 to the seven ancestral chromosomes before the WGD event common to grasses (ECH) (Wang 634 et al., 2015). Intra-loop curves show duplicated gene pairs in GJ. The inner three circles show 635 the relationships of orthologous gene distribution between the three genomes in which gene 636 conversion has occurred. The outer three circles show the distribution between the three 637 genomes undergoing gene conversion, and the inner three circles show paralogous homologs. 638 Different colors indicate donor (orange) or acceptor (pink) loci, as well as some uncertain loci 639 (green). (B) Local gene conversion and the distribution of donor and acceptor loci. Pink 640

swatches represent donor loci, orange swatches represent acceptor loci, and green swatches
represent those loci where donor or acceptor status is uncertain. And Zs means XI-ZS97; Mh
means XI-MH63; Oj means GJ.

644

Figure 5. Relationship between block number and gene conversion rate on each
chromosomes. (A) Relationship between block number on 12 chromosomes and gene
conversion rate on the corresponding chromosomes of GJ, XI-MH63, and XI-ZS97. (B)
Relationship between block number on 8 chromosomes and gene conversion rate on the
corresponding chromosomes after removing the four special chromosomes (homologous
chromosome pair 1-5 and homologous chromosomes pair 11-12).

651

**Figure 6.** NBS-LRR gene amplification model in three rice subspecies genomes. (A-C)

Distribution of NBS-LRR genes on 12 chromosomes in GJ, XI-MH63, and XI-ZS97. Green

curved lines within the inner circle connect homologous pairs of NBS-LRR genes on the 12

chromosomes. Green blocks indicate NBS-LRR genes; red lines between NBS-LRR genes

656 indicate Ks < 0.1, yellow lines indicate 0.1 < Ks < 0.2, and blue lines indicate Ks < 1. (D)

657 Relationship between NBS-LRR genes and gene conversion in regions with more than 1% of

the NBS-LRR genes in the three genomes.

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	CI		XI-MH63		XI-ZS97			
	GJ	Period A <sup>1</sup>	Period $B^2$	Total	Period A <sup>1</sup>	Period $B^2$	Total	
Paralogs	3332		3322			3254		
WCV-I	11 (2.76%)	7 (1.72%)	1 (1.28%)	8 (1.72%)	7 (1.79%)	1 (1.27%)	8 (1.71%)	
WCV-II	168 (42.21%)	173 (42.51%)	1 (1.28%)	174 (37.34%)	175 (44.76%)	2 (2.53%)	177 (37.82%)	
PCV	259 (65.08%)	250 (61.43%)	77 (98.72%)	312 (66.95%)	251 (61.22%)	77 (97.47%)	310 (66.24%)	
On chromosomes 11 and 12	64 (16.08%)	63 (15.48%)	17 (21.79%)	76 (16.31%)	57 (14.58%)	8 (10.13%)	64 (13.68%)	
All gene conversions	398	407	78	466	391	79	468	
Conversion rate	0.119	0.123	0.023	0.140	0.120	0.024	0.144	

### **Table 1.** Converted paralogs in GJ and XI genomes (XI-MH63 and XI-ZS97).

865 Note: <sup>1</sup>Gene conversion events occurred after the formation of the XI subspecies but before the formation of XI varieties XI-MH63 and XI-ZS97.

<sup>2</sup>Gene conversion events occurred after the formation of XI varieties (XI-MH63 and XI-ZS97).

Distance to telomere	<2 Mbp	2-4 Mbp	4-6 Mbp	6-8 Mbp	8-10 Mbp	>10 Mbp	Total
GJ							
All converted	119 (17.20%)	60 (13.02%)	71 (15.78%)	25 (8.42%)	12 (8.45%)	478 (11.16%)	765 (12.09%)
Paralogous genes	692	461	450	297	142	4283	6326
XI-MH63							
All converted	148 (25.34%)	74 (20.00%)	68 (23.78%)	35 (18.52%)	8 (7.92%)	576 (17.11%)	909 (18.57%)
Paralogous genes	584	370	286	189	101	3366	4896
XI-ZS97							
All converted	139 (20.59%)	76 (16.03%)	70 (18.57%)	37 (14.98%)	12 (9.16%)	578 (16.14%)	912 (16.62%
Paralogous genes	675	474	377	247	131	3581	5486

# **Table 2.** Relationship between gene physical location and gene conversion

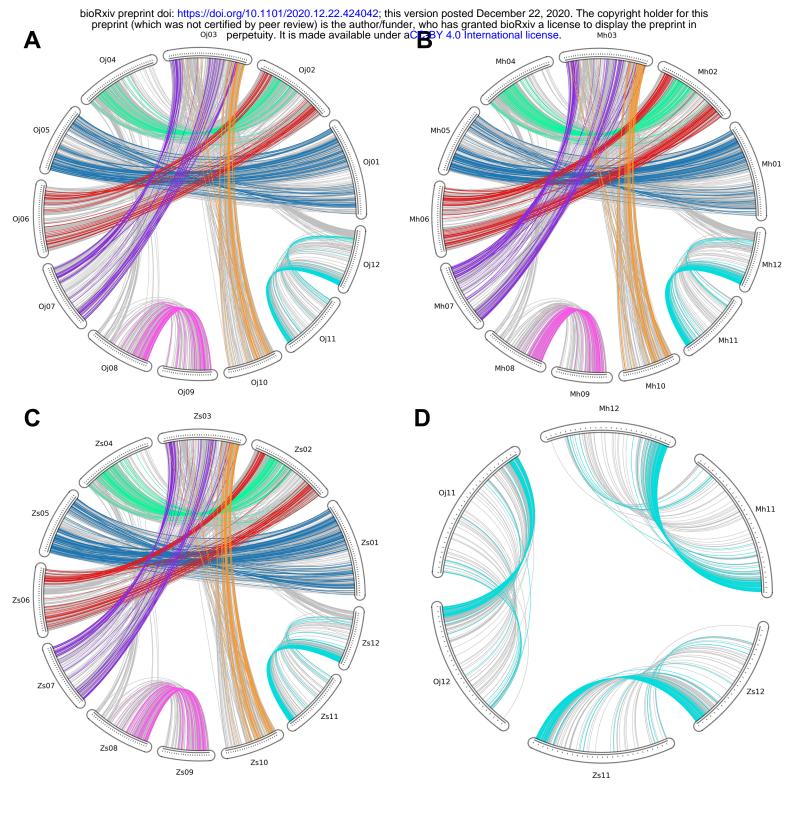
Donalog	XI-MH63				XI-ZS97			GJ		
Paralog	Pn	Ps	Pn/Ps	Pn	Ps	Pn/Ps	Pn	Ps	Pn/Ps	
Gene conversion	0.180	0.444	0.405	0.179	0.448	0.400	0.198	0.456	0.434	
No gene conversion	0.234	0.486	0.481	0.235	0.486	0.484	0.253	0.509	0.497	
P-value	1.82×10 <sup>-18</sup>	8.73×10 <sup>-9</sup>	-	7.81×10 <sup>-20</sup>	1.25×10 <sup>-7</sup>	-	3.71×10 <sup>-26</sup>	8.00×10 <sup>-20</sup>		
Orthology		XI-MH63 vs. GJ		2	KI-ZS97 vs. GJ		XI	-MH63 vs. XI-ZS	\$97	
Orthologs	Pn	Ps	Pn/Ps	Pn	Ps	Pn/Ps	Pn	Ps	Pn/Ps	
Gene conversion	0.049	0.076	0.645	0.053	0.085	0.624	0.055	0.100	0.550	
No gene conversion	0.023	0.036	0.639	0.025	0.038	0.658	0.014	0.022	0.636	
P-value	5.61×10 <sup>-19</sup>	9.63×10 <sup>-23</sup>	-	1.76×10 <sup>-21</sup>	1.23×10 <sup>-27</sup>		6.25×10 <sup>-20</sup>	2.58×10 <sup>-31</sup>	-	

## **Table 3.** Nucleotide substitution rates of quartets in rice subspecies

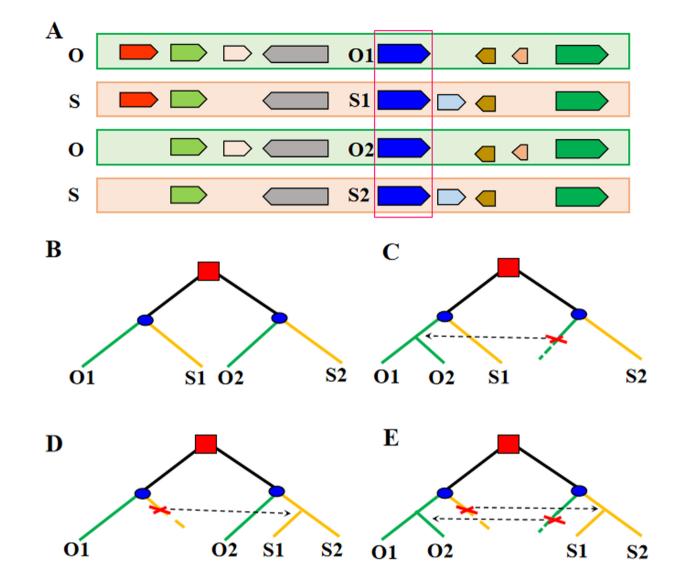
	GJ		XI-MH	63	XI-ZS97		
GO level2	cv vs. non-cv <sup>1</sup>	P-value	cv vs. non-cv <sup>1</sup>	P-value	cv vs. non-cv <sup>1</sup>	P-value	
Catalytic activity	31.4:25.5	0.001	32.5:26.4	< 0.001	33.6:26.1	< 0.001	
Binding	38.8:35.5	0.083	39.9:37.7	0.199	39.6:38.1	0.412	
Metabolic process	37.7:27.7	< 0.001	36.8:29.6	< 0.001	37.4:29.4	< 0.001	
Cellular process	28.9:24.0	0.003	28.0:26.2	0.262	27.4:25.8	0.32	

**Table 4.** Function comparison of genes subjected to conversion or not in GJ, XI-MH63, and XI-ZS97.

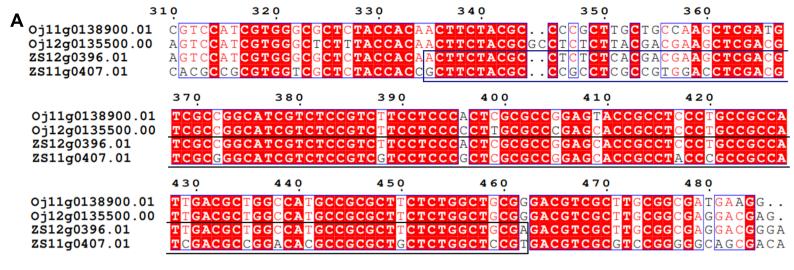
873 Note: <sup>1</sup>Proportion of converted genes *vs.* proportion of non-converted genes.

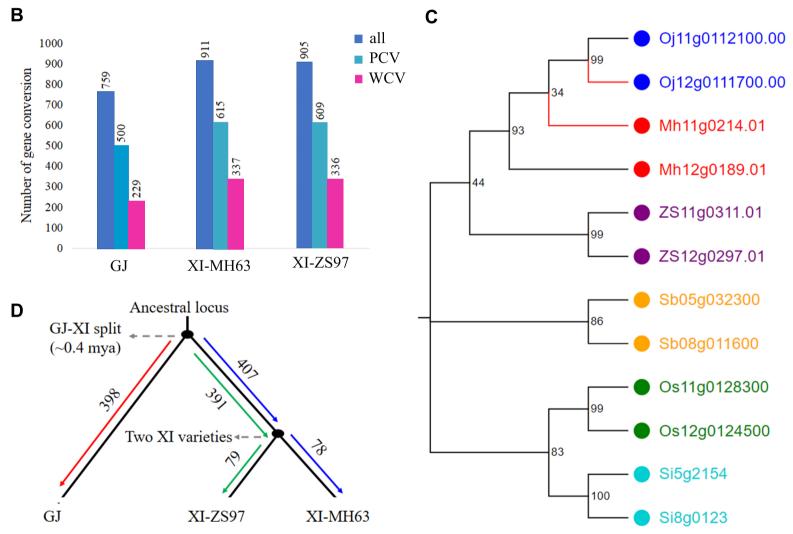


**Figure 1.** Genome duplications and conversion patterns in three rice subspecies genomes. Lines show duplicated gene pairs between chromosomes in three genomes. Colored lines indicate gene-conversion pairs; grey lines indicate non-gene-conversion pairs. (A) Gene duplication and gene conversion in GJ. (B) Gene duplication and gene conversion in XI-MH63. (C) Gene duplication and gene conversion in XI-ZS97. (D) Gene duplication and gene conversion and gene conversion and XI-MH63. (C) Gene duplication and gene conversion in XI-ZS97. (D) Gene duplication and gene conversion and SI-MH63. (C) Gene duplication and gene conversion in XI-ZS97. (D) Gene duplication and gene conversion and SI-ZS97.

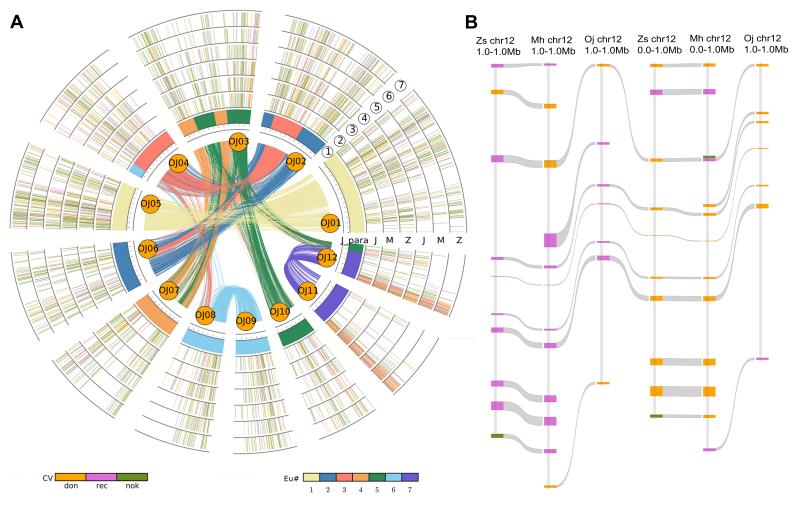


**Figure 2.** Gene conversion events were inferred by construction of homologous gene quartets and changes in phylogenetic tree topology. (A) Colinear chromosomal segments from two genomes (O and S), represented by rectangles of different colors. Arrows show genes, and homologous genes are indicated by the same color. Homologous gene quartets are formed by paralogous genes O1 and O2 in one genome and their respective orthologs S1 and S2 in the other genome. (B-E) Squares symbolize a WGD event in the common ancestral genome; circles symbolize species divergence. (D) The expected phylogenetic relationship of the homologous genes if no conversion occurs. (C) O2 (an acceptor) is converted by O1 (a donor). (D) S1 is converted by S2. (E) Both of the above conversions occur.

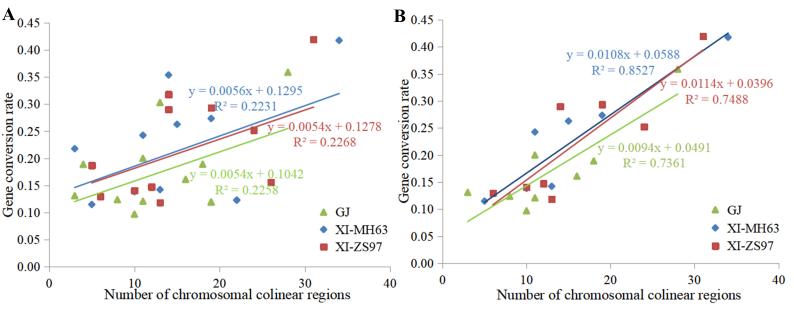




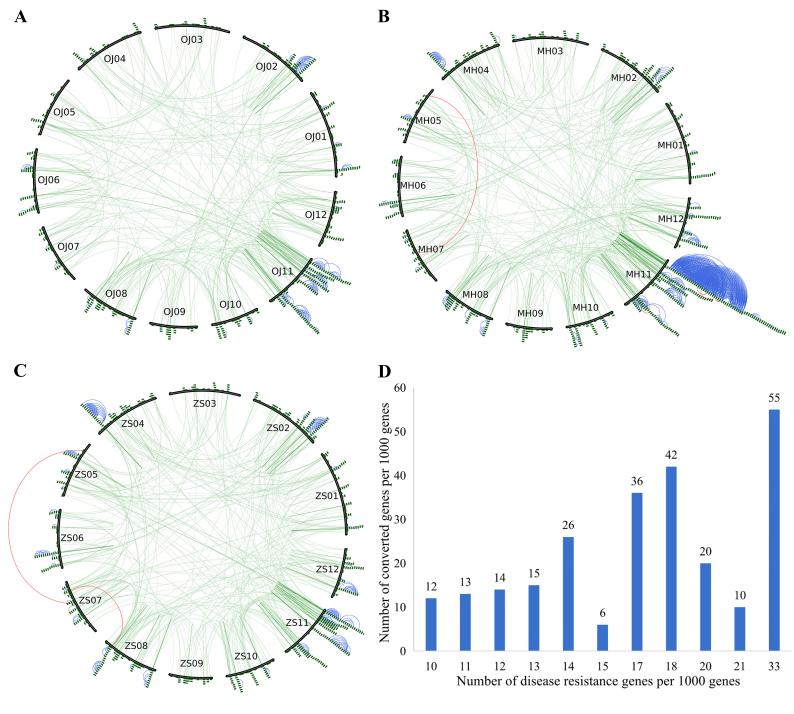
**Figure 3.** Evolution of gene conversion. (A) Sequence alignment for a homologous gene quartet. The nucleotide sequence from 335 to 462 bp of Zs12g0396.01 and Zs11g0407.01 has undergone gene conversion, with Zs11g0407.01 as the donor. (B) The number of WCV and PCV events occurring in the three genomes. (C) Evolutionary tree of genes in which gene conversion has occurred. the numbers at nodes represent boostrap value. Gene conversion has occurred in Mh11g0214.01 and Oj12g0111700.00. (D) Gene conversion in species divergence events.



**Figure 4.** Distribution of donors and receptors in the genome where gene conversion occurs. (A) Homologous distribution of donors and acceptors on chromosomes undergoing gene conversion. Curved lines within the inner circle are formed by 12 chromosomes color coded to the seven ancestral chromosomes before the WGD event common to grasses (ECH) (Wang et al., 2015). Intra-loop curves show duplicated gene pairs in GJ. The inner three circles show the relationships of orthologous gene distribution between the three genomes in which gene conversion has occurred. The outer three circles show the distribution between the three genomes undergoing gene conversion, and the inner three circles show paralogous homologs. Different colors indicate donor (orange) or acceptor (pink) loci, as well as some uncertain loci (green). (B) Local gene conversion and the distribution of donor and acceptor loci. Pink swatches represent donor loci, orange swatches represent acceptor loci, and green swatches represent those loci where donor or acceptor status is uncertain. And Zs means XI-ZS97; Mh means XI-MH63; Oj means GJ.



**Figure 5.** Relationship between block number and gene conversion rate on each chromosomes. (A) Relationship between block number on 12 chromosomes and gene conversion rate on the corresponding chromosomes of GJ, XI-MH63, and XI-ZS97. (B) Relationship between block number on 8 chromosomes and gene conversion rate on the corresponding chromosomes after removing the four special chromosomes (homologous chromosome pair 1-5 and homologous chromosomes pair 11-12).



**Figure 6.** NBS-LRR gene amplification model in three rice subspecies genomes. (A-C) Distribution of NBS-LRR genes on 12 chromosomes in GJ, XI-MH63, and XI-ZS97. Green curved lines within the inner circle connect homologous pairs of NBS-LRR genes on the 12 chromosomes. Green blocks indicate NBS-LRR genes; red lines between NBS-LRR genes indicate Ks < 0.1, yellow lines indicate 0.1 < Ks < 0.2, and blue lines indicate Ks < 1. (D) Relationship between NBS-LRR genes and gene conversion in regions with more than 1% of the NBS-LRR genes in the three genomes.

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