1	Anomalous brain energy in old age by wavelet analysis of ERP during a Stroop task
2	Abbreviated title: Anomalous brain energy in old age by wavelet analysis
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1 ABSTRACT

2 By event-related potentials (ERP) during a counting Stroop task it was shown that the elderly with 3 excess in theta activity in their electroencephalogram (EEG) are at risk of cognitive decline and 4 have a higher neuronal activity during stimulus categorization than the elderly with a normal 5 EEG. It was suggested that this increased neuronal activity could have a compensatory function. 6 However, the quantification of energy associated with the enhanced neuronal activity was not 7 investigated in this group. By wavelet analysis, we measured total and relative energy in ERP 8 during the execution of a counting Stroop task in two groups of elderly: one with excess in theta 9 activity (Theta-EEG, n = 23) and the other with normal EEG (Normal-EEG, n = 23). In delta, 10 theta, and alpha bands, the Theta-EEG group used a higher amount of total energy as compared 11 to the Normal-EEG group for both types of stimuli, interference and no interference. In theta and 12 alpha bands, the total energy was higher in the Theta-EEG group, specifically in the window of 13 258-516 ms, coinciding with stimulus categorization. Given that no major behavioral differences 14 were observed between EEG groups, we suggest that a higher energy in delta, theta, and alpha 15 bands is one of the neurobiological mechanisms that allows the Theta-EEG group to cope with 16 the cognitive demands of the task. However, this increased energy might not be an effective 17 mechanism in the long term as it could promote a metabolic and cellular dysregulation that would 18 trigger the transition to cognitive impairment.

19 SIGNIFICANCE STATEMENT

By using wavelet transform analysis we report that the elderly with excess in theta activity show a higher energy in delta, theta, and alpha bands during the categorization of stimuli in a counting Stroop task. Our findings imply that this increase neuronal activity might be related to a dysregulated energy metabolism in the elderly with theta excess that could explain the progress to cognitive impairment in this group. The analysis of energy by wavelet transform in data obtained by ERP complements other techniques that evaluate the risk of cognitive impairment.

26 INTRODUCTION

1 Healthy aging is accompanied by a natural detriment of physical and cognitive abilities (Román 2 Lapuente and Sánchez Navarro, 1998). In particular, inhibitory control (Thomas et al., 2010; Rey-3 Mermet and Gade, 2018) and attention (Thomas et al., 2010; Diamond, 2020) are importantly 4 affected. Changes in brain electrical activity, which can be measured noninvasively by the EEG, 5 are tightly related to the aforementioned cognitive processes (Buzsáki, 2006; Lopes da Silva, 6 2011). Some authors have proposed that changes in the EEG of the elderly, obtained under resting 7 conditions, are not only the result of normal aging but can contain signs of undergoing subclinical 8 pathologic processes (Chang et al., 2011). Moreover, excess in delta and theta band frequencies 9 of resting EEG from healthy elderly, compared to a normative base according to age, is an 10 excellent predictor of cognitive detriment in the following seven years (Prichep et al., 2006; van 11 der Hiele et al., 2008). Recently we showed that healthy elderly with an excess of theta EEG 12 activity are not only at risk of developing cognitive decline but already have impairments in 13 inhibitory control processing at the electrophysiological level (Sánchez-Moguel et al., 2018).

14 Stroop tasks have been used during event-related potentials (ERP) and functional magnetic 15 resonance imaging (fMRI) to study the decrease in the efficiency of inhibitory processing during 16 healthy and pathological aging (West and Alain, 2000; Amieva, 2004; Kaufmann et al., 2008; 17 Ramos-Goicoa et al., 2016; Sánchez-Moguel et al., 2018). An over-recruitment of neuronal 18 activity during aging was observed using fMRI during the execution of Stroop tasks; this 19 enhanced neuronal activity is proposed to have a compensatory function (Cabeza, 2002; Milham 20 et al., 2002; Cabeza et al., 2004; Langenecker et al., 2004; Zysset et al., 2007; Mathis et al., 2009). 21 Furthermore, fMRI studies showed higher brain activity in older people with mild cognitive 22 impairment (MCI) compared to healthy elderly (Kaufmann et al., 2008).

In our earlier work, we proposed that the elderly with excess in theta EEG activity have an increased neuronal activity in ERP during a counting Stroop task (Sánchez-Moguel et al., 2018). We also suggested that the higher brain activity in ERP during a counting Stroop task was related to the categorization of stimuli, which would play a compensatory role. A higher neuronal activity is related to more energy; however, we have not quantified the energy associated with any

cognitive process in the elderly with excess in theta activity. As the elderly with excess in theta
 activity are probably in a previous stage of MCI, we hypothesize that they might already be
 having a dysregulation in brain energy, wich is a hallmark of neurodegenerative diseases (Mattson
 and Arumugam, 2018).

5 Wavelet transform (WT) can help us to know the amount of energy used during the execution 6 of Stroop tasks. The main advantage of wavelet analysis over Fourier analysis is the optimal time-7 frequency resolution, then, we can follow the brain frequency dynamics over time (Rosso et al., 8 2006). The wavelet analysis allows us to have a standard frequency decomposition of EEG signals 9 over time (Goupillaud et al., 1984; Rosso et al., 2005, 2006). This is a desirable property, because 10 we can track the frequency changes of the EEG signal over time and detect at which time point 11 of the Stroop task the maximum amount of energy occurs.

The general objective of this study was to explore, using WT, if there were differences in the amount of energy in ERP during the performance of a counting Stroop task between a group of elderly with an excess of theta activity in their EEG and a group of elderly with normal EEG. The specific objective was to evaluate the amount of energy between EEG groups for each of the frequency bands (i.e., delta, theta, alpha, beta, and gamma) across different time windows of the ERP. We expected to find higher energy in the group with theta excess, specifically in the time window associated with categorization of stimuli.

19 MATERIALS AND METHODS

20 Participants

Forty-six healthy older adults aged over 60 years were recruited to participate in the study (26 females). The inclusion criteria were to be right-handed, to have more than nine years of schooling, to have an average level of intelligence (Wechsler Intelligence Scale for adults 90-190, (Wechsler, 2003)), and to not have any psychiatric disorder according to their age (NEUROPSI, (Ostrosky-Solís et al., 1999)); Q-LES-Q questionnaire, > 70%, (Endicott et al., 1993); Mini-Mental State Examination, > 27, (Reisberg et al., 1982, 2008); Global Deterioration Scale, 1-2

1 (Reisberg et al., 1982, 2008); Alcohol Use Disorders Identification Test, < 5 (Babor et al., 2001); 2 Beck Depression Inventory, < 4 (Beck et al., 1961); Geriatric Depression Scale, < 5 (Yesavage 3 et al., 1982). Furthermore, subjects had no signs of chronic diseases such as diabetes or 4 hypercholesterolemia. The subjects were classified into two groups according to the 5 characteristics of their EEG. Subjects in the Normal-EEG group presented normal EEGs, from 6 both the quantitative and qualitative points of view, and subjects in the Theta-EEG group 7 presented an excess of theta activity for their age in at least one electrode; further described below. 8 The project was approved by the bioethics committee of the Neurobiology Institute of the 9 National Autonomous University of Mexico (UNAM). ERP analyses of the participants were 10 published by Sánchez-Moguel et al. (2018) and are further analyzed here using wavelets.

11 EEG analysis

12 Based on the next analysis, participants were classified as with a normal EEG (Normal-EEG

group) or with excess in the theta band (Theta-EEG group); 23 subjects made up each group (13
females in each group).

15 The EEG from 19 tin electrodes (10-20 International System, ElectroCapTM, International 16 Inc.; Eaton, Ohio) referenced to linked ear lobes was recorded from each subject in the resting condition with eyes closed using a MEDICID TM IV system (Neuronic Mexicana, S.A.; Mexico) 17 18 and Track Walker TM v5.0 data system for 15 min. The EEG was digitized using the MEDICID 19 IV System (Neuronic A.C.) with a sampling rate of 200 Hz using a band-pass filter of 0.5 - 5020 Hz, and the impedance was kept below 5 k Ω . Twenty-four artifact-free segments of 2.56 s each 21 were selected, and the quantitative EEG analysis was performed offline using the fast Fourier 22 transform to obtain the power spectrum every 0.39 Hz; also the geometric power correction 23 (Hernández et al., 1994) was applied, and absolute (AP) and relative power (RP) in each of the 24 four classic frequency bands were obtained: Delta (1.5 - 3.5 Hz), theta (3.6 - 7.5 Hz), alpha (7.6 -25 12.5 Hz), and beta (12.6 - 19 Hz). These frequency ranges were the same as those used for the 26 normative database (Valdés et al., 1990) provided by MEDICID IV. Z-values were obtained for

1 AP and RP, comparing subject's values with values of the normative database $[Z = (x - \mu)/\sigma,$

2 where μ and σ are the mean value and the standard deviation of the normative sample of the same

3 age as the subject, respectively]; Z-values > 1.96 were considered abnormal (p < 0.05).

4 Counting Stroop task

5 In the counting Stroop task, subjects are asked to answer how many words are presented in a slide, 6 regardless of the meaning of the word itself (Bush et al., 2006). Subjects increase their response 7 times and tend to make more mistakes when the meaning of the word does not match the number 8 of times that the word appears; this phenomenon is known as the Stroop or interference effect 9 (MacLeod, 1991).

10 Behavioral task

11 Series of one, two, three, or four words that denote numbers ("one," "two," "three," 12 "four") were presented in the center of a 17-inch computer screen. Time presentation of the 13 stimuli was 500 ms, and the interstimulus interval was 1,500 ms. An incongruent condition, herein 14 referred as Interference stimulus, consisted of a trial where the number of presented words did 15 not correspond with the meaning of the word. The congruent condition, further referred as No 16 Interference stimulus, consisted of a trial in which the number of presented words and the meaning 17 of the word that was presented matched. A total of 120 Interference and 120 No Interference 18 stimuli were randomly presented.

Subjects were asked to indicate the number of times that the word appeared in each trial, using a response pad that they held in their hands. One-half of the participants used their left thumbs to answer "one" or "two" and their right thumbs to indicate "three" or "four"; the other half of the participants used their opposite hand to counterbalance the motor responses. The participants were asked to answer as quickly and accurately as possible. We ensured that the participants understood the instructions by presenting a brief practice task before the experimental session.

1 ERP acquisition and analysis

2 The EEGs were recorded with 32 Ag/AgCl electrodes mounted on an elastic cap (Electrocap) 3 while the participant performed the counting Stroop task, using NeuroScan SynAmps amplifiers 4 (Compumedics NeuroScan) and the Scan 4.5 software (Compumedics NeuroScan). Electrodes 5 were referenced to the right earlobe (A2), and the electrical signal was collected from the left 6 earlobe (A1) as an independent channel. Recordings were re-referenced offline in two ways: (a) 7 to the averaged earlobes, as was usually performed in previous studies, and (b) to the average 8 reference. The EEG was digitized with a sampling rate of 500 Hz using a band pass filter of 9 0.01 to 100 Hz. Impedances were kept below 5 k Ω . An electrooculogram was recorded using a 10 supraorbital electrode and an electrode placed on the outer canthus of the left eye. 11 ERP were obtained for each subject and experimental condition (i.e., No Interference 12 and Interference). Epochs of 1,500 ms were obtained for each trial that consisted of 200-ms pre-13 stimulus and 1,300-ms post-stimulus intervals. An eye movement correction algorithm (Gratton 14 et al., 1983) was applied to remove blinks and vertical ocular-movement artifacts. Low pass 15 filtering for 50 Hz and a 6-dB slope was performed offline. A baseline correction was 16 performed using the 200-ms pre-stimulus time window, and a linear detrend correction was 17 performed on the whole epoch. Epochs with voltage changes exceeding $\pm 80 \ \mu V$ were 18 automatically rejected from the final average. The epochs were visually inspected, and those 19 with artifacts were also rejected. Averaged waveforms for each subject and each stimulus type 20 included only those trials that corresponded to correct responses.

21 Wavelet transform and wavelet-based measures

The ERPs were next subjected to a wavelet analysis. Unlike Fourier analysis, in which the sine and cosine functions are used, the wavelet transform is based on functions that are vanishing oscillating functions (Rosso et al., 2006). Within the wavelet multiresolution decomposition framework, a wavelet family $\psi_{a,b}$ is a set of elemental functions generated by scaling and translating a unique admissible mother wavelet $\psi(t)$:

$$\psi_{a,b} = |a|^{-\frac{1}{2}} \psi\left(\frac{t-b}{a}\right) \tag{1}$$

1

where *a,b* ∈ ℝ, *a≠0* are the scale and translation parameter, respectively, and *t* is the time (Rosso
et al., 2006). In this paper we use the Daubechies 2 as a mother wavelet.
The continuous wavelet transform (CWT) of a signal *S(t)* ∈ *L*² (ℝ) (the space of real square
summable functions) is defined as the correlation between the signal *S(t)* with the family wavelet
ψ_{a,b} for each *a* and *b*:

7
$$\langle S, \psi_{a,b} \rangle = |a|^{\frac{1}{2}} \int_{-\infty}^{\infty} S(t) \psi^* \left(\frac{t-b}{a}\right) dt$$
 (2)

8 where * means complex conjugation. In principle, the CWT gives a highly redundant 9 representation of the signal because it produces an infinite number of coefficients (Rosso et al., 10 2006). A nonredundant and efficient representation is given by the discrete wavelet transform 11 (DWT), which also ensures complete signal reconstruction. For a special selection of the mother wavelet function $\psi(t)$ and the discrete set of parameters $a_j = 2^{-j}$ and $b_{j,k} = 2^{-j} k$, with $j,k \in \mathbb{Z}$, the 12 family $\psi_{j,k}(t) = 2^{j/2} \psi(2^j t - k)$ constitutes an orthonormal basis of $L^2(\mathbb{R})$. Any arbitrary function 13 14 of this space can therefore be uniquely decomposed, and the decomposition can be inverted (Rosso et al., 2006). The wavelet coefficients of the DWT are $\langle S, \psi_{j,k} \rangle = C_j(k)$. The DWT 15 16 produces only as many coefficients as there are samples within the signal under analysis S(t), 17 without any loss of information.

18 Let us assume that the signal is given by the equally sampled values $S = \{s_0 (n), n = 1, ..., M\}$, with 19 *M* being the total number of samples. If the decomposition is carried out over all resolution levels, 20 $N_J = log(M)$, the wavelet expansion reads:

21
$$S(t) = \sum_{j=-N_J}^{-1} \sum_{k} C_j(k) \psi_{j,k}(t) = \sum_{j=-N_J}^{-1} r_j(t), \quad (3)$$

where the wavelet coefficients $C_j(k)$ can be interpreted as the local residual errors between successive signal approximations at scales *j* and *j*-*l*, respectively, and $r_j(t)$ is the detail signal at

- 1 scale *j*, which contains information of the signal S(t) corresponding to the frequencies $2^{j-1} \omega_s \le |\omega|$
- $2 \leq 2^{j} \omega_{s}, \omega_{s}$ being the sampling frequency (Rosso et al., 2005, 2006).
- 3 Since the family $\psi_{j,k}(t)$ is an orthonormal basis for $L^2(\mathbb{R})$, the concept of wavelet energy is similar
- 4 to the Fourier theory energy. Thus, the energy at each resolution level, $j = -1, ..., -N_J$, will be the
- 5 energy of the detail signal:

6
$$E_j = ||r_j||^2 = \sum_k |C_j(k)|^2.$$
 (4)

7 The total energy can be obtained summing over all the resolution levels

8
$$E_{total} = \|S\|^2 = \sum_{j=-N_J}^{-1} \sum_k |C_j(k)|^2 = \sum_{j=N_J}^{-1} E_j.$$
 (5)

9 Finally, we define the relative wavelet energy (RWE) through the normalized ρ_j values:

10
$$\rho_j = \frac{E_j}{E_{total}} \tag{6}$$

for the resolution levels $j = -1, -2, ..., -N_J$. The distribution $P^{(W)} \equiv \{\rho_j\}$ can be viewed as a time-scale distribution, which is a suitable tool for detecting and characterizing phenomena in the time and frequency spaces (Rosso et al., 2006).

Another extension of this discrete wavelet transform is the discrete wavelet packet transform (DWPT). The DWPT is a generalization of the DWT that at level *j* of the transform partitions the frequency axis into 2^{j} equal width frequency bands, often labeled $n = 0,...,2^{j-1}$. Increasing the transform level increases frequency resolution, but starting with a series of length *N*, at level *j* there are only $N/2^{j}$ DWPT coefficients for each frequency band *n* (Percival and Walden, 2000).

The wavelet packets can be organized on an orthonormal basis of the space of finite energy signals. The main advantage of using wavelet packets is that the standard wavelet analysis can be extended with a flexible strategy. Thus the description of the given signal can be well

1 adapted according to the significant structures (Blanco et al., 1998). The resulting DWPT yields 2 what can be called a time-scale-frequency decomposition because each DWPT coefficient can be 3 localized to a particular band of frequencies and a particular interval of time (Percival and 4 Walden, 2000). Here we use the flexibility of the DWPT to combine the energy of the 5 decomposition frequency bands, in order to have an insight into the typical clinical frequency 6 band decomposition: Delta, theta, alpha, beta, and gamma. Finally, we have the energy $\{E_{Delta}, e_{Delta}, e_{Delta},$ 7 $E_{Theta}, E_{Alpha}, E_{Beta}, E_{Gamma}$ corresponding to each band, and the relative energy { $\rho_{Delta}, \rho_{Theta}, \rho_{Alpha}$ 8 ρ_{Beta} , ρ_{Gamma} for each one of the five bands. The energy corresponding to each band is obtained by adding all the values of E_i for all the *i* that satisfy $2^{j-1}\omega_s \leq |\omega| \leq 2^j\omega_s$, ω_s being the sampling 9 10 frequency and $|\omega|$ being within the frequency interval corresponding to one of the five clinical 11 frequency

12 Statistical analysis

13

21

14 The behavioral data from the counting Stroop task, and the total and relative energy were analyzed 15 using ANOVAs according to the variables of interest in each set of results. Repeated measures 16 were included for Stimulus, Bands, and Windows, as required. A Tukey post hoc test was used to 17 make comparisons among groups. Data were processed, analyzed, and plotted using R and 18 Matlab.

19 RESULTS

20 Behavioral results of the counting Stroop task

22 Table 2 shows the mean percentage of correct responses and response times (RT) by each

23 group and type of stimulus. For the RT, there was no main effect of Group (F(1, 44) = 0.73, p =

24 0.3963), while Stimulus and the Group X Stimulus interaction were significant (Stimulus: F(1,

25 44) = 85.89, p < 0.0001; Group X Stimulus: F(1, 44) = 4.66, p = 0.0363). Post hoc analysis

26 showed that RT for the Interference stimuli were larger than the response times for No

- 27 Interference stimuli both within the Theta-EEG (Mean Difference (MD) = 42.61 ms, p < 0.001)
- and within the Normal-EEG groups (MD = 68.5 ms, p < 0.001); the Theta-EEG group showed

1 fewer differences between stimulus types than the Normal-EEG group. There were no 2 differences between groups for the same type of stimulus (Interference: p = 0.39, No Interference p = 0.99). We applied the arcsine to the percentage of correct responses in order to 3 4 approximate the distribution of the data to a Gaussian distribution to use parametric statistical 5 tests. We observed a significant main effect of Stimulus (F(1, 44) = 62.43, p < 0.0001) with a 6 lower percentage of correct answers in the Interference than in the No Interference condition; 7 however, there were no main effects of Group or Group X Stimulus interaction (Group: F(1, 44) 8 = 0.09, p = 0.76, Group X Stimulus: F(1, 44) = 1.24, p = 0.27). These results showed that, at the 9 behavioral level, the Theta-EEG and Normal-EEG groups showed a Stroop effect and that they 10 answered similarly despite the differences in their resting EEG.

11 Total energy

12 We first compared the total energy on each band between Theta-EEG and Normal-EEG groups, 13 obtaining the total energy of the average of all electrodes (reference electrodes A1, A2 were 14 discarded) and averaging across the counting Stroop trials for each type of stimulus. In **Figure 1**, 15 the total energy for each group and stimulus type is shown in each of the frequency bands. For 16 the delta band we found a main effect of Group (F(1, 86) = 11.003, p = 0.00133), while neither 17 Stimulus (F(1, 86) = 0.416, p = 0.51961) nor the Group X Stimulus interaction were significant 18 (F(1, 86) = 0.036, p = 0.84973). In the theta band, there was a main effect of Group (F(1, 86) =19 11.605, p = 0.001), while no significant differences were observed in Stimulus (F(1, 86) = 0.031, 20 p = 0.862) or in the Group X Stimulus interaction (F(1, 86) = 0.01, p = 0.919). Similarly, in the 21 alpha band there was a main effect of Group (F(1, 86) = 8.539, p = 0.00444), while Stimulus (F(1, 22 86) = 0.002, p = 0.96375) and the Group X Stimulus interaction remained without statistical 23 significance (F(1, 86) = 0.004, p = 0.95266).

For the beta band, neither Group (F(1, 86) = 0.836, p = 0.363) nor Stimulus (F(1, 86)=0.099, p=0.753) or the Group X Stimulus interaction were significant (F(1,86) = 0.078, p = 0.781). Similar results were observed in the gamma band, no significant differences were found for Group (F(1, 86) = 0.330, p = 0.567), Stimulus (F(1, 86) = 0.127, p = 0.723) or the Group X

1 Stimulus interaction (F(1, 86) = 0.027, p = 0.869).

Altogether, our analysis of the total energy showed a higher amount of energy in the
Theta-EEG group in the delta, theta, and alpha bands irrespective of the type of stimulus presented
during the counting Stroop task. In contrast, no significant differences in the total energy were
observable in the beta and gamma bands, Figure 1.
We explored how the total energy was distributed among the electrodes for each band,
Figure 2. We observed a higher total energy in the Theta-EEG group than in the Normal-EEG
group in delta and theta bands. This increase in total energy was similar for both types of
stimulus. For the delta band, the total energy increase was located in the midline electrodes,
while for the theta band, the total energy increase was more pronounced in occipital electrodes.
No increase in total energy was visible in alpha, beta, and gamma bands.

12

13 Relative energy

14 To consider variations in the total amount of energy among subjects, we further studied the 15 relative wavelet energy for the entire signal. The relative energy corresponds to the amount of 16 energy in a given band, relative to the total energy involved in all bands.

17 In Figure 3 the relative energy per frequency band is shown for each group and stimulus type. In 18 the delta band we observed a main effect of Group (F(1, 86) = 10.346, p = 0.00183) but not of 19 Stimulus (F(1, 86) = 0.678, p = 0.41269) or the Group X Stimulus interaction (F(1, 86)=0.056, p 20 = 0.81351). In the theta band neither of the variables nor the interaction between them were 21 significant [Group (F(1, 86) =1.651, p = 0.202); Stimulus (F(1, 86)=0.165, p = 0.686); Group X 22 Stimulus (F(1, 86) = 0.186, p = 0.668)]. For the alpha band there were no statistical differences 23 for any of the effects or the interaction between them [Group (F(1, 86) = 0.070, p = 0.793); 24 Stimulus (F(1, 86) = 0.021, p = 0.886); Group X Stimulus (F(1, 86) = 0.062, p = 0.804)].

25 The relative energy in the beta band showed a main effect of Group (F(1, 86) = 13.401, p = 13.401

1 0.000433) but not for Stimulus (F(1, 86) = 1.676, p = 0.198983) or for the Group X Stimulus 2 interaction (F(1, 86) = 0.312, p = 0.577787). Similarly, for the gamma band, there was a main 3 effect of Group (F(1, 86)=7.017, p = 0.00961), but no differences were observed for Stimulus 4 (F(1, 86) = 0.259, p = 0.61188) or for the Group X Stimulus interaction (F(1, 86) = 0.038, p = 5 0.84581).

6 Our analysis thus showed that even after normalizing by the total amount of energy used 7 during the task, the Theta-EEG group showed an increase of energy in the delta band, as compared 8 to the Normal-EEG group, which was independent of the type of stimulus presented. Interestingly, 9 a decrease in relative energy was observed in the beta and gamma bands.

10

11 Total energy across windows

12 To analyze the signal in the time-space, we took time windows of at least $2^7 + 1 = 129$ points, 13 which corresponded to 258 ms; this procedure allowed us to analyze five time-windows in the 14 ERP signal. Figure 4 shows the total energy per window. For the delta band, significant main 15 effects of Group (F(1, 430) = 21.058, p = 5.86e-06) and Window (F(4, 430) = 12.610, p = 1.04e-0.05)16 09) were observed but there were not significant effects in Stimulus (F(1, 430) = 0.149, p = 0.7) 17 or the interaction among factors [Group X Stimulus (F(1, 430) = 0.062, p = 0.804); Group X 18 Window (F(4, 430) = 0.79, p = 0.532); Stimulus X Window (F(4, 430) = 0.307, p = 0.873); Group 19 X Stimulus X Window (F(4, 430) = 0.367, p = 0.832)]. The analysis of the Window factor showed 20 that the total energy in the window 258-516 ms was higher than the total energy from windows 21 0-258, 516-774, and 774-1032 ms (p \leq 0.03783 for each comparison). For this same band, the 22 total energy in the window 1032-1290 was higher than the energy in the window 0-258 (p =23 0.00893).

For the theta band, there were significant main effects of Group (F(1, 430) = 30.596, p = 5.53e-08) and Window (F(4, 430) = 17.546, p = 2.38e-13) but not of Stimulus (F(1, 430) = 0.279, p =

1 0.5978). The interaction Group X Window was close to significance (F(4, 430) = 2.229, p =2 0.0651), while the other interactions were not significant [Group X Stimulus (F(1, 430) = 0.241, 3 p = 0.624); Stimulus X Window (F(4, 430) = 0.298, p = 0.8794); Group X Stimulus X Window 4 (F(4, 430) = 0.321, p = 0.8639)]. The analysis of the Window factor showed that the total energy 5 in the window 258-516 ms was higher than the total energy in all the other windows (p < 0.00016 for all the comparisons). The post hoc test for the interaction Group X Window showed that in 7 the window 258-516 ms the total energy was higher in the Theta-EEG group as compared to the 8 Normal-EEG group (p = 0.0065), Figure 4.

9 For the alpha band, the total energy showed significant main effects of Group (F(1, 430) = 23.548, 10 p = 1.71e-06) and Window (F(4, 430) = 34.484, p < 2e-16), while Stimulus was not significant 11 (F(1, 430) = 0.005, p = 0.9452). The interaction Group X Window was close to statistical 12 significance (F(4, 430) = 2.163, p = 0.0724), while other interactions did not show significant 13 differences [Group X Stimulus (F(1, 430) = 0.03, p = 0.8622); Stimulus X Window (F(4, 430) =14 0.053, p = 0.9947); Group X Stimulus X Window (F(4, 430) = 0.149, p = 0.9633)]. The analysis 15 of the Window factor showed that the total energy in the window 258-516 ms was higher than the 16 energy in all the other windows (p < 0.0001 for each comparison). The analysis of the interaction 17 Group X Window showed that the total energy in the window 258-516 ms was higher in the 18 Theta-EEG group as compared to the energy in the Normal-EEG group (p = 0.0079), Figure 4.

19 For the beta band we found significant main effects of Group (F(1, 430) = 10.868, p = 0.00106)20 and Window (F(4, 430) = 17.402, p = 3.03e-13), while there were no statistical differences for 21 Stimulus (F(1, 430) = 0.311, p = 0.57723). None of the interactions of the factors was significant 22 either [Group X Stimulus (F(1, 430) = 0.105, p = 0.74663); Group X Window (F(4, 430) = 1.046, 23 p = 0.3829; Stimulus X Window (F(4, 430) = 0.295, p = 0.88137); Group X Stimulus X Window 24 (F(4, 430) = 0.2, p = 0.93804)]. The post hoc comparisons of the Window factor showed that the 25 total energy in 258-516 ms was higher than the energy in all the other windows ($p \le 0.0143$ for 26 all the comparisons). Additionally, the energy in the 1032-1290 ms window was higher than the 27 energy observed in the 0-258 ms window (p = 0.0261), Figure 4.

1 Finally, the analysis of total energy in the gamma band revealed a significant main effect of 2 Window (F(4, 430) = 4.018, p = 0.00328), while Group (F(1, 430) = 3.242, p = 0.07246) and 3 Stimulus (F(1, 430) = 0.318, p = 0.57321) did not reach significance. None of the interactions 4 among factors was significant [Group X Stimulus (F(1, 430) = 0.053, p = 0.81849); Group X 5 Window (F(4, 430) = 0.021, p = 0.99915); Stimulus X Window (F(4, 430) = 0.093, p = 0.98453); 6 Group X Stimulus X Window (F(4, 430) = 0.037, p = 0.99741)]. The analysis of the Window 7 factor showed that the total energy in the 258-516 ms window was higher than the energy in the 8 windows 0-258, 516-774, and 774-1032 ms (p < 0.01 for all comparisons). The total energy in 9 the window 1032-1290 ms was also higher than the energy observed in the windows 0-258, 516-10 774, and 774-1032 ms ($p \le 0.002$ for all comparisons), Figure 4.

Taken together, our analysis across windows revealed a higher amount of total energy in the Theta-EEG group as compared to the Normal-EEG group in the delta, theta, alpha, and beta bands irrespective of the type of stimulus presented. For theta and alpha bands, the total energy was higher in the Theta-EEG group than in the Normal-EEG group, specifically for the window 258-516 ms, Figure 4.

16 A topographical analysis of the total energy across windows for the theta band corroborated the 17 relevance of the 258-516 ms window. The topographical distribution of the energy per group 18 and type of stimulus through the time is shown in **Figure 5**. We observed a higher total energy 19 in the Theta-EEG group as compared to the Normal-EEG group only in the 258-516 ms 20 window. The amount of total energy looked similar for both types of stimulus in the same EEG 21 group. The increased energy for this theta band in the Theta-EEG group was more prominent in 22 mid-line and occipital electrodes. Similar changes were observed in delta and alpha bands 23 (Figure 5-1 and 5-2): increased total energy for both types of stimulus in the Theta-EEG group 24 was observed in mid-line and occipital electrodes towards frontal regions; this change was 25 prominent in the 258-516 ms window.

26 Wavelet analysis on central electrodes

1	Then, we performed an analysis for central electrodes to better depict the information added by
2	wavelet analysis when studying the data obtained by ERP, Figure 6. The wavelet transform of
3	the voltage in the CPZ electrode showed a significant Group X Window interaction in delta,
4	theta, and alpha bands in the total energy, Table 3. The post hoc test showed that the total
5	energy was higher in the Theta-EEG group than in the Normal-EEG group in the 258-516 ms
6	window for the three bands (p \leq 0.0141). The differences in total energy for CPZ electrode in
7	delta, theta, and alpha bands were independent of the type of stimulus presented (Stimulus,
8	Group X Stimulus, Stimulus X Window, and Group X Stimulus X Window were not
9	significant; Table 3). Although the Group X Window interaction was significant for the beta
10	band (Table 3), the post hoc comparison did not show any statistical difference between Theta-
11	EEG and Normal-EEG conditions for any given window ($p \ge 0.0720$). For the gamma band,
12	there was a significant effect of Group and Window but not for other factors or interactions,
13	Table 3.
14	The total energy in the PZ electrode showed a significant Group X Window interaction for the
15	delta, and theta bands, Table 3. The total energy was higher in the Theta-EEG group for the
16	258-516 ms window (p \leq 0.0005 for delta and theta bands). In the alpha, beta, and gamma
17	bands, only the factors Group and Window showed statistical significance, Table 3.
18	A similar increase in the total energy in the alpha band was observed in FCZ and CZ electrodes
19	for the Theta-EEG group. There was a significant Group X Window interaction in the alpha
20	band for both electrodes, Table 3. The total energy was higher in the Theta-EEG group than in
21	the Normal-EEG group only in the 258-516 ms window (FCZ $p = 0.0288$; CZ $p = 0.0175$). For
22	the CZ electrode, the Group X Window interaction was significant for the beta band; however,
23	the post hoc comparisons did not show statistical differences for any specific time window,
24	Table 3. For FCZ and CZ electrodes, only the factors Group and Window showed statistical
25	significance for delta, theta, and gamma bands, Table 3.
26	Taken together, our analysis of wavelet transform for central electrodes showed that the total
27	energy was higher in the Theta-EEG group than in the Normal-EEG group in the 258-516 ms
28	window in the delta and theta bands for more posterior electrodes (CPZ, PZ). The increase in

1 total energy in the Theta-EEG group was observed in the 258-516 ms window for the alpha

2 band in more anterior electrodes (FCZ, CZ).

3 DISCUSSION

In this study we aimed to explore whether the amount of energy obtained from ERP during a counting Stroop task was different between a group of elderly with an excess of theta activity in their EEG and a group of elderly with normal EEG. We evaluated the amount of energy between EEG groups for each of the frequency bands across different time windows. Overall, we found a higher energy in the group with theta excess that might help understand the increased risk of cognitive decline in this group of elderly.

10 Behavioral evidence

The results at the behavioral level showed that there were no major differences between the groups. These were expected results if we consider that the only difference between the groups was at the electrophysiological level in the quantitative EEG analysis. Furthermore, the Theta-EEG and Normal-EEG groups showed a Stroop effect (i.e., longer reaction times for interference stimuli) and they answered with similar efficacy despite the differences in their resting EEG, **Table 2**.

17 Wavelet evidence

18 Total energy analysis

During the counting Stroop task performance, we observed that, for both types of stimulus, the Theta-EEG group requires a higher energy in delta, theta, and alpha bands than the Normal-EEG group, **Figures 1 and 2**. Given that the total energy (μV^2) is related to the number of synchronized active neurons and that no major differences between groups were observed in the performance of the counting Stroop task, we think that a higher expenditure of energy is the biological mechanism that allows the Theta-EEG group to cope with the cognitive demands of this task.

1 In fMRI it has been observed that the healthy elderly have a greater neural activity during 2 the performance of Stroop tasks as compared to young subjects (Cabeza, 2002; Milham et al., 3 2002; Langenecker et al., 2004; Zysset et al., 2007; Mathis et al., 2009). This enhanced activity 4 might be interpreted as a compensatory mechanism developed by them to achieve an optimum 5 performance (Zysset et al., 2007; Mathis et al., 2009) or it may reflect a difficulty in recruiting 6 specialized neuronal circuits (Cabeza, 2002). Furthermore, in fMRI and ERP studies, the elderly 7 with MCI or with electrophysiological risk for cognitive decline, exhibited greater brain activity 8 than healthy elderly (Kaufmann et al., 2008; Sánchez-Moguel et al., 2018). From the point of 9 view of the performance of the task, it is evident that this compensatory mechanism is being 10 effective in both the affected elderly with MCI and the Theta-EEG group. However, a higher 11 energy expenditure of unspecialized neuronal circuits in the task might trigger anomalous cellular 12 processes that are hallmarks of neurodegenerative diseases (Mattson and Arumugam, 2018), 13 making this compensatory mechanism ineffective in the long term. The affected elderly will then 14 have an anomalous activation of the involved circuits, and they will show a dysregulated energetic 15 metabolism (Mattson and Arumugam, 2018).

16 The greater expenditure of energy in the Theta-EEG group observed in delta and theta 17 bands agrees with the finding that increased activity in these bands predicts the development of 18 cognitive impairment (Prichep et al., 2006; van der Hiele et al., 2008), Figures 1, 2, and 4. On 19 the other hand, some studies suggest that increases in alpha power are related to success in 20 inhibiting irrelevant information (Herrmann and Knight, 2001; Werkle-Bergner et al., 2012). This 21 set of works supports our interpretation that the Theta-EEG group has a higher expenditure of 22 alpha energy in order to perform the task with the same efficiency as the Normal-EEG group. 23 Furthermore, the greater expenditure of energy in the alpha band in the Theta-EEG group can be 24 explained by a topographic reorganization of the alpha rhythm during aging in which it is biased 25 towards more frontal regions (Evans & Abarbanel, 1999), Figure 5-2. As mentioned earlier, these 26 EEG changes are exacerbated in patients with dementia or MCI (Prichep et al., 1994).

1 We know that the brain resists entropy or disorder by maintaining its balance through the 2 process of homeostasis (Friston et al., 2006; Friston, 2009, 2010). It is clear that the Theta-EEG 3 group allocates more energy to maintain this homeostatic balance. However, over time the 4 increased energy expenditure can promote neural metabolic imbalances more rapidly, causing the 5 development of cognitive impairment.

6 Analysis of relative energy

7 There was a greater energy expenditure in both EEG groups in the delta and theta bands compared 8 to the other bands, Figure 3. In the Theta-EEG group, the energy expenditure of the delta band 9 was greater than in the Normal-EEG group; this relationship reverts in beta and gamma bands, 10 Figure 3. Patients at risk of cognitive impairment (Prichep et al., 2006; van der Hiele et al., 2008) 11 or that transition from MCI to Alzheimer (Huang et al., 2000; Jelic et al., 2000; Rossini et al., 12 2006) show an increase in the delta and theta power and a decrease in the beta relative power. 13 The beta band is sensitive to the discrimination of interference and no interference stimuli in 14 Stroop tasks (Schack et al., 1999), while the gamma band has a prominent role in the coupling of 15 excitatory and inhibitory neuronal networks (Fries, 2009). This lower energy expenditure in beta 16 and gamma bands in addition to the increased theta activity in the Theta-EEG group could then 17 explain the inhibitory control impaired at the electrophysiological level previously reported by 18 Sánchez-Moguel et al. (2018). Based on the higher risk of cognitive impairment of the Theta-19 EEG group (Sánchez-Moguel et al., 2018), we suggest that the greater relative energy in delta 20 band and the lower relative energy in beta and gamma bands during the performance of the Stroop 21 task may be related to the progression to MCI.

22 Analysis of total energy across time windows

The greater total energy in both EEG groups occurred in the 258-516 ms window for all bands, **Figures 4, 5, and 6**. In ERP studies, it has been observed that this time window is sensitive to the categorization of interference and no interference words (Zurrón et al., 2009; Sánchez-Moguel et al., 2018). Then we interpret that this greater energy expenditure is required to categorize the stimuli. The total energy for this time window was higher for the Theta-EEG group in the theta

1 and alpha bands. We interpret that this increased energy is a mechanism that allows the Theta-

2 EEG group to discriminate the stimuli with a similar efficiency as the Normal-EEG group.

3 The total energy expenditure for each stimulus condition in the different bands was 4 similar within each EEG group, Figures 1, 6, and Table 3. This is an interesting result given the 5 increased complexity of the interference as compared to the no interference stimuli because 6 reading and counting processes are in competition (West and Alain, 2000; Bush et al., 2006) 7 causing the RT to be longer in interference stimuli. We expected that longer RT would be related 8 to higher energy expenditure. These results then suggest that in elderly adults, the processing of 9 Interference and No Interference stimuli demands similar neuronal resources that might differ 10 from young adults, a proposal that needs further study.

11 **OVERVIEW**

12 In summary, the expenditure of energy was higher in the Theta-EEG group during a counting 13 Stroop task. The energy analysis of ERP using wavelets showed that during the execution of the 14 Stroop task: (1) Theta-EEG group assigns a greater amount of total energy in delta, theta, and 15 alpha bands than the Normal-EEG group. (2) Theta-EEG group demands a higher amount of 16 relative energy in delta band but less energy in beta and gamma bands than the normal-EEG 17 group. (3) Theta-EEG group uses higher total energy in all-time windows in the delta, theta, alpha, 18 and beta bands. (4) In the theta and alpha bands, the energy is greater in the Theta-EEG group, 19 specifically in the time window 258-516 ms related to stimulus categorization processing. Thus 20 the current findings emphasize the relevance of a wavelet analysis for diagnosis of neurological 21 disorders, as in recent studies (Faust et al., 2015; Bhattacharyya and Pachori, 2017; Alturki et al., 22 2020).

We propose that this excessive energy expenditure in the Theta-EEG group is due because more neurons are recruited in order to perform the task with the same efficiency as the Normal-EEG group. However, we do not know if this energy expenditure is an effective long-term

mechanism since neurons could be being recruited from unspecialized regions, and there could be cellular and metabolic imbalances that promote progress to cognitive impairment. Furthermore, since the Theta-EEG group participants have a higher risk of developing cognitive impairment and already show detriment of inhibitory control at the electrophysiological level, we suggest that this excessive energy expenditure begins to be anomalous.

Imaging techniques such as fMRI, diffusion tensor imaging, and magnetic resonance
spectroscopy, that evaluate the neural networks involved in the task and metabolic expenditure,
would complement our findings. Additionally, we suggest exploring energy expenditure during
the performance of tasks related to other cognitive processes that are known to be altered in
patients at risk of cognitive impairment.

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Figures

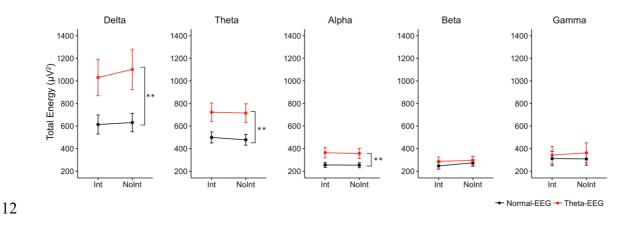


Figure 1. Total energy. Data were obtained from the average across electrodes (reference
electrodes excluded) for Interference (Int) and No Interference (NoInt) stimuli during the
counting Stroop task. ** p < 0.01 for group factor from two-way ANOVA. Data are expressed
as means with standard error bars.

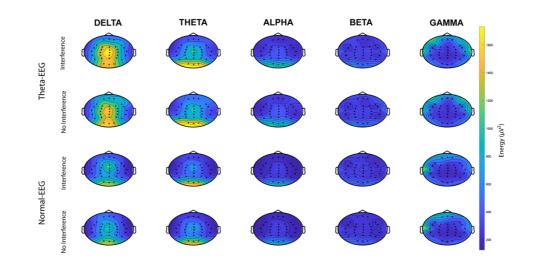


Figure 2. Topographic distribution of total energy. Total energy is shown for the different bands during Interference and No Interference stimuli according to the EEG group. The color scale is expressed in μV^2 .

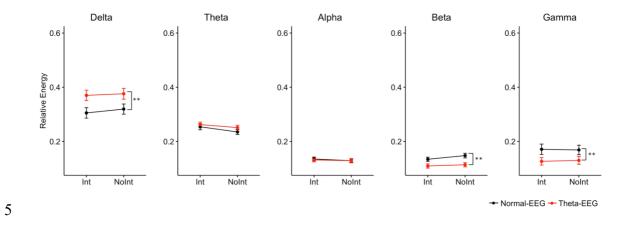


Figure 3: Relative energy. Data are shown for each band according to the EEG group and to the
type of stimulus. Data are expressed as means with standard error bars. ** p < 0.01 for group
factor from two way-ANOVA.

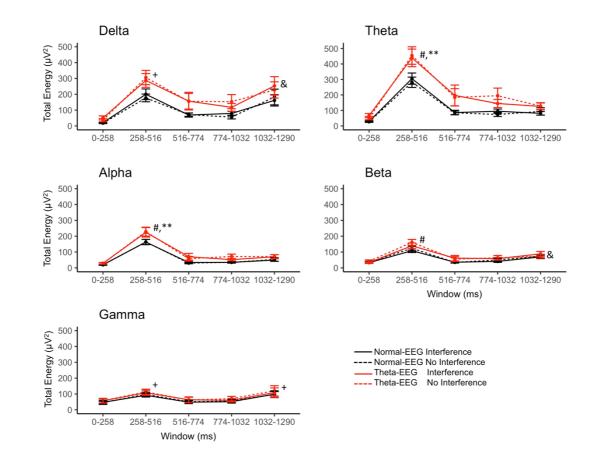
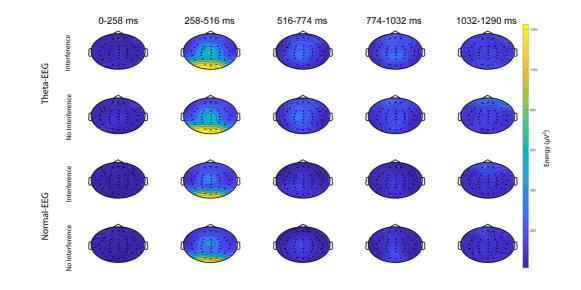


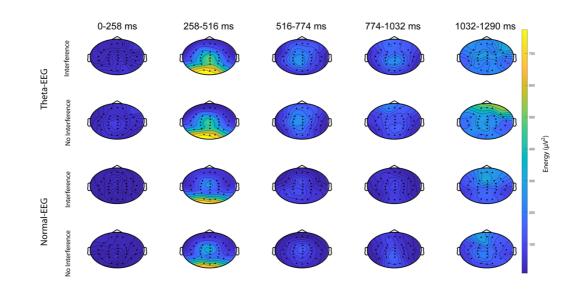
Figure 4. Total energy for time windows and bands. Post hoc test of Group X Window: **p
< 0.01 between Normal-EEG and Theta-EEG for the 258-516 window. Post hoc test of
Window: *p < 0.05 compared to the windows 0-258, 516-774, 774-1032, and 1032-1290; *p <

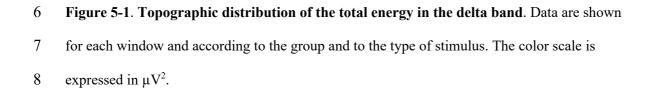
- 5 0.05 compared to 0-258, 516-774, and 774-1032 windows; $^{\&}p < 0.05$ compared to 0-258
- 6 window. Data are expressed as means with standard error bars.



1

Figure 5. Topographic distribution of the total energy in the theta band. Data are shown for each window and according to the group and to the type of stimulus. The color scale is expressed in μV^2 .





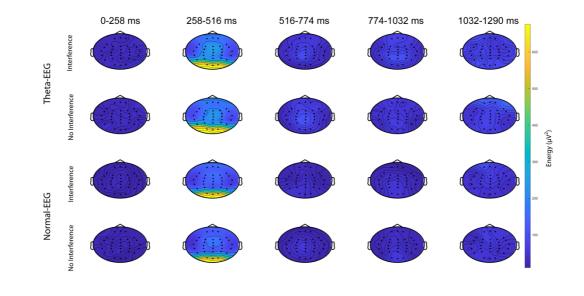
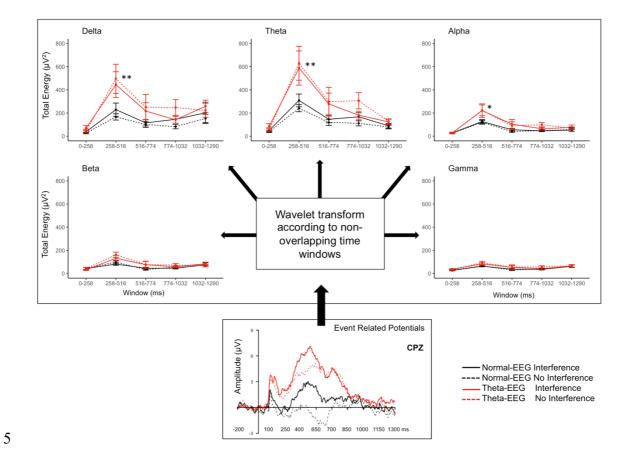


Figure 5-2. Topographic distribution of the total energy in the alpha band. Data are shown
for each window and according to the group and to the type of stimulus. The color scale is
expressed in μV².

1



6 Figure 6. Total energy in the CPZ electrode. The amplitude of the signal obtained by event-

1 related potentials during a counting Stroop task was further analyzed by wavelet transform.

2 **p<0.01, *p <0.05 for the window 258-516 ms when comparing Theta-EEG versus Normal-

- 3 EEG in the post hoc analysis of the interaction Group X Window. No significant differences were
- 4 observed for other windows or for the type of stimulus.
- 5

6

Tables

Band	Frequency Interval (Hz)
Delta	[1.9531 - 3.9063)
Theta	[3.9063 - 7.8125)
Alpha	[7.8125 - 11.7188)
Beta	[11.7188 - 19.5313)
Gamma	[19.5313 - 39.0625)

7

Table 1. Frequency for each band analyzed. The interval for each band is specified.

8

Group	Stimulus	% Correct responses	Response times	
Normal-EEG	Interference	77.13 ± 14.93	727.47 ± 59.21	
	No Interference	83.55 ± 14.28	658.97 ± 59.13	
Theta-EEG	Interference	74.63 ± 19.55	698.71 ± 72.81	
	No Interference	83.15 ± 17.14	656.10 ± 70.93	

9 **Table 2**. **Behavioral performance during the counting Stroop task.** Data are shown as Mean

10

 \pm standard deviation (SD); response times are expressed in ms.

	Band/ ANOVA	Delta	Theta	Alpha	Beta	Gamma
Electrode	factors	F(1,430);	F(1,430);	F(1,430);	F(1,430);	F(1,430);
	Tactors	p-value	p-value	p-value	p-value	p-value
	Group	9.1; 0.003	12.5; 0.0004	12.5; 0.0004	7.1; 0.008	4.2; 0.04
	Stimulus	0.003; 0.9	0.3; 0.6	0.006; 0.9	1.5; 0.2	0.8; 0.4
	Window	5.9; 0.0001	9.7; 1.54e-07	19.2; 1.64e-14	13.0; 5.21e-10	7.4; 8.72e-06
	Group X Stimulus	0.2; 0.7	0.6; 0.4	0.3; 0.6	0.3; 0.6	0.09; 0.8
FCZ	Group X Window	0.5; 0.8	1.3; 0.3	2.4; 0.05	2.0; 0.1	0.4; 0.8
	Stimulus X Window	1.0; 0.4	0.5; 0.7	0.2; 0.97	0.8; 0.5	0.9; 0.4
	Group X Stimulus X Window	0.4; 0.8	0.4; 0.8	0.3; 0.9	0.4; 0.8	0.4; 0.8
	Group	11.7; 0.0007	14.6; 0.0002	12.8; 0.0004	6.5; 0.01	6.2; 0.01
	Stimulus	0.1; 0.8	0.2; 0.6	0.01; 0.9	1.3; 0.3	0.7; 0.4
	Window	5.6; 0.0002	9.5; 2.42e-07	16.9; 6.73e-13	12.9; 6.49e-10	8.7; 9.07e-07
	Group X Stimulus	1.1; 0.3	1.1; 0.3	0.2; 0.7	0.01; 0.9	0.1; 0.7
CZ	Group X Window	0.9; 0.5	1.8; 0.1	2.6; 0.03	2.4; 0.05	0.5; 0.8
	Stimulus X Window	0.6; 0.6	0.6; 0.7	0.1; 0.97	0.4; 0.8	1.1; 0.4
	Group X Stimulus X Window	0.4; 0.8	0.4; 0.8	0.2; 0.99	0.3; 0.9	0.3;0.9
	Group	16.9; 4.62e-05	20.8; 6.75e-06	15.2; 0.0001	8.2; 0.005	9.3; 0.002
	Stimulus	0.3; 0.61	0.3; 0.6	0.02; 0.9	0.8; 0.4	0.3; 0.6
	Window	4.5; 0.001	8.5; 1.40e-06	16.2; 2.8e-12	11.9; 3.45e-09	8.8; 8.3e-07
CPZ	Group X Stimulus	1.4; 0.2	1.3; 0.3	0.2; 0.8	0.04; 0.8	0.2; 0.6
	Group X Window	2.5; 0.0447	3.7; 0.006	2.7; 0.03	3.1; 0.02	0.9; 0.5
	Stimulus X Window	0.5; 0.8	0.4; 0.8	0.1; 0.98	0.2; 0.95	0.96; 0.4

	Group X Stimulus X Window	0.4; 0.8	0.4; 0.8	0.1; 0.97	0.3; 0.91	0.2; 0.95
	Group	19.9; 1.02e-05	24.6; 1.04e-06	14.4; 0.0002	5.9; 0.02	8.2; 0.005
	Stimulus	0.4; 0.6	0.2; 0.6	0.003; 0.96	0.6; 0.4	0.3; 0.6
	Window	5.2; 0.00042	8.3; 1.96e-06	13.9; 1.12e-10	9.8; 1.46e-07	7.2; 1.24e-05
	Group X Stimulus	0.7; 0.4	0.99; 0.3	0.03; 0.9	0.04; 0.8	0.2; 0.7
PZ	Group X Window	3.8; 0.0047	4.9; 0.0007	1.9; 0.1	2.2; 0.07	0.8; 0.5
	Stimulus X Window	0.2; 0.9	0.3; 0.9	0.1; 0.9	0.1; 0.9	0.7; 0.6
	Group X Stimulus X Window	0.5; 0.7	0.4; 0.8	0.2; 0.9	0.2; 0.9	0.3; 0.9

1 Table 3. Two-way ANOVA results for the total energy in central electrodes. There were main

effects of Group and Window for all bands in all electrodes. The Group X Window interaction
was significant for alpha in more anterior electrodes while for delta and theta bands this effect
was observed in more posterior electrodes. Post hoc results for the Group X Window interaction
are described in the text.