1	Human Neural Stem Cells Differentiate and Integrate, Innervating Implanted zQ175
2	Huntington's Disease Mouse Striatum
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24 Abstract

25 Huntington's disease (HD), a genetic neurodegenerative disorder, primarily impacts the striatum 26 and cortex with progressive loss of medium-sized spiny neurons (MSNs) and pyramidal neurons, 27 disrupting cortico-striatal circuitry. A promising regenerative therapeutic strategy of transplanting 28 human neural stem cells (hNSCs) is challenged by the need for long-term functional integration. 29 We previously described that hNSCs transplanted into the striatum of HD mouse models 30 differentiated into electrophysiologically active immature neurons, improving behavior and 31 biochemical deficits. Here we show that 8-month implantation of hNSCs into the striatum of 32 zQ175 HD mice ameliorates behavioral deficits, increases brain-derived neurotrophic factor 33 (BDNF) and reduces mutant Huntingtin (mHTT) accumulation. Patch clamp recordings, 34 immunohistochemistry and electron microscopy demonstrates that hNSCs differentiate into 35 diverse neuronal populations, including MSN- and interneuron-like cells. Remarkably, hNSCs 36 receive synaptic inputs, innervate host neurons, and improve membrane and synaptic properties. 37 Overall, the findings support hNSC transplantation for further evaluation and clinical development 38 for HD.

39

40 Introduction

Huntington's disease (HD) is a devastating neurodegenerative disorder that typically strikes individuals in midlife and progresses over 15-20 years before patients succumb to the disease (Ghosh and Tabrizi, 2018). HD is caused by an autosomal dominant CAG (glutamine) repeat expansion in the *huntingtin* (*HTT*) gene (The Huntington's Disease Collaborative Research Group, 1993). Symptoms include progressive movement abnormalities, most notably chorea, difficulties with daily tasks, cognitive decline and psychiatric manifestations including depression, memory 47 loss, and eventually dementia (Bates et al., 2002; Harper and Jones, 2002). Neuropathologically, 48 the disease substantially impacts the striatum and cerebral cortex, with progressive loss of 49 medium-sized spiny neurons (MSNs) and cortical pyramidal neurons, as well as loss of cortico-50 striatal synapses, leading to severe atrophy (Vonsattel and DiFiglia, 1998; Waldvogel et al., 2015). 51 At the molecular level, the disease is accompanied by progressive loss of neuronal proteins, 52 including brain-derived neurotrophic factor (BDNF) that supports survival of striatal neurons, as 53 well as aberrant accumulation of aggregated huntingtin (HTT) protein species that correspond to 54 disease pathogenesis (Saudou and Humbert, 2016). There is currently no FDA approved disease 55 modifying treatments for HD patients that can either delay onset or modify disease progression. 56 Recent strategies that show promise include DNA-targeting techniques such as zinc-finger proteins 57 and CRISPR/Cas9, as well as HTT-lowering techniques currently in clinical trials, such as RNAi 58 and antisense oligonucleotides (Tabrizi et al., 2019). However, these strategies also have 59 limitations including efficient and targeted delivery, as well as the inability to replace or 60 compensate for neuronal loss. Thus, there is an urgent need to finding additional therapeutic 61 approaches.

62 In recent years, there has been an explosion of studies in regenerative medicine. The use 63 of neural stem cells (NSCs) for the treatment of neurological disorders is in the early stages but 64 there is already a wealth of information indicating that NSCs may offer a viable therapeutic avenue 65 (El-Akabawy et al., 2012; Choi and Hong, 2017; Connor, 2018). We recently demonstrated that 66 human (h)NSCs implanted in the striatum of R6/2 mice, a severe and rapidly progressing model 67 of HD akin to juvenile HD (Mangiarini et al., 1996), survive, are functional, and improve a number 68 of HD phenotypes (Holley et al., 2018; Reidling et al., 2018). Studies also included the long-lived 69 full-length homozygous Q140 HD mouse model and we showed behavioral improvements and

70 reduced aggregation; however, characterization of cells was limited due to low cell survival rate, 71 perhaps caused by insufficient immunosuppression methods. Therefore, in the present study, we 72 determined whether hNSCs can survive for longer periods of time, the types of cells they 73 differentiate into in the host brain, whether cells are electrophysiologically active, whether they 74 make connections with host cells and if neuroprotective effects persist. For these purposes, we 75 used the heterozygous zQ175 mouse model that recapitulates aspects of adult-onset HD (Menalled 76 et al., 2012). Heterozygous mice do not show overt behavioral symptoms until approximately 6 77 months of age and become fully symptomatic at 8-12 months (Heikkinen et al., 2012). 78 Electrophysiological studies have demonstrated altered passive and active membrane properties of 79 MSNs in symptomatic animals, as well as changes in synaptic activity (Heikkinen et al., 2012; 80 Plotkin et al., 2014; Indersmitten et al., 2015; Southwell et al., 2016; Sepers et al., 2018). These 81 functional alterations are associated with significant loss of neuron spines. Here we tested the 82 viability, morphological and electrophysiological properties of hNSCs, as well as their potential 83 therapeutic benefits in zQ175 mice. hNSCs were implanted in the striatum of pre-symptomatic 84 mice (2.5 months), behavioral tests were performed for 8 months, electrophysiological tests began 85 when the mice became fully symptomatic (10.5 months of age) and tissue was collected for 86 immunohistochemical, biochemical, and morphological analyses. Our data show that implanted 87 hNSCs survive, and a subset differentiate into mature MSNs and interneurons, establish 88 connections with the host neurons, and rescue specific electrophysiological and behavioral 89 phenotypes.

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- 92

93 **Results**

94 ESI-017 hNSCs Transplanted Long-Term in zQ175 HD Model Mice Engraft and 95 **Differentiate:** Our previous studies showed beneficial effects of hNSC implantation in R6/2 and 96 Q140 model mice (Holley et al., 2018; Reidling et al., 2018). Here we wished to comprehensively 97 evaluate whether hNSCs could survive for extended periods of time, whether they could further 98 differentiate, and whether they could functionally compensate for loss of connectivity and neuronal 99 function, which has not yet been described in a genetic model of HD. GMP-grade ESI-017 hNSCs 100 (Holley et al., 2018; Reidling et al., 2018) were acquired as frozen aliquots (UC Davis), thawed, 101 and cultured without passaging using the same media reagents as in the GMP facility. Mice were 102 dosed by intrastriatal stereotactic delivery of 100,000 hNSCs per hemisphere at 2.5 months of age. 103 To examine long-term survival of hNSCs, zQ175 mice were sacrificed at 10.5 months of age (8 104 months post-implant). The fate of the implanted cells was determined using IHC with markers for 105 human cells, neural progenitor cells, post-mitotic neurons, astrocytes and oligodendrocytes. 106 Implanted ESI-017 hNSCs survived and remained in the striatum with little migration away from 107 the needle tract in most mice. When proliferative hNSCs are implanted into mice they are non-108 proliferative 8 months post-implant as indicated by a lack of staining for the proliferation marker 109 Ki67 or when analyzed for the incorporation of the nucleotide analog EdU (Fig. 1A & B). 110 Implanted hNSCs also did not express the neural stem cell marker nestin (Fig. 1C), supporting 111 that they have differentiated. A survey of cell markers revealed that the implanted hNSCs 112 differentiated into previously observed lineages of immature neurons (doublecortin, DCX+, Fig. 113 1D & E), very few astrocytes (glial fibrillary acidic protein, GFAP+, Fig. 1C), but not 114 oligodendrocytes. Some cells appeared to differentiate into mature neurons (neuronal nuclei, 115 NeuN+, Fig. 1D, E & I and BetaIII tubulin+, Fig. 1G) or interneurons (calretinin, CR+, Fig. 1F,

116 or glutamic acid decarboxylase 65/67, Gad65/67+, Fig. 1G). We also detected glutamate 117 transporter, vGlut1+ puncta surrounding implants potentially from cortical terminals (Fig. 1F). 118 hNSCs also differentiated into MSNs (dopamine- and cAMP-regulated neuronal phosphoprotein, 119 DARPP32+ and B-cell lymphoma/leukemia 11B, Ctip2+ Fig. 1H, I & J). In addition, there is 120 evidence that some hNSCs differentiated into cell types that exhibit inhibitory neuronal signals 121 (gamma-aminobutyric acid, GABA+ Fig. 1J). These longer duration survival studies suggest that, 122 given enough time, the hNSCs are no longer proliferative and can differentiate into post-mitotic 123 neurons typically found in striatum.

124 After analysis of multiple brain sections, we observed evidence of some cell migration on 125 the white matter tracts between the striatum and cortex (~50% of the zQ175 but only a few WT 126 mice). In addition, a subset of these mice that displayed cell migration (~30% overall of implanted 127 mice) exhibited nodules of cells that were positive for the human marker Ku80 adjacent to the cells 128 in the implant site and in the ventricular space, but not in the striatum. H&E stains on adjacent 129 sections of tissue (Fig. 1K & L) were performed and cytologically the cells in the nodules appeared 130 to be mostly well-differentiated, mature-looking neurons. No evidence of proliferation was 131 observed using Ki67 and nestin staining, suggesting these nodules are not a cause of concern in 132 terms of potential for being or forming metastatic tumors. We performed IHC on another cohort 133 of zQ175 and WT mice at 1, 2 and 5 months post implant in an attempt to observe the formation 134 of nodules over time but did not observe any nodule formation. Between implantation of the 135 original cohort and the nodule test mice we made a minor improvement to the surgical apparatus 136 that may have altered hNSC migration on white matter tracks.





139 Figure 1: ESI-017 hNSCs implanted in zQ175 mice differentiate and do not proliferate: A) 140 5x mag. hNSCs (human cytosolic marker SC121, red) in zQ175 mice do not express the 141 proliferation marker Ki67 (green). Proliferating cells in the lateral ventricle are indicated by arrow. 142 **B)** 10X mag. showing hNSCs (human nuclear marker Ku80, green) did not incorporate the 143 nucleotide analog EdU 24 hrs post injection indicating they are not dividing. C) 20x shows hNSCs 144 (Ku80, red) do not express the neuronal progenitor marker nestin (green) but some cells show 145 expression of the astrocyte marker GFAP (blue, white arrow). The hNSC implant site is 146 surrounded by a mouse glial cell scar (GFAP+ blue, yellow arrow). **D**) hNSCs (Ku80, red) 147 differentiate into both immature DCX+ (green) and more mature (NeuN, blue) neurons, shown at 148 63x. E) Another image of hNSCs (Ku80, green) differentiating into both immature DCX+ (red) 149 and more mature (NeuN, blue) neurons, shown at 63x. F) 63x mag. hNSCs (SC121, red) 150 differentiate into interneurons Calretinin (green) and some vGlut1 (blue, white arrow) puncta can 151 be observed in the implantation site. The inset image shows the entire implant at 10x. G) 63x mag. 152 hNSCs (Human nuclear antigen HNA, red) differentiate into a mixed population of cells that co-153 stain with GAD65/67 (green) or Beta III-tubulin (blue). H) Image shows hNSCs (HNA, green) 154 differentiating into MSNs, DARPP32+ (red) Ctip2+ (blue) at 60x. I) Another 60x image showing 155 hNSCs differentiating into MSNs using hDARPP32+ (red) and hCtip2+ (blue) only, as well as 156 some other hNSCs expressing the mature neuronal marker NeuN. J) 60x image shows hNSCs 157 (SC121, blue) expressing the MSN marker Ctip2 (green) and co-localization with the inhibitory 158 neuronal marker GABA (red). K) 4x mag. and L (20x) showing H&E stains. A small nodule of 159 cells that migrated away from the initial injection track are shown (at arrow, enlarged in L). Review 160 from pathology included the comments that cytologically, the cells in the nodules are mostly well 161 differentiated cells, with lots of large, mature-looking neurons.

162

163 ESI-017 hNSCs Improve Behavior in zQ175 HD Mice: We previously established that 164 engrafted ESI-017 hNSCs significantly improve multiple behavioral outcomes in R6/2 and 165 homozygous Q140 HD model mice (Reidling et al., 2018). To determine if hNSC implantation 166 was also efficacious in heterozygous zQ175 mice used in this study we performed established 167 behavioral assays for these mice. We found strikingly significant improvements in the running 168 wheel test in mice that were 7.5 months old (5.5 months post implant) in ESI-017 hNSC implanted 169 heterozygous zQ175 mice compared to vehicle mice, suggesting a reversion to WT levels and 170 persistence of the effect (Fig. 2A). The slope of motor learning was not significantly different 171 among the 3 groups. In addition, we found significant improvements in distance traveled and 172 velocity for hNSC-treated male and female mice combined compared to vehicle in the open field

in mice that were 8 months of age (6 months post-implant) (Fig. 2B & C). All open field behavioral
outcomes are provided in Supplementary Materials (Suppl. Fig. 1A-C).

175

176 Engrafted ESI-017 hNSCs Correlate with Increased BDNF and Decreased Pathogenic 177 Accumulation of mHTT Proteins: Increased levels of BDNF were demonstrated after hNSC 178 implantation in the rapidly progressing R6/2 HD mouse model (Reidling et al., 2018), therefore 179 we evaluated whether this effect could be sustained following long-term engraftment in zQ175 180 mice. Striatal BDNF quantified by Western blot analysis was slightly but not significantly 181 decreased in a subset of male zQ175 mice (n=3/group) compared to WT, but a significant increase 182 in BDNF levels was observed in hNSC-treated zQ175 mice compared to vehicle (Fig. 2D & E). 183 Interestingly, we also observed an increase in the phosphorylation of extracellular signal-regulated 184 kinase (ERK) protein suggesting potential activation of cellular signaling cascades (Fig. 2D & F). 185 In addition, our previous studies showed that hNSC treatment can reduce high molecular weight 186 (HMW) mHTT species, a pathogenic marker for HD. Consistent with those results we also 187 observed persistent reduction in levels of a HMW mHTT species in hNSC treated zQ175 mice 188 (Fig. 2G & H), suggesting prevention of pathology by the transplanted cells.

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Figure 2: ESI-017 hNSCs implanted in zQ175 mice improve behavior, increase BDNF and p-ERK levels and reduce levels of a HMW mHTT species: A. Running wheel shows persistent improvement 5.5 months post-treatment. Mean running wheel rotations/minute/night over two weeks, in WT, zQ175 vehicle (veh) treated or zQ175 hNSC and zQ175 veh treated male mice (5/group). Results are expressed as the mean \pm S.E.M one-way ANOVA Tukey HSD and Bonferroni post test p values for zQ175 veh treated to zQ175 hNSC treated Day2=0.003

196 Day3=0.001, Day4=0.002, Day5=0.06, Day6=0.03, Day7=0.03, Day8=0.07, Day9=0.06, 197 Day10=0.009, Day11=0.01, Day12=0.08, Day13=0.1 summarized as * p<0.05, ** p<0.01, shown 198 below error bars. WT veh to zQ175 veh Day2=0.001 Day3=0.001, Day4=0.001, Day5=0.002, 199 Day6=0.002, Day7=0.001, Day8=0.005, Day9=0.01, Day10=0.001, Day11=0.002, Day12=0.02, 200 Day13=0.03, summarized as **p<0.03 at right. WT veh to zQ175 hNSC was not significantly 201 different at any time-point. **B.** Total distance traveled in the open field 6 months post implant. Mice 202 were subjected to the open field and total distance in centimeters of their respective tracks were 203 combined and statistically analyzed to visualize any differences in ambulation. The zQ175 veh 204 treated mice traveled less distance than WT veh and zQ175 hNSC treated mice. C. Velocity 205 traveled in the open field 6 months post implant. Mice were subjected to the open field and velocity 206 traveled in centimeters/sec of their respective tracks were combined and statistically analyzed to 207 visualize any differences in time of ambulation. The zQ175 veh treated mice traveled slower than 208 WT veh and zO175 hNSC treated mice. Groups for open field included: 7 male zO175 Het hNSC, 209 7 female Het hNSC, 9 male zQ175 Het veh, 8 female zQ175 Het veh, 5 male WT hNSC, 5 female 210 WT hNSC, 6 male WT veh, 6 female WT veh. Results are expressed as the mean ± S.E.M with 211 one-way ANOVA Tukey HSD and Bonferroni post test: * p=0.03 for genotype and p=0.02 for 212 hNSC treatment in zQ175. **D**. Western blot analysis of whole tissue mouse striatal lysates from 213 zQ175 and WT mice. zQ175 mice exhibited non-significantly reduced levels of BDNF compared 214 to WT mice and levels were significantly increased with hNSC treatment. zQ175 mice also showed 215 significantly increased levels of pERK compared to vehicle controls. Quantitation of the 216 relative protein expression for BDNF (E) and pERK (F) is shown. G. Western blot analysis of 217 mouse striatal lysates separated into detergent-soluble and detergent-insoluble fractions. zQ175 218 mouse striatum is enriched in insoluble accumulated mHTT compared to WT mice. hNSC 219 implantation in zQ175 mice results in a significant reduction of insoluble HMW accumulated 220 HTT. Quantitation of the relative protein expression for mHTT is shown in (H) and hNSC 221 implantation results in a significant decrease of insoluble HMW accumulated mHTT. Graph values 222 represent means \pm SEM and Western blots were analyzed with ImageJ for quantification of BDNF 223 (normalized to tubulin), ERK/pERK ratio or aggregate type/section. Data was analyzed by one-224 way ANOVA with Tukey HSD and Bonferroni post hoc test (n = 3/group) *p = 0.03, **p = 0.005. 225

226 Electrophysiological and Morphological Characterization of hNSCs: hNSCs transplanted for

227 4 weeks in R6/2 mice showed properties of immature electrophysiologically active hNSCs

228 (Reidling et al., 2018), however, we did not know whether this could be sustained or improved in

229 long-term implanted mice. To perform electrophysiological studies we used a subset of female

230 zQ175 and WT mice (10.5 month-old) implanted at UCI and shipped live to UCLA. The hNSC

- grafts in zQ175 mice were easily identifiable under IR-DIC microscopy (Fig. 3A1 & A2). In
- 232 contrast to host tissue, which appeared darker due to myelin from fiber tracks, the graft appeared
- 233 more translucent and densely populated by diverse cell types. In agreement with histological

234 findings, most cells (~80%) within the graft sites were small (<15 μ m in diameter), round or 235 bipolar, and had few extended processes. These cells appeared to be visually similar to the 236 immature neuronal cell types previously recorded in R6/2 mice 4-6 weeks after implantation 237 (Holley et al., 2018; Reidling et al., 2018). We also observed a number of cells that were larger in 238 size (15-25 μ m in diameter) with abundant and extensive processes that were visually different 239 from host MSNs. As immature-looking hNSCs were characterized extensively in our previous 240 publication, in the present study we focused our recordings on these larger, more mature-looking 241 cells. We found for all hNSC types, membrane properties were similar for cells recorded in either 242 zQ175 or WT mice and data from recorded hNSCs from both genotypes were pooled (Table IA,

243 **Fig. 3C**).

244 Recorded cells in the grafts appeared to differentiate into two groups, one population of 245 cells with immature-like electrophysiological properties and one population with more mature-like 246 properties. In total, 49 hNSCs were recorded (n=18 in WT and 31 in zQ175 mice). The two groups 247 of hNSCs were separated based on whole-cell patch-clamp recordings in voltage clamp mode 248 measuring passive membrane properties (cell membrane capacitance, input resistance and decay 249 time constant), as well as Na⁺ current amplitudes. Cells with low membrane capacitance 250 (mean \pm s.e., 18.6 \pm 2.7 pF), high input resistance (847.3 \pm 269.9 M Ω), fast time constant (0.51 \pm 0.08 251 ms), and Na⁺ current amplitude <1 nA were immature-looking hNSCs (n=9, 3 in WT and 6 in 252 zQ175 mice) (Fig. 3B1 & C). A number of these cells did not display Na⁺ currents and were 253 probably glial cells, e.g., astrocytes (n=2 in WT and 5 in zQ175 mice). In contrast, cells with high 254 membrane capacitance (128.5 \pm 10.0 pF), medium-high input resistance (625.1 \pm 64.6 M Ω), slower 255 time constant (2.2 \pm 0.2 ms), and Na⁺ current amplitude >1 nA were mature-looking hNSCs (n=40, 256 15 in WT and 25 in zQ175 mice, Fig. 3B2 & C).



258 Figure 3: Two main types of hNSCs could be distinguished based on soma size, passive and 259 active membrane properties. (A1). IR-DIC image from transplanted hNSCs in a zQ175 mouse 260 revealed that most cells had small, round somata. (A2). A small percentage of hNSCs had larger 261 somata and some dendritic branches. A patch pipette attached to the cell can be seen. Example cell is from a zQ175 mouse. (B1). Recordings performed on hNSCs in zQ175 mice show that 262 263 depolarizing step voltage commands from a holding voltage (V_h) of -70 mV induced only incipient 264 Na⁺ currents (<1000 pA) and large outward K⁺ currents. These cells were deemed immature. 265 Example trace is from a zQ175 mouse. Voltage clamp protocol is shown above the current traces. 266 (B2). Large cells displayed repetitive large Na⁺ spikes (>1000 pA) and were deemed mature. 267 Example trace is from a WT mouse receiving an implant of hNSCs. C. Bar graphs show mean±S.E.M of cell membrane properties of two types of implanted hNSCs, those with immature 268 269 (red) and those with mature (blue) membrane properties, using Na⁺ current amplitude as cut-off. 270 Capacitance, input resistance and time constants are shown for recorded hNSCs. **D.** Bar graphs 271 show mean±S.E.M of cell membrane properties of host MSNs (WT and zQ175 pooled together) 272 compared to implanted hNSCs. Capacitance, input resistance and time constants are shown for 273 recorded host MSNs and mature and immature hNSCs. Statistical significance was measured using 274 one-way ANOVA tests followed by Bonferroni post hoc tests for pairwise comparisons and *** represents p<0.001. In (D.) Capacitance: p=2.0007e-05 for MSN versus NSC Na+<1000 pA and 275 276 p=6.1282e-08 for NSC Na+>1000 pA versus NSC Na+<1000 pA. Input Resistance: p= 5.3000e-277 06 for MSN versus NSC Na+>1000 pA and p= 2.6645e-05 for MSN versus NSC Na+<1000 pA. 278 Time Constant: p=8.9566e-05 for MSN versus NSC Na+<1000 pA and p=1.7886e-05 for NSC 279 Na+>1000 pA versus NSC Na+<1000 pA.

280

281 To further characterize maturation and differentiation of hNSCs, we compared their basic 282 membrane properties with those of MSNs recorded from the host (Fig. 3D). While subtle 283 differences in membrane properties have been reported in MSNs giving rise to the direct and 284 indirect pathways (Cepeda et al., 2008; Gertler et al., 2008), in the present study we did not 285 differentiate these two classifications of MSNs. Cells with Na⁺ currents >1 nA had large membrane 286 capacitance, similar to or even larger than that of host MSNs. In contrast, cells with low amplitude 287 Na⁺ currents had very low membrane capacitance, similar to recordings from immature neurons. 288 Both types of hNSCs had relatively high membrane input resistance compared with MSNs. In 289 addition, differences in decay time constants were similar to those observed for cell capacitance. About 50% of recorded hNSCs lacked inward Ca²⁺ currents, usually visible with Cs⁺-based 290 291 internal solution after depolarizing voltage commands, suggesting that these cells were not

292 projection MSNs. However, the remaining recorded hNSCs (n=10 in WT mice and n=14 in zQ175)

293 displayed inward Ca^{2+} currents. Some of these cells displayed Ca^{2+} currents larger in amplitude

and similar to those observed in host MSNs (n=5 in WT mice and n=6 in zQ175) (Fig. 4).



295

296 Figure 4: Examples of host MSN and mature hNSCs from a zQ175 mouse. Some hNSCs 297 displayed mature neuronal properties that were similar to (MSN-like) and different (interneuron-298 like) from host MSNs. A. Recordings of intrinsic currents in response to step voltage commands 299 (10 mV steps from -80 to +10 mV) in a host MSN (left panel) and in a MSN-like (center panel) and an interneuron-like (right panel) hNSC. Depolarizing voltage commands induced large Na⁺ 300 currents followed by inactivating Ca²⁺ currents (arrows) of variable amplitudes. In the interneuron-301 like cell, repetitive spikes were observed but no prominent Ca²⁺ currents. **B.** Recordings of currents 302 in response to a ramp voltage command (8 sec, from -90 to +50 mV). Some hNSCs recorded 303 (center panel) had properties similar to host MSNs, both displaying Ca^{2+} currents (black arrows) 304 after membrane depolarization. Other large hNSCs recorded lacked Ca²⁺ currents but displayed 305 repetitive Na⁺ spikes (right panel) and were probably interneurons. C. Spontaneous synaptic 306 307 currents recorded at +10 mV in a host MSN and in MSN-like and interneuron-like hNSCs. These

308 309 310 311 312	currents are mostly GABAergic. D. Spontaneous synaptic currents recorded at -70 mV in a host MSN and in a MSN-like and an interneuron-like hNSC. These currents are most likely glutamatergic. Traces in each column are from the same cell. Calibrations on the right apply to all traces in each row.						
313	The intrinsic membrane properties of these hNSCs were not significantly different regardless of						
314	the mouse genotype (Table IB & C). These cells also displayed frequent spontaneous synaptic						
315	activity and could represent projection neurons with the potential to connect with other cells inside						
316	and outside the graft. Biocytin labeling revealed these cells had abundant dendritic processes and						
317	sparse spines (Fig.	5).					
318			Table I				
319	A: Numbers and Percentages of hNSCs Recorded Electrophysiologically						
320		I _{Na+} >1 nA	I _{Na+} <1 nA	Ica ²⁺		MSN-like	
321	WT (n=18)	15 (83%)	3 (17%)	10 (56	%)	5 (33%)	
322	zQ175 (n=31)	25 (81%)	6 (19%)	14 (45	%)	6 (24%)	
323							
324	B: Cell Membran	ne Properties of hNSCs	s Displaying I _{Ca²⁺} Impl	lanted i	n WT	or zQ175 Mice	
325		Capacitance (pF)	Input Resistance (M	[Ω)	Time	Constant (ms)	
326	WT (n=10)	103.2±12	780.0±104	ŀ		2.1±0.3	
327	zQ175 (n=14)	109.2±14	778.2±137	1.8±0.2			
328							
329 330 331	C: Cell Memb	rane Properties of Rec Implanted	corded hNSCs Display in WT or zQ175 Mice	ing Lar	ge, M	SN-like I _{Ca} ²⁺	
332		Capacitance (pF)	Input Resistance (M	[Ω)	Time	Constant (ms)	
333	WT (n=5)	89.2±9	945.2±145		1.6±0).3	
334	zQ175 (n=6)	107.6±12	727.8±156		1.6±0).3	

3	3	5
J	J	J

336	D: Cell Membrane Properties of Host MSNs from WT Mice					
338 339 340		Capacitance (pF)	Input Resistance (M Ω)	Time Constant (ms)		
	WT-Veh (n=9)	110.2±7	78.0±8	2.2±0.1		
341	WT-hNSC (n=7)	113.4±13	109.2±20	2.1±0.2		







Figure 5: Biocytin-filled hNSCs recorded in WT and zQ175 mice in host striatum. Upper
 panels show low-magnification images of several hNSCs. Arrows indicate two cells that displayed
 MSN-like electrophysiological properties. Lower panels show processes from the same cells at
 higher magnification. Arrows indicate possible dendritic spines.

The remainder of hNSCs did not display Ca²⁺ currents. Instead, they displayed large inward 348 349 Na⁺ and outward K⁺ currents, and could fire repetitive action potentials. We were able to recover 350 ~50% of biocytin-filled hNSCs (9/18 or 50% and 15/31 or 48% recorded in WT and zQ175 mice, 351 respectively). Importantly, over 80% of those recovered clearly co-immunostained with the SC121 352 and Ku80 markers (8/9 or 89% and 12/15 or 80% in WT and zQ175 mice, respectively), thus 353 confirming their human origin. Post-recording immunostaining of biocytin-filled large hNSCs 354 with SC121 and Ku80 (with strepavidin-Alexa 594 for cell visualization) in fixed slices revealed 355 hNSCs with relatively large somata (compared to host MSNs) and extensively branched processes. 356 Other visible hNSCs were smaller in size and had either many or a small number of processes. The 357 large hNSCs had cell diameters of up to $\sim 25 \,\mu m$ and were positive for both SC121 and Ku80 358 immunostaining (Fig. 6). Another important difference was that, compared with host MSNs or 359 MSN-like hNSCs, many large hNSCs fired spontaneously. In cell-attached recording mode, cells 360 fired rhythmically and also received rhythmic GABAergic synaptic inputs. We tentatively 361 concluded these inputs were GABAergic because the GABA reversal potential was around -60 362 mV (Suppl. Fig. 2). Due to this rhythmic input, one possibility is that the source of this GABA 363 input is from other mature hNSCs, as hNSCs remained in close proximity. In addition, host 364 GABAergic interneurons, such as the somatostatin-expressing or neuropeptide Y (NPY)-365 expressing interneurons that fire spontaneously, may contribute to these rhythmic inhibitory events 366 recorded in hNSCs. Another type of recorded hNSC (n=3) resembled low-threshold spiking (LTS) 367 striatal interneurons (Suppl. Fig. 3A). In current clamp mode these cells displayed prominent delayed rectification at hyperpolarized membrane potentials (Suppl. Fig. 3B). When depolarized 368 they discharged in bursts of action potentials, seemingly riding on a low-threshold Ca²⁺ spike 369 370 followed by a membrane hyperpolarization, which produced spontaneous oscillations and bursts

of action potentials (Suppl. Fig. 3C). The last type of hNSC (n=2) resembled cholinergic (ChATexpressing) interneurons, also known as striatal tonically active neurons (TAN). They displayed
rhythmic firing (2-3 Hz) and prominent delayed inward rectification. Electrophysiological
identification of these interneurons was supported by IHC detection of appropriate markers
(Suppl. Fig. 4 and 5).



Figure 6: Images of large hNSCs from a zQ175 mouse, which displayed interneuron-like
electrophysiological properties. A. Four medium to large hNSCs were recorded within the graft.
B. The same image showing staining for the human markers SC121 (cytoplasmic, green) and Ku80
(nuclear, blue). C. Fluorescent image of one of the large biocytin-filled hNSCs. Immunostaining

of the same hNSC with human stem cell markers SC121 (arrow in **D**) and Ku80 (**E**). **F.** Merged image showing biocytin and the two human stem cell markers. The electrophysiology of this interneuron-like hNSC is shown in Fig. 4 (right panels).

384

385 Synaptic Properties of hNSCs Compared with Host MSNs: Glutamatergic inputs onto hNSCs 386 were examined by holding the membrane at -70 mV. GABAergic inputs were examined by holding 387 the membrane at +10 mV. Immature hNSCs displayed very few synaptic inputs whereas mature 388 hNSCs had a wide range of synaptic inputs, some with frequencies as high as those recorded from 389 MSNs [2.1±0.2 Hz (range 0.2-6.9 Hz) for MSNs versus 1.5±0.2 Hz (range 0.0-8.7 Hz) for hNSCs]. 390 Based on the frequency of spontaneous synaptic activity, cells displaying Na⁺ currents could be 391 divided into those with high IPSC and high EPSC frequencies, high IPSC and low EPSC 392 frequencies, and low IPSC and high EPSC frequencies. As expected, large hNSCs displayed higher 393 frequencies than those of immature-looking hNSCs (Fig. 7A-C). Some hNSCs (n=2) also were 394 tested for their ability to respond to electrical stimulation in the vicinity of the graft. Both cells 395 responded to the electrical stimulation displaying both glutamatergic and GABAergic responses, 396 as demonstrated by specific blockade with appropriate receptor antagonists (Fig. 7D). Thus, these studies provided evidence that hNSCs establish synaptic contacts with the host and probably 397 398 among hNSCs as well.



400 Figure 7: hNSCs in WT and Q175 mice display multiple synaptic inputs. A. Sample traces of 401 sIPSCs and sEPSCs recorded from hNSCs in a zO175 mouse in ACSF. B and C. Summary of the 402 sIPSC and sEPSC frequencies from hNSCs categorized by the size of their Na⁺ currents. Statistical 403 significance was measured between groups using Kruskal-Wallis one-way ANOVA on ranks 404 followed by Holm-Sidak pairwise comparisons, where * p=0.024 for Het Na⁺>1000 pA versus 405 WT Na⁺<1000 pA. **D.** Responses evoked by electrical stimulation (0.1-0.5 mA, 1 ms duration) of host striatal neurons (about 200 µm lateral to the graft) in a hNSC from a zQ175 mouse. 406 407 Glutamatergic (V_h =-70 mV) and GABAergic (V_h =+10 mV) responses were reliably evoked by 408 electrical stimulation. Responses were blocked by glutamate and GABAA receptor antagonists 409 respectively. 410

411 Ultrastructural Evidence that hNSCs Establish Synaptic Contacts Within and Outside the

412 **Graft:** To examine the morphology of implanted hNSCs and determine whether synaptic contacts 413 are present between the host and transplanted hNSCs, thus further supporting the 414 electrophysiology results, we performed electron microscopy (EM). EM studies provided 415 additional anatomical evidence that hNSCs in the graft received innervation from host neurons. A 416 subset of the mice implanted at UCI (3 females per group, zQ175 hNSC implanted) were sent live 417 to the Portland VA Medical Center where the mice were perfused with fixative, the brains were 418 collected and fixed for EM tissue processing. Results indicate that mouse host cell nerve termini 419 make both symmetrical and asymmetrical synaptic contacts with implanted ESI-017 hNSCs. As a 420 proof-of-principle, in a subset of samples we performed double-immunostaining for vGlut1 (which 421 labels cortical glutamate terminals) and SC121. There were mouse host cell nerve termini that 422 were positively labeled with vGlut1 making asymmetrical synaptic contacts with ESI-017 hNSCs 423 (Fig. 8A), suggesting that mouse (host) cortical neurons contribute to these connections. However, 424 it is also possible that the other major host nerve terminal input to the ESI-017 hNSC implanted 425 neurons, where an asymmetrical synaptic contact is observed (Fig. 8B, C), may be from the 426 thalamus.

Within the implant, of the host (non-labeled) terminals contacting SC121+ dendrites/spines in the implant site, 60% of the asymmetrical contacts were on dendrites and 40% on spines (total of 35 observations), suggesting contacts with MSN-like hNSCs. Of the host contacting SC121+ labeled dendrites, if the synaptic contact was symmetrical, 100% of those contacts were on the dendrite (22 observations). Of all the host (non-labeled) contacts onto SC121+ labeled cells in the implant area, 62.1% were asymmetrical while 37.9% of the contacts were symmetrical (total of 58 observations).

Within the implant, the percentage of SC121+ nerve terminals contacting SC121+ dendrites was determined. We found that of those total synaptic contacts, 71.3% were making an asymmetrical synaptic contact, with 27.8% making a symmetrical contact (total of 115 observations). About 1% of the contacts could not be determined as to whether they were

- 438 symmetrical or asymmetrical. Of those 71.3% of the contacts that were asymmetrical, 36.5% of
- 439 those were on dendrites (Fig. 8D) while 34.7% were on spines (Fig. 8E). Of the 27.8% of the
- 440 contacts that were symmetrical, 21.7% were on dendrites (Fig. 8F) and 6.1% were on spines.



441

442 Figure 8: EM studies revealed that the host tissue makes synaptic contacts with ESI-017 443 hNSCs in zQ175 mice within the implantation site: A. hNSC/DAB labeled nerve terminal (L-444 NT) making a symmetrical synaptic contact (single black arrow with white outline) with an 445 hNSC/DAB labeled dendrite (L-DEND). Vglut1 labeled nerve terminal (VGlut1 NT) making an 446 asymmetrical synaptic contact with the head of a stubby spine that contains a perforated 447 postsynaptic density (two black arrows with white outline pointing to synaptic contact). The 448 VGlut1 NT (black arrowheads with white outlining-VIP labeling), is distinguished from the 449 adjacent nerve terminal (which is DAB labeled). The primary origin of VGLUT-1 containing 450 neurons is the cortex. B. An unlabeled nerve terminal (U-NT) making an asymmetrical synaptic 451 contact (arrow) with an underlying hNSC positive labeled dendrite (L-DEND) (note the darkened 452 DAB reaction product within the dendrite). The unlabeled nerve terminal might originate from 453 either the host striatum, thalamus or cortex (see panel A), while the labeled dendrite originates 454 from the implanted stem cells. C. An unlabeled nerve terminal (U-NT) is making an asymmetrical 455 synaptic contact (arrow) with an underlying hNSC positive/labeled dendritic spine (L-SP) (note 456 the darkened DAB reaction product within the spine). As in panel B, the unlabeled nerve terminal

457 might originate from either the host striatum, thalamus or cortex (see panel A), while the labeled dendritic spine originates from the implanted stem cells. D. A labeled nerve terminal (L-NT) is 458 459 making an asymmetrical synaptic contact (arrow) with an underlying hNSC positive/labeled 460 dendrite (L-DEN) (note the darkened DAB reaction product within the nerve terminal and 461 dendrite). The labeled nerve terminal and dendrite originate from the implanted stem cells. E. A 462 labeled nerve terminal (L-NT) is making an asymmetrical synaptic contact (arrow) with an 463 underlying hNSC positive/labeled dendritic spine (L-SP) (note the darkened DAB reaction product 464 within the nerve terminal and spine). The labeled nerve terminal and spine originate from the implanted stem cells. F. A labeled nerve terminal (L-NT) is making a symmetrical synaptic contact 465 466 (arrow) with an underlying hNSC positive/labeled dendrite (L-DEN) (note the darkened DAB 467 reaction product within the nerve terminal and dendrite). The labeled nerve terminal and dendrite originate from the implanted stem cells. 468

469

470 Investigating synaptic contacts outside the implant area (located ~ 0.25 mm lateral of the 471 implant site), of the percentage of SC121+ labeled nerve terminals contacting SC121 negatively 472 labeled postsynaptic dendrites (i.e., from the host), 90.6% were making an asymmetrical synaptic 473 contact while 9.4% were making a symmetrical contact (total of 32 observations). Of the 90.6% 474 making an asymmetrical synaptic contact, 79.3% were contacting spines while 20.7% were 475 contacting dendrites. There were also nerve terminals from the host striatum (i.e., SC121 negative) 476 contacting SC121+ dendrites. Of those contacts, 52.6% were asymmetrical and 47.4% were 477 making a symmetrical contact (total of 19 observations) (Suppl. Fig. 6A-D).

478

479 hNSCs Improve Some Altered Intrinsic and Synaptic Membrane Properties of MSNs in Host

zQ175 Mice: Given that we have previously shown that excitatory and inhibitory inputs to striatal MSNs and cortical pyramidal neurons in the zQ175 mouse model are altered (Indersmitten et al., 2015), we obtained whole-cell voltage clamp recordings to measure membrane and synaptic properties of neighboring host MSNs to determine whether transplanted hNSCs conferred modulatory outcomes (**Fig. 9A-D**). Data from WT mice implanted with hNSCs and injected with vehicle only were pooled as there were no consistent differences in measures from MSNs between

486 the two groups (Table ID). We observed an improvement in the cell membrane properties of 487 zQ175 MSNs from hNSC-implanted mice compared to zQ175 MSNs from mice receiving the 488 vehicle only (Fig. 9A). Previously, we showed MSNs from symptomatic zQ175 mice have higher 489 membrane input resistances than MSNs from WT mice (Indersmitten et al., 2015). Compared with 490 WT MSNs (hNSC-implanted and vehicle-injected, combined), zQ175 MSNs from vehicle-491 injected mice had significantly higher input resistances (WT 91.6±10.1 versus Q175-Veh 492 $170.2\pm19.7 \text{ M}\Omega$, p<0.001; Kruskal-Wallis ANOVA of ranks, followed by Holm-Sidak pairwise 493 comparisons). Although input resistances were slightly higher in zQ175-hNSC MSNs (120.1±13.1 494 M Ω) compared to WT MSNs, this difference was not statistically significant demonstrating 495 improvement in this electrophysiological property. Input resistances were significantly lower in 496 MSNs from hNSC-implanted zQ175 mice compared to MSNs from vehicle-injected mice 497 (p=0.04). There were no significant differences in cell membrane capacitances or membrane time 498 constants across all three groups (Fig 9A).

499 In terms of hNSCs effects on synaptic activity, as reported previously, in zQ175 MSNs 500 (Indersmitten et al., 2015) the frequency of sIPSCs recorded at a holding potential of +10 mV was 501 increased compared to WT MSNs (Fig. 9C). The increase was statistically significant in MSNs 502 from Q175 mice injected with vehicle (WT 1.3 ± 0.2 versus Q175-Veh 2.2 ± 0.3 Hz, p=0.047; 503 Kruskal-Wallis ANOVA of ranks, followed by Holm-Sidak pairwise comparisons) and although 504 the sIPSC frequency was slightly higher in MSNs from hNSC-implanted zQ175 mice (2.0 ± 0.3) 505 Hz) compared to WT MSNs, it was not statistically significant (p=0.114) (Fig. 9C) demonstrating 506 that the transplant reduced the increase in sIPSCs in MSNs from the zQ175 mice compared to WT 507 mice. From the same cells, we recorded sEPSCs at a holding potential of -70 mV and in the 508 presence of a GABA_A receptor antagonist (BIC, 10 µM). We observed a trend for decreased sEPSC

- 509 frequency in MSNs from zQ175-Veh mice (1.8±0.3 Hz) compared to WT MSNs (2.8±0.5 Hz).
- 510 Similarly, there was a trend for an increase in the frequency of sEPSCs in MSNs from the hNSC





513 **Figure 9: Rescue of some electrophysiological alterations. A.** Cell membrane properties were 514 recorded at a holding potential of -70 mV. **B.** Fluorescent image of a recorded and biocytin-filled

MSN [yellow arrow pointing to filled neuron (red)] near SC121 immunostained cells and processes
(green). C. Effects on inhibitory and excitatory synaptic activity. Statistical significance was
measured between groups using Kruskal-Wallis one-way ANOVA on ranks followed by HolmSidak pairwise comparisons, In A. p<0.001 for WT versus Het-Veh (**) and p=0.04 for Het-Veh
versus Het-NSC (*). In C. p=0.047 for WT versus Het-Veh (*).

520

Taken together, these data show long-term survival and differentiation of hNSCs into mainly neuronal lineages including a subset of mature-like MSNs and interneurons. The engrafted human cells establish connections with the host neurons and rescue specific electrophysiological and behavioral pathologies.

525

526 **Discussion**

527 A major challenge in regenerative medicine approaches to treat neurodegenerative diseases, 528 including HD, is enabling long-term assessment of cell fate, functional properties and potential 529 rescue of disease-associated phenotypes (Jia et al., 2020; Kim et al., 2020). Here we evaluated 530 whether hNSCs implanted in the striata of zQ175 mice are viable and integrate into the host tissue 531 at a time point of 8 months after grafting (equating to roughly a third of the captive's mouse 2year lifespan). hNSCs survived and while most hNSCs had properties of immature neurons, 532 533 approximately 20% of the cells evolved into more mature neurons with MSN- or interneuron-like 534 properties and marker expression. Transplanted hNSCs receive synaptic inputs from neighboring 535 cells or from the host, and innervate other hNSCs or host cells. Notably, grafted hNSCs increased 536 striatal BDNF and pERK levels, reduced mHTT aggregated species, and ameliorated selected 537 behavioral deficits of symptomatic zO175 mice. Further, grafted cells modified host MSNs and 538 rescued some of the altered membrane and synaptic properties observed in symptomatic mice. 539 Thus, the mechanisms whereby implanted neurons rescue HD alterations appear to involve

improved striatal MSN membrane properties and circuit connectivity, BDNF production, and
 prevention of the accumulation of mHTT aggregating species

542 To the best of our knowledge, this is the first study to examine, long-term (up to 8 months), 543 electrophysiological and morphological properties of grafted hNSCs in a genetic mouse model of 544 adult-onset HD. Also, it is the first to demonstrate that mature hNSCs evolve into the main types 545 of resident neuronal populations in the striatum including not just MSNs, but also GABAergic and 546 cholinergic interneurons. This is important as a comprehensive reconstruction of the striatal 547 circuitry requires not just the presence of MSNs but a wide variety of interneurons which also are 548 significantly affected in HD (Cepeda et al., 2013; Reiner et al., 2013; Holley et al., 2015; Holley 549 et al., 2019a; Holley et al., 2019b).

550 Previously we demonstrated that hNSCs are viable and integrate into the host striatum in a 551 severe model of HD, the R6/2 (Holley et al., 2018; Reidling et al., 2018). However, due to rapid 552 progression of the phenotype in these mice, examination of transplanted hNSCs was limited by 553 time, about 4-6 weeks after implantation. We also reported data from the long-lived homozygous 554 Q140 HD mouse model (Reidling et al., 2018), however, cell survival was not optimal. This most 555 likely occurred due to insufficient immunosuppression methods, and measurements of cell 556 differentiation or electrophysiology and connectivity visualized by EM were not feasible. Here we 557 show that hNSCs can survive 8 months after injection, integrate into the host striatum, and improve 558 some of the abnormal MSN membrane and synaptic properties of the heterozygous zQ175 HD 559 model. Further, a subset of hNSCs become more mature and display properties similar to those of 560 MSNs and some types of interneurons. EM and electrophysiology also suggest connections from 561 the host may originate in the cortex and support a potential reconnection of the corticostriatal 562 pathway that is lost during HD progression and that reconnection could contribute to restoration

563 of normal motor and cognitive functions. We hypothesize that the higher frequencies of synaptic 564 inputs are due to the maturation and integration of hNSCs within the host tissue, as we did not 565 observe hNSCs with these synaptic properties in our previous study using R6/2 hNSC-implanted 566 mice. Unlike the R6/2 mice, MSNs from zQ175 mice do not exhibit epileptiform activity in the 567 presence of BIC. The R6/2 mouse model demonstrates cortical hyperexcitability that can be 568 exacerbated pharmacologically when inhibition is reduced (Cummings et al., 2009). This is 569 reflected in R6/2 MSNs as large amplitude excitatory events followed by a barrage of high 570 frequency, small amplitude events. We did not observe this electrophysiological phenotype in any 571 of the zQ175 or WT MSNs.

572 Electrophysiologically, ~80% of hNSCs remained "immature", despite surviving in the 573 graft for 8 months. It is unknown why these cells do not differentiate. It is possible that with longer 574 implantation time these cells also become more mature. We previously showed that transplanted 575 hNSCs in R6/2 mice exhibited evidence of neuron-restricted progenitor markers DCX, Beta-III 576 tubulin, and MAP-2 (Reidling et al., 2018). As hNSCs typically take several months to terminally 577 differentiate during development, we expected to observe further differentiation of transplanted 578 cells in the zQ175 8-month implant cohort. Interestingly, although we found this to be the case 579 with some cell staining for the post-mitotic neuronal marker NeuN, as well as interneuron and 580 MSN markers, we still observed clusters of ESI-017 hNSCs to be DCX positive after 8 months. 581 Perhaps the DCX positive hNSCs have not received the signals to differentiate as many seem to 582 be on the interior of the implant. It is important to note that the hNSCs appear to have received signals to stop proliferating (no Ki67 or EdU incorporation) and start down a path to differentiate 583 584 (loss of nestin). Even though most cells did not fully differentiate and did not become more mature,

585 they still looked healthy and displayed neuronal properties, including the capacity to generate 586 action potentials.

587 Although we can conclude that about 20% of the large hNSCs display physiological 588 features of mature neurons, it is difficult to determine the specific cell-types based solely on their 589 electrophysiological properties. Supporting evidence was provided by IHC and the presence of specific striatal neuronal markers. MSN-like hNSCs displayed Ca²⁺ currents typically observed in 590 591 mature MSNs and IHC demonstrated the presence of DARPP-32 and Ctip2, which label striatal 592 MSNs. Other hNSCs fired rhythmically, had increased input resistances (compared to MSNs), 593 lacked characteristic Ca²⁺ currents, and received rhythmic GABAergic synaptic events, suggesting 594 that these cells could be GABAergic interneurons. In support, IHC from these grafts indicated the 595 presence of GAD and CR, specific interneuron markers. Some large hNSCs also displayed 596 rhythmic bursting and low-threshold spikes, reminiscent of the somatostatin-expressing (LTS) 597 interneurons (Tepper et al., 2018; Holley et al., 2019b). This observation is significant in terms of 598 HD because these two interneuron subtypes are spared during disease progression. In fact, CR+ 599 interneurons appear increased in HD (Cicchetti and Parent, 1996), suggesting that they could have 600 neuroprotective properties or alternatively they are selected for within the HD niche. Indeed, both 601 somatostatin and calretinin have been shown to be neuroprotective (Kumar, 2008) and a study on 602 grafted fetal striatal tissue demonstrated the presence of graft-derived neurons expressing DARPP-603 32, calretinin and somatostatin (Capetian et al., 2009). Another possibility is that some large 604 hNSCs could have evolved into NPY interneurons. Interestingly, in HD, MSNs expressing NPY 605 are spared and their numbers are even upregulated in HD patients (Wagner et al., 2016). Based on 606 passive and active membrane properties, some cells also differentiated into large cholinergic

607 interneurons. In contrast, it seems unlikely that differentiated hNSCs became fast-spiking608 interneurons, at least in a mature state.

609 Results of cell fate in our HD mouse studies uniquely appear to follow a neuronal 610 developmental path in contrast to a more gliogenic outcome, potentially due to the differentiation 611 potential of the starting material or the transplantation niche (Goldberg et al., 2017; Qian et al., 612 2020; Yoon et al., 2020). A recent study using a rat model of HD induced by intrastriatal quinolinic 613 acid injection, showed that human embryonic stem cell-derived MSN progenitors differentiate in 614 *vitro*, undergo maturation, integrate into host circuits, and display properties similar to those of the 615 host striatum 2 months after transplantation (Besusso et al., 2020), suggesting feasibility of 616 transplanting differentiated MSN progenitors. Notably, behavioral studies in this model 617 demonstrated functional recovery of some impaired sensorimotor responses but not in more 618 complex behaviors (e.g., rotarod test). Some cells were proliferative, the yield of DARPP32/Ctip2 619 double-labeled MSNs was relatively low, and there was some degree of contamination from 620 cortical neurons. However, it is not known whether excitotoxicity models reflect the biochemical 621 environment of the genetic mutation. Interestingly in that study, similar to ours, a low percentage 622 of grafted cells expressed interneuronal markers (calbindin and calretinin), however these cells 623 were not characterized electrophysiologically. Other strategies to generate striatal neurons are 624 under investigation. For example, a recent study used an *in vivo* cell conversion technology to 625 reprogram striatal astrocytes into GABAergic neurons through AAV-mediated ectopic expression 626 of NeuroD1 and Dlx2 transcription factors (Wu et al., 2020). The striatal astrocyte-converted 627 neurons showed action potentials and synaptic events, and projected their axons to the appropriate 628 target regions. Behavioral analyses of these treated R6/2 mice showed a significant extension of 629 life span and improvement of motor deficits (Wu et al., 2020).

630 In conclusion, our studies support future development of stem cell-based therapies. While 631 mHTT/HTT-lowering strategies and gene editing are promising as therapies and are in various 632 stages of clinical trials, a pressing issue is how to replace the striatal cell loss occurring in HD 633 patients even prior to overt symptomatic onset. The present results support our previous findings 634 that implanted cells may provide nursing effects through enhanced BDNF levels and reduction of 635 pathological mHTT. Importantly, hNSCs establish synaptic contacts with host cells and among 636 themselves, differentiate into a wide variety of striatal resident cells, and form the building blocks 637 for circuit regeneration. Given the electrophysiological and EM results presented here, there is also 638 promise that over time, transplanted cells can make beneficial synaptic connections and replace 639 lost functions. Thus, our preclinical study demonstrates that hNSCs transplanted into a relevant 640 HD model brain survive for long periods of time and may potentially be utilized for restoration of 641 circuity and cell replacement in the clinic.

642

643 Methods

644 Mice: All experimental procedures were in accordance with the Guide for the Care and Use of 645 Laboratory Animals of the NIH and animal protocols were approved by Institutional Animal Care 646 and Use Committees at the University of California Irvine (UCI), the University of California Los 647 Angeles (UCLA), and the Portland VA Medical Center, AAALAC accredited institutions. zQ175 648 heterozygous (Het) mice and their wildtype (WT) littermates were obtained from breeding 649 colonies maintained at UCI (zQ175 Het mice had ~163-199 CAG repeats, Laragen, Culver City, 650 CA). All mice were housed on a 12/12-hr light/dark schedule with ad libitum access to food and 651 water. Mice were group-housed as mixed treatment groups and only males were single-housed for 652 the running wheel test. Groups included: 10 male zQ175 Het hNSC, 8 female Het hNSC, 9 male

a zQ175 Het vehicle, 9 female zQ175 Het vehicle, 7 male WT hNSC, 7 female WT hNSC, 6 male
WT vehicle, 6 female WT vehicle.

655

656 Cells: The use of hESCs and hNSCs was approved by Human Stem Cell Research Oversight 657 Committees (hSCRO) at UCI, UCLA, and UC Davis. ESI-017 is one of the six clinical-grade 658 hESC lines generated from supernumerary embryos by the Singapore Stem Cell Consortium 659 (Crook et al., 2007). Their use for therapeutic application adheres to US FDA regulations for use 660 of human cells. Of those lines, four (including ESI-017) were chosen for the generation of Good 661 Medical Practice (GMP) hESC banks for preclinical research based on the absence of human and 662 non-human pathogens (Crook et al., 2007; Sivarajah et al., 2010). Subsequently, an hNSC line was differentiated from the GMP-grade hESC line ESI-017 as described previously (Reidling et al., 663 664 2018). ESI-017 hNSCs were acquired as frozen aliquots, thawed, and then cultured for a minimal 665 time out of thaw (2-3 days) using the same media reagents as the GMP facility prior to dose 666 administration. The cells were not passaged.

667

Surgery: Two and a half month-old zQ175 Het mice and WT littermates were anesthetized, placed in a stereotaxic frame and injected with either 100,000 hNSCs per side (2 μ l/injection) or vehicle (2 μ l HBSS with 20 ng/ml epidermal growth factor [STEMCELL Technologies, #78003] and human fibroblast growth factor [STEMCELL, #78006]) as a control treatment using a 5 μ l Hamilton microsyringe (33-gauge) and an injection rate of 0.5 μ l/min. Coordinates relative to Bregma were AP: 0.00, ML: +/- 2.00, and DV -3.25 mm. For immunosuppression, all mice received IP injections of cyclosporine (10 mg/kg, daily thereafter) and mouse CD4 Ab (10 mg/kg,

weekly thereafter) the day before surgery and continued until mice were sacrificed (8 months afterimplantation).

677

678 Biochemical, Molecular, and Immunohistochemical Analyses: Four male mice per group were 679 given IP injections of EdU (Thermofisher Scientific) 24 hr prior to sacrifice. Mice were euthanized 680 by pentobarbital overdose and perfused with 0.01 M PBS. Striatum and cerebral cortex were 681 dissected out of the left hemisphere and flash-frozen for biochemical analysis. The other halves 682 were post-fixed in 4% paraformaldehyde, cryoprotected in 30% sucrose, and cut at 40 µm on a 683 sliding vibratome for immunohistochemistry (IHC). Sections were rinsed three times and placed 684 in blocking buffer for 1 hr (PBS, 0.02% Triton X-100, 5% goat serum), and primary antibodies 685 placed in block overnight (ON) at 4°C. Sections were rinsed, incubated for 1 hr in Alexa Fluor 686 secondary antibodies, and mounted using Fluoromount G (Southern Biotechnology). Primary 687 antibodies used include: Anti-Ki67 (Abcam, ab16667), Anti-Ku80 (Abcam, ab80592), Anti-688 Nestin (Millipore Sigma, MAB5326), Anti-GFAP (Abcam, ab4674), Anti-DCX (Fisher Millipore, 689 AB2253MI), Anti-NeuN (Abcam, ab177487), Anti-Calretinin (Abcam, ab16694), Anti-vGlut1 690 (Abcam, ab180188), Anti-HNA (Abcam, ab191181), Anti-GAD65/67 (Abcam, ab49832), Anti-691 BetaIII tubulin (Abcam, ab78078), Anti-DARPP32 (Abcam, ab40802), Anti-Ctip2 (Abcam, 692 ab233713). For IHC a minimum of four mice per group were analyzed. DAB staining for ChAT: 693 Sections were rinsed three times then 30 min in 3% H₂O₂ and 10% Methanol rinsed and placed in 694 blocking buffer for 1 hr (TBS + 5% normal rabbit serum (NBS Vector S-5000) + 0.1% TritonX-695 100), then primary antibody (goat anti-ChAT 9 Millipore AB144P) placed in block overnight at 696 4°C. Sections were rinsed, incubated for 1 hr in secondary antibody (rabbit anti-goat biotinylated 697 secondary), incubated in ABC solution (Vector PK-6100) for 1 hr at RT then 1-3min in DAB,

698 rinse and mount tissue on slide. Confocal Microscopy: Sections were imaged with Bio-Rad 699 Radiance 2100 confocal system using lambda-strobing mode. Images represent either single 700 confocal z-slices or z-stacks. Whole cell tissue lysis: Lysis was performed in RIPA buffer 701 supplemented with protease inhibitors (Complete Mini, Roche Applied Science), 0.1 mM PMSF, 702 25 mM NEM, 1.5 mM aprotinin, and 23.4 mM leupeptin by douncing then sonicated for 10 703 seconds, 3 times at 40% amplitude on ice. Samples were quantified using Lowry protein assay. 704 Soluble/Insoluble Fractionation: Striatal tissue was processed as described previously (Ochaba et 705 al., 2016). Western analysis: RIPA lysates were resolved by reducing and running 60µg of protein 706 on 4-12% Bis-Tris Poly-Acrylamide gels (PAGE). Antibodies: Anti-BDNF (Santa Cruz 707 Biotechnology, clone N-20, for mature BDNF, cat.no.sc-546), Anti-ERK (Cell Signaling 708 Technology, cat.no. 9102), Anti-pERK (Cell Signaling Technology, cat.no. 9106), Anti-alpha 709 tubulin (Sigma-Aldrich, cat.no. T6074). Quantification of bands was performed using software 710 from the NIH program ImageJ and densitometry application. 50µg of reduced, insoluble protein 711 from Insoluble Fractions were resolved on 3-8% Tris-Acetate Poly-Acrylamide gels. Membranes 712 were blocked in Starting block (Invitrogen) for 20 minutes at room temperature and probed in 713 primary antibody overnight at 4°C. Insoluble protein was quantified as relative protein abundance 714 as previous (Ochaba et al., 2016). Antibodies: Anti-HTT (Millipore, #MAB5492; 715 RRID: AB_347723).

716

717 Behavioral Tests: Males and females were used except for the running wheel, where only males 718 were used since estrus cycle influences running activity. Genotypes or treatments were unknown 719 to the experimenter. All tests were done during the light phase except for the running wheel, where 720 mice were allowed 24 hr free access to the task. Running wheel data are only described for the

dark phase. Slope of motor learning was calculated as mean nightly running wheel rotations per 3
minutes on night 5 minus night 2 divided by total number of nights (3) for initial and night 13
minus night 2 divided by total number of nights (11) for overall. Behavioral tasks, running wheel
and open field, were performed in a manner to those previously described (Hickey et al., 2008;
Reidling et al., 2018).

726

727 Electrophysiology: For electrophysiological studies we used 12 female mice (10.5 month-old) 728 shipped live to UCLA from UCI. Groups included: 4 zQ175 Het hNSC, 4 zQ175 Het vehicle, 2 729 WT hNSC, 2 WT vehicle. Mice were anesthetized and transcardially perfused with high sucrose-730 based slicing solution. Coronal slices (300 μ m) were transferred to an incubating chamber 731 containing standard artificial cerebrospinal fluid (ACSF). MSNs and hNSCs were visualized using 732 infrared illumination with differential interference contrast optics (IR-DIC). All recordings were 733 performed in or around the injection site (recorded MSNs were adjacent to the graft, ~150-250 734 μ m). Biocytin (0.2%) was added to the patch pipette for cell visualization and location of recorded 735 cells. Spontaneous postsynaptic currents were recorded in the whole-cell patch clamp 736 configuration in ACSF. Membrane currents were recorded in gap-free mode. Cells were voltage-737 clamped at +10mV and spontaneous inhibitory postsynaptic currents (sIPSCs) were recorded in 738 ACSF. Spontaneous excitatory postsynaptic currents (sEPSCs) from grafted cells were recorded 739 in ACSF at -70 mV (baseline). sEPSCs from MSNs, were recorded in the presence of the GABA_A 740 receptor blocker, bicuculline methobromide (BIC, 10 μ M, Tocris, Minneapolis, MN) to better 741 isolate glutamatergic events. Spontaneous synaptic currents were analyzed using the MiniAnalysis 742 software (version 6.0, Synaptosoft, Fort Lee, NJ). To evoke responses in grafted cells, we used a 743 monopolar glass electrode (impedance 1 M Ω) which was placed 200-300 µm lateral to the graft.

Following recordings, slices were fixed with 4% PFA, then transferred to 30% sucrose at 4°C until
IHC processing. To identify biocytin-filled recorded cells and hNSCs, fixed slices were washed,
permeabilized with triton (0.7%) and blocked for 4 h, followed by incubation with SC121 (1:1000).
After washing, slices were incubated in goat, anti-mouse Alexa-488 (1:1000, Life Technologies,
Carlsbad, CA Catalog #:A-11001) and streptavidin conjugated with Alexa-594 (1:1000, Life
Technologies Catalog #: S11227). Slices were washed, mounted, and cells visualized with a Zeiss
Apotome confocal microscope.

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752 **Electron Microscopy (EM):** Female zQ175 mice implanted with hNSCs for 8 months (n=3 per 753 group) at UCI were sent live to the Portland VA Medical Center. Mice were anesthetized and 754 perfused with EM fixative (2.5% glutaraldehyde, 0.5% paraformaldehyde, and 0.1% picric acid in 755 0.1 M phosphate buffer [pH 7.4]). Brains were then collected and further processed in a Pelco 756 Biowave Pro+ (Ted Pella, Inc, Redding, CA), as previously reported (Moore et al., 2020), and then 757 washed in PBS and stored overnight at 4° C. Striatum containing hNSCs (equivalent to +1.4 to 758 +0.14 mm from Bregma) (Franklin and Paxinos, 2007) was cut at 60 µm using a vibratome (Leica 759 Microsystems). After pre-embed IHC of the striatum using diaminobenzidine (DAB) (Sigma, St 760 Louis, MO) or ImmPACT[®] VIP Substrate, Peroxidase (HRP) (Cat #: SK-4605)(Vector Labs, 761 Burlingame, CA), hNSC antibody (SC121, 1:100; Takara: Cat #: Y40410) and vGlut 1 (vesicular 762 glutamate transporter 1) antibody (1:000; Synaptic Systems, Germany, Cat #: 135-303), the tissue 763 was processed for EM as previously described (Walker et al., 2012; Parievsky et al., 2017; Reidling 764 et al., 2018; Moore et al., 2020). Two striatal slices were selectively double labeled for SC121 765 (DAB) and vGlut1(VIP) to determine if vGlut1 labeled terminals originating from the cortex 766 (Kaneko et al., 2002) innervated the implantation site. Striatal slices were embedded flat between

767 two sheets of ACLAR (Electron Microscopy Sciences, Hatfield, PA) overnight in a 60°C oven to 768 polymerize the resin. The area containing hNSCs was micro-dissected from the embedded slice 769 and superglued onto a block for thin sectioning. Photographs were taken on a JEOL 1400 770 transmission electron microscope (JEOL, Peabody, MA) of DAB labeled structures (i.e., SC121) 771 and for a small number of sections, double labeled with DAB (SC121) and VIP (vGlut1)-labeled 772 structures (i.e., hNSC-labeled cells, dendrites, nerve terminals). The DAB labeled structures (i.e., 773 SC121) were photographed both within and located ~ 0.25 mm outside of the implantation zone, 774 at a final magnification of 46,200 using a digital camera (AMT, Danvers, MA). Since the DAB 775 and DAB/VIP labeling was restricted to the leading edge of the thin-sectioned tissue, only the area 776 showing DAB and DAB/VIP labeling was photographed. The percentage of SC121/DAB-labeled 777 asymmetrical and symmetrical synaptic contacts onto dendrites and spines within and outside the 778 implant area was quantified.

779

780 Statistical Analysis: Results are from a single cohort except for Western blots for BDNF and 781 pERK/ERK and IHC for ChAT, which were from a different subset. Numbers were determined to 782 have sufficient power using an analysis prior to the study. Assessment of differences in outcome 783 were based upon previous experience and published results (Hockly et al., 2003; Hickey et al., 784 2005) for HD models, and applying power analysis (G Power [http://www.psycho.uni-785 <u>duesseldorf.de/abteilungen/aap/gpower3/</u>]) led us to a minimal n=5 for behavior and n=3 for 786 biochemical analysis. Statistical significance was achieved as described using rigorous analysis. 787 All findings are reproducible. Experiments were performed at least 3 times using at least 3 different 788 mice (biological replicates) and in specific cases tissue from one mouse was used multiple times 789 (technical replicates); for example, in IHC at least 3 different mouse brains were used and multiple

790 sections from each brain were examined to obtain data. Multiple statistical methods are further 791 detailed above, in figure legends, or in Supplementary Experimental Procedures. Since the EM 792 data are based on 3 implanted zQ175 mice, and not comparing them against the WT mice, the 793 percentages reported are a comparison within a single group of 3 experimental mice, therefore, 794 there was no statistical comparison. Statistical tests for behavioral tasks used one-way ANOVA 795 followed by Tukey's HSD test with Scheffé, Bonferroni, and Holm multiple comparison post hoc 796 methods. Data met the assumptions of the statistical tests used, and p values <0.05 were considered 797 significant. All mice were randomly assigned and tasks performed in a random manner with 798 individuals blinded to genotypes and treatment. Statistical comparisons of densitometry results 799 were performed by one-way ANOVA followed by Tukey HSD and Bonferroni post hoc tests. For 800 electrophysiology data, all statistical analyses were performed using SigmaPlot 13.0 software. 801 Differences between multiple group means were assessed with appropriate one-way ANOVAs 802 followed by Bonferroni post hoc tests, Kruskal-Wallis one-way ANOVA on ranks followed by 803 Holm-Sidak *post hoc* tests or Student's *t*-tests (unpaired) when only two groups were compared. 804 Significance levels in the figures are given as specific p-values and data are expressed as mean \pm 805 SEM.

806

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816	
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819	experiments in mice, A.L. and M.N. did IHC and E.S.M. and J.C.R. performed analyses. S.M.H.,
820	C.C. performed electrophysiology and analyzed data with guidance from M.S.L. C.M. performed
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823	
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825	

826 Supplementary Figures



Supp. Figure 1: Open Field behavior. Total distance traveled in the open field 6 months post 828 829 implant. Mice were subjected to the open field and total distance in centimeters of their respective 830 tracks were combined and statistically analyzed to visualize any differences in ambulation. Time 831 spent in center was also measured. In addition, velocity traveled in centimeters/sec of their 832 respective tracks were combined and statistically analyzed to visualize any differences in time of 833 ambulation. A. Females B. Males and C. Males and Females combined. Groups for open field at 834 1 month included: 10 male zQ175 Het hNSC, 8 female Het hNSC, 9 male zQ175 Het veh, 9 female 835 zQ175 Het veh, 7 male WT hNSC, 7 female WT hNSC, 6 male WT veh, 6 female WT veh. Groups 836 for open field at 6 months included: 7 male zQ175 Het hNSC, 7 female Het hNSC, 9 male zQ175 837 Het veh, 8 female zQ175 Het veh, 5 male WT hNSC, 5 female WT hNSC, 6 male WT veh, 6 838 female WT veh. Results are expressed as the mean ± S.E.M with one-way ANOVA Bonferroni 839 post test: *In order of graphs p=0.03, p=0.04, p=0.03, p=0.04, p=0.01, 840 p=0.01, p=0.01.





Suppl. Figure 2: hNSCs display rhythmic activities. A. In cell-attached mode this cell from a
zQ175 mouse displayed autonomous, rhythmic firing activity. B. In voltage clamp mode,
spontaneous, rhythmic synaptic events can be observed at different holding potentials (bottom 4
traces). Spontaneous synaptic events were tentatively assumed to be GABAergic since the reversal

847 potential for GABA occurred at ~-60 mV. This type of activity is not observed in normal

848 conditions in striatal MSNs and this cell was assumed to be interneuron-like.

849



851 Suppl. Figure 3: A. Large hNSCs from two different zQ175 implanted mice were recorded 852 and filled with biocytin. The hNSC on the left panel and the cell with the yellow arrow on the 853 right panel displayed LTS-like interneuron properties. **B.** Traces are electrophysiological 854 recordings in current clamp mode. Both cells displayed prominent inward rectification and low-855 threshold Ca^{2+} spikes (arrows). **C.** Upon hyperpolarization by negative current injection the cell 856 on the left displayed rhythmic membrane oscillations and bursts of action potentials. The

interneuron-like hNSC on the right displayed low-threshold Ca²⁺ spikes and fired spontaneously
at resting membrane potential (-57 mV). Both cells shared similarities with striatal LTS
(somatostatin-expressing) interneurons.

860



Suppl. Figure 4: IHC for ChAT demonstrated that some hNSCs express the cholinergic
marker. 5x mag. of hNSCs in zQ175 showing overall implant site. 10X mag. then box indicating
20x mag. showing area in and around implant site that has DAB positive (brown) ChAT expressing
cells.

866



Suppl. Figure 5: A. Left panel shows a biocytin-filled, large hNSC. The right panel illustrates colocalization of the human marker Ku80 (blue) and biocytin (red). B. In current clamp mode, this
cell fired spontaneous, rhythmic action potentials (2-3 Hz), typical of striatal cholinergic
interneurons. C. Hyperpolarizing the cell also demonstrated delayed inward rectification, another
signature of striatal cholinergic interneurons.

873



875 Suppl. Figure 6: Striatal synaptic contacts located outside the stem cell implantation zone.
876 A. A labeled nerve terminal (L-NT) is making either an asymmetrical synaptic contact (black
877 arrow with white outline) or a symmetrical contact (white arrow with black outline) with an
878 underlying hNSC negative/unlabeled dendritic spine (U-SP)(note the darkened DAB reaction

879 product within the nerve terminals). The hNSC labeled nerve terminals originate from the 880 implanted stem cells, while the unlabeled dendritic spines originate from the host striatum. B. 881 Unlabeled nerve terminals (U-NT) are making either an asymmetrical synaptic contact (black 882 arrow with white outline) or a symmetrical contact (white arrow with black outline) with an 883 underlying hNSC positive/labeled dendrite (L-DEN) (note the darkened DAB reaction product 884 within the dendrite). The hNSC labeled dendrite originates from the implanted stem cells, while 885 the unlabeled nerve terminals originate from the host striatum. C. Unlabeled nerve terminal (U-886 NT) making an asymmetrical synaptic contact (arrow) with an underlying hNSC positive/labeled 887 dendrite (L-DEND)(note the darkened DAB reaction product within the dendrite). The hNSC 888 labeled dendrite originates from the implanted stem cells, while the unlabeled nerve terminal 889 originates from the host striatum. **D.** Labeled nerve terminal (L-NT) is making a symmetrical 890 synaptic contact (arrow) with an underlying hNSC positive/labeled dendritic spine (L-SP) (note 891 the darkened DAB reaction product within the nerve terminal and spine). The hNSC labeled nerve 892 terminal and dendritic spine originate from the implanted stem cells. 893

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