Recombination facilitates adaptive evolution in rhizobial soil bacteria 1 2 Maria Izabel A. Cavassim^{1,2,+,*}, Stig U. Andersen², Thomas Bataillon¹, and Mikkel Heide 3 4 Schierup^{1,*} 5 6 Author affiliations: 7 ¹ Bioinformatics Research Centre, Aarhus University, Aarhus, 8000, Denmark 8 ² Department of Molecular Biology and Genetics, Aarhus University, Aarhus, 8000, Denmark 9 *Present address: Department of Ecology and Evolutionary Biology, University of California, Los 10 Angeles, 90095, United States 11 ^{*}Corresponding authors: 12 13 Maria Izabel A. Cavassim, izabelcavassim@gmail.com 14 Mikkel H. Schierup, mheide@birc.au.dk 15 **Author Contributions** 16 17 Conceptualization: M.I.A.C., T.B., and M.H.S.; Methodology: M.I.A.C., T.B., and M.H.S.; Formal Analysis: M.I.A.C.; Investigation: M.I.A.C., T.B., and M.H.S.; Resources: S.U.A., and 18 M.H.S.; Data curation: M.I.A.C., T.B., and M.H.S.; Writing - Original Draft: M.I.A.C.; Writing 19 20 - Review and Editing: M.I.A.C., S.U.A., T.B., and M.H.S.; Visualization: M.I.A.C., T.B, and 21 M.H.S. Supervision: T.B. and M.H.S.; Project administration: S.U.A. and M.H.S.; Funding 22 acquisition: S.U.A. and M.H.S. 23 24 **Competing interest** 25 The authors declare that they have no competing interests. 26 27 **Classification:** Biological Sciences and Evolution 28 Keywords: Adaptive evolution, rhizobium, recombination, beneficial mutations 29 30 This PDF file includes: 31 Main Text 32 Figures 1 to 3 33 Tables 1 to 1 34 35

36 Abstract

37 Homologous recombination is expected to increase natural selection efficacy by decoupling the 38 fate of beneficial and deleterious mutations and by readily creating new combinations of beneficial 39 alleles. Here, we investigate how the proportion of amino acid substitutions fixed by adaptive 40 evolution (α) depends on the recombination rate in bacteria. We analyze 3086 core protein-coding 41 sequences from 196 genomes belonging to five closely-related Rhizobium leguminosarum 42 species. We find that α varies from 0.07 to 0.39 across species and is positively correlated with 43 the level of recombination. We then evaluate the impact of recombination within each species by 44 dividing genes into three equally sized recombination classes based on their average level of 45 intragenic linkage disequilibrium. Generally, we found a significant increase in α with an increased 46 recombination rate. This is both due to a higher estimated rate of adaptive evolution and a lower 47 estimated rate of non-adaptive evolution, suggesting that recombination both increases the fixation probability of advantageous variants and decreases the probability of fixation of 48 49 deleterious variants. Our results demonstrate that recombination facilitates adaptive evolution 50 not only in eukaryotes, but also in prokaryotes. Adaptive evolution could thus be a selective force 51 that universally promotes recombination.

52

53 Significance statement

54 Whether homologous recombination has a net beneficial or detrimental effect on adaptive 55 evolution is largely unexplored in natural bacterial populations. We address this question by 56 evaluating polymorphism and divergence data across 196 bacterial genome sequences of five 57 closely-related Rhizobium leguminosarum species. We show that the proportion of amino acid 58 changes fixed due to adaptive evolution (α) increases with an increased recombination rate. This 59 correlation is observed both in the interspecies and intraspecific comparisons. These results 60 suggest that homologous recombination directly impacts the efficacy of natural selection in 61 prokaryotes, as it has been shown previously to be in eukaryotes.

62 Main text

63 Introduction

64 Genetic recombination is expected to facilitate adaptive evolution by increasing the fixation 65 probability of adaptive mutations and decreasing the probability of fixation of deleterious mutations (1). This is because recombination decouples the fate of adaptive and deleterious 66 67 variants, decreasing the amount of selective interference throughout the genome (2, 3). Selective 68 interference—also termed as the Hill-Robertson (HR) effect—is expected to be strongest in 69 regions of the genome where recombination is low (4). The HR effect is expected to cause: (i) a 70 reduction in the number of neutral polymorphisms, (ii) the accumulation of slightly deleterious 71 polymorphisms, and (iii) a decrease in the probability of fixation of advantageous alleles (see (5)). 72 Homologous recombination is expected to mitigate the HR effect and increase the percentage of 73 amino acid substitutions that are due to adaptive evolution (α).

Empirical evidence based on population genomics data supports these expectations with a positive correlation between recombination and α reported in diverse species of eukaryotes, including flies (*Drosophila melanogaster* (6, 7)), fungi (*Zymoseptoria tritici (8)*), plants (*Arabidopsis thaliana* (9)), and non-model animal species (10, 11).

78 Whereas recombination is ubiquitous in eukaryotes, this is not the case for prokaryotes. 79 Nevertheless, most studied prokaryotes show high rates of genetic exchange (12) and it is 80 therefore of interest to explore whether such recombination also facilitates adaptive evolution in prokaryotes. Here we investigate adaptive evolution and recombination in a species complex of 81 82 Rhizobium leguminosarum responsible for nitrogen fixation in white clover (Trifolium repens) 83 nodules. We have previously reported the full genomic sequence of 196 isolates (13). Our 84 analyses showed that they cluster into five closely related species (2-5% divergent) with horizontal 85 gene transfer only affecting the nitrogen fixation genes and a few well-defined genomic regions. 86 This species complex thus offers a unique opportunity among prokaryotes to estimate the rates 87 of fixation of amino acid changes by adaptive evolution from isolates sampled from natural 88 populations—enabling multiple comparisons of polymorphism and divergence patterns among 89 species. Our analyses provide evidence that the rate of adaptive protein evolution increases with 90 the recombination rate in this species complex.

92 **Results & Discussion**

93 To estimate the proportion of adaptive evolution (α) across this *Rhizobium* species 94 complex, and study how α covaries with recombination rate estimates, we restricted analyses to 95 polymorphism data from regions of the core genome without evidence of horizontal gene transfer 96 (HGT).

97 Across all five species (196 strains, gsA:32, gsB:32, gsC:112, gsD:5, gsE:11), a total of 98 22115 orthologous genes were previously identified (13); of those, 4204 genes are present in all 99 strains (core genes). Most core genes are found in the large chromosome (3304 genes), but some 100 were located in the chromids (Rh01, Rh02) and in one of the plasmids (Rh03) (see (14), and (13)). 101 The chromosome, chromids, and the plasmid are hereafter referred to as genomic compartments. 102 We filtered out genes that showed evidence of interspecies HGT or unexpectedly high rates of 103 nucleotide diversity (see Methods) (Fig. S1), leaving a total of 3086 genes (total alignment length: 104 3091179) and 334040 variable sites for analysis (Fig. S2).

105 First, we estimated nucleotide diversity, intragenic linkage disequilibrium (LD), and the site 106 frequency spectrum (SFS) (see Methods) within each species (Fig. 1a-c). The average nucleotide 107 diversity, π , an estimator of $2N_e\mu$ in haploids, is significantly different among genomic 108 compartments (Fig. 1a, Table S1). Across the species, π differs by up to a factor of 4.5 (csA: 109 0.018, gsB:0.0045, gsC:0.0140, gsD:0.00512, gsE:0.008), with the most polymorphic species 110 being qsA and the least qsB. If we assume similar mutation rates among these closely related 111 species, nucleotide diversity differences reflect interspecies differences in long-term effective 112 population size, N_e .

113 When recombination occurs, we expect that levels of non-random association between 114 pairs of alleles, quantified by r^2 (see Methods), decay with genomic distance (LD decay). To 115 evaluate the recombination rate differences among the five species, we used within-species 116 polymorphism data and computed the average intragenic LD decay for each gene in each 117 species. We observed a rapid decay of LD within the first 1000 base pairs for all species, 118 suggesting substantial amounts of within-species homologous recombination (Fig. 1b). The 119 slower decay observed in species gsB either reflects a lower per generation recombination rate 120 or a smaller effective population size (N_e) . The latter is consistent with the low level of nucleotide 121 diversity measured in qsB. To reliably estimate interspecies differences in r^2 , we used genes with at least ten informative sites within each species and evaluated their r^2 distributions separately 122 123 (Fig. 1c). As expected, the species with the most striking LD decay (gsC) has the lowest r^2 124 (median r^2 : 0.248), and the opposite is also true (qsD, median r^2 : 0.7131).

125 Species qsB and qsE have similar LD decay and r^2 distributions (**Fig. 1b-c**). We tested 126 whether their average r^2 was statistically different. Based on the total number of shared genes 127 (3086), the average r^2 of qsB was not significantly different from the average r^2 of qsE (Wilcox's 128 test, p-value=0.10). All the other pairwise comparisons were statistically significant (Table S2). 129 Thus, we considered gsB and gsE to have similar recombination rates. In summary, these species 130 can be ranked by their recombination levels, from the most recombining to the least, as follows: asC (median r^2 : 0.248) > asA (median r^2 : 0.293) > asB (median r^2 : 0.48) and asE (median r^2 : 131 132 0.43) > gsD (median r^2 : 0.71).

133 Next, we computed the folded site frequency spectrum (SFS) of synonymous and 134 nonsynonymous mutations within each species. Overall, both synonymous and nonsynonymous 135 SFSs differ from the "L" shaped patterns (many rare alleles and fewer frequent alleles) expected 136 in a stationary population at mutation-selection-drift equilibrium (Fig. 1d). The observed excess 137 of intermediate frequency SNPs indicates the presence of population structure in some of the 138 species. The effect of population structure is particularly evident in gsC, and this excess is likely 139 driven by strains isolated from French soils (Fig. S3). Differences among species suggest distinct 140 demographic histories, with gsC showing an SFS compatible with population expansion and gsA 141 with population decline.

142 Using the counts of polymorphism in synonymous and nonsynonymous SFS within each 143 species, we can estimate the overall strength of purifying selection via pi_N/pi_S . The strength of 144 purifying selection ranks species similarly to their average recombination rate, with more 145 recombining species showing stronger purifying selection (individual pi_N/pi_S are gsA = 0.039, gsB = 0.057, gsC = 0.037, gsD = 0.07, gsE = 0.051). This observation is in line with the theoretical 146 147 expectation of a positive effect of recombination on the overall efficacy of natural selection. We 148 also observed an excess of rare nonsynonymous relative to synonymous variants (Fig. 1e), 149 consistent with the segregation of nonsynonymous variants under weak purifying selection (15). 150 Rare nonsynonymous variants are often deleterious (s~ $1/N_e$) (16, 17). Because deleterious 151 variants contribute substantially to polymorphism but rarely to divergence (18, 19), their presence 152 in the genomes, if not controlled for, will lead to an underestimation of α (20).

We used GRAPES (10) to estimate the distribution of fitness effects (DFE) (21) and the proportion of adaptive evolution (α) from polymorphism and divergence data while accounting for the presence of deleterious mutations. This approach uses the site frequency distribution of both synonymous and nonsynonymous SFS counts to estimate the DFE while accounting for the effect of demography. The significant amount of shared polymorphism among species (**Table S3**) makes it difficult to reliably call ancestral and derived states (22, 23). Accordingly, we chose to estimate the DFE and α using the folded SFSs (10). To determine the model of the DFE that best fit our data, we used a variety of DFE distribution models (**Table S4**). The DFE models we tested differ by the classes of mutations (deleterious, beneficial, and neutral) included in each DFE model and how fitness effects are distributed within these classes. When using Akaike's Information Criterion (AIC) to select the best DFE model, we found the GammaZero model overall provides the best fit to the SFS data (**Fig. S4**). This model assumes the existence of weakly deleterious nonsynonymous mutations, modeled as a continuous Gamma distribution (10).

166 The proportion of adaptive evolution was first computed between all combinations of 167 "mirror" species ($\alpha_{species1, species2}$), in which "species 2" is used as outgroup (divergence) for "species 1" (polymorphism), and vice-versa ($\alpha_{species2 \ species1}$). This yielded twenty combinations 168 169 in total. Because "mirror" species share an identical history of divergence, their α estimates can 170 be considered as "biological replicates" (10) (Table 1). Except for the comparison between gsA and gsB, in which differences between $\alpha_{gsA,gsB}$ and $\alpha_{gsB,gsA}$ exceeded 0.1, the overall 171 172 discrepancy in the values estimated between mirror species does not exceed 0.1. Using each 173 species' focal polymorphism data, we calculated four α estimates by comparing it to the 174 divergence counts of the remaining species (**Table 1**). The most recombining species (gsC) is 175 observed to have the highest α across all outgroups used, while the least recombining species 176 (qsD) had the lowest α in $\frac{3}{4}$ of the cases.

177 We then investigated whether intraspecies differences in recombination rate affect the 178 amount of adaptive evolution (α). For each species, we split genes into three recombination classes based on their average r^2 values and computed α for each class using the GammaZero 179 180 model (Fig. S5. Table S5). Because we only kept genes with at least ten informative sites, the 181 number of genes evaluated across species was different (Fig. 1c). For most species comparisons 182 (gsA, gsB, gsC, and gsE), there is a decrease in the proportion of adaptive evolution with a reduction in recombination (increase in r^2). Except for cases in which we used qsD and qsB 183 184 polymorphisms to estimate α , all the other species pairwise comparisons led to at least one 185 significant difference (based on non-overlapping CI's) between recombination classes. We further 186 assessed the significance of the pattern reported here by permuting-200 times-across 187 recombination classes (see Methods). Except for simulations in which gsD and gsB 188 polymorphisms were used, all the other simulations led to significant differences (p-value <= 189 0.025) among the two most extreme classes of recombination (Fig. S6).

190 The parameter α can also be viewed as the relative proportion between the rate of amino 191 acid changes fixed by positive selection (ω_a) and the rate of non-adaptive amino acid changes 192 $(\omega_{na}): \alpha = \omega_a / (\omega_a + \omega_{na})$. Thus, an increase in α with recombination could be due to either an 193 increase in the rate of adaptive substitutions, a decrease in the rate of non-adaptive substitutions, 194 or both. Figure 3 shows that ω_a increases with recombination rate whereas ω_{na} decreases with 195 recombination rate for most combinations and that the quantitative effects are almost equal in 196 magnitude. Thus, classes of genes evolving under higher recombination rates exhibited lower 197 rates of non-adaptive substitution and increased rates of fixation of adaptive variation. This is 198 exactly as predicted from selective interference theory (1, 3, 4).

To evaluate the robustness of these results, we computed an alternative measure of recombination (R/ θ). We then made new recombination classes and evaluated its correlation with α . R/ θ measures the importance of recombination (R), relative to mutation (θ) across sequences (24, 25). Although the per gene estimates of R/ θ are less variable than that of r^2 (**Fig. S7**), these two measures are not independent (Pearson correlation ranged from 0.21 to 0.44) (**Fig. S7**). For most species comparisons, the trend between α and recombination is still consistent: the higher R/ θ , the higher α is (**Fig. S8**).

206 Conclusion

We have found that five bacterial species within the species complex *R. leguminosarum* display different yet high levels of recombination. The estimates of α ranged between 0.07 and 0.39 among species. These estimates are lower than those based on 410 orthologs observed in *E. coli* (0.58, CI=0.45, 0.68) but close to earlier estimates from *S. enterica* (0.34, CI=0.14, 0.50) previously reported (19).

Levels of recombination correlate—both across and within species—with higher amounts of adaptive evolution measured either as the rate of adaptive substitutions (ω_a) or as the proportion of amino acid changes which have been fixed by positive selection (α). For instance, the most recombining species (gsC) consistently exhibited the largest α , independent of the outgroup used. Within each species, we also find a positive correlation between intragenomic recombination rate and α , as well as for ω_a . These findings are robust to the measure of recombination (r^2 and R/θ) and the choice of outgroup used for computing divergence.

The positive effect of homologous recombination on α we report here is in line with population genetic studies conducted in vertebrates (10, 11) and invertebrates (7, 8, 26–29). It points to recombination being a general facilitator of adaptive evolution across the tree of life possibly being a selective force for the existence of recombination in prokaryotes in the first place.

224 Material and methods

225 Identification of orthologous genes

We previously isolated and sequenced 196 strains from white clover (*Trifolium repens*) root nodules harvested in Denmark, France, and the UK. To identify a set of orthologous genes shared across strains, we followed the methods outlined in Cavassim et al., 2020 (13). Briefly, the strains were previously subjected to whole-genome shotgun sequencing using 2×250bp Illumina paired-end reads (Illumina, USA). Genomes were assembled using SPAdes (v. 3.6.2, (30)) and assembled further, one strain at a time, using a custom Python script (Jigome, available at https://github.com/izabelcavassim/Rhizobium_analysis/tree/master/Jigome).

From the assembled genomes (13), we predicted protein-coding sequences using prokka (31) (v1.12); this resulted in a total of 1468264 protein-coding sequences. To predict orthologous genes from these sequences, we used Proteinortho (31, 32) (v5.16b) with default parameters except for enabling the synteny flag. We identified a total of 22115 orthologous, including a total of 17911 orthologous observed in at least two strains (accessory genes), and 4204 orthologous found in all 196 strains (core genes).

Genes were then aligned using clustalo (33) (v.1.2.0) in a codon-aware manner. To determine the genetic relationship among all 196 strains, we previously calculated their pairwise average nucleotide identity (ANI) across 305 conserved orthologous gene alignments (13). Under the 95% ANI threshold that delineates species boundaries (34), we demonstrated that these 196 Rhizobium strains constitute five distinct *R. leguminosarum* species (gsA, gsB, gsC, gsD, and gsE). To ensure that we had a high-quality orthologous dataset for extracting segregating sites, we filtered it further (see below).

246

247 Confident core orthologous genes

248 By developing and applying a phylogenetic method to quantify HGT (introgression score), 249 we previously showed that most of the core genes shared among the present species respect the 250 species-tree topology (introgression score = 0) (13). The exceptions are genes sitting in the 251 symbiosis conjugative plasmids, and two chromosomal islands (introgression score > 7). To 252 ensure that we were only analyzing high-quality gene alignments with little evidence of HGT, we 253 imposed some restrictions. We only accepted genes that passed the following criteria: (i) were 254 present in every strain (196 strains), (ii) with a nucleotide diversity (π) below 0.1, (iii) identifiable 255 replicon origin (chromosome and chromids), (iiii) and with an introgression score <= 3 (Fig. S1a). 256 A total of 3086 out of 4204 core genes were kept, and of these, 2550 genes were found in the

chromosome, 288 genes in chromid Rh01, 160 genes in chromid Rh02, and 88 genes in plasmidRh03.

259

260 Variant calling

261 To identify single nucleotide polymorphisms (SNPs) along with our high-quality set of core 262 genes, we evaluated each gene codon-aware alignment using a custom python script 263 https://github.com/izabelcavassim/Popgen bacteria. For a given core gene alignment and 264 position, we first counted the number of unique nucleotides (A, C, T, G). Only sites containing two 265 unique nucleotides were considered variable sites (bi-allelic SNPs). SNP matrices were then built 266 and encoded as follows: major alleles were encoded as 1 and minor alleles as 0. Gaps were 267 replaced by the site mean. The nucleotide diversity (π) , gene length, and the distributions of 268 segregating sites across core genes are described in Fig. S1 (b-d).

269 Transition transversion rate bias (kappa) and synonymous and

270 nonsynonymous counts

271 Because transitions are more often synonymous at third codon positions than are 272 transversions, to correctly identify the expected number of synonymous (Lps) and 273 nonsynonymous counts (Lpn), we first estimated the average transition/transversion rate bias 274 (kappa) (35) across species. To this end, we followed the methods described in (36) and used 275 two classes of sites: fourfold-degenerate sites at the third codon positions and nondegenerate 276 sites. Mutations at the fourfold-degenerate sites are synonymous, and therefore kappa at those 277 sites should reflect only the mutational bias. All mutations at nondegenerate sites are 278 nonsynonymous and were also used to estimate kappa. We computed an average kappa by 279 combining these two classes based on equations 8, 9, 10, and 11 of Yang and Nielsen 2000 (36). 280 These equations have been implemented within the CodonSeq class in Biopython (37) (private 281 function count site YN00()), and these private functions were adapted to our dataset.

To estimate a common kappa for each gene alignment (including all species and strains), we averaged estimates from pairwise analyses across 50 randomly chosen strains. The kappa distribution has a mean of 5.6 and a median of 5.20 (**Fig. S2a**), we used the median to compute the expected number of synonymous and nonsynonymous sites. To this end, we followed the methods described by Ina (1995) (35) and modified by Yang and Nielsen 2000 (36)—also implemented within Biopython. A total of 284742 synonymous, 49298 nonsynonymous sites were counted (**Fig. S2b-c**).

289

290 Divergence sites and shared polymorphisms

For each pair of species (a focal and an outgroup), we evaluated their variable sites and computed the number of shared synonymous (pS) and nonsynonymous (pN) polymorphisms. Given a bi-allelic SNP (0 and 1), we considered shared polymorphic sites as sites for which both alleles (0,1) were segregating in both species (**Table S3**). We restricted the estimates of divergence to those sites for which we had variable sites across species. We classified synonymous (d_S) and nonsynonymous divergent sites (d_N) as those sites in which we observed fixed differences between a focal species and an outgroup.

298

299 Calculating the folded Site Frequency Spectrum

One can infer the distribution of fitness effects from Site Frequency Spectrum (SFS) data 300 301 (20). Because of the amount of shared polymorphism among the present species (Table S3), it 302 becomes problematic to confidently distinguish ancestral from derived polymorphisms (38). 303 Therefore, we chose to estimate the DFE using a method that uses the folded site frequency 304 spectrums (SFS) of synonymous and nonsynonymous sites (10). To this end, we built the folded 305 synonymous and nonsynonymous site frequency spectrums by tabulating the observed counts of 306 the minor allele frequencies. The synonymous and nonsynonymous SFSs, and the divergence 307 counts, were then used to estimate the DFE and the proportion of adaptive substitutions (α) 308 across pairs of species.

309

310 Calculating the strength of purifying selection

The strength of purifying selection was measured as the ratio of nucleotide diversity at nonsynonymous (pi_N) and synonymous sites (pi_S) . For each gene and class of polymorphisms (synonymous and nonsynonymous) nucleotide diversity was computed as: $\pi = \sum_{1}^{m} (2pq)/Lp$, in which *p* and *q* are the allele frequencies, and *Lp* is the expected number of synonymous (*Lps*) or nonsynonymous positions (*Lpn*) along the gene. We use the median of the pi_N/pi_S distribution among genes as a proxy for the strength of purifying selection per species.

317

318 Calculating the significance levels between recombination classes

To test whether differences among recombination classes were statistically significant across species comparisons, we conducted a non-parametric test by shuffling genes among recombination classes (200 permutations) and recording the amplitude of differences between α estimates ($\Delta_{\alpha} = max_{\alpha} - min_{\alpha}$). We calculated a p-value by comparing the observed Δ_{α} against the simulated Δ_{α} distribution.

324

325 **Estimation of adaptive substitutions (***α***)**

Fitted parameters of the DFE were used to compute the expected d_N/d_S under the different models, which was compared to the observed d_N/d_S to estimate the adaptive substitution rate (ω_A); non-adaptive substitution rate (ω_{NA}), and the proportion of adaptive substitutions (α) with $\omega A = \alpha d_N/d_S$ and $\omega_{NA} = (1 - \alpha) d_N/d_S$.

To account for potential departures of the SFS from demographic equilibrium (assuming the Wright-Fisher model)—possibly driven by changes in the effective population size or by population structure—the method uses nuisance parameters to correct for these SFS distortions (39). The different DFE models were compared using the Akaike Information Criterion (AIC) (40).

335 **Recombination rate estimates**

To estimate the recombination rate per gene per species, we used two approaches: one based on the degree of association (or linkage disequilibrium) between pairs of alleles in a sample of haplotypes (r^2), and another, ClonalFrameML (R/ θ) (24, 25), which relies on the maximum likelihood inference to detect recombination events that disrupt a clonal pattern of inheritance in bacterial genomes.

341

(1) Intragenic linkage disequilibrium

342 Intragenic linkage disequilibrium (LD) measures the correlation between pairs of alleles 343 with genomic distance. Here we used Pearson's r^2 correlation measure.

344 Each gene genotype matrix (containing a minimal set of ten single nucleotide 345 polymorphisms (SNPs)) was first normalized as follow: let N denote the total number of 346 individuals, and M the total number of SNPs, the full gene genotype matrix (X) has dimensions $N \times M$ with genotypes encoded as 0's and 1's for the N haploid individuals. Each column S_i (i = 347 348 1, ..., M) of the X matrix is a vector of SNP information of size N. The first step of the calculation 349 was to apply a Z-score normalization to each SNP vector by subtracting the vector by its mean and dividing it by its standard deviation $(\frac{S_i - \overline{S_i}}{\sqrt{var(S_i)}})$, resulting in a vector with mean 0 and variance 350 351 1. The linkage disequilibrium was then calculated as a function of distance d (maximum 1000)

base pairs apart) and was computed as the average LD of pairs of SNPs d base pairs away from ach other. The calculations were done in the following way:

$$Cor(X_i, X_j) = \frac{Cov(X_i, X_j)}{\sqrt{var(X_i)var(X_j)}}$$

$$r^2 = Cor(X_i, X_j)^2$$

356

355

In which j > i and X_i is composed of the genotypes of all individuals of a given species for SNP position *i* in the genotype matrix. X_j is formed of the genotypes of all individuals of the same species for position *j* in the genotype matrix, and d = j - i with $d \le 1000$ base pairs. Results were then summarized into bins of 100 base pairs apart; for each bin, a mean r^2 was computed and then averaged to a singular r^2 value.

362 (2) ClonalFrameML

To estimate the changes in the clonal phylogeny by recombination (R), relative to mutation (θ) (R/ θ), we used the software ClonalFrameML (24, 25). For each species, we first concatenated all core gene alignments (3086 genes) to build the starting phylogenetic species-tree using a maximum likelihood approach (Raxml-ng (41, 42)). We then input each phylogenetic tree within each gene alignment to estimate R/ θ .

368

369 Data sharing plans

370 Code generated for this study can be found at

371 https://github.com/izabelcavassim/Popgen_bacteria

372

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376

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495 **Figures and Tables**

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Figure 1. Population genetics parameters across five species. (a) Nucleotide diversity (π) 499 500 across 3086 genes distributed along with genomic compartments (chromosome and chromids: Rh01, Rh02, and Rh03). To exclude outliers only genes with $\pi <= 0.03$ are shown. (b) Intragenic 501 502 linkage disequilibrium measured via the decay of r^2 for all core genes (3086 genes). The curve 503 fitting line (in blue) is from a local regression method (loess). (c) Linkage disequilibrium (r^2) 504 distribution across genes. Only genes with at least ten segregating sites were kept (gsA: 2567 505 genes, gsB: 1061, gsC: 2537, gsD: 625, and gsE: 1644). The black and blue dashed lines correspond to the median and mean r^2 , respectively. (d) Site frequency spectrum counts of 506 synonymous and non-synonymous sites by minor allele count based on all core genes (3086 507 508 genes). (e) The ratio of non-synonymous to synonymous polymorphisms by minor allele count. 509





Figure 2. The proportion of adaptive evolution (α **) by classes of recombination.** For each pairwise estimates of α the polymorphism data from one species (left in title) is compared against the divergence counts of an outgroup (right in title), and vice-versa. Results are divided into classes of recombination based on r^2 (a measure that is inversely proportional to the level of recombination). The α estimates and their associated confidence intervals were obtained using the best fitting DFE model (GammaZero).

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527 Figure 3. The rates of adaptive (ω_A) and non-adaptive (ω_{NA}) evolution by classes of 528 **recombination.** For each pairwise estimates of ω_A (in blue) and ω_{NA} (in yellow) the polymorphism 529 data from one species (left in title) is compared against the divergence counts of an outgroup 530 (right in title), and vice-versa. Results are divided into classes of recombination based on r^2 (a 531 measure that is inversely proportional to the level of recombination). An opposite effect of 532 recombination on ω_A and ω_{NA} is observed in most pairwise comparisons. The estimates (ω_A , 533 ω_{NA}) and their associated confidence intervals were obtained using the best fitting DFE model 534 (GammaZero).

Table 1. The proportion of adaptive evolution (α **) across pairs of species.** The α estimates were computed based on the best fitting DFE model (GammaZero) (**Table S4**). For each pairwise estimate of α ($\alpha_{species1 \, species2}$), the polymorphism data from a focal species (rows) is compared against the divergence counts of an outgroup (columns), and vice-versa ($\alpha_{species2 \, species1}$). Confidence intervals are displayed in brackets (grey) and numbers in parentheses represent the α ranking (in decreasing order) by outgroup (by column).

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Polymo- rphism (focal)	Divergence (outgroup)				
	gsA	gsB	gsC	gsD	gsE
gsA	-	0.28 [0.26-0.31] (2)	0.35 [0.33-0.39] (1)	0.25 [0.23-0.28] (1)	0.29 [0.27-0.32] (2)
gsB	0.18 [0.16-0.21] (4)	-	0.26 [0.24-0.29] (3)	0.15 [0.13-0.17] (3)	0.17 [0.15-0.19] (3)
gsC	0.36 [0.33-0.39] (1)	0.36 [0.33-0.38] (1)	-	0.25 [0.23-0.28] (1)	0.30 [0.27-0.32] (1)
gsD	0.25 [0.22-0.28] (3)	0.25 [0.23-0.27] (4)	0.25 [0.22-0.28] (4)	-	0.12 [0.10-0.15] (4)
gsE	0.27 [0.24-0.30] (2)	0.25 [0.23-0.27] (4)	0.27 [0.24-0.30] (2)	0.10 [0.07-0.13] (4)	-