1 Deep-sea plastisphere: long-term colonization by plastic-associated bacterial and archaeal

2 communities in the Southwest Atlantic Ocean

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13 Abstract

Marine plastic pollution is a global concern because of continuous release into the oceans over the 14 last several decades. Although recent studies have made efforts to characterize the so-called 15 16 plastisphere, or microbial community inhabiting plastic substrates, it is not clear whether the 17 plastisphere is defined as a core community or as a random attachment of microbial cells. Likewise, 18 little is known about the influence of the deep-sea environment on the plastisphere. In our experimental study, we evaluated the microbial colonization on polypropylene pellets and two 19 types of plastic bags: regular high density polyethylene (HDPE) and HDPE with the oxo-20 biodegradable additive BDA. Gravel was used as control. Samples were deployed at three sites at 21 22 3,300 m depth in the Southwest Atlantic Ocean and left for microbial colonization for 719 days. For microbial communities analysis, DNA was extracted from the biofilm on plastic and gravel 23 24 substrates, and then the 16S rRNA was sequenced through the Illumina Miseq platform. 25 Cultivation was performed to isolate strains from the plastic and gravel substrates. Substrate type strongly influenced the microbial composition and structure, while no difference between sites was 26 27 detected. Although several taxa were shared among plastics, we observed some groups specific for 28 each plastic substrate. These communities comprised taxa previously reported from both epipelagic zones and deep-sea benthic ecosystems. The core microbiome (microbial taxa shared by all plastic 29 substrates) was exclusively composed by low abundance taxa, with some members well-described 30 31 in the plastisphere and with known plastic-degradation capabilities. Additionally, we obtained 32 bacterial strains that have been previously reported inhabiting plastic substrates and/or degrading 33 hydrocarbon compounds, which corroborates our metabarcoding data and suggests the presence of 34 microbial members potentially active and involved with degradation of these plastics in the deep 35 sea.

36 Keywords: core microbiome, microbial colonization, plastics, 16S rRNA

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37 1. Introduction

Plastic waste has become a global challenge. Although many countries are involved in public 38 policies to mitigate this problem, tons of plastic waste continue to enter the oceans annually 39 (GESAMP, 2019; Jambeck et al., 2015; PlasticEurope, 2019; Schmidt et al., 2017). As a 40 consequence, marine plastic contamination is ubiquitous, including in remote marine environments 41 42 such as the deep ocean (Woodal et. al., 2014). In the marine environment, plastic waste is exposed 43 to physical and chemical factors that contribute to the degradation and fragmentation of this 44 material into microplastics (i.e. plastic objects < 5 mm in diameter) (Roager and Sonnenschein, 45 2019). Microplastic chemical composition, density and shape determine whether it is more likely to float or sink, which will influence the distribution in pelagic or benthic ecosystems (Cole et al., 46 47 2011; Pierdomenico et al., 2019; Pinnell and Turner, 2019; Van Cauwenberghe et al., 2013; 48 Woodall et al., 2014). Although both macro- and microplastics have already been reported in deep-49 ocean regions, knowledge regarding plastic colonization by deep-sea prokaryotic communities in 50 both pelagic and benthic ecosystems is incipient (Bergmann and Klages, 2012; Chiba et al., 2018; 51 Galgani et al., 1996; Krause et al., 2020; Pierdomenico et al., 2019; Schlining et al., 2013; Woodall 52 et al., 2018).

Plastics can be used as substrata and be rapidly colonized by microorganisms, which form biofilms on the plastic surface, a community we refer to as the "plastisphere" (Zettler et al., 2013). The ability to colonize and metabolize substrate surfaces is a mechanism that promotes advantages for microorganisms in situations with nutritional limitation (Dang and Lovell, 2016), as can be the case in deep-sea regions. Plastic substrates in the deep ocean may offer a new hotspot of colonization, as well as a relevant source of carbon to support the microbial community, proportionally magnifying the abundance of potentially plastic degrading microorganisms within

the plastisphere. A growing number of studies have reported microorganisms capable of degrading
hydrocarbons (Didier et al., 2017; Oberbeckmann et al., 2017), raising the hypothesis that they
would be consuming this material in nutritionally limited conditions, such as those found in deepsea ecosystems.

In addition to the influence of the environment (which can be prone to microbial colonization or 64 not), polymer composition is suggested to modulate the structure and composition of the 65 plastisphere (Dussud et al., 2018a; Dussud et al., 2018b; Kirstein et al., 2018, 2019; McCormick 66 67 et al., 2014; Pinto et al., 2019; Zettler et al., 2013). Moreover, geographic locations have also been 68 indicated to exert influence on the microbial community of the plastisphere (Oberbeckmann et al., 69 2016). In contrast, independent of environmental factors or plastic substrate type, some microbial 70 taxa have been reported in multiple plastispheres (Tu et al., 2020; Zettler et al., 2013) and they are 71 now referred to as "core microbiome". The core microbiome members comprise taxa with high 72 occupancy across a dataset that are hypothesized to reflect functional relationships with the host 73 (or substrate) (Shade and Handelsman, 2012). In the plastisphere, these members are thought to be 74 involved in biofilm formation and/or metabolizing compounds from the plastic substrate (Didier 75 et al., 2017; Tu et al., 2020). Bacterial families such as Oleiphilaceae and Hyphomonadaceae have 76 been found colonizing multiple substrates (Bryant et al., 2016; De Tender et al., 2017; Oberbeckmann et al., 2018; Pinto et al., 2019; Zettler et al., 2013). The known capacity of these 77 families to metabolize hydrocarbon compounds may be the key functional trait enabling them to 78 79 colonize different plastics (Golyshin et al., 2002; Ozaki et al., 2007).

In the last decade, the number of studies on the plastisphere has increased (Amaral-Zettler et al.,
2020). However, efforts have been concentrated mainly on epipelagic (e.g. Bryant et al., 2016;
Carson et al., 2013; Zettler et al., 2013) or shallow benthic systems (Pinnel and Turner, 2019).

Only a few studies, however, analyzed the plastisphere in deep-sea habitats (Krause et. al., 2020; 83 Woodal et. al, 2018). Studies that assessed marine plastisphere microbial communities can be 84 85 classified into three basic groups: those that randomly collected plastic marine debris (PMD) or microplastics (Bryant et al., 2016; De Tender et al., 2015; Zettler et al., 2013); studies that deployed 86 plastic substrates in the ocean (Oberbeckmann et al., 2016; Tu et al., 2020) and those conducted in 87 88 laboratory conditions (Kirstein et al., 2018; Ogonowski et al., 2018). The microbial community structure inhabiting deployed plastic substrates for long periods is as yet poorly studied (Kirstein 89 90 et al., 2018; Oberbeckmann et al., 2016, 2018; Tu et al., 2020). Based on these studies, the marine 91 plastic bacterial community is mainly represented by the Gammaproteobacteria, Alphaproteobacteria and Bacteroidetes classes, while the archaeal community is represented by 92 the Euryarchaeota and Crenarchaeota phyla (Eriksen et al., 2014; Oberbeckmann et al., 2018; 93 Oberbeckmann and Labrenz, 2020; Quero and Luna, 2017; Urbanek et al., 2018; Woodall et al., 94 2018). 95

96 Here, we conducted the first *in situ* experimental study that characterized the structure and 97 composition of the microbial community (the bacterial and archaeal) associated with different 98 types of plastic substrates deployed for 719 days at three sites in deep waters in the Southwest 99 (SW) Atlantic Ocean (3,300 m). Our objectives in this study were to understand (i) if there are 100 differences in microbial communities inhabiting plastics polymers as opposed to control samples and adjacent seawater, and differences among multiple sites, (ii) if there is a core microbiome 101 102 among plastics that can contribute to the description of the plastisphere in the deep SW Atlantic 103 Ocean (different from shallow waters), and (iii) if it is possible to isolate viable bacteria through 104 cultivation of plastic substrates that are potentially related to plastic degradation. For this, we performed a high-throughput sequencing of the 16S rRNA gene to access bacterial and archaeal 105

106 communities and used traditional culturing methods to assess the plastics as substrates for107 microbial growth.

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109 2. Materials and Methods

110 2.1. Studied area and deployment methods

Experimental sites are located in a region that encompasses the transition between the North Atlantic Deep Water (NADW) and the Lower Circumpolar Deep Water (LCDW). This region is characterized by water temperature between 3 °C and 4 °C, salinity between 34.6 and 35.0, oxygen concentration above 5 mL L⁻¹ and low nutrient levels (i.e. oligotrophic) (Gonzalez-Silvera et al., 2004; Krueger et al., 2015).

Autonomous aluminum structures that housed multiple experiments, called landers, were deployed 116 at three sites along the southeastern Brazilian continental margin, and between 21°S and 28°S. The 117 118 sites were named ES (22°50'27.24"S; 38°24'58.68"W), RJ (25°20'17.88"S; 39°38'28.32"W) and SP 119 (28°1'42.24"S; 43°31'43.32"W), with distances of 304 km between ES and RJ, 786 km between ES 120 and SP, and 496 km between RJ and SP (Figure 1). Landers were deployed in June 2-6, 2013 at 121 3,300 m depth, using the R/V Alpha Crucis from the Oceanographic Institute at Universidade de 122 São Paulo (IO-USP). On each lander, single use plastic bags of two different polymers, 60g of 123 pristine PP pellets (Braskem) and 60 g of inorganic material as a control were deployed. Plastic 124 bags included: (i) regular high density polyethylene (HDPE) grocery bag material (Valbags, 125 ValGroup Brasil), (ii) biodegradable grocery bag material made from HDPE with the oxobiodegradable additive BDA (Willow Ridge Plastics, Inc.). All types of substrates were placed 126

inside fiberglass mesh bags (~8 x 15 cm; mesh size, 1 mm), attached to the metal lander frame and
secured with nylon fishing line and rope before deployment.

After 719 days in all cases, 23.6 months (on May 22-25, 2015), samples were collected with the 129 130 support of NPo Almirante Maximiano (H-41, Brazilian Navy). For DNA extraction, 30 pellets of gravel, plastic and cut strips of plastic bag material were rinsed lightly with autoclaved distilled 131 132 water. These were then placed into vials (10 per vial for pellets or sufficient to occupy approximately the same vial volume as pellets for bags, n = 3 replicate vials), filled with RNA later 133 134 buffer solution (Thermo Fisher Scientific, Waltham, MA, USA), and stored at -20 °C until analysis. 135 For live culturing, an additional 5 pellets or cut strips of plastic bag material were placed directly 136 into Eppendorf tubes and filled with sterilized seawater without rinsing. Eppendorf tubes were 137 shaken gently, and stored at 4 °C until analysis. All handling materials were sterilized between 138 every step.

Before retrieving each lander, water samples from the same depth and current as the plastic samples were collected using a Rosette water sampler equipped with Niskin bottles. Water samples (adjacent water - AW) were collected to analyze the structure of the microbial communities in the environment where plastics were deployed. Each water sample collected (~ 10 L) was immediately filtered through a 0.22 µm polycarbonate membrane (diameter 45 mm; Millipore, Bedford, MA, USA) using a peristaltic pump, and stored at -80 °C.

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146 2.2. DNA extraction, 16S rRNA gene amplification and sequencing

Samples were processed at LECOM, the Microbial Ecology Laboratory at the Oceanographic
Institute (IO-USP) of University of São Paulo. Extraction of the total DNA from the plastics was

performed in triplicate using the PowerSoil DNA Isolation Kit (MoBio, Carlsbad, CA, USA).
Extraction of DNA from the water was performed using a PowerWater DNA Isolation Kit (MoBio,
Carlsbad, CA, USA) according to the manufacturer's specifications.

Six PCR reactions from each sample were pooled and purified with the DNA Clean & 152 ConcentratorTM kit (Zymo Research, Irvine, CA, USA), and quantified with Oubit 1.0 fluorometer 153 (Thermo Fisher Scientific, Waltham, MA, USA) and the Qubit® dsDNA HS Assay Kit (Thermo 154 Fisher Scientific, Waltham, MA, USA). PCR was conducted following the Earth Microbiome 16S 155 Illumina Amplicon Protocol. Amplicons were sent to the Molecular Research - MR. DNA 156 company (Texas, USA) for sequencing on the Illumina Miseq platform in a 2x300 bp pair-end 157 158 system. The V4 hypervariable region of the 16S rRNA gene from Bacteria and Archaea was amplified with the universal primers 515F and 806R (Caporaso et al., 2010) with specific adapters 159 for the Illumina Miseq platform. Sequence data (raw .fastq files) have been submitted to the 160 161 GenBank under accession number PRJNA692207.

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163 2.3. Bioinformatics and statistical analyses

Processing and quality control of reads was performed using QIIME2 version 2019.10 (Bolyen et al., 2019). After graphic inspection of quality profiles, raw reads were subjected to trimming and filtering, then clustered into Amplicon Sequence Variants (ASV) with DADA2 denoising (Callahan et al., 2016) using the QIIME 2 package (Bolyen et al., 2019). Sequence counts were rarefied to 45,020 reads per sample across all samples to mitigate uneven sequencing depth.

The ASV richness, Shannon and InvSimpson diversity indexes were calculated using *phyloseq* and
 vegan packages. Normality and Homogeneity of variances was assessed by Shapiro-Wilk

normality and Levene's test, respectively. If the data showed a normal distribution and the 171 variances were homogeneous, differences between groups were assessed by one-way analysis of 172 variance (ANOVA) and subsequent post-hoc Tukey's tests, which were performed using stats and 173 agricolae packages in R (v.3.6.1) to assess differences in diversity indexes among substrates and 174 sites. To compare the structure of the bacterial communities among substrates and sites, non-metric 175 176 multidimensional scaling (NMDS) ordination was performed, based on weighted unifrac 177 dissimilarities among all samples. Differences in the microbial community structure among 178 substrates and sites were tested by performing a permutational multivariate analysis of variance 179 (PERMANOVA) on the community matrix (Anderson, 2001).

180 To identify an ASV that was significantly more abundant among substrate types, we performed DESeq2, Differential Expression analysis for Sequence count data (Love et al., 2014). The DESeq2 181 input was a rarefied microbial dataset previously treated using the Prevalence Interval for 182 183 Microbiome Evaluation (PIME) package (Roesch et al., 2020). PIME uses machine-learning to 184 generate ASV prevalence among samples, and validate it by comparison with control Monte Carlo 185 simulations with randomized variations of sequences (Roesch et al., 2020). The full rarefied dataset consisting of 5,199 ASVs was filtered using the PIME R package (Roesch et al., 2020). PIME 186 removes the within-group variations and captures only biologically significant differences which 187 188 have high sample prevalence levels. PIME employs a supervised machine-learning algorithm to predict random forests and estimates out-of-bag (OOB) errors for each ASV prevalence dataset at 189 5% intervals. High OOB errors indicate that a given prevalence dataset interval is noisy, while the 190 191 minimal OOB errors (OBB error = zero) represent the absence of noise. Here, the minimal OOB errors occurred with a 70% prevalence interval, which represented 471,078 sequences distributed 192 among 535 ASVs. This 70% prevalence dataset was used for DESeq2 subsequent analyses. 193

To observe the occurrence of ASVs among substrate types, the samples were grouped by substrate type and the taxa abundance table transformed to presence/absence. The unique and shared ASVs were then visualized using an UpSet plot, *UpSetR* package (Conway et al., 2017). The ASVs shared by all plastic types were considered the core microbiome.

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199 2.4. Cultivable Plastic-associated Bacteria

200 In sterile Petri dishes, samples of plastic substrates were inoculated directly into the mineral culture 201 medium described by Sekiguchi et al., (2010). The medium was prepared to contain per liter of 202 distilled water: 1.87% of Marine Broth (Difco), 1.5% NaCl, 0.35% KCl, 5.4% MgCl₂. 6H₂O, 2.7% MgSO₄. 7H₂O, 0.5% CaCl₂. 2H₂O, 1.2% agar with 0.25% poly-β-hydroxybutyrate (PHB) granules 203 204 added. The samples were incubated for 15 days at 13 °C, or until the growth of colonies around 205 the plastic samples was observed. All morphologically different macroscopic colonies were 206 selected using the depletion technique two to three times until pure colonies for sequencing were 207 obtained. The isolates were preserved in 20% glycerol in an ultra-freezer at -80 °C.

208 The genomic DNA of 22 isolates was extracted using the Purelink Genomic DNA kit (Invitrogen 209 by Thermo Fisher Scientific, Carlsbad, USA), according to the manufacturer's specifications. Amplification of the RNAr 16S gene was conducted using primers 515F (5 '-210 GTGYCAGCMGCCGCGGTAA - 3') and 1401R (5 '- CGGTGTGTACAAGGCCCGGGA - 3'). 211 212 The polymerase chain reaction (25 μ L reaction) was performed using Gotag Mix Hot Start, 0.25 213 μ L of each primer and 2 μ L of DNA template. The PCR conditions were: initial denaturation temperature of 95 °C, 3 min; followed by 30 cycles of 94 °C, 1 min; 53 °C, 30 seconds; 72 °C, 1 214 215 min; and a final extension at 72 °C for 10 min. The PCR product was purified using the DNA

Clean & Concentrator kit (Zymo Research, Irvine, USA) according to the manufacturer's specifications and sent for sequencing at Genomic Engenharia Molecular, where they were sequenced using the BigDye Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems, Palo Alto, USA) with the 515F primer.

220 Sequence analysis was initially performed using CodonCodeAligner Software (CodonCode 221 Corporation, Dedham, MA, USA). Through this software, the sequences were checked for quality and treated. After obtaining the treated sequences, the SILVA v138 database (High-Quality 222 Ribosomal RNA Databases) was used to align the sequences, to identify the isolates and to 223 224 construct the phylogenetic trees through MEGA X software (Kumar et al., 2018), using the maximum-likelihood method (999 bootstraps). All sequencing data was deposited in GenBank 225 226 (National Center for Biotechnology Information Sequence Read Archives) under accession 227 numbers between MW216888 and MW216902.

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229 **3. Results**

230 3.1. ASV richness and Alpha diversity among substrata and sites

From the 15 samples sequenced, a total of 1,086,988 valid sequences (i.e. reads) were obtained, representing an average of 72,465±12,068 (SD) reads per sample. The obtained reads were clustered into 5,310 ASVs, representing an average of 702±149 ASVs per sample. Rarefaction curves indicated a stationary phase, suggesting sufficient depth of sequencing to account for the diversity of the microbial community on the plastic substrates, gravel, and seawater samples (Figure S1).

Overall, among substrate type the ASV richness measured by the Chao1 estimator was 237 238 significantly higher on gravel samples (898.33 \pm 84.77) (ANOVA; F = 4.85; df = 4; p = 0.027), 239 while the microbial richness from AW samples and plastic substrates (HDPE and PP) was lower (631±106, 616±80 and 583±141, respectively) (Figure S2A). Although the Shannon diversity was 240 not affected by substrate types (ANOVA; F = 2.77; df = 4; p = 0.102), the diversity observed on 241 gravel samples was 1.05, 1.07, and 1.2-fold higher than PP, HDPE-OXO, and HDPE samples, 242 243 respectively (Figure S2B). The evenness was also not affected significantly by substrate type 244 (ANOVA; F = 1.65; df = 4; p = 0.111), but a lower mean evenness was observed on HDPE (0.66), AW (0.68), and HDPE-OXO (0.71), while the PP samples showed the higher evenness (0.76) 245 (Figure S2C). 246

The site did not exhibit a significant effect on any diversity indexes measured. However, the ASV richness measured by the Chao1 estimator was higher from RJ samples (741.09 \pm 159.06) (ANOVA; F = 0.61; df = 2; p = 0.564), while SP and ES showed similar richness (675.54 \pm 81.16; 681.38 \pm 203.29, respectively) (Figure S2D). Shannon index averages were high in RJ samples

251 (4.78±0.55) and SP (4.71±0.20), while ES showed the lowest mean values (4.51±0.55) (ANOVA; 252 F = 0.38; df = 2; p = 0.517) (Figure S2E). Finally, the lowest evenness was observed in the ES 253 samples (0.69±0.07) and similar between RJ and SP (0.72±0.06 and 0.72±0.02, respectively) 254 (ANOVA; F = 0.61; df = 2; p = 0.565) (Figure S2F).

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256 3.2. Microbial community structure among substrata and sites

Non-metric multidimensional scaling (NMDS) based on weighted Unifrac dissimilarities revealed that the global pattern of microbial diversity was significantly explained by the substrate type (Figure 2). Based on Permutational Multivariate Analysis of Variance (PERMANOVA) the microbial community structure was highly dependent on the substrate type ($R^2 = 0.78$, P = 0.001), while the site effect was lower and not significant ($R^2 = 0.11$, P = 0.054). Adjacent water (AW) samples were particularly distinct, while gravel samples showed more similarities to plastic samples.

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265 3.3. Microbial composition and taxa differential abundance between plastic and control samples

The microbial community of all substrates were composed in majority by the phylum Proteobacteria (40 to 77%), while other phyla showed different colonization patterns amongst plastic substrates (HDPE, HDPE-OXO and PP), gravel and AW, including both presence/absence and differences in relative proportions of the phyla (Figure 3A). For example, Firmicutes was abundant in all PP samples (average $20\pm6\%$), while found in smaller proportions in HDPE ($6.6\pm6.2\%$), HDPE-OXO ($6.1\pm5.6\%$) and gravel samples ($3.8\pm1.9\%$). Among plastics, NB1-j was more abundant in HDPE ($4.6\pm5.9\%$) and archaeal phyla (such as Crenarchaeota and Nanoarchaeota) were prevalent in HDPE and HDPE-OXO (5.1 ± 4 and $7.3\pm4.7\%$, respectively). The lowest proportions of those archaeal phyla were observed in PP ($0.7\pm0.4\%$). Chloroflexi, Marinimicrobia (SAR406 clade) and SAR324 (Marine group B) were mainly present in AW samples (7.1 ± 1.6 , 5.6 ± 0.28 and $6.9\pm0.1\%$, respectively) but not in the plastic substrates used in this study.

278 Differences between plastics and gravel samples were strongly evident when we examined deeper 279 taxonomic levels. We observed ASVs from a total of 37 families that were significantly more 280 abundant in plastic substrates when compared with gravel samples; these include families, such as 281 Methylologellaceae, Colwelliaceae, Pseudomonadaceae, Haliangiaceae, Micrococcaceae, Halieceaea, Oleiphilaceae, Flavobacteriaceae, Rhizobiaceae, Microtrichaeae, Rhodobacteraceae, 282 283 among others (Figure 2B). Seven families showed ASVs with differential abundances for both plastic and gravel samples, such as Cyclobacteriaceae, OM190, Spongiibacteraceae, NB1-i, 284 285 Cryomorphaceae, Nitrosopumilaceae and unclassified Bacteroidia. Gravel samples exhibited 16 286 families significantly more abundant in comparison with plastics (e.g. Kangiellaceae, OM182 clade, Crocinitomicaceae, Sphingomonadaceae and Bacteriovoracaceae) (Figure 2B). 287

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289 3.4. Microbial composition and taxa differential abundance among plastic types

Among each substrate type, the largest number of unique ASVs was found in gravel samples (1096 ASVs), followed by AW, PP, HDPE-OXO and HDPE, with respective values of 954, 736, 732 and 475 ASVs. Grouping those ASVs into families to examine abundance, we identified a predominance of low abundance families on all substrates deployed (relative abundance < 2% of

294	total community) on PP (71.9%), HDPE-OXO (63.9%), gravel (56.8%) and HDPE (40.2%). The
295	percentage of low abundance families in AW samples was lower (21.4%) (Figure 4).
296	Overall, the number of common ASVs amongst substrates deployed (pairwise combinations
297	among HDPE, HDPE-OXO, PP and gravel) was higher than common ASVs between AW and
298	substrates deployed (Figure 4). These results may confirm taxa effectively colonizing the
299	substrates over long periods. Another piece of evidence to support this idea is the high number of
300	ASVs (201) shared among all substrates deployed, while only 91 ASVs were observed shared
301	among the substrates deployed and the adjacent water (AW) (Figure 4).
302	Similar polymer composition was also suggested as exerting influence on the microbial taxa
303	composition. We identified 163 ASVs shared between HDPE and HDPE-OXO, plastic substrates
304	composed basically by HDPE. In contrast, a lower number of ASVs were shared between PP and
305	HDPE-OXO (68) and PP and HDPE (46), substrates composed of different polymers (Figure 4).
306	Based on the 44 families with ASVs significantly more abundant in plastic substrates than in gravel
307	samples (Figure 2B), we checked the distributions of these families amongst plastic substrates
308	types (Figure 5). Results showed us three major family groups: (i) the generalists, found with
309	significant abundance in all plastic types (HDPE, HDPE-OXO, and PP), (ii) the plastic HDPE
310	generalists, found with significant abundance in plastic HDPE substrates (HDPE and HDPE-
311	OXO), and finally, (iii) the specialists group, composed by families found in differential
312	abundances in specific plastic substrates.
313	Fourteen families were classified into a generalist group, which included Bdellovibrionaceae,
314	Halieaceae, Microtrichaceae, Pseudomonadaceae, and uncultured families. The HDPE plastic

315 generalists were composed of 13 families, including CCM11a, Cryomorphaceae, Oleiphilaceae,

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Rhizobiaceae and Flavobacteriaceae, Nitrosopumilaceae. Among specialist families, the Beijerinckiaceae and Staphylococcaceae were significantly more abundant in PP samples, whereas NB1-j, OM190, Saccharospirillaceae, Spongiibacteraceae, Micavibrionaceae and unclassified class of Alphaproteobacteria were more abundant in HDPE-OXO samples. We did not identify families specifically associated with HDPE samples.

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322 3.5. Core microbiome of the plastisphere community

To identify the core microbiome of the plastisphere from the deep Southwest Atlantic Ocean, the 323 324 shared ASVs among plastic substrate types were examined (Figure 4). A total of 28 ASVs were 325 shared among plastic types (Table S1), comprising the core microbiome of the plastisphere from 326 the deep Southwest Atlantic Ocean. These ASVs were classified within 23 bacterial families, while 327 17 families included 97% of total core microbiome (Figure 4). This families were composed by 328 Oleiphilaceae (30% of core microbiome), Rhizobiaceae (22%), unclassified Bacteria (13%), NB1-329 j (7%), Halieaceae (5%), Hyphomonadaceae (4%), Sneathiellaceae (3%), unclassified Bacteroidia 330 class (3%), Micrococcaceae (2%), AB1 (1%), OM190 (1%), Thermaceae (1%), Paenibacillaceae 331 (1%), Haliangiaceae (1%), Microtrichaceae (1%), Nannocystaceae (1%) and Eel-36e1D6 (1%). 332 Six other families represented approximately 3% of the core microbiome (Figure 4).

333 3.6. Cultivable plastic-associated Bacteria

Bacterial strains were cultivated from all substrates, including all plastic types and gravel (Table 334 S2). Overall, 15 strains were affiliated according to their 16S rRNA gene sequences to a bacterial 335 genus. The most dominant family identified among the strains was Halomonadaceae, recovered 336 337 from all substrates. This family was represented by two genera, Salinicola and Halomonas. Four 338 families were isolated only from plastic substrates, Flavobacteriaceae (HDPE and HDPE-OXO), 339 Pseudoalteromonadaceae (HDPE), Marinobacteraceae (HDPE-OXO) and Rhodobacteraceae (PP). 340 In contrast, Micrococcaceae and Bacillaceae were isolated only from gravel. The comparison of 341 the sequences of all isolates with the SILVA rRNA database confirmed the identities of the isolates. A phylogenetic tree was constructed with the bacterial sequences deposited in the database most 342 343 closely related to our isolates (Figure S3). All the bacterial genera isolated in this study have been reported associated with or degrading hydrocarbon compounds (Table S2). 344

345 **4. Discussion**

Deep-sea environments are characterized by low temperatures, high pressure, absence of light and 346 347 the consequent absence of photosynthetic primary production (Corinaldesi, 2015). Together with a general reduction of organic matter input, these extreme conditions promote high selective 348 pressures on the microbial community. The input of anthropogenic substrates (i.e., sources of 349 350 carbon) into the deep sea, such as plastic substrates, creates new habitats (or food sources) to be 351 colonized by microorganisms. However, the composition of these substrata may select pelagic 352 microorganisms with specific features that allow them to colonize and metabolize this carbon 353 source (Dussud et al., 2018a; Dussud et al., 2018b).

354 Although the input of organic matter is suggested to be low, the pool of organic matter in deep 355 waters could be enough to support high microbial richness (Luna et al., 2012), as indicated by our 356 results. The highest richness on the gravel samples indicates that more microbial taxa were capable 357 of attaching to the gravel in comparison with the plastic substrates, suggesting that plastics likely offer a strong selective pressure to colonization by the surrounding microbial community 358 359 (McCormick et al., 2014). This is also supported by the lower microbial evenness observed in the 360 plastic substrates (Figure S1C). However, statistical differences in alpha-diversity indices between plastic substrates and AW were not observed. Despite the high variability among samples and a 361 limited number of replicates, these results indicate that the substrate effect on microbial 362 363 communities is reflected by taxonomic composition rather than by diversity indexes. To the best 364 of our knowledge, there is no previous study that has experimentally addressed the microbial 365 colonization on plastic substrates in deep waters. Several previous studies have reported on surface 366 waters (either from laboratory or field experiments) a lower richness and diversity of plastic 367 substrates in comparison with the surrounding waters, suggesting that plastic substrates select for

a specific and less diverse microbial community (McCormick et al., 2014; Ogonowski et al., 2018; 368 Zettler et al., 2013). Nevertheless, deep water is generally oligotrophic, while surface waters have 369 a constant input of organic matter from primary production from the phytoplanktonic community 370 and could support high microbial diversity. These general oligotrophic conditions could also be 371 372 attributed to the lack of significant differences in microbial richness and diversity among sites, 373 which are exposed to the same depths and water mass. Abiotic parameters associated with water 374 mass are suggested to be the main driver of the microbial community in the pelagic system. The 375 similarity of these drivers observed among the sites could thus explain the lack of significant 376 differences found in our study, as well as the high similarity among AW samples from different sites (Figure 2). Although at different sites, all samples were deployed at the same depth (3300 m), 377 378 under similar temperatures (3-4 °C), salinities (34.6-35 psu), oxygen concentrations (above 5 mL 379 L⁻¹) and with similar low nutrient levels (oligotrophic) (Gonzalez-Silvera et al., 2004; Krueger et al., 2015). 380

381 Our results showing the strong effect of substrate type on microbial community structure (PERMANOVA, R^2 =0.78, P < 0.001) indicates niche partitioning of microbial communities 382 among substrates (Dussud et al., 2018a). The substrate-dependence has been reported by studies 383 384 regarding environmental and controlled conditions (Dussud et al., 2018a; Dussud et al., 2018b; Kirstein et al., 2019, 2018; McCormick et al., 2014; Zettler et al., 2013), showing evidence of the 385 386 selective effect of plastic substrates. Under natural environmental conditions, substrate-387 dependence has also been reported in studies that randomly collected PMD (Plastic marine debris) (Didier et al., 2017; Dussud et al., 2018a; Ogonowski et al., 2018; Zettler et al., 2013) or that 388 deployed plastic substrates (Kirstein et al., 2019), showing that the microbial community attached 389 to a plastic substrate are distinct from free-living seawater communities or those attached to other 390

hard substrata. Besides the substrate composition, the shape of the plastic particle could also act as 391 a driver of the microbial diversity. For example, it is possible that the differences in the microbial 392 structure and composition found between HDPE and PP substrates could also be attributed to shape 393 differences in the plastics, not only to differences in composition. The HDPE substrate used in our 394 study was in the form of a film, while the PP was a pellet. Because we didn't have the same plastic 395 396 type with multiple shapes available among our samples, we could not evaluate the isolated effect 397 of plastic shapes on microbial diversity. Some recent studies have indicated that particle shape 398 affects the microbial biofilm thickness (Wright et al., 2020), but if this reflects in changes in the 399 microbial community composition is as yet unknown.

400 The microbial communities from gravel samples were more similar to the plastic substrates, while 401 adjacent water samples were particularly distinct (Figure 2). These results were expected, as the plastic samples and gravel were deployed for 719 days; water samples represented only a single 402 moment in time, while the plastic and gravel samples are the results of cell deposition and a 403 404 dynamic succession over a long period. The AW samples are therefore a type of control, providing information on the microbial taxa present in the water column before retrieving the substrates. 405 Corroborating our results, Oberbeckmann et al., (2016) demonstrated significant differences of 406 407 multiple taxonomic groups when comparing plastic biofilm communities and the surrounding seawater communities; although the bacterial communities attached to PET bottles were distinct 408 409 from the free-living seawater communities, the authors also found that PET-associated 410 communities were similar to other types of particle-associated or glass-bound communities 411 collected in the surrounding seawater. Those results confirm the ability of pelagic microorganisms to colonize a range of substrates without specificity (Dussud et al., 2018a). 412

At deeper taxonomic levels, the differences between the plastic and gravel samples were strongly 413 evident. We identified 37 families significantly more abundant in plastic substrates than in gravel 414 samples (Figure 2B), such as such as Methylologellaceae, Colwelliaceae, Pseudomonadaceae, 415 Haliangiaceae, Micrococcaceae, Halieceaea, Oleiphilaceae, Rhizobiaceae, Microtrichaeaed, 416 Rhodobacteraceae unclassified families 417 Flavobacteriaceae, and of Alphaand 418 Gammaproteobacteria. Corroborating our results, previous studies have shown that microplastics were mainly colonized by Alpha- and Gammaproteobacteria, which were shown to act as primary 419 420 colonizers, and Flavobacteria (Bacteroidetes), which appeared to act as secondary colonizers (Lee 421 et al., 2008). Additionally, bacterial families classified as Flavobacteriaceae, Pirellulaceae, Rhodobacteraceae (Alphaproteobacteria) and Microtrichaceae (Acidimicrobiia) were identified as 422 the most dominant families on microplastic (PE) biofilms exposed for 135 days to the marine 423 environment at 12 m depth (Tu et al., 2020). 424

425 When comparing our microbial taxa from plastic substrates with previous studies related to the 426 plastisphere in epipelagic ecosystems we found several families in common, such as 427 Microtrichaceae, Rhizobiaceae, Halieaceae, Spongiibacteraceae, Rhodobacteraceae, 428 Micavibrionaceae, Flavobacteriaceae, Halomonadaceae, Kangiellaceae, Hyphomonadaceae, 429 Comamonadaceae, Oleiphilaceae and Bacillaceae (Amaral-Zettler et al., 2020; Feng et al., 2020; Pinto et al., 2019; Rogers et al., 2020). The family Oleiphilaceae comprises members that 430 obligately utilize hydrocarbons through the alkane hydroxylase (AlkB) pathway (Golyshin et al., 431 432 2002); its detection in our plastic samples likely indicates their potential role in degrading plastic substrates in deep-sea ecosystems. Further, among the families described by these authors, 433 434 Rhodospirillaceae members were not detected in our samples, which is in agreement with their photosynthetic capacity and thus their prevalence in epipelagic ecosystems. We detected a few 435

families in our plastic samples which were not identified in these previous studies, such as Nitrosopumilaceae. Nitrosopumilaceae members are widely distributed in several deep-sea environments and have an important role as primary producers through ammonia oxidation (Zhong et al., 2020). Their presence in our plastic substrates likely reflects the high abundance of this family in these ecosystems, which might favor their attachment to a variety of substrates available for colonization.

Remarkable differences were observed when we grouped the microbial families by their 442 distributions amongst plastic types as generalists (significantly abundant on all plastic types, 443 HDPE, HDPE-OXO and PP), HDPE plastic generalists (significantly abundant in HDPE and 444 445 HDPE-OXO), and specialists (significantly abundant in specific plastic substrates). Members of 446 Bdellovibrionaceae, Halieaceae, Microtrichaceae and Pseudomonadaceae families were identified as generalists. The ability to colonize and potentially metabolize the carbon from plastic polymers 447 448 of different substrates in deep environments, under oligotrophic conditions, confer advantages on 449 these microbes in comparison to the entire microbial community. Some of these families have been 450 previously described in association with different types of microplastics from several locations 451 (e.g. Dussud et al., 2018a; Jiang et al., 2018; Tu et al., 2020). Tu et al., (2020) found a high 452 abundance of Microtrichaceae members within biofilms of polyethylene microplastics from coastal seawater in the Yellow Sea, China, with increasing abundance according to longer exposure 453 454 periods (135 days). Halieaceae members were detected in polyethylene, polypropylene and polystyrene microplastics from the Yangtze estuary (Jiang et al., 2018) and polyethylene 455 456 microplastics from the Yellow Sea (Tu et al., 2020), both in China. This family is composed by marine bacteria that are capable of assimilating propylene through alkene monooxygenase genes 457 (Suzuki et al., 2019). In addition, we observed microbial families associated specifically to HDPE 458

samples (with and without biodegradable additives). Those microbes, in contrast to the generalist 459 group, are suggested to be more adaptive to colonizing HDPE polymers, with weak or no influence 460 461 from biodegradable additives. The influence of biodegradable additives was observed in the specialist taxa group (i.e. those microbes more adapted to a specific polymer type). The presence 462 of additives in the polymer compositions may support microbial dynamics over time (Dussud et 463 464 al., 2018b). Additionally, those additives could be an extra source of nutrients that may reflect in 465 the multiplication of the different microorganisms. Similar results were reported by Dussud et al., 466 (2018b) that suggested a strong effect of the polymer type on the bacterial community, because the 467 composition of microbial biofilm on LDPE and LDPE-OXO (PE with pro-oxidant additives) was completely distinct, while AA-LDPE-OXO (artificially aged LDPE-OXO) and PHBV (poly(3-468 hydroxybutyrate- co-3-hydroxyvalerate) showed higher similarity, all under controlled conditions. 469 As observed by these authors for shallow waters, we also observed an influence of the plastic types 470 HDPE and HDPE-OXO on selecting specific microbial taxa in deep waters. 471

472 A total of 28 ASVs were identified as core microbiome members in the plastisphere. Defining a 473 common core microbiome in the plastisphere across different studies may be difficult, because 474 variations between experimental designs make it difficult to compare studies directly, as do the 475 variety of study-specific approaches used to define the core (Didier et al., 2017; Tu et al., 2020; 476 Zettler et al., 2013). However, some taxa observed in our study were widely described by previous 477 studies, which provides evidence of common core members of the plastisphere from both surface 478 and deep waters. ASVs from the bacterial families Oleiphilaceae and Hyphomonadaceae were 479 found as members of the core microbiome in our plastic samples. These families have members known to degrade hydrocarbons (Golyshin et al., 2002; Ozaki et al., 2007) or are frequently 480

associated to plastic substrates in the marine environment (Bryant et al., 2016; De Tender et al.,
2017; Oberbeckmann et al., 2018; Pinto et al., 2019; Zettler et al., 2013).

483 In addition, taxa reported from plastic substrates, but not in the core microbiome were also 484 identified. For instance, Microtrichaceae were reported as a dominant taxon on a PE surface during the early phase of biofilm formation (Tu et al., 2020) and Sneathiellaceae colonized plastic debris 485 along a transect through the North Pacific Subtropical Gyre (Bryant et al., 2016). Notably, taxa not 486 previously described from plastic substrates were also identified. Micrococcaceae is a well-487 488 documented bacterial family inhabiting deep-sea sediments (Chen et al., 2005, 2016; Sass et al., 489 2001); their members were already identified in sediments from the Southwest Indian Ridge at depths ranging from 1,662 to 4,000 m (Chen et al., 2016), in a hypersaline 3,500 m depth site in 490 491 the Mediterranean Sea (Sass et al., 2001), and were isolated from an Antarctic lake and deep-sea 492 sediments from the tropical West Pacific (Chen et al., 2005). Allorhizobium-Neorhizobium-493 Pararhizobium-Rhizobium spp. (Rhizobiaceae), a taxon reported as nitrogen-fixing (Franche et al., 494 2009), was an abundant member of the core microbiome. The family Rhizobiaceae is commonly 495 involved in plant-microbe interactions and was reported recently in marine environments (Kimes 496 et al., 2015). In deep-sea environments, the species of Rhizobiaceae Georhizobium profundi was 497 isolated from sediment collected at 4,524 m depth (Cao et al., 2020), but its association with plastic substrata were only described in freshwater environments (Wang et al., 2020; Wen et al., 2020). 498 Moreover, another taxa that comprised our core microbiome was NB1-j, an uncultivated bacterial 499 500 family that was previously found in Japan Trench sediment at 6,292 m depth (Yanagibayashi et al., 1999), and in 800 to 1,450 m depth sediments heavily impacted by an oil spill in the northern 501 502 Gulf of Mexico (Handam et al., 2018). Finally, taxa from AB1 family (previously assigned as unclassified Alphaproteobacteria) and Eel-36e1D6 (previously assigned as unclassified 503

environmental clone groups), which also comprised the core microbiome, were reported in deepsea hydrothermal fields, as well as in ferromanganese crusts (Nitahara et al., 2011). Overall, these results highlight a significant number of deep-sea taxonomic groups that were not described by previous studies inhabiting the plastic substrates but were found inhabiting our plastic substrates in the deep SW Atlantic Ocean.

We identified some taxa in the core microbiome that might be potentially related to plastic 509 510 degradation, according to previous studies. For example, Arthrobacter spp. (Micrococcaceae) 511 isolated from plastic waste in the Gulf of Mannar, India, was reported degrading high-density 512 polyethylene (HDPE); after 30 days incubation, they had reduced the weight of the substrate by 12% (Balasubramanian et al., 2010). In addition, members of the Halieaceae family that have 513 514 known capabilities of assimilating propylene through alkene monooxygenase genes (Suzuki et al., 515 2019), were described in plastic substrates from the Yangtze estuary (Jiang et al., 2018) and Yellow 516 Sea (Tu et al., 2020), both in China. Members of the Paenibacillaceae family, such as *Paenibacillus* 517 spp. have shown high potential to degrade LPDE and HDPE when in consortia with *Pseudomonas* 518 spp., Stenotrophomonas spp. and Bacillus spp. (Skariyachan et al., 2017). Furthermore, we were 519 able to isolate bacteria from our plastic substrates that comprise families and genera previously 520 described as colonizing or degrading hydrocarbon substrates (Table S2). The isolation of these 521 bacteria indicates that they were at least viable in deep-sea conditions and are important members 522 to be explored in future studies to reveal their plastic-degradation capacity.

523 Information about microbial communities associated with the plastic substrata in the deep-ocean 524 is scarce in published research studies (Krause et al., 2020; Woodall et al., 2018). Additionally, 525 results from other experiments deploying samples for a long period in deep sea environments have 526 not yet been published to date. Our pioneer study showed that several taxonomic groups previously

described as plastic colonizers in surface waters seem to also colonize the plastic substrates in the 527 deep sea. However, we also identified some groups in the plastisphere that are typically found 528 529 inhabiting deep-sea sediments, such as NB1-j, Rhizobiaceae and Eel-36e1D6 members, most of them still poorly characterized and not yet cultivated. In addition, 13% of taxa in the core 530 microbiome were not classified to any microorganism previously deposited in the reference 531 532 databases, which might indicate sequencing artefacts or that we identified potential novel groups not yet described. Our study addresses the gap in the knowledge of microbial colonization in 533 534 plastics deployed for a long period in the deep sea, highlighting the taxa potentially involved with 535 plastic degradation processes. However, further studies are needed to better understand their role in plastic colonization and degradation in deep-sea ecosystems. 536

537 In summary, this study reported for the first time that deep-sea microbial communities of the 538 Southwest Atlantic Ocean are involved in the colonization of plastic substrates. Furthermore, the 539 microbial community colonizing the plastic surfaces were distinct and dependent on polymer type, 540 but the site where the samples were deployed had no effect on the microbial community. Our 541 results demonstrated a core microbiome exclusively composed of low abundance taxa; some 542 members were not previously described as associated with plastic substrates, while other bacterial 543 families had previously been described degrading plastics, but not in deep-sea environments. 544 Additionally, we were able to cultivate and isolate some of these bacterial families from our plastic 545 substrates. Our results indicate that a specific microbial community from the deep-sea can attach, 546 colonize and potentially degrade plastic substrates. It is important to note that some of those 547 members were reported degrading plastics in controlled conditions, and their ability to degrade the 548 plastic compounds under deep-water conditions remains unknown without further investigation. We provide the first evidence of an unexplored microbial community inhabiting the deep-sea 549

plastisphere, which may be used as a baseline to future studies about the functionality and the potential of degradation of these microbial communities living in oligotrophic conditions, in the absence of sunlight, under high pressure and low temperature.

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554 CRediT authorship contribution statement

555 Luana Agostini: Conceptualization, Methodology, Investigation, Data curation, Writing - original 556 draft, review & editing. Julio Cezar Fornazier Moreira: Formal analysis, Data curation, Writing 557 - original draft, review & editing, Visualization. Amanda Gonçalves Bendia: Formal analysis, 558 Writing - original draft, review & editing, Visualization. Maria Carolina Pezzo Kmit: Writing -559 original draft, review & editing. Linda Gwen Waters: Conceptualization, Methodology, 560 Investigation, Writing - review & editing. Marina Ferreira Mourão Santana: Conceptualization, 561 Methodology, Investigation, Writing - review & editing. Paulo Yukio Gomes Sumida: 562 Conceptualization, Methodology, Writing - review & editing, Project administration, Funding 563 acquisition. Alexander Turra: Conceptualization, Methodology, Writing - review & editing, Project administration, Funding acquisition. Vivian Helena Pellizari: Conceptualization, 564 Methodology, Writing - review & editing, Supervision. 565

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802 Figures

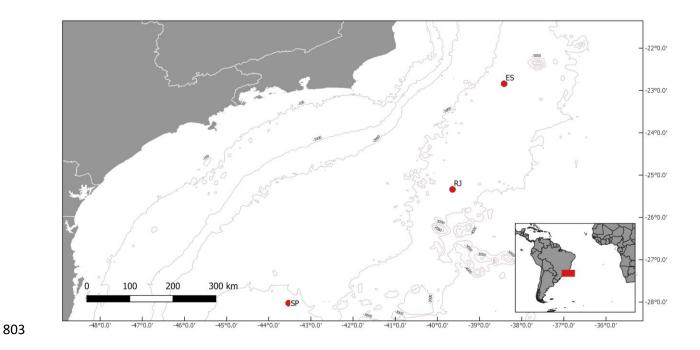


Figure 1: Map of the study region (Southwestern Atlantic) indicating the three experimental sites
where the landers were deployed (red dots), all placed along the 3,300 meter bathymetric line.
Sites: ES, Espírito Santo; RJ, Rio de Janeiro; SP, São Paulo. Source: GeoMapApp®.

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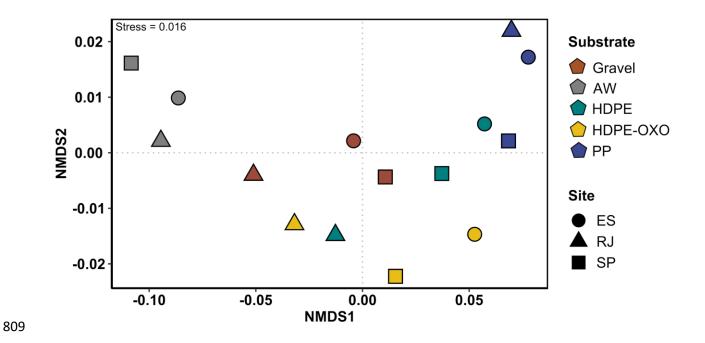
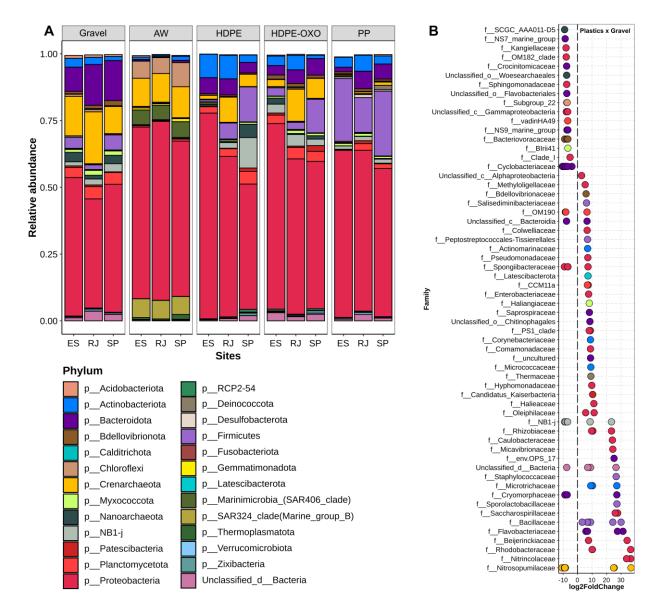
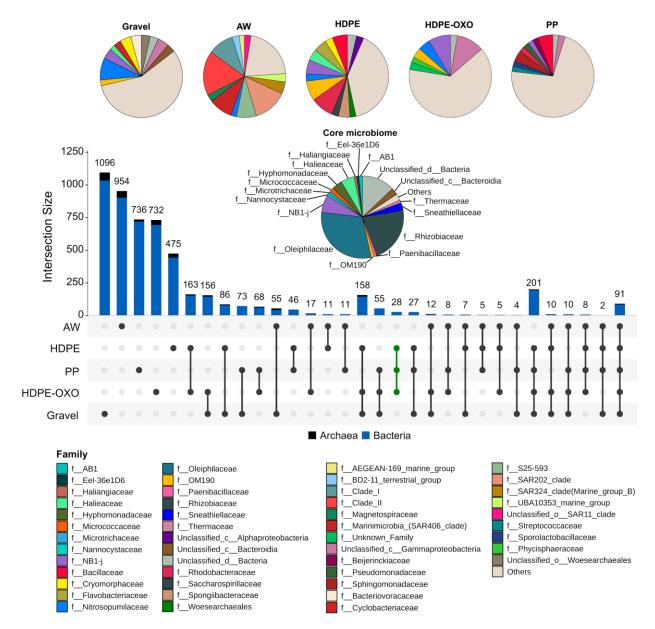


Figure 2. Non-metric multidimensional scaling (NMDS) ordination of weighted unifrac
dissimilarities in microbial community structures. Substrate: Gravel; AW, adjacent water; HDPE,
High density polyethylene bag (film); HDPE-OXO, High density polyethylene bag (film) with
oxo-biodegradable additive BDA; PP, Polypropylene pellets. Sites: ES, Espírito Santo; RJ, Rio de
Janeiro; SP, São Paulo.



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Figure 3. (A) Relative abundance of the microbial community at the Phylum level (Bacteria and 816 Archaea) among sites. (B) Differentially abundant Amplicon Sequence Variant (ASV) comparing 817 plastic substrates (HDPE, HDPE-OXO and PP merged) and gravel. Substrate: Gravel; AW, 818 819 adjacent water; HDPE, High density polyethylene; HDPE-OXO, High density polyethylene with oxo-biodegradable additive BDA; PP, Polypropylene. Significant ASVs (padj < 0.05) are 820 821 represented by single data points, grouped by family on the y-axis and by color according to the taxonomic phylum from which the ASV originates. Positive values (log2FoldChange) indicate 822 ASVs significantly more abundant in plastic substrates; Negative values indicate the opposite. 823 Unclassified taxons were represented by the prefix Unassigned_. 824



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826 Figure 4. Upset plot composed by ASVs identified among substrates. Circles indicate substrates. Black lines connecting circles indicate shared ASVs. Vertical bars indicate intersection size 827 828 (number of ASVs) on each set. Blue and black bars represent Bacteria and Archaea ASVs, respectively. The green line represents ASVs shared by all plastic samples. Substrates: AW, 829 adjacent water; HDPE, High density polyethylene; HDPE-OXO, High density polyethylene with 830 biodegradable additive BDA; PP, Polypropylene. Pie charts show microbial composition specific 831 832 to each substrate (families with abundance > 2%) and those shared among plastics types (core 833 microbiome). Substrate: Gravel; AW, adjacent water; HDPE, High density polyethylene; HDPE-OXO, High density polyethylene with oxo-biodegradable additive BDA; PP, Polypropylene. 834

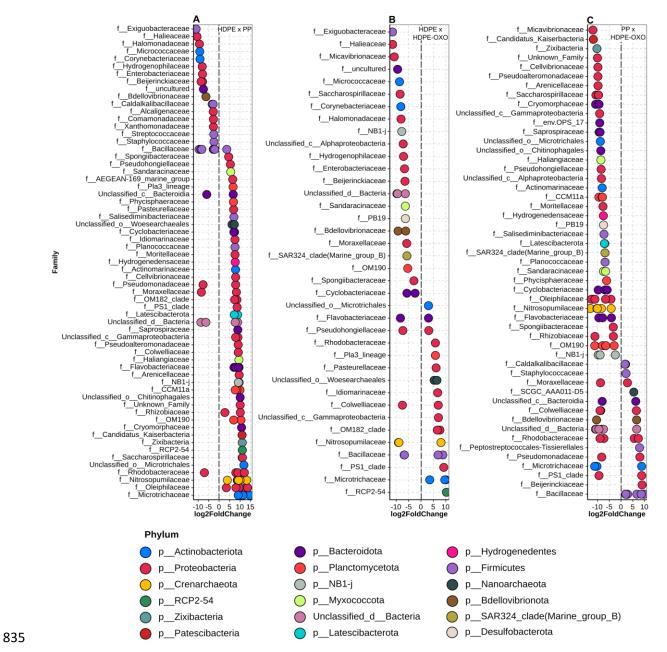


Figure 5. Differentially abundant Amplicon Sequence Variant (ASV) comparing HDPE and PP 836 (A), HDPE and HDPE-OXO (B); PP and HDPE-OXO (C). Significant ASVs (padj < 0.05) are 837 represented by single data points, grouped by family on the y-axis and by color according to the 838 taxonomic phylum from which the ASV originates. Positive values (log2FoldChange) indicate 839 ASVs that were significantly more abundant in HDPE (A and B) and PP (C) plastic substrates; 840 Negative values indicate the opposite. Substrate: Gravel; AW, adjacent water; HDPE, High density 841 polyethylene; HDPE-OXO, High density polyethylene with oxo-biodegradable additive BDA; PP, 842 Polypropylene. 843