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4	Development of spike receptor-binding domain nanoparticle as a vaccine candidate
5	against SARS-CoV-2 infection in ferrets
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#### Abstract

27 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), a causative 28 agent of COVID-19 pandemic, enters host cells via the interaction of its Receptor-Binding 29 Domain (RBD) of Spike protein with host Angiotensin-Converting Enzyme 2 (ACE2). 30 Therefore, RBD is a promising vaccine target to induce protective immunity against 31 SARS-CoV-2 infection. In this study, we report the development of RBD protein-based 32 vaccine candidate against SARS-CoV-2 using self-assembling H. pylori-bullfrog ferritin 33 nanoparticles as an antigen delivery. RBD-ferritin protein purified from mammalian cells 34 efficiently assembled into 24-mer nanoparticles. 16-20 months-old ferrets were 35 vaccinated with RBD-ferritin nanoparticles (RBD-nanoparticles) by intramuscular or 36 intranasal inoculation. All vaccinated ferrets with RBD-nanoparticles produced potent 37 neutralizing antibodies against SARS-CoV-2. Strikingly, vaccinated ferrets demonstrated 38 efficient protection from SARS-CoV-2 challenge, showing no fever, body weight loss and 39 clinical symptoms. Furthermore, vaccinated ferrets showed rapid clearance of infectious 40 viruses in nasal washes and lungs as well as viral RNA in respiratory organs. This study 41 demonstrates the Spike RBD-nanoparticle as an effective protein vaccine candidate 42 against SARS-CoV-2.

43

#### Introduction

44 SARS-CoV-2, originally named 2019-nCoV upon initial isolation from Wuhan, China in 45 December 2019, has caused a global outbreak of coronavirus disease-19 (COVID-19) with 46 significant socioeconomic impacts (1, 2). From the continuously growing numbers of diagnoses 47 and deaths, COVID-19 was declared a public health emergency of international concern 48 (PHEIC) in January 2020 and soon declared a pandemic by WHO in March 2020 (3, 4). As of 49 Jan 27<sup>th</sup> 2021, more than 100 million people have been infected with SARS-CoV-2, among 50 which 2 million died (5). Although approximately 80% of the confirmed SARS-CoV-2 infections 51 are asymptomatic or show mild flu-like symptoms, 20% of the infections progress to severe 52 pneumonia and acute respiratory distress syndrome requiring hospitalization and mechanical 53 ventilation (6, 7). The overwhelming number of SARS-CoV-2 patients has rapidly devastated the 54 availability of health-care resources (8). Shortage of medical resources and staff in conjunction 55 with the overwhelming number of patients have exacerbated the quality of medical care and 56 eventually increased mortality rates of COVID-19 (9). Although a significant proportion of the 57 infected patients have recovered, many of them report cardiovascular, pulmonary, and 58 neurologic symptoms lasting after the recovery (10, 11). Thus, strong preventive measures are 59 essential to halt the pandemic and its destructive effects on the global public health, as well as 60 the economy.

61 SARS-CoV-2 is a member of the Coronaviridae family, carrying a single positive-62 stranded RNA genome within the viral envelope (2). Although at least seven coronaviruses are 63 known as etiological agents of mild respiratory illnesses in human infection, the family has not 64 been closely associated with severe illnesses until the relatively recent outbreaks of SARS-CoV, 65 MERS-CoV, and SARS-CoV-2 (1, 12). Emergence of these pathogens and the COVID-19 66 pandemic have called for an urgent global research efforts to investigate the pathogenesis of 67 coronaviruses. The SARS-CoV-2 RNA genome is approximately 30 kilobases and encodes for 68 structural proteins such as -Spike (S), Envelope (E), Membrane (M), and Nucleocapsid (N)-

69 and non-structural proteins such as papain-like protease, chymotrypsin-like protease, and RNAdependent RNA polymerase (13). The heavily glycosylated S protein protruding from the virion 70 71 surface is the key bridge between the virus and the host cell, playing a crucial role in host cell 72 receptor recognition, virion attachment, and ultimately entry into the host cell. S is a member of 73 the Class I viral fusion protein which undergoes trimerization upon cleavage into S1 and S2 74 domains by a host cellular protease, furin. While S1 confers specificity in cell tropism through its 75 Receptor-Binding Domain (RBD) which directly interacts with the receptor of SARS-CoV-2, 76 Angiotensin-Converting Enzyme 2 (ACE2), S2 mediates membrane fusion via formation of a 77 trimeric hairpin structure from its heptad repeat domains (14). Therefore, S1 RBD has been 78 considered as one of the most promising candidates in vaccine development to protect against 79 Coronaviruses (15-17). Its efficacy has previously been shown to induce potent neutralizing 80 antibodies against MERS-CoV (18). Furthermore, previous studies of neutralizing antibodies 81 from naturally recovered patients of SARS-CoV-2 infections have mapped their epitopes to be 82 S1 and RBD (19, 20), implicating RBD-targeting antibodies in successful immunity against 83 SARS-CoV-2 (21-23). Thus, most of the currently developed vaccines against SARS-CoV-2,-84 despite of their diversity in vaccine approaches, -- include RBD in their immunogens (24-28).

85 One major limitation of small soluble proteins alone as vaccine candidates is that our 86 immune system only reacts efficiently against immunogens of nanometer range in size (29, 30). 87 Therefore, many protein vaccines using viral proteins are developed into virus-like particles 88 (VLPs) which are multiprotein structures that mimic the organization and conformation of native 89 viruses, but lack the viral genome. However, this approach is limited to a few pathogens that are 90 capable of self-assembling into VLPs upon overexpression of the viral protein, such as Hepatitis 91 B virus (HBV) surface antigen (HBsAg) and Human Papillomavirus (HPV) L1 protein (31-33). 92 Fortunately, the latest advances in molecular biology and nanotechnology have overcome this 93 limitation by adopting nanoparticle engineering to serve as platforms for vaccines. The efficacy 94 of these nanoparticle-engineered vaccines outperforms traditional vaccines, such as whole

95 inactivated vaccines of bacterial and viral pathogens (34-38). Moreover, recent studies have 96 shed light on the immunological advantages of nanoparticle-based vaccines in nearly every step 97 of the humoral and cellular immunity: efficient antigen transport to draining lymph nodes, 98 antigen presentation by follicular dendritic and helper T cells, as well as high level of activation of the germinal centers (30, 39, 40). Among the genetically engineered nanoparticles, ferritin is 99 100 the most well-characterized in the bionanotechnology field. Ferritin, ubiquitous through 101 kingdoms of life, has a conserved role in minimizing damage to cell from reactive oxygen 102 species formed from the Fenton reaction upon excess iron (II). Due to its natural tendency to 103 self-assemble into 24-meric homopolymer and amenability via fusion peptides, ferritin is an 104 ideal candidate for drug delivery and vaccine development (41, 42). Most importantly, its 105 exceptional chemical and thermal stability does not require stringent temperature control, 106 enabling streamlined distribution process, especially in areas with limited resources for cold-107 chain supplies (41, 43). One of the recently engineered ferritin for vaccine development is the 108 self-assembling Helicobacter pylori-bullfrog (Rana Catesbeiana) hybrid ferritin which carries 109 NH<sub>2</sub>-terminal residues from the lower subunit of bullfrog ferritin on the core of *Helicobacter pylori* 110 ferritin to form radially projecting tails (38). The H. pylori ferritin-based nanoparticle has been 111 reported to be an effective platform for vaccines to carry trimeric glycoproteins for presenting 112 viral immunogens on its threefold axis points. Most importantly, it provides stronger protective 113 immunity at a lower dose than soluble immunogens against Influenza and Epstein-Barr viruses, 114 while minimizing the risk of autoimmunity through its genetic diversity from heavy and light 115 chains of human ferritin (38, 44, 45).

Despite recent efforts to develop mouse models that fully recapitulates human SARS-CoV-2 infection, the current hACE2-transgenic mouse model fails to mimic pathogenic progress and symptoms of COVID-19 in humans. Ferrets (*Mustela putorius furo*) on the other hand, are naturally susceptible to human respiratory viruses–Respiratory Syncytial virus (48), Influenza virus (49, 50), and SARS-CoV (51, 52)–making ferret models ideal to study respiratory virus

121 infections in humans. In addition, ferrets share anatomy of upper and lower respiratory tracts, 122 architecture of terminal bronchioles, and density of submucosal glands to those of humans (46, 123 47). Recently, we and others have shown that SARS-CoV-2-infected ferrets develop immune 124 responses and pathogenic progress similar to humans', and shed virus through nasal wash, 125 saliva, urine, and fecal samples, which highly recapitulate human SARS-CoV-2 infection (53-126 56). Furthermore, we have also demonstrated the efficacy of the ferret model in drug discovery 127 for SARS-CoV-2 (57). Thus, ferrets represent an infection and transmission animal model of 128 SARS-CoV-2 that should facilitate the development of SARS-CoV-2 therapeutics and vaccines.

Here, we demonstrate the immunogenic efficacy of self-assembling spike RBD-ferritin nanoparticle (RBD-nanoparticle) as an efficient SARS-CoV-2 vaccine antigen. We purified the RBD-nanoparticle from transfected HEK293T cells and immunized ferrets via intramuscular (IM) and intranasal (IN) routes to monitor the induction of neutralizing antibodies. Furthermore, we challenged the vaccinated ferrets with SARS-CoV-2 and observed protective immunity against SARS-CoV-2. Taken together, we propose the self-assembling RBD-nanoparticles as a potential vaccine candidate that effectively protects against SARS-CoV-2 infection.

#### 137

#### Results

#### 138 **Purification and characterization of RBD-ferritin nanoparticles**

139 Kanekiyo et al. have discovered the use of engineered ferritin in vaccine developments 140 by fusion with viral immunogens (38, 44). Briefly, the NH<sub>2</sub>-terminal tail from the lower subunit of 141 bullfrog ferritin was fused to H. pylori ferritin so that the bullfrog-originated tail and viral 142 immunogen were fused by the linker and presented on the threefold axis points of the H. pylori 143 ferritin core. The human codon-optimized RBD of SARS-CoV-2 Wuhan-Hu-1 strain 144 (NC 045512) was fused to the IL-2 signal peptide at the amino terminus and the H. pylori-145 bullfrog ferritin at the carboxyl terminus to generate the RBD-ferritin fusion. A computer-assisted 146 modeling predicts the 3D structure of RBD-ferritin nanoparticles with RBD forming radial 147 projections on the threefold axis point of fully assembled nanoparticles (Fig. 1A). Ferritin and 148 RBD-ferritin fusion proteins were readily purified from the supernatants of transfected HEK293T 149 cells (Fig. 1B). To demonstrate the 24-mer self-assembly of ferritin nanoparticles, purified ferritin 150 and RBD-ferritin proteins were subjected to size exclusion chromatography with columns 151 designed to have a maximum resolution for proteins with kilodalton and megadalton ranges of 152 molecular weight. As a result, the purified ferritin nanoparticles and RBD-nanoparticles showed 153 peaks at approximately 408 kDa and 1350 kDa, respectively, corresponding to 24-mers of each 154 protein (Fig. 1C). These results indicate that RBD-ferritin protein is readily purified from 155 mammalian cells to homogeneity and efficiently assembles into 24-mer nanoparticles.

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# 157 Immunization with RBD-nanoparticle induces neutralizing antibody in ferrets

To test the vaccine efficacy of purified RBD-nanoparticles, we immunized 16-20 monthsold ferrets (n=10/immunization route), which is equivalent to 30 years of age in humans. While intramuscular (IM) immunization is the most widely used route for vaccine delivery, intranasal (IN) immunization closely resembles infection with respiratory pathogens and efficiently stimulates mucosal immunity (58). Ferrets were injected with 15µg RBD-nanoparticle via IM route only or both IM and IN routes over 31 days with boosting immunizations at days 14 and 28 (Fig. 2A). Blood was drawn from each ferret prior to primary and boosting immunizations on days 14 and 28. All ferrets vaccinated with RBD-nanoparticles produced strong neutralizing antibodies after the second boosting immunization performed at day 28. Neutralization titer did not show statistically significant difference between the routes of immunization (Fig. 2B). These data indicate that RBD-nanoparticle immunization induces strong neutralizing antibody regardless of the route of immunization.

170

# 171 Immunization with RBD-nanoparticle promotes rapid viral clearance and protects ferrets

# 172 from SARS-CoV-2 challenge

Immunized ferrets were challenged with 10<sup>5.0</sup> TCID<sub>50</sub>/mL of NMC2019-nCoV02 strain 173 174 SARS-CoV-2 three days after the last immunization at day 31 and monitored for clinical 175 symptoms resembling COVID-19. Ferrets with adjuvant only-immunization was included as 176 control group. Over a total of 10 days from the day of challenge infection, ferrets with adjuvant 177 only-immunization showed increase in body temperature and decrease in body weight (Fig. 3A). 178 On the contrary, ferrets immunized with RBD-nanoparticle did not show any change in either 179 body temperature or body weight (Fig. 3A and B). Minor body weight changes in ferrets 180 immunized by IM route showed a statistically insignificant difference compared to the adjuvant 181 only-immunized ferrets (Fig. 3B). On the other hand, ferrets immunized by IM and IN routes 182 provided stronger protection with high statistical significance against body weight loss as shown 183 by the minimal reduction of body weight followed by a constant increase thereafter (Fig. 3B). 184 Nasal wash samples were collected every other day for 10 days after the virus challenge, and 3 185 ferrets were sacrificed at 3 and 6 days post-infection (dpi) to harvest the lungs. Consistent with 186 the trend shown in body temperature and weight, immunized ferrets showed rapid viral 187 clearance in nasal washes (Fig. 3C) and lungs (Fig. 3D) of both groups of vaccinated ferrets. It

should be noted that IM and IN immunization showed slightly more effective viral clearance innasal washes at 4 dpi than IM immunization (Fig. 3C).

190 To further investigate the potency of protective immunity by RBD-nanoparticle, we challenged the immunized ferrets with a higher titer (10<sup>6.0</sup> TCID<sub>50</sub>/mL) of SARS-CoV-2 following 191 192 the same immunization protocol (Fig. 2A). Consistently, RBD-nanoparticles-immunized ferrets 193 showed no increase of body temperature compared to adjuvant only-immunized ferrets (Fig. 194 S1). While adjuvant only-immunized ferrets suffered from cough, runny nose, and reduction in 195 movement, RBD-nanoparticles-immunized ferrets showed only mild reduction in movement on the 2<sup>nd</sup> and 3<sup>rd</sup> days after the high virus titer challenge (Table 1). On the other hand, IN and IM 196 197 immunization showed more potent protective immunity upon challenge with a high virus titer 198 than IN immunization only (Fig. S2). IN and IM immunization led to faster clearance of infectious 199 virus in nasal washes at 4 and 8 dpi than IM immunization alone (Fig. S2A). Infectious virus 200 titers of lungs were also lower in IN and IM-immunized ferrets than in IM-immunized ferrets (Fig. 201 S2B). These data demonstrate that RBD-nanoparticle induces strong protective immunity to 202 suppress SARS-CoV-2-induced clinical symptoms and promote viral clearance. Moreover, a 203 combination of IN and IM immunization induces stronger anti-viral immunity against challenge of 204 high titer SARS-CoV-2 than IM immunization alone.

205

#### 206 **RBD-ferritin vaccination blocks lung damage from SARS-CoV-2 challenge**

207 COVID-19 has most commonly been shown to be associated with a spectrum of lung 208 damage. To compare lung histopathologies among immunized ferrets, RNAscope *in situ* 209 hybridization and histopathological examination were conducted (Fig. 4). Lung tissues 210 harvested from naïve ferrets were included as negative controls (Fig. 4D). RNAscope *in situ* 211 hybridization results showed that the adjuvant only-immunized ferrets had a number of SARS-212 CoV-2 RNA-positive cells at 3 and 6 dpi with infiltration of numerous inflammatory immune cells 213 (Fig. 4A-E). At 3 dpi, IM- or IM and IN-immunized ferrets showed considerable reduction of viral

RNAs in the lungs compared to adjuvant only-immunized ferrets (Fig. 4). At 6 dpi, lung tissues of IM- or IM and IN-immunized ferrets showed complete clearance of viral RNAs (Fig. 4F-G), while adjuvant only-immunized ferrets still showed high viral RNAs (Fig. 4E). Finally, IM- or IM and IN-immunized ferrets showed little or no infiltration of inflammatory immune cells in infected lung (Fig. 4B-G). These data show that RBD-nanoparticle immunization accelerates viral clearance in the lung and suppresses the infiltration of inflammatory immune cells.

220

#### Discussion

221 Since the first discovery in Wuhan, China in late 2019, SARS-CoV-2 has rapidly spread 222 around the world and was declared a pandemic in 3 months. Confirmed infection and death 223 counts have skyrocketed to over 88 million infections and 2 million deaths, and the statistics are 224 still on a continuous rise. Although 80% of the infections do not progress to severe COVID-19, 225 the recent surge in infections and severe patients have led to subsequent increase in mortality 226 rates (8, 9). While several vaccines were approved at accelerated rates (59, 60), additional 227 indepth study of mRNA-based vaccines regarding safety concerns and long-term effects still 228 need to be addressed as they are the first approved mRNA human vaccine of its kind. 229 Moreover, taking the growing evidence of reinfections into consideration, recovered patients 230 cannot be completely excluded from the population requiring vaccination (61-64). Therefore, 231 there still is a constant need for alternative vaccine approaches against SARS-CoV-2 using 232 relatively well-characterized approaches. Recent advances in nanotechnology has favorably 233 allowed the application of nanoparticles in the field of vaccinology to develop safer yet potent 234 vaccines. One of the most promising candidates is H. pylori-bullfrog ferritin that has been 235 genetically engineered to carry a protruding tail from the bullfrog on the self-assembling ferritin 236 core of *H. pylori*, and serves as a platform to build nanoparticles of immunogen. This approach 237 has proven higher efficacy in lower dose than traditional protein subunit vaccines. This 238 approach also highlights lower risk of vaccine-related adverse effects and potentially greater 239 accessibility to the public with reduced production cost (38, 44, 45). Importantly, inherent 240 stability of ferritin nanoparticles from heat and chemicals may shed light to remove the necessity 241 of strict cold-chain supply required for the currently distributing mRNA-based vaccines (41).

SARS-CoV-2 carries Spike protein to attach to the host receptor ACE2, which triggers membrane fusion for entry into host cells. The RBD of Spike protein confers the specificity to bind ACE2 and therefore is a promising target for vaccine development throughout the *Coronaviridae* family. As also shown from previously developed vaccine candidates against

246 Coronaviruses (17, 65, 66), we selected the RBD as a vaccine antigen. However, soluble 247 antigen is weakly immunogenic and therefore require higher dose of antigen along with an 248 adjuvant, which correlates with higher risk of vaccine-related adverse effects (29). In this study, 249 we engineered the fusion of SARS-CoV-2 Spike RBD with H. pylori-bullfrog ferritin to develop 250 RBD-nanoparticle vaccine. Ferrets immunized with RBD-nanoparticles carried efficient 251 neutralizing antibodies against SARS-CoV-2 and were protected from fever and body weight 252 loss upon SARS-CoV-2 challenge. These clinical symptoms corresponded to the accelerated 253 viral clearance in nasal washes and lungs following SARS-CoV-2 challenge. We further 254 investigated the vaccine potential of RBD-nanoparticles by challenging the immunized ferrets 255 with a high virus titer (10<sup>6.0</sup> TCID<sub>50</sub>/mL). Immunized ferrets showed considerably reduced clinical 256 symptoms, such as body weight loss, cough, runny nose, and movement activity, upon 257 challenge of high titer SARS-CoV-2. Moreover, RNAscope analyses showed rapid viral 258 clearance in the lungs of immunized ferrets compared to those of adjuvant only-immunized 259 ferrets. Histological analysis also showed little or no lung tissue damage and inflammatory 260 immune cell infiltration in immunized ferrets. As seen from other protein vaccines such as HPV 261 VLP that requires prime-boost regimens (67), the first immunization alone was not sufficient to 262 induce neutralizing antibodies. IN + IM immunization elicited more potent protective immunity 263 upon challenge of high titer SARS-CoV-2 than IM immunization alone, which is consistent with 264 previous reports showing stronger induction of mucosal immunity upon IN than IM immunization 265 to protect against respiratory pathogens such as MERS-CoV (65, 66, 68), Influenza virus (69), and Mycoplasma pneumoniae (70). To differentiate vaccine efficacy between IN immunization 266 267 and IN and IM immunization, we repeated the viral challenge with high titer  $(10^{6.0} \text{ TCID}_{50}/\text{mL})$ 268 and observed the improvement of viral clearance in lung and nasal washes from IN and IM-269 immunized ferrets. However, as IN and IM immunization was employed together in this study, 270 further investigation is required to directly compare vaccine efficacy between IN immunization 271 and IM immunization against SARS-CoV-2 infection. Also, ferrets challenged with 10<sup>6.0</sup>

TCID<sub>50</sub>/mL virus titer showed delayed viral clearance compared to ferrets challenged with  $10^{5.0}$ TCID<sub>50</sub>/mL virus titer. However,  $10^{5.0}$  TCID<sub>50</sub>/mL is already excessive and not physiologically relevant to real clinical setting.

275 In this study, we integrated SARS-CoV-2-derived immunogen into self-assembling 276 nanoparticle to develop an effective vaccine candidate against COVID-19. IM-immunized 277 animals showed strong induction of neutralizing antibody, rapid clearance of respiratory track 278 virus, and clear suppression of clinical symptoms, which is further enhanced in combination with 279 intranasal immunization. However, additional comprehensive studies are needed to understand 280 the humoral and cellular immunity elicited by RBD-nanoparticle administration and differential 281 activation of IgA-mediated mucosal immunity upon different immunization routes. Taken 282 together, our study indicated that immunization with self-assembling SARS-CoV-2 RBD-283 nanoparticle elicits protective immunity against SARS-CoV-2 infection, showing its potential as a 284 vaccine candidate in the midst of the COVID-19 pandemic.

#### 285

### **Material and Methods**

### 286 Material and Reagents

REAGENT or RESOURCE	VENDOR	CATALOG NO.
Recombinant DNA		
pFUSEN-hlgG1Fc	Invivogen	pfcn-hg1
H. pylori-bullfrog recombinant ferritin	Jefferey Cohen and	d Gary Nabel at NIAID
SARS-CoV-2 Spike codon-optimized		
to human codon usage	Genscript	MC_0101081
Chemicals		
Polyethylene imine	Polysciences	23966
Valproic acid	Sigma	P4543
AddaVax adjuvant	Invivogen	Vac-adx-10
RNAscope reagent	ACD	322360
RNAscope probe	ACD	848561
Gill's Hematoxylin #1	Polysciences	24242
Purification		
Labscale TFF system	Sigma	C1975
TFF filter – 100 kDa MWCO	Sigma	PXB100C50
TFF filter – 500 kDa MWCO	Sigma	PXB500C50
NGC Medium-pressure liquid		
chromatography system	Bio-rad	
BioFracFraction collector	Bio-rad	7410002
Superdex 200 Increase 10/300 GL	Cytiva	45-002-570
HiPrep 16/60 Sephacryl S-500 HR	Cytiva	28935606

#### 287

# 288 Expression vector construction

The gene encoding the recombinant ferritin engineered from *Helicobacter pylori* nonheme ferritin and 2<sup>nd</sup> to 9<sup>th</sup> residues of bullfrog (*Rana catesbeiana*) ferritin lower subunit was a gift from Gary Nabel (44). Gene encoding Spike of SARS-CoV-2 (GenBank NC\_0101080) codon-optimized for human codon usage (GenBank MC\_0101081) was purchased from Genscript (pUC57-2019-nCoV-S). RBD was used to generate a fragment encoding RBD-SSGGASVLA linker-recombinant ferritin. For expression plasmid, a commercially available pFUSE vector (Invivogen) was engineered to replace human ferritin light chain gene promoter

with SV40 promoter. Genes encoding the recombinant ferritin and the RBD-linker-ferritinfragment were cloned into the plasmid vector.

298

# 299 Computer-assisted three-dimensional model of nanoparticles

300 Previously solved structures of *H. pylori* ferritin nanoparticle (PDB: 3EGM) and SARS-301 CoV-2 RBD (PDB: 7JMP) were processed with PyMol (Schrodinger) and Autodesk Meshmixer 302 (Autodesk). The model was generated to reflect the linker connecting the end of RBD to the 303 start of *H. pylori* ferritin monomer.

304

#### 305 Expression and purification of nanoparticles

306 HEK293T cells were directly purchased from American Type Culture Collection (ATCC) 307 and maintained in DMEM medium (Gibco) supplemented with 10% FBS (Gibco) and 1% 308 penicillin/streptomycin (Gibco). The cells were transiently transfected with polyethylenimine 309 (Polysciences) and respective vector plasmids in Opti-MEM and FreeStyle 293 medium (Gibco) 310 supplemented with 3mM valproic acid. Supernatants containing the nanoparticle were harvested 311 72 h after transfection and concentrated with Labscale TFF system equipped with 100 kDa and 312 500 kDa MWCO filters (Millipore Sigma). The concentrates were purified by size exclusion 313 chromatography (NGC Medium-Pressure Liquid Chromatography, Bio-Rad) using Superdex 314 200 10/300 GL and HiPrep 16/60 Sephacryl S-500 HR (Cytiva) running degased PBS at 315 0.4ml/min. Standard curves were plotted using Gel filtration LMW/HMW calibration Kit (Cytiva) 316 running at same conditions. Collected fractions were verified for their yield and purity via SDS-317 PAGE and stored at -80°C in 10% glycerol (Invitrogen).

318

#### 319 Virus propagation

320 NMC2019-nCoV02 strain of SARS-CoV-2 was isolated from a patient diagnosed with 321 COVID-19 and tested positive for SARS-CoV-2 in February, 2020 in South Korea. Vero cells

were used to propagate the virus in DMEM medium (Gibco) supplemented with 1% penicillin/streptomycin (Gibco) at 37°C. The viruses were harvested 72 h later and stored at -80°C until use.

325

### 326 Animal Care

Male and female ferrets of 16-20 months old and tested seronegative for Influenza A, MERS-CoV, and SARS-CoV were purchased from ID Bio Corporation (Cheongju, Korea). The ferrets were housed in ABSL3 facility within Chungbuk National University (Cheongju, Korea) with 12 h light/dark cycle with access to water and diet. All animal cares were performed strictly following the animal care guideline and experiment protocols approved by the Institutional Animal Care and Use Committee (IACUC) in Chungbuk National University.

333

#### 334 Ferret immunizations and viral challenge

335 RBD-ferritin nanoparticles (volume: 300ul) and AddaVax adjuvant (volume: 300ul) were 336 administered into the legs through intramuscular injection and/or intranasal route. Subsequently, ferrets were intranasally infected with 10<sup>5.0</sup> or 10<sup>6.0</sup> TCID<sub>50</sub>/mL SARS-CoV-2. Body weight and 337 338 temperature were measured, and veterinary clinical symptoms were observed every day. Blood 339 and nasal washes were collected every other day for 10 days. Three animals per group were 340 sacrificed at days 3 and 6 to collect lung tissues with individual scissors. Infectious viruses from 341 the nasal washes and lung tissues were quantified by inoculation onto Vero cells. Veterinary 342 symptoms were scored accordingly to our previous publication (57).

343

# **Titration of neutralizing antibody in serum**

The neutralizing antibody assay against SARS-CoV-2 was carried out using a microneutralization assay in Vero cells. Collected ferret serum specimens were inactivated at 56°C for 30 min. Initial 1:2 serum dilutions were made with the medium, and two-fold serial dilutions of

all samples were made to a final serum dilution of 1:2 to 1:256. For each well, 50  $\mu$ L of serially diluted serum was mixed with 50  $\mu$ L (equal volume) of 100 TCID<sub>50</sub> of SARS-CoV-2 and incubated at 37°C for 1 h to neutralize the infectious virus. The mixtures were then transferred to Vero cell monolayers. Vero cells were incubated at 37°C in 5% CO<sub>2</sub> for 4 days and monitored for 50% reduction in cytopathic effect (CPE).

353

### 354 **RNAscope**

SARS-CoV-2 RNA (Spike gene) was detected using the Spike-specific probe (Advanced Cell Diagnostics, Cat. # 848561) and visualized using RNAscope 2.5 HD Reagent Kit RED (Advanced Cell Diagnostics, Cat. # 322360). Lung tissue sections were fixed in 4% neutralbuffered formalin and embedded in paraffin, according to the manufacturer's instructions, followed by counterstaining with 50% Gill's hematoxylin #1 (Polysciences, cat # 24242-1000). Slides were viewed using Olympus IX 71 (Olympus, Tokyo, Japan) microscope with DP controller software to capture images.

362

# 363 Statistical Analysis

All figure asterisks indicate statistical significance compared with adjuvant-only group as evaluated by the two-way ANOVA Dunnett's multiple comparisons tests (\* indicates p<0.05, \*\* indicates p<0.01, \*\*\* indicates p<0.001 and \*\*\*\* indicates p<0.0001) and were drawn using GraphPad Prism 8 (GraphPad).

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- 369

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376	
377	Author Contributions
378	Y.I.K, D.K., Y.K.C. and J.U.J. conceived the study and designed the experiments. Y.I.K.
379	and D.K. performed the experiments. K.M.Y., H.S., S.A.L., M.A.C., S.G.J., S.K., W.J. and C.J.L.
380	helped with the experimental designs and data interpretation/analysis. Y.I.K. and D.K. took the
381	lead to prepare the manuscript with Y.K.C. and J.U.J.
382	
383	Competing Financial Interests
384	Dr. Jae U Jung is a scientific adviser of the Vaccine Stabilization Institute, a California
385	corporation.

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# 655 Table 1. RBD-nanoparticle immunization suppresses clinical symptoms induced by

Group _(n = 4/group)	Clinical	0 dpi	1 dpi	2 dpi	3 dpi	4 dpi	5 dpi	6 dpi	7 dpi	8 dpi	10 dpi
	Cough	0	0	0.5	1	1	0	0	0	0	0
Adjuvant	Runny nose	0	0	1.0	1	1	1	1	0.75	0	0
only	Movement, activity	0	0	1.25	2	2	1.25	0.75	0.5	0	0
	Total	0	0	2.75	4	4	2.25	1.75	1.25	0	0
	Cough	0	0	0	0	0	0	0	0	0	0
RBD-	Runny nose	0	0	0	0	0	0	0	0	0	0
nanoparticle	Movement, activity	0	0	0.75	0.5	0	0	0	0	0	0
	Total	0	0	0.75	0.5	0	0	0	0	0	0

# 656 challenge with high SARS-CoV-2 titer.

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A group of adjuvant-immunized or RBD-nanoparticle IM immunized ferrets were
 challenged with 10<sup>6.0</sup> TCID<sub>50</sub>/mL of SARS-CoV-2 and observed for their clinical symptoms
 – cough, runny nose, movement, and activity. The symptoms were quantified as counts
 per 30 minutes.

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#### Figure Legends

# 665 Fig 1. Design and purification of RBD-nanoparticle

A. Computer-assisted modeling of RBD-nanoparticle based on previously solved structures of *H. pylori* ferritin (PDB: 3EGM) and SARS-CoV-2 RBD (PDB: 7JMP). RBD forms radial
projections on threefold axis point of fully assembled nanoparticle.

B. Coomassie staining of purified ferritin-nanoparticle and RBD-nanoparticle following SDS-PAGE.

671 C. Size exclusion chromatography peaks of the concentrated supernatants from HEK293T 672 transfected with plasmids encoding secreted ferritin-nanoparticle or RBD-nanoparticles. The 673 supernatants were concentrated with 100 kDa MWCO and 500 kDa MWCO filters on TFF 674 system and loaded to Superdex 200 Increase 10/300 GL and HiPrep 16/60 Sephacryl S-500 HR 675 gel filtration columns on Bio-rad NGC chromatography system, respecitvely.

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# 677 Fig 2. Immunization with RBD-nanoparticle elicits neutralizing antibody formation

A. Immunization schedule of ferrets. At day 31, ferrets were challenged with  $10^{5.0}$  TCID<sub>50</sub>/mL of SARS-CoV-2 and observed for clinical symptoms for the following 10 days. One group was immunized with only PBS and adjuvant (only adjuvant-immunized), and two other groups were immunized with 15µg RBD-nanoparticle in adjuvant with 1:1 ratio for total volume of 600µl.

B. Serum neutralization titer of adjuvant-immunized, RBD-nanoparticle IM immunized, or RBD nanoparticle IM and IN immunized ferrets. Neutralizing antibody titers against SARS-CoV-2
 NMC2019-nCoV02 (100 TCID<sub>50</sub>) of ferritin-nanoparticle immunized groups were measured in
 Vero cells with serially diluted ferret sera collected before immunizations at days 0, 14 and 28.

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Fig 3. Immunization with RBD-nanoparticle promotes rapid viral clearance and
 protects ferrets from SARS-CoV-2 challenge

689 A. Body temperature change of adjuvant-immunized, RBD-nanoparticle IM immunized, or

690 **RBD-nanoparticle IM and IN immunized ferrets upon SARS-CoV-2 challenge.** 

691 B. Body weight change of adjuvant-immunized, RBD-nanoparticle IM immunized, or RBD-

692 nanoparticle IM and IN immunized ferrets upon SARS-CoV-2 challenge.

693 C. Viral titer in the nasal washes of adjuvant-immunized, RBD-nanoparticle IM immunized,

694 or RBD-nanoparticle IM and IN immunized ferrets upon SARS-CoV-2 challenge.

D. Viral titer in the lung tissue homogenates of adjuvant-immunized, RBD-nanoparticle IM

696 immunized, or RBD-nanoparticle IM and IN immunized ferrets upon SARS-CoV-2 challenge.

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#### Fig 4. Lung histology and RNAscope of immunized ferrets upon SARS-CoV-2 challenge

699 Adjuvant-immunized, RBD-nanoparticle IM immunized, or RBD-nanoparticle IM and IN immunized ferrets were intranasally inoculated with 10<sup>5.0</sup> TCID<sub>50</sub>/mL of SARS-CoV-2. Tissues 700 701 were harvested on 3 and 6 dpi. RNAscope detected SARS-CoV-2 Spike RNA-positive cells in 702 lung tissues of adjuvant-immunized (A and E), RBD-nanoparticle IM immunizated (B and F), 703 and RBD-nanoparticle IM and IN immunizated ferrets (C and G). Mock infected ferret lung (D) 704 was included as control. Magnification is x100 and scale bars represents 100 µm. Insert 705 indicates the magnification (x400) of SARS-CoV-2-positive image and scale bar represents 20 706 µm. Black arrow indicates SARS-CoV-2 RNA-positive cells.

707

- 709 Fig S1. Body temperature of RBD-nanoparticle immunized ferrets against challenge with
- 710 high titer SARS-CoV-2
- 711 Body temperature change of adjuvant-immunized, RBD-nanoparticle IM immunized, or
- 712 **RBD-nanoparticle IM and IN immunized ferrets upon high titer SARS-CoV-2 challenge.**
- 713
- 714 Fig S2. Respiratory virus titer of RBD-nanoparticle immunized ferrets against challenge

# 715 with high titer SARS-CoV-2

- A. Viral titer in nasal washes of adjuvant-immunized, RBD-nanoparticle IM immunized, or RBD-
- 717 nanoparticle IM and IN immunized ferrets upon high titer SARS-CoV-2 challenge.
- 718 B. Viral titer in lungs of adjuvant-immunized, RBD-nanoparticle IM immunized, or RBD-
- 719 nanoparticle IM and IN immunized ferrets upon high titer SARS-CoV-2 challenge. Infectious
- 720 virus titers were measured and shown as mean  $\pm$  SEM.

# Figure 1. Design and purification of RBD-nanoparticle





# Figure 2. Immunization with RBD-nanoparticle elicits neutralizing antibody formation



# Figure 3. Immunization with RBD-nanoparticle promotes rapid viral clearance and protects ferrets from SARS-CoV-2 challenge





# Figure 4. Lung histology and RNAscope of immunized ferrets upon SARS-CoV-2 challenge

