# Unifying the known and unknown microbial

### <sup>2</sup> coding sequence space

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## 42 Abstract

43 Genes of unknown function are among the biggest challenges in molecular biology, especially in 44 microbial systems, where 40%-60% of the predicted genes are unknown. Despite previous 45 attempts, systematic approaches to include the unknown fraction into analytical workflows are 46 still lacking. Here, we propose a conceptual framework and a computational workflow that 47 bridge the known-unknown gap in genomes and metagenomes. We showcase our approach by 48 exploring 415,971,742 genes predicted from 1,749 metagenomes and 28,941 bacterial and 49 archaeal genomes. We quantify the extent of the unknown fraction, its diversity, and its 50 relevance across multiple biomes. Furthermore, we provide a collection of 283,874 lineage-51 specific genes of unknown function for Cand. Patescibacteria, being a significant resource to 52 expand our understanding of their unusual biology. Finally, by identifying a target gene of 53 unknown function for antibiotic resistance, we demonstrate how we can enable the generation 54 of hypotheses that can be used to augment experimental data.

# 55 Introduction

56 Thousands of isolate, single-cell, and metagenome-assembled genomes are guiding us towards 57 a better understanding of life on Earth (Almeida et al., 2019: Cross et al., 2019: Delmont et al., 58 2020; Hug et al., 2016; Kopf et al., 2015; Pachiadaki et al., 2019; Pasolli et al., 2019; Sunagawa 59 et al., 2015). At the same time, the ever-increasing number of genomes and metagenomes, 60 unlocking uncharted regions of life's diversity, (Brown et al., 2015; Eloe-Fadrosh et al., 2016; 61 Hug et al., 2016) are providing new perspectives on the evolution of life (Parks et al., 2018; 62 Spang et al., 2015). However, our rapidly growing inventories of new genes have a glaring 63 issue: between 40% and 60% cannot be assigned to a known function (Almeida et al., 2020; 64 Bernard, Pathmanathan, Lannes, Lopez, & Bapteste, 2018; Carradec et al., 2018; Price et al., 65 2018). Current analytical approaches for genomic and metagenomic data (Chen et al., 2019; 66 Franzosa et al., 2018; Huerta-Cepas et al., 2017; Mitchell et al., 2020; Quince, Walker, 67 Simpson, Loman, & Segata, 2017) generally do not include this uncharacterized fraction in 68 downstream analyses, constraining their results to conserved pathways and housekeeping 69 functions (Quince et al., 2017). This inability to handle the unknown is an immense impediment 70 to realizing the potential for discovery of microbiology and molecular biology at large (Bernard et 71 al., 2018; Hanson, Pribat, Waller, & Crécy-Lagard, 2010). Predicting function from traditional 72 single sequence similarity appears to have yielded all it can (Arnold, 1998, 2018; Brandenberg, 73 Fasan, & Arnold, 2017), thus several groups have attempted to resolve gene function by other 74 means. Such efforts include combining biochemistry and crystallography (Jaroszewski et al., 75 2009); using environmental co-occurrence (Buttigieg et al., 2013); by grouping those genes into 76 evolutionarily related families (Bateman, Coggill, & Finn, 2010; Brum et al., 2016; Wyman, Avila-77 Herrera, Nayfach, & Pollard, 2018; Yooseph et al., 2007); using remote homologies (Bitard-78 Feildel & Callebaut, 2017; Lobb, Kurtz, Moreno-Hagelsieb, & Doxey, 2015); or more recently 79 using deep learning approaches (Bileschi et al., 2019; Liu, 2017). In 2018, Price et al. (Price et

80 al., 2018) developed a high-throughput experimental pipeline that provides mutant phenotypes 81 for thousands of bacterial genes of unknown function being one of the most promising methods 82 to tackle the unknown. Despite their promise, experimental methods are labor-intensive and 83 require novel computational methods that could bridge the existing gap between the known and 84 unknown coding sequence space (CDS-space). 85 Here we present a conceptual framework and a computational workflow that closes the gap 86 between the known and unknown CDS-space by connecting genomic and metagenomic gene 87 clusters. Our approach adds context to vast amounts of unknown biology, providing an 88 invaluable resource to understand the unknown functional fraction better and boost the current 89 methods for its experimental characterization. The application of our approach to 415,971,742 90 genes predicted from 1,749 metagenomes and 28,941 bacterial and archaeal genomes 91 revealed that the unknown fraction (1) is smaller than expected, (2) is exceptionally diverse, and 92 (3) is phylogenetically more conserved and predominantly lineage-specific at the Species level. 93 Finally, we show how we can connect all the outputs produced by our approach to augment the 94 results from experimental data and add context to genes of unknown function through

95 hypothesis-driven molecular investigations.

## 96 **Results**

# A conceptual framework and a computational workflow to unify the known and the unknown coding sequence space

99 We created the conceptual and technical foundations to unify the known and unknown CDS-100 space facilitating the integration of the genes of unknown function into ecological, evolutionary 101 and biotechnological investigations. First, we conceptually partitioned the known and unknown 102 fractions into (1) Known with Pfam annotations (K), (2) Known without Pfam annotations (KWP), 103 (3) Genomic unknown (GU), and (4) Environmental unknown (EU) (Fig. 1A). The framework 104 introduces a subtle change of paradigm compared to traditional approaches where our objective is to provide the best representation of the unknown space. We gear all our efforts towards 105 106 finding sequences without any evidence of known homologies by pushing the search space 107 beyond the twilight zone of sequence similarity (Rost, 1999). With this objective in mind, we use 108 gene clusters (GCs) instead of genes as the fundamental unit to compartmentalize the CDS-109 space owing to their unique characteristics (Fig. 1B). (1) GCs produce a structured CDS-space 110 reducing its complexity (Fig. 1B), (2) are independent of the known and unknown fraction, (3) 111 are conserved across environments and organisms, and (4) can be used to aggregate 112 information from different sources (Fig. 1A). Moreover, the GCs provide a good compromise in 113 terms of resolution for analytical purposes, and owing to their unique properties, one can 114 perform analyses at different scales. For fine-grained analyses, we can exploit the gene 115 associations within each GC; and for coarse-grained analyses, we can create groups of GCs 116 based on their shared homologies (Fig. 1B).

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119 Figure 1: Conceptual framework to unify the known and unknown CDS-space and integration of the 120 framework in the current analytical workflows (A) Link between the conceptual framework and the 121 computational workflow to partition the CDS-space in the four conceptual categories. AGNOSTOS infers, 122 validates and refines the GCs and combines them in gene cluster communities (GCCs). Then, it classifies 123 them in one of the four conceptual categories based on their level of 'darkness'. Finally, we add context to 124 each GC based on several sources of information, providing a robust framework for generating 125 hypotheses that can be used to augment experimental data. (B) The computational workflow provides two 126 mechanisms to structure the CDS-space using GCs, de novo creation of the GCs (DB creation), or 127 integrating the dataset in an existing GC database (DB update). The structured CDS-space can then be 128 plugged into traditional analytical workflows to annotate the genes within each GC of the known fraction. 129 With AGNOSTOS, we provide the opportunity to integrate the unknown fraction into the current 130 microbiome analyses easily. C) The versatility of the GCs enables analyses at different scales depending 131 on the scope of our experiments. We can group GCs in gene cluster communities based on their shared 132 homologies to perform coarse-grained analyses. On the other hand, we can design fine-grained analyses 133 using the relationships between the genes in a GC, i.e., detecting network modules in the GC inner 134 sequence similarity network. Additionally, given that GCs are conserved across environments, organisms 135 and experimental conditions give us access to an unprecedented amount of information to design and 136 interpret experimental data.

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138 Driven by the concepts defined in the conceptual framework, we developed AGNOSTOS, a 139 computational workflow that infers, validates, refines, and classifies GCs in the four proposed 140 categories (Fig. 1A; Fig. 1B; Supp. Fig 1). AGNOSTOS provides two operational modules (DB 141 creation and DB update) to produce GCs with a highly conserved intra-homogeneous structure 142 (Fig. 1B), both in terms of sequence similarity and domain architecture homogeneity; it exhausts 143 any existing homology to known genes and provides a proper delimitation of the unknown CDS-144 space before classifying each GC in one of the four categories (Methods). In the last step, we 145 decorate each GC with a rich collection of contextual data compiled from different sources or 146 generated by analyzing the GC contents in different contexts (Fig. 1A). For each GC, we also 147 offer several products that can be used for analytical purposes like improved representative 148 sequences, consensus sequences, sequence profiles for MMseqs2 (Steinegger & Soding, 149 2017) and HHblits (Steinegger, Meier, et al., 2019), or the GC members as a sequence 150 similarity network (Methods). To complement the collection, we also provide a subset of what 151 we define as high-quality GCs. The defining criteria are (1) the representative is a complete 152 gene and (2) more than one-third of genes within a GC are complete genes.

# Partitioning and contextualizing the coding sequence space of genomes and metagenomes

155 We used our approach to explore the unknown CDS-space of 1,749 microbial metagenomes 156 derived from human and marine environments, and 28,941 genomes from the GTDB r86 (Supp 157 Fig 2A). The initial gene prediction of AGNOSTOS (Supp Fig 1) produced 322,248,552 genes 158 from the environmental dataset and assigned Pfam annotations to 44% of them. Next, it 159 clustered the predicted genes in 32,465,074 GCs. For the downstream processing, we kept 160 3.003.897 GCs (83% of the original genes) after filtering out any GC that contained less than 161 ten genes (Skewes-Cox, Sharpton, Pollard, & DeRisi, 2014) removing 9,549,853 clusters and 162 19,911,324 singletons (Supp Fig 2A; Supp. Note 1). The validation process selected 2,940,257 163 *aood-guality* clusters (Fig. 1B: Supp. Table 1: Supp. Note 2), which resulted in 43% of them 164 being members of the unknown CDS-space after the classification and remote homology 165 refinement steps (Supp Fig 2A, Supp. Note 3). We build the link between the environmental and 166 genomic CDS-space by expanding the final collection of GCs with the genes predicted from 167 GTDB r86 (Supp Fig 2A). Our environmental GCs already included 72% of the genes from 168 GTDB r86; 22% of them created 2,400,037 new GCs, and the rest 6% resulted in singleton 169 GCs (Supp Fig 2A; Supp. Note 4; Supp. Note 5). The final dataset includes 5,287,759 GCs 170 (Supp Fig 2A), with both datasets sharing only 922,599 GCs (Supp Fig 2B). The addition of the 171 GTDB r86 genes increased the proportion of GCs in the unknown CDS-space to 54%. As the 172 final step, the workflow generated a subset of 203,217 high-quality GCs (Supp. Table 2; Supp. 173 Fig 3). In these high-quality clusters, we identified 12,313 clusters potentially encoding for small 174 proteins (<= 50 amino acids). Most of these GCs are unknown (66%), which agrees with recent 175 findings on novel small proteins from metagenomes (Sberro et al., 2019). The KWP category 176 contains the largest proportion of incomplete genes (Supp. Table 3), disrupting the detection 177 and assignment of Pfam domains. But it also incorporates sequences with an unusual amino

acid composition that has homology to proteins with high levels of disorder in the DPD database

- 179 (Perdigão, Rosa, & O'Donoghue, 2017) and has characteristic functions of intrinsically
- 180 disordered proteins (Habchi, Tompa, Longhi, & Uversky, 2014) (IDP) like cellular processes and
- 181 signaling as predicted by eggNOG annotations (Supp. Table 4).
- 182 As part of the workflow, each GC is complemented with a rich set of information, as shown in
- 183 Fig 1A (Supp. Table 5; Supp. Note 6).

#### 184 Beyond the twilight zone, communities of gene clusters

185 The method we developed to group GCs in gene cluster communities (GCCs) (Fig. 2A) reduced 186 the final collection of GCs by 87%, producing 673,601 GCCs (Methods; Fig. 2B; Supp. Note 7). 187 We validated our approach to capture remote homologies between related GCs using two well-188 known gene families present in our environmental datasets, proteorhodopsins (Olson, 189 Yoshizawa, Boeuf, Iwasaki, & DeLong, 2018) and bacterial ribosomal proteins (Méheust, 190 Burstein, Castelle, & Banfield, 2019). Our dataset contained 64 GCs (12,184 genes) and 3 191 GCCs (Supp Note 8) classified as proteorhodopsin (PR). One Known GCC contained 99% of 192 the PR annotated genes (Fig. 2C), except 85 genes taxonomically annotated as viral and 193 assigned to the PR Supercluster I (Boeuf, Audic, Brillet-Guéquen, Caron, & Jeanthon, 2015) 194 within two GU communities (five GU gene clusters; Supp. Note 8). For the ribosomal proteins, 195 the results were not so satisfactory. We identified 1.843 GCs (781.579 genes) and 98 GCCs. 196 The number of GCCs is larger than the expected number of ribosomal protein families (16) used 197 for validation. When we use high-quality GCs (Supp. Note 8), we get closer to the expected 198 number of GCCs (Fig. 2D). With this subset, we identified 26 GCCs and 145 GCs (1,687 199 genes). The cross-validation of our method against the approach used in Méheust et al. 200 (Méheust et al., 2019) (Supp. Note 9) confirms the intrinsic complexity of analyzing 201 metagenomic data. Both approaches showed a high agreement in the GCCs identified (Supp. 202 Table 9-1). Still, our method inferred fewer GCCs for each of the ribosomal protein families

203 (Supplementary Figure 9-3), coping better with the nuisances of a metagenomic setup, such as

#### 204 incomplete genes (Supp. Table 6).

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207 Figure 2: Overview and validation of the workflow to aggregate GCs in communities. (A) We inferred a 208 gene cluster homology network using the results of an all-vs-all HMM gene cluster comparison with 209 HHBLITS. The edges of the network are based on the HHblits-score/Aligned-columns. Communities are identified by an iterative screening of different MCL inflation parameters and evaluated using five different 210 211 metrics that consider the inter- and intra-community properties. (B) Comparison of the number of GCs 212 and GCCs for each of the functional categories. (C) Validation of the GCCs inference based on the 213 environmental genes annotated as proteorhodopsins. Ribbons in the alluvial plot are genes, and each 214 stacked bar corresponds (from left to right) to the (1) gene taxonomic classification at the domain level, 215 (2) GC membership, (3) GCC membership and (4) MicRhoDE operational classification. (D) Validation of 216 the GCCs inference based on ribosomal proteins based on standard and high-quality GCs.

#### 217 A smaller but highly diverse unknown coding sequence space

218 Combining clustering and remote homology searches reduces the extent of the unknown CDS-219 space compared to what is reported by the traditional genomic and metagenomic analysis 220 approaches (Fig. 3A). Our workflow recruited as much as 71% of genes in human-related 221 metagenomic samples and 65% of the genes in marine metagenomes into the known CDS-222 space. In both human and marine microbiomes, the genomic unknown fraction showed a similar 223 proportion of genes (21%, Fig. 3A). The number of genes corresponding to EU gene clusters is 224 higher in marine metagenomes; 12% of the genes are part of this GC category. We obtained a 225 comparable result when we evaluated the genes from the GTDB r86, 75% of bacterial and 64% 226 of archaeal genes were part of the known CDS-space. Archaeal genomes contained more 227 unknowns than those from Bacteria, where 30% of the genes are classified as genomic 228 unknowns in Archaea, and only 20% in Bacteria (Fig. 3A; Supp. Table 7). Our approach allows 229 us to go beyond genes, and for the first time, we can provide a detailed characterization of the 230 CDS-space at the amino acid level. From the 90,128,659,316 amino acids analyzed. the 231 majority of the amino acids in metagenomes (74%) and GTDB r86 (80%) are in the known 232 CDS-space (Fig. 3B; Supp. Table 7). In both cases, approximately 40% of the amino acids in 233 the known CDS-space were part of a Pfam domain (Fig. 3B; Supp. Table 7). The proportion of 234 amino acids in the unknown CDS-space ranged from 22% in metagenomes and 15% in 235 GTDB r86. Pfam domains covered only 2% of the amino acids in the unknown CDS-space in 236 both cases. To evaluate the differences between the two CDS-space fractions, we calculated 237 the accumulation rates of GCs and GCCs. For the metagenomic dataset we used 1,264 238 metagenomes (18,566,675 GCs and 282,580 GCCs) and for the genomic dataset 28,941 239 genomes (9,586,109 GCs and 496,930 GCCs). The rate of accumulation of unknown GCs was 240 three times higher than the known (2 times for the genomic), and both cases were far from 241 reaching a plateau (Fig. 3C). This is not the case for the GCC accumulation curves (Supp Fig.

242 4B), where they reached a plateau. The accumulation rate is largely determined by the number 243 of singletons, especially singletons from EUs (Supp note 11 and Supp. Fig 5). While the 244 accumulation rate of known GCs between marine and human metagenomes is almost identical. 245 there are striking differences for the unknown GCs (Fig. 3D). These differences are maintained 246 even when we remove the virus-enriched samples from the marine metagenomes (Supp Fig 247 4A). Although the marine metagenomes include a large variety of environments, from coastal to 248 the deep sea, the known space remains quite constrained. 249 Despite only including marine and human metagenomes in our database, our coverage of other 250 databases and environments is quite comprehensive, with an overall coverage of 76% (Supp. 251 Note 12). The lowest covered biomes are freshwater, soil and human non-digestive as revealed 252 by the screening of MGnify (Mitchell et al., 2020) (release 2018 09; 11 biomes; 843,535,6116 253 proteins) where we assigned 74% of the MGnify proteins into one of our categories 254 (Supplementary Fig. 6). Furthermore, as a result of this evaluation, we classified 20% of the 255 FunkFams (Wyman et al., 2018) and 44% of the unknowns used by Price et al. (Price et al.,

256 2018) to the known fraction (Supp. Table 12-1).



#### 257



261 genomic data. from TARA, MALASPINA, OSD2014 and HMP-I/II projects. (D) Collector curves comparing

the human and marine biomes. Colored lines represented the mean of 1000 permutations and shaded in

263 grey the standard deviation. Non-abundant singleton clusters were excluded from the accumulation

264 curves calculation.

# The unknown coding sequence space has a limited ecological distribution in human and marine environments

267 Although the role of the unknown fraction in the environment is still a mystery, the large number 268 of gene counts and abundance observed underlines its inherent ecological relevance (Fig. 4A). 269 In some metagenomes, the genomic unknown fraction can account for more than 40% of the 270 total gene abundance observed (Fig. 4A). The environmental unknown fraction is also relevant 271 in several samples, where singleton GCs are the majority (Fig. 4A). We identified two 272 metagenomes with an unusual composition in terms of environmental unknown singletons. The 273 marine metagenome corresponds to a sample from Lake Faro (OSD42), a meromictic saline 274 with a unique extreme environment where Archaea plays an important role (La Cono et al., 275 2013). The HMP metagenome (SRS143565) corresponds to a human sample from the right 276 cubital fossa from a healthy female subject. To understand this unusual composition, we should 277 perform further analyses to discard potential technical artifacts like sample contamination. The 278 ratio between the unknown and known GCs revealed that the metagenomes located at the 279 upper left guadrant in Fig. 4B-C are enriched in GCs of unknown function. In human 280 metagenomes, we can distinguish between body sites, with the gastrointestinal tract, where 281 microbial communities are expected to be more diverse and complex, significantly enriched with 282 genomic unknowns. The HMP metagenomes with the largest ratio of unknowns are those 283 samples identified to contain crAssphages (Dubinkina, Ischenko, Ulyantsev, Tyakht, & Alexeev, 284 2016; Edwards et al., 2019) and HPV viruses (Ma et al., 2014) (Supp. Table 8; Supp. Fig. 7). 285 Consistently, in marine metagenomes (Fig. 4D) we can separate between size fractions, where 286 the highest ratio in genomic and environmental unknowns corresponds to the ones enriched 287 with viruses and giant viruses.



289 Figure 4: Distribution of the unknown coding sequence space in the human and marine metagenomes 290 (A) Ratio between the proportion of the number of genes and their estimated abundances per cluster 291 category and biome. Columns represented in the facet depicts three cluster categories based on the size 292 of the clusters. (B) Relationship between the ratio of Genomic unknowns and Environmental unknowns in 293 the HMP-I/II metagenomes. Gastrointestinal tract metagenomes are enriched in Genomic unknown 294 coding sequences compared to the other body sites. (C) Relationship between the ratio of Genomic 295 unknowns and Environmental unknowns in the TARA Oceans metagenomes. Girus and virus enriched 296 metagenomes show a higher proportion of both unknown coding sequences (genomic and 297 environmental) than the Archaea|Bacteria enriched fractions. (D) Environmental distribution of GCs and 298 GCCs based on Levin's niche breadth index. We obtained the significance values after generating 100 299 null gene cluster abundance matrices using the *quasiswap* algorithm.

300

301 To complement the previous findings, we performed a large-scale analysis to investigate the GC

- 302 occurrence patterns in the environment. The narrow distribution of the unknown fraction (Fig.
- 4D) suggests that these GCs might provide a selective advantage and be necessary to adapt to
- 304 specific environmental conditions. But the pool of broadly distributed environmental unknowns is
- 305 the most exciting result. We identified traces of potential ubiquitous organisms left

uncharacterized by traditional approaches, as more than 80% of these GCs cannot be
 associated with a metagenome-assembled genome (MAG) (Supp Table 9, Supp. Note 10).

### 308 The genomic unknown coding sequence space is lineage-specific

309 With the inclusion of the genomes from GTDB r86, we have access to a phylogenomic 310 framework that can be used to assess how exclusive is a GC within a lineage (lineage-specifity 311 (Mendler et al., 2019)) and how conserved is a GC across clades (phylogenetic conservation 312 (Martiny, Treseder, & Pusch, 2013)). We identified 781,814 lineage-specific GCs and 464,923 313 phylogenetically conserved (P < 0.05) GCs in Bacteria (Supp. Table 10; Supp. Note 13 for 314 Archaea). The number of lineage-specific GCs increases with the Relative Evolutionary 315 Distance (Parks et al., 2018) (Fig. 5A) and differences between the known and the unknown 316 fraction start to be evident at the Family level resulting in 4X more unknown lineage-specific 317 GCs at the Species level. The unknown GCs are more phylogenetically conserved than the 318 known (Fig. 5B, p < 0.0001), revealing the importance of the genome's uncharacterized fraction. 319 However, this is not the case for the lineage-specific and phylogenetically conserved GCs, 320 where the unknown GCs are less phylogenetically conserved (Fig. 5B), agreeing with the large 321 number of lineage-specific GCs at Genus and Species level. To discard the possibility that the 322 lineage-specific GCs of unknown function have a viral origin, we screened all GTDB r86 323 aenomes for prophages. We only found 37,163 lineage-specific GCs in prophage genomic 324 regions, being 86% GCs of unknown function. After unveiling the potential relevance of the GCs 325 of unknown function in bacterial genomes, we identified phyla in GTDB r86 enriched with these 326 types of clusters. A clear pattern emerged when we partitioned the phyla based on the ratio of 327 known to unknown GCs and vice versa (Fig. 5D), the phyla with a larger number of MAGs are 328 enriched in GCs of unknown function Figure 5D. Phyla with a high proportion of non-classified 329 GCs (those discarded during the validation steps) contain a small number of genomes and are 330 primarily composed of MAGs. These groups of phyla highly enriched in unknowns and

- 331 represented mainly by MAGs include newly described phyla such as Cand. Riflebacteria and
- 332 Cand. Patescibacteria (Anantharaman et al., 2018; Brown et al., 2015; Rinke et al., 2013), both
- 333 with the largest unknown to known ratio.
- 334



335 336

337 Figure 5: Phylogenomic exploration of the unknown coding sequence space. (A) Distribution of the 338 lineage-specific GCs by taxonomic level. Lineage-specific unknown GCs are more abundant in the lower 339 taxonomic levels (Genus, Species). (B) Phylogenetic conservation of the known and unknown coding 340 sequence space in 27,372 bacterial genomes from GTDB r86. We observe differences in the 341 conservation between the known and the unknown coding sequence space for lineage- and non-lineage 342 specific GCs (paired Wilcoxon rank-sum test; all p-values < 0.0001). (C) The majority of the lineage-343 specific clusters are part of the unknown coding sequence space, being a small proportion found in 344 prophages present in the GTDB r86 genomes. (D) Known and unknown coding sequence space of the 345 27,732 GTDB r86 bacterial genomes grouped by bacterial phyla. Phyla are partitioned based on the ratio 346 of known to unknown GCs and vice versa. Phyla enriched in MAGs have higher proportions in GCs of 347 unknown function. Phyla with a high proportion of non-classified clusters (NC; discarded during the 348 validation steps) tend to contain a small number of genomes. (E) The alluvial plot's left side shows the 349 uncharacterized (OM-RGC v2 GC) and characterized (OM-RGC v2) fraction of the gene catalog. The 350 functional annotation is based on the eggNOG annotations provided by Salazar et al.(Salazar et al., 351 2019). The right side of the alluvial plot shows the new organization of the OM-RGC v2 coding sequence 352 space based on the approach described in this study. The treemap in the right links the metagenomic and 353 genomic space adding context to the unknown fraction of the OM-RGC v2

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355	We demonstrate the possibility of bridging genomic and metagenomic data and simultaneously
356	unifying the known and unknown CDS-space by integrating the new Ocean Microbial Reference
357	Gene Catalog (Salazar et al., 2019) (OM-RGC v2) into our database. We assigned 26,170,875
358	genes to known GCs, 11,422,975 to genomic unknowns, 8,661,221 to environmental unknown
359	and 520,083 were discarded. From the 11,422,975 genes classified as genomic unknowns, we
360	could associate 3,261,741 to a GTDB_r86 genome and we identified 113,175 as lineage-
361	specific. The alluvial plot in Fig. 5E depicts the new organization of the OM-RGC v2 after being
362	integrated into our framework and how we can provide context to the two original types of
363	unknowns in the OM-RGC (those annotated as category S in eggNOG (Huerta-Cepas et al.,
364	2019) and those without known homologs in the eggNOG database (Salazar et al., 2019)) that
365	can lead to potential experimental targets at the organism level to complement the
366	metatranscriptomic approach proposed by Salazar et al. (Salazar et al., 2019).

# A structured coding sequence space augments the interpretation of experimental data

369 We selected one of the experimental conditions tested in Price et al. (Price et al., 2018) to 370 demonstrate the potential of our approach to augment experimental data. We compared the 371 fitness values in plain rich medium with added Spectinomycin dihydrochloride pentahydrate to 372 the fitness in plain rich medium (LB) in Pseudomonas fluorescens FW300-N2C3 (Fig. 6A). This 373 antibiotic inhibits protein synthesis and elongation by binding to the bacterial 30S ribosomal 374 subunit and interferes with the peptidyl tRNA translocation. We identified the gene with locus id 375 AO356 08590 that presents a strong phenotype (fitness = -3.1; t = -9.1) and has no known 376 function. This gene belongs to the genomic unknown GC GU 19737823. We can track this GC 377 into the environment and explore the occurrence in the different samples we have in our

378 database. As expected, the GC is mostly found in non-human metagenomes (Fig. 6B) as 379 Pseudomonas are common inhabitants of soil and water environments(Heffernan, Murphy, & 380 Casey, 2009). However, finding this GC also in human-related samples is very interesting due 381 to the potential association of *P. fluorescens* and human disease where Crohn's disease 382 patients develop serum antibodies to this microbe (Scales, Dickson, LiPuma, & Huffnagle, 383 2014). We can add another layer of information to the selected GC by looking at the associated remote homologs in the GCC GU c 21103 (Fig. 6C). We identified all the genes in the 384 385 GTDB r86 genomes that belong to the GCC GU c 21103 (Supp. Table 11) and explored their 386 genomic neighborhoods. All members from GU c 21103 are constrained to the class 387 Gammaproteobacteria, and interestingly GU 19737823 is mostly exclusive to the order 388 *Pseudomonadales.* The gene order in the different genomes analyzed is highly conserved. 389 finding GU 19737823 after the rpsF::rpsR operon and before rpll. rpsF and rpsR encode for 390 30S ribosomal proteins, the prime target of spectinomycin. The combination of the experimental 391 evidence and the associated data inferred by our approach provides strong support to generate 392 the hypothesis that the gene AO356 08590 might be involved in the resistance to 393 spectinomycin.



#### 395 396

397 Figure 6: Augmenting experimental data with GCs of unknown function. (A) We used the fitness values 398 from the experiments from Price et al. (Price et al., 2018) to identify genes of unknown function that are 399 important for fitness under certain experimental conditions. The selected gene belongs to the genomic 400 unknown GC GU 19737823 and presents a strong phenotype (fitness = -3.1; t = -9.1) (B) Occurrence of 401 GU 19737823 in the metagenomes used in this study. Darker bars depict the number of metagenomes 402 where the GC is found. (C) GU 19737823 is a member of the GCC GU c 21103. The network shows the 403 relationships between the different GCs members of the gene cluster community GU\_c\_21103. The size 404 of the node corresponds to the node degree of each GC. Edge thickness corresponds to the 405 bitscore/column metric. Highlighted in red is GU\_19737823. (D) We identified all the genes in the 406 GTDB r86 genomes that belong to the GCC GU c 21103 and explored their genomic neighborhoods. 407 GU c 21103 members were constrained to the class Gammaproteobacteria, and GU 19737823 is 408 mostly exclusive to the order Pseudomonadales. The gene order in the different genomes analyzed is 409 highly conserved, finding GU 19737823 after the rpsF::rpsR operon and before rpll. rpsF and rpsR 410 encode for the 30S ribosomal protein S6 and 30S ribosomal protein S18, respectively. The GTDB r86 411 subtree only shows RefSeg genomes. Branch colors correspond to the different GCs found in 412 GU c 21103. The bubble plot depicts the number of genomes with a gene that belongs to GU c 21103.

# 413 Discussion

414 We present a new conceptual framework and computational workflow to unify the known and 415 unknown CDS-space. Using this framework, we performed an in-depth exploration of the 416 microbial unknown CDS-space, demonstrating that we can link the unknown fraction of 417 metagenomic studies to specific genomes and provide a powerful tool for hypothesis 418 generation. During the last years, the microbiome community has established a standard 419 operating procedure(Quince et al., 2017) for analyzing metagenomes that we can briefly 420 summarize into (1) assembly, (2) gene prediction, (3) gene catalog inference, (4) binning, and 421 (5) characterization. Thanks to recent computational developments (Steinegger & Soding, 2017; 422 Steinegger & Söding, 2018), we envisioned an alternative to this workflow to maximize the 423 information used when analyzing genomic and metagenomic data. In addition, we provide a 424 mechanism to reconcile top-down and bottom-up approaches, thanks to the well-structured 425 CDS-space proposed by our framework. AGNOSTOS can create environmental- and organism-426 specific variations of a seed GC database. Then, it integrates the predicted genes from new 427 genomes and metagenomes and dynamically creates and classifies new GCs with those genes 428 not integrated during the initial step (Fig. 1B). Afterward, the potential functions of the known 429 GCs can be carefully characterized by incorporating them into the traditional workflows. 430 One of the most appealing characteristics of our approach is that the GCs provide unified 431 groups of homologous genes across environments and organisms indifferently if they belong to 432 the known or unknown CDS-space, and we can contextualize the unknown fraction using this 433 genomic and environmental information. Our combination of partitioning and contextualization 434 features a smaller unknown CDS-space than we expected. On average, for our genomic and 435 metagenomic data, only 30% of the genes fall in the unknown fraction. One hypothesis to 436 reconcile this surprising finding is that the methodologies to identify remotely homologous 437 sequences in large datasets were computationally prohibitive until recently. New methods

438 (Steinegger, Meier, et al., 2019; Steinegger & Soding, 2017), like the ones used in AGNOSTOS, 439 are enabling large scale distant homology searches. Still, one has to apply conservative 440 measures to control the trade-off between specificity and sensitivity to avoid overclassification. 441 We found that most of the coding sequence space at the gene and amino acid level is known. 442 both in genomes and metagenomes. However, it presents a high diversity, as shown in the GC 443 accumulation curves highlighting the vast remaining untapped microbial fraction and its potential 444 importance for niche adaptation owing to its narrow ecological distribution. In a genomic context 445 and after ruling out the effect of prophages, the unknown fraction is predominantly Species' 446 lineage-specific and phylogenetically more conserved than the known fraction, supporting the 447 signal observed in the environmental data emphasizing that we should not ignore the unknown 448 fraction. It is worth noting that the high diversity observed in the unknowns only represents the 449 20% of the amino acids in the CDS-space we analyzed, and only 10% of this unknown amino 450 acid space is part of a Pfam domain (DUF and others). This contrasts with the numbers 451 observed in the known CDS-space, where Pfam domains include 50% of the amino acids. All 452 this evidence combined strengthens the hypothesis that the genes of unknown function, 453 especially the lineage-specific ones, might be associated with the mechanisms of microbial 454 diversification and niche adaptation due to the constant diversification of gene families and the 455 survival of new gene lineages (Francino, 2012; Muller, 2019). 456 Metagenome-assembled genomes are not only unveiling new regions of the microbial universe 457 (42% of the genomes in GTDB r86), but they are also enriching genes of unknown function in 458 the tree of life. We investigated the unknown CDS-space of Cand. Patescibacteria, more 459 commonly known as Candidate Phyla Radiation (CPR), a phylum that has raised considerable 460 interest due to its unusual biology (Brown et al., 2015). We provide a collection of 54,343 461 lineage-specific GCs of unknown function at different taxonomic level resolutions (Supp. Table 462 12; Supp. Note 14), which will be a valuable resource for the CPR advancement research 463 efforts.

464 Our effort to tackle the unknown provides a pathway to unlock a large pool of likely relevant data 465 that remains untapped to analysis and discovery. By identifying a potential target gene of 466 unknown function for antibiotic resistance, we demonstrate the value of our approach and how it 467 can boost insights from model organism experiments. But severe challenges remain, such as 468 the dependence on the guality of the assemblies and their gene predictions, as shown by the 469 analysis of the ribosomal protein GCCs where many of the recovered genes are incomplete. 470 While sequence assembly has been an active area of research (Roumpeka, Wallace, 471 Escalettes, Fotheringham, & Watson, 2017), this has not been the case for gene prediction 472 methods (Roumpeka et al., 2017), which are becoming outdated (Ivanova et al., 2014) and 473 cannot cope with the current amount of data. Alternatives like protein-level assembly 474 (Steinegger, Mirdita, & Söding, 2019) combined with exploring the assembly graphs' 475 neighborhoods (Titus Brown et al., 2018) become very attractive for our purposes. In any case, 476 we still face the challenge of discriminating between real and artifactual singletons (Höps, 477 Jeffryes, & Bateman, 2018). There are currently no methods available to provide a plausible 478 solution and, at the same time, being scalable. We devise a potential solution in the recent 479 developments in unsupervised deep learning methods where they use large corpora of proteins 480 to define a language model embedding for protein sequences (Heinzinger et al., 2019). These 481 models could be applied to predict *embeddings* in singletons, which could be clustered or used 482 to determine their coding potential. Another issue is that we might be creating more GCs than 483 expected. We follow a conservative approach to avoid mixing multi-domain proteins in GCs 484 owing to the fragmented nature of the metagenome assemblies that could result in the split of a 485 GC. However, not only splitting can be a problem, but also lumping unrelated genes or GCs 486 owing to the use of remote homologies. Although the inference of GCCs is using very sensitive 487 methods to compare profile HMMs, low sequence diversity in GCs can limit its effectiveness. 488 Moreover, our approach is affected by the presence and propagation of contamination in 489 reference databases, a significant problem in 'omics (Breitwieser, Pertea, Zimin, & Salzberg,

490 2019; Steinegger & Salzberg, 2020). In our case, we only use Pfam as a source for annotation 491 owing to its high-quality and manual curation process. The categorization process of our GCs 492 depends on the information from other databases, and to minimize the potential impact of 493 contamination, we apply methods that weight the annotations of the identified homologs to 494 discriminate if a GC belongs to the known or unknown CDS-space. 495 The results presented here prove that the integration and the analysis of the unknown fraction 496 are possible. We are unveiling a brighter future, not only for microbiome analyses but also for 497 boosting eukaryotic-related studies, thanks to the increasing number of projects, including 498 metatranscriptomic data (Delmont et al., 2020; Vorobev et al., 2020). Furthermore, our work 499 lays the foundations for further developments of clear guidelines and protocols to define the 500 different levels of unknown (Thomas & Segata, 2019) and should encourage the scientific 501 community for a collaborative effort to tackle this challenge.

## 502 Material and methods

#### 503 Genomic and metagenomic dataset

504 We used a set of 583 marine metagenomes from four of the major metagenomic surveys of the

505 ocean microbiome: Tara Oceans expedition (TARA) (Sunagawa et al., 2015), Malaspina

506 expedition (Duarte, 2015), Ocean Sampling Day (OSD) (Kopf et al., 2015), and Global Ocean

507 Sampling Expedition (GOS) (Rusch et al., 2007). We complemented this set with 1,246

508 metagenomes obtained from the Human Microbiome Project (HMP) phase I and II (Lloyd-Price

509 et al., 2017). We used the assemblies provided by TARA, Malaspina, OSD and HMP projects

- 510 and the long Sanger reads from GOS (Sanger, Nicklen, & Coulson, 1977). A total of 156M
- 511 (156,422,969) contigs and 12.8M long-reads were collected (Supp. Table 6).

512 For the genomic dataset, we used the 28,941 prokaryotic genomes (27,372 bacterial and 1,569 513 archaeal) from the Genome Taxonomy Database (Parks et al., 2018) (GTDB) Release 03-RS86 514 (19th August 2018).

#### 515 Computational workflow development

516 We implemented a computation workflow based on Snakemake (Köster, 2018) for the easy

517 processing of large datasets in a reproducible manner. The workflow provides three different

518 strategies to analyze the data. The module *DB-creation* creates the gene cluster database,

519 validates and partitions the gene clusters (GCs) in the main functional categories. The module

520 *DB-update* allows the integration of new sequences (either at the contig or predicted gene level)

521 in the existing gene cluster database. In addition, the workflow has a *profile-search* function to

522 quickly screen samples using the gene cluster PSSM profiles in the database.

#### 523 Metagenomic and genomic gene prediction

524 We used Prodigal (v2.6.3) (Hyatt et al., 2010) in metagenomic mode to predict the genes from 525 the metagenomic dataset. For the genomic dataset, we used the gene predictions provided by 526 Annotree (Mendler et al., 2019), since they were obtained, consistently, with Prodigal v2.6.3.

527 We identified potential spurious genes using the *AntiFam* database (Eberhardt et al., 2012).

528 Furthermore, we screened for '*shadow*' genes using the procedure described in Yooseph et al.

529 (Yooseph, Li, & Sutton, 2008).

#### 530 **PFAM annotation**

531 We annotated the predicted genes using the *hmmsearch* program from the *HMMER* package

532 (version: 3.1b2) (R. D. Finn, Clements, & Eddy, 2011) in combination with the Pfam database

533 v31 (Robert D. Finn et al., 2016). We kept the matches exceeding the internal gathering

threshold and presenting an independent e-value < 1e-5 and coverage > 0.4. In addition, we

- took into account multi-domain annotations, and we removed overlapping annotations when the
- 536 overlap is larger than 50%, keeping the ones with the smaller e-value.

#### 537 Determination of the gene clusters

We clustered the metagenomic predicted genes using the cascaded-clustering workflow of the MMseqs2 software (Steinegger & Söding, 2018) ("--*cov-mode 0 -c 0.8 --min-seq-id 0.3"*). We discarded from downstream analyses the singletons and clusters with a size below a threshold identified after applying a broken-stick model (Bennett, 1996). We integrated the genomic data into the metagenomic cluster database using the "DB-update" module of the workflow. This module uses the *clusterupdate* module of MMseqs2 (Steinegger & Soding, 2017), with the same parameters used for the metagenomic clustering.

#### 545 Quality-screening of gene clusters

546 We examined the GCs to ensure their high intra-cluster homogeneity. We applied two 547 methodologies to validate their cluster sequence composition and functional annotation 548 homogeneity. We identified non-homologous sequences inside each cluster combining the 549 identification of a new cluster representative sequence via a sequence similarity network (SSN) 550 analysis, and the investigation of intra-cluster multiple sequence alignments (MSAs), given the 551 new representative. Initially, we generated an SSN for each cluster, using the semi-global 552 alignment methods implemented in PARASAIL (Daily, 2016) (version 2.1.5). We trimmed the 553 SSN using a custom algorithm (Chafee et al., 2018; Žure, Fernandez-Guerra, Munn, & Harder, 554 2017) that removes edges while maintaining the network structural integrity and obtaining the 555 smallest connected graph formed by a single component. Finally, the new cluster representative 556 was identified as the most central node of the trimmed SSN by the eigenvector centrality 557 algorithm, as implemented in igraph (Csardi & Nepusz, 2006). After this step, we built a multiple

558 sequence alignment for each cluster using FAMSA (Deorowicz, Debudaj-Grabysz, & Gudyś, 559 2016) (version 1.1). Then, we screened each cluster-MSA for non-homologous sequences to 560 the new cluster representative. Owing to computational limitations, we used two different 561 approaches to evaluate the cluster-MSAs. We used LEON-BIS (Vanhoutreve et al., 2016) for 562 the clusters with a size ranging from 10 to 1,000 genes and OD-SEQ (Jehl, Sievers, & Higgins, 2015) for the clusters with more than 1,000 genes. In the end, we applied a broken-stick model 563 564 (Bennett, 1996) to determine the threshold to discard a cluster. 565 The predicted genes can have multi-domain annotations in different orders, therefore to validate 566 the consistency of intra-cluster Pfam annotations, we applied a combination of w-shingling 567 (Broder, 1997) and Jaccard similarity. We used w-shingling (k-shingle = 2) to group consecutive 568 domain annotations as a single object. We measured the homogeneity of the *shingle sets* (sets 569 of domains) between genes using the Jaccard similarity and reported the median similarity 570 value for each cluster. Moreover, we took into consideration the Clan membership of the Pfam 571 domains and that a gene might contain N-, C- and M-terminal domains for the functional 572 homogeneity validation. We discarded clusters with a median similarity < 1. 573 After the validation, we refined the gene cluster database removing the clusters identified to be 574 discarded and the clusters containing  $\geq$  30% shadow genes. Lastly, we removed the single 575 shadow, spurious and non-homologous genes from the remaining clusters (Supplementary Note 576 2).

#### 577 Remote homology classification of gene clusters

To partition the validated GCs into the four main categories, we processed the set of GCs containing Pfam annotated genes and the set of not annotated GCs separately. For the annotated GCs, we inferred a consensus protein domain architecture (DA) (an ordered combination of protein domains) for each annotated gene cluster. To identify each gene cluster consensus DA, we created directed acyclic graphs connecting the Pfam domains based on their

583 topological order on the genes using *igraph* (Csardi & Nepusz, 2006). We collapsed the 584 repetitions of the same domain. Then we used the gene completeness as a positive-weighting 585 value for the selection of the cluster consensus DA. Within this step, we divided the GCs into 586 "Knowns" (Known) if annotated to at least one Pfam domains of known function (DKFs) and 587 "Genomic unknowns" (GU) if annotated entirely to Pfam domains of unknown function (DUFs). 588 We aligned the sequences of the non-annotated GCs with FAMSA (Deorowicz et al., 2016) and 589 obtained cluster consensus sequences with the hhconsensus program from HH-SUITE 590 (Steinegger, Meier, et al., 2019). We used the cluster consensus sequences to perform a 591 nested search against the UniRef90 database (release 2017 11) (The UniProt Consortium, 592 2017) and NCBI nr database (release 2017 12) (NCBI Resource Coordinators, 2018) to retrieve 593 non-Pfam annotations with MMSegs2 (Steinegger & Soding, 2017) ("-e 1e-05 --cov-mode 2 -c 594 0.6"). We kept the hits within 60% of the Log(best-e-value) and searched the annotations for 595 any of the terms commonly used to define proteins of unknown function (Supp. Table 12). We 596 used a quorum majority voting approach to decide if a gene cluster would be classified as 597 Genomic Unknown or Known without Pfams based on the annotations retrieved. We searched 598 the consensus sequences without any homologs in the UniRef90 database against NCBI nr. We 599 applied the same approach and criteria described for the first search. Ultimately, we classified 600 as Environmental Unknown those GCs whose consensus sequences did not align with any of 601 the NCBI nr entries.

In addition, we developed some conservative measures to control the trade-off between specificity and sensitivity for the remote homology searches such as (1) a modification of the algorithm described in Hingamp et al. (Hingamp et al., 2013) to get a confident group of homologs to determine if a query protein is known or unknown by a quorum majority voting approach (Supp Note 3); (2) strict parameters in terms of iterations, bidirectional coverage and probability thresholds for the HHblits alignments to minimize the inclusion of non-homologous

sequences; and (3) avoid providing annotations for our gene clusters, as we believe that
annotation should be a careful process done on a smaller scale and with experimental context.

#### 610 Gene cluster remote homology refinement

611 We refined the Environmental Unknown GCs to ensure the lack of any characterization by 612 searching for remote homologies in the Uniclust database (release 30 2017 10) using the 613 HMM/HMM alignment method HHblits (Remmert, Biegert, Hauser, & Söding, 2012). We created 614 the HMM profiles with the *hhmake* program from the *HH-SUITE* (Steinegger, Meier, et al., 615 2019). We only accepted those hits with an *HHblits-probability*  $\geq$  90% and we re-classified them 616 following the same majority vote approach as previously described. The clusters with no hits 617 remained as the refined set of EUs. We applied a similar refinement approach to the KWP 618 clusters to identify GCs with remote homologies to Pfam protein domains. The KWP HMM 619 profiles were searched against the Pfam HH-SUITE database (version 31), using HHblits. We 620 accepted hits with a probability  $\geq$  90% and a target coverage > 60% and removed overlapping 621 domains as described earlier. We moved the KWP with remote homologies to known Pfams to 622 the Known set, and those showing remote homologies to Pfam DUFs to the GUs. The clusters 623 with no hits remained as the refined set of KWP.

#### 624 Gene cluster characterization

To retrieve the taxonomic composition of our clusters we applied the *MMseqs2 taxonomy* program (version: b43de8b7559a3b45c8e5e9e02cb3023dd339231a), which allows computing the lowest common ancestor through the implementation of the 2bLCA protocol (Hingamp et al., 2013). We searched all cluster genes against UniProtKB (release of January 2018) (UniProt Consortium, 2018) using the following parameters "-*e 1e-05 --cov-mode 0 -c 0.6*". We parsed the results to keep only the hits within 60% of the log10(best-e-value). To retrieve the taxonomic lineages, we used the R package *CHNOSZ* (Dick, 2008). We measured the intra-cluster

632 taxonomic admixture by applying the *entropy.empirical()* function from the *entropy* R package 633 (Hausser & Strimmer, 2008). This function estimates the Shannon entropy based on the 634 different taxonomic annotation frequencies. For each cluster, we also retrieved the cluster 635 consensus taxonomic annotation, which we defined as the taxonomic annotation of the majority 636 of the genes in the cluster. 637 In addition to the taxonomy, we evaluated the clusters' level of darkness and disorder using the 638 Dark Proteome Database (DPD) (Perdigão et al., 2017) as reference. We searched the cluster 639 genes against the DPD, applying the MMsegs2 search program (Steinegger & Soding, 2017) 640 with "-e 1e-20 --cov-mode 0 -c 0.6". For each cluster, we then retrieved the mean and the 641 median level of darkness, based on the gene DPD annotations.

#### 642 High-quality clusters

We defined a subset of high-quality clusters based on the completeness of the cluster genes and their representatives. We identified the minimum required percentage of complete genes per cluster by a broken-stick model (Bennett, 1996) applied to the percentage distribution. Then, we selected the GCs found above the threshold and with a complete representative.

#### 647 A set of non-redundant domain architectures

648 We estimated the number of potential domain architectures present in the Known GCs taking 649 into account the large proportion of fragmented genes in the metagenomic dataset and that 650 could inflate the number of potential domain architectures. To identify fragments of larger 651 domain architecture, we took into account their topological order in the genes. To reduce the 652 number of comparisons, we calculated the pairwise string cosine distance (q-gram = 3) between 653 domain architectures and discarded the pairs that were too divergent (cosine distance  $\geq 0.9$ ). 654 We collapsed a fragmented domain architecture to the larger one when it contained less than 75% of complete genes. 655

#### 656 Inference of gene cluster communities

657 We aggregated distant homologous GCs into GCCs. The community inference approach 658 combined an all-vs-all HMM gene cluster comparison with Markov Cluster Algorithm (MCL) (van 659 Dongen & Abreu-Goodger, 2012) community identification. We started performing the inference 660 on the Known GCs to use the Pfam DAs as constraints. We aligned the gene cluster HMMs 661 using HHblits (Remmert et al., 2012) (-n 2 -Z 10000000 -B 10000000 -e 1) and we built a 662 homology graph using the cluster pairs with probability  $\geq$  50% and bidirectional coverage > 60%. 663 We used the ratio between HHblits-bitscore and aligned-columns as the edge weights (Supp. 664 Note 9). We used MCL (van Dongen & Abreu-Goodger, 2012) (v. 12-068) to identify the 665 communities present in the graph. We developed an iterative method to determine the optimal 666 MCL inflation parameter that tries to maximize the relationship of five intra-/inter-community 667 properties: (1) the proportion of MCL communities with one single DA, based on the consensus 668 DAs of the cluster members; (2) the ratio of MCL communities with more than one cluster; (3) 669 the proportion of MCL communities with a PFAM clan entropy equal to 0; (4) the intra-670 community HHblits-score/Aligned-columns score (normalized by the maximum value); and (5) 671 the number of MCL communities, which should, in the end, reflect the number of non-redundant 672 DAs. We iterated through values ranging from 1.2 to 3.0, with incremental steps of 0.1. During 673 the inference process, some of the GCs became orphans in the graph. We applied a three-step 674 approach to assigning a community membership to these GCs. First, we used less stringent 675 conditions (probability  $\geq$  50% and coverage >= 40%) to find homologs in the already existing 676 GCCs. Then, we ran a second iteration to find secondary relationships between the newly 677 assigned GCs and the missing ones. Lastly, we created new communities with the remaining 678 GCs. We repeated the whole process with the other categories (KWP, GU and EU), applying 679 the optimal inflation value found for the Known (2.2 for metagenomic and 2.5 for genomic data).

#### 680 Gene cluster communities validation

681 We tested the biological significance of the GCCs using the phylogeny of proteorhodopsin 682 (Boeuf et al., 2015) (PR). We used the proteorhodopsin HMM profiles (Olson et al., 2018) to 683 screen the marine metagenomic datasets using hmmsearch (version 3.1b2) (R. D. Finn et al., 684 2011). We kept the hits with a coverage > 0.4 and e-value <= 1e-5. We removed identical 685 duplicates from the sequences assigned to PR with CD-HIT (W. Li & Godzik, 2006) (v4.6) and 686 cleaned from sequences with less than 100 amino acids. To place the identified PR sequences 687 into the MicRhode (Boeuf et al., 2015) PR tree first, we optimized the initial tree parameters and 688 branch lengths with RAxML (v8.2.12) (Stamatakis, 2014). We used PaPaRA (v2.5) (Berger & 689 Stamatakis, 2012) to incrementally align the guery PR sequences against the MicRhode PR 690 reference alignment and pplacer (Matsen, Kodner, & Armbrust, 2010) (v1.1.alpha19-0-g807f6f3) 691 to place the sequences into the tree. Finally, we assigned the query PR sequences to the 692 MicRhode PR Superclusters based on the phylogenetic placement. We further investigated the 693 GCs annotated as viral (196 genes, 14 GC) comparing them to the six newly discovered viral 694 PRs (Needham et al., 2019) using Parasail (Daily, 2016) (-a sg stats scan sse2 128 16 -t 8 -c 695 1 -x). As an additional evaluation, we investigated the distributions of standard GCCs and HQ 696 GCCs within ribosomal protein families. We obtained the ribosomal proteins used for the 697 analysis combining the set of 16 ribosomal proteins from Méheust et al. (Méheust et al., 2019) 698 and those contained in the collection of bacterial single-copy genes of Anvi'o (Murat Eren et al., 699 2015). Also, for the ribosomal proteins, we compared the outcome of our method to the one 700 proposed by Méheust et al. (Méheust et al., 2019) (Supp. Note 9).

### 701 Metagenomic sample selection for downstream analyses

For the subsequent ecological analyses, we selected those metagenomes with a number of
genes larger or equal to the first quartile of the distribution of all the metagenomic gene counts.
(Supp. Table 13).

### <sup>705</sup> Gene cluster abundance profiles in genomes and metagenomes

706 We estimated abundance profiles for the metagenomic cluster categories using the read 707 coverage to each predicted gene as a proxy for abundance. We calculated the coverage by 708 mapping the reads against the assembly contigs using the *bwa-mem* algorithm from BWA 709 mapper (H. Li & Durbin, 2010). Then, we used BEDTOOLS (Quinlan & Hall, 2010), to find the 710 intersection of the gene coordinates to the assemblies, and normalize the per-base coverage by 711 the length of the gene. We calculated the cluster abundance in a sample as the sum of the 712 cluster gene abundances in that sample, and the cluster category abundance in a sample as the 713 sum of the cluster abundances. We obtained the proportions of the different gene cluster 714 categories applying a total-sum-scaling normalization. For the genomic abundance profiles, we 715 used the number of genes in the genomes and normalized by the total gene counts per 716 genome.

#### 717 Rate of genomic and metagenomic gene clusters accumulation

We calculated the cumulative number of known and unknown GCs as a function of the number of metagenomes and genomes. For each metagenome count, we generated 1000 random sets, and we calculated the number of GCs and GCCs recovered. For this analysis, we used 1,246 HMP metagenomes and 358 marine metagenomes (242 from TARA and 116 from Malaspina). We repeated the same procedure for the genomic dataset. We removed the singletons from the metagenomic dataset with an abundance smaller than the mode abundance of the singletons

that got reclassified as good-quality clusters after integrating the GTDB data to minimize the
impact of potential spurious singletons. To complement those analyses, we evaluated the
coverage of our dataset by searching seven different state-of-the-art databases against our set
of metagenomic GC HMM profiles (Supp. Note 12).

728

#### 729 Occurrence of gene clusters in the environment

730 We used 1,264 metagenomes from the TARA Oceans, MALASPINA Expedition, OSD2014 and 731 HMP-I/II to explore the properties of the unknown CDS-space in the environment. We applied 732 the Levins Niche Breadth (NB) index (Levins, 1966) to investigate the GCs and GCCs 733 environmental distributions. We removed the GCs and cluster communities with a mean relative 734 abundance < 1e-5. We followed a divide-and-conquer strategy to avoid the computational 735 burden of generating the null-models to test the significance of the distributions owing to the 736 large number of metagenomes and GCs. First, we grouped similar samples based on the gene 737 cluster content using the Bray-Curtis dissimilarity(Bray, Roger Bray, & Curtis, 1957) in 738 combination with the Dynamic Tree Cut (Langfelder, Zhang, & Horvath, 2008) R package. We 739 created 100 random datasets picking up one random sample from each group. For each of the 740 100 random datasets, we created 100 random abundance matrices using the *nullmodel* function 741 of the *quasiswap* count method (Miklós & Podani, 2004). Then we calculated the observed NB 742 and obtained the 2.5% and 97.5% quantiles based on the randomized sets. We compared the 743 observed and guantile values for each gene cluster and defined it to have a Narrow distribution 744 when the observed was smaller than the 2.5% guantile and to have a Broad distribution when it 745 was larger than the 97.5% quantile. Otherwise, we classified the cluster as Non-significant 746 (Salazar et al., 2015). We used a majority voting approach to get a consensus distribution 747 classification based on the ten random datasets.

#### 748 Identification of prophages in genomic sequences

- 749 We used PhageBoost (https://github.com/ku-cbd/PhageBoost/) to find gene regions in the
- 750 microbial genomes that result in high viral signals against the overall genome signal. We set the
- following thresholds to consider a region prophage: minimum of 10 genes, maximum 5 gaps,
- single-gene probability threshold 0.9. We further smoothed the predictions using Parzen rolling
- vindows of 20 periods and looked at the smoothed probability distribution across the genome.
- We disregarded regions that had a summed smoothed probability less than 0.5, and those
- regions that did differ from the overall population of the genes in a genome by using Kruskal-
- 756 Wallis rank test (p-value 0.001).

#### 757 Lineage-specific gene clusters

- 758 We used the F1-score developed for AnnoTree (Mendler et al., 2019) to identify the lineage-
- specific GCs and to which rank they are specific. Following similar criteria to the ones used in
- 760 Mendler et al. (Mendler et al., 2019), we considered a gene cluster to be lineage-specific if it is
- present in less than half of all genomes and at least 2 with F1-score > 0.95.

#### 762 Phylogenetic conservation of gene clusters

- We calculated the phylogenetic conservation (TD) of each gene cluster using the *consenTRAIT*
- (Martiny et al., 2013) function implemented in the R package *castor* (Martiny et al., 2013). We
- values for lineage-specific used a paired Wilcoxon rank-sum test to compare the average TD values for lineage-specific
- and non-specific GCs.

### 767 Evaluation of the OM-RGC v2 uncharacterized fraction

- We integrated the 46,775,154 genes from the second version of the TARA Ocean Microbial
- 769 Reference Gene Catalog (OM-RGC v2) (Salazar et al., 2019) into our cluster database using

the same procedure as for the genomic data. We evaluated the uncharacterized fraction and the
genes classified into the eggNOG (Huerta-Cepas et al., 2019) category S within the context of
our database.

### 773 Augmenting RB-TnSeq experimental data

774 We searched the 37,684 genes of unknown function associated with mutant phenotypes from 775 Price et al. (Price et al., 2018) against our gene cluster profiles. We kept the hits with e-value  $\leq$ 776 1e-20 and a guery coverage > 60%. Then we filtered the results to keep the hits within 90% of 777 the Log(best-e-value), and we used a majority vote function to retrieve the consensus category 778 for each hit. Lastly, we selected the best-hits based on the smallest e-value and the largest 779 guery and target coverage values. We used the fitness values from the RB-TnSeg experiments 780 from Price et al. to identify genes of unknown function that are important for fitness under 781 certain experimental conditions.

#### 782 Availability of data and materials

- 783 The code used for the analyses in the manuscript is available at <u>https://github.com/functional-</u>
- 784 <u>dark-side/functional-dark-side.github.io/tree/master/scripts</u>. The code to recreate the figures is
- 785 available at <u>https://github.com/functional-dark-side/vanni\_et\_al-figures</u>. Detailed descriptions of
- the different methods and results of this manuscript are available at
- 787 <u>https://dark.metagenomics.eu</u>. The workflow AGNOSTOS is available at
- 788 <u>https://github.com/functional-dark-side/agnostos-wf</u>, and its database can be downloaded from
- 789 <u>https://doi.org/10.6084/m9.figshare.12459056</u>.
- 790
- 791

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#### 811 Contributions

- 812 CV, MSS and AF-G performed the analyses and wrote the computational workflow. MS assisted
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- 814 prophages in genomic sequences. PLB and AB provided feedback and assisted with the
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- 816 databases. CMD, PS and SGA provided the Malaspina metagenomes. TOD and AME analyzed
- 817 data in the context of metagenome-assembled genomes. AF-G conceived the study and
- 818 supervised the work. CV and AF-G wrote the manuscript. All authors read, edited and approved
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### 820 Competing Interests

821 The authors declare no competing interests.

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