

1 Title: Gene regulatory networks for compatible versus incompatible grafts identify a
2 role for SIWOX4 during junction formation

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23 Short title: Network-informed graft compatibility

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25

26 **Abstract**

27 Graft incompatibility is a poorly understood phenomenon that presents a serious
28 agricultural challenge. Unlike immediate incompatibility that results in rapid death,
29 delayed incompatibility can take months or even years to manifest, creating a
30 significant economic burden for perennial crop production. To gain insight into the
31 genetic mechanisms underlying this phenomenon, we developed a model system
32 with *Solanum lycopersicum* ‘tomato’ and *Capsicum annuum* ‘pepper’ heterografting,
33 which expresses signs of anatomical junction failure within the first week of grafting.
34 By generating a detailed timeline for junction formation we were able to pinpoint the
35 cellular basis for this delayed incompatibility. Furthermore, we infer gene regulatory
36 networks for compatible self-grafts versus incompatible heterografts based on these
37 key anatomical events, which predict core regulators for grafting. Finally, we delve
38 into the role of vascular development in graft formation and validate SIWOX4 as a
39 regulator for grafting in tomato. Notably, SIWOX4 is the first gene to be functionally
40 implicated in vegetable crop grafting.

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52 **Introduction**

53 Plants have robust systems for self-regeneration following wounding (Savatin et al.,
54 2014; Ikeuchi et al., 2019). Grafting is an ancient agricultural approach that relies on
55 this innate capacity of plants to undergo self-repair. Independent root and shoot
56 systems are surgically joined together, creating a dual plant system that expresses
57 superior traits on either half of the junction. This approach has been strategically
58 adopted in a wide range of species to boost crop productivity and resilience (Mudge
59 et al., 2009; Gaut et al., 2019). Successful grafts are dependent on the formation of
60 the graft junction, a dynamic anatomical connector that unites the rootstock and scion
61 together.

62 While survival has recently been equated with graft compatibility, the classic
63 definition for compatible combinations states that both non-vascular (cortex/pith,
64 epidermis) and vascular connections must be made between the scion and stock
65 (Proebsting, 1928). Within the Solanaceae, potato, tobacco, and eggplant are
66 routinely grafted with tomato for horticultural purposes (Lee and Oda, 2010; Dawson,
67 1942). Unlike other Solanaceous plants, *Capsicum* species (peppers) are only graft
68 compatible with other *Capsicum* species (Lee and Oda, 2010; Kawaguchi et al.,
69 2008), and tomato and pepper graft combinations have been described as "severely"
70 incompatible (Kawaguchi et al., 2008). The capacity for an incompatible graft to
71 survive for months, or even years in perennial crops, without forming a successful
72 vascular connection is referred to as delayed incompatibility (Argles, 1937). Stunted
73 root and shoot growth, the formation of suckers or adventitious roots, and large,
74 bulging graft junctions are all symptoms of delayed incompatibility (Eames and Cox,
75 1945; Zarrouk et al., 2006); Copes, 1980). Graft combinations with delayed
76 incompatibility eventually succumb to their mechanical weakness and break at the
77 graft junction, presenting severe challenges for commercial growers (Kawaguchi et
78 al., 2008).

79 Despite the long history and wide-spread use of grafting, only a small handful of
80 genes are directly implicated in junction formation. These genes are involved in cell
81 proliferation, vascular specification (Asahina et al., 2011; Melnyk et al., 2018;
82 Pitaksaringkarn et al., 2014; Matsuoka et al., 2018; Notaguchi et al., 2020).

83 Given the essential role of vascular reconnection during graft formation, genes
84 involved in the relatively well-characterized process of cambium-xylem maintenance
85 serve as promising developmental regulators of junction formation. Vascular
86 development in arabidopsis roots is regulated by a dynamic transcription factor
87 network coordinated with hormonal inputs. TRACHEARY ELEMENT
88 DIFFERENTIATION INHIBITORY FACTORS (TDIFs) are produced in the phloem
89 and bind to the PHLOEM INTERCALATED WITH XYLEM (PXY) cambial receptor
90 (Smit et al., 2020; Ito, 2006). Activated PXY is involved in the maintenance of cambial
91 cells by promoting WUSCHEL-RELATED HOMEODOMAIN 4 (WOX4) and WOX14
92 (Hirakawa et al., 2010; Etchells and Turner, 2010; Fisher and Turner, 2007; Han et
93 al., 2018; Etchells et al., 2013; Suer et al., 2011). Downstream of WOX14, there are
94 important cambial regulators such as KNOTTED-LIKE FROM ARABIDOPSIS
95 THALIANA (KNAT1) and LOB DOMAIN-CONTAINING PROTEIN 4 (LBD4) (Mele et
96 al., 2003). PXY also represses xylem differentiation factors such as VASCULAR-
97 RELATED NAC-DOMAIN 6 (VND6), VND7, and NAC SECONDARY WALL
98 THICKENING PROMOTING FACTORS (NSTs) via brassinosteroid signaling (Kubo
99 et al., 2005; Zhong et al., 2007; Mitsuda et al., 2007; Kondo et al., 2015; Turco et al.,
100 2019).

101 In line with the hypothesis that genes involved in xylem-cambial maintenance play a
102 role during junction formation, several core regulators for vascular genesis were
103 identified in recent graft transcriptome studies (Melnyk et al., 2018; Xie et al., 2019).
104 Moreover, these studies uncovered a subset of genes that were asymmetrically
105 expressed either in the scion or the rootstock during graft formation, which lead to an
106 as yet, untested hypothesis that asymmetric expression across the graft interface
107 drives junction formation (Melnyk et al., 2018; Xie et al., 2019).

108 In this study, we investigate the molecular mechanisms underlying compatible versus
109 incompatible grafts by connecting anatomical processes with predicted regulatory
110 interactions. Through anatomical, biophysical, and genetic characterization, we have
111 established tomato and pepper as a model system for studying graft incompatibility.
112 Only a few studies have employed regulatory networks to identify genes involved in
113 graft formation (Xie et al., 2019). In this study, we utilized Bayesian inference and
114 regression analyses to expand our understanding of species-specific genetic
115 responses which regulate the conserved process of junction formation ((Prill et al.,

116 2010; de Luis Balaguer and Sozzani, 2017; de Luis Balaguer et al., 2017; Clark et al.,
117 2019; Smet et al., 2019). We then identified orthologs of known genetic factors
118 involved in vascular development, which uncovered *SIWOX4* as a potential regulator
119 of graft compatibility. In line with this hypothesis, we show that *S/wox4* homografts fail
120 to form xylem bridges across the junction. These functional analyses demonstrate
121 that indeed, *SIWOX4* is essential for vascular reconnection during grafting, and may
122 function as an early indicator of graft failure.

123 **Results**

124 **Tomato and pepper exhibit delayed incompatibility**

125 To investigate the developmental regulation of graft compatibility, we developed a
126 genetically tractable heterografting system between *Solanum lycopersicum* var. M82
127 (tomato) and *Capsicum annuum* var. Big Dipper (pepper). In agreement with previous
128 work on tomato and pepper heterografting (Andrews and Marquez, 2010; Kawaguchi
129 et al., 2008), our self-grafted tomato and pepper plants exhibited 100% survival, while
130 hetero-grafted pepper:tomato (scion:stock notation) and tomato:pepper plants
131 showed significantly reduced viability (75% and 37%, respectively; p-value = 8.648e-
132 06; data collected 30 days after grafting; Figure 1M, Supplemental Figure 1A).
133 Furthermore, in contrast to the self-grafted species, the heterografted combinations
134 exhibited reduced foliage, asynchronous stem bulging, and the tomato:pepper grafts
135 displayed severely stunted roots compared to the self-grafts (Figure 1A-D). Fragility
136 and breakage along the junction point is another classic symptom of graft
137 incompatibility (Zarrouk et al., 2006). We performed a bend test to assess whether
138 the biophysical integrity of the pepper and tomato heterografted junctions was
139 significantly reduced (Supplemental Video 1). Only 6% of the self-grafted pepper
140 stems and 0% of the self-grafted tomato stems broke at the junction, while, the
141 majority of the heterografts broke at this position (75% of pepper:tomato stems and
142 100% of tomato:pepper) (p= 4.405e-07, Fisher's Exact Test) (Figure 1N,
143 Supplemental Figure 1B).

144 To identify the cause of graft failure and junction fragility in the heterografts, we
145 inspected the cellular and anatomical detail of the self- and heterografted junctions at
146 30 DAG (Figure 1E-L, Supplemental Figure 1C-F). Continuous xylem files span the

147 graft junction in the self-grafted tomato and pepper plants, indicating that nutrient and
148 water flow was restored between the scion and stock (Figure 1E, 1H). Our
149 anatomical imaging showed that these new xylem strands were formed toward the
150 periphery of the junction, creating a thickened xylem bridge (Figure 1E, 1H;
151 Mng'omba et al., 2007). Conversely, the heterografts showed an overproliferation of
152 disorganized metaxylem above and below the graft interface (Figure 1F-G, 1J-K).
153 These masses of disconnected xylem files are known as anastomoses and signify a
154 breakdown in the vascular continuity of the stem (Tiedemann, 1989). Despite fully
155 healed epidermal and cortical layers across the junction, all of the heterografted
156 samples failed to form vascular bridges (Figure 1F-G). This data supports a model
157 where heterografted tomato:pepper and pepper:tomato have delayed incompatibility
158 due to failed vascular reconnection.

159 **Differences between compatible versus incompatible graft anatomy form within** 160 **the first week of grafting**

161 The formation of functional vascular tissue is crucial for successful grafting. Our
162 heterografts exhibit severe disruptions in vascular strand reconnection. To identify
163 when these vascular phenotypes manifest, we constructed an anatomical timeline for
164 junction formation, comparing self-grafted tomato and pepper with heterografted
165 tomato:pepper and pepper:tomato junctions between 3-6 DAG (Figure 2). We
166 observed parenchymatous callus formation, especially along the stem periphery in all
167 graft combinations (Figure 2). Self-grafted tomatoes exhibited significant callus
168 production at 3 DAG (Figure 2A), and early differentiation of bulbous callus cells into
169 proxylem by 3-4 DAG (Figure 2B). We distinguished these transitioning callus-to-
170 protoxylem cells based on the combination of their isometric shape and characteristic
171 spiral cell wall thickenings (Figure 2A-B; Esau, 1965). The vasculature continued to
172 differentiate 5-6 DAG (Figure 2C-E), which led to elongated xylem strands that
173 connected across the graft junction by 6 DAG (Figure 2D-E).

174 In contrast to tomato self-grafts, self-grafted pepper stems showed significant water
175 loss during junction formation. This, in combination with a slower rate of callus
176 formation, increased the fragility of the pepper grafts. While pepper roughly followed
177 the same anatomical stages as tomato, it lagged behind by about 24 hours,
178 potentially due to the increased fragility of the junction. Accordingly, we identified

179 callus cells at 4 DAG (Figure 2G), bulbous callus-protoxylem cells at 5 DAG (Figure
180 2H), and early signs of vascular maturation by 6 DAG (Figure 2I-J). Much like self-
181 grafted tomato, we observed a considerable amount of callus production in
182 tomato:pepper and pepper:tomato heterografts along the tomato half of the junction
183 at 3 DAG (Figure 2K, 2P). Moreover, we identified protoxylem formation between 3-5
184 DAG in both heterografts, but again, this was only on the tomato side of the junction
185 (Figure 2M, 2P-S). Thus, while the tomato half of the heterografts exhibited
186 parenchymatous and vascular proliferation, pepper stems remained developmentally
187 stalled during the first 5 DAG, exhibiting no signs of protoxylem differentiation until 6
188 DAG (Figure 2N-O, 2S-T). Pepper and tomato self-grafts exhibit mild differences (24
189 hrs) in the temporal development of the junction; however, when heterografted,
190 pepper exhibits a strongly delayed wound response that leads to the discoordination
191 of vascular patterning across the junction. Unlike the self-grafted plants that formed
192 mature vascular connections by 6 DAG (Figure 2D-E, I-J), we did not observe any
193 xylem bridges across the heterograft interface, demonstrating that failed vascular
194 connectivity manifests early in the development of this incompatible combination.

195 **Molecular networks support distinct hub regulators for self-grafted tomato and** 196 **pepper**

197 To identify genetic regulators that are essential for proper vascular patterning in the
198 graft junction, we generated temporal gene regulatory networks (GRNs) for graft
199 formation in compatible self-grafts and incompatible heterografts. Using our
200 anatomical timeline, we selected informative sample points that are associated with
201 crucial steps during graft formation: graft adhesion (1 DAG), callus formation (3
202 DAG), and protoxylem differentiation (5 DAG) (Figure 2).

203 To generate this molecular timeline, we harvested junctions for RNA-sequencing
204 from self-grafted and heterografted tomato and pepper combinations at 1, 3, and 5
205 DAG. Using pairwise comparisons amongst all three timepoints, we identified 497,
206 530, and 536 differentially expressed genes (DEGs: FDR < 0.05) 1, 3, and 5 DAGs
207 (respectively) in the tomato:tomato self-grafts. (Supplemental Dataset 1). Next, we
208 applied a selection method using all graft-related GO-terms (372) based on our
209 observations from the anatomical timeline and published studies on grafting (Figure
210 2; Supplemental Dataset 2; Supplemental Figure 2; Melnyk et al., 2018; Xie et al.,

211 2019). To construct GRNs, we included DEGs that overlapped with the graft-related
212 GO-terms (Supplemental Dataset 2, Supplemental Figure 2), as well as all
213 differentially expressed transcription factors (TFs) (Supplemental Figure 3). We
214 identified 168 graft-related DEGs and 63 TFs that we used to perform network
215 inference and predict causal regulations with a Dynamic Bayesian Network (DBN)
216 algorithm (Spurney et al., 2020; de Luis Balaguer et al., 2017; de Luis Balaguer and
217 Sozzani, 2017). To ensure high accuracy, we inferred three networks for each time
218 point and combined the regulatory interactions, visualizing time point-specific, and
219 common regulations (Figure 3, Supplemental Dataset 3).

220 Within the tomato:tomato network, we identified three subnetworks or modules for
221 each of the time points, as well as a module common for two time points (3 and 5
222 DAG) (Figure 3A). The early time point module (1 DAG) contains 85 genes that are
223 predominantly regulated by two TFs: an ortholog to NAC104 (Solyc01g104900),
224 which is known to negatively regulate cell death during vascular formation, and an
225 ERF/AP2 protein PTO INTERACTING 5 (PTI5, Solyc02g077370) (Sari et al., 2019;
226 Gu et al., 2002; Gupta et al., 2020). Within this early temporal module, we predict that
227 NAC104 and PTI5 are controlling the expression of tomato orthologs for two
228 arabidopsis genes that are functionally implicated in grafting: RAP2.6L
229 (Solyc12g042210) and HCA2 (Solyc06g071480) (Figure 3C; Miyashima et al., 2019;
230 Asahina et al., 2011). In agreement with the anatomical observations at 3 DAG, when
231 callus cells start to form (Figure 2A), our network predicts two major hubs for cell
232 proliferation: LBD18 (Solyc01g091420) and THOM1 (Solyc01g090460). Notably,
233 LBD18 functions in callus specification and maintenance, and THOM1 marks
234 meristematic cells, both of which are crucial developmental processes during junction
235 formation (Ikeuchi et al., 2017; Meissner and Theres, 1995). Moreover, we infer that
236 THOM1 regulates an XYLOGLUCAN ENDOTRANSGLUCOSYLASE/HYDROLASE
237 (XTH) gene (Solyc07g052980) (Figure 3C). In Arabidopsis, XTH genes, including
238 XTH19 and XTH20, have been shown to function in the proliferation of the pith during
239 tissue regeneration (Pitaksaringkarn et al., 2014). Furthermore, our network infers an
240 additional hub-XTH interaction during the late time point module (5 DAG), where
241 ETHYLENE RESPONSE FACTOR (ERF4; Solyc01g090560), and JASMONATE
242 RESPONSIVE ERF (JRE4; Solyc01g090340) co-regulate a downstream XTH
243 (Solyc11g065600) (Nakayasu et al., 2018) (Figure 3C). Overall, this analysis

244 uncovers newly predicted regulators that control downstream genes with established
245 roles in tissue regeneration and junction formation.

246 Our anatomical timeline for self-grafted pepper predicts delayed development in
247 junction formation relative to self-grafted tomato. To investigate how molecular
248 networks for graft formation are shifted between these species, we constructed a
249 comparative GRN for pepper. We identified 1318, 683, and 540 DEGs at 1, 3, and 5
250 DAG, respectively for the self-grafted pepper dataset (Supplemental Dataset 4). We
251 selected graft-related DEGs and TFs following the same guidelines that we applied to
252 self-grafted tomato gene selection (Supplemental Figure 3, Supplemental Dataset 3;
253 Spurney et al., 2020; de Luis Balaguer et al., 2017). This network analysis included
254 105 TFs and 333 graft-related DEGs (Supplemental Figure 3, Figure 3B). Congruent
255 with our tomato:tomato network analysis, we identified time-specific modules within
256 the pepper:pepper network as well as TFs that are involved in regulating multiple
257 time points. Within the early time point module (1 DAG), we identify two ERF TFs
258 (CA01g01830, CA01g01880) as central regulators in the network (Figure 3B). This
259 contrasts with the tomato:tomato network, where ERFs play a key role at later stages
260 of junction formation (Figure 3A). Furthermore, we identify MYC2 (CA01g00280),
261 involved in jasmonate signaling, LBD18 (CA01g11210), NGAL1-like (CA01g00060),
262 and MYB86 (CA01g20220) as major regulators of junction formation 3 DAG
263 (Dombrecht et al., 2007; Soyano et al., 2008; Lee et al., 2009; Fan et al., 2012;
264 Patzlaff et al., 2003). Notably, MYB86, which has previously been associated with
265 lignification during xylem formation (Patzlaff et al., 2003), functions as a hub at both 3
266 and 5 DAG. Gene clusters that are downstream of MYB86 are associated with xylem
267 formation, including numerous peroxidase genes, NAC-related TFs, and
268 HOMEODOMAIN LEUCINE ZIPPER-14 (HD-ZIP 14) (Kajala et al., 2020; Marjamaa et
269 al., 2009). We predicted additional hubs 5 DAG, including LBD4 (CA02g00820) and
270 LBD25 (CA02g30000), which were recently implicated in the related process of
271 haustorium formation during plant parasitism (Jhu et al., 2021; Melnyk, 2017). Finally,
272 we identified multiple interactions where hub genes (LBD4, MYB86, NGAL1-like, and
273 two ERFs (CA01g01880 and CA01g01830)) converged to regulate two XTH genes:
274 XTH22 (CA07g00520) and XTH38 (CA11g08350) (Figure 3D). These hub-XTH
275 modules are similar to the multi-gene regulatory modules that we found in the self-

276 grafted tomato GRN (Figure 3C). Despite similarities in these downstream targets,
277 we uncover distinct hub genes between our self-grafted tomato and pepper GRNs.

278 Next, we compared the regulations of the pepper and tomato self-grafts to 1- align
279 the networks with our anatomical timeline, 2- identify the specific transcriptional
280 regulations involved in the differential progression of junction formation, and 3-
281 contrast gene networks for self-grafted pepper and tomato. To this end, we used a
282 Sankey diagram, which allows for the comparison and visualization of the number of
283 target genes across different samples, time points, and TF families (Figure 3E). In the
284 diagram, the species, TF family, TFs with at least one downstream target, and the ten
285 selected GO clusters related to grafting, are connected based on the number of their
286 downstream target genes. As expected, AP2/ERF TFs, which were prominent hubs
287 in both pepper and tomato GRNs, are uncovered as key regulators for self-grafting in
288 both species in the Sankey diagram (Figure 3E). Interestingly, the two hub AP2/ERFs
289 in pepper are predicted to play a key role solely at 1 DAG, while tomato ERFs are
290 major regulators at all time points (Figure 3E). Such differential identification of TF
291 families across the time points is also observed for bHLH, LBD, NAC, C3H, and HD-
292 ZIPs. The GO-clusters most closely associated with each time point include: cell
293 cycle, meristem/root development, defense response, and cell fate at 1 DAG,
294 transporter activity and hormone-related signaling pathways at 3 DAG, and cell wall
295 formation at 5 DAG (Figure 3F). Although these trends are similar for both species,
296 we observed a 15% increase in genes associated with callus formation and
297 wounding response (Cluster 6) in self-grafted pepper between 1 and 3 DAG, while
298 tomatoes have strong gene membership starting at 1 DAG (Figure 3E). Delayed
299 activation of cluster 6 in pepper provides further molecular support for our anatomical
300 timeline (Figure 2, Figure 3F).

301 The Sankey diagram indicates that the difference in the developmental timing of
302 junction formation between self-grafted pepper and tomato originates from the
303 delayed induction of key TF families, such as the LBD family, and/or the absence of
304 other key families at specific time points, for example, the AP2/ERF and NAC
305 families. Additionally, the regulation of DEGs associated with callus formation and
306 wounding response is delayed in the pepper self-grafts. Thus, our network analysis
307 informs us on the molecular underpinnings for the developmental delay in pepper
308 graft formation.

309 **Incompatible heterografts display severely perturbed genetic regulation**

310 As shown in the network analysis, tomato and pepper self-grafts utilize distinct
311 pathways to heal following grafting. Because of this, we hypothesized that the
312 inability of tomato and pepper heterografts to form vascular connections could be due
313 to misaligned genetic processes required for vascular differentiation across the
314 junction. To fully explore the disruptions of the genetic regulation between compatible
315 and incompatible grafts, we utilized multiple bioinformatic approaches.

316 First, to identify shared genetic components, we compared DEGs from self- and
317 heterograft plants, which uncovered 185 shared tomato genes and 401 shared
318 pepper genes (Supplemental Figure 4, Supplemental Dataset 5). To identify causal
319 relationships between TFs and downstream graft-related genes for these large
320 groups of heterografted DEGs, we applied a random forest regression tree approach
321 and generated a Sankey diagram (Supplemental Dataset 6, Supplemental Figure 3,
322 Supplemental Figure 5; Clark et al., 2019). Within this dataset, a considerable
323 number of orthologs for graft-related TFs from arabidopsis were identified in the
324 networks, but rarely in both reciprocal graft combinations, highlighting the fact that
325 the incompatible grafts are disrupted in genetically distinct ways (Supplemental
326 Dataset 6). To shed light on whether the orthologs of these known graft-related
327 genes have similar roles in both species, the expression of all tomato and pepper
328 orthologs for nine functionally characterized, grafting- and vasculature- related genes
329 from arabidopsis (VND6, VND7, WOX4, CVP2, PXY, HCA2, RAP2.6L, ALF4, and
330 ANAC071) were plotted from the self-grafted and heterografted datasets. Notably,
331 these plots show highly perturbed expression in the heterografts, compared to the
332 self-grafts (Figure 5C, Supplemental Figure 6; Asahina et al., 2011; Yamaguchi et al.,
333 2010; Melnyk et al., 2018; Sugimoto et al., 2010; Matsuoka et al., 2018;
334 Pitaksaringkarn et al., 2014; Ji et al., 2010; DiDonato et al., 2004; Smit et al., 2020).
335 To identify additional candidates with spatially or temporally dynamic expression
336 patterns in the heterografts, we used a modified Shannon entropy (MSE) analysis
337 that uncovered 34 transcription factors, nine of which were previously identified in
338 graft co-expression networks (Supplemental Figure 7-8, Supplemental Dataset 7; Xie
339 et al., 2019). We constructed gene regulatory networks using a subset of these TFs,
340 and identified downstream targets that have roles in graft formation, for example we

341 found a bHLH TF that regulates ANAC071 (Supplemental Figure 8C, Supplemental
342 Dataset 7; Asahina et al., 2011).

343 To investigate how regulatory interactions are altered during incompatible graft
344 formation, we overlaid the connectivity of the tomato:pepper and pepper:tomato
345 heterografts onto our previously constructed self-graft networks (Figure 3A-B, Figure
346 4, and Supplemental Figure 9). We found that many of the self-grafted hubs showed
347 dramatic changes in outdegree (i.e. - the number of outgoing edges from the hub) for
348 the heterograft networks (Figure 4). For example, LBD18, a callus-related gene that
349 acts as a central hub 3 DAG has high levels of connectivity within self-grafted tomato
350 (outdegree = 38; Figure 4A) and pepper (outdegree = 91; Figure 4D) (Supplemental
351 Figure 9). However, we found greatly reduced connectivity for SILBD18 in the
352 pepper:tomato graft (outdegree = 2; Figure 4B) and for CaLBD18 in the
353 tomato:pepper graft (outdegree = 2; Figure 4F) (Supplemental Figure 9). Additionally,
354 we predicted THOM1, a meristematic marker, as a major co-regulator of self-grafted
355 tomato 3 and 5 DAG (Figure 4A); however, in the heterografts its regulatory
356 connections have been shifted solely to 5 DAG (Figure 4B-C). This shift in THOM1
357 regulation is congruent with a model where delayed specification of the vascular
358 meristem (the cambium) is associated with disorganized vascular patterning and
359 delayed incompatibility in the heterografts (Figures 1-2).

360 **SIWOX4 is a new regulator for xylem reconnection during graft formation**

361 Our anatomical and molecular analyses demonstrate that graft incompatibility
362 between tomato and pepper is strongly associated with shifts in gene regulatory
363 interactions that lead to failed vascular reconnection. To understand the cause of
364 vascular disruption, we focused our analysis on tomato and pepper orthologs of
365 arabidopsis genes that are involved in specifying and maintaining vascular
366 development (Figure 5A-B). Many of these orthologs exhibited altered expression
367 dynamics between the compatible self-grafts and incompatible heterografts
368 (Supplemental Figure 10). Using a regression approach, we inferred the regulatory
369 interactions between these genes (Figure 5D). Notably, our network inference
370 predicts that VNDs and NSTs, which are both involved in xylem differentiation, are
371 regulated by WOX4 in both the self-grafts and heterografts (Figure 5C, Supplemental
372 Fig 10). We decided to examine SIWOX4 (Solyc04g078650) and CaWOX4

373 (CA04g18420) in more detail. While these WOX4 orthologs exhibit patterns of
374 gradually elevated expression in self-grafted plants, their expression becomes
375 disrupted and chaotic in the heterografts (Figure 5B-C). These results, in combination
376 with our observation that xylem files fail to form in the incompatible heterografts, led
377 us to the hypothesis that WOX4 may serve a crucial function during graft formation,
378 and disruption of this gene may lead to graft-incompatibility.

379 To test this hypothesis, we obtained a CRISPR-Cas9 knockout for *S/wox4*. Notably,
380 WOX4 is a critical player in procambial specification and exhibits scion-dominant
381 expression during graft junction formation in arabidopsis (Melnyk et al., 2018; Ji et al.,
382 2010; Etchells et al., 2013). We observed that the overall morphology and anatomy of
383 *S/wox4* was relatively similar to our wild type control, albeit the plants were slightly
384 smaller (Figure 6A-D). To test whether the disrupted expression pattern of cambium-
385 xylem maintenance genes seen with heterografts is associated with incompatibility,
386 we made self- and heterograft combinations between *S/wox4* mutants and wild type
387 controls and evaluated survival as well as anatomical connectivity within the junction.
388 We did not observe a statistically significant difference in the survival rate of *S/wox4*
389 mutant versus wild type grafts at 30 DAG (Supplemental Figure 11). However, while
390 viability was not impacted, we discovered that the self-grafted *S/wox4* junctions
391 exhibited failed xylem connectivity and thus are anatomically incompatible (Figure
392 6F). Similar to the pepper and tomato heterografts, *S/wox4* self-grafts developed over
393 proliferating and disorganized xylem masses on either side of the junction (Figure
394 6F). In contrast, when *S/wox4* is only on one side of the junction (i.e. in the
395 *S/wox4:WT* and *WT:S/wox4* heterografts), the grafts formed mature xylem
396 connections that spanned the junction and thus did not exhibit graft incompatibility
397 (Figure 6G-H). These results demonstrate that WOX4 is required in at least one half
398 of the graft junction to maintain the cambial cell population; however, it does not
399 matter which half. From these experiments, we conclude that WOX4 plays a crucial
400 role in xylem reconnection during junction formation.

401 **Discussion**

402 The formation of a compatible graft involves the distinct anatomical processes of both
403 non-vascular and vascular healing. While we found that both compatible and
404 incompatible grafts achieved non-vascular healing within one-week post-grafting, our

405 incompatible heterografts failed to form vascular reconnections, even when examined
406 as late as 30 days after grafting (Figures 1-2). However, these incompatible
407 heterografts can survive for several months post-grafting and thus exhibit delayed
408 incompatibility due to failed vascular coordination within the graft junction.

409 Despite the widespread applications of grafting for agricultural crop improvement,
410 only 7 genes have been directly implicated in graft formation; the majority of which
411 were discovered in arabidopsis (Notaguchi et al., 2020; Pitaksaringkarn et al., 2014;
412 Asahina et al., 2011; Melnyk et al., 2018, 2015). Transcriptomic characterization of
413 junction formation has helped elucidate both temporal and rootstock-scion specific
414 molecular patterns that are associated with graft formation (Melnyk et al., 2018,
415 2015; Notaguchi et al., 2020; Xie et al., 2019; Pitaksaringkarn et al., 2014; Asahina et
416 al., 2011). However, very few of these studies focus on horticulturally-relevant crops,
417 and none of these studies connect detailed anatomical events with causal network
418 analysis. By constructing our own anatomical timeline (Figure 2) and corresponding,
419 temporal transcriptomic dataset (Figure 3), we synthesize a molecularly informed
420 model for the developmental progression of junction formation (Figure 7). In this
421 model, we predict genetic hubs at 1 DAG that are associated with wound responses,
422 including defense-related genes (PTI5), programmed cell death (NAC104), and
423 ethylene signaling (ERFs). At later developmental stages, 3 and 5 DAG, we identify
424 hubs involved in callus production (LBD18), meristematic activity (THOM1, LBD4,
425 LBD25), and hormonal signaling (AP2/ERFs, MYC2, JRE4, ERF4). Despite genetic
426 diversity in these regulators between tomato and pepper, we show that our hub
427 genes converge on the regulation of functionally related targets that are essential for
428 grafting in arabidopsis (for example, the XTH regulatory modules; Figure 3 C-D).

429 Previous studies have focused on understanding cell-to-cell interactions in the graft
430 junction, with the aim of identifying graft-specific genetic factors that are independent
431 of wound responses (Melnyk et al., 2018; Xie et al., 2019). We designed our study to
432 investigate the involvement of wound-induced tissue regeneration during junction
433 formation, and thus this work inherently uncovers genetic hubs that were not
434 previously considered to play a role in grafting. These hubs have, however, been
435 implicated in the related process of haustorium formation in parasitic plants, and thus
436 provide molecular support for the connection between graft junctions and haustoria
437 (Melnyk et al., 2018; Xie et al., 2019; Jhu et al., 2021). The fact that we identify

438 diverse regulatory hubs between our species, supports a model in which grafting is
439 not controlled by a genetically conserved process that is evolutionarily programmed
440 into plant genomes. Rather, it is a human invention that draws on the innate capacity
441 for plant regeneration following wounding. In this light, it is logical that the specific
442 genetic regulators for grafting are diverse, while activation of core biological
443 processes related to wound response and regeneration is largely conserved across
444 species.

445 Because tomato/pepper heterografts fail to form coordinated xylem connections
446 across the graft junction, we looked for orthologs of transcription factors that are
447 involved in cambium-xylem maintenance in arabidopsis (Figure 5A-B). We were able
448 to identify numerous Solanaceae orthologs with disrupted expression patterns in the
449 incompatible heterografts relative to self-grafted tomato and pepper (Figure 5B). By
450 investigating the interconnectivity of these TFs, we identified WOX4 as a central
451 regulator for vascular regeneration during junction formation (Figure 5D). While this
452 role for WOX4 in grafting is logical, given its role in procambial maintenance, the
453 translation of known vascular networks into the identification of genes that are
454 essential for grafting has been challenging (Hirakawa et al., 2010; Etchells et al.,
455 2013; Ji et al., 2010). Our discovery provides a new tool for disrupting graft formation
456 at the crucial stage of xylem reconnection. Despite the apparent disruption of xylem
457 patterning in self-grafted *Slwox4* junctions, ungrafted *Slwox4* mutants form organized
458 vascular strands, which is likely the result of SIWOX4/SIWOX14 functional
459 redundancy, as was previously demonstrated in arabidopsis (Etchells et al., 2013).
460 Interestingly, we found that heterografted WT:*Slwox4* and *Slwox4*:WT plants form
461 mature xylem bridges across the junction, demonstrating that the requirement of
462 SIWOX4 expression is not directionally specific. This result is somewhat surprising
463 given previous work showing that WOX4 exhibits scion-dominant expression (Melnyk
464 et al., 2018). One possibility is that SIWOX4 is a mobile factor, much like the related
465 WOX family member WUSCHEL, and thus can be expressed on either side of the
466 junction, and still function in the scion (Yadav et al., 2011; Daum et al., 2014).

467 A long-standing question in the field of grafting, asks whether new vascular bridges
468 develop through the specification of cambium or differentiate directly from callus
469 (McCully, 1983, Roberts, 1949; Tiedemann, 1989; Crafts, 1934). Our discovery of
470 SIWOX4 as an essential regulator of junction formation, indicates that indeed,

471 cambial specification precedes vascular differentiation. The self-grafted *S/wox4*
472 mutants mimic incompatible graft formation, demonstrating an essential role for
473 cambial specification in graft compatibility. Future work delving deeper into cambial
474 patterning within the junction will help resolve how compatible grafts are determined.

475

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491

492 **Author contributions**

493 H.R.T., M.H.F., L.V.d.B., and R.S. conceived and designed the study. H.R.T. and
494 M.H.F. gathered experimental data. H.R.T. and L.V.d.B. analyzed the data. R.J.S.
495 analyzed the RNAseq data. L.V.d.B. performed network inference and MSE. H.R.T.,
496 M.H.F., L.V.d.B., R.J.S., and R.S. wrote the manuscript.

497

498 **Methods**

499 Plant Materials and Growth Conditions

500 To trigger germination, *Capsicum annuum* (pepper) and *Solanum lycopersicum*
501 (tomato) seeds were treated with 50% bleach for 30 seconds and then rinsed five
502 times with sterile dl-water. Tomato seeds were germinated on wet paper towels in
503 Phytotrays (Sigma-Aldrich) that were placed in the dark for 72 hours, transferred to
504 the light for 72 hours, and then transplanted into LM-111 soil. Pepper seeds were
505 immediately planted 1 cm deep into LM-111 soil. Tomato and pepper seedlings were
506 grown in climate controlled chambers set to 23 C with 16:8 day/night light cycles
507 (500-800 $\mu\text{mol}/\text{m}^2/\text{sec}$).

508 Plant growth conditions and grafting

509 *Capsicum annuum* (var. Big Dipper) seeds were grown as described above. Seven
510 days later *Solanum lycopersicum* (Var. M82) seeds were grown as described above.
511 Twenty-one day old pepper seedlings and 14 day old tomato seedlings, which have
512 the same diameter of stem, were joined with a slant or wedge graft on the internode
513 between the cotyledons and first leaf (Kubota et al., 2008). Grafts were performed in
514 each of the following combinations: tomato:tomato, pepper:pepper, tomato:pepper,
515 and pepper:tomato. Grafts were held together with 1.5 mm silicon-top grafting clips
516 (Johnny's Selected Seeds, Albion, ME, USA). Grafted plants were generously
517 watered, covered with plastic domes, and placed in the dark for 3 days. On day 4,
518 plants were returned to light (500-800 $\mu\text{mol}/\text{m}^2/\text{sec}$).

519 **Graft compatibility 30 DAG:** Fifty *Capsicum annuum* (var. Big Dipper) and 50
520 *Solanum lycopersicum* (Var. M82) seeds were grown as described above and slant
521 grafted (Kubota et al., 2008). Plastic domes were vented 7 DAG and removed 14
522 DAG. 16 biological replicates were collected 30 DAG. The junctions were hand-cut
523 longitudinally, and one half was stained with propidium iodide (PI), while the other
524 half was stained with Auramine O (details below). Additional images of propidium
525 iodide stained tissue found in Supplemental Figure 12.

526 **Anatomical timeline for graft junction formation:** One-hundred-eighty *Solanum*
527 *lycopersicum* (var. M82) and 180 *Capsicum annuum* (var. Big Dipper) were grown and
528 grafted as described above. Nine biological replicates were collected for each graft
529 combination, 3-6 days after grafting. Stems were fixed in Formalin-Alcohol-Aceitic
530 Acid (FAA), stained with PI, and cleared in methyl salicylate (as described below).
531 Additional images of propidium iodide stained tissue can be found in Supplemental
532 Figure 13.

533 CRISPR-Cas9 *Slwox4* targeting

534 CRISPR-Cas9 gRNA selection and cloning for targeting SIWOX4 was performed by
535 the Lippman lab, as described in previous publications (Kwon et al., 2020; Brooks et
536 al., 2014; Soyk et al., 2019). A binary vector containing two gRNAs targeting SIWOX4
537 (Solyc04g078650): CR-WOX4-gRNA1- TTGCAACCAAGTGTAAGTGA and CR-
538 WOX4-gRNA2- ATCAAAGGAGGAGTAACAA were introduced with *Agrobacterium*
539 *tumefaciens*-mediated transformation into an indeterminate (Sp+) tomato cultivar
540 M82 at the Boyce Thompson Institute Center for Plant Biotechnology Research (Van
541 Eck et al., 2019). First generation transgenic lines were transplanted and genotyped
542 with locus-specific primers (CR-WOX4-conf_F TGGGATCATCATCAGGAAGC and
543 CR-WOX4-conf_R TTAGGAGGGCTATTGCTACTTTCA) as described previously
544 (Soyk et al., 2019).

545 **Mutant grafting with *Slwox4*:** Indeterminate (Sp+) M82 was used for our wild type
546 control. Fifty *Slwox4* seedlings and 50 wild type seedlings were grown as described
547 above and slant grafted in the following combinations: *wox4:wox4*, wild-type:wild-
548 type, *wox4:wild-type*, and wild-type:*wox4*. Plastic domes were vented 7 DAG and
549 removed 14 DAG. Sixteen biological replicates were collected 30 DAG. Additional
550 images of PI stained tissue can be found in Supplemental Figure 14.

551 Staining and confocal imaging for graft junction anatomical analyses

552 **Tissue collection:** Graft junctions were harvested by cutting approximately 1 cm
553 above and below the cut site. Tissue was placed into tissue cassettes (Sakura
554 Finetek USA, Inc. 4117-01), and immediately transferred into ice-cold FAA (10%
555 Formaldehyde, 5% acetic acid, 50% ethyl-alcohol) fixative, and infiltrated under a
556 vacuum for 2-4 hours. The Issue was moved to fresh FAA and stored at 4C

557 overnight. The following day, tissue was moved through an ethanol dehydration
558 series, followed by a rehydration series.

559 **Propidium Iodide:** After fixing in FAA, and dehydrating and rehydrating tissue, the
560 samples were stained with 20 ug/ml propidium iodide for 1 hour and rinsed with
561 phosphate buffered saline. Tissue was then dehydrated again in the dark, and
562 gradually transferred into methyl salicylate clearing agent. Finally, the tissue was
563 cleared in 100% methyl salicylate at 4 C for 2 weeks. Fully cleared graft junctions
564 were imaged on a Zeiss LSM880 Confocal Microscope using an Argon Laser 514 nm
565 beam.

566 **Auramine O:** After fixing in FAA, and dehydrating and rehydrating, tissue was
567 stained with 0.01% Auramine O in 0.05M Tris-HCl pH 7.2 for 15 minutes. The tissue
568 was rinsed with water and immediately imaged on a Leica M205 fluorescent
569 dissecting microscope using an EL6000 Mercury Metal Halide light source.

570 Bend Test

571 Graft junction integrity was tested using manual bending. Each stem portion was held
572 1-2 cm away from the graft site. Even pressure was applied to bend the stem at a 45
573 degree angle. Stems that broke at the graft junction were marked as broken, stems
574 that did not break or broke at a different point of the stem were considered not
575 broken. All graft combinations: tomato:tomato (n=16), pepper:pepper (n=16),
576 tomato:pepper (n=3), and pepper:tomato (n=12) were tested.

577 Imaging

578 Grafted plants were imaged using a Samsung 12-megapixel wide-angle camera.
579 Seedlings were imaged using Panasonic LUMIX GX85 Mirrorless Camera with a 12-
580 32 mm lens.

581 Statistical analysis of grafted plants:

582 Statistical significance of survival and stem integrity was calculated using Fisher's
583 Exact Test. Pairwise comparisons were conducted using Fisher's Exact Test.
584 Significance was determined as $p < 0.05$.

585 Construction and Sequencing of RNA-seq libraries

586 Library construction: 50 *Solanum lycopersicum* (Var. M82) and 50 *Capsicum annuum*
587 (var. Big Dipper) seedlings were grown as described above. Seedlings were wedge
588 grafted. Graft junctions, consisting of 1 cm from the scion and 1 cm from the stock,
589 were harvested between 8-10 PM at 1-day, 3-days, and 5-days post-grafting.
590 Junctions were immediately flash frozen in liquid nitrogen, with 1 junction harvested
591 per biological replicate and 4 biological replicates per time point. RNA was extracted
592 using TRIzol reagent (Thermo Fisher Scientific, Waltham, MA USA). The purified RNA
593 was treated with DNaseI (Thermo Fisher Scientific, Waltham, MA USA), and
594 quantified and quality checked on a DeNovix DS-11 (DeNovix, Wilmington, DE)
595 spectrophotometer. RNA-seq libraries were constructed using 2.5 µg of total RNA per
596 sample. Briefly, mRNA sequencing libraries were constructed by isolating mRNA with
597 the NEBNext® Poly(A) mRNA Magnetic Isolation Module (New England Biolabs,
598 Ipswich, MA USA), followed directly by the NEBNext® Ultra™ Directional RNA
599 Library Prep Kit for Illumina® using NEBNext® Multiplex Oligos for Illumina®. Six
600 libraries were pooled per lane and run as a single-end sequencing run with 101
601 cycles on an Illumina HiSeq 2500 at the University of Delaware Sequencing and
602 Genotyping Center. All sequencing data are available on GEO at
603 <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE167482> (GSE167482, access
604 token ufahgmimlzgljyb)

605 Bioinformatic analyses

606 To analyze the time course RNAseq, reads of each sample were mapped against
607 both tomato and pepper reference genomes (Supplemental Dataset 8, Supplemental
608 Figure 15). Averaging across biological replicates and experimental time points,
609 92.59% and 82.38% reads of the tomato:tomato and pepper:pepper graft junctions
610 were mapped to the tomato and pepper reference genomes, respectively. Mapping
611 the heterografts resulted as expected in ~50% alignment and a small percentage of
612 reads mapped to the incorrect species. To increase the accuracy of the alignment of
613 the heterograft reads, we performed a concatenation of both reference genomes, in
614 essence, treating the heterografts as hybrids, which resulted in an 87.80% and
615 87.05% average alignment for the tomato:pepper and pepper:tomato heterografts,
616 respectively. To further explore variability, groupings, and outliers within the time
617 course datasets, we performed a principal component analysis (PCA) that clustered
618 the samples based on the similarity. The four biological replicates clustered close
619 together in PC's 1 and 2 of the PCA (Supplemental Figure 16). Moreover, in the PCA
620 built using the tomato gene set, the self-grafted samples clustered together
621 compared to the heterografts. In contrast, the PCA built with the pepper gene set
622 showed that the samples clustered according to the time of harvest on the second
623 principal component. Overall, the PCA verified that replicates from the same sample

624 have similar profiles and hinted toward differences between the pepper and tomato
625 response to grafting.

626 The TuxNet interface was used to perform gene expression analysis and infer GRNs
627 (Spurney et al., 2020). The following genomes were used when running TuxNet:
628 Pepper genome cvCM334 and Tomato genome *Solanum lycopersicum* cv Heinz
629 (gene version ITAG3.2) (Kim et al., 2014; Tomato Genome Consortium, 2012).
630 TuxNet specifically uses the following softwares: Preprocessing: ea-utils fastq-mcf
631 (Aronesty, 2013), Alignment: hisat2 (Kim et al., 2015), and Differential expression
632 analysis: Cufflinks (Trapnell et al., 2012).

633 TuxNet also includes an algorithm (TuxOP) for DEG selection using FC and FDR
634 values. Specifically, an FDR threshold of 0.05 and \log_2 FC threshold of 2 was used.
635 DEGs were assigned to a timepoint based on upregulation. For each dataset, up-
636 and down-regulated DEGs were selected from each pairwise comparison: 1 DAG vs.
637 3 DAG, 1 DAG vs. 5 DAG, and 3 DAG vs. 5 DAG, which captured all temporally
638 regulated DEGs (Supplemental Dataset 1,4,5). To infer a gene regulatory network
639 (GRN), differentially expressed genes (DEGs) associated with one of 372 manually
640 selected GO-terms (Supplemental Dataset 2) as well as all differentially expressed
641 TFs were identified for each of the samples (Supplemental Figure 3). 3951 tomato
642 genes and 4375 pepper genes in the entire tomato and pepper genome, respectively,
643 were associated with one of the 372 graft-related GO-terms (Supplemental Dataset
644 2, Supplemental Figure 3). To identify the GO-terms associated with each gene, the
645 Gene Ontology of tomato and pepper were downloaded from dicots PLAZA 4.0 (Van
646 Bel et al., 2018). For inferring GRNs for the self-grafts, a dynamic Bayesian network
647 (DBN)-based inference algorithm (GENIST) was used within the TuxNet interface
648 with a time lapse of 0 (de Luis Balaguer et al., 2017). Only putative TF-encoding
649 genes were considered as source nodes that could regulate the expression of other
650 DEGs. Specifically, for each time point for both self-grafts a network was generated
651 using the selected DEGs at that time point and the average expression values from
652 the entire time course. As such three networks were inferred for each self-graft.
653 Finally, we combined the 1, 3, 5 DAG networks by taking the union of the three
654 GENIST output files. The regulatory interactions between the same set of DEGs were
655 inferred within the heterograft networks by using the average expression values from
656 the entire time course of the heterograft for a dynamic Bayesian network approach.

657 Similarly, one inference was performed for each time point, after which the output of
658 the three inference rounds were unionized in Cytoscape. The networks from the self-
659 grafts and heterografts were compared in Cytoscape through the DyNet application
660 (Goenawan et al., 2016). Specifically, each heterograft network was compared with
661 the self-graft by mapping the variation of outdegree onto the node color.

662 For the heterograft samples, a random forest approach (RTP-STAR) within the
663 TuxNet interface was used for network inference (Spurney et al., 2020). Similar to the
664 self-grafts, separate networks were generated at each time point for both heterografts
665 by using the selected DEGs and the expression values of all the replicates. Ten
666 iterations were performed in total and the average expression values for the time
667 course were used to determine the sign of the predicted regulations. For each of the
668 two heterograft samples, six networks were generated: one for each time point and
669 species genome. We combined the 1, 3, 5 DAG networks by taking the union of the
670 three RTP-STAR output files. A total of four GRNs were generated, two for each
671 heterograft sample, one for the tomato genes and one for the pepper genes. TuxNet
672 is available at <https://github.com/rspurney/TuxNet> and video tutorials regarding
673 installation, analysis, and network inference are freely available at
674 <https://rspurney.github.io/TuxNet/>. All networks were visualized in Cytoscape® 3.8.0
675 (Shannon et al., 2003). Sankey diagrams were generated in R with the package
676 *networkD3* (Allaire et al., 2017; R Core Team, 2020). The heatmap for Figure 5 was
677 generated using TBtools (Chen et al., 2020), and supplemental heatmaps and plots
678 were generated in R using ggplot2 (Wickham, 2011). For the heterografts, we
679 visualized TFs that were shown to have a major regulatory role (i.e., TFs that
680 regulate more than 25 targets) and selected in total 59 TFs which accounted for
681 >60% of all inferred interactions. To find orthologs across species, we used uniprot
682 (UniProt Consortium, 2019), PANTHER (Mi et al., 2013), and generated custom
683 orthogroupings for *Capsicum annuum*, *Arabidopsis thaliana*, and *Solanum*
684 *lycopersicum* using the default settings for OrthoFinder (Emms and Kelly, 2015).

685 Prior to comparative analyses of gene expression values, including the PCA and
686 MSE analysis, between the self-graft aligned to their respective genomes and the
687 heterografts aligned to the concatenated genome, the FPKM values were normalized
688 against the self-graft 1 DAG replicate 1. The PCA was performed in R using the
689 *prcomp* function from the *stats* package. R-code used to perform the MSE is

690 available at <https://github.com/LisaVdB/MSE>. To perform the semantic clustering of
691 the 382 selected GO-terms, the R package *GOSemSim* was used to compute
692 semantic similarity (Yu, 2020; Yu et al., 2010). The computed similarity matrix was
693 clustered into 10 clusters (optimal number of clusters identified with elbow plot from
694 the within-clusters sum of squares) using k-means clustering.

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977 **Figure Legends**

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979 **Figure 1: Heterografted tomato and pepper plants show severe vascular**
980 **patterning defects, reduced viability, and biomechanical failure 30 days after-**
981 **grafting (DAG).** (A-D) Representative images of self-grafted tomato (A),
982 heterografted tomato:pepper (B), pepper:tomato (C), and self-grafted pepper (D)
983 plants taken 30 DAG. White arrows indicate graft junctions. High-resolution confocal
984 imaging of vascular anatomy for self-grafted tomato (E, I), heterografted
985 tomato:pepper (F, J) and pepper:tomato (G, K), and self-grafted pepper (H, L) plants
986 taken at 30 DAG. Tissues were stained with propidium iodide to visualize cell walls,
987 and cleared in methyl salicylate. White arrowheads point to xylem bridges.
988 Heterografts exhibited significantly reduced viability relative to self-grafted plants (M),
989 and higher breakage along the graft site during our bend test (N). “Yes” indicates a
990 failure to withstand the bend test, leading to breakage at the graft junction. “No”
991 indicates the stem could withstand the bend test or broke at a secondary location on
992 the stem. For M & N, *** = p-value < 0.001 (Fisher’s Exact Test, contingency tables
993 shown in supplemental figure 1). P:P = pepper:pepper graft, T:T = tomato:tomato
994 graft, P:T = pepper:tomato graft, T:P = tomato:pepper graft. In A-D scale bars = 2 cm,
995 E-H scale bars = 1 cm, I-L scale bars = 400 μ m.

996 **Figure 2: Tomato and pepper heterografts express graft incompatibility within**
997 **the first week post-grafting.** Anatomical timeline for self-grafted tomato (A-E) and
998 pepper (F-J), and heterografted tomato:pepper (K-O) and pepper:tomato (P-T)

999 collected 3-6 days after grafting shows delayed vascular progression and xylem
1000 discontinuity in heterograft combinations. Newly formed callus cells are marked with
1001 yellow arrowheads, newly formed protoxylem cells are marked with blue arrowheads,
1002 and xylem bridges are marked with red arrowheads. The tissue was stained with
1003 Propidium Iodide and cleared in methyl salicylate. Scales bars = 200 μ m.

1004 **Figure 3 - Time-specific modules and their major regulators identified in**
1005 **tomato:tomato and pepper:pepper self-graft gene regulatory networks.** (A-B)
1006 Causal relations were predicted with a dynamic Bayesian network approach between
1007 differentially expressed transcription factors and DEGs associated with Gene
1008 Ontology categories related to grafting for the (A) tomato:tomato self-graft and (B)
1009 pepper:pepper self-graft. Green, blue and red arrows represent regulations at 1 DAG,
1010 3 DAG, and 5 DAG, respectively. Yellow and grey nodes represent transcription
1011 factors and non-transcription factors, respectively. (C-D) Highlighted inferred
1012 interactions in the main text from the tomato:tomato (C) and pepper:pepper (D)
1013 networks. (E) Sankey diagram visualizing inferred gene regulatory interactions from
1014 the tomato:tomato and pepper:pepper networks. The width of the connections
1015 between each vertical block represents the number of genes (from left to right):
1016 contained within each graft combination network, within each TF family,
1017 downstream of the major hub, expressed at a specific time point, and that fall into a
1018 specific GO-cluster. All TFs that have an outdegree > 0 are included. (F) Percentage
1019 of the downstream target genes associated with each GO-cluster per time point. * =
1020 p-value < 0.05 (Fisher exact test).

1021 **Figure 4 - Altered and disrupted regulatory connections in the heterografts.** (A-
1022 C) The variation in outdegree for the pepper:tomato (B) and tomato:pepper (C)
1023 network compared to the tomato:tomato self-graft network (A) is shown. (D-E)
1024 Similarly, the variation in outdegree for the pepper:tomato (E) and tomato:pepper (F)
1025 network compared to the tomato:tomato self-graft network (D) is shown. Green, blue
1026 and red arrows represent regulations at 1 DAG, 3 DAG, and 5 DAG, respectively.
1027 Nodes are colored with different shades of red according to the absolute magnitude
1028 of their variation in outdegree compared to the self-graft. Yellow and grey bordered
1029 nodes represent transcription factors and non-transcription factors, respectively.

1030 **Figure 5 - Genes involved in cambium-xylem maintenance are disrupted in**
1031 **heterografted plants.** (A) Schematic overview of a network of transcription factors,
1032 with WOX4 and WOX14 as central TFs, that underlies the balance between cambial
1033 maintenance and xylem differentiation. (B) Scaled expression of the tomato and
1034 pepper orthologs of genes involved in cambium-xylem maintenance, depicted in A.
1035 (C) Expression pattern of SlWOX4 and CaWOX4 in self-grafted and heterografted
1036 plants. Bars show significant differential expression between time points (FDR < 0.05
1037 and \log_2 fold change > 1 or < -1). Blue and red bars signify significant differential
1038 expression between pepper and tomato time points, respectively. (D) Inferred
1039 regulatory interactions between the tomato orthologs of the genes depicted in A.
1040 Nodes are colored according to the magnitude of their variation in edge connections
1041 between the heterografts. Node size represents the number of outgoing interactions.
1042 WOX4 and its edges are highlighted in pink.

1043 **Figure 6 - Self-grafted *Slwox4* mutants fail to form xylem bridges, and thus**
1044 **exhibit graft-incompatibility.** Representative selection of wild type (A) and *Slwox4*
1045 (B) seedlings 3-weeks after imbibition. Representative cross-sections sampled at
1046 similar points along the stem: 1 cm above the graft junction, under the first leaf node
1047 of wild type (C) and *Slwox4* (D). Representative images of self-grafted wild type (WT)
1048 (E), self-grafted *Slwox4* (F), WT:*Slwox4* (G), and *Slwox4*:WT (H) 30-days after
1049 grafting. Xylem bridges are marked with white arrows. Tissues in C-H were stained
1050 with Propidium Iodide and cleared in methyl salicylate. A-B scale bars = 3 cm, C-D
1051 scale bars = 500 μ m, E-H scale bars = 200 μ m.

1052 **Figure 7 - Network hubs predict new and conserved regulators for anatomical**
1053 **reconnection during junction formation.** The anatomical timeline conserved
1054 throughout graftable plants includes initial adhesion, callus proliferation, scion-stock
1055 contact, non-vascular cell proliferation, vascular cell proliferation, and restored
1056 physiological transport through reconnected phloem and xylem strands (A). There
1057 are seven functionally characterized genes involved in graft junction formation in
1058 arabidopsis. We have identified 16 candidate genes for graft junction formation in
1059 tomato and pepper, many of which are described for the first time as graft-related,
1060 and one of which is the first functionally validated gene involved in vegetable crop
1061 graft formation (B). Despite the genetic diversity amongst arabidopsis, tomato, and
1062 pepper, all involved genes are associated with core anatomical steps along the graft

1063 junction timeline (C). The black boxes in B specify the processes captured in the
1064 anatomical timelines for tomato and pepper (Figure 2). Functionally validated genes
1065 involved in grafting are bolded.

1066

1067 **Supplemental Figure 1: Statistical contingency tables for graft survival and**
1068 **bend test and xylem visualization in graft junction** (Supports Figure 1). (A)
1069 Fisher's exact test for the portion of surviving grafts and (B) the portion of stems that
1070 broke at the graft junction during the bend test. The differences amongst graft groups
1071 was significantly different in both experiments. (C-F) Representative fluorescent
1072 images through hand sections of graft junctions showing xylem bridge formation of
1073 self-grafted tomato (C), heterografted tomato:pepper (D) pepper:tomato (E), and self-
1074 grafted pepper (F) harvested 30-days after grafting. Lignified cells were stained with
1075 Auramine O to xylem profiles. Dashed lines indicate the original graft site. C-F scale
1076 bars = 250 μ M.

1077 **Supplemental Figure 2 - Clustering of the 372 selected GO terms based on**
1078 **semantic similarity** (Supports Figure 3). A total of 10 GO clusters were identified
1079 that include different biological processes related to each other. The percent of
1080 overlapping gene membership across GO terms is visualized on a color scale from
1081 navy-to-light blue, representing 0-100% overlap.

1082 **Supplemental Figure 3 - Selection of differentially expressed genes (DEGs)**
1083 **associated with grafting for network inference** (Supports Figure 3). For each
1084 tissue and species, three sets of genes were compared with Venn diagrams: 1)
1085 DEGs from the RNAseq analysis, 2) all known transcription factors for tomato and
1086 pepper, and 3) genes related to the 372 selected GO-terms. The number of genes
1087 within the overlap between set 1 and 2 and the set 2 and 3 are listed in the left
1088 bottom corner of each tissue/species combination and are used for network
1089 inference.

1090 **Supplemental Figure 4 - Common differentially expressed genes (DEGs)**
1091 **between the self-grafts and heterografts** (Supports Figure 4). The 185 and 401
1092 overlapping between self-graft and heterografts in tomato and pepper correspond to
1093 80% and 92% of the total DEGs of the self-grafts and to 14% and 26% of the total

1094 DEGs of the heterografts, respectively. Genes from the heterograft datasets were
1095 selected based on the overlap between temporal DEGs and our selected GO
1096 categories.

1097 **Supplemental Figure 5 - Sankey diagram visualizing inferred gene regulatory**
1098 **interactions from the tomato:pepper networks** (Supports Figure 4). The width of
1099 the connections between each vertical block represents the number of genes (from
1100 left to right): contained within each graft combination network, within each TF
1101 family, downstream of the major hub, expressed at a specific time point, and that fall
1102 into a specific GO-cluster. All TFs that have an outdegree > 25 are included.

1103 **Supplemental Figure 6 - Graft-specific genes from arabidopsis are disrupted**
1104 **during tomato and pepper heterografting** (Supports Figure 4). Tomato and
1105 pepper orthologs of known genes required for grafting (ANC071, RAP2.6L, HCA2,
1106 ALF4), vascular cambium patterning (PXY), protophloem patterning (CVP2), and
1107 proto- and metaxylem patterning (VND6, VND7) shown over time in all graft
1108 combinations. Expression was normalized across all three genomes and scaled
1109 between 0 and 1. Bars show significant differential expression between time points
1110 (FDR < 0.05 and log₂ fold change > 1 or < -1). Blue and red bars signify significant
1111 differential expression between pepper and tomato time points, respectively.

1112 **Supplemental Figure 7 - Heatmap of MSE-selected genes** (Supports Figure 4).
1113 The heatmap shows the min/max rescaled FPKM values of the 2215 genes selected
1114 with modified Shannon entropy (MSE). These 2215 are further subdivided based on
1115 their expression pattern in eight groups (from left to right): genes that showed the
1116 same dynamical pattern across the self-grafts and heterografts (*All_SamePattern*),
1117 genes specifically induced in the heterograft samples (*Heterograft*), genes specifically
1118 induced in the self-grafts (*Homograft*), genes that showed an opposite dynamical
1119 pattern in the two heterografts (*OppositePattern_heterograft*), genes specifically
1120 induced in the scion of pepper (*Scion_specific_pep*), genes specifically induced in
1121 the scion of tomato (*Scion_specific_tom*), genes specifically induced in the stock of
1122 pepper (*Stock_specific_pep*), genes specifically induced in the stock of tomato
1123 (*Stock_specific_tom*).

1124 **Supplemental Figure 8 - Expression pattern of 37 selected TFs** (Supports Figure
1125 4). (A-B) The min/max rescaled expression values of 37 tomato (A) or pepper (B) TFs

1126 in common between the modified Shannon entropy (MSE) analysis and GRN
1127 inference is shown. These TFs belong to one of eight groups genes that showed the
1128 same dynamical pattern across the self-grafts and heterografts (*All_SamePattern*),
1129 genes that showed an opposite dynamical pattern in the two heterografts
1130 (*Opposite_heterograft*), and genes specifically induced in the heterograft samples
1131 (*Heterograft*), the self-grafts (*Homograft*), the scion (*Scion*), or the stock (*Stock*). (C)
1132 Transcriptional regulations of three major TFs extracted from the heterograft GRNs
1133 that regulate more than 25 downstream targets in the pepper:tomato and
1134 tomato:pepper networks, including the graft-related ANAC071.

1135 **Supplemental Figure 9 – Variation in outdegree in the self-grafts and**
1136 **heterografts** (Supports Figure 4). (A-B) The outdegree is plotted of TFs with an
1137 outdegree > 2 for the pepper (A) and tomato genes (B).

1138 **Supplemental Figure 10 - WOX4 regulates xylem differentiation genes, VND6/7**
1139 **and NST1/2, in self-grafts and heterografts** (Supports Figure 5). (A-C) The rewiring
1140 of 45 tomato genes in the tomato:tomato (A), tomato:pepper (B) and pepper:tomato
1141 (C) network is shown. (D-F) Similarly, the rewiring of 32 pepper genes in the
1142 pepper:pepper (D), tomato:pepper (E) and pepper:tomato (F) network is shown.
1143 Nodes are colored with different shades of red according to the magnitude of their
1144 variation in edge connections. The node and edges of WOX4 are highlighted with a
1145 blue border and bolded black arrows, respectively.

1146 **Supplemental Figure 11 - *Slwox4* mutant seedlings do not display decreased**
1147 **viability 30 DAG** (Supports Figure 6). The percentage of surviving plants 30 DAG.
1148 Fully wilted plants were considered dead. n=18, * = p-value < 0.05 (Fisher's Exact
1149 Test).

1150 **Supplemental Figure 12: Heterografted tomato and pepper plants show severe**
1151 **vascular patterning defects 30 days after-grafting (DAG)** (Supports Figure 1).
1152 Representative images of self-grafted tomato (A-C), self-grafted pepper (D-F),
1153 heterografted tomato:pepper (G), heterografted pepper:tomato (H) taken 30 DAG.
1154 Figure 12A, 12D, 12G, and 12H are seen at higher magnification in Figure 1A, 1D,
1155 1B, and 1C respectively. The tissue was stained with Propidium Iodide and cleared in
1156 methyl salicylate. Scales bars true across all images and = 800 μ m.

1157 **Supplemental Figure 13: Tomato and pepper heterografts express graft**
1158 **incompatibility within the first week post-grafting, continued** (Supports Figure
1159 2). (A-I) Anatomical timeline for self-grafted tomato. Self-grafted tomato at 3 DAG (A-
1160 B), 4 DAG (C-D), 5 DAG (E), and 6 DAG (F-I). (J-O) Anatomical timeline for self-
1161 grafted pepper. Self-grafted pepper at 4 DAG (J-K), 5 DAG (L-M), and 6 DAG (N-O).
1162 (P-Z) Anatomical timeline for heterografted pepper:tomato. Pepper:tomato at 3 DAG
1163 (P-S), 4 DAG (T-U), 5 DAG (V-X), and 6 DAG (Y-Z). (AA-AK) Anatomical timeline for
1164 heterografted tomato:pepper. Tomato:pepper at 3 DAG (AA-AB), 4 DAG (AC-AF), 5
1165 DAG (AG-AH), and 6 DAG (AI-AK). The tissue was stained with Propidium Iodide
1166 and cleared in methyl salicylate. Scales bars true across all images and = 800 μ m.

1167 **Supplemental Figure 14 - Self-grafted Slwox4 mutants fail to form xylem**
1168 **bridges, and thus exhibit graft-incompatibility, continued** (Supports Figure 6).
1169 Representative images of self-grafted wild type (WT) (A-C), self-grafted Slwox4 (D-
1170 F), WT:Slwox4 (G-I), and Slwox4:WT (J-L) 30-days after grafting. Figure 14A, 14E,
1171 14I, and 12K are seen at higher magnification in Figure 6E, 6F, 6G, and 6H
1172 respectively. The tissue was stained with Propidium Iodide and cleared in methyl
1173 salicylate. Scales bars true across all images and = 800 μ m.

1174 **Supplemental Figure 15 - Concatenated genome improves read alignment**
1175 **percentage for heterografted pepper and tomato** (Supports Figure 3). Each
1176 sample, two self-grafts and two heterografts were aligned to the pepper (cvCM334)
1177 and tomato (*Solanum lycopersicum* cv Heinz) reference genome. The two
1178 heterografts were also aligned to a reference genome that concatenates the pepper
1179 and tomato reference genome (referred to as concatenated genome). PP =
1180 pepper:pepper self-graft, TT = tomato:tomato self-graft, PT = pepper:tomato
1181 heterograft, TP = tomato:pepper heterograft.

1182 **Supplemental Figure 16 - Principal Component analysis (PCA) of the RNAseq**
1183 **samples** (Supports Figure 3). FPKM values of the tomato genes (A) and pepper
1184 genes (B) were used to perform a PCA analysis. In the PCA plot, each dot represents
1185 an RNAseq sample. The samples are plotted in two dimensions using their
1186 projections onto the first two principal components. PP = pepper:pepper self-graft, TT
1187 = tomato:tomato self-graft, PT = pepper:tomato heterograft, TP = tomato:pepper
1188 heterograft, PC = principal component.

1189 **Supplemental Videos 1: Bend test of self-grafted and heterografted stems 30-**
1190 **days after grafting** (Support Figure 1). Representative self-grafted tomato (A) and
1191 pepper (B), and heterografted tomato:pepper (C), pepper:tomato (D) plants that were
1192 bent from the rootstock and scion to test graft junction integrity.

1193

1194 **Author's Note**

1195 The author responsible for distribution of materials integral to the findings presented
1196 in this article in accordance with the policy described in the Instructions for Authors
1197 (www.plantcell.org) is: Margaret H. Frank (mhf47@cornell.edu).

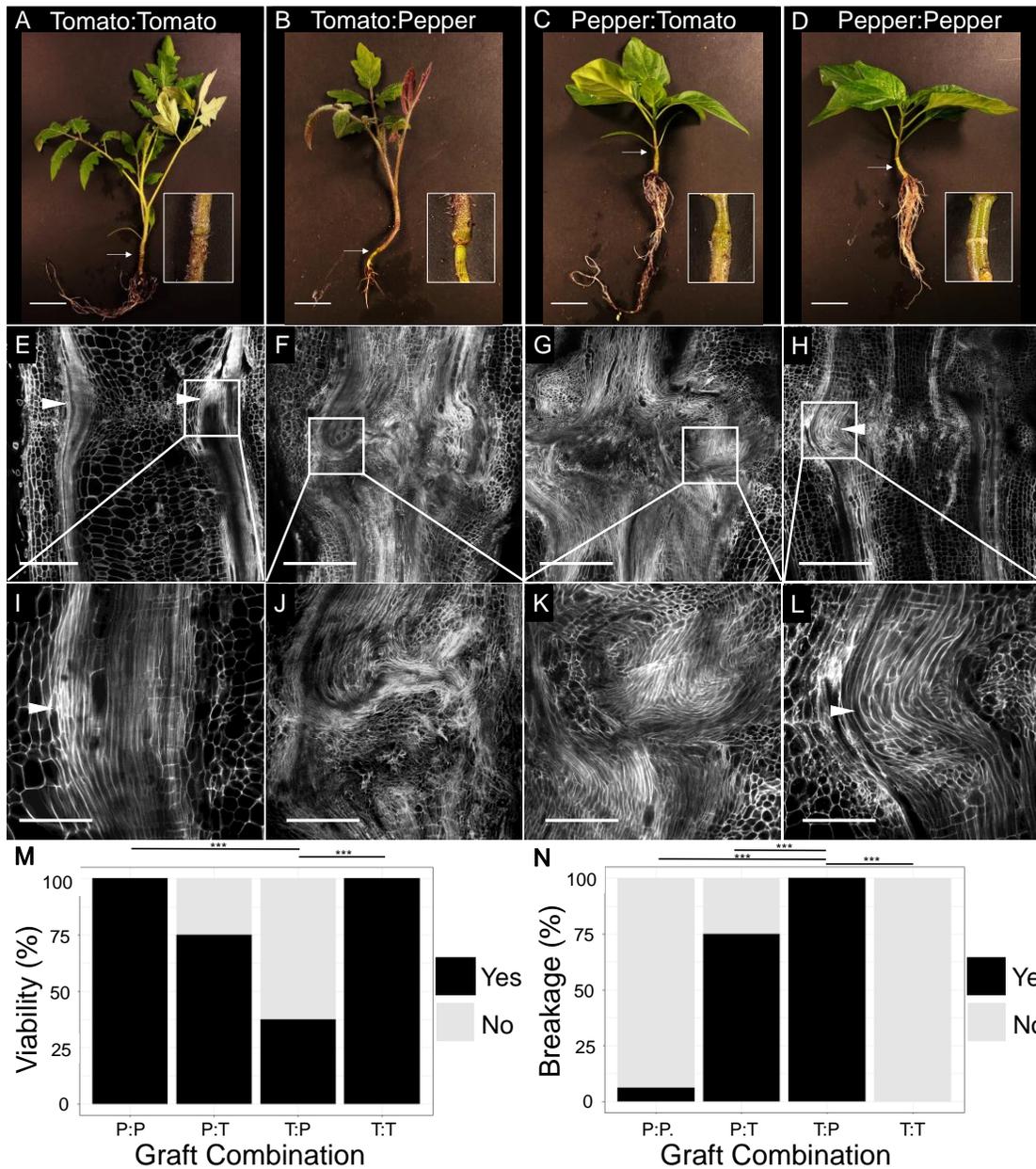


Figure 1: Heterografted tomato and pepper plants show severe vascular patterning defects, reduced viability, and biomechanical failure 30 days after-grafting (DAG). (A-D) Representative images of self-grafted tomato (A), heterografted tomato:pepper (B), pepper:tomato (C), and self-grafted pepper (D) plants taken 30 DAG. White arrows indicate graft junctions. High-resolution confocal imaging of vascular anatomy for self-grafted tomato (E, I), heterografted tomato:pepper (F, J) and

pepper:tomato (G, K), and self-grafted pepper (H, L) plants taken at 30 DAG. Tissues were stained with propidium iodide to visualize cell walls, and cleared in methyl salicylate. White arrowheads point to xylem bridges. Heterografts exhibited significantly reduced viability relative to self-grafted plants (M), and higher breakage along the graft site during our bend test (N). "Yes" indicates a failure to withstand the bend test, leading to breakage at the graft junction. "No" indicates the stem could withstand the bend test or broke at a secondary location on the stem. For M & N, *** = p-value < 0.001 (Fisher's Exact Test, contingency tables shown in supplemental figure 1). P:P = pepper:pepper graft, T:T = tomato:tomato graft, P:T = pepper:tomato graft, T:P = tomato:pepper graft. In A-D scale bars = 2 cm, E-H scale bars = 1 cm, I-L scale bars = 400 μ m.

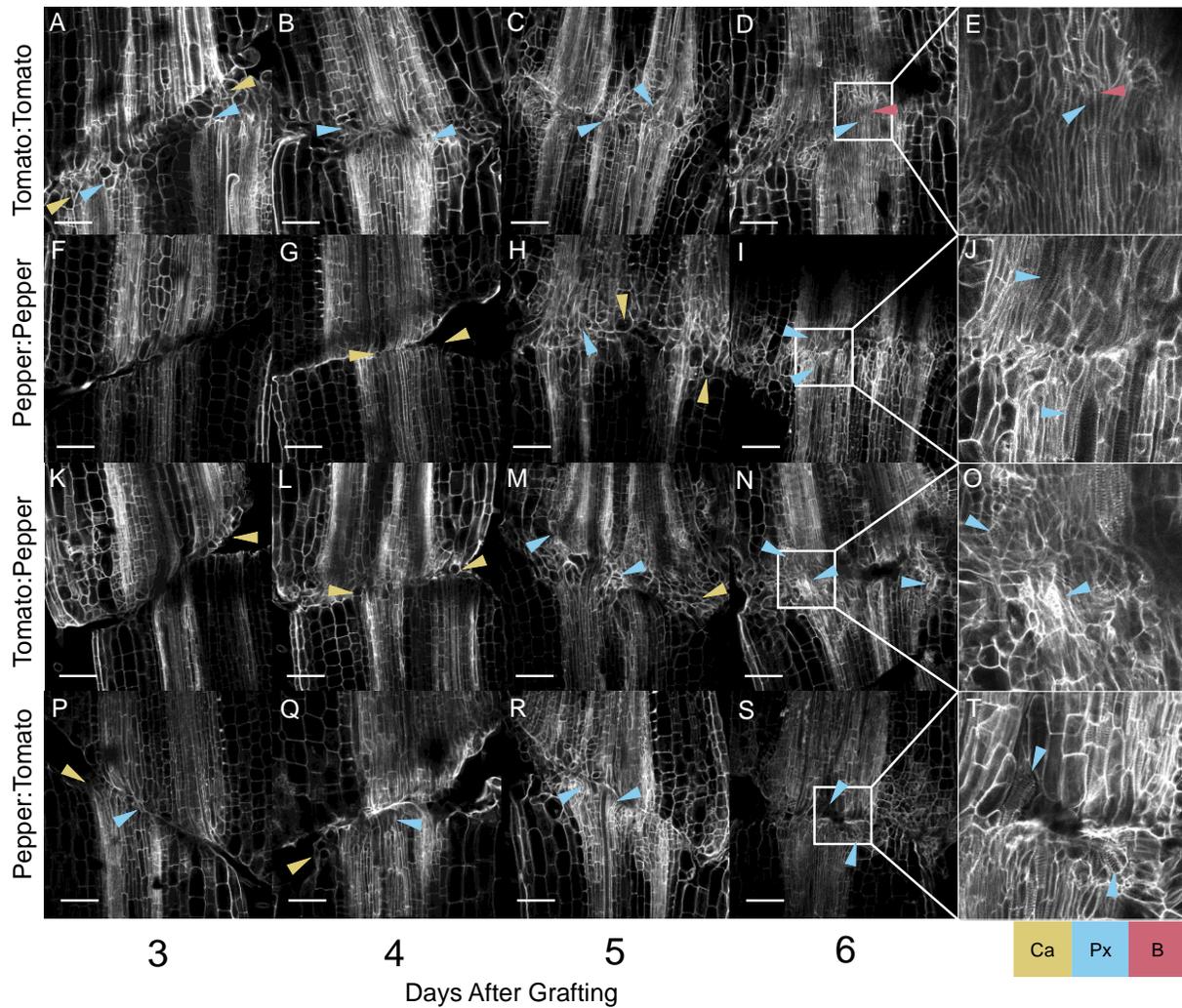


Figure 2: Tomato and pepper heterografts express graft incompatibility within the first week post-grafting. Anatomical timeline for self-grafted tomato (A-E) and pepper (F-J), and heterografted tomato:pepper (K-O) and pepper:tomato (P-T) collected 3-6 days after grafting shows delayed vascular progression and xylem discontinuity in heterograft combinations. Newly formed callus cells are marked with yellow arrowheads, newly formed protoxylem cells are marked with blue arrowheads, and xylem bridges are marked with red arrowheads. The tissue was stained with Propidium iodide and cleared in methyl salicylate. Scales bars = 200 μ m.

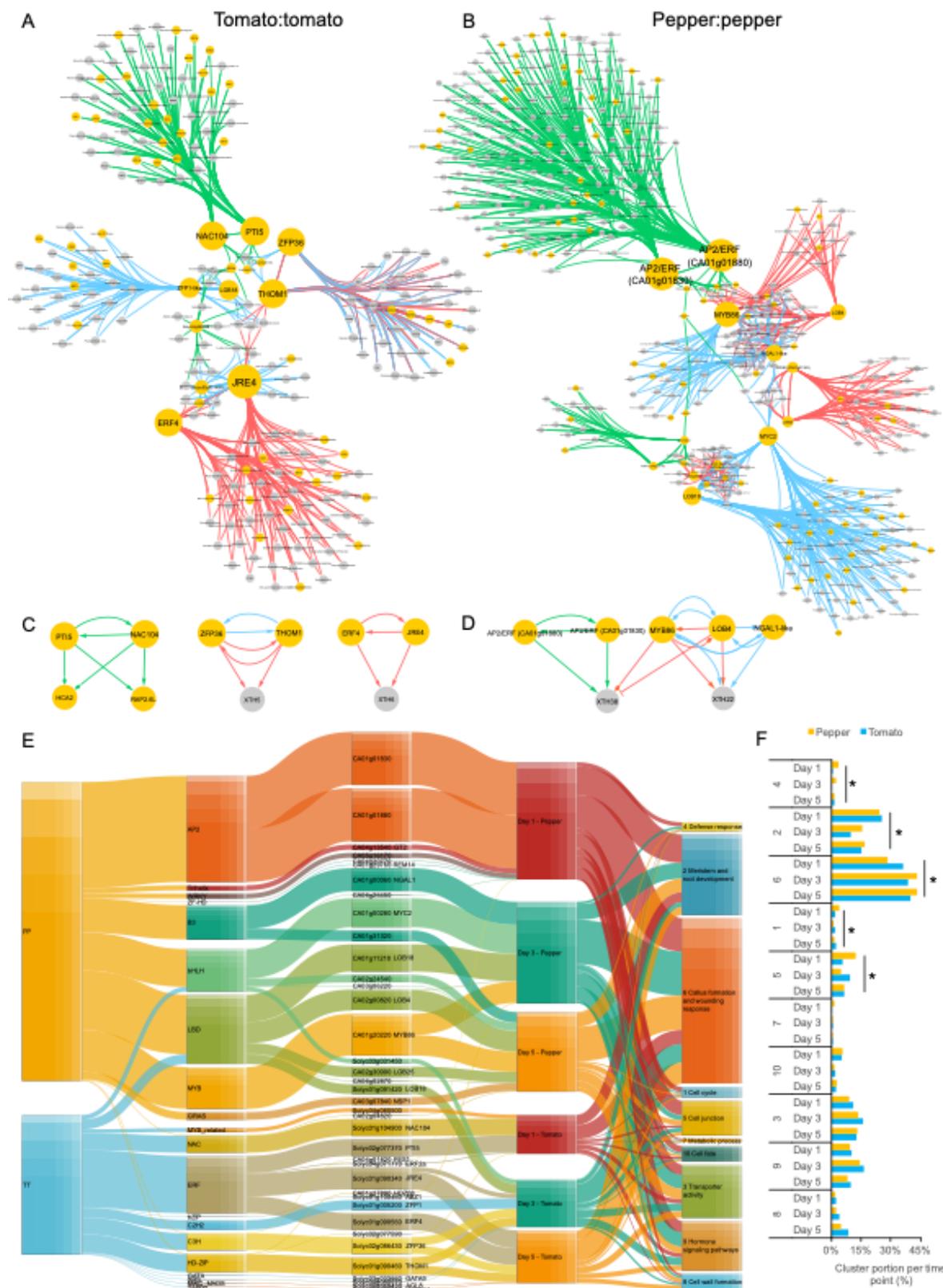


Figure 3 - Time-specific modules and their major regulators identified in tomato:tomato and pepper:pepper self-graft gene regulatory networks. (A-B) Causal relations were predicted with a dynamic Bayesian network approach between differentially expressed transcription factors and DEGs associated with Gene Ontology categories related to grafting for the (A) tomato:tomato self-graft and (B) pepper:pepper self-graft. Green, blue and red arrows represent regulations at 1 DAG, 3 DAG, and 5 DAG, respectively. Yellow and grey nodes represent transcription factors and non-transcription factors, respectively. (C-D) Highlighted inferred interactions in the main text from the tomato:tomato (C) and pepper:pepper (D) networks. (E) Sankey diagram visualizing inferred gene regulatory interactions from the tomato:tomato and pepper:pepper networks. The width of the connections between each vertical block represents the number of genes (from left to right): contained within each graft combination network, within each TF family, downstream of the major hub, expressed at a specific time point, and that fall into a specific GO-cluster. All TFs that have an outdegree > 0 are included. (F) Percentage of the downstream target genes associated with each GO-cluster per time point. * = p-value < 0.05 (Fisher exact test).

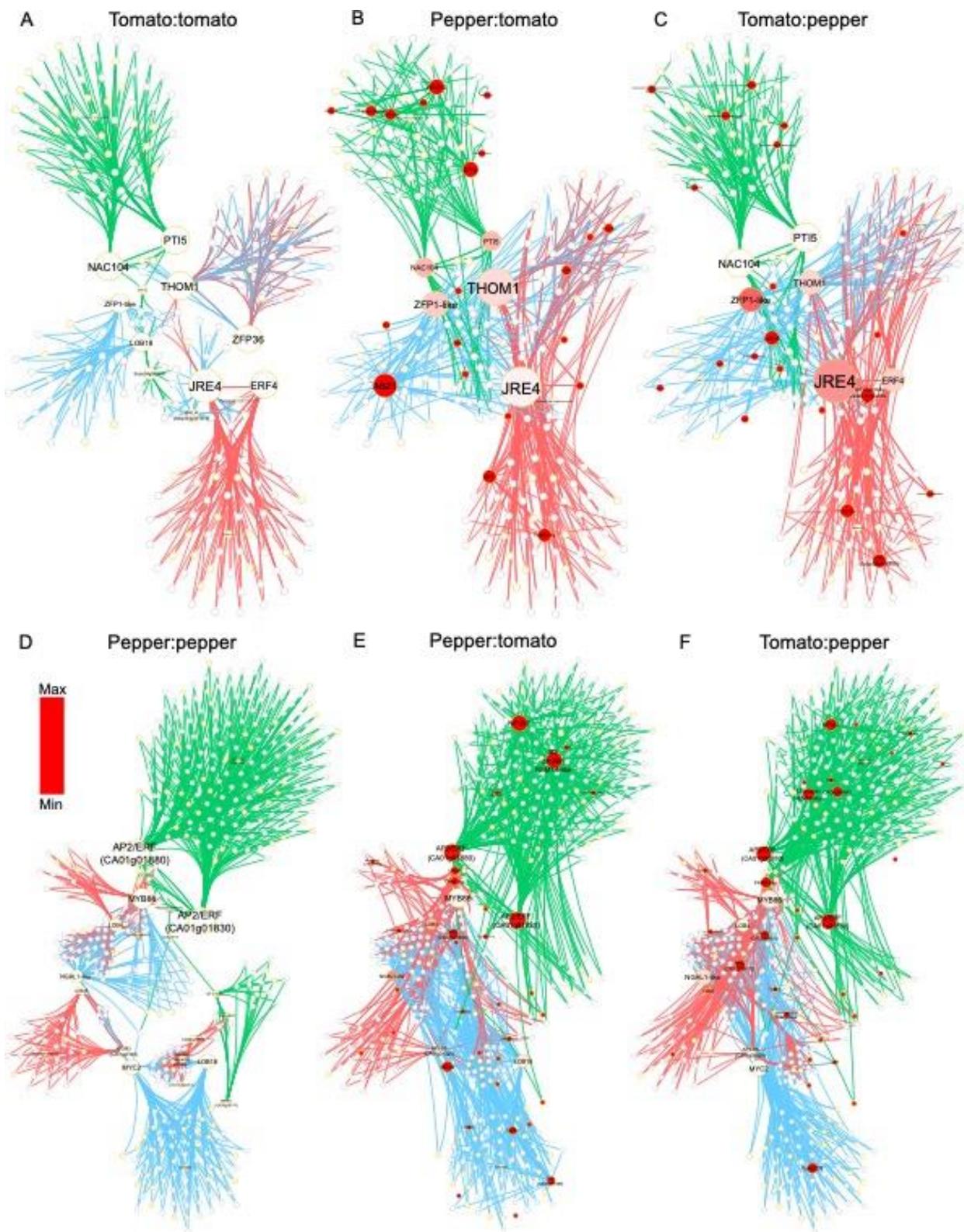


Figure 4 - Altered and disrupted regulatory connections in incompatible heterografts. (A-C) Changes in outdegree for the pepper:tomato (B) and tomato:pepper (C) networks compared to the self-grafted tomato network (A). (D-E) Similarly, changes in outdegree for the pepper:tomato (E) and tomato:pepper (F) networks compared to the self-grafted pepper network (D). Green, blue and red arrows represent regulations at 1 DAG, 3 DAG, and 5 DAG, respectively. Nodes are colored with shades from white-to-red, according to the absolute magnitude of their variation in outdegree compared to the self-graft. Yellow and grey bordered nodes represent transcription factors and non-transcription factors, respectively.

Figure 5 Genes involved in cambium-xylem maintenance are disrupted in heterografted plants. Schematic overview of core regulators for cambium-xylem specification (A). Scaled expression of tomato and pepper orthologs for the genes involved in cambium-xylem maintenance (B). Expression pattern of SIWOX4 and CaWOX4 in self-grafted and heterografted plants. Bars show significant differential expression between time points (FDR < 0.05 and \log_2 fold change > 1 or < -1). Blue and red bars signify significant differential expression between pepper and tomato time points, respectively (C). Inferred regulatory interactions based on self-grafted tomato expression data for the genes included in B (D). Nodes are colored according to the magnitude of their variation in edge connections between the heterografts. Node size represents the number of outgoing interactions. WOX4 and its edges are highlighted in pink.

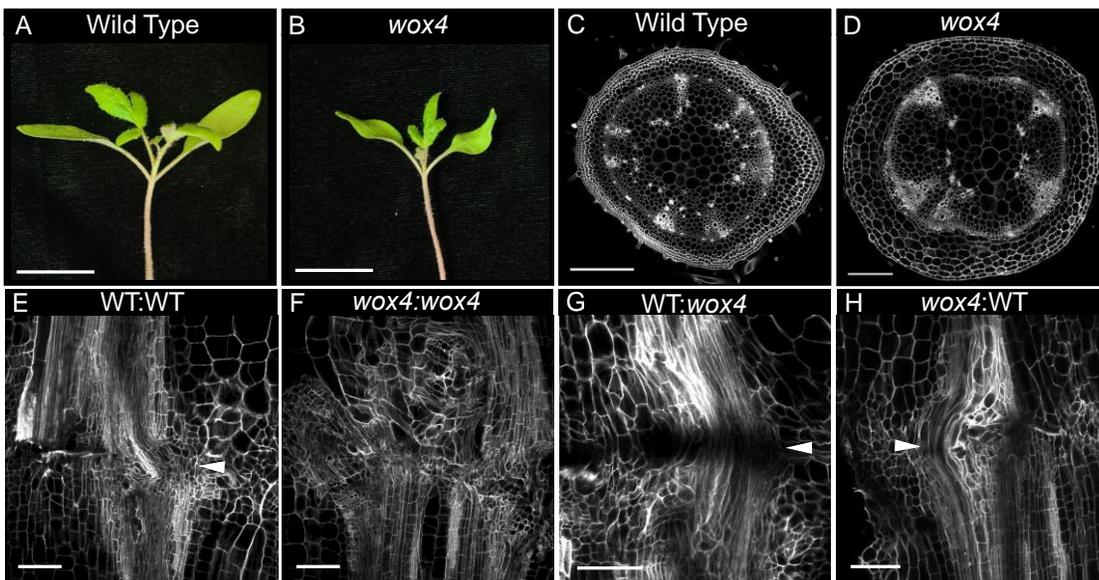


Figure 6 - Self-grafted *S/wox4* mutants fail to form xylem bridges, and thus exhibit graft-incompatibility. Representative selection of wild type (A) and *S/wox4* (B)

seedlings 3-weeks after imbibition. Representative cross-sections sampled at similar points along the stem: 1 cm above the graft junction, under the first leaf node of wild type (C) and *Slwox4* (D). Representative images of self-grafted wild type (WT) (E), self-grafted *Slwox4* (F), WT:*Slwox4* (G), and *Slwox4*:WT (H) 30-days after grafting. Xylem bridges are marked with white arrows. Tissues in C-H were stained with Propidium iodide and cleared in methyl salicylate. A-B scale bars = 3 cm, C-D scale bars = 500 um, E-H scale bars = 200 um.

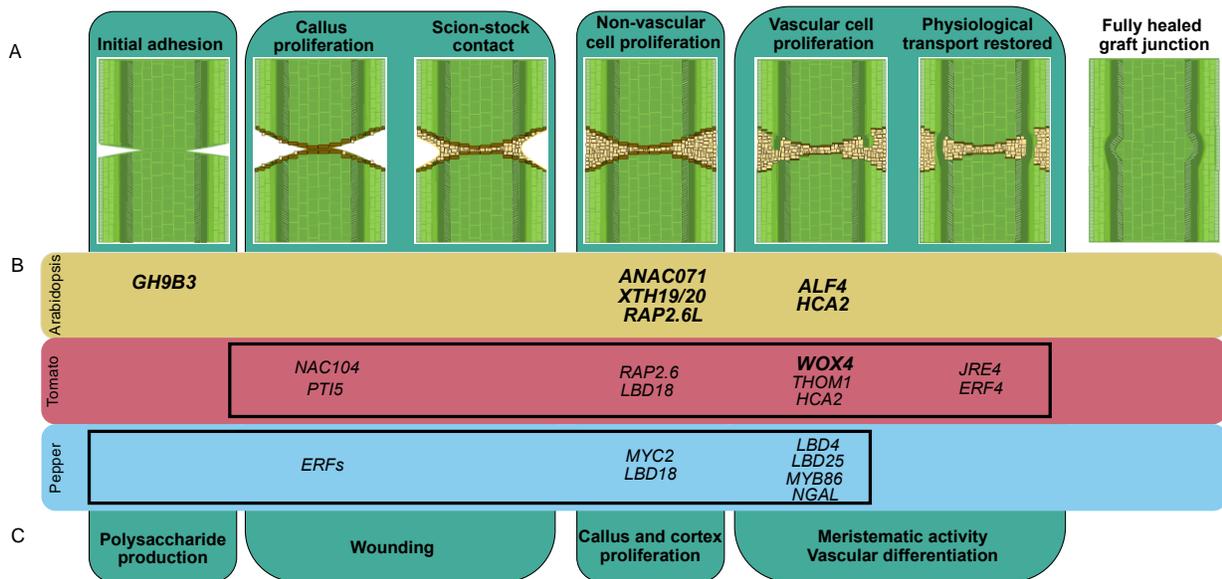


Figure 7 - Network hubs predict new and conserved regulators for anatomical reconnection during junction formation. The anatomical timeline conserved throughout graftable plants includes initial adhesion, callus proliferation, scion-stock contact, non-vascular cell proliferation, vascular cell proliferation, and restored physiological transport through reconnected phloem and xylem strands (A). There are seven functionally characterized genes involved in graft junction formation in arabidopsis. We have identified 16 candidate genes for graft junction formation in tomato and pepper, many of which are described for the first time as graft-related, and

one of which is the first functionally validated gene involved in vegetable crop graft formation (B). Despite the genetic diversity amongst arabidopsis, tomato, and pepper, all involved genes are associated with core anatomical steps along the graft junction timeline (C). The black boxes in B specify the processes captured in the anatomical timelines for tomato and pepper (Figure 2). Functionally validated genes involved in grafting are bolded.

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