

Protein Design and Variant Prediction Using Autoregressive Generative Models

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29 **Abstract**

30 The ability to design functional sequences and predict effects of variation is central to protein
31 engineering and biotherapeutics. State-of-art computational methods rely on models that
32 leverage evolutionary information but are inadequate for important applications where multiple
33 sequence alignments are not robust. Such applications include the prediction of variant effects of
34 indels, disordered proteins, and the design of proteins such as antibodies due to the highly
35 variable complementarity determining regions. We introduce a deep generative model adapted
36 from natural language processing for prediction and design of diverse functional sequences
37 without the need for alignments. The model performs state-of-art prediction of missense and
38 indel effects and we successfully design and test a diverse 10^5 -nanobody library that shows better
39 expression than a 1000-fold larger synthetic library. Our results demonstrate the power of the
40 ‘alignment-free’ autoregressive model in generalizing to regions of sequence space traditionally
41 considered beyond the reach of prediction and design.

42 **Introduction**

43 Over the past twenty years, success in protein engineering has emerged from two distinct
44 approaches, directed evolution^{1,2} and knowledge-based force-field modeling^{3,4}. Designing and
45 generating biomolecules with known function is now a major goal of biotechnology and
46 biomedicine, propelled by our ability to synthesize and sequence DNA at increasingly low costs.
47 However, since the space of possible protein sequences is so large (for a protein of length 100
48 this is 10^{130}), deep mutational scans⁵ and even very large libraries (e.g. $>10^{10}$ variants) barely
49 scratch the surface of the possibilities. As the vast majority of possible sequences will be non-
50 functional proteins, it is crucial to minimize or eliminate these sequences from libraries.
51 Therefore, the open challenge is to develop computational methods that can accelerate this
52 search and bias the search space for protein sequences that are likely to be functional. This will
53 enable design of libraries for tractable high-throughput experiments that are optimized for
54 functional sequences and variants that are distant in sequence.

55 Antibody design is a particularly challenging problem in the area of statistical modeling of
56 sequences for the purposes of prediction and design. Antibodies are valuable tools for molecular
57 biology and therapeutics because they can detect low concentrations of target antigens with high
58 sensitivity and specificity⁶. Single-domain antibodies, or nanobodies, are composed solely of the
59 variable domain of the canonical antibody heavy chain. The increasing demand for and success
60 with rapid and efficient discovery of novel nanobodies using phage and yeast display methods⁷⁻¹⁰
61 have spurred interest in the design of optimal starting libraries. Previous statistical and structural
62 modeling of antibody repertoires¹¹⁻¹⁸ have addressed the characterization of sequences of natural
63 antibodies or predicted higher affinity sequences from immunization or selection experiments.
64 One of the biggest challenges is to design libraries diverse enough to target many antigens but
65 also be well-expressed, stable, and non poly-reactive. In fact, a large, state-of-art synthetic
66 library contains a substantial fraction of non-functional proteins⁸ because library construction
67 methods lack higher-order sequence constraints. Eliminating these non-functional proteins
68 requires multiple rounds of selection and poses the single highest barrier to identifying high-
69 affinity antibodies. In order to circumvent these limitations, there has been emphasis on very
70 large libraries ($\sim 10^9$ - 10^{10}) to achieve these desired features^{19,20}.
71 Instead of experimentally producing unnecessarily massive, largely non-functional libraries, we
72 can design smart libraries of fit and diverse nanobodies for the development of highly specific

73 and possibly therapeutic nanobodies. One way to approach this is to leverage the information in
74 natural sequences to learn constraints on specific amino acids in individual positions in a way
75 that captures their dependency on amino acids in other positions. The sequences of these variants
76 contain rich information about what contributes to a stable, functional protein, and in recent
77 years generative models of these natural protein sequences have been powerful tools for the
78 prediction of the first 3D fold from sequences alone^{21,22}, to generally more 3D structures and
79 conformational plasticity^{23,24}, protein interactions²⁵⁻²⁸, and most recently, mutation effects²⁹⁻³⁴.
80 However, these state-of-art methods and established methods³⁵⁻³⁸ rely on sequence families and
81 alignments, and alignment-based methods are inherently unsuitable for the statistical description
82 of the variable length, hypermutated complementarity determining regions (CDRs) of antibody
83 sequences, which encode the diverse specific of binding to antigens. While antibody numbering
84 schemes such as IMGT provide consistent alignments of framework residues, alignments of the
85 CDRs rely on symmetrical deletions³⁹. Alignment-based models are also unreliable for low-
86 complexity or disordered proteins⁴⁰ and cannot handle variants that are insertions and deletions.
87 Indels make up 15-21% of human polymorphisms⁴¹⁻⁴³, 44% of human proteins contain
88 disordered regions longer than 30 amino acids^{40,44}, and both are enriched in association with
89 human diseases such as cystic fibrosis, many cancers^{45,46}, cardiovascular and neurodegenerative
90 diseases, and diabetes^{47,48}.
91 By contrast, the deep models that have transformed our ability to generate realistic speech such
92 as text-to-speech^{49,50} and translation^{51,52} use generative models that do not require “word
93 alignment”, e.g., between equisemantic sentences, but instead employ an autoregressive
94 likelihood to tackle context-dependent language prediction and generation. Using this process, an
95 audio clip is decomposed into discrete time steps, a sentence into words, and a protein sequence
96 into amino acid residues. Models that decompose high-dimensional data into a series of steps
97 predicted sequentially are termed autoregressive models, and they are well suited to variable-
98 length data that have not been forced into a defined structure such as a multiple-sequence
99 alignment. Autoregressive generative models are uniquely suited for modeling and designing the
100 complex, highly diverse CDRs of antibodies. Here, we develop and apply a new autoregressive
101 generative model that aims to capture key statistical properties of sets of sequences of variable
102 lengths.

103 We first test our method on the problem of prediction of mutation effects, which are typically
104 analyzed using alignment based statistical methods. The new method performs on par with the
105 DeepSequence machine-learning VAE-based method³⁰, which does require aligned sequences
106 and which in an independent evaluation, testing against experimental data, was reported to
107 outperform all currently available methods³⁴. In addition to this state-of-the-art performance, our
108 new alignment-free method is inherently more general. It can deal with a much larger class of
109 sequences and take into account variable length effects. Another recently developed method⁵³
110 does aim to quantify the of mutation effects without the need for alignments. However, 80% of
111 the mutational data labelled with experimental outcomes from the same experiments it is tested
112 on as well as fine-tuning with specific families as input. Previous neural language models⁵⁴⁻⁵⁶ are
113 so far not suitable for mutation effect prediction for sequences without extensive experimental
114 data or sequences with high variability, such as the complementarity-determining regions
115 (CDRs) of antibody variable domains. By contrast, a fully unsupervised, alignment-free
116 generative model of functional sequences is therefore desirable for the design of efficient
117 nanobody libraries.

118 We then trained our validated statistical method on naïve nanobody repertoires⁵⁷ as naïve
119 antibody repertoires have been shown to have functional sequences with capacity to target
120 diverse antigens⁵⁸ and used it to generate probable sequences. In this manner we designed a
121 sequence library that is 1000-fold smaller than state-of-art synthetic libraries but has an almost
122 two-fold higher expression level, from which we identified a candidate binder for affinity
123 maturation. A well designed library can also be used in continuously evolving systems⁵⁹ to
124 combine the hypermutation and affinity maturation processes of living organisms in a single
125 experiment. Smart library design opens doors to more efficient search methods of nanobody
126 sequence space for rapid discovery of stable and functional nanobodies.

127 **Results**

128 **An autoregressive generative model of biological sequences**

129 Protein sequences observed in organisms today result from mutation and selection for functional,
130 folded proteins over time scales of a few days to a billion years. Generative models can be used
131 to parameterize this view of evolution. Namely, they express the probability that a sequence \mathbf{x}
132 would be generated by evolution as $p(\mathbf{x}|\boldsymbol{\theta})$, where parameters $\boldsymbol{\theta}$ capture the constraints essential

133 to functional sequences. An autoregressive model is one that makes a prediction in a time series
134 (or sequence) using the previous observations. In our context, this means predicting the amino
135 acid in a sequence using all of the amino acids that come before it. With the autoregressive
136 model, the probability distribution $p(\mathbf{x}|\boldsymbol{\theta})$ can be decomposed into the product of conditional
137 probabilities on previous characters along a sequence of length L (**Supplementary Fig. 1**) via an
138 autoregressive likelihood:

$$139 \quad p(\mathbf{x}|\boldsymbol{\theta}) = p(x_1|\boldsymbol{\theta}) \prod_{i=2}^L p(x_i|x_1, \dots, x_{i-1}; \boldsymbol{\theta})$$

140 Many different neural network architectures can model an autoregressive likelihood, including
141 attention-based models⁶⁰ and recurrent neural networks⁶¹. However, we encountered exploding
142 gradients⁶² during training on long sequence families with LSTM⁶³ or GRU⁶⁴ architectures.
143 Instead, we parameterize this process with dilated convolutional neural networks
144 (**Supplementary Fig. 1**), which are feed-forward deep neural networks that aggregate long-
145 range dependencies in sequences over an exponentially large receptive field⁶⁵⁻⁶⁷ (See Methods).
146 The model is tasked with predicting an amino acid at some position in the sequence given all the
147 previous amino acids in the sequence, i.e. forward language modeling. The causal structure of
148 the model allows for efficient training to a set of sequences, inference of mutation effects, and
149 sampling of new sequences. By learning these sequential constraints, the model can be directly
150 applied to generating novel, fit proteins, one residue at a time. The autoregressive nature of this
151 model obviates the need for a structural alignment and opens doors for application to modeling
152 and design of previously challenging sequences such as non-coding regions, antibodies, and
153 disordered proteins.

154 **The autoregressive model predicts experimental phenotype effects from sequences**

155 In order to gain confidence in the new model for generating designed sequences, we first tested
156 the ability of our new model to capture the dependencies between positions by testing the
157 accuracy of mutation effect prediction. Somewhat surprisingly, unsupervised, generative models
158 trained only on evolutionary sequences are proving the most accurate for predicting the effect of
159 mutations when compared to large datasets of experimentally measured mutation effects^{30, 34}, and
160 they avoid the risk of overfitting that can occur as a result of circularity in supervised methods⁶⁸.
161 We compared the accuracy of this new, non-alignment-based model to state-of-art methods for a

162 benchmark set of 40 deep mutational scans across 33 different proteins, totaling 690,257
163 individual sequences (**Supplementary Table 1**).

164 The autoregressive model was first fit to each family of protein sequences and then we used the
165 log-ratio of likelihoods of individual sequences to predict mutation effects:

$$166 \log \frac{p(\mathbf{x}^{Mutant} | \boldsymbol{\theta})}{p(\mathbf{x}^{Wild-type} | \boldsymbol{\theta})}$$

167 which estimates the plausibility of mutant sequence \mathbf{x}^{Mutant} relative to its wild-type, un-mutated
168 counterpart, $\mathbf{x}^{Wild-type}$. This log-ratio has been shown to be predictive of mutation effects^{29, 30}.

169 Importantly, this approach is fully unsupervised: rather than learning from experimental mutation
170 effects, we can learn evolutionary constraints using only the space of natural sequences. We
171 benchmark the model predictions against the deep mutational scan experiments and compare the
172 Spearman's rank correlation to state-of-art models trained on alignments of the same sequences.

173 The autoregressive model is able to consistently match or outperform a model with only site-
174 independent terms (30/40 datasets) and the EVmutation model²⁹ that includes dependencies
175 between pairs of sites (30/40 datasets); it performs on par with the state-of-the-art results of
176 DeepSequence³⁰ (19/40 datasets, average difference in rank correlation is only 0.09); and it
177 outperforms the supervised Envision model³¹ for 6/9 of the datasets tested (**Fig. 2a**;

178 **Supplementary Figs. 2, 3**). Previously published benchmarks²⁹ demonstrate the higher
179 accuracy of the probabilistic models, EVmutation compared to SIFT and PolyPhen, and recent
180 work demonstrates that DeepSequence outperforms all currently available methods when
181 measured against experimental mutation scans³⁴. These benchmarks, taken together with our
182 previous benchmarks²⁹ and evidence from independent assessments³⁴, show that our
183 autoregressive model outperforms all methods including supervised and performs on par with
184 our own state-of-art alignment-based method³⁰ for single mutation effect prediction, providing us
185 with the confidence to use the model for sequence design.

186 As with previous models that use evolutionary sequences, the accuracy of mutation effect
187 prediction increases with increasing numbers of non-redundant sequences, as long as there is
188 coverage of the length, tested here across eight of the protein families for four sequence depths
189 (**Supplementary Fig. 4, Supplementary Table 2**). Interestingly, the accuracy of effect
190 predictions against the aliphatic amidase mutation scan are remarkably robust even with a low

191 number of training sequences—123 non-redundant sequences provide the same accuracy as
192 36,000—suggesting that there is more to learn about the relationship between evolutionary
193 sampling and model learning. For now, we advise a conservative M_{eff}/L (number of effective
194 sequences normalized by length) requirement of 5 in order to sample enough diversity.

195 Because the autoregressive model is not dependent on alignments, we can now learn mappings
196 of sequences of high variability and diverse lengths for which meaningful alignments are
197 difficult or non-sensical to construct, such as antibody and nanobody sequences. The
198 autoregressive model was thus also validated on nanobody thermostability measurements to test
199 whether we could learn the sequence constraints of fit nanobodies, including the highly variable
200 regions. To do so, we fit the autoregressive model to a set of ~1.2 million natural llama
201 nanobody sequences⁵⁷. Sequence likelihoods from this trained model are expected to reflect
202 nanobody fitness, i.e., the multiple convolved aspects that nanobodies are selected for *in vivo*,
203 including thermostability, expression, and potentially low polyreactivity. Using this model, we
204 find that the log-probability fitness calculations predict the thermostability of unseen llama
205 nanobody sequences from four different stability experiments⁶⁹⁻⁷² (**Fig. 2b, Supplementary Fig.**
206 **5, Supplementary Table 3**). These experiments span a wide range of mutation types, lengths,
207 and sequence diversity. The autoregressive model consistently outperforms a hidden Markov
208 model (HMM, hmmer3)^{73, 74} in predicting the relationship between sequence and thermostability
209 of nanobodies.

210 Previous alignment-dependent generative models are constrained to predicting the effects of
211 missense mutations. However, in-frame insertions and deletions can also have large phenotypic
212 consequences for protein function, yet these changes have proved difficult to model. We
213 compare the fitness predictions calculated as log probabilities by the autoregressive model to
214 experimental assays for the fitness of mutated biomolecules, using rank correlation (ρ) for
215 quantitative measurements and area under the receiver-operator curve (AUC) for binary fitness
216 categorization, identifying the two groups with a two-component Gaussian mixture model. The
217 model is able to capture the effects of single amino acid deletions on PTEN phosphatase⁷⁵
218 ($\rho=0.69$, $N=340$, HMM $\rho=0.75$; PROVEAN $\rho=0.7$; **Fig. 2c**) and multiple amino acid insertions
219 and deletions in imidazoleglycerol-phosphate (IGP) dehydratase⁷⁶ (AUC=0.90, $N=6102$, HMM
220 AUC=0.88; **Fig. 2d, Supplementary Table 4**). Here we use the AUROC metric for IGP

221 dehydratase as the experimental data are bimodal with a large fraction at zero fitness. While
222 PROVEAN⁷⁷ predicted the effect of single PTEN deletions comparably to our model, it fails to
223 predict the effect of multiple insertions, deletions, and substitutions as were tested in IGP
224 dehydratase and it cannot generate new sequences. Three additional insertion and deletion
225 mutation scan fitness predictions are included in the supplement: yeast snoRNA ($\rho=0.49$), beta
226 lactamase ($\rho=0.45$), and p53 ($\rho=0.035$; **Supplementary Fig. 6**). Predicting the effects of indels
227 also has clinical significance: the four different single amino acid deletions annotated as
228 pathogenic by Clinvar⁷⁸ in two cancer genes, BRCA1 and P53, and one Alzheimer's-linked gene,
229 APOE, are in the bottom 25th percentile of predicted deletion effect distributions
230 (**Supplementary Fig. 7**). Other indels that are predicted to be highly deleterious by the
231 autoregressive model may be of clinical interest for experimental study of pathogenicity. We
232 expect that the autoregressive model can predict mutation effects in disordered and low-
233 complexity sequences. As a proof-of-concept, we have provided an *in silico* mutation scan of the
234 human tau protein, which contains regions of low complexity and is strongly associated with
235 neurodegenerative diseases, (**Supplementary Fig. 8**). Our mutation effect prediction
236 distinguishes between 40 pathogenic and 10 non-pathogenic mutations (two-tailed independent
237 $t=-4.1$, $P=0.001$, $AUC=0.86$) that were collected from the Alzforum database⁷⁹.

238 **Generating an efficient library of functional nanobodies**

239 Screening large, high-throughput libraries of antibodies and nanobodies in vitro has become
240 increasingly prevalent because it can allow for rapid identification of diverse monoclonal binders
241 to target antigens. However, these synthetic libraries contain a large fraction of non-functional
242 nanobody sequences. Natural nanobody sequences are selected against unfavorable biochemical
243 properties such as instability, poly-reactivity, and aggregation during affinity maturation⁶.
244 Similarly to nanobody thermostability prediction, we sought to learn the constraints that
245 characterize functional nanobodies by fitting the autoregressive model to a set of ~1.2 million
246 nanobody sequences from the immune repertoires of seven different naïve llamas⁵⁷. Using this
247 trained model and conditioning on the germline framework-CDR1-CDR2 nanobody sequence,
248 we then generate over 10^7 fit sequences, generating one amino acid at a time based on the
249 learned sequential constraints. As nanobody CDR3s often contact the framework in 3D,
250 conditioning in this way allows the model to learn any resulting constraints on the CDR3

251 sequence and incorporate them during generation. We remove sequences that do not end with the
252 final beta strand of our nanobody template, duplicate sequences, and CDR3s likely to suffer post-
253 translational modification to obtain ~3.7 million sequences (**Supplementary Table 5**). From
254 these, we select 185,836 highly diverse CDR3 sequences for inclusion in our designed library.
255 We compare our designed library to a state-of-art synthetic library⁸, which was constructed
256 combinatorically based on position specific amino acid frequencies of nanobody sequences with
257 crystal structures in the PDB database. This library contains CDR3 sequences that have a similar
258 distribution of biochemical properties as the naïve llama immune repertoire (Methods; **Fig. 3a**).
259 The distribution of hydrophobicity and isoelectric points are similar to the natural llama
260 repertoire even though explicit constraints on these properties were never imposed during
261 generation or selection of sequences for the designed library. The lengths of the CDR3 sequences
262 in the designed library are shorter than the natural repertoire; this is due to the strategy of
263 choosing cluster centroids during selection of the 10⁵ sequences and can be adjusted by changing
264 the sampling method. Longer CDR3s may also be attained by allowing interloop disulfide
265 bridges that stabilize longer CDR3s in some VHH domains⁸⁰; this would require a different
266 nanobody template and ideally camel or dromedary nanobody repertoires. The sequences in the
267 designed library are extremely diverse and are more distant from each other than sequences in
268 the natural repertoire (**Fig. 3b**), while maintaining nearly as much diversity as an equivalent
269 sample of a combinatorial synthetic library⁸ (**Supplementary Fig. 9**). Additionally, we are
270 exploring new regions of sequence space because the generated sequences in the designed library
271 are diverse from the naïve repertoire (**Fig. 3c**).

272 Using these designed CDR3 sequences, a nanobody library was constructed using our yeast-
273 display technology for experimental characterization alongside a combinatorial synthetic
274 nanobody library⁸. The designed library had more length diversity and a longer CDR3 median
275 length (13) than the synthetic library (12) (**Supplementary Fig. 9**), while the synthetic library
276 included designed diversity in specific residues of the CDR1 and CDR2. Individual nanobody
277 sequences were expressed on the surface of yeast cells, allowing for rapid sorting of nanobody
278 clones based on expression and/or binding levels. Upon induction, the designed nanobody library
279 contained 1.5 times higher proportion of cells expressing and displaying nanobodies on their cell
280 surface than the synthetic nanobody library (**Fig. 4a,b, Supplementary Fig. 10**). In the designed
281 library, we can also see a clearer separation of cells expressing nanobodies and those that are not.

282 Of cells expressing nanobodies, the mean nanobody display levels from the designed library is
283 almost twice the level of the previous library (**Fig. 4a,b**). Furthermore, the designed library had
284 nearly half the fraction of poorly expressed nanobodies (cells with fluorescence below 10,000
285 AU) as compared to the synthetic library (**Fig. 4a,b**) as well as a significant increase in the
286 fraction of highly expressed nanobodies as can be seen in the upper limits in the respective
287 expression distributions (**Fig. 4a, Supplementary Fig. 10**). Expression experiments were
288 performed with two replicates in addition to a single control experiment of yeast expressing a
289 single well-behaved nanobody clone (Nb. 174684). These experimental results demonstrate that
290 with the autoregressive model trained on natural llama nanobody sequences, we successfully
291 designed a smart library consisting of a higher proportion of stable, well-expressed nanobodies.

292 With this small designed library, we selected nanobody sequences that bound to human serum
293 albumin (HSA) using fluorescence activated cell sorting (FACS) (**Fig. 4c**), from which we were
294 even able to identify weak to moderate binders—the strongest binder has a predicted K_d of 9.8
295 μM (**Fig. 4d**). This experiment is a proof-of-concept that this small library contains antigen-
296 binding sequences that can be starting points for affinity maturation to identify strong binders.
297 Though not explicitly designed to minimize poly-reactive nanobody sequences, training on a
298 naïve llama repertoire, which presumably contain a moderate proportion of poly-reactive
299 sequences⁸¹⁻⁸⁷, the designed library shows similar levels of poly-reactivity to the synthetic
300 library, which had been designed according to a small set of highly specific nanobodies
301 (**Supplementary Fig. 11**). These results indicate that we have successfully designed an efficient
302 library containing a high proportion of promising diverse, stable, specific, and sensitive
303 nanobody sequences.

304 **Discussion**

305 Here we show how neural network-powered generative autoregressive models can be used to
306 model sequence constraints independent of alignments and design novel functional sequences for
307 previously out of reach applications such as nanobodies. The capability of these models is based
308 on demonstrated state-of-the-art performance and on an extended range of applicability in the
309 space of sequences. In the particular version in this paper, we validated our model first on deep
310 mutational scan data, with on par performance with the best currently available model^{29-31, 34, 77},
311 and demonstrated application to examples for which robust alignments cannot be constructed,

312 such as sequences with multiple insertions, deletions, and substitutions, and cases for which
313 protein structures and experimental data are not available. As for comparison with a potentially
314 competing alignment-free model, while we do not discount the utility of semi-supervised
315 methods (exploiting mutation effect-labeled experimental data), great care must be taken in the
316 way the split between training and test is conducted to evaluate the true generalizability of the
317 method. For instance, randomized subsets excluded from training will still be learned from the
318 labeled data in a way that is not generalizable to required predictions for other proteins^{53,88,89}.
319 Our model is not subject to these limitations as its training is fully unsupervised.

320 Due to their flexibility, deep autoregressive models could also open the door to new
321 opportunities in biological sequence analysis and design. Unlike alignment-based techniques,
322 since no homology between sequences is explicitly required, generative models with
323 autoregressive likelihoods can be applied to variants with insertions and deletions, disordered
324 proteins, multiple protein families, promoters and enhancers, or even entire genomes.
325 Specifically, prediction of insertions and deletions and mutation effects in disordered regions has
326 been a difficult research area, despite their prevalence in human genomes. Disordered regions are
327 enriched in disease-associated proteins, so understanding variant effects will be important in
328 understanding the biology and mechanism of genes indicated in cardiovascular, cancer, and
329 neurodegenerative diseases. For example, classical tumor suppressor genes, such as p53,
330 BRCA1, and VHL, and proteins indicated in Alzheimer's disease, such as Tau, have long
331 disordered regions where these models may prove particularly useful.

332 With this model, we designed a smart, diverse, and efficient library of fit nanobody sequences
333 for experimental screening against target antigens. Designing individual hypervariable CDR
334 sequences that make up a library of diverse, functional, and developable nanobodies allows for
335 much faster and cheaper discovery of new therapeutics, minimizing both library waste and
336 necessary experimental steps. Our streamlined library (1000-fold smaller than combinatorial
337 synthetic libraries) enables rapid, efficient discovery of candidate nanobodies, quickly providing
338 a starting point for affinity maturation to enhance binding affinity. In combination with a
339 continuous evolution system, candidate binders from the designed library have been identified
340 and affinity matured after only a few rounds of selection with a single experiment⁹⁰. As the cost
341 to synthesize sequences decreases, the demand for methods that can design highly optimized and

342 diverse sequences will increase as compared to constructing libraries via random or semi-random
343 generation strategies.

344 A challenge of using synthetic libraries is the poly-reactivity of many sequences that *in vivo*,
345 would be cleared by an organism's immune system. Naïve llama repertoires also contain poly-
346 specific sequences, so training a model on sequences from mature or memory B cell repertoires
347 may provide information on how to improve library design in the future and minimize the poly-
348 reactivity of the designed library sequences. Multi-chain proteins such as antibodies present an
349 additional challenge that multiple domains must be designed together. Models incorporating
350 direct long-range interactions such as dilated convolutions or attention may identify the relevant
351 dependencies between domains, even when the domains simply concatenated and generated
352 sequentially. Paired antibody chains are more challenging to sequence than nanobodies, but more
353 repertoires are becoming available⁹¹. Beyond antibody and antibody fragment libraries, this
354 method is translatable to library design for any biomolecule of interest, including disordered
355 proteins.

356 Our model is the first alignment-free method demonstrating state-of-art mutation effect
357 prediction without experimental data and applied to at scale to design of protein sequences. New
358 developments in machine learning will enhance the power of such autoregressive models and
359 incorporating protein structural information may further improve the capacity to capture long-
360 range dependencies⁹² for these applications. The addition of latent variables could also allow for
361 targeted design of high affinity and specificity sequences to a desired target antigen^{56, 93-95}.
362 Conversely, we also anticipate better exploration of broader spans of sequence space for
363 generation, either by exploiting variance explained by latent variables⁹⁶ or diverse beam search
364 strategies⁹⁷. With the increased number of available sequences and growth in both computing
365 power and new machine learning algorithms, autoregressive sequence models may enable
366 exploration into previously inaccessible pockets of sequence space.

367

368 **Methods**

369 **Model**

370 Sequences are represented by a 21-letter alphabet for proteins or 5-letter alphabet for RNAs, one
371 for each residue type and a ‘start/stop’ character. Training sequences are weighted inversely to
372 the number of neighbors for each sequence at a minimum identity of 80%, except for viral
373 families, where a 99% identity threshold was used, as was done previously³⁰. Sequence sets are
374 derived from alignments by extracting full sequences for each aligned region; sequence
375 identities, boundaries, and weights are the only information provided to the model by alignments.
376 The log-likelihood for a sequence is the sum of the cross-entropy between the true residue at
377 each position and the predicted distribution over possible residues, conditioned on the previous
378 characters. Since we encountered exploding gradients⁶² during training on long sequence
379 families with LSTM⁶³ or GRU⁶⁴ architectures, we parameterize an autoregressive likelihood with
380 dilated convolutional neural networks (**Supplementary Fig. 1**). These feed-forward deep neural
381 networks aggregate long-range dependencies in sequences over an exponentially large receptive
382 field⁶⁵⁻⁶⁷. Specifically, we use a residual causal dilated convolutional neural network architecture
383 with 6 blocks of 9 dilated convolutional layers and both weight normalization⁹⁸ and layer
384 normalization⁹⁹, where the number of blocks and layers were chosen to cover protein sequences
385 of any length. To help prevent overfitting, we use L2 regularization on the weights and place
386 Dropout layers ($p = 0.5$) immediately after each of the 6 residual blocks¹⁰⁰. We use a batch size
387 of 30 for all sequence families tested. Channel sizes of 24 and 48 were tested for all protein
388 families, and channel size 48 was chosen for further use. Six models are built for each family:
389 three replicates in both the N-to-C and C-to-N directions, respectively. Each model is trained for
390 250,000 updates using Adam with default parameters¹⁰¹ at which point the loss had visibly
391 converged, and the gradient norm is clipped⁶² to 100.

392 **Data collection**

393 40 datasets which include experimental mutation effects, the sequence families, and effect
394 predictions were taken from our previous publication³⁰ and 5 datasets that include indels and
395 nanobody thermostability data were added for this work (references and data in **Supplementary**
396 **Table 4** and **Extended Data**). For new mutation effect predictions such as the indel mutation
397 scans, sequence families were collected from the UniProt database in the same procedure as
398 described in previous published work³⁰. Pathogenic mutations for the Tau protein were

399 downloaded from the Alzforum database⁷⁹. The naïve llama immune repertoire was acquired
400 from⁵⁷. Due to the large number of sequences in the llama immune repertoire, sequence weights
401 were approximated using Linclust¹⁰² by clustering sequences at both 80% and 90% sequence
402 identity thresholds.

403 **Nanobody library generation**

404 Using the N-to-C terminus model trained on llama nanobody sequences, we generated
405 33,047,639 CDR3 sequences by ancestral sampling⁶¹, conditioned on the germline framework-
406 CDR1-CDR2 sequence and continued until generation of the stop character. Duplicates of the
407 training set or generated sequences and those not matching the final beta strand of our nanobody
408 template were excluded. CDR3 sequences were also removed if they contained glycosylation
409 (NxS and NxT) sites, asparagine deamination (NG) motifs, or sulfur-containing amino acids
410 (cysteine and methionine), resulting in 3,690,554 sequences.

411 From this large number of sequences, we then sought to choose roughly 200,000 CDR3
412 sequences that are both deemed fit by the model and as diverse from one another as possible to
413 cover the largest amount of sequence space. First, we featurized these sequences into fixed
414 length, L2 normalized k-mer vectors with k-mers of size 1, 2, and 3. We then used BIRCH
415 clustering¹⁰³ to find diverse members of the dataset in O(n) time. We used a diameter threshold
416 of 0.575, resulting in 382,675 clusters. K-mer size and BIRCH diameter threshold were chosen
417 to maximize the number of clusters within a memory constraint of 70 GB. From the cluster
418 centroids, we chose the 185,836 most probable sequences for final library construction.

419 **Construction of nanobody library**

420 FragmentGENE_NbCM coding for the nanobody template was amplified with oligonucleotides
421 NbCM_pydsF2.0 and NbCM_pydsR and then cloned into the pYDS649 yeast-display plasmid⁸
422 using HiFi Mastermix (New England Biolabs). The original NotI site in pYDS649 was then
423 removed by amplification with primers NotI_removal_1F and Pyds_NbCM_cloning_R followed
424 by cloning again into pYDS649 to generate the pYDS_NbCM display plasmid for the nanobody
425 template.

426 An oligonucleotide library was synthesized (Agilent) with the following design ACTCTGT
427 [CDR3] ATCGT where CDR3 is a sequence for one of the computationally designed clones.
428 Two-hundred picomoles of the library was PCR amplified over 15 cycles with oligonucleotides

429 Oligo_library_F and Oligo_library_R using Q5 polymerase (New England Biolabs). Amplified
430 DNA was PCR purified (Qiagen) and ethanol precipitated in preparation for yeast
431 transformation. 4.8×10^8 BJ5465 (MAT α ura352 trp1 leu2 Δ 1 his3 Δ 200 pep4::HIS3 prb1 Δ 1.6 R
432 can1 GAL) yeast cells, grown to OD600 1.6, were transformed, using an ECM 830
433 Electroporator (BTX-Harvard Apparatus), with 2.4 μ g of NotI digested pYDS_NbCM vector and
434 9.9 μ g of CDR3 library PCR product yielding 2.7×10^6 transformants. Library aliquots of $2.4 \times$
435 10^8 cells per vial were frozen in tryptophan dropout media containing 10% DMSO.

436 **Characterization of nanobody library**

437 Yeast displaying the computationally designed or combinatorial synthetic nanobody library⁸
438 were grown in tryptophan dropout media with glucose as the sugar source for one day at 30 °C
439 and then passaged into media with galactose as the sole sugar source to induce expression of
440 nanobodies at 25 °C. After two days of induction, one million cells from each library were
441 stained with a 1:25 dilution of anti-HA AlexaFluor647 conjugated antibody (Cell Signaling
442 Technology) in Buffer A (20 mM HEPES pH 7.5, 150 mM NaCl, 0.1% BSA, 0.2% maltose) for
443 30 minutes at 4 °C. After staining, cells were centrifuged, the supernatant was removed, and cells
444 were resuspended in Buffer A for flow analysis with an Accuri C6 (BD Biosciences,

445 **Supplementary Fig. 12).**

446 To find nanobody binders to human serum albumin (HSA) one round of magnetic-activated cell
447 sorting (MACS) followed by two rounds of fluorescence-activated cell sorting (FACS) were
448 performed on our yeast-displayed library of nanobodies. For MACS, 4×10^7 induced cells were
449 resuspended in binding buffer (20 mM HEPES pH 7.5, 150 mM NaCl, 0.1% ovalbumin) along
450 with anti-fluorescein isothiocyanate (FITC) microbeads (Miltenyi) and FITC-labeled streptavidin
451 for 35 min at 4°C and then passed through an LD column (Miltenyi) to remove binders to
452 microbeads and streptavidin. Remaining yeast were centrifuged and resuspended in binding
453 buffer and incubated with 500 nM streptavidin-FITC and 2 μ M of biotinylated HSA for one hour
454 at 4°C. Yeast were then centrifuged and resuspended in binding buffer containing anti-FITC
455 microbeads for 15 min at 4°C before passing them into an LS column and eluting and collecting
456 the bound yeast. For the first round of FACS, induced yeast were first stained with 1 μ M of
457 biotinylated HSA for 45 min at 4°C and then briefly stained with 500 nM of streptavidin tetramer
458 along with antiHA-488 to assess expression levels. Both yeast stainings were performed in

459 FACS buffer (20 mM HEPES pH 7.5, 150 mM NaCl, 0.1% ovalbumin, 0.2% maltose). 5×10^6
460 yeast were sorted and 28,000 were collected and expanded for a second round of FACS. The
461 second round of FACS was performed under the same conditions as the first and from 3.8×10^6
462 sorted yeast 21,455 were collected. Nanobody Nb174684 was isolated from a screen of 36 clones
463 for binding to HSA using a flow cytometer and then sequenced. In order to characterize binding
464 of Nb174684, yeast displaying Nb174684 were stained with varying amounts of AlexaFluor 488
465 labeled HSA and fluorescence was analyzed with a flow cytometer.

466 Oligonucleotides:

467 FragmentGENE_NbCM:

468 GCTGCCAGCCGGCGATGGCCAGGTCCAACCTCAAGAATCAGGCGGGGGCCTGGT
469 ACAGGCAGGCGGTTCTCTTCGGCTGTCGTGTGCGGCAAGCGGATTTACATTCAGTAG
470 CTACGCTATGGGCTGGTACCGTCAGGCACCGGGGAAAGAACGGGAATTTGTTGCTG
471 CAATCTCTTGAGCGGTGGGAGCACATATTATGCAGATTCCGTTAAAGGCAGATTCA
472 CGATCAGTCGCGATAACGCAAAAAATACAGTGTACTTACAAATGAACTCTTTGAAA
473 CCCGAAGACACCGCAGTCTATTACTGCGCGGCCGCTACTGGGGACAAGGCACCCAG
474 GTGACTGTATCATCCCACCACCACCACCACCTGA

475 NbCM_pydsF2.0:

476 GGTGTTCAATTGGACAAGAGAGAAGCTGACGCAGAAGTCCAACCTTGTCGAATCAGG
477 CGGGGGCCTGGTACAG

478 NbCM_pydsR:

479 CGTAATCTGGAACATCGTATGGGTAGGATCCGGATGATACAGTCACCTGGGT

480 NotI_removal_1F:

481 CAACCCTCACTAAAGGGCGTTCGCCATGAGATTCCCATCTATCTTCA

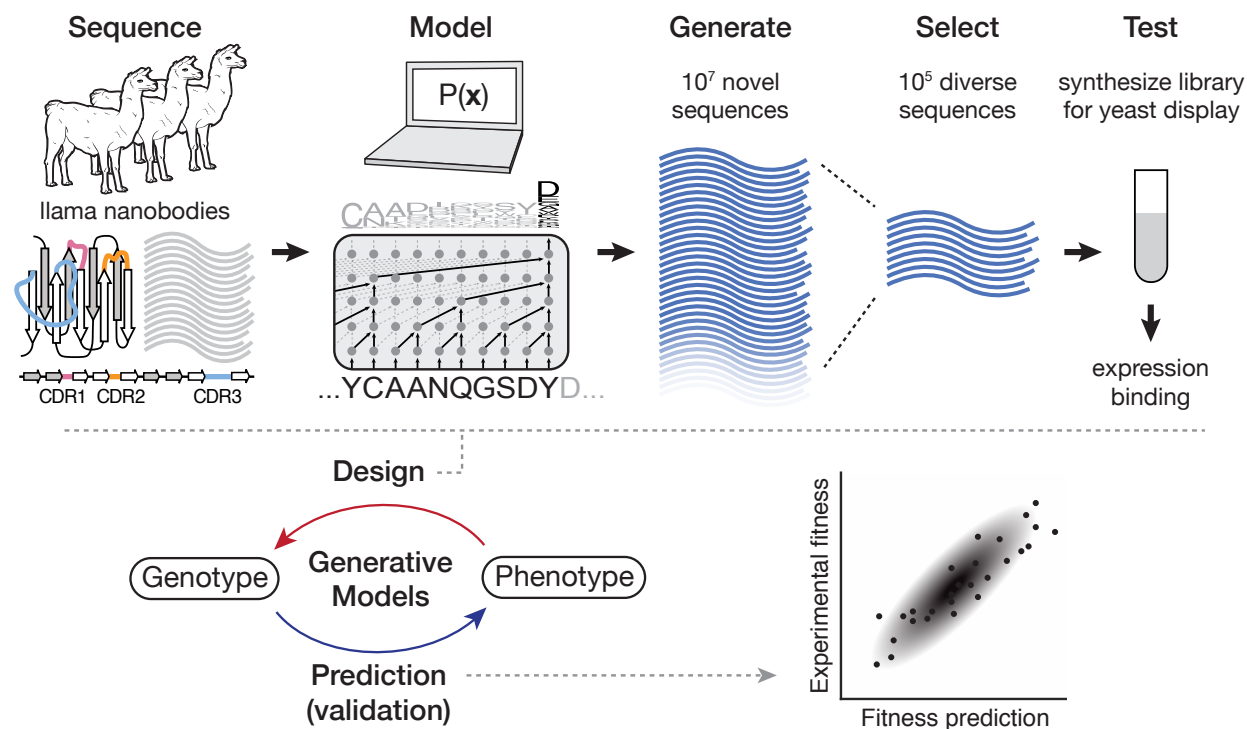
482 Pyds_NbCM_cloning_R:

483 CACCTGGGTGCCTTGTCCCCAGTA

484

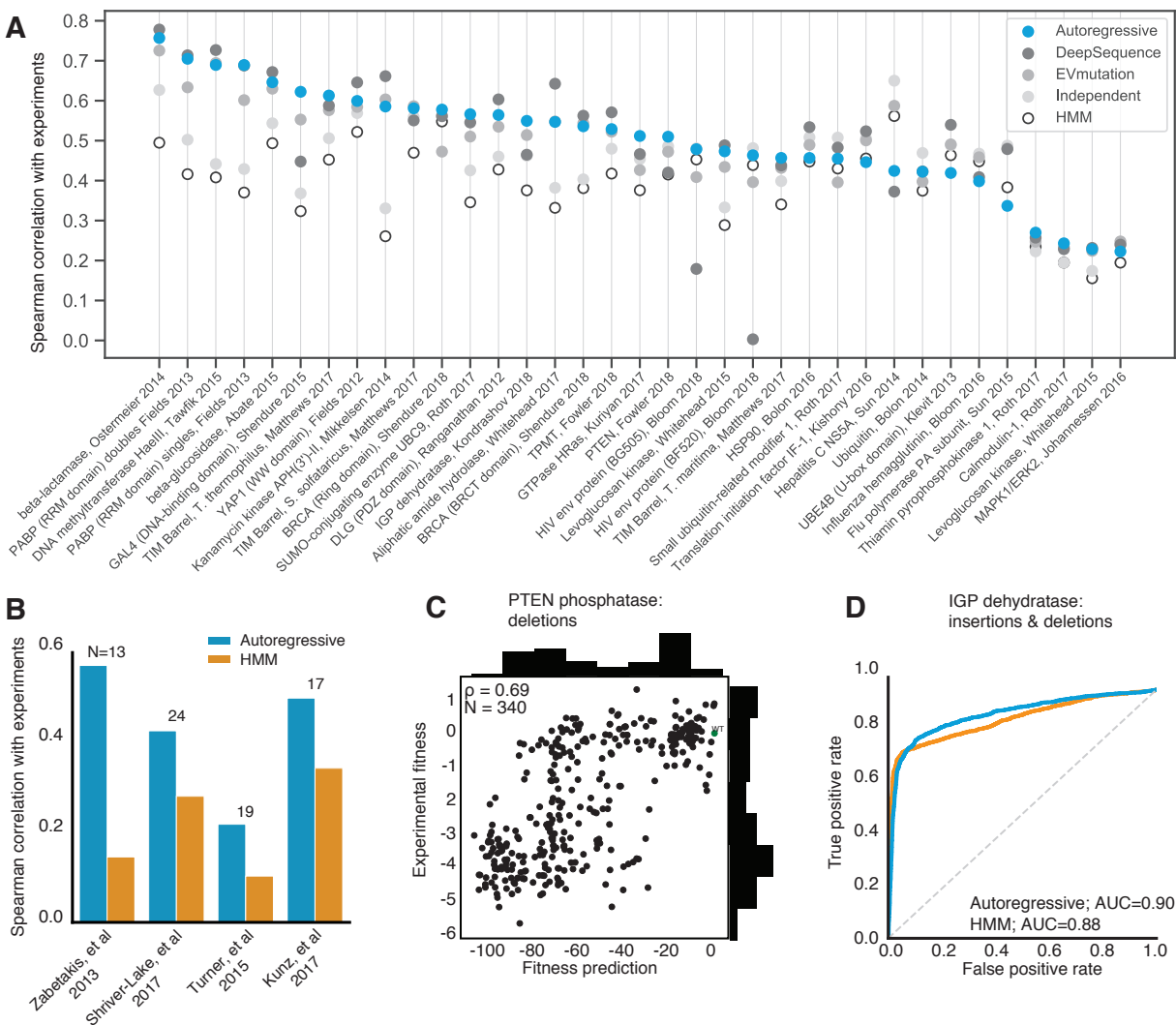
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Figures



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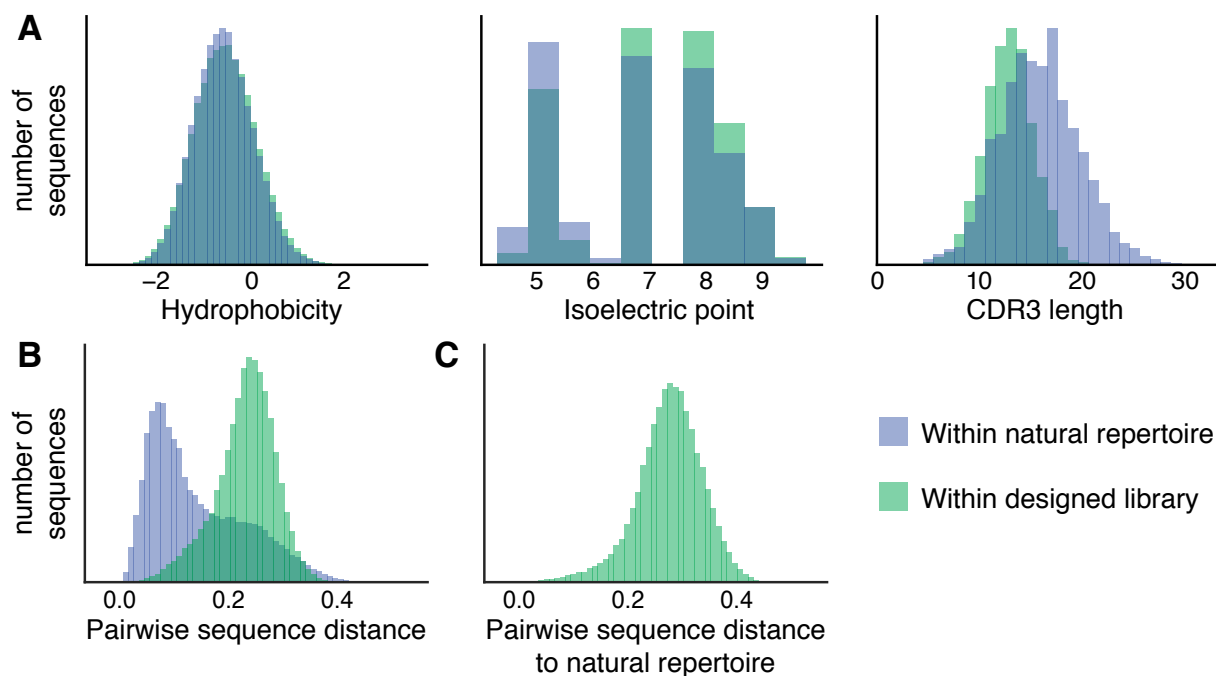
Figure 1. Autoregressive models of biological sequences can learn the genotype-phenotype map for both prediction and design. From natural sequences in a naïve llama repertoire⁵⁷, the autoregressive model can learn functional constraints by predicting the likelihood of each residue in the sequence conditioned on preceding residues. We then use these constraints to generate millions of novel nanobody sequences—as many can be generated as desired. Of these designed sequences we select hundreds of thousands of diverse sequences, synthesize a library, and screen for expression and binding. We also validate the model on mutation effect prediction tasks of deep mutational scans including the effects of multiple insertions and deletions, and the thermostabilities of highly variable nanobody sequences.



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Figure 2. Validation of the autoregressive model in learning the genotype to phenotype map. The model accurately predicts fitness of biological sequences of various lengths. **a.** Even without using alignments, the autoregressive model can competitively match mutation effect prediction accuracies of state-of-art alignment-dependent models, such as conservation, evolutionary couplings, and DeepSequence. Additionally, the mutation effect prediction accuracies improves upon HMM model accuracies. Without using alignments, the autoregressive model matches alignment-dependent state-of-art missense mutation effect prediction (DeepSequence³⁰) for 40 different deep mutational scan experiments. Three datasets show significant improvement with the autoregressive model: HIV env (BF520), HIV env (BG505), and GAL4 DNA-binding domain. **b.** The autoregressive model can learn from natural sequence repertoires of llama nanobodies to predict the thermostability of llama nanobody sequences with variation in the framework and complementarity determining regions with greater accuracy than hidden Markov models⁷⁴. The number of llama nanobody sequences from each study is shown above each pair of bars. **c.** Fitness predictions for single deletions in PTEN phosphatase compared with measured

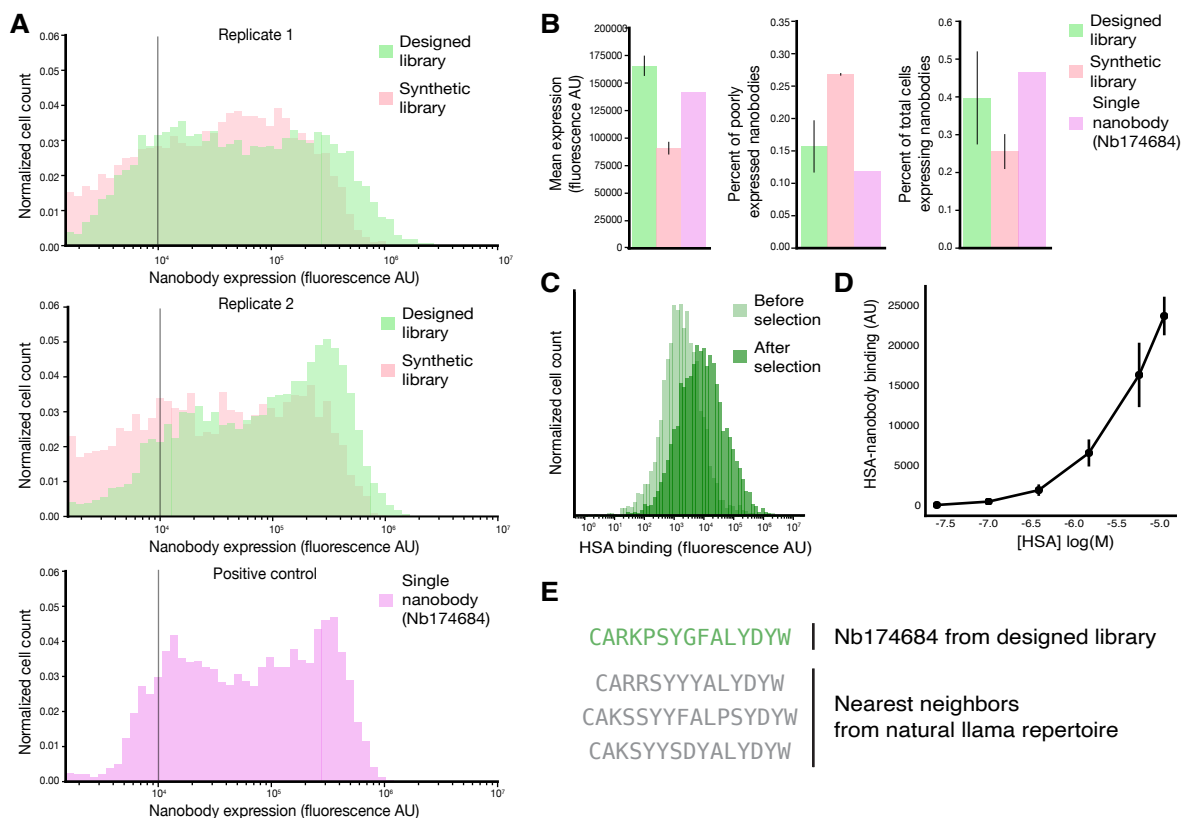
513 experimental fitness is accurate, with a Spearman correlation of 0.69. **d.** Accurate prediction of
514 binary fitness for IGP dehydratase with a range of insertions, deletions, and missense mutations.
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518 **Figure 3.** The designed library has comparable biochemical property distributions and improved
519 diversity to the natural llama repertoire. **a.** Conditioned on the framework-CDR1-CDR2
520 sequence, a diverse set of CDR3 sequences are generated and selected. These CDR3 sequences
521 are similar to the natural repertoire in their distributions of hydrophobicity¹⁰⁴ and isoelectric
522 point^{105, 106}, while having shorter length distributions due to selection strategies in the final
523 library construction. **b.** The designed library contains more diversity in sequences than the
524 natural repertoire as evidenced by the larger cosine distance to its nearest neighbor. **c.** Each
525 sequence in the designed library is diverse from any sequence seen in the natural repertoire,
526 indicating that we have learned fit sequence constraints but are traversing previously unexplored
527 regions of sequence space.

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Figure 4. The designed library contains stable and functional nanobody sequences that are well expressed and can bind target antigens. **a.** Fluorescence distributions of cells expressing nanobodies comparing the synthetic combinatorial library and our designed library in two biological replicate experiments as well as a control experiment of a single, well-expressed nanobody clone (Nb174684). The distributions of the designed library are consistently right-shifted compared to the combinatorial library and resemble the control nanobody. **b.** Compared to the combinatorial library, the designed library has almost double the mean expression level (left panel, 166,193 AU compared to 92,183 AU), nearly half the fraction of poorly expressed nanobodies (of cells expressing nanobodies) (middle panel, 15.4% compared to 25.7% of clones with less than 10,000 AU indicated as a grey bar in panel **a**), and one and a half times the fraction of total cells that express nanobodies (right panel, 39.6% compared to 25.1%). The thresholds for determining the proportion of total cells expressing nanobodies were found by identifying the local minima on the distributions and are displayed in **Supplementary Fig. 10**. Values displayed on the bar graphs are means of the two replicates and the standard deviations are shown as error bars. There is only one replicate for the control experiment of the single nanobody clone. **c.** Fluorescence distributions of nanobodies bound to HSA shows a rightward shift after screening and selection, indicating a successful enrichment of binders to the target antigen. **d.** On-yeast binding assay of Nb.174684, an HSA binder identified from the designed library with moderate binding affinity. Error bars represent standard deviations in measurements at each concentration of HSA. **e.** CDR3 sequence of binder Nb.174684 and the sequences of the

551 nearest neighbors from the natural llama repertoire that was used to train the autoregressive
552 model.

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798 repository.

799

800 **Supplementary Information:**

801 Supplementary Figures 1-12

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