1   **A Structured Professional Development Curriculum for Postdoctoral Fellows Leads to**

2   **Recognized Knowledge Growth**

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38 **Abstract:**

39 Postdoctoral training enables research independence and professional readiness. National

40 reports have emphasized professional development as a critical component of this training

41 period. In response, many institutions are establishing transferable skills training workshops for

42 postdocs; however, the lack of structured programs and an absence of methods to assess

43 outcomes beyond participant satisfaction surveys are critical gaps in postdoctoral training. To

44 address these shortcomings, we took the approach of structured programming and developed a

45 method for controlled assessment of outcomes. Our program You[3] (You, Your Team, Your

46 Project), co-designed by postdoctoral fellows, focused on a structured array of management

47 and leadership skills agnostic of ultimate career path(s). We then measured outcomes in a

48 controlled manner, by systematically comparing perceived knowledge and growth of participants

49 with non-participants as the control group. You[3] participants discern greater growth,

50 independent of number of years in training, in competencies overall compared to the control

51 group. This growth was shown by multiple criteria including self-reporting and associative

52 analysis. Correspondingly, You[3] participants reported greater knowledge in 75% of the modules

53 when compared to controls. These data indicate that structured learning, where postdocs

54 commit to a curriculum via a cohort-structure, leads to positive outcomes and provides a

55 framework for programs to assess outcomes in a rigorous manner.

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Steen et al, 2021

Introduction:

Postdoctoral training is a unique period that is defined by the National Postdoctoral Association (nationalpostdoc.org) as "temporary time for scholarly work as well as acquisition of professional skills for career success". To be successful in their next career step, postdoctoral fellows (referred to as 'postdocs' for the remainder of this article) need to develop a wide variety of skills, including technical and non-technical skills. Although the importance of technical skills has been recognized for many years, growing evidence shows non-technical skills, also known as transferable skills, are also vital to succeeding as a professional during and beyond training (1–5). A well-rounded portfolio is especially important in current times, where less than 25% of the PhD graduates move on to a tenure track (TT) position, while the rest pursue a distinct array of individual paths (6).

The unique nature of the postdoctoral training period presents several challenges for developing transferable skills. Postdocs are hired by individual faculty advisors and do not typically enter the academic system as part of a cohort. They start their appointment asynchronously, and the orientation, onboarding routines, and access to non-research resources are highly variable within and across academic units. Postdoctoral training is largely apprentice-based, leading to disparities in the scope and breadth of training, depending on their advisor's experience and encouragement in combination with institutional support for expanded learning opportunities. The lack of structured professional skill development opportunities underserve the nearly 80% of PhD students that go on to do postdoctoral training (7). Many institutions face these limitations (8), due to a combination of constraints on incentives, resources, personnel, and budget. Even in the case where there are more professional development resources to build programs, both access to and utilization of these programs vary heavily depending on the individual trainee's circumstances.

Two key factors that are critical limitations in training postdocs are a lack of structured programs for standardized training and a lack of methods to assess outcomes scientifically. Research institutions are investing resources at the university, college, and departmental levels to provide seminars and workshops often based on the postdoc core competency guidelines set by the National Postdoctoral Association. Although well intentioned, these programs often follow an *ad hoc* pattern of topics and schedules with little structure, accountability, or evaluation of efficacy. A lack of a sequential structure leads to discontinuous learning, which can be ineffective (9). Moreover, the absence of a cohort or peer structure for postdocs compounds shortcomings

3

Steen et al, 2021

91 within these programs, leading to loss of peer-community groups and peer learning with a

92 higher impact on historically excluded groups (10,11). Often, professional development

93 programs are segregated by academic or non-academic career paths. This segregation makes

94 it difficult for those who are undecided to choose the right program for them. These career-goal

95 based professional development programs also lose the benefit of exchange and discourse

96 between individuals of different mindsets and backgrounds. The lack of structure is beginning to

97 be addressed by systems like the NIH BEST program (12) which recognizes the vital role of a

98 robust infrastructure for professional development in scientific and science-related careers.

99 However, even when institutes put in place structured professional development programs,

100 outcomes are primarily assessed using basic methods such as satisfaction surveys. A lack of

101 rigorous assessments for professional development program efficacy is pervasive across all

102 types of skill building programs partly because of no useful mechanism(s) for conventional

103 formative or summative assessments. This gap is also driven by the non-technical nature of the

104 topics covered, which are challenging to quantify in advanced learners. As a result, we have no

105 clear and controlled assessment methods that measure impact via participants' knowledge and

106 growth in the topic in a systematic manner.

107

108 To effectively address these key limitations, we developed and implemented an innovative

109 leadership and management program titled "You^3". Our structured program, co-designed and

110 co-created by four postdoctoral fellows, used a cohort-based and sequential curriculum followed

111 by measuring participants' perceived knowledge and growth in a controlled method. Our results

112 show that participants increased their own perceptions of current knowledge and growth in

113 several key transferable skills that were measured against a control population who did not

114 participate in our program. We propose You^3 as a scalable framework that can seed and

115 establish similar opportunities for postdocs in other institutions across the nation.

116

117

118 **Materials and Methods:**

119

120 **Needs Assessment Data Collection:**

121 **Employer survey:** The Office of Graduate and Postdoctoral Studies had commissioned

122 miLEAD, a trainee-run non-profit consulting group on campus, to better understand career

123 preparation and job market trends for graduate and postdoc learners. Based on data collected

124 and analyzed by miLEAD, we compiled a list of the top skills hiring managers look for in

4

Steen et al, 2021

125   applicants along with the perceived strengths and weaknesses Ph.D. holding candidates

126   typically display in relation to these skills. miLEAD acquired this data by conducting interviews

127   with multiple employment sectors including Academia, Biotech-Pharma, Legal-IP, Tech

128   Transfer, Consulting, Writing, Government, and Bioinformatics. The data were further supported

129   by analysis of 192 job postings to identify the list of required and preferred applicant skills,

130   experience and attributes. miLEAD also identified the training needs of postdocs through a

131   series of focus groups (n=18) and surveys (n=237) sent to University of Michigan Medical

132   School (UMMS) postdocs. The postdoc needs data are represented via a summary of miLEAD

133   group's key findings. **UMMS Faculty survey:** Before program planning, we sent an anonymous

134   needs assessment survey to training faculty at the University of Michigan Medical School. The

135   survey asked faculty to select all skills that applied to the question "Which transferable skills do

136   you wish you had developed further prior to becoming a faculty member?". Of the 266

137   respondents, 32.7% were Professors, 26.6% were Assistant Professors, 21.4% were Associate

138   Professors, 5.2% were Clinical Instructional Faculty, 3% were Lecturers, 1.5% were Instructors,

139   and 9.3% were Other. Faculty were able to select more than one skill in their response, and we

140   counted the total number of times each topic was selected across each faculty category.

141

142   **You[3] participant enrollment**

143   Advertisement material of the You[3] program was widely distributed to UMMS postdoctoral

144   fellows via emails and flyers. Prospective applicants were asked to reflect on their professional

145   experience and goals in order to assess their intentions and commitment to the You[3] program.

146   The questions were intentionally broad as to avoid self-selecting for postdoctoral fellows that

147   were interested in a specific career trajectory over another. Applicants were also asked their

148   career interest and were able to select as many options as were applicable. Supplemental

149   Figure S1 displays complete application requirements. For reviewing the applications, we

150   utilized a scale of 1-5 with 5 being excellent alignment between the applicant's goals and the

151   learning goals of You[3] and 1 being low alignment. Applicants with an average score of 4 or

152   higher were automatically accepted; applicants with a score between 3 and 4 were discussed by

153   the committee, and a select few were requested to interview to better gauge their overall

154   commitment and interest in the program. By this process, 33 of 35 applications were sent an

155   acceptance letter into the program. 32 accepted the offer and committed to participation.

156

157   ***Legend: Supplemental Figure 1: Application Questionnaire.*** *This application via Google*

158   *forms was sent to all UMMS Postdocs to solicit participants.*

Steen et al, 2021

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Self-assessment pre-course survey to You³ participants

161 Prior to starting the You³ program, participants were surveyed on their training history in the

162 core competencies of the program. Their responses were categorized into formal training (led

163 by an instructor with engagement activities, i.e. workshop, class), informal training (Purely self-

164 driven, i.e. online webinar, reading, etc.), and no training prior to enrollment.

165

Self-assessment outcomes survey to control and participant groups

167 As a control group, all UMMS postdoctoral fellows received an online Qualtrics survey (IRB

168 Exempt #HUM00172637) to anonymously assess their self-reported knowledge and growth in

169 the eight topics covered by the You³ program (Fig S2). Based on each participant's response,

170 the survey items were binned according to the trainee's prior experience with any UM skills

171 building workshop, no skills building workshop experience, or participation in the You³ program.

172 The following distribution of survey participants completed the questionnaire: You³ participants

173 (n=23); control group who participated in some kind of professional development programming

174 at UM (n=18); and did not participate in relevant programming (n=35).

175

176 *Legend: Supplemental Figure 2: Survey questions. Survey questions that were sent out to*

177 *You3 participants to measure their self-reported knowledge and growth around the eight*

178 *modules after completing the program. This was compared to the control survey sent out to*

179 *UMMS postdoctoral fellows that did not participate in the You³ program and were asked to*

180 *report their knowledge and growth on the same metrics and time frame of the You³ program.*

181

182 **Statistical analysis for self-reported knowledge and growth metrics:** For knowledge metric

183 analysis, confidence score values were compared using Kruskal-Wallis test followed by Dunn's

184 posthoc test, and Pearson correlation coefficients and P values were calculated for each

185 category and metric. For growth metric analysis, text-based respondent data was converted into

186 numerical data, wherein 4 categories (no growth, low growth, medium growth, high growth)

187 were converted to numerical values (1-4, respectively). Odds ratios and associated 95%

188 confidence intervals, as well as Pearson correlation coefficients and P values, were calculated

189 for each category and metric. For reliability analysis, Cronbach's alpha was calculated for each

190 question block (Growth and Current Knowledge) in R version 4.0.2 using the "psych" package.

191 Kruskal-Wallis test followed by Dunn's posthoc test were performed using GraphPad Prism

6

Steen et al, 2021

192   version 8, and Pearson correlation coefficient and P-value calculations were performed and

193   visualized in R version 4.0.2 using the "Hmisc" package.

194

195

196   **Results:**

197   To establish a comprehensive program, we focused on four achievable goals: (a) addressing

198   specific needs of postdocs immediately transitioning into the workforce, (b) using a holistic view

199   of professional development over eight consecutive weeks, (c) developing a cohort model

200   toward fostering community, and (d) enabling management and leadership skills agnostic of

201   ultimate career choice. At the end of the program, we developed an instrument to measure the

202   impact of our program in a controlled manner by comparing participants' against control non-

203   participants in self-efficacy via knowledge and perceived value calibrated by growth in topic

204   areas.

205

206   First, we used evidence-based data to determine the core topic list. We factored in needs

207   assessments and workforce needs from the perspective of three key stakeholder groups: a)

208   employers hiring PhD holders, b) UM Medical School (UMMS) faculty, and c) postdocs at our

209   school during the time of survey.

210

211   We focused on the needs of employers by identifying deficiencies in professional skills for PhD

212   job applicants based on interviews with 36 industry professionals and analysis of 192 non-

213   academic job postings (data collected by miLEAD Consulting Group, Fig 1A). From the top skills

214   that emerged, communication, teamwork and management (project, time, personnel, and

215   budgeting) were perceived as weaknesses of PhD degree holders seeking employment (Fig

216   1A). These data were complemented by an anonymous survey distributed to UMMS faculty. We

217   asked current training faculty in our college to identify one or more of 15 transferable skills that

218   they were not proficient in prior to becoming a faculty member. Data from the 266 responses

219   pointed at many common skills that were identified as deficiencies prior to assuming their faculty

220   roles. Starting with the most frequently indicated, these skills included Grant/Scientific Writing,

221   Team Building/Mentorship, Conflict Resolution, Statistics, Lab Business Management, and Lab

222   Finances/Budgeting (Fig 1B). These data include responses from Assistant, Associate and Full

223   Professors, and the results are consistent between all tracks. Finally, we compared the skills

224   needed for successful careers to the gaps in training identified by current postdocs. Based on

225   interviews and surveys collected from miLEAD Consulting, the current state of training was not

Steen et al, 2021

226   deemed sufficient in preparing postdocs for professional careers, and the desired state was

227   summarized in Figure 1C. These collective data from trainees, employers, and faculty are

228   consistent with published work on PhD-holding employees (5)

229

230   *Figure 1: Postdocs do not receive adequate professional training based on needs*

231   *assessment surveys and interviews.* *(A) miLEAD Consulting Group interviewed 36 industry*

232   *professionals and analyzed 192 non-academic job postings to identify the professional skills*

233   *employers seek in applicants. Those desired skills were separated into transferable and*

234   *technical skills and marked as perceived weaknesses and strengths of PhD applicants based on*

235   *industry interviews. (B) A needs assessment survey was distributed to UMMS faculty (266*

236   *responded), who rated the value each skill contributed to their career success. The faculty*

237   *surveyed represented tenure-track (assistant, associate, and full professor), non-tenure track*

238   *(lecturer and instructors), clinical-instructional track, and "other" category. For clarity, shown are*

239   *responses from full, associate, and assistant professors, but the results were consistent across*

240   *all faculty categories. (C) miLEAD Consulting Group served and interviewed current and former*

241   *postdocs from 14 departments within UMMS in order to determine the professional development*

242   *training postdocs receive. Their responses were analyzed and summarized into three major*

243   *areas for their current and desired state of professional development training.*

244

245   The needs assessment above fueled many robust discussions and literature searches among

246   the steering committee, which consisted of four postdoc co-designers, leading to a robust

247   program methodology as seen in Fig 2A. These data and discussions led us to focus on eight

248   major topics that met the criteria of priorities for postdocs while being career agnostic. These

249   topics were organized into three themes: Managing Yourself, Managing Your Project, and

250   Managing Your Team (Figure 2B). This process also resulted in branding the program as *You³:*

251   *Leadership and Management Program for Postdocs*: You, Your Team, Your Project (Fig 2B).

252

253   *Figure 2: Overview of You³ program planning, framework, and application process. (A)*

254   *Overview of the nine steps of planning, advertising, execution, and outcomes measurement of*

255   *the You³ program. (B) Based on combined needs assessment and survey data, the You³*

256   *program content addressed 3 major themes: Managing Yourself, Managing Your Project, and*

257   *Managing Your Team. Topics were then organized by theme, and each one was presented over*

258   *the 8-week program in the order indicated in the table. (C) Responders to the prompts shown*

8

Steen et al, 2021

259   *were then randomly divided into two groups, and each applicant group was evaluated by three*

260   *members of the You[3] steering committee.*

261

262   We formally launched the inaugural You[3] program in September 2019 using email marketing to

263   postdoctoral fellows in UMMS and posted flyers across our research buildings. An open

264   invitation intentionally queried diverse motivations for engaging in this program as evident in the

265   application prompts and selection protocol (Fig 2C and Fig S1). Applicants were required to

266   submit a two-page resume and respond to one of four simple prompts on the topics of conflict

267   resolution, interpersonal and team-work experiences, leadership, and project management (Fig

268   2C). The application material was used during the selection process to their gauge interest and

269   commitment. We also gathered data on applicants' current career plans and prior training in the

270   program's core competencies. As seen in Figure 3A, out of 35 applicants, 19 were certain about

271   one career path, whereas the remaining 21 chose more than one potential path. A pre-program

272   anonymous survey indicated that the majority of applicants also reported they had little to no

273   formal training in the skills covered in the You[3] program, further highlighting the gap in

274   professional training postdocs receive (Fig 3B). Finally, these 35 applicants represented 13

275   different clinical and basic science departments at UMMS. After reviewing the applications, we

276   conducted phone interviews for a small subset to confirm motivation and commitment, which led

277   to the committee inviting 33 postdoctoral fellows to participate with 32 ultimately matriculating

278   into the You[3] program. Figure 2A illustrates the overall framework for designing and

279   implementing the You[3] program, which can be adapted as a blueprint by other institutions.

280

281   **Figure 3: You[3] participants have diverse career interests but little formal training in**

282   **professional skills, and they display high commitment to the You[3] program.** *(A) Applicants*

283   *were asked to select one or more career paths they were currently interested in from the broad*

284   *categories of Academic faculty, Academic non-faculty, Non-academic jobs, and Don't-*

285   *Know/Other. 45% of applicants had more than one future career interest. (B) A pre-program*

286   *anonymous survey asked the You[3] participants to report any training they had received in Self-*

287   *awareness, time management, resource management, human resources, building a team, and*

288   *interpersonal relationships. Their responses were categorized into formal training (led by an*

289   *instructor with engagement activities, i.e. workshop, class), informal training (purely self-driven,*

290   *i.e. online webinar, reading, etc.), and no training prior to enrollment. (C) Attendance data was*

291   *collected from PhD students and postdocs from themed and non-themed events organized by*

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292   *OGPS. The percent registered attendance is compared to the average attendance percentage*

293   *of the You[3] participants during the program.*

294

295   The program consisted of one 90-minute meeting per week for eight consecutive weeks. In

296   addition, there was a welcome event the week prior to the first session and a closing reflection

297   ceremony the week after the last session. Each 90-minute in-class session was led by a subject

298   matter expert external or internal to our institution. The external experts included an academic

299   leadership coach (led four sessions) and a seasoned career consultant (led two sessions). The

300   internal experts included an organizational learning specialist, who led the time management

301   session, and two different unit-level senior administrative leaders, who co-facilitated the session

302   on budgets and finances. The sessions, as such, were a mix of didactic instruction, group

303   activities to enact or respond to scenarios, reflect on, and discuss pertinent topics. Pre-work,

304   outside of class, included short readings and occasional self-assessment material. To further

305   instill structure and weekly learning objectives of the You[3] program, the program content and

306   calendar reminders were organized on Canvas, a Learning Management System used for

307   courses at our institute. Finally, to facilitate honest conversations and maintain a safe space,

308   there was a community agreement on maintaining confidentiality outside of the class.

309

310   Attendance was required for participants in order to receive a certificate of completion. This not

311   only encouraged strong commitment to the program (Fig 3C), but it also enabled sequentially

312   learning. We permitted pre-approved absences and excused attendance under reasonable

313   circumstances. A small number of participants who could not physically be on campus chose

314   the option of watching the program live stream on a video-conferencing platform. You[3]

315   participants had significantly higher attendance percentages overall compared to other

316   workshops held by our Office of Graduate and Postdoctoral Studies. Specifically, *ad hoc*

317   professional development workshops during the same period had a postdoc attendance of 45%

318   of those who submitted an RSVP, and themed professional development workshops was at

319   71%. Conversely, the You[3] program consistently had an attendance record of 95% (Fig 3C).

320

321   To measure the impact of the You[3] program on participants, we decided not to rely on instructor

322   evaluations due to their known biases (13) and inadequacies (14) or on conventional

323   satisfaction surveys that are not meant for learning outcomes. Instead, at the end of our

324   program, we surveyed You[3] participants as well as non-participating postdocs as a control

325   group to determine self-reported perceptions of a) current knowledge and b) growth during the

Steen et al, 2021

326   program time period on each of the eight program topics (refer to Fig S2 for the survey

327   questions). We obtained twice the number of control group respondents as compared to the

328   participants, thus enabling robust comparison while also accounting for inherent biases in self-

329   reporting, such as Dunning-Kruger effect (15). Control group respondents (non-participants)

330   were further stratified between postdocs that have participated in any professional development

331   programming at the University of Michigan and those that did not participate in relevant

332   programming during the same months when the You[3] program was held.

333

334   We used two sets of questions from our survey instrument to measure self-perceptions of a)

335   end-of-program knowledge and b) growth in each module during the duration of the program.

336   This instrument was administered immediately after the program completion to compare

337   knowledge and growth of participants as compared to nonparticipants. The Cronbach's alpha

338   score was determined for the two primary question blocks stratified by cases and controls

339   (Table 1). These data indicated that our instrument was highly internally reliable.

340

Question Set ↓ Responder Type→	All _Cronbach's alpha Score (95% CI range)_	Participants _Cronbach's alpha Score (95% CI range)_	Control _Cronbach's alpha Score (95% CI range)_
Growth Question Set	0.95 (0.93-0.96)	0.84 (0.73-0.94)	0.9 (0.86-0.94)
Current Knowledge Question Set	0.83 (0.77-0.88)	0.83 (0.72-0.93)	0.78 (0.69-0.87)

341   ***Table 1: Survey Instrument Reliability Analysis***. *In order to assess survey reliability,*

342   *Cronbach's alpha was calculated across relevant sets of questions used to measure current*

343   *knowledge and growth during program duration. CI= confidence interval.*

344

345   You[3] participants self-reported greater knowledge at the end of the program on the topics of

346   Hiring and Interviewing, Implicit Bias, Building Your Team, and Budget Management as

347   compared to control groups that had received other professional development programming (Fig

348   4). Additionally, compared to the no-other-program controls, You[3] participants reported greater

349   knowledge in all areas with the exception of time management and conflict resolution (Fig 4).

350   While we cannot quantitatively measure participants' practical skills in these areas via traditional

351   exams or quizzes, our results indicate the high value You[3] participants experienced from this

352   program.

Steen et al, 2021

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354 ***Figure 4: Cross-sectional assessment reveals that You³ participants have a higher***

355 ***perception of knowledge than controls across You³ program modules.*** *You³ participants*

356 *and controls self-assessed their current knowledge (high = 5, low = 1) for each of the eight You³*

357 *program modules. Controls were then stratified by previous participation in any career*

358 *development programming. Current knowledge scores for each module were compared*

359 *between You³ participants (blue, n = 23), controls who had participated in any career*

360 *development programming (white, n = 18), and controls who had not participated in any career*

361 *development programming (yellow, n = 35), median (solid red line) and interquartile range*

362 *(dotted red line) displayed, *P < 0.05, **P < 0.005, ****P < 0.00005, Kruskal-Wallis test followed*

363 *by Dunn's posthoc test).*

364

365

366 To determine if the relationship between comparatively independent, higher self-reported

367 knowledge is associated with participation in the You³ program, we also surveyed You³

368 participants and controls on their self-reported growth in each of these skills over the timespan

369 of our program. You³ participants reported the highest growth across all skills (Fig 5A).

370 Associative analysis revealed that You³ participants had significantly higher odds of reported

371 high growth in 3 of 8 metrics, medium growth in 7 of 8 metrics, and low growth in 1 of 8 metrics

372 (Fig 5B). Therefore, participation in the You³ program was highly associated with perceived

373 growth in the topics covered by the You³ program. This highlights the value of the You³ program

374 as participants feel they have developed greater growth and knowledge in the surveyed topics

375 compared to the control groups.

376

377 ***Figure 5: You³ participants indicate higher perception of growth across You³ program***

378 ***modules compared to controls.*** *You³ participants and controls self-assessed their growth (4 =*

379 *High Growth, 3 = Medium Growth, 2 = Low Growth, 1 = No Growth) over the You³ program*

380 *timeframe for each of the eight You³ program modules. Controls were then stratified by previous*

381 *participation in any career development programming. (A) Growth in each module was compared*

382 *between You³ participants (n = 23), controls who had participated in any career development*

383 *programming (n = 18), and controls who had not participated in any career development*

384 *programming (n = 35). (B) Odds ratios for "High Growth," "Medium Growth," and "Low Growth"*

385 *were calculated comparing You³ participants (n = 23) to all controls (n = 53) for each of the eight*

386 *You³ program modules (95% confidence intervals displayed).*

12

Steen et al, 2021

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388 To see if self-reported knowledge in one topic correlated with knowledge in any of the other

389 seven topics or with years in postdoctoral training, we also performed Pearson's correlation

390 analyses. We did not find consistent patterns from this analysis when measuring self-reported

391 knowledge correlations for You[3] participants and previous programming controls. However, we

392 did consistently find statistically significant correlations between all of the eight-knowledge

393 metrics in the no previous programming control group (Fig S3A). These correlations were

394 generally driven by a paucity of self-reported knowledge across our topics, indicating a baseline

395 insufficiency in postdoctoral training related to professional skills. A similar trend was observed

396 when the same correlation analysis was conducted between the self-reported growth metrics,

397 and growth was independent of years in postdoctoral training (Fig S3B). These data indicate

398 that participation in transferable skills development programming is associated with positive

399 growth. Moreover, we posit that the You3 program is more effective at encouraging positive

400 growth than *ad lib* engagement with transferable skills development programming.

401

402 ***Supplemental Figure 3: Pearson's Correlation analysis of self-reported knowledge and***

403 ***growth.*** *(A) Current knowledge scores for each module was correlated to all other modules and*

404 *the number of years prior to 2019 in their postdoctoral fellowship, and Pearson correlation r and*

405 *P values were calculated for You[3] participants, controls who had participated in any career*

406 *development programming, and controls who had not participated in any career development*

407 *programming. (B) Growth scores for each module were correlated to all other modules, and*

408 *Pearson correlation r and P values were calculated for You[3] Participants, controls who had*

409 *participated in any career development programming, and controls who had not participated in*

410 *any career development programming. R values are displayed, and P values are summarized in*

411 *red (*P < 0.05, **P < 0.005, ****P < 0.0005).*

412

413
414 **Discussion:**

415

416 Our study describes a novel program that addresses critical gaps in professional development

417 of postdoctoral fellows. Developed as a collaboration between the Office of Graduate and

418 Postdoctoral Studies (OGPS) and four postdoctoral fellows at the University of Michigan, we

419 structured an array of management and leadership skills that are transferable across careers

420 and assessed program outcomes using rigorous controlled methods. We show that our

421 structured approach resulted in a measurable increase in the participants' self-reported

13

Steen et al, 2021

422   knowledge and growth in multiple non-technical areas, compared to control non-participants.

423   Interestingly, the increase was independent of time spent as a postdoc, indicating that

424   experience and time alone was not enough to develop skills, and that active programming was

425   necessary.

426

427   The unprecedented level of engagement and commitment we catalyzed among the participants

428   as seen by the (a) attendance data and (b) positive outcomes determined by our controlled

429   study could both be due to two key aspects of the program. The first is that the program was

430   fully structured and sequential, which is uncommon for professional skill development activities

431   for postdocs. The opportunities routinely available for skill building for trainees tend to be

432   independent workshops that do not have the pedagogical structure and continuity necessary for

433   comprehensive learning (9) This lack of structure is a problem especially for postdocs, as they

434   have a short time frame to be productive and to transition from being a trainee to an

435   independent professional (1,8,17). The structure we provided, based on data generated from

436   surveys of the whole academic community as well as future employer feedback and published

437   literature, likely spurred engagement of the participants. The second key innovation was the

438   extent of input from and involvement of four current postdocs, the target population, in designing

439   the program. This is a notable difference between You[3] and most previous programs. An

440   additional benefit of involving trainees during program development was that the postdocs who

441   helped develop the program also grew as leaders and strategic thinkers. These benefits are

442   consistent with previous reports where engaging college students early has been valuable in

443   developing teaching methods and planning curricula (18).

444

445   The novel method that we developed for assessing outcomes, modeled on the scientific

446   method, is a key advance. Traditionally, educational and professional development programs

447   rely on satisfaction surveys and/or instructor ratings, both of which are beset with issues such

448   as bias and lack of depth (19, 20). Attempts to assess outcomes in more quantitative ways have

449   been challenging, as these programs typically do not (and should not) use grades as a

450   measurement of learning. The controlled assessments, comparing participants' perceived

451   knowledge and growth to non-participants' in the same time frame, was developed based on a

452   method used in medical settings such as clinical trials. Because self-assessment and

453   awareness form a significant part of the assessments, it is possible that the assessments were

454   influenced by participants' awareness of what they did not know earlier.

455

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456 The outcomes and the success of the inaugural offering as described in this manuscript has

457 informed us to continue this program, while making it stronger for future offerings. But due to the

458 sudden changes to in-person gatherings and due to significant budget cuts, our emphasis for

459 the next cohort was to optimize the program for virtual and hybrid formats during the COVID-19

460 pandemic. Albeit virtual, based on feedback from the 2019 cohort, we implemented a greater

461 number of activities and assignments to be completed during and outside of the session. While

462 we are still collecting feedback from these changes, our goal was to increase experiential

463 learning and build community among postdocs who often suffer from isolation and

464 loneliness (21,22). Our 2020-21 experience, along with another recent study our office

465 conducted, unearthed several advantages of virtual professional development programming,

466 prompting us to likely keep a virtual component in some form (23).

467

468 An aspect of the program that we plan to develop and build on is long-term tracking of the

469 postdocs who have participated in the program. We anticipate gathering data on not only their

470 professional paths but also intend to set up mechanisms to best understand how this program

471 set the foundation for lifelong learning in critical areas such as emotional intelligence (24)(25)

472 and related interpersonal skills. This longitudinal data, along with information on how other

473 institutions are implementing similar programs, will strengthen our assessment. Using this

474 iterative approach, we aim to optimize the program to its most effective format.

475

476 The You[3] program is a novel, scalable, and rigorous framework that can be adapted by any

477 institution to expand the foundation of postdoctoral training. Our structured program and

478 scientific assessment of outcomes helps minimize the variabilities and inequities in experiences

479 and consequences prevalent in the current apprenticeship-based training models. For example,

480 postdocs don't have equitable time or guidance to access professional skill building and career

481 development, despite recommendations from national bodies like the National Postdoc

482 Association and relevant studies (16)(2). A subset of postdocs who are independently funded,

483 such as by the NIH's K or F award systems, require professional development plans to be

484 submitted in the proposal and followed through. On the contrary, postdocs who are not

485 independently funded don't have any mechanism to consider professional development.

486 Structured programs such as You[3], developed via postdoc-centric guiding principles, mitigate

487 such inequities by providing access to professional development in a structured manner to all

488 postdocs regardless of funding status. Our program is an important benefit for international

Steen et al, 2021

489 postdocs, who often don't get the opportunity to craft plans via funding proposals, as they are

490 often ineligible for independent funding.

491

492 Finally, based on our experience, we believe You[3] can be adapted easily to institutional needs,

493 including hybrid training models combining in-person and virtual formats. We anticipate that

494 newly funded initiatives from the NIH, such as The Postdoc Academy (postdocacademy.org),

495 will provide complementary and supplementary resources to make broad implementation

496 feasible within the budgets of most institutions.

497

498 **Acknowledgments:**

505

506

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583

584

18

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A

Employer Desired Professional Skills

Transferable Skills

Problem Solving ✓

Teamwork ❗

Management (i.e. budget, project, time) ❗

Communication ❗

Technical Skills

Data Analysis ✓

Microsoft Applications ✓

Statistics ✓

Writing/Presentations ✓

✓ Perceived Strength of PhD Applicant

❗ Perceived Weakness of PhD Applicant

B

Faculty Desired Professional Skills

Legend:
- Professor (blue)
- Associate Professor (yellow)
- Assistant Professor (white)

Y-axis: Total Selected (0–100)

X-axis categories: Grant and Scientific Writing, Team Building/Mentorship, Conflict Resolution, Statistics, Lab Business Management, Lab Finances and Budgeting, Networking, Programming/Coding, Presentation Skills, Teaching Skills, Scientific Skills, Collaboration and Teamwork, Personal Finance, Public/Science Policy, Preparation for Industry, Other

C

Postdoc Training Opportunities

Current State

Available career preparation workshops are often helpful but insufficient.

Lack of courses on a variety of key interest areas.

Limited access to courses and certificate programs at UM.

Desired State

Offer Short courses that cover a variety of subjects that fit within postdoc time constraints.

Skills wanting to develop include team building, mentorship, business and management.

Tailor more career workshops towards non-academic paths.

A

STEP 1
- Recruit UMMS Postdocs to co-design the program

STEP 2
- Develop program content agnostic of career path
- Identify common themes from primary literature, academic, non-academic and trainee sources

STEP 3
- Build a practical and balanced schedule that is reasonable for a postdoc's time commitment
- Focused on the postdoc stakeholders' needs

STEP 4
- Invite postdoc applications with a resume and response to specific prompts to indicate level of commitment
- Review and score applications with follow-up calls

STEP 5
- Bring cohort together for community building activites

STEP 6
- Conduct 8-module program focusing via a holistic approach to skills development through didactic lectures, group activities and reading materials

STEP 7
- Wrap-up the program with reflections, networking, and presentations of the course certificates
- Deploy (a controlled) survey with non-participants to measure program impact and outcomes

Steps (left column): Establish Steering Committee; Analyze Needs Assessment; Develop Outcomes & Branding; Assemble Cohort; Program Launch; Conduct 8-Week Program; Program Closeout

✅ = Unique to the You3 Program compared to other postdoc professional development workshops

B

Postdoctoral Leadership & Management Program

YOU^3 · YOU · YOUR TEAM · YOUR PROJECT

MANAGING YOURSELF:
- SELF-AWARENESS; STRENGTHS; ACCOUNTABILITY
- IMPLICIT BIAS
- TIME MANAGEMENT

MANAGING YOUR PROJECT:
- BUDGET MANAGEMENT
- HIRING AND INTERVIEWING

MANAGING YOUR TEAM:
- BUILDING YOUR TEAM
- CONFLICT RESOLUTION
- LEADERSHIP SKILLS

🕐 1.5-HOUR SESSION EACH FOR 8-WEEKS

📝 CANVAS LEARNING MANAGEMENT SYSTEM

C

YOU^3 PROGRAM APPLICATION PROCESS

1. IDENTIFYING INFORMATION:
NAME, DEPT, PI, TRAINING YEAR, 2PG RESUME

2. CONSIDERED CAREER PATHS:
ACADEMIC/NON-ACADEMIC; FACULTY/NON-FACULTY; UNSURE

3. LONG RESPONSE PROMPTS (250-WORD LIMIT):

A. PRIMARY MOTIVATION TO APPLY FOR THE YOU^3 PROGRAM

B. SELECT <u>ONE</u> OF THE FOLLOWING TOPICS, TO SHARE SKILLS TO IMPROVE BASED ON YOUR EXPERIENCE(S)

- CONFLICT RESOLUTION PROBLEMS
- LEADERSHIP STRUGGLES
- MANAGING PEOPLE EFFECTIVELY
- TEAM DYNAMICS ISSUES

A

- Academic Faculty
- Academic Non-Faculty
- Non-academic jobs
- Don't know/other

B

No Training
Informal Training
Formal Training

Number of Responses

Self-awareness, Time Mangement, Resource Management, Human Resources, Building a Team, Interpersonal relationships, Conflict resolution, Leadership

C

Graduate Student
Postdoc

% Registrants Attended

General Events, Themed Events, You^3 Program

Self awareness, strengths & accountability

Score

* P = 0.99 P = 0.26

Hiring and interviewing

**** * P = 0.65

Implicit bias

** * P = 0.99

Building your team

**** ** P = 0.99

Time management

P = 0.21 P = 0.99 P = 0.22

Conflict resolution

P = 0.99 P = 0.99 P = 0.99

Budget management

P = 0.05 * P = 0.99

Leadership skills

P = 0.05 P = 0.60 P = 0.99

You[3] Participant

Control yes prev. programming

Control no prev. programming

A

Time management

Implicit bias

Building your team

Self awareness, strengths & accountability

Hiring and interviewing
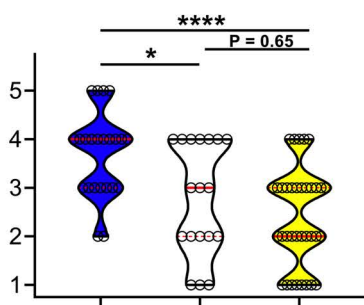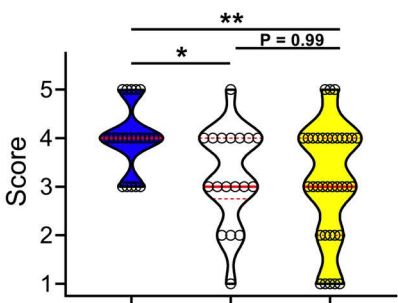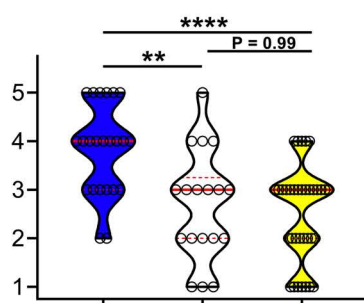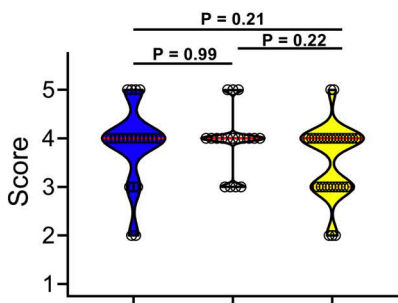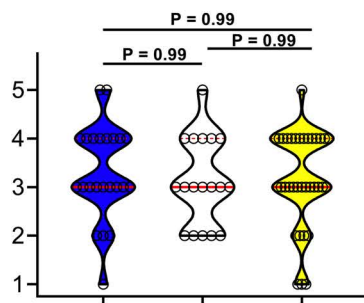
Budget management

Leadership skills

Conflict resolution

% of responses

- High Growth
- Medium Growth
- Low Growth
- No Growth

B

High Growth

Medium Growth

Low Growth

Time management

Self awareness, strengths, and accountability

Leadership skills

Implicit bias

Hiring and interviewing

Conflict resolution

Building your team

Budget management

Odds ratio (log scale)

You³ Program Application Process

Identifying information:

- Name
- Primary Department
- Years in Doctoral Training
- Year PhD was Conferred
- Name and Email of Advisor

Considered Career Paths:

- Academic Faculty
- Academic Non-Faculty
- Non-Academic Jobs
- Don't Know
- Other

Long Response Prompts (250 word max):

1. Tell us your primary motivation to apply for the You³ Program?

2. Describe a time... (select <u>one</u> of the following)

   ... when you had a *conflict or challenge* with a project that did not get solved effectively. What types of skills would you hope to learn from this course that could have helped you approach it differently?

   ... when you managed a person/people, what skills would be the most useful to be a *more effective leader* and why.

   ... where you struggled in a *managerial or leadership* role. What were the specific challenges? What skills from this course would be helpful and why?

   ... when you were part of a *team or project* that was not managed effectively. What were the issues and what steps did you or would you have taken to improve the experience?

Upload a Current Resume (2 page maximum)

Block 3

We're inviting you to participate in a research study to enhance current and future professional development programs for postdoctoral fellows at the University of Michigan. Participation is completely voluntary and response to any survey questions indicates your consent. Data collection is anonymous, however; once the survey is initiated (answering a question), your data cannot be removed. There are no negative consequences to participation, whatever you decide. If you have any questions or concerns, please contact Shoba Subramanian at shobas@umich.eduor 734-615-6511.

Block 1

Select the year when you started as a postdoc at the University of Michigan

○ 2014

○ 2015

- ◯ 2016
- ◯ 2017
- ◯ 2018
- ◯ 2019

Measuring Participants' Knowledge and Growth for Each of the You3 Topics

	Rate on a scale of 1-5 your **current** end-of-program knowledge in each of the You3 topic modules (1: very low; 3: medium; 5: very high)					Document any **increase in knowledge as a result the You3 program** participation. (Calibrate your baseline to knowledge before program started)			
	1	2	3	4	5	No Growth	Low Growth	Medium Growth	High Growth
Self awareness, strengths & accountability	◯	◯	◯	◯	◯	◯	◯	◯	◯
Implicit bias	◯	◯	◯	◯	◯	◯	◯	◯	◯
Time management	◯	◯	◯	◯	◯	◯	◯	◯	◯
Budget management	◯	◯	◯	◯	◯	◯	◯	◯	◯
Hiring, Interviewing	◯	◯	◯	◯	◯	◯	◯	◯	◯
Building your team	◯	◯	◯	◯	◯	◯	◯	◯	◯
Conflict resolution	◯	◯	◯	◯	◯	◯	◯	◯	◯

	Rate on a scale of 1-5 your **current** end-of-program knowledge in each of the You3 topic modules (1: very low; 3: medium; 5: very high)					Document any **increase in knowledge as a result the You3 program** participation. (Calibrate your baseline to knowledge before program started)			
	1	2	3	4	5	No Growth	Low Growth	Medium Growth	High Growth
Leadership skills	○	○	○	○	○	○	○	○	○

Measuring applicability of knowledge gained from You3 program participation. The application can be for a current or potential area of concern and can be in current postdoc position or in a career after your postdoc training.

	I plan to use the below skills & knowledge learned in You3 in a future professional space (current position or new career).		Provide 1-2 examples of where you will/could use knowledge from this module. If you answered "no", then say n/a. Example: Lab mentoring, ongoing collaboration, potential conflict, planning job applications, etc.
	Yes	No	Type 1-2 examples here
Self awareness, strengths & accountability	○	○	☐
Implicit bias	○	○	☐
Time management	○	○	☐
Budget management	○	○	☐

	I plan to use the below skills & knowledge learned in You3 in a future professional space (current position or new career).		Provide 1-2 examples of where you will/could use knowledge from this module. If you answered "no", then say n/a. Example: Lab mentoring, ongoing collaboration, potential conflict, planning job applications, etc.
	Yes	No	Type 1-2 examples here
Hiring, Interviewing	◯	◯	☐
Building your team	◯	◯	☐
Conflict resolution	◯	◯	☐
Leadership skills	◯	◯	☐

List any other workshop** you participated in between Sept and Nov 2019. Note: A workshop (in this context) is defined as a 1-hour or longer in-person program on a professional development topic led by an expert.

☐

You3 Program Evaluation

Overall, how satisfied or dissatisfied were you with the You3 Program?

○ Extremely satisfied

○ Moderately satisfied

○ Slightly satisfied

○ Neither satisfied nor dissatisfied

○ Slightly dissatisfied

○ Moderately dissatisfied

○ Extremely dissatisfied

How likely are you to recommend this course to a friend or classmate?

Not at all likely   Extremely likely

0○   1○   2○   3○   4○   5○   6○   7○   8○   9○   10○

How much did you learn from this course?

○ A great deal

○ A lot

○ A moderate amount

○ A little

○ Nothing at all

How reasonable or unreasonable was the time allocated for this course?

○ Extremely reasonable

○ Moderately reasonable

○ Slightly reasonable

○ Neither reasonable nor unreasonable

○ Slightly unreasonable

○ Moderately unreasonable

○ Extremely unreasonable

How knowledgeable was the instructor of the material presented in this course?

○ Extremely knowledgeable

○ Very knowledgeable

○ Moderately knowledgeable

○ Slightly knowledgeable

○ Not knowledgeable at all

How well did this course meet your expectations?

○ Extremely well

○ Very well

○ Moderately well

○ Slightly well

○ Not well at all

What did you like most about this program?

What did you like least about this program?

How could this program be improved?

Block 3

Thank you for participating in this inaugural program and for filling out the survey!

Powered by Qualtrics

Qualtrics Survey Software

Purpose of Survey

We're inviting you to participate in a research study to enhance current and future professional development programs for postdoctoral fellows at the University of Michigan. Participation is completely voluntary and response to any survey questions indicates your consent. Data collection is anonymous, however; once the survey is initiated(answering a question), your data cannot be removed. There are no negative consequences to participation, whatever you decide. If you have any questions or concerns, please contact Shoba Subramanian at shobas@umich.eduor 734-615-6511.

Participation in You3

Have you heard of the You3 Leadership & Management Program for Postdocs at the University of Michigan Medical School?

○ Yes

○ Maybe

○ No

Did you participate in the OGPS You3 Program - Sept-Nov 2019?

○ Yes

○ No

Select the year when you started as a postdoc at the University of Michigan

○ 2014

○ 2015

○ 2016

○ 2016

○ 2017

○ 2018

○ 2019

Measure You3 Topic Knowledge & Growth

Measuring Knowledge and Growth for Leadership & Management (as they apply in a professional work place).

	Rate on a scale of 1-5 your **current** knowledge in each of the topics (1: very low; 3: medium; 5: very high)					Document any **increase in knowledge (within the last 3 months)** based on participation in any recent workshops			
	1	2	3	4	5	No Growth	Low Growth	Medium Growth	High Growth
Self awareness, strengths & accountability	○	○	○	○	○	○	○	○	○
Implicit bias	○	○	○	○	○	○	○	○	○
Time management	○	○	○	○	○	○	○	○	○
Budget management	○	○	○	○	○	○	○	○	○
Hiring, Interviewing	○	○	○	○	○	○	○	○	○
Building your team	○	○	○	○	○	○	○	○	○
Conflict resolution	○	○	○	○	○	○	○	○	○
Leadership skills	○	○	○	○	○	○	○	○	○

List any workshops that you participated in between Sept and Nov 2019. Note: A workshop (in this context) is defined as a 1-hour or longer in-person program on a professional development topic led by an expert.

[ ]

You3 Program Evaluation

If offered next year, will you apply to participate in the You3 Postdoc Leadership and Management Program?
You3 Program Link

○ Extremely likely
○ Somewhat likely
○ Neither likely nor unlikely
○ Somewhat unlikely
○ Extremely unlikely

Optional: If you are unable to participate in You3, what are the barriers to this?

Qualtrics Survey Software

Optional: What other professional development workshops will you attend, if offered? Please list topics.

Block 4

Thank you for clicking on this survey, since you participated in You3, please respond to the survey posted via the Canvas site tailored for program participants

Thank you for filling out this survey!

Powered by Qualtrics
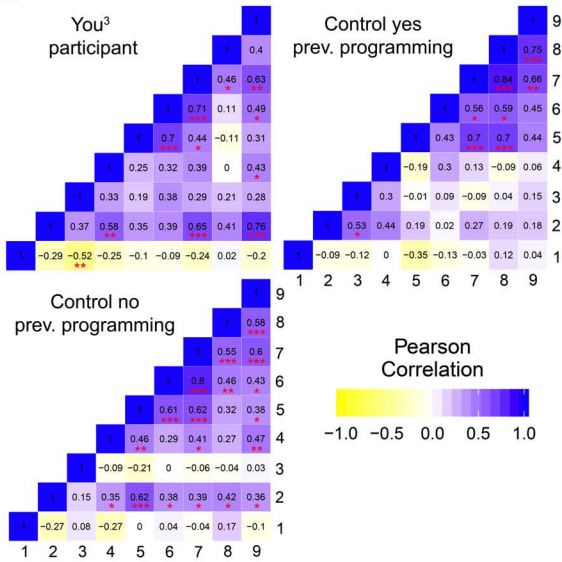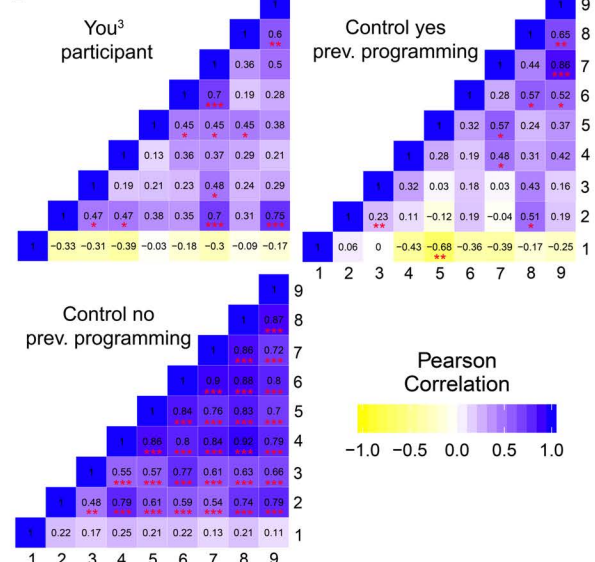
1. Years prior to 2019 in postdoctoral fellowship
2. Self awareness, strengths & accountability
3. Implicit bias
4. Time management
5. Budget management
6. Hiring and interviewing
7. Building your team
8. Conflict resolution
9. Leadership skills