

Attention improves information flow between neuronal populations without changing the communication subspace

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1 **Summary**

2 Visual attention allows observers to flexibly use or ignore visual information, suggesting that
3 information can be flexibly routed between visual cortex and neurons involved in decision-
4 making. We investigated the neural substrate of flexible information routing by analyzing the
5 activity of populations of visual neurons in the medial temporal area (MT) and oculomotor
6 neurons in the superior colliculus (SC) while rhesus monkeys switched spatial attention. We
7 demonstrated that attention increases the efficacy of visuomotor communication: trial-to-trial
8 variability of the population of SC neurons was better predicted by the activity of MT neurons
9 (and vice versa) when attention was directed toward their joint receptive fields. Surprisingly, this
10 improvement in prediction was not explained or accompanied by changes in the dimensionality
11 of the shared subspace or in local or shared pairwise noise correlations. These results suggest a
12 mechanism by which visual attention can affect perceptual decision-making without altering
13 local neuronal representations.

14

15 **Introduction**

16 Perhaps the most impressive hallmark of the nervous system is its flexibility. We effortlessly
17 alternate between relying on or ignoring the same sensory information in different contexts.
18 Visual attention dramatically affects perception and a wide variety of measures of neural activity
19 in essentially every visual and visuomotor brain area (for reviews, see (Maunsell, 2015; Moore
20 and Zirnsak, 2017)). Attention flexibly modulates signatures of neuronal activity including trial-
21 averaged firing rates (Desimone and Duncan, 1995; Maunsell, 2015; Reynolds and Chelazzi,
22 2004), shared variability between pairs of neurons in the same (Cohen and Maunsell, 2009,
23 2011; Gregoriou et al., 2014; Herrero et al., 2013; Luo and Maunsell, 2015; Mayo and Maunsell,
24 2016; Mitchell et al., 2009; Nandy et al., 2017; Ni et al., 2018; Ruff and Cohen, 2014a, 2014b,
25 2016a, 2019; Verhoef and Maunsell, 2017; Yan et al., 2014; Zénon and Krauzlis, 2012) and
26 different brain areas (Oemisch et al., 2015; Pooresmaeili et al., 2014; Ruff and Cohen, 2016a;
27 Ruff et al., 2016), interdependence of neuronal populations on a range of timescales (Azouz and
28 Gray, 2003; Bichot et al., 2005; Bosman et al., 2012; Briggs et al., 2013; Buffalo et al., 2011;
29 Buschman and Miller, 2007; Dagnino et al., 2014; Fries, 2015; Fries et al., 2001; Gregoriou et
30 al., 2009; Klink et al., 2017; Lakatos et al., 2008; Miller and Buschman, 2013; Moore and
31 Armstrong, 2003; Ruff and Cohen, 2016a, 2017; Saalman et al., 2007; Salinas and Sejnowski,
32 2001; Saproo and Serences, 2014; Womelsdorf and Fries, 2007; Womelsdorf et al., 2006a), and
33 the dimensionality of population activity within each brain area (Cowley et al., 2020; Huang et
34 al., 2019; Ruff et al., 2020).

35
36 The behavioral effects of attention make it clear that visual information can be flexibly routed: a
37 stimulus can either guide or be unrelated to a perceptual decision depending on the task
38 condition (Carrasco, 2011; Egeth and Yantis, 1997; Kohn et al., 2016a; Maunsell, 2015). In the
39 visual system, neurons in each area send projections to a variety of different sensory, association,
40 and motor areas, and only a small proportion of neuronal population activity is shared between
41 even highly connected brain areas (Semedo et al., 2019). Recent work used correlative methods
42 to identify a functional ‘communication subspace’, which consists of the dimensions of neuronal
43 population space in which trial-to-trial variability is shared between areas (Semedo et al., 2019,
44 2020). We similarly adopt the term ‘communication’ to refer to functional communication (i.e.,
45 shared trial-to-trial variability in responses to the same visual stimulus).

46

47 An exciting possibility is that modulations in the shape or the constitution of this subspace could
48 be a substrate for flexible, attention-dependent routing of sensory information. Compared to its
49 behavioral effects, attention has remarkably modest effects on the amount of visual information
50 encoded in visual cortex (Ruff and Cohen, 2019). Instantiating task or attentional flexibility via
51 flexible routing rather than information coding could allow the brain to retain irrelevant visual
52 information for future behavior or memory while the most relevant visual information guides
53 behavior.

54

55 We investigated three potential mechanisms of flexible information flow between visual cortex
56 and premotor neurons involved in decision-making. We tested the hypotheses that attention
57 modulates information flow between areas by (1) changing the way visual or task information is
58 represented in a local population, (2) changing the communication subspace itself, and/or (3)
59 changing the efficacy of information transfer (Figure 1d).

60

61 Our strategy was to analyze functional communication between neuronal populations in visual
62 and premotor areas while animals switched attention toward or away from their joint receptive
63 fields. We recorded simultaneously from dozens of visual neurons in the medial temporal area
64 (MT) and oculomotor neurons in the superior colliculus (SC) with overlapping receptive fields
65 while rhesus monkeys performed a task in which they switched spatial attention, alternatingly
66 using or ignoring the stimulus in the joint receptive fields of the recorded neurons. We used
67 recently published methods for analyzing functional relationships between populations of
68 neurons by assessing the dimensionality of shared variability and the extent to which the activity
69 of one population could be predicted by the other (Semedo et al., 2019, 2020). We focused on
70 trial-to-trial fluctuations in responses to the same visual stimulus because these are related to
71 functional connectivity rather than simply reflecting tuning for similar stimuli (for review, see
72 (Cohen and Kohn, 2011; Umakantha et al., 2020)), and have been shown to be correlated with
73 choice behavior (Ni et al., 2018; Ruff et al., 2018).

74

75 We found strong evidence for our third hypothesis, that attention improves the efficacy of
76 functional communication between visual and premotor neurons. Trial-to-trial variability of the

77 population of SC neurons was better predicted by the activity of MT neurons (and vice versa)
78 when attention was directed inside their joint receptive fields. This enhanced functional
79 communication was not explained by increases or decreases in private or shared pairwise noise
80 variability or a change in the number of private or shared dimensions of neuronal population
81 activity.

82

83 This enhanced functional communication was not restricted to interactions between visual and
84 premotor neurons. An independent dataset of simultaneously recorded neurons in primary visual
85 cortex (V1) and in MT revealed that attention also increases functional communication within
86 visual cortex. Even though the attention-related change in pairwise correlations and response
87 dimensionality within V1 was small compared to MT or SC, attention significantly enhanced our
88 ability to predict the responses of single MT neurons from population activity in V1. Similarly,
89 the effects of attention on functional communication were similar between MT and visual or
90 motor neurons in the SC.

91

92 Our study provides a blueprint for combining behavioral paradigms that vary cognitive processes
93 with dimensionality reduction and regression analyses to study how information can be flexibly
94 routed in the nervous system. We used these methods to demonstrate that attention substantially
95 improves the prediction performance between areas, more faithfully communicating information
96 about attended stimuli, independent of changes in pairwise correlations or the dimensionality of
97 either the local population or the shared variability. These results are the first demonstration of
98 how attention affects the activity of distinct but connected populations of neurons in a way that
99 affects the functional communication of visual information. They suggest a mechanism by which
100 cognitive processes can affect perceptual decision making in ways that are independent of
101 changes to the local neuronal representations.

102

103 **Results**

104 We compared evidence consistent with several potential mechanisms for flexible routing of
105 information. We chose a widely studied cued direction change detection task to study the
106 behavioral effects of attention on visual perception, and three brain regions that are known to
107 contribute to motion perception and visually-guided decision making – primary visual cortex

108 (V1), the middle temporal area (MT), and the superior colliculus (SC). While rhesus monkeys
109 performed the motion change detection task (Figure 1a), we recorded simultaneously from either
110 dozens of neurons in MT and SC (Figure 1b) with overlapping receptive fields (Figure 1c;
111 different aspects of these data were previously reported in Ruff and Cohen, 2019), or from
112 several dozen neurons in V1 and a single MT neuron (Figure 6; different aspects of these data
113 were previously reported in Ruff and Cohen, 2016a, 2016b). During the simultaneous MT-SC
114 recordings, the monkey was cued as to which of two stimuli was most likely to change during a
115 block of trials. This cued stimulus was placed either inside the overlapping receptive fields (RFs)
116 of the recorded MT and SC neurons or in the opposite hemifield (Figure 1c). Throughout this
117 manuscript, *attend in* refers to the trials where attention was directed toward the joint RFs and
118 *attend out* refers to trials where attention was directed to the opposite hemifield. The monkey
119 was rewarded for making a saccade to the location of the direction change, which occurred at a
120 random and unsignaled time. The ability of the animal to detect the median difficulty changes in
121 grating direction is enhanced by $\sim 25\%$ on average across sessions when attention was directed
122 to the location of the change (cued 76.5% detected, uncued 51.8% detected) (Ruff and Cohen,
123 2019). We analyzed the spike counts of each visually responsive multi-unit recorded from MT
124 and SC during presentations of identical Gabor stimuli before the direction change (excluding
125 the first presentation in each trial to remove adaptation effects). We also analyzed spike counts of
126 each SC unit with elevated firing rates before saccade onset to the contralateral visual field. In
127 the V1-MT data set, we tested our hypotheses on the responses of groups of V1 neurons whose
128 receptive fields overlapped either of two small stimuli, both of which were inside the RF of the
129 MT neuron (Ruff and Cohen, 2016a, 2016b).

130

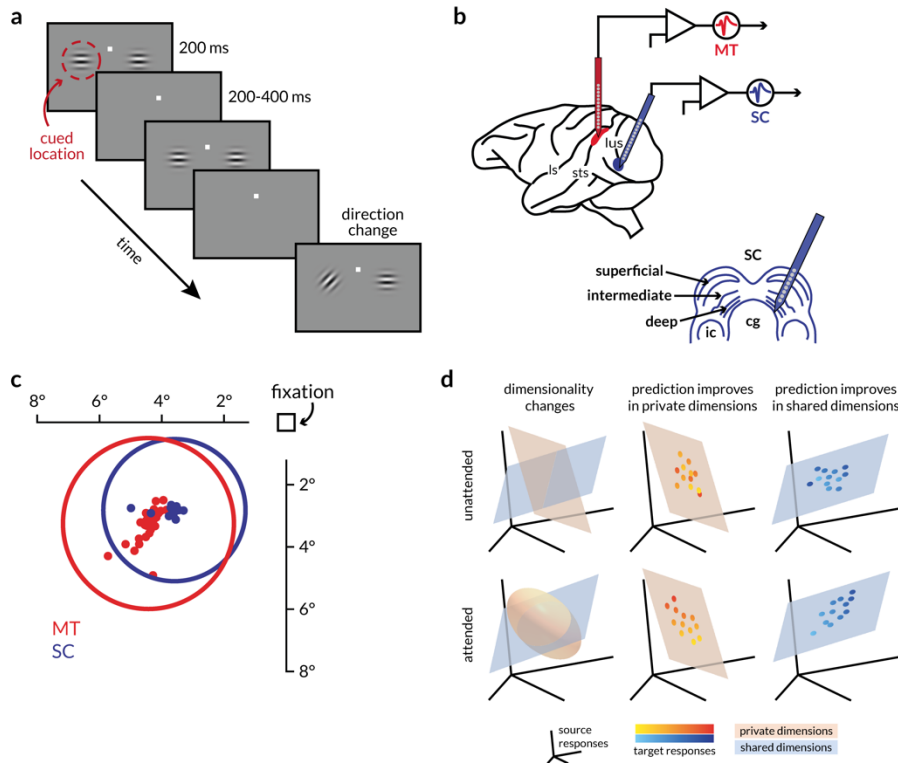
131 **Signatures of population interactions that underlie attentional mechanisms**

132 We tested the following non-mutually exclusive hypotheses (schematized in Figure 1d) about
133 how attention might modulate information flow within and between areas. (a) Attention
134 primarily modulates communication between areas by changing the dimensionality of either the
135 private or the shared subspace (Figure 1d, left column). (b) Attention improves the fidelity of
136 communication within local populations; this would be observable as an improvement in the
137 ability to predict the activity of one subset of a neurons in a population from the activity of a
138 different subset of neurons in the same area (Figure 1d, middle column) (c) Attention improves

139 the fidelity of communication across brain regions; this would be evident in the improved
 140 accuracy of prediction of neural activity of one region using the activity of the other and vice
 141 versa (Figure 1d right column).

Figure 1:

(0.75-page width – 1.5 column)



**Behavioral task,
 recording sites,
 receptive fields, and
 schematic of
 hypotheses.**

a: Schematic of the motion direction change detection task. The monkeys were cued in blocks of trials to expect changes in motion direction at one of two spatial locations (cue was 80% valid). The monkey started the trial by fixating a central spot. Two small Gabor stimuli

synchronously flashed on for 200ms and off for a randomized period of 200-400ms. One of the stimuli was positioned inside the joint receptive fields of the MT and SC neurons, and the other was placed in the opposite hemifield. Both stimuli moved in a direction that was chosen to drive the MT population well. After a randomized number of stimulus presentations (between 2 and 13), the direction of one of the stimuli changed. The monkeys were rewarded for making a saccade to the direction change in either location. We analyzed neuronal responses to all identical stimulus presentations except the first to minimize the effect of adaptation.

b: Illustration of recording locations. Populations of MT and SC neurons were recorded with linear 24-channel moveable probes from the right hemisphere of two monkeys as they were doing the behavioral task described in (a).

c: Receptive field locations of recorded units from an example recording session. The dots represent the receptive field centers of 28 MT (red) and 26 SC (blue) units. The circles represent the size and location of the median receptive field from each area.

d: Schematics describing the hypotheses about attention-related changes in information flow between two areas. Each icon depicts the response space of the source area (the responses of the first n neurons or principal components, for instance), and orange and blue surfaces that represent two subspaces for the private or shared fluctuations in neural activity respectively. The two rows of icons represent the attended and unattended conditions (when attention was directed toward or away from the receptive fields of the recorded neurons), and each column describes the expected result of each of the following hypothesis. (left) Attention could alter the dimensionality of the private, shared, or both subspaces. If attention only modified local representations, then the number of private dimensions that explain the local neural fluctuations would change. (middle) Alternatively, attention could modulate information flow by enhancing or diminishing the extent to which neural activity in a target population tracks the neural activity of its source. If attention acted via this mechanism locally, then prediction would improve in private dimensions. (right) If attention modulated functional communication by modulating information flow across areas, then prediction would improve in shared dimensions.

142 **Prediction of SC activity from MT activity using linear models improves with attention**

143 Testing the predictions of our hypotheses requires calculating the ability to predict the activity of
144 one population of neurons from another and identifying the dimensions of neural population
145 space through which functional communication occurs. We plot the results of these analyses for
146 one representative session in Figure 2. We used ridge regression to impose a sparse mapping
147 between random subsets of MT neurons and the full populations of SC neurons in each attention
148 condition (see Methods and Semedo et al, 2019).

149
150 Several features of this example recording session were typical of our data set. First, no subset of
151 the recorded MT neurons could effectively predict SC neural activity; the prediction accuracy
152 monotonically increased with the addition of MT neurons. Second, the accuracy of prediction
153 was significantly improved in the attend in trials vs attend out trials across all sub-selections of
154 the MT population. Third, attention also improved the ability to predict random subsets of SC
155 neurons from the full population of recorded MT neurons (Figure 2b).

156
157 To determine the relationship between these measures of functional communication between
158 neuronal populations in MT and the SC and more well-studied effects of attention, we next
159 calculated traditional metrics neuronal activity like pairwise spike count correlations (Figure 2c)
160 and population firing rate (Figure 2d). For this session, attention significantly decreased spike

161 count correlations in both MT and SC but did not have an effect on variability shared between
162 pairs of neurons in different brain areas. Attention also significantly increased mean firing rates
163 in this session. Firing rate and correlation changes across sessions are detailed in Figure S1.

164

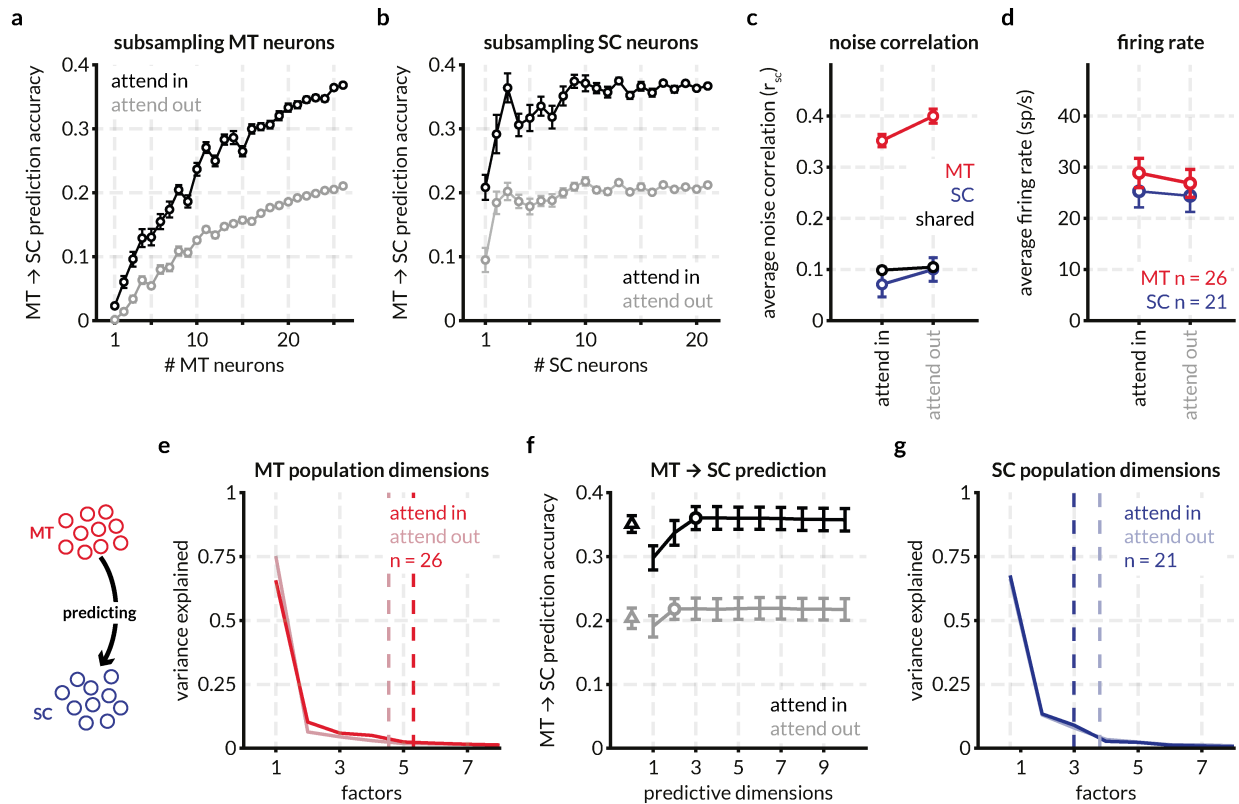
165 For the example session, we observed no attention-related change in the population
166 dimensionality in MT (~ 5 dimensions; Figure 2e) and SC (~ 3.5 dimensions; Figure 2g) defined
167 as the smallest number of dimensions that captured 95% of the variance in the shared covariance
168 matrix (assessed using factor analysis; (Cunningham and Yu, 2014); also see Methods for code
169 and other resources).

170

171 We next tested whether, as between two areas of visual cortex (Semedo et al., 2019), interactions
172 between MT and the SC are limited to a subset of dimensions of neural population space. For the
173 example session in Figure 2, only 2-3 dimensions of MT activity (identified using reduced rank
174 regression; see Methods; defined at the number of dimensions at which the curves in Figure 2f
175 reach asymptote) predicted SC activity at least as well as a full linear model (fit using ridge
176 regression; see Methods). The prediction accuracy for the attend in trials was significantly better
177 than the attend out trials irrespective of the number of predictive dimensions (the black line is
178 always above the gray line in Figure 2f).

Figure 2:

(full page width – 2 column)



Attention improves prediction of SC activity from MT activity, increases firing rate, and decreases spike-count correlations in an example recording session.

a: For an example session, the prediction accuracy of 1-26 randomly sampled (without replacement) MT neurons predicting the activity of a population of 21 SC neurons in the two attention conditions (attend in refers to the trials in which attention was directed within the joint RFs of the MT and SC neurons, and attend out refers to trials in which attention was directed in the opposite hemifield). Prediction was performed using a linear model with ridge regression and prediction performance was defined as the average cross-validated normalized square error (NSE) for the smallest ridge parameter for which the performance was within 1 SEM of the peak performance. Each point represents the mean prediction performance for n MT neurons predicting the full population of SC neurons. Error bars represent the standard error of the mean across random subsamples of n neurons.

b: Same as (a) but for predicting random subsets of SC neurons using the activity of the full population of MT neurons, showing that the effect of attention on MT-SC communication is not limited to a subpopulation of either the MT or SC neurons recorded in this session.

c: Spike count correlation (r_{sc}) defined as the correlation between the responses of pairs of neurons to all stimulus presentations for all MT neurons (325 pairs, red), SC neurons (210 pairs, blue), and MT-SC pairs (546 pairs, black). Attention decreases spike count correlations in MT (p

$= 1.2 \times 10^{-10}$; Wilcoxon signed rank test (WSRT)) and SC ($p = 0.0206$; WSRT) but has no effect on pairwise correlations across areas ($p = 0.2$; WSRT) for this recording session. See Figure S1 for r_{SC} for all pairs across recording sessions.

d: Neuronal firing rates increase with attention in MT ($p = 8.3 \times 10^{-6}$; WSRT) and SC ($p = 0.04$; WSRT) for this session. See Figure S1 for firing rates for all neurons across sessions.

e: Factor analysis of MT population responses for this session reveals that 90% of the variance in the MT response fluctuations can be accounted for by ~ 5 dimensions. The number of population dimensions is greater for the attend in condition vs the attend out condition. The arrow in the icon signifies that the MT population (source) is being used to predict the SC population (target): henceforth labeled as MT \rightarrow SC prediction.

f: Predicting SC activity from MT responses using reduced-rank regression (RRR; black and gray lines) and ridge regression (triangle) reveals that the prediction performance for a matched number of trials is dramatically better for the attend in condition (black) vs the attend out condition (gray). The optimum number of dimensions (circle) for the reduced-rank regression was defined as the lowest number of dimensions for which prediction performance was within 1 SEM of peak performance. This performance is at least as good as the performance of the ridge regression performance that uses all the source dimensions for prediction (the difference between the RRR prediction and the ridge regression prediction was not significant across sessions; data not shown). The number of source dimensions required for optimum regression performance was 3 for attend in and 2 for attend out suggesting that fewer dimensions are required for communication between MT and SC than the total number of population dimensions.

g: Factor analysis of SC neurons reveals that 90% of the variance in the SC response fluctuations can be accounted for by 3-4 dimensions. For this session, the number of population dimensions is greater for the attend out condition vs the attend in condition.

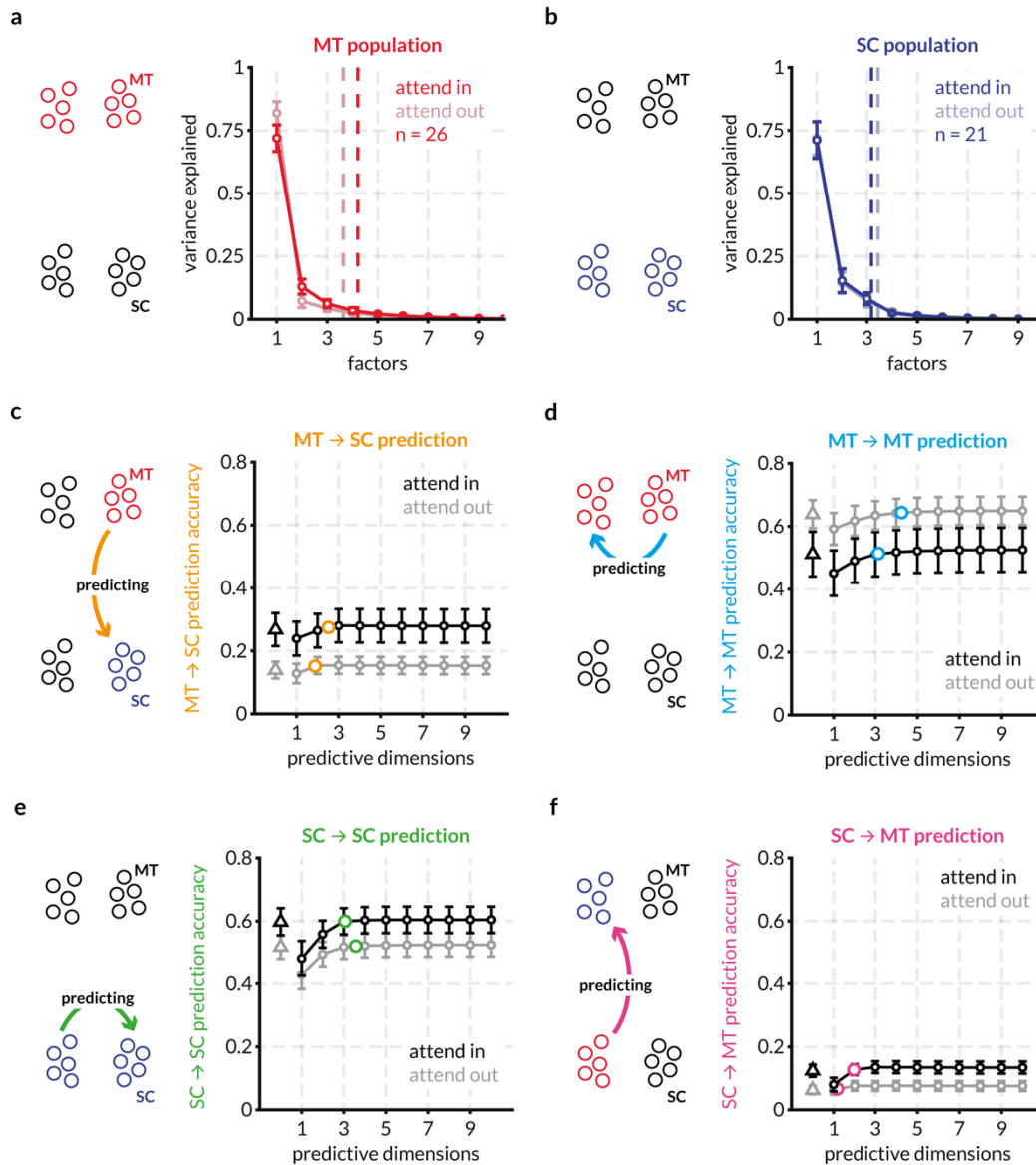
179 **Attention improves prediction accuracy for inter-areal communication channels**

180 Testing our hypothesized mechanisms of information flow (Figure 1) requires determining how
181 attention affects the dimensionality and informativeness of interactions within and between
182 populations of neurons in MT and the SC. We therefore fit linear models for repeated random
183 splits of the populations of recorded MT and SC neurons in all four directions – MT \rightarrow SC, MT
184 \rightarrow MT, SC \rightarrow MT, and SC \rightarrow SC. We depict the effect of attention on these four communication
185 channels (for the same single session as in Figure 2) in the form of mean prediction accuracy
186 across all tested population splits (Figure 3c-f). For this session, the prediction performance
187 improves with attention for all functional communication channels except within MT where it
188 depreciates. We estimated the population dimensionality as of each of the randomly split

189 populations of MT and SC neurons using factor analysis to compare with number of predictive
 190 dimensions (Figures 3a, b). Consistent with result for the full population above, the number of
 191 dimensions within each area is not affected by attention.

Figure 3:

(full page width – 2 column)



Randomly partitioned populations of MT and SC neurons predict activity within and across areas better with attention for the same example session. To compare prediction performance for inter- and intra-areal interactions, we randomly split both the populations of MT and SC neurons into two halves each – the target and source halves – as indicated in the icons. Each

source half was used to predict the activity of both target halves using both the full linear model (ridge regression) and the reduced-rank regression (RRR) model. This split was done 20 times and the mean performance across the random splits is shown in c-f. Error bars indicate the SEM across these splits.

a: Factor analysis of MT neurons reveals that 95% of the variance in the MT response fluctuations can be accounted for by ~ 4 dimensions on average across all splits for this session. The number of population dimensions is greater for the attend in condition vs the attend out condition.

b: Same as (a) for SC neurons. For this session, SC population fluctuations are captured by ~ 3 dimensions in both attention conditions.

c: Average prediction performance for the full model (black and gray triangles) and the RRR model (black and grey circles) across random splits of the MT and SC populations. The orange circle indicates the average optimum performance and average number of optimum prediction dimensions across the random splits. For each session, this point of optimum performance is plotted in different comparisons in the following figures. For this session, attention improves MT \rightarrow SC prediction performance. For all predictions, the RRR model performs at least on par with the full linear model using ridge regression.

d: same as (c) for MT \rightarrow MT predictions. For this session, attention degrades prediction performance. The average optimum performance and average optimum prediction dimensions are indicated with blue circles.

e: same as (c) for SC \rightarrow SC predictions. For this session, attention improves prediction performance. The average optimum performance and average optimum prediction dimensions are indicated with green circles.

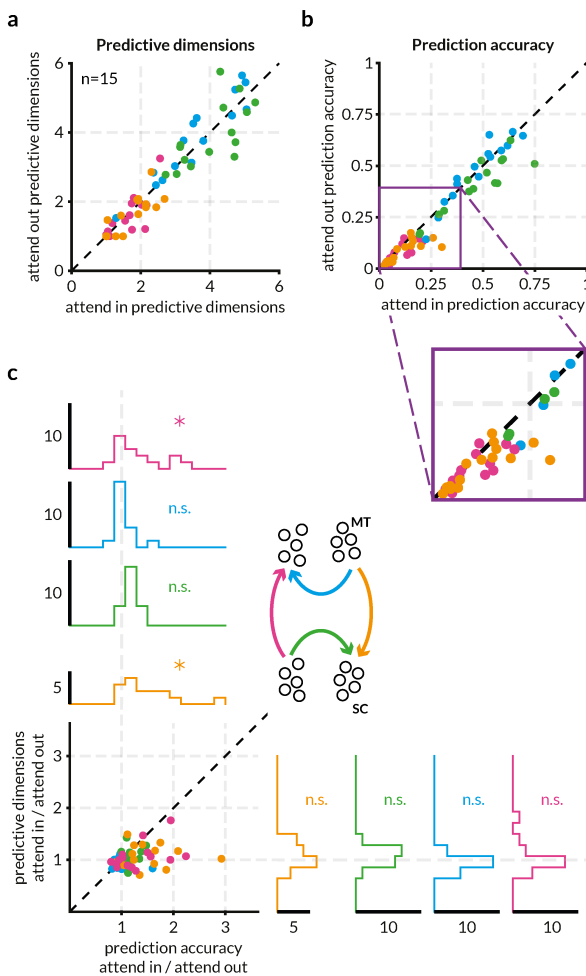
f: same as (c) for SC \rightarrow MT predictions. For this session, attention improves prediction performance. The average optimum performance and average optimum prediction dimensions are indicated with pink circles.

192 Across sessions, prediction performance between MT and the SC improves with attention
193 without changing the dimensionality of that communication (Figure 4). The number of predictive
194 dimensions required to account for intra-areal communications was higher than the number of
195 dimensions for inter areal communication in both attention conditions. Whereas prediction
196 accuracy for intra-areal communication was consistently high and remained unaffected by
197 attention, the prediction accuracy for inter-areal communication significantly improved with
198 attention (Figure 4b, which shows the ratios of the number of predictive dimensions and of the
199 prediction accuracy in the two attention conditions). Attention does not affect the number of
200 predictive dimensions required for communication within and across areas (the marginal
201 distributions of ratios are centered at and not significantly different from 1; Wilcoxon signed

202 rank test) but improves the prediction accuracy between MT and the SC (the distributions of
 203 ratios of MT \rightarrow MT and SC \rightarrow SC prediction accuracy are centered at and not significantly
 204 different from 1 but the ratios of MT \rightarrow SC and SC \rightarrow MT prediction accuracy are significantly
 205 greater than 1; Wilcoxon signed rank test; see also the distributions for each communication
 206 channel in Figure S3).

Figure 4:

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Attention improves the accuracy of across area prediction but not within area prediction without altering the dimensionality of the communication subspace. Each point of a given color represents a recording session. The color scheme is depicted in the icon in (c) and is consistent with other figures.

a: Attention does not affect the dimensionality of the interaction between MT and SC neurons. Each point represents the average number of optimum predictive dimensions for each session for one of the four predictions – MT \rightarrow SC (orange), MT \rightarrow MT (blue), SC \rightarrow SC (green), SC \rightarrow MT (pink) – for the two attention conditions. There was so significant difference between the number of predictive dimensions for any of the four predictions. See Figure S5 for a detailed version of this panel. (MT-MT mean 3.67, range 1.5-5.2 for attend in and mean 3.74, range 1.1-5.3 for attend out; SC-SC mean 4, range 2.9-5.3 for attend in and mean 3.9, range 2.85-5.7 for attend out; MT-SC mean 1.8, range 1-2.5 for attend in and mean 1.75, range 1-2.7 for attend out; SC-MT mean 1.6, range 1-2.7 for attend in and mean 1.55, range 1-3.15 for attend out)

b: Attention significantly increases the prediction accuracy of inter-areal but not intra-areal interactions. Each point represents the average prediction performance across random splits for one of the four predictions. The purple inset affords a zoomed in view of the relevant part of the plot which reveals that the points corresponding to the MT \rightarrow SC (orange) and SC \rightarrow MT (pink)

predictions lie below the unity line. The average prediction accuracies for the attend in trials were significantly greater than those for the attend out trials for the MT → SC prediction ($p = 0.0015$; Wilcoxon signed-rank test) and for the SC → MT prediction ($p = 8.54 \times 10^{-4}$; Wilcoxon signed-rank test) but not the MT → MT or SC → SC predictions.

c: The data in (a) and (b) visualized as a ratio of attend in and attend out. The marginal distributions of the ratios of prediction accuracy and predictive dimensions for all four predictions are also displayed. The mean ratios of prediction accuracy for MT → SC (orange) and SC → MT (pink) were significantly greater than 1 ($p = 0.0016$ and $p = 0.012$ respectively; t -test). The colored arrows in the icon indicate the source and target populations for each of the four predictions.

207 While attention is known to affect the mean pairwise spike count correlations within and
208 between areas (Cohen and Maunsell, 2009; Mitchell et al., 2009; Ruff and Cohen, 2014a, 2016a),
209 we found that attention-related improvements in prediction accuracy are not contingent on
210 increases or decreases in spike count correlations. The ratio of prediction accuracies in the two
211 attention conditions within and between areas was unrelated to the attention-related difference in
212 mean spike count correlations between pairs of neurons within MT, within SC and between MT
213 and SC (Figure S3).

214

215 The connectivity and functional roles of populations of SC neurons differ by layer, so we made
216 use of our recordings that spanned layers to investigate whether functional communication
217 between MT and the SC depends on layer as well. MT projections to SC predominantly end in
218 the superficial layers in SC ((Fries, 1984, 1985) but also see (Lock et al., 2003)). Tecto-pulvinar
219 projections from the superficial and intermediate layers of SC end in the inferior pulvinar which
220 in turn projects to extra-striate areas (Lyon et al., 2010; Stepniewska et al., 1999). Also, there is
221 some evidence that extra-striate projecting lateral geniculate nucleus (LGN) neurons do not
222 receive direct retinal input and are dependent on SC projections across all layers for relaying
223 visual information to MT (Benevento and Yoshida, 1981; Rodman et al., 1990). Given these
224 laminar differences in cortical and thalamic inputs to and outputs from SC, we tested whether
225 there is a difference between the attentional effect on information flow across functional classes
226 of SC neurons. To classify SC neurons, we calculated an oculo-motor score based on SC neuron
227 responses to visual stimuli and responses just prior to saccade onset (see Methods) and divided
228 each population into two groups based on the rank ordering of oculo-motor scores. We then

229 further split each SC population randomly as described before to serve as the source and target of
230 regression with the simultaneously recorded MT population (Figure S4). We found no significant
231 differences in the effect of attention on either the prediction accuracy or the number of
232 dimensions required for prediction between the SC populations split by oculo-motor score
233 (labeled *visual* and *motor* for brevity). Compared to random splits of the SC population, when
234 split by oculo-motor score, the effect of attention on the prediction accuracy of the SC → SC
235 regression is pronounced (Figure S3c vs Figure S4c).

236

237 **Attention does not improve information flow by altering private or communication** 238 **subspaces**

239 The attention-related improvement in information flow (as implied by increased prediction
240 accuracy across MT and SC) could in principle arise by changing the subspaces of activity
241 responsible for functional communication within or between areas. We did not find evidence that
242 attention changes the dimensionality of any of these subspaces: there was no attention-related
243 change in the dimensionality of the local populations of MT and SC neurons (Figure S5a and
244 S5b respectively) or in the number of predictive dimensions for the various communication
245 subspaces within and between the two areas (Figure S5c-f). We consistently found that more
246 dimensions were required to account for intra-areal communication than to account for inter-
247 areal communication (mean 3.6 for MT → MT and 4 for SC → SC; vs 1.8 for MT → SC and 1.6
248 for SC → MT). This disparity suggests that MT and SC interact via a limited communication
249 subspace.

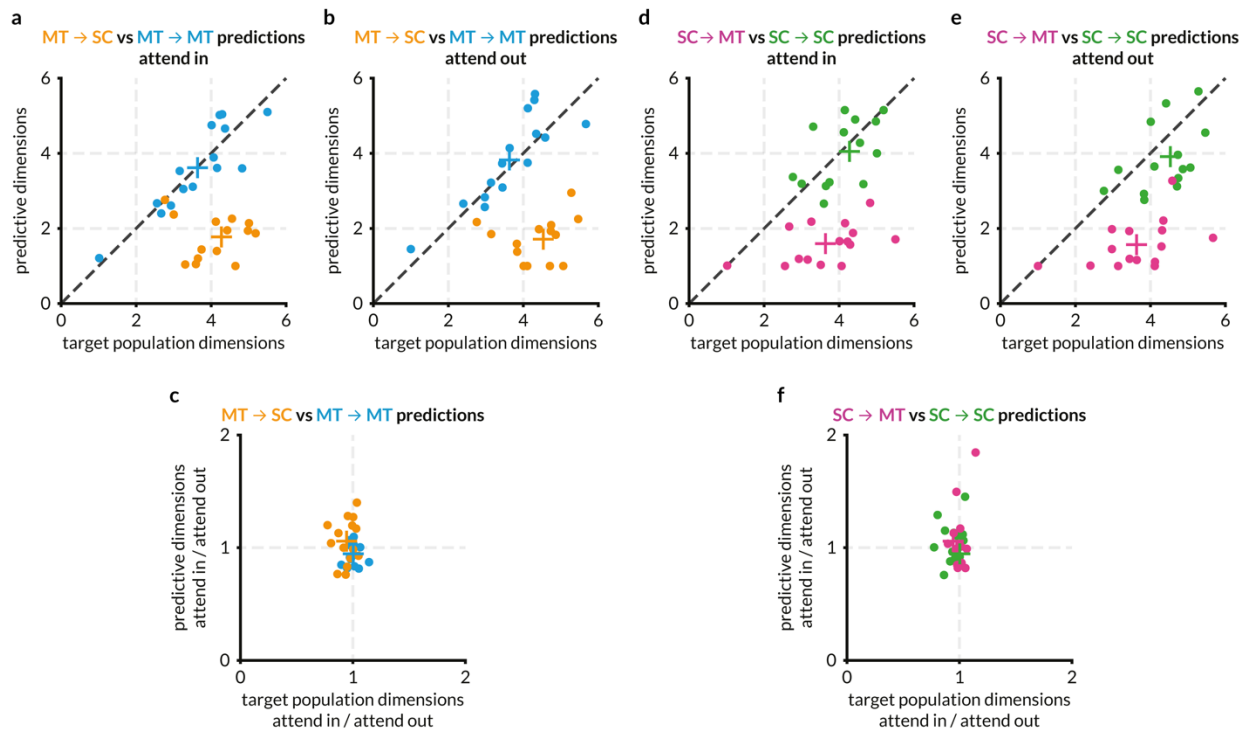
250

251 Attention did not affect the dimensionality of the communication subspace. When we compared
252 the number of dimensions used for private communication with the number of shared dimensions
253 for MT → MT prediction and MT → SC prediction, we found that significantly fewer
254 dimensions are required for MT → SC communication than are available, but MT → MT
255 communication utilizes all available dimensions (Figure 5a). This effect was similar in the two
256 attention conditions (Figures 5b and c), and we found similar results in the SC → MT direction
257 when compared with SC → SC communication (Figure 5d-f). We found no relationship between
258 the functional communication channels when assessed on a session-by-session basis (Figure S6).

259 We also did cross-prediction analyses (using the attend in linear model to predict attend out data
 260 and vice versa) to check if the structure of the communication subspace changes while keeping
 261 its dimensionality, in turn causing the prediction accuracy to be better (Figure S7). We found that
 262 while the intra-areal models performed almost as well when swapped, the inter-areal models
 263 suffered a loss in prediction accuracy. This does not necessarily imply that the geometry of the
 264 communication subspaces changes with attention but that linear methods are unable to find a
 265 common subspace between the two attention conditions (also see Discussion).

Figure 5:

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MT and SC populations interact via a communication subspace, but attention has no effect on the dimensionality of the communication subspace. Each point represents a recording session, and the color scheme is the same as other figures. Colored + represents the mean of the corresponding points. This figure compares the number of factors that explain 95% of the variance in the target area (from factor analysis) with the number of dimensions in the source area that are sufficient to predict the target area activity (from RR regression). Qualitative comparisons between the absolute values of the ‘number of dimensions’ from these two analyses in depicted in a, b, d, and e. The effect of attention is depicted in c and f.

a: For the attend in condition, the number of private predictive dimensions are greater than the number of shared predictive dimensions in MT. Further, for the MT \rightarrow SC prediction (orange points), fewer dimensions are required to predict SC activity than are required to explain 95% of the variance in the SC activity, forming a communication subspace in MT that comprises of ~ 2 shared dimensions that are sufficient to predict the ~ 4 -dimensional activity in SC. For the MT \rightarrow MT prediction, the number of predictive dimensions is similar to the number of population dimensions i.e., the predictive dimensions in MT are as large as possible and closely match the complexity of the target population, unlike the MT \rightarrow SC prediction.

b: Same as (a) for the attend out condition.

c: Data in (a) and (b) presented as a ratio to compare the effect of attention on the communication subspace in MT.

d: For the attend in condition, the number of private predictive dimensions are greater than the number of shared predictive dimensions in SC. For the SC \rightarrow MT prediction (pink points), fewer dimensions are required to predict MT activity than are required to explain 95% of the variance in the MT activity i.e., a communication subspace exists in SC that comprises of ~ 2 shared dimensions that are sufficient to predict the ~ 3.5 -dimensional activity in MT.

e: Same as (d) for the attend out condition.

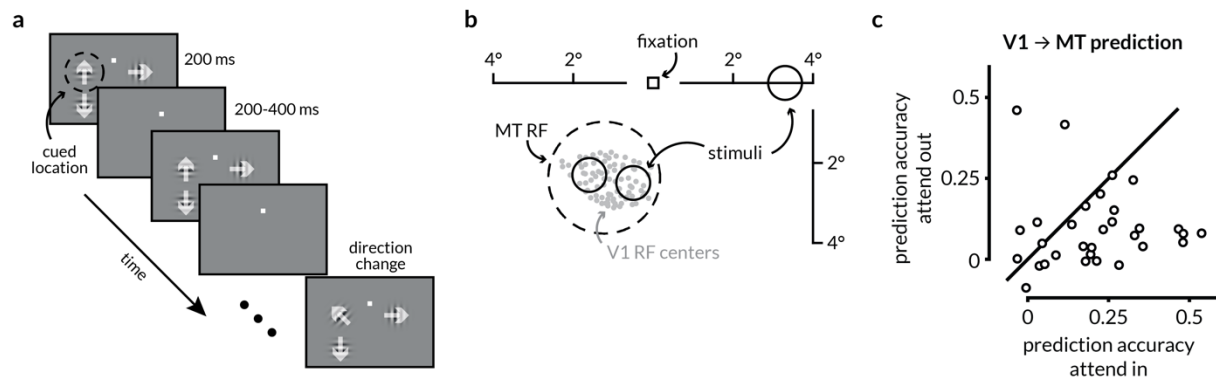
f: Data in (d) and (e) presented as a ratio to compare the effect of attention on the communication subspace in SC.

266 **Attention improves information flow between V1 and MT**

267 Both MT and SC exhibit relatively large attention-related changes in a number of measures of
268 neuronal activity (Goldberg and Wurtz, 1972; Ignashchenkova et al., 2004; Krauzlis et al., 2013;
269 Recanzone and Wurtz, 2000; Seidemann and Newsome, 1999; Womelsdorf et al., 2006b).
270 Attention-related improvements in information flow may in principle be exclusive to pairs of
271 regions that individually show significant changes in local representations. We tested this
272 hypothesis by analyzing previously published simultaneous recordings of populations of neurons
273 in V1 (which tend to show very modest effects of attention) and a single MT neuron (Ruff and
274 Cohen, 2016a, 2016b; Ruff et al., 2016). As with the MT \rightarrow SC results, we found that attention
275 dramatically improves V1 \rightarrow MT prediction accuracy (Figure 6c; because we only recorded one
276 MT neuron at a time, it was not possible to compute MT \rightarrow V1 prediction accuracy). These
277 results demonstrate that even though the effect of attention on V1 was small, attention-related
278 effects on inter-areal communication are not contingent on large effects of attention in individual
279 regions.

Figure 6:

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Attention enhances prediction accuracy between V1 and MT.

a: Schematic of the motion direction change detection task used during the V1-MT recordings. The monkeys were instructed to attend to changes in motion direction at one of three spatial locations while ignoring changes at the other two locations in blocks of 50-100 trials. The monkey started the trial by fixating a central spot. Two or three small Gabor stimuli synchronously flashed on for 200ms and off for a randomized 200-400ms period. Two of the stimuli were positioned inside the joint receptive fields (RFs) of the V1 and MT neurons, and the other was in the opposite hemifield. Trials during which attention was directed into the MT RF towards either of the two spatial locations were considered attend in trials, and trials in which attention was directed to the opposite hemifield were considered attend out trials. In blocks when the monkey was cued to attend to one of the two locations inside the RFs, the third stimulus wasn't presented. One of the two stimuli in the RF moved in the preferred direction of recorded MT neuron and the other moved in the anti-preferred direction. When presented, the third stimulus moved in the preferred direction of the MT neuron. After a randomized number of stimulus presentations (between 2 and 13), the direction of one of the stimuli changed. The monkeys were rewarded for making a saccade to the direction change in the cued location. Premature saccades or saccades to changes in motion direction at the un-cued location were not rewarded. We analyzed all identical stimulus presentations except the first to minimize the effect of adaptation.

b: RF locations of recorded units from an example recording session. The gray dots represent the RF centers of 96 V1 neurons. The dotted circle represents the size and location of the RF for the recorded MT neuron. The size and locations of the stimuli were selected such that they lie within the MT RF.

c: Attention improves the performance of V1 → MT prediction. Each dot represents the cross-validated normalized r^2 for a linear model of the MT neuron's activity from V1 population activity using ridge regression for one recording session. The prediction accuracy on attend in trials was significantly greater than the accuracy on attend out trials ($p = 0.0159$; Wilcoxon

signed-rank test). The value of the ridge parameter was chosen to be the smallest value for which the model performance was within 1 S.E.M. of the peak performance.

280 **Discussion**

281 Our results show that attention changes the functional communication between populations of
282 visual and premotor neurons. We demonstrated that attention changes the extent to which the
283 activity of populations of neurons in the SC and be predicted by neuronal population in MT, and
284 vice versa. These changes in information flow are not accompanied by changes in the
285 dimensionality of the subspace of activity that is shared between areas, and they are independent
286 of changes in firing rates, noise correlations, or population activity within each brain area. These
287 results suggest that changes in information flow may mediate behavioral flexibility and place
288 important constraints on models of flexible neural circuits.

289

290 **How attention-related increases in functional communication fit in with hypothesized** 291 **mechanisms underlying attention**

292 Previous studies have focused on a small number of hypothesized mechanisms by which
293 attention might improve perception (Driver, 2001; Lavie, 2010; Peelen and Kastner, 2014; Ruff
294 et al., 2018). The most studied hypothesis is that attention improves perception by improving
295 information encoding (Cohen and Maunsell, 2009; Mitchell et al., 2007, 2009; Ruff and Cohen,
296 2014a). The observed attention-related changes in the responses of individual neurons and in
297 correlations between visual neurons appear consistent with this hypothesis. However, neuronal
298 populations typically encode more than enough sensory information to account for
299 psychophysical performance (Kanitscheider et al., 2015; Kohn et al., 2016b; Parker and
300 Newsome, 1998; Ruff and Cohen, 2014b, 2019), and the changes in trial-by-trial fluctuations
301 may not reflect changes in information coding that are behaviorally-relevant (Baruni et al., 2015;
302 Moreno-Bote et al., 2014). An alternate theory is that attention selectively improves the
303 communication of sensory information to the neurons involved in perceptual decision-making.
304 Physiological studies along these lines have primarily focused on changes in synchrony or
305 coherence between areas on very short timescales (one or a few milliseconds, for review see
306 (Womelsdorf and Fries, 2007)) or using human imaging data to assess functional connectivity
307 over multiple seconds (Indovina and Macaluso, 2004; Ozaki, 2011; Rossi et al., 2014). However,

308 co-variability on short timescales is mathematically nearly independent of correlations on the
309 timescale of hundreds of milliseconds (Bair et al., 2001), and unlike fluctuations on very short or
310 very long timescales, response fluctuations on the timescale of hundreds of milliseconds covary
311 with perceptual decisions (Nienborg and Cumming, 2010; Nienborg et al., 2012).

312

313 Recently, we showed that attention is associated with only modest changes in either information
314 coding in visual cortex or the way information is read out by premotor neurons on the timescale
315 of perceptual decisions (Ruff and Cohen, 2019). Instead, our multi-neuron, multi-area recordings
316 suggest that attention reshapes population activity in visual cortex which changes the visual
317 information that guides behavior via relatively fixed readout mechanisms. Our current results
318 suggest a functional implication of this reshaping, changing the information that is shared
319 between sensory neurons and the premotor neurons involved in decision-making, without
320 substantially changing the geometry of the subspace of activity that is shared between them.

321

322 **The communication subspace as a mechanism for flexible behavior**

323 Many recent studies have shown that the activity of populations of neurons in many areas is
324 generally confined to a subspace of population activity that is much lower dimensional than the
325 number of recorded neurons (Cowley et al., 2016; Cunningham and Yu, 2014; Elsayed and
326 Cunningham, 2017; Elsayed et al., 2016; Golub et al., 2016; Jazayeri and Afraz, 2017; Kaufman
327 et al., 2014; Kiani et al., 2007; Miri et al., 2017; Morcos and Harvey, 2016; Pandarinath et al.,
328 2018; Pitkow and Angelaki, 2017; Ruff et al., 2018; Sadtler et al., 2014; Yu et al., 2009). The
329 divergent anatomical connections between even the most highly interconnected brain areas have
330 long suggested that only a portion of the information encoded in each area is shared between
331 areas.

332

333 A recent set of studies used recordings from multiple populations of neurons to establish that
334 functional communication between different brain areas in the motor (Kaufman et al., 2014) or
335 visual system (Semedo et al., 2019, 2021) is confined to a subspace of activity that is even lower
336 dimensional than the activity within each area. Our results are consistent with the proposal in
337 these that this limited communication subspace is an attractive mechanism for behavioral
338 flexibility (Kaufman et al., 2014; Semedo et al., 2019). Because only a subset of information is

339 shared, reshaping activity within the source area (as in Ruff and Cohen, 2019) and/or having a
340 fixed but nonlinear subspace (proposed in Semedo et al., 2019) would change the information
341 that is functionally communicated to a target area. Using cross-prediction analyses, we found
342 that these linear methods reveal a difference in the structure of the communication subspace
343 across attention conditions, but this observation may be consistent with a fixed, non-linear
344 communication subspace, information flow could be improved by shifting the alignment of the
345 shared fluctuations along the non-linearity (Figure S7). This mechanism is particularly attractive
346 because changes in functional communication could occur without relying on changes in the
347 weights relating one population to another, which may rely on synaptic plasticity mechanisms
348 that occur over longer than behaviorally relevant timescales (Egeth and Yantis, 1997).

349
350 Our results demonstrate that the amount of information shared via the communication subspace
351 between visual areas (V1 and MT, Figure 6) or between visual and premotor areas (MT and the
352 SC, Figure 4) is in fact flexible. In future studies, it will be interesting to test the limits of this
353 flexibility, such as whether this mechanism might mediate flexible communication of different
354 stimulus features or information accumulated on different timescales that must mediate more
355 complex forms of behavioral flexibility.

356

357 **Constraints on mechanistic models**

358 Measurements of the activity of large populations has proven critical for constraining
359 mechanistic models. Phenomenological models can explain attention-related changes in firing
360 rates (Boynton, 2009; Ecker et al., 2016; Gilbert and Sigman, 2007; Maunsell, 2015;
361 Navalpakkam and Itti, 2005; Reynolds and Heeger, 2009), but these do not provide insight into
362 circuit mechanisms. A staggering variety of biophysical models can recreate the effects of
363 attention on the trial-averaged responses of individual neurons (Ardid et al., 2007; Buia and
364 Tiesinga, 2008; Deco and Thiele, 2011; Huang et al., 2019; Kanashiro et al., 2017; Silver, 2010;
365 Sutherland et al., 2017). We and others have shown that attention-related changes in correlated
366 variability that resides in a low dimensional subspace of population activity provides much
367 stronger constraints on circuit models (Huang et al., 2019; Kanashiro et al., 2017).

368

369 The observations that functional communication between areas is lower dimensional than
370 activity within each area (Kaufman et al., 2014; Semedo et al., 2020) and our observation that
371 attention changes this communication will further constrain circuit models. In particular, many
372 models (Brunel and Wang, 2001; Huang et al., 2019; Kanashiro et al., 2017; Machens et al.,
373 2005; Rubin et al., 2015) and experiments (Fu et al., 2014; Karnani et al., 2016; Kuchibhotla et
374 al., 2017) implicate inhibition in the flexibility of neuronal populations, but whether these
375 mechanisms readily create low dimensional and flexible communication subspaces is unknown.
376 It is possible that the complementary influence of different subtypes of inhibitory interneurons
377 may underlie the flexible functional communication we observed (Cardin et al., 2009; Herrero et
378 al., 2008; Roberts et al., 2005; Veit et al., 2017).

379

380 **Concluding remarks**

381 The hallmark of the nervous system is its flexibility. Flexible behavior must rely, on some level,
382 on flexible information flow. Attention, which changes the behavioral importance of different
383 objects, features, or locations, is a good model of flexible information flow. Our results
384 demonstrate that this flexibility is instantiated, at least in part, by changes in the information that
385 is shared between different stages of the visuomotor pathway. These results lay the groundwork
386 for establishing the role of flexible inter-area communications in a variety of sensory, cognitive,
387 and motor computations.

388

389 **Acknowledgements:** We are grateful to K. McCracken for providing technical assistance, to
390 Adam Kohn for comments on an earlier version of this manuscript, and to Brent Doiron, Joao
391 Semedo, and Byron Yu for helpful comments and suggestions regarding data analysis. M.R.C. is
392 supported by National Institutes of Health grant R01EY022930 and Simons Foundation Grant
393 542961SPI.

394 **Author Contributions:**

395 Conceptualization, Methodology, Writing – Review & Editing, R.S., D.A.R., and M.R.C.;
396 Software, R.S., D.A.R.; Analysis, Visualization, Writing – Original Draft, R.S. and M.R.C.;
397 Funding Acquisition, Resources, Supervision, M.R.C.

398 **Declaration of Interests:** The authors declare no competing interests.

STAR ★ METHODS

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KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Experimental Models: Organisms/Strains		
Rhesus Macaques (Macaca mulatta)	University of Pittsburgh and Carnegie Mellon University	N/A
Software and Algorithms		
MATLAB	MathWorks	mathworks.com/products/matlab.html
Psychophysics Toolbox v3	(Brainard, 1997)	psychotoolbox.org
Data Acquisition		
Plexon 24-channel linear probes	Plexon Inc Dallas, TX 75206 USA	plexon.com/products/plexon-s-probe/
Blackrock 10x10 array	Blackrock Microsystems LLC Salt Lake City, UT 84108 USA	blackrockmicro.com
Ripple Neuromed	Ripple Neuromed Salt Lake City, UT 84106 USA	rippleneckuromed.com
EyeLink 1000 Eye tracking system	SR Research Ottawa, Ontario, Canada., K2L 2B9	sr-research.com
Plexon Offline Sorter™ 3.3.2	Plexon Inc Dallas, TX 75206 USA	plexon.com/products/offline-sorter

399 RESOURCE AVAILABILITY

400 *Lead Contact*

401 Requests for resources should be directed to and will be fulfilled by the Lead Contact,

402 Ramanujan Srinath (ramanujan@pitt.edu).

403 *Materials Availability*

404 This study did not generate new unique reagents.

405 *Data and Code Availability*

406 The data and MATLAB code that support the findings of this study have been deposited in a
407 public Github repository <https://github.com/ramanujansrinath/mt-sc-comm-data>. MATLAB code
408 for reduced-rank regression and factor analysis has been publicly available by Byron Yu and can
409 be downloaded from <https://users.ece.cmu.edu/~byronyu/software.shtml>. Further information
410 and requests for data or custom MATLAB code should be directed to and will be fulfilled by the
411 Lead Contact, Ramanujan Srinath (ramanujan@pitt.edu).

412

413 **EXPERIMENTAL MODEL AND SUBJECT DETAILS**

414 The electrophysiological data in this manuscript comes from two previously reported
415 experiments (Ruff and Cohen, 2016a, 2019). In both experiments, two adult male rhesus
416 monkeys (*Macaca mulatta*, 8 and 9 kg) were used. We implanted each animal with a titanium
417 head post before behavioral training. We identified each cortical area by visualizing the sulci
418 during array implantation, using stereotactic coordinates, and by observing the transition of grey
419 and white matter signals on the movable probes. All animal procedures were approved by the
420 Institutional Animal Care and Use Committees of the University of Pittsburgh and Carnegie
421 Mellon University.

422

423 **METHOD DETAILS**

424 *Electrophysiological Recordings and Behavioral Task*

425 Our methods for presenting visual stimuli and monitoring behavior have been described
426 previously. Briefly, we presented visual stimuli using custom software (written in MATLAB
427 using the Psychophysics Toolbox v3 (Brainard, 1997) on a cathode-ray tube monitor (calibrated
428 to linearize intensity; $1,024 \times 768$ pixels; 120 Hz refresh rate) placed 54 cm from each animal.
429 We monitored eye position using an infrared eye tracker (EyeLink 1000; SR Research) and
430 recorded eye position and pupil diameter (1,000 samples/s), neuronal responses (30,000
431 samples/s) and the signal from a photodiode to align neuronal responses to stimulus presentation
432 times (30,000 samples/s) using hardware from Ripple. All spike sorting was done offline
433 manually using Offline Sorter (version 3.3.2; Plexon). We based our analyses on both single
434 units and multiunit clusters and use the term *unit* to refer to either.

435

436 *MT-SC recordings:* We implanted two recording chambers on the right hemisphere that granted
437 access to MT and SC for recordings with linear 24-channel moveable probes (Plexon;
438 interelectrode spacing in MT = 50 μ m, SC = 100 μ m) and simultaneously recorded activity from
439 neurons in MT and SC that had overlapping spatial receptive fields (Figure 1). To account for
440 visual latencies in the two areas, spikes were counted between 50 and 250ms after stimulus
441 onset. We only analyzed a recorded MT unit if its stimulus-driven firing rate was 10% higher
442 than its firing rate as measured in the 100ms before the onset of the first stimulus. We only
443 analyzed a recorded SC unit if its stimulus-driven firing rate was 10% higher than its firing rate
444 as measured in the 100ms before the onset of the first stimulus or if its response during a 100ms
445 epoch before a saccade on hit (correct) trials to the contralateral side was 10% larger than that
446 same baseline. The dataset consisted of a total of 306 responsive MT units (6-29 units per
447 session, mean 20.4) and 345 responsive SC units (12-29 units per session, mean 23) across 15
448 recording sessions. Each session began with receptive field mapping using a delayed-saccade
449 task, and direction tuning during passive fixation, followed by multiple blocks of the following
450 attention task. Each block began with a set of trials that instructed the monkey to attend to one of
451 two spatial locations on the screen – either within the joint receptive fields of the neurons or in
452 the opposite hemifield. Following that, each trial began when the monkey acquired fixation on a
453 central spot within a 1.25° fixation window after which two peripheral drifting Gabor stimuli
454 (one overlapping the receptive fields of the recorded neurons, the other in the opposite visual
455 hemifield) synchronously flashed on (for 200ms) and off (for a randomized period between 200
456 and 400ms) between 3-12 times before, at a random, unsignaled time, the direction of one of the
457 stimuli changed from that of the preceding stimulus. The monkey reported the orientation change
458 by making a saccade to the changed stimulus within 450ms and received a juice reward. Each
459 block consisted of approximately 100 completed trials (i.e., trials that ended in a hit or miss)
460 after which the cued location of the orientation change switched to the other hemifield. Stimulus
461 presentations during the response period of which the monkey made a micro-saccade were
462 excluded from analysis. Neural responses to all stimulus presentations after the first (to minimize
463 the effect of adaptation) and before the orientation change were analyzed. For each session,
464 stimulus presentations were sampled such that the number of presentations was equal for each
465 attention condition. Each session yielded 547-1909 (mean 1277) presentations for each attention

466 condition. For each session, SC neurons were divided evenly into oculo-motor (*visual* for
467 brevity) and motor neurons based on an oculo-motor score calculated as

$$468 \quad \text{score}_{vis/mot} = R_{vis} - R_{mot} / R_{vis} + R_{mot}$$

469 where R_{vis} is the average neural response to the onset of the first stimulus, and R_{mot} is the average
470 response prior to a saccade to the target in the contralateral hemifield. This score was calculated
471 for the trials where attention was directed into the joint RFs.

472
473 *V1-MT recordings:* We implanted a 10x10 chronic microelectrode array (Blackrock
474 Microsystems) in V1 and a recording chamber to access MT. Each recording session began with
475 searching a well-isolated MT neuron such that its receptive field (RF) overlapped the population
476 RF of the V1 neurons and was driven similarly above baseline by a single stimulus flashed in
477 each of two chosen locations. This dataset consisted of a total of 1631 responsive V1 units and
478 32 responsive MT units (1 unit per session in MT, 7–83 units per session, mean 51 in V1) across
479 32 recording sessions. Each block of trials began with a set of trials that instructed the monkey to
480 attend to one of three spatial locations on the screen – either one of two locations within the
481 receptive field of the MT neuron or one in the opposite hemifield. Each trial began when the
482 monkey acquired fixation on a 1° fixation window. For blocks in which attention was directed
483 within the RF of the MT neuron, two achromatic Gabor stimuli of equal contrast, spatial
484 frequency, and speed were presented drifting in opposite directions (preferred and null direction
485 for the MT neuron). For blocks in which attention was directed to the opposite hemifield, a third
486 drifting Gabor was similarly flashed at the cued location. In these blocks, the contrast of the
487 stimulus at the cued location was different from the two stimuli within the RF of the MT neuron.
488 This was done to study the stimulus dependence of spike count correlations across cortical areas
489 but is not critical to the current analyses as here the comparison is between the trials where
490 attention is directed either into or out of the RF of the MT neuron regardless of stimulus
491 parameters or specific location with the RF. After 2-14 presentations of the same stimuli, the
492 direction of the stimulus at the cued location was changed and the monkey was rewarded for
493 making a saccade to the changed stimulus within 500ms. As with the MT-SC data, stimulus
494 presentations during which the monkey made a micro-saccade were excluded from analysis, all
495 stimulus presentations after the first and before the orientation change were analyzed, and the

496 presentations were sampled such that they were equal in the two attention conditions. Each
497 session yielded 97-1469 (mean 583) presentations for each attention condition.

498

499 **QUANTIFICATION AND STATISTICAL ANALYSIS**

500 Subsampling

501 To test whether attention affects prediction of neural responses within and across areas, we first
502 sought to check whether or not the number of recorded neurons and trials across the two
503 attention conditions in the datasets were sufficient for reasonable regression performance. We
504 used a linear model of the form $Y=XB$ where X and Y are matrices of $t \times n$ and $t \times m$ dimensions
505 and B is the weight matrix of dimensions $n \times m$ (here, t is the number of stimulus presentations in
506 a session, m and n are the numbers of neurons in the two areas). We found the ordinary least-
507 squares solution for B by minimizing the squared prediction error as $B=(X^T X)^{-1} X^T Y$. We sampled
508 N MT neurons (where N went from 1 to the total number of recorded MT neurons) without
509 replacement and used ridge regression predict SC responses. We did this subsampling 100 times
510 for each N . For ridge regression, we chose the value of the regularization parameter (λ) using 10-
511 fold cross-validation. The reported prediction accuracy corresponds to the largest λ for which
512 mean performance (across folds) was within one SEM of the best performance. We also used the
513 full MT recorded population to predict the responses of subsets of N SC neurons (where N went
514 from 1 to the total number of recorded SC neurons) using the same method.

515

516 Noise correlations

517 The spike count correlation (r_{SC}) was calculated as the correlation coefficient between the
518 responses of the two units to repeated presentations of the same stimulus. Z-scoring responses
519 before calculating noise correlations did not qualitatively change the comparisons between noise
520 correlations and local or shared dimensionality or prediction accuracy. In Figure S1, noise
521 correlations are computed for each pair in a session using all stimulus presentations in every trial
522 (except the first), and then pooled across sessions and monkeys to yield 3315 pairs in MT, 3975
523 pairs in SC, and 6934 pairs across MT and SC. In Figure S3, noise correlations are computed as
524 above and then averaged for each session.

525

526 Regression

527 To find the effect of attention on the ability of MT responses to predict SC responses and vice
528 versa, we used the same linear model described above using ridge regression. This model is
529 referred to as the full regression model in the text. To assess whether the SC activity can be
530 predicted using a subset of MT population response dimensions (in other words, a subspace of
531 MT activity), we used reduced-rank regression (RRR). The exact description and formulation of
532 RRR can be found in (Semedo et al., 2019). Briefly, RRR constrains the weight matrix B to be of
533 a given rank and is solved using singular value decomposition:

$$534 \quad Y_{RRR} = XB_{RRR} = XB_{OLS}VV^T = XB_{RRR}V^T$$

535 where B_{OLS} is the coefficient matrix for the ordinary least-square solution, B_{RRR} is the coefficient
536 matrix for the RRR solution, V is a matrix whose columns contain the top principal components
537 of the optimal linear predictor $Y_{OLS}=XB_{OLS}$. The columns of B define which dimensions of X are
538 used for generating the prediction i.e., the predictive dimensions. As with the ridge regression
539 solution above, we used 10-fold cross-validation and found the smallest number of dimensions
540 for which predictive performance was within one SEM of the peak performance.

541

542 Cross-condition, cross-validated regression

543 To assess the effect of attention on the structure of the shared subspace between interaction
544 populations of neurons, we calculated how well the regression weight matrix for one condition
545 (attend in, say) predicted the responses of the target population in the opposite condition (attend
546 out). In the first analysis, we simply used the cross-validated optimum number of dimensions to
547 obtain a weight matrix in one condition and tested it against the trials of the other condition. The
548 results of this method are depicted in Figure S7a-d. The accuracy of the inter-areal interaction
549 dropped significantly but the accuracy of the intra-areal interaction was not affected. To assess
550 whether this was a result of a linear scaling of the weight matrix across conditions due to non-
551 stationarities or other task/stimulus independent factors, we projected the response of the source
552 population using the weight matrix of the opposite condition before performing RR regression to
553 obtain the prediction. This was cross-validated in the following way described in pseudo-code
554 (for the MT \rightarrow SC interaction, for the attend out trials using the attend out vs attend in models,
555 but we followed the same process for all potential permutations of conditions and areas).

556 For each fold, run 1-7:

557 1. $W_{out} = \text{regress}(\text{MT}_{out}, \text{train} \rightarrow \text{SC}_{out}, \text{train})$

```
558         2. SCout,testPred = predict(MTout,test, W_out) ----- (A)
559         3. W_in = regress(MTin,train -> SCin,train)
560         4. MTout,train' = project(MTout,train, W_in)
561         5. MTout,test' = project(MTout,test, W_in)
562         6. W_outCross = regress(MTout,train' -> SCout,train)
563         7. SCout,testPredCross = predict(MTout,test', W_outCross)--- (B)
564     attendOut_NSE_within = NSE(SCout,testPred, SCout,test)
565     attendOut_NSE_cross = NSE(SCout,testPredCross, SCout,test)
566     ratio = attendOut_NSE_cross/attendOut_NSE_within
```

567 The ratio thus obtained was a cross-validated measure of how well the attend out weight matrix
568 (W_{out}) performs compared to the weight matrix ($W_{outCross}$) that is trained to predict the same
569 activity projected through the attend in weight matrix (W_{in}) first. We ran this for 10-folds for
570 each random split of each population (described above) and evaluated the ratio of the normalized
571 square error of prediction using both the matrices. This ratio is a quantitative measure of how
572 well the cross-condition weight matrix performs relative to the within-condition weight matrix
573 and values substantially lower than 1 would indicate a drastic drop in performance and,
574 therefore, that the linear communication subspace between the two interacting populations is
575 qualitatively different in their structure. We found this to be true for inter-areal interactions but
576 not within-area interactions (Figure S7 e-h).

577

578 Factor Analysis

579 We used factor analysis (FA) to assess the dimensionality of neural activity within an area. FA is
580 a static dimensionality reduction technique that does not assume the same noise variance for all
581 recorded neurons and calculates the dimensions of greatest covariance (instead of variance). As
582 with RRR, the details of this analysis can be found in previous publications (Everitt, 1984;
583 Semedo et al., 2014; Yu et al., 2009). We followed the same steps as previously published work
584 to estimate the dimensionality: (1) we found the number of dimensions m_{peak} that maximized the
585 cross-validated log-likelihood of the observed residuals; (2) we fitted a FA model with m_{peak}
586 dimensions and chose m , using the eigenvalue decomposition, as the smallest dimensionality that
587 captured 95% of the variance in the shared covariance matrix. These population dimensions (m)
588 and predictive dimensions as determined from RRR are determined by different techniques and
589 therefore, wherever applicable, we have used these techniques to evaluate only the change of

590 dimensionality (private or shared) between the two attention conditions instead of comparing
591 absolute values.

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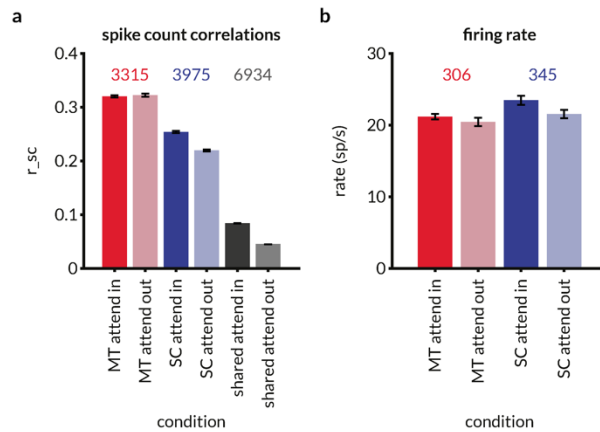
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Supplementary Figures

Figure S1 – related to figure 2:

(0.5-page width – 1 column)



Effect of attention on aggregate noise correlations and firing rates for all neurons and pairs across all recording sessions.

Error bars are standard error of the mean.

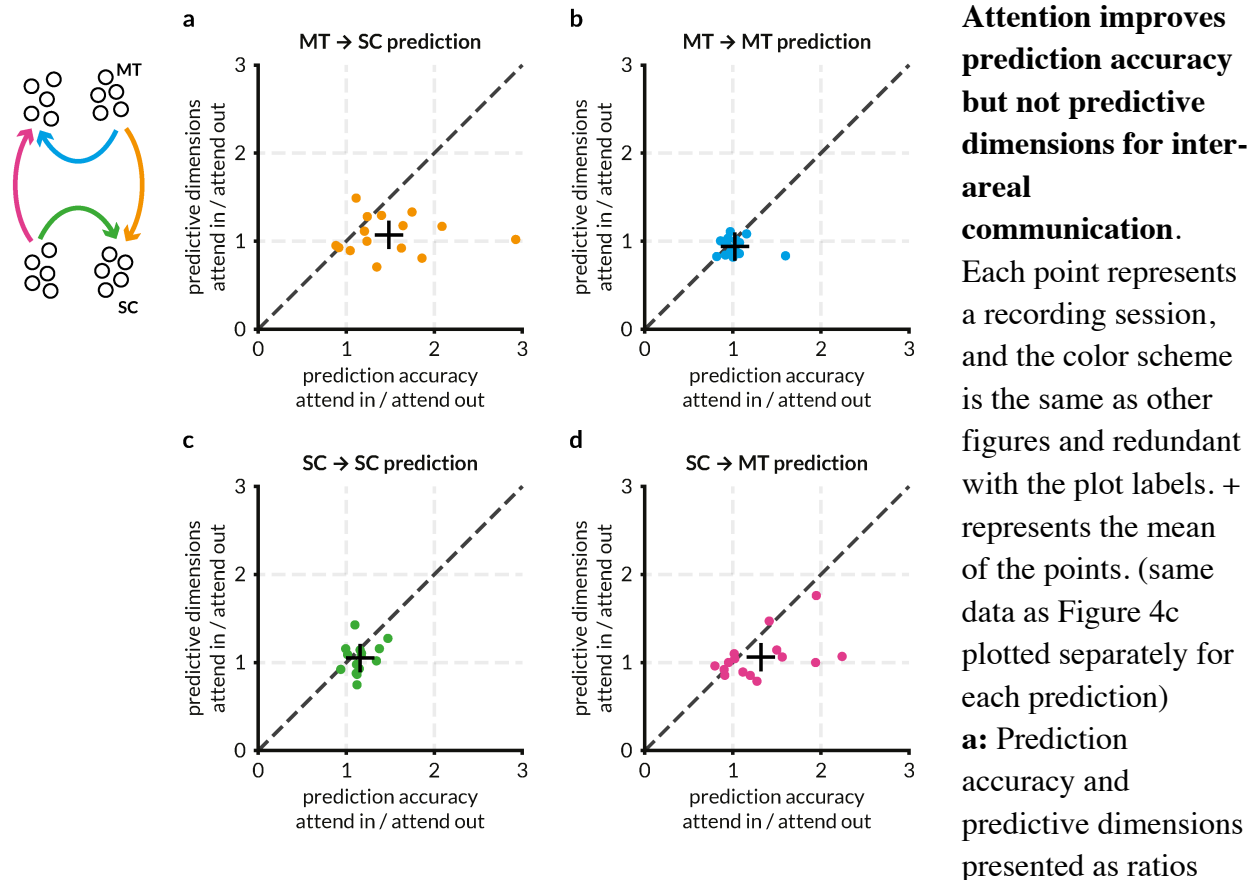
a: Spike count correlations (r_{sc}) for 3315 MT neuron pairs (red), 3975 SC neuron pairs (blue), and 6934 MT-SC pairs (gray) for attend in and attend out conditions. r_{sc} was calculated as the Pearson correlation between spike counts during all identical stimulus presentations except the first presentation after

the beginning of the trial. Attention increases spike count correlations in SC pairs ($p=2.7 \times 10^{-69}$; Wilcoxon signed rank test) and MT-SC pairs ($p=9.1 \times 10^{-224}$; Wilcoxon signed rank test) and has no effect on MT pairs ($p=0.8$; Wilcoxon signed rank test). The disparity between these results and previously published results is largely due to the selection of stimulus presentations. Here, we chose all presentations in a trial to increase statistical power in regression and factor analyses, whereas previous publications chose only the stimulus presentation before the orientation change to compare r_{sc} with behavioral outcomes.

b: Average firing rate across all presentations for 306 MT neurons (red) and 345 SC neurons (blue). Attention significantly increases firing rates of neurons in both MT ($p=8.87 \times 10^{-14}$; Wilcoxon signed rank test) and SC ($p=5.88 \times 10^{-42}$; Wilcoxon signed rank test).

Figure S2 – related to figure 4:

(0.75-page width – 1.5 column)



between attend in and attend out conditions for the prediction of SC activity from MT activity. Each dot represents the average prediction accuracy and average predictive dimensions across 100 predictions of a random half of the SC population predicted by a random half of the MT population in that session. Attention increases prediction accuracy of MT \rightarrow SC predictions ($p=0.0032$; t-test) while having no effect on the number of predictive dimensions.

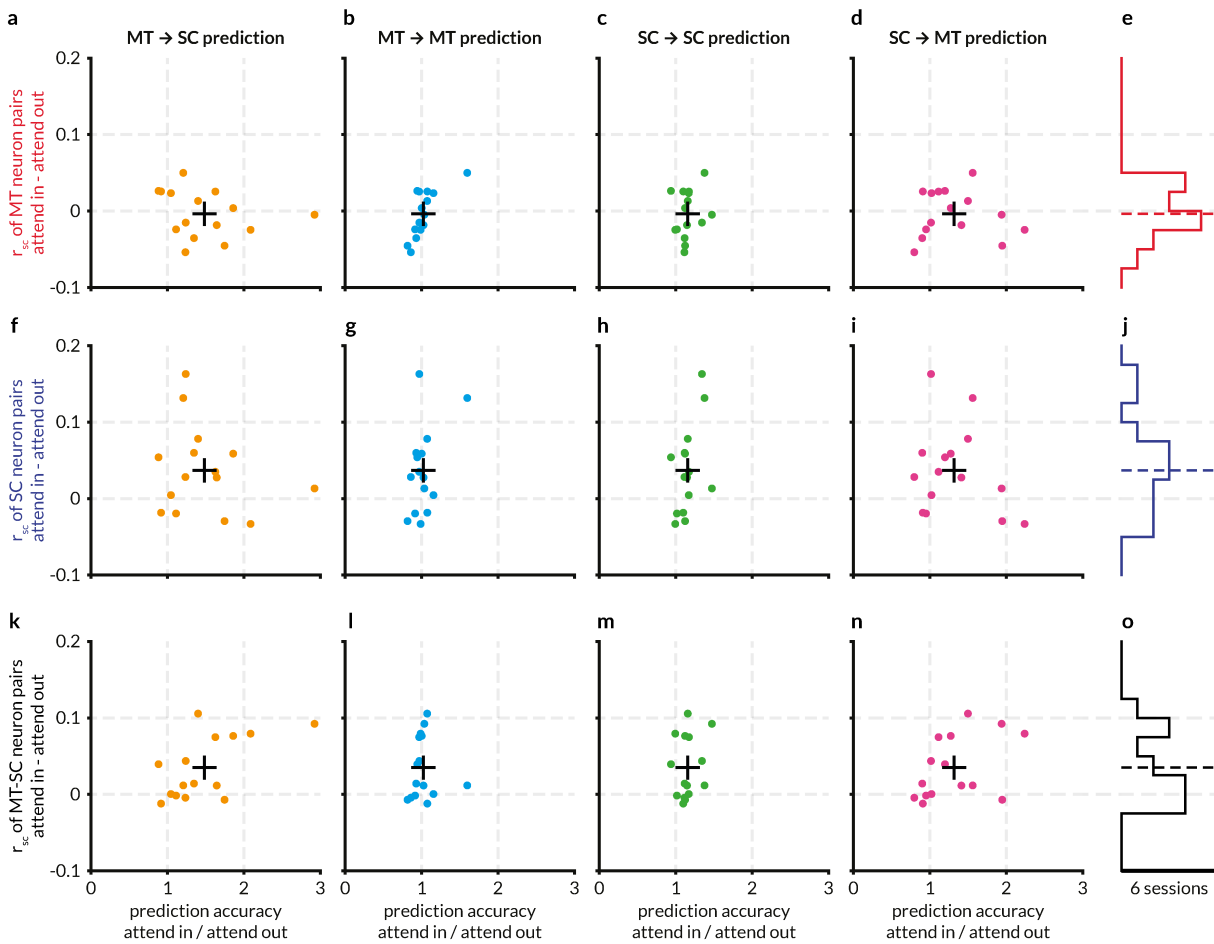
b: Same as (a) but for MT \rightarrow MT predictions. Each dot represents the average prediction accuracy and average predictive dimensions across 100 predictions of a random half of the MT population predicted by the other half of the same population in that session. Attention has no effect on prediction accuracy or predictive dimensions.

c: Same as (b) but for SC \rightarrow SC predictions. Attention has a small but significant effect on the prediction accuracy ($p=7.9 \times 10^{-4}$; t-test) but no effect on predictive dimensions.

d: Same as (a) but for SC \rightarrow MT predictions. Attention increases prediction accuracy of SC \rightarrow MT predictions ($p=0.0142$; t-test) while having no effect on the number of predictive dimensions.

Figure S3 – related to figure 4:

(full page width – 2 column)



Attention-related changes in spike count correlations do not predict the improvement in

communication efficacy across areas. Each panel illustrates how the differences of noise correlations of MT neuron pairs (a-e), SC neuron pairs (f-j), and MT-SC neuron pairs (k-o) between attend in and attend out conditions relate to the ratio of accuracies for within and across area response predictions. Each point represents a recording session, and the color scheme is the same as other figures and redundant with the plot labels. + represents the mean of the points.

a: No relationship between the effect of attention on the average accuracy of MT → SC predictions for each session and the effect on the average spike count correlations for MT neuron pairs for the same session.

b: Same as (a) for MT → MT predictions.

c: Same as (a) for SC → SC predictions.

d: Same as (a) for SC → MT predictions.

e: Histogram of the difference of r_{SC} of MT neuron pairs between the two attention conditions.

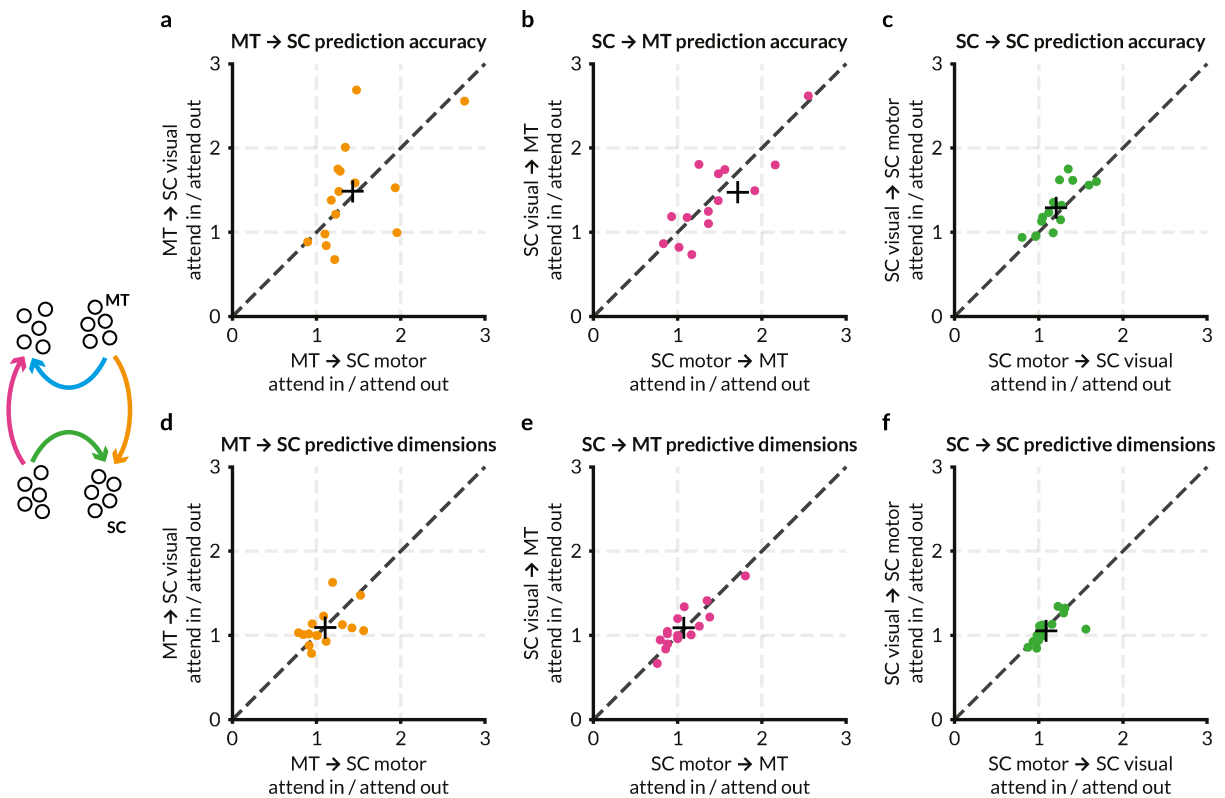
Dotted line represents the mean of -0.0035.

f-g: Same as a-e, but for comparing prediction accuracies with session-wise average spike count correlations for SC neuron pairs. Dotted line in the histogram in (g) represents the mean of 0.0369.

k-o: Same as a-e, but for comparing prediction accuracies with session-wise average spike count correlations for MT and SC neuron pairs. Dotted line in the histogram in (o) represents the mean of 0.0350. A weak relationship may be observed in (k) and (n) but the adjusted r^2 for linear model fits are low (0.303 and 0.145 respectively) and not significant vs constant model.

Figure S4 – related to figure 4:

(full page width – 2 column)



Both oculo-motor and motor neurons in SC contribute similarly to the attention-related improvement in prediction performance between MT and SC.

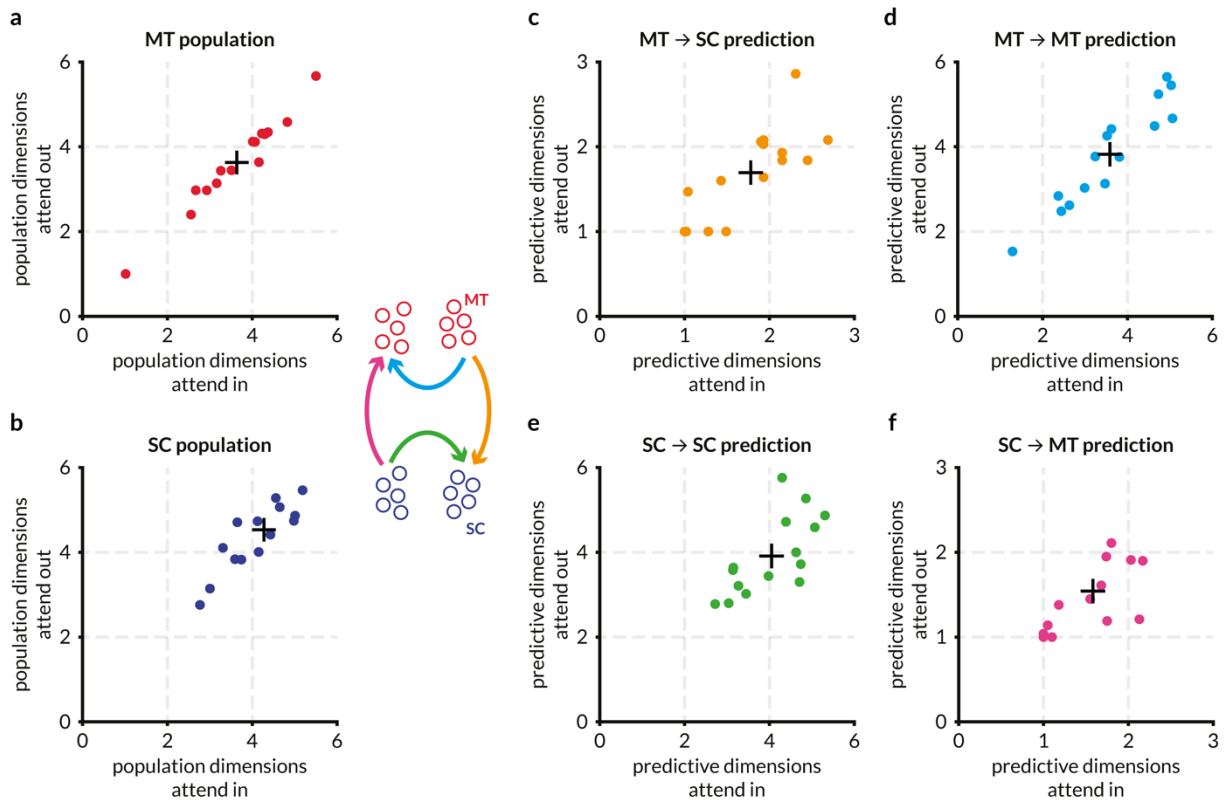
For each session, SC neurons were ordered by an oculo-motor score (described in text and methods) and split evenly into “SC visual” and “SC motor” populations. (Oculo-motor SC neurons are labeled “SC visual” for brevity.) Each point represents a recording session, and the color scheme is the same as other figures and redundant with the plot labels. + represents the mean of the points.

a: Average accuracy of predictions of randomly split SC populations of either oculo-motor neurons or motor neurons from the same population of randomly sampled MT populations presented as a ratio of the two attention conditions. (In each iteration, 50% of randomly sampled (without replacement) MT neurons were used to predict 50% of randomly sampled SC neurons from the top half of the oculo-motor index distribution and 50% of randomly sampled SC neurons from the bottom half of the distribution. So, effectively, only 25% of the SC neurons were used for predictions in these regressions as compared to 50% in other analyses.) The prediction accuracy of both oculo-motor SC and motor SC neural activity from MT neuron activity is similarly elevated with attention. Compare with figure 4c and supplementary figure 4a-a. ($p = 0.0031$ for MT → SC motor, $p = 0.0071$ for MT → SC visual, $p = 0.52$ for the ratio of the two; one-sample t-test for the ratios)

- b:** Same as (a) for SC oculo-motor or motor \rightarrow MT predictions. As with (a), prediction accuracy is similarly enhanced with attention. Compare with figure 4c and supplementary figure 4a-d. ($p = 0.0309$ for SC motor \rightarrow MT, $p = 0.0052$ for SC visual \rightarrow MT, $p = 0.456$ for the ratio of the two; one-sample t-test for the ratios)
- c:** Same as (a) for recurrent connections between SC oculo-motor and SC motor populations. As with (a), prediction accuracy is enhanced with attention. Compare with figure 4c and supplementary figure 4a-c. ($p = 0.0047$ for SC motor \rightarrow SC visual, $p = 0.0013$ for SC visual \rightarrow SC motor, $p = 0.0495$ for the ratio [SC visual \rightarrow SC motor] / [SC motor \rightarrow SC visual])
- d:** Same as (a) but for the ratio of the average number of predictive dimensions between the two attention conditions for the MT \rightarrow SC oculo-motor or SC motor predictions. Attention has no effect on the dimensionality of the shared subspace between MT and SC populations. Compare with figure 4c and supplementary figure 4a-a. ($p > 0.05$ for all ratios; t-test)
- e:** Same as (b) for the ratio of the average number of predictive dimensions between the two attention conditions for the SC oculo-motor or SC motor predictions \rightarrow MT predictions. Compare with figure 4c and supplementary figure 4a-d. ($p > 0.05$ for all ratios; t-test)
- f:** Same as (c) for the ratio of the average number of predictive dimensions between the two attention conditions for the recurrent connections between the SC oculo-motor and SC motor populations. Compare with figure 4c and supplementary figure 4a-c. ($p > 0.05$ for all ratios; t-test)

Figure S5 – related to figure 5:

(full page width – 2 column)



Attention does not alter the dimensionality of the response space in SC or MT, or the dimensionality of the shared communication subspace. Each point represents a recording session, and the color scheme is the same as other figures and redundant with the plot labels. + represents the mean of the points.

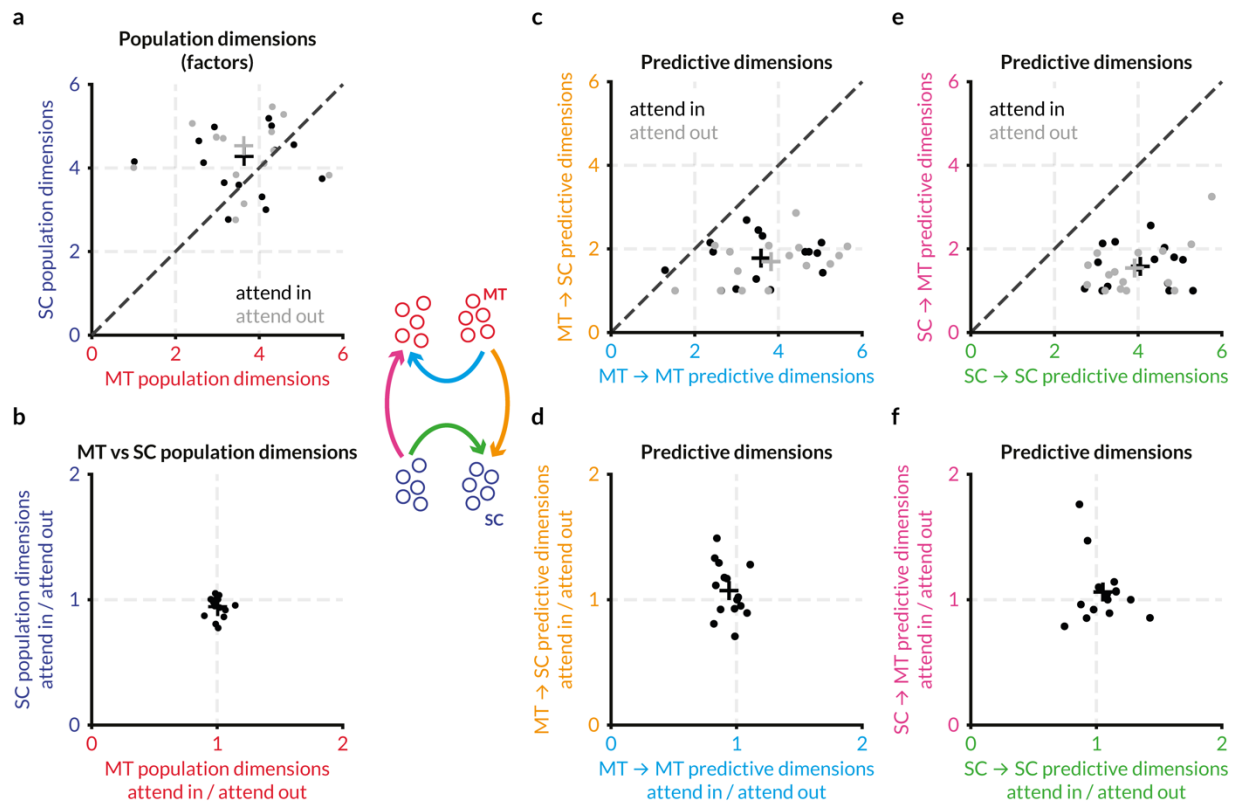
a: Attention does not affect the population dimensionality of the MT populations. Each point represents the average number of dimensions (factors) required to explain 95% of the variance in the MT activity for one session. On average, fluctuations in MT activity are largely restricted to ~ 3.5 dimensions.

b: Attention does not affect the population dimensionality of the SC populations. Same as (a) for the SC population. On average, fluctuations in SC activity are largely restricted to ~ 4.2 dimensions.

c-f: Attention does not affect the number of dimensions required to optimally predict target activity for any of the four predictions. Same data as figure 4a split into four panels for clarity.

Figure S6 – related to figure 5:

(full page width – 2 column)



Detailed comparison of attention-related changes in MT and SC population dimensions and predictive dimensions different predictions. Each point represents a recording session, and the color scheme is the same as other figures and redundant with the plot labels. Colored + represents the mean of the corresponding points.

a: Number of population dimensions or factors from factor analysis for the MT and SC populations in each session for attend in and attend out conditions. 95% of the variance in the MT and SC population activity can be explained with approximately 3.5 and 4.3 dimensions respectively in both attention conditions.

a: Number of population dimensions or factors from factor analysis for the MT and SC populations in each session for attend in and attend out conditions. 95% of the variance in the MT and SC population activity can be explained with approximately 3.5 and 4.3 dimensions respectively in both attention conditions.

b: Same as (a) expressed as a ratio of population dimensions in attend in and attend out conditions. Attention has no effect on the number of dimensions required to explain 95% of the variance in activity in this dataset.

c: Number of predictive dimensions that are “shared” between MT and SC (orange axis) vs the number of dimensions that are “private” in MT (blue axis) in the two attention conditions. The number of MT dimensions required to predict SC activity (~ 2) is lower than the number of MT dimensions required to predict MT activity (~ 4).

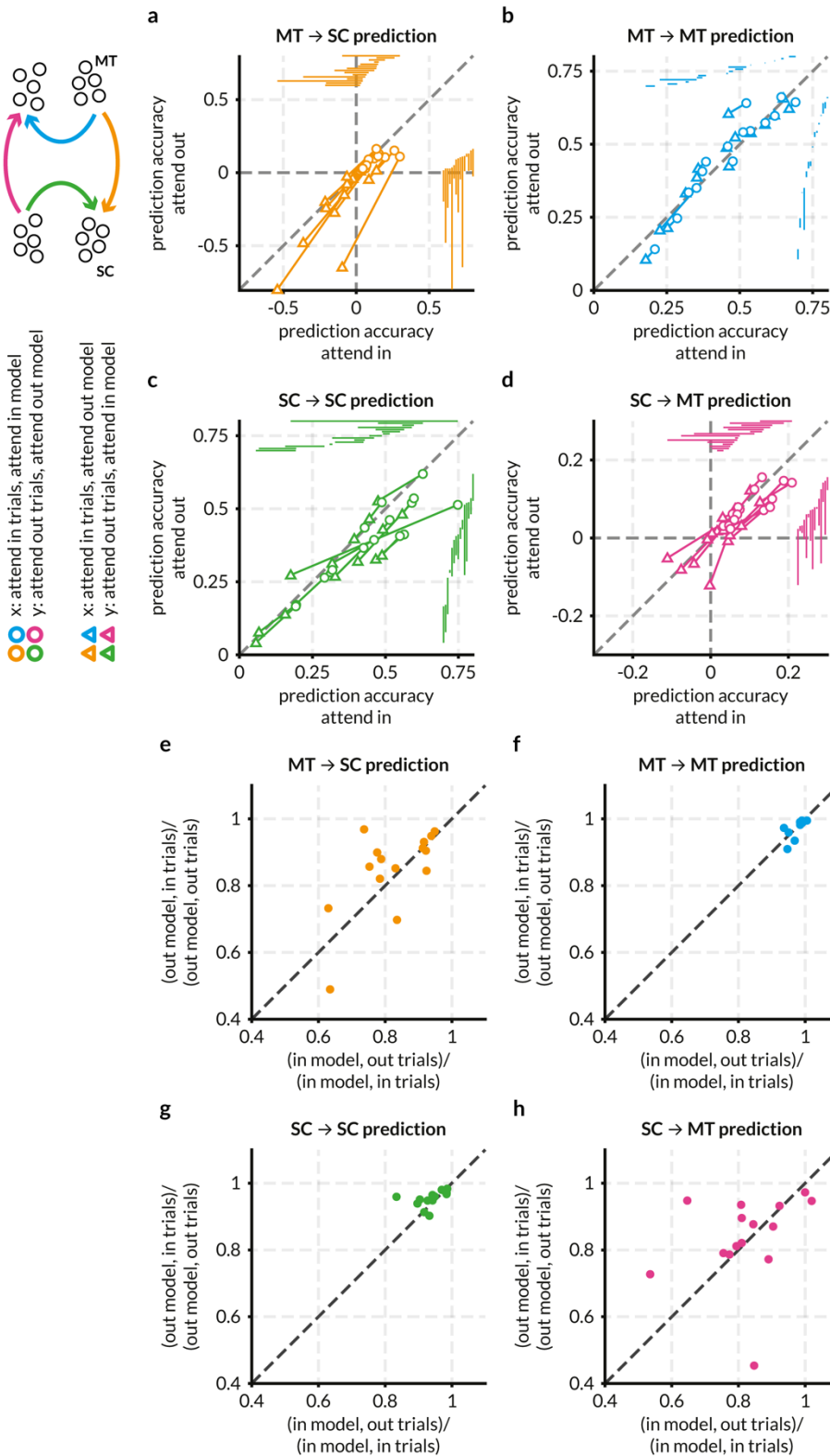
d: Same as (c) expressed as a ratio of predictive dimensions in attend in and attend out conditions.

e: Same as (c) but for the number of dimensions in SC population activity that is sufficient to explain MT activity. Number of dimensions “shared” between SC and MT (~ 2) in SC activity is lower than the number of “private” SC dimensions (~ 4).

f: Same as (e) expressed as a ratio of predictive dimensions in attend in and attend out conditions.

Figure S7 – related to figure 5:

(0.75-page width – 1.5 column)



Cross-predicting activity for attend in trials using attend out model and vice versa reveals that the linear subspaces for across area communication are not identical. While the dimensionality of the communication subspace is not affected by attention, it is possible that the structure of the subspace changes while keeping its dimensionality, in turn causing the prediction accuracy to be better. To test this hypothesis, we used the weights of the linear model that corresponded to the optimum number of predictive dimensions in the attend in condition and used it to predict the target responses in the attend out condition and vice versa. We observed a marked drop in performance for cross-prediction for inter-areal communication in

both directions but not intra-areal communication (a-d). To test whether this drop was due to a linear scaling of the weights across conditions and to cross-validate the cross-predictions, we projected the source activity through the weight matrix of the opposite attention condition and then fit a linear model to the target activity (see Methods for the details of the algorithm) and plotted the cross-validated cross-prediction performance normalized by the cross-validated performance of the true model. We observed a reduction in performance for the inter-areal predictions, albeit milder than earlier estimates (e-f). The intra-areal communication channels remained unaffected. While it may be possible that inter-areal communication indeed utilizes a different assortment of shared dimensions across attention conditions, we assert that these linear methods afford us a partial view of the effect of attention on the communication between areas. Each point represents the mean prediction accuracy of a recording session, and the color scheme is the same as other figures and redundant with the plot labels.

a: We plotted the average cross-prediction accuracy (triangles) for each session and each communication channel across random splits against the true prediction accuracy (circles) i.e., the cross-validated prediction accuracy of the attend in models with the attend in trials etc. The linear model trained to predict SC activity using MT responses in the attend in condition performs significantly ($p = 2.62 \times 10^{-4}$; Wilcoxon rank sum test) worse when used to predict the SC responses for trials in the opposite attend out condition; the same is true for the reverse – using the attend out model to predict the attend in responses ($p = 2.33 \times 10^{-5}$; Wilcoxon rank sum test). Circles represent mean cross-validated prediction accuracy across random splits MT and SC neurons (same points as figure 4a). For each random split, the linear model of the opposite set of trials was used to predict the responses; the mean accuracy this out-of-set prediction across all random splits is represented by the triangles. Each circle-triangle pair is connected by a line and represents the change in prediction performance for a single session. The projections of each line on the cardinal axes are shown on the top and right of the plot, ordered by the prediction accuracy. Out-of-set prediction accuracies are always lower ($p = 2.62 \times 10^{-4}$; Wilcoxon rank sum test) and not significantly different from 0 ($p = 0.07$; t-test), which may mean that the model is unable to do better than guessing the target variance based on the mean of the target population activity (see Semedo et al., 2019 for more details). Both out-of-set models are similarly affected, evident from the consistent slope of the lines. This drastic drop in performance suggests that the shared communication subspace between MT and SC is different across attention conditions.

b: Out-of-set mean accuracies for the MT \rightarrow MT prediction are not significantly different ($p = 0.68$ for the attend in model and $p = 0.65$ for the attend out model for attend in vs attend out trials; Wilcoxon rank sum test) suggesting not only that attention does not affect prediction performance within MT, but also that the same axes of fluctuations within the MT population activity are used for communication within MT thereby using the same private communication subspace.

c: Same as (b) but for SC \rightarrow SC prediction. The out-of-set prediction is not significantly different ($p = 0.046$ for the attend in model and $p = 0.097$ for the attend out model for attend in vs attend out trials; Wilcoxon rank sum test).

d: Same as (a) but for SC \rightarrow MT predictions. The out-of-set prediction is significantly worse for both the attend in model ($p = 0.0011$; Wilcoxon rank sum test) and the attend out model ($p = 0.0016$; Wilcoxon rank sum test).

e: To control for the case where the prediction weights across conditions may be linearly scaled and thereby produce significantly worse predictions, the following procedure was used (these steps are for comparing the MT \rightarrow SC attend in weights with the attend out trials, but the same procedure applies for all possible permutations of conditions and populations). The pseudo-code for this cross-validated cross-prediction method can be found in Methods. First, the MT \rightarrow SC prediction weights were found for a set of attend out training trials (W_{out}) and the SC activity was predicted for the test trials ($SC_{out}, testPred$). Similarly, the prediction weights for the training set of attend in trials was found (W_{in}). Then W_{in} was used to project the attend out MT activity for both training and test trials and then used to predict SC activity in the attend out condition for the test trials ($SC_{out}, testPredCross$). After finding predictions across all folds, the normalized square error was found and compared for the within and across condition predictions. The ratio of the across/within condition prediction for the attend in trials for each session is plotted against the ratio of the across/within condition prediction for the attend out trials. This comparison between these variables demonstrates the ability of the same communication subspace being applied to the trials in the opposite condition and therefore a ratio substantially lower than 1 would indicate that the populations communicate using different subspaces in the different conditions. The cross-prediction accuracy is significantly lower for both attend in and attend out models tested with attend out and attend in trials respectively.

f: same as **e**, but for MT \rightarrow MT interactions. As in **b**, the performance of the model from the opposite condition does not reduce prediction performance significantly.

g: same as **e**, but for SC \rightarrow SC interactions. While the cross-prediction accuracy was not significantly different across the two attention conditions in **c**, the performance of the model was lower in each session. Here, the cross-validated cross-performance shows little difference in the ratio, which provides more evidence for the hypothesis that attention does not alter the dimensionality or structure of the SC-SC communication subspace.

h: same as **e**, but for SC \rightarrow MT interactions. As in **e**, SC \rightarrow MT cross-prediction accuracy is significantly lower for both attend in and attend out models tested with attend out and attend in trials respectively. This difference in the structure or the constitution of the communication subspace between MT and SC between attention conditions may be evidence for attention either (a) altering the weights of interareal communication at a fast trial-to-trial timescale by unknown mechanisms, or (b) the inability of linear methods like FA and RRR to describe potentially non-linear response spaces and the non-linear dynamics of intra- and inter-areal interactions.