Cocaine-induced neuron subtype mitochondrial dynamics through Egr3 transcriptional regulation

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Abstract

Mitochondrial function is required for brain energy homeostasis and neuroadaptation. Recent studies demonstrate that cocaine affects mitochondrial dynamics and morphological characteristics within the nucleus accumbens (NAc). Further, mitochondria are differentially regulated by cocaine in dopamine receptor-1 containing medium spiny neurons (D1-MSNs) vs dopamine receptor-2 (D2)-MSNs. However, there is little understanding into cocaine-induced transcriptional mechanisms and their role in regulating mitochondrial processes. Here, we demonstrate that cocaine enhances binding of the transcription factor, early growth response factor 3 (Egr3), to nuclear genes involved in mitochondrial function and dynamics. Moreover, cocaine exposure regulates mRNA of these mitochondria-associated nuclear genes in both contingent or noncontingent cocaine administration and in both rodent models and human postmortem tissue. Interestingly, several mitochondrial nuclear genes showed distinct profiles of expression in D1-MSNs vs D2-MSNs, with cocaine exposure generally increasing mitochondrial-associated nuclear gene expression in D1-MSNs vs suppression in D2-MSNs. We further show that blunting Egr3 expression in D1-MSNs blocks cocaine-enhancement of the mitochondrial-associated transcriptional coactivator, peroxisome proliferator-activated receptor gamma coactivator (PGC1 α), and the mitochondrial fission molecule, dynamin related protein 1 (Drp1). Finally, reduction of D1-MSN Egr3 expression attenuates cocaine-induced enhancement of small-sized mitochondria, causally demonstrating that Egr3 regulates mitochondrial morphological adaptations. Collectively, these studies demonstrate cocaine exposure impacts mitochondrial dynamics and morphology by Egr3 transcriptional regulation of mitochondria-related nuclear gene transcripts; indicating roles for these molecular mechanisms in neuronal function and plasticity occurring with cocaine exposure.

Key words: cocaine, D1-MSNs, D2-MSNs, mitochondria, nucleus accumbens

Introduction

Mitochondria are chiefly responsible for energy production in cells; a basic requirement for mammalian life and cellular adaptation. Recent studies revealed the important relationship of mitochondrial programming, machinery, and adaptations in brain responses to drugs of abuse in humans and in rodent models (1–3). In the nucleus accumbens (NAc), mitochondrial fission or molecules related to mitochondrial dynamics and function are altered by cocaine (1,2).

The NAc is a critical hub involved in motivation for various rewards, such as food and drugs of abuse, as well as fear and aversion (4,5). The NAc is primarily comprised of medium spiny neurons (MSNs) of two distinct subpopulations: D1-MSNs and D2-MSNs (6,7). D1 and D2-MSN activity and morphology are altered across multiple pathological states, such as addiction, stress, depression, and pain (8–12).

NAc neurobiological molecular mechanisms involved in neuroplasticity often differ between D1- and D2-MSNs in response to cocaine (12–20). Further, patterns of mitochondria-related gene regulation can be distinct and opposing between these neuron populations (1,2). For instance, following cocaine exposure or self-administration the mitochondrial fission protein, dynamin related protein (Drp1), and a transcriptional coactivator molecule involved with mitochondrial dynamics, peroxisome proliferator-activated receptor gamma coactivator (PGC1 α), are enhanced in D1-MSNs and decreased in D2-MSNs (1,2,21,22). Interestingly, recent studies have discovered that mitochondrial fission via Drp1 is required for neuronal spine formation (23,24); a key morphological adaptation for enhancing synaptic strength that may underlie craving and seeking of drugs after consumption has ceased (25–33).

The NAc contains other drug-responsive molecular mechanisms that may act upstream of mitochondrial related molecules. One such transcription factor is early growth response factor 3 (Egr3), which regulates several cocaine-associated genes, such as CREB, CamKII α , and Δ FosB (14). Egr3 displays opposing function in D1-MSNs vs D2-MSNs: Egr3 is upregulated in D1-MSNs following repeated cocaine and is necessary for cocaine conditioned place preference (CPP) and cocaine induced psychomotor locomotion. Conversely, D2-MSNs have reduced Egr3 following repeated cocaine and enhancing D2-MSN Egr3 causes reduced cocaine CPP and psychomotor locomotion. Effects of Egr3 in D2-MSNs are also observed after long term abstinence, but in a sex-specific manner (34). Further, Egr3 action on cocaine-regulated behaviors could occur through mitochondrial processes, since cocaine exposure enhances Egr3 binding to the PGC1 α promoter in NAc (2). Collectively, these findings suggest an important link between Egr3 transcription, molecules involved with mitochondrial processes, and facilitation of cocaine-induced behaviors.

Relatively little is known about how drug exposure alters mitochondrial morphological characteristics by transcriptional regulation of mitochondria-related genes. This study assayed the impact of cocaine exposure on NAc Egr3 regulation of multiple downstream target nuclear genes involved with mitochondrial-related processes and mitochondrial morphology. Mitochondria-related nuclear genes were assayed following contingent or non-contingent cocaine exposure in rodents and were also examined in postmortem NAc of cocaine dependents. Further, mitochondrial-related nuclear genes were examined in NAc MSN subtypes after exposure to cocaine and when Egr3 is genetically disrupted. Mitochondrial-related nuclear genes include: the transcription factors nuclear respiratory factor 1 and 2 (Nrf1 and Nrf2); a transcriptional coactivator of mitochondrial related nuclear genes, PGC1 α ; mitochondria-specific

transcription factors a and b1 (Tfam and Tfb1); the mitochondrial trafficking membrane protein, translocase of the outer mitochondrial membrane 20 (Tomm20); the mitochondrial fission regulator, Drp1; and a mitochondrial DNA polymerase subunit gamma, Poly (a.k.a. POLG). Together, these studies examine the impact of cocaine exposure on mitochondrial dynamics and morphology through Egr3 transcriptional regulation of mitochondria-related nuclear gene transcripts.

Materials and Methods

Animals and Human Postmortem Samples

All animal experiments and handling followed guidelines set by the Institutional Animal Care and Use Committee (IACUC) at The University of Maryland School of Medicine and The University at Buffalo, The State University of New York. In both institutions, the health status of test animals was closely monitored by onsite veterinary staff and the experimenters throughout all experiments. Mice were maintained on 12h light/dark cycle with *ad libitum* food and water. All mice were group housed (3-5 per cage).

For chromatin immunoprecipitation (ChIP) experiments male C57BL/6J mice were obtained from Jackson Laboratory for cocaine treatment (n=35) and saline control groups (n=30) and were pooled with 5 mice per sample (n=6 saline control samples, n=7 cocaine treatment samples).

C57BL/6J male mice (n=6 for saline control, n=7 for cocaine treatment) used in quantitative real time polymerase chain reaction (qRT-PCR) quantification of whole NAc tissue following i.p. cocaine were obtained from Jackson Laboratory.

This study utilized both male and female D1-Cre hemizygote or D2-Cre hemizygote bacterial artificial chromosome transgenic mice from GENSAT (D1-Cre hemizygote line FK150; D2-Cre hemizygote line ER44)(35) (www.gensat.org) on a C57BL/6J background.

Male and female homozygous RiboTag (RT) mice on a C57BL/6J background, expressing a Cre-inducible HA-Rpl22 (Sanz et al., 2009) were crossed to D1-Cre or D2-Cre hemizygote mouse lines to generate D1-Cre-RT and D2-Cre-RT male and female mice (1), and used for cell subtype-specific ribosome-associated mRNA isolation. Tissue from RiboTag experiments came from mice also published elsewhere (36). RiboTag testing comprised of samples from D1-Cre-RT (saline control: n=16 females, n=8 males; cocaine treatment: n=8 females, n=16 males) and D2-Cre-RT mice (saline control: n=8 females, n=16 males; cocaine treatment: n=12 females, n=12 males), ages 8-10 weeks with 4 mice pooled per sample (D1-Cre-RT saline pooled samples: n=4 females, n=2 males; D1-Cre-RT cocaine pooled samples: n=2 females, n=4 males; D2-Cre-RT saline pooled samples: n= 2 female, n=4 female; D2-Cre-RT cocaine pooled samples: n=3 female, n=3 males). Animal numbers were determined based on previous studies (1,2,14).

D1-Cre-RT mice were used for qRT-PCR analysis for Egr3-miR experiments: SS-miR saline control (n=12 male, n=8 female), SS-miR cocaine treatment (n=12 male, n=12 female), Egr3-miR saline treatment (n=16 male, n=8 female), and Egr3-miR cocaine treatment (n=16 male, n=12 female). 4 mice were pooled per sample: SS-miR saline control (n=3 male, n=2 female), SS-miR cocaine treatment (n=3 male, n=3 female), Egr3-miR saline treatment (n=4 male, n=2 female), and Egr3-miR cocaine treatment (n=4 male, n=3 female).

For morphological characterization of mitochondria after Egr3-miR or SS-miR control, male and female D1-Cre mice age 10-12 weeks were used (SS-miR saline: n=4 male; SS-miR cocaine: n=4 male; Egr3-miR saline: n=2 female, n=3 male; Egr3-miR cocaine: n= 2 female, and 3 male).

Naive male Sprague-Dawley rats (250-275g at the beginning of the experiment), used for self-administration cDNA, were maintained on a 12h reverse light/dark cycle ad libitum food and water. After catheterization surgery, rats were single housed for the duration of the experiments. For all *in vivo* studies, animals were randomly assigned to the treatment (n=6) or control group (n=5).

Human postmortem tissue was also used in (1) and was handled according to the same storage and treatment protocols. The cohort of cocaine-dependent individuals was comprised of 24 males and 2 females ages 20-53 years (see Additional File S1 for subject details). All subjects died suddenly without a prolonged agonal state or protracted medical illness. The causes of death were ascertained by the Quebec Coroner Office. A toxicological screen was conducted with tissue samples to obtain information on medication and illicit substance use at the time of death. Cocaine-dependent subjects included 14 individuals who met the Structured Clinical Interview for Diagnostic and Statistical Manual of Mental Disorders-IV (DSM-IV) Axis I Disorders: Clinician Version (SCID-I) criteria for cocaine dependence. The control group included 12 subjects with no history of cocaine dependence or major psychiatric diagnoses. The processing of the tissue is outlined in previous publications (37,38). Hemispheres were immediately separated by a sagittal cut into 1cm-thick slices and placed in a mixture of dry ice and isopentane (1:1; vol:vol) and then stored at -80°C. Tissue weighing approximately 150 mg was punched from the NAc, homogenized in 5 ml of ddH₂O (pH adjusted to 7.00) and centrifuged for 3 min at

8,000g and 4°C. The pH of the supernatant was measured in duplicate (Thermo-Electron Corporation). RIN determination was performed by isolating total RNA using TRIzol (Invitrogen) followed by analysis with an Agilent 2100 Bioanalyzer.

Mouse stereotaxic surgery

Mice were anesthetized using 4% isoflurane in a small induction chamber. After initial induction, isoflurane was maintained at 1-2% for the remainder of the surgery. Animals were placed in a stereotaxic frame and their skull was exposed. 33-gauge Hamilton syringe needles were used to inject Cre-inducible double inverted open (DIO) reading frame adeno-associated viruses (AAV) (1) into the NAc of test animals. To label mitochondria, AAV-DIO-mito-dsRed was injected in tandem with either AAV-DIO-Egr3-miR-IRES-mCitrine for Egr3 knockdown or AAV-DIO-Scramble(SS)-miR-IRES-mCitrine for viral control in D1-Cre-RT mice for RiboTag experiments and D1-Cre mice for morphology experiments (1,2,14,39).

All experiments involving viral infusion had virus injected bilaterally into the NAc (anterior/posterior, AP+1.6; medial/lateral, ML $\pm$ 1.5; dorsal/ventral, DV-4.4, 10 degree angle). Mice were then returned to the vivarium for 2 weeks to allow for recovery and virus expression. All viruses were packaged in lab facilities as previously described (1).

Cocaine injection and cocaine self-administration

C57Bl/6J, D1-Cre, D1-Cre-RT, and D2-Cre-RT mice received 7 daily intraperitoneal injections (i.p. of cocaine, 20mg/kg) or saline control injections in the home cage. NAc tissue for all experiments with mice was collected 24h after the last injection. Cocaine hydrochloride

(Sigma) was dissolved in sterile saline, and the dose of cocaine was determined based on effects from previous studies (1,2,12–14,40).

Protocols for rat cocaine self-administration experiments were adapted from previously published in-house experiments (1,2,14,33,40). Shortly, 1 week following catheterization, rats went through 10 daily 2hr sessions of intravenous cocaine or saline self-administration (1mg/kg/infusion in sterile saline solution) at an FR1 schedule reinforcement and a 30s time out period between infusions. 24hrs after the last cocaine infusion, rat NAc tissue was collected.

RiboTag and qRT-PCR procedure

NAc samples were pooled from four D1-Cre-RT or D2-Cre-RT male and female mice and RNA isolation from immunoprecipitated polyribosomes using primary mouse anti-HA antibody (Covance Research Products, Cat#MMS101R) and secondary antibody coated magnetic Dynabeads (Dynabeads protein G, Invitrogen) to pulldown the MSN specific RNA. Immunoprecipitated polyribosomes and non-immunoprecipitated input was prepared according to our previous studies (1,14,39). In brief, four 14-gauge NAc punches per animal (four animals pooled per sample) were collected and homogenized by douncing in homogenization buffer and 800 μ L of the supernatant was added directly to the HA-coupled beads (Invitrogen: 100.03D; Covance: MMS-101R) for constant rotation overnight at 4°C. RNA from polyribosome immunoprecipitated samples or input was subsequently extracted using the Total RNA Kit (Omega) according to manufacturer's instructions. RNA was quantified with a NanoDrop (Thermo Scientific).

For cDNA synthesis, qRT-PCR, and analysis we follow the steps as described previously (Chandra, Engeln et al., 2017; Chandra et al., 2015; See Additional File S2 for list of primers).

Mouse and rat NAc tissue punches were collected 24 h after the last cocaine administration and stored at -80°C. For both rodent and human postmortem NAc tissue, total RNA was isolated by using Trizol (Invitrogen) homogenization and chloroform layer separation (37). A clear RNA layer was then processed (RNAeasy MicroKit, Qiagen) and analyzed with NanoDrop (Thermo Scientific). Next, 500ng of RNA was reverse transcribed to cDNA (qScript Kit, Bio-Rad). cDNA was diluted to 200µl, and 2µl was used for each reaction. Human mRNA integrity was determined on an Agilent Bioanalyzer. mRNA expression changes were measured using qPCR with PerfeCTa SYBR Green FastMix (Quanta). Quantification of mRNA changes was performed using the $-\Delta\Delta C_T$ method, using glyceraldehyde 3-phosphate dehydrogenase (GAPDH) as a housekeeping gene. $-\Delta\Delta C_T$ cDNA values that showed no detection were removed for specific genes. In qRT-PCR analysis, samples 2 standard deviations above or below the mean were excluded. Samples were also excluded with $\Delta\Delta C_T$ values more than 30 or in the event that no $\Delta\Delta C_T$ values were present after analysis.

Chromatin immunoprecipitation

Fresh NAc punches were prepared for ChIP as previously described with minor modifications (2,14). Briefly, 4, 14-gauge NAc punches per C57BL/6J mouse (four animals pooled per sample) were collected, cross-linked with 1% formaldehyde and quenched with 2M glycine before freezing at -80°C. Before sample sonication, IgG magnetic beads (Invitrogen; sheep anti-rabbit, cat.# 11202D) were incubated with an anti-Egr3 (rabbit polyclonal, Santa Cruz Biotech, cat.# SC-191, 8–10µg per reaction) antibody overnight at 4°C under constant rotation in phosphate buffered saline (PBS)-based blocking solution (0.5% w/v BSA in 1X PBS). The chromatin was fragmented by sonication to an average length of 500-700bp. After sonication,

equal concentrations of chromatin were transferred to new tubes and 100µl of the final product was saved for input controls. Following the washing and resuspension of the antibody–bead conjugates, antibody–bead mixtures were added to each chromatin sample (600µl) and incubated for ~16h under constant rotation at 4°C. Samples were then washed and reverse cross-linked at 65°C overnight and DNA was purified using a PCR purification kit (QIAGEN). After DNA purification, samples were used for qPCR analysis and normalized to their appropriate input controls as previously described(14,41,42). Rabbit IgG immunoprecipitation control sample was prepared by adding blocking buffer to magnetic beads and anti-Egr3 for appropriate enrichment of Egr3 ChIPs, >1.5 fold.

Immunohistochemistry

Mice were perfused with 0.1M PBS followed by 4% paraformaldehyde (PFA). Brains were immersed in PBS-azide overnight. Brains were dissected with a vibratome (Leica) at 100 mm into PBS-azide for mitochondria.- Brain sections were blocked in 3% normal donkey serum with 0.3% Triton-X for 30 min at room temperature. Sections were then incubated overnight at 4°C in primary antibody (1:1500 chicken anti-GFP; Aves Labs, Cat#GFP-1020) diluted in 3% NDS and 0.3% tween 20 solution. On the second day, tissue sections were rinsed in PBS three times for 30 min per rinse followed by rinsing with PBS every hour at room temperature for 8 hours, then incubated overnight at 4°C in secondary antibody, 1:500 donkey anti-chicken-Alexa488 (Jackson ImmunoResearch cat# 703-545-155) diluted in PBS. After secondary incubation, sections were rinsed in PBS every hour for 8 hours. Slices were mounted, dried overnight in the dark, and cover slipped with mounting media (Aqua-Polymount; Polysciences, Inc.). DsRed was not amplified via immunostaining, as endogenous virus expression was sufficient for imaging.

Mitochondrial Imaging and Analysis

Sections were imaged on a Leica SP8 confocal microscope. A total of 4-5 neurons were imaged per mouse. High-resolution Z-stacks images were obtained with 0.4 μ m increments using a 60x oil immersion objective with 2x digital zoom. Sections were scanned for neuron distal dendrites (over 100 μ m radius from the soma) and distal secondary dendrites (the first nearest branch from distal dendrite). Dendrites and mitochondria were 3-dimensionally reconstructed using Imaris 8.2 software (Bitplane), and multiple morphological parameters were analyzed, including mitochondrial length, density, index, and volume.

3D reconstructions of images were generated of each filter: red (mitochondria) and green (soma and dendrites). In short, mitochondria length was measured by using the BoundingBoxOO Length C setting that measures the length of the longest principal axis of the mitochondria. Dendritic length was determined by ‘measurement point’ tool in line pair mode. Mitochondrial volume was measured by quantifying total mitochondrial volume divided by total dendrite volume. Mitochondrial density was measured by quantifying the total number of mitochondria per 10 μ m length of dendrites. Dendritic mitochondrial indices were measured by quantifying the average mitochondrial length per 10 μ m length of dendrite. Analysts were blinded to test conditions.

Statistical analysis

GraphPad Prism 6.0 software was used for statistical analysis. Two-way ANOVA was used for mitochondrial size frequency analysis followed by Bonferroni post-hoc correction. Student’s t-test was used for all other experiments. Comparisons were tested for normalcy. Significance was established when p-values were below 0.05. All graphs represent mean $\pm$

standard error (SEM). For qRT-PCR data, Grubbs outlier test was performed on data with obvious outliers and no more than one animal or sample was removed per group. No statistically significant sex differences were observed in any applicable experiment and so all groups with both male and females were combined.

Results

Egr3 binding sites are found on promoters of many nuclear, mitochondrial-related genes (gene-regulation.com, Alibaba). To determine if cocaine exposure alters Egr3 binding to the promoters of these mitochondrial-related nuclear genes we performed ChIP (Fig 1a). Following 7-days of i.p. cocaine injections (20mg/kg) NAc tissue was collected 24 hours after the last injection (Fig 1b), a time point shown to induce transcriptional and cellular plasticity in NAc (2,14,20,41,43,44). In bulk NAc tissue, enhanced binding of Egr3 was observed on the promoters of the mitochondrial fission molecule, Drp1, the transcription factor, nuclear respiratory factor, Nrf2, and the DNA polymerase gamma subunit, Pol γ . This is in line with a previous study demonstrating enhanced Egr3 binding at the PGC1 α promoter in NAc after repeated cocaine (2). These findings implicate cocaine-mediated Egr3 transcriptional regulation of mitochondrial-related nuclear genes.

Next, qRT-PCR was used to quantify mitochondria-related transcripts in bulk NAc tissue to determine if a history of cocaine exposure alters the expression of the following mitochondria-related genes: *Nrf1*, *Nrf2*, *PGC1 α* , *Pol γ* , *Tfam*, *Tfb1*, and *Tomm20*. Mice receiving 7 days of i.p. cocaine (20mg/kg) injections showed an increase in *Nrf1*, *Nrf2*, and *Tomm20* (see Fig 2a & Additional File S3; for mouse *PGC1 α* data, please see Ramesh Chandra, Engeln, Francis, et al., 2017). Rats that self-administered cocaine for 10 days (1mg/kg/infusion) showed similar

patterns, with enhancements in *Nrf1*, *Tfb1*, and *Tomm20* (see Fig 2b & Additional File S3). To compare rodent models with cocaine-dependent individuals, postmortem human tissue was analyzed for the same mitochondria-related nuclear genes. Tissue from cocaine-dependent individuals had enhanced *Nrf2* and *PGC1 α* (see Fig 2c & Additional File S3), which is consistent with previous studies demonstrating increased Drp1 in NAc of the same cocaine dependent cohort (1).

While cocaine-induced changes were observed in bulk tissue, previous work has shown differential cocaine-induced regulation of *Egr3*, the mitochondrial biogenesis genes, Drp1 and *PGC1 α* , and mitochondrial morphology in D1 vs D2-MSNs (1,2,14,39). *Egr3*, Drp1, and *PGC1 α* are oppositely regulated in D1-MSNs vs D2-MSNs following repeated cocaine exposure (14), and mitochondrial morphology at both baseline and after repeated cocaine is different between D1 and D2-MSNs (1,2,39). Thus, next examined were mitochondrial-related nuclear genes in D1-MSNs vs D2-MSNs using D1-Cre-RT or D2-Cre-RT mice (Fig 3a). D1-Cre-RT mice receiving 7 days of i.p. cocaine injections (20mg/kg) showed an increase in *Tfam* mRNA in NAc D1-MSNs (Fig 3b). By contrast, D2-Cre-RT mice showed a reduction in mRNA levels of *Pol γ* , *Tfam*, *Tfb1*, and *Tomm20* in NAc D2-MSNs after repeated cocaine (Fig 3c). These data are consistent with previous studies demonstrating enhanced Drp1 and *PGC1 α* in NAc D1-MSNs and reduced in D2-MSN after repeated cocaine exposure (2).

Next we examined whether reducing *Egr3* in D1-MSNs alters repeated cocaine-induced expression of mRNAs in this neuron subtype. D1-Cre-RT mice received NAc injections of AAV-DIO-*Egr3*-miR or AAV-DIO-SS-miR followed by repeated cocaine (20mg/kg for 7 days) or saline followed by 24-hour abstinence (Fig 4a). *PGC1 α* and *Drp1* mRNA in particular were analyzed since these were the only two molecules that displayed both an increase in *Egr3*

binding at promoters and increased mRNA in D1-MSNs after repeated cocaine exposure in this current study or in our previous studies (1,2). D1-Cre-RT mice with Egr3-miR injections into NAc showed 5-fold lower *PGC1α* mRNA and 6-fold lower *Drp1* mRNA (Fig 4b) in D1-MSNs following cocaine injections compared to SS-miR controls that received cocaine. Egr3-miR cocaine mice also showed no difference compared to Egr3-miR saline controls in either *PGC1α* or *Drp1* mRNA. These findings imply Egr3 transcriptionally regulates mitochondrial-related nuclear genes and is consistent with increased Egr3 binding on promoters of *Drp1* (Fig 1b) and *PGC1α* following cocaine exposure (2).

Drp1 mediates enhanced mitochondrial fission in D1-MSN dendrites following repeated cocaine exposure, including self-administration and blockade of *Drp1* prevents fission in these neurons. (1). Next examined was the impact of Egr3 reduction on cocaine-induced mitochondrial fission. D1-Cre mice received NAc AAV-DIO-Egr3-miR or AAV-DIO-SS-miR along with expressing AAV-DIO-mito-dsRed (1,39) to label mitochondria in D1-MSNs, and to allow quantification of mitochondrial volume, density, and size (Fig 4d). Mice received 7 days of i.p. cocaine injections (20mg/kg) or saline, and 24-hours after the last injection brain tissue was collected. An increase in the frequency of smaller-length mitochondria in dendrites was observed in cocaine treated mice, relative to saline treated mice, consistent with previous findings (Fig 4d; Ramesh Chandra, Engeln, Schiefer, et al., 2017). However, the frequency of small-sized mitochondria was decreased by approximately 30% in Egr3-miR cocaine exposed mice relative to Egr3-miR saline controls. SS-miR controls treated with cocaine showed the highest frequency in the 1.5-3.0μm length, whereas Egr3-miR mice treated with cocaine showed about half as many of these small-sized mitochondria (Two-way ANOVA: Interaction: $F_{(15,70)} = 3.035$, $p < 0.0001$, Bonferroni post hoc: $*p < 0.05$, $**p < 0.01$, $***p < 0.001$;). By contrast, Egr3-miR mice

treated with cocaine showed the most large-sized mitochondria in the 4.5-6 μ m length. We observed no changes in mitochondrial density or index by both drug and virus conditions. However, Egr3-miR mice demonstrated a lower volume than SS-miR mice. (Fig 4d; Two-way ANOVA $F_{(1,14)}=3.919$; $p=0.0678$; Error bars, SEM.). Taken together, cocaine-induced gene regulation and mitochondrial structural characteristics are substantially altered in NAc D1-MSNs. These findings align with previous work showing that manipulation of Drp1 dictates mitochondrial morphology characteristics following cocaine self-administration (1).

Discussion

Cocaine alters NAc mitochondrial morphology, inducing small-sized mitochondria in D1-MSNs and shifts toward larger mitochondria in D2-MSNs (1). This study sought to identify relationships between the cocaine-responsive transcription factor, Egr3, and genes regulating mitochondrial machinery to confirm causal mechanistic connections between Egr3 regulation and mitochondrial dynamics and morphology characteristics. This study identified distinct gene expression patterns of mitochondrial-related genes after cocaine exposure in NAc and in subpopulations of D1 and D2-MSNs. Egr3 was shown as an upstream transcriptional regulator of a number of mitochondrial nuclear genes following cocaine exposure. Further, this study established causal impacts of Egr3 regulation on mitochondria-related genes and the functional impacts of gene regulation on mitochondrial shape following cocaine exposure in NAc D1-MSNs. Together, the presented findings are some of the first examinations of NAc and neuron subpopulation responses to cocaine for a number of mitochondrial-associated factors regulating mitochondrial machinery and subsequent morphological profiles.

First, repeated cocaine exposure upregulated *Egr3* binding to mitochondria-related nuclear gene promoters in NAc, aligning with previous findings showing enhanced *Egr3* binding to *PGC1 α* following cocaine exposure (2). Subsequent tests examined mRNA of *Egr3*-target mitochondrial nuclear genes in NAc. These assays revealed that mice receiving cocaine injections and rats self-administering cocaine showed similar patterns of gene expression, with enhanced *Nrf1*, *Nrf2*, and *Tomm20*. However, only *PGC1 α* and *Nrf2* were upregulated in postmortem tissue of cocaine dependents. Additionally, other studies have shown that cocaine upregulates the mitochondrial fission genes *Drp1* (1,2) in bulk NAc tissue; including cocaine enhancement of *Drp1* mRNA in both rodents and humans (1). The lack of *PGC1 α* enhancement in NAc bulk tissue of rats that self-administer cocaine is inconsistent to the increases observed in postmortem NAc of cocaine dependents and NAc D1-MSNs in mice exposed to cocaine. This could be due to masked cell subtype changes since the analysis was performed in rat bulk NAc tissue.

What might account for the disparity in gene expression between rodents and humans? Factors, such as route of cocaine administration, methods of extraction, and potential co-morbid mental disorders in human subjects, such as major depression or bipolar disorder. These differences may reflect neurobiological differences in genotype and phenotype regulation patterns in the NAc and in MSN subtypes linked to broad forms of neuropathology (9–11,45–47).

Analysis of NAc-MSN subtype mRNA demonstrated upregulation of mitochondria-related mRNAs in D1-MSNs, whereas D2-MSNs displayed a general decrease in mitochondria-related mRNAs. In comparison to bulk NAc tissue quantification, these findings again demonstrate the importance of localizing and characterizing gene regulation to specific neuron

subtypes within regions of interest. Further, these findings are consistent with a previous study demonstrating increases in Drp1 expression and increased frequency of small mitochondria in D1-MSNs, while lower levels of Drp1 and increased larger mitochondria frequency are observed in D2-MSNs following cocaine exposure or self-administration (1). Moreover, PGC1 α shows enhancement in D1-MSNs and a decrease in D2-MSNs following 7 days of cocaine administration (2). Thus, these findings further elucidate the differences of mitochondrial-related molecules in MSN subtypes following repeated cocaine exposure. The different patterns of mitochondrial nuclear gene regulation align with previous work showing D1-MSN specific enhancement of Egr3, PGC1 α , and Drp1 following cocaine exposure (1,2). Collectively, differences in regulation between these neuron populations highlight an important principle: D1-MSNs vs D2-MSNs differentially regulate mitochondrial nuclear genes in response to cocaine. Subsequent disruption of Egr3 by NAc infusion of Egr3-miR into D1-MSNs prevented cocaine-mediated enhancement of *Drp1* and *PGC1 α* mRNAs. These findings corroborate a model of Egr3 transcriptional regulation of mitochondrial nuclear genes, and previous findings showing both cocaine-enhanced Egr3-PGC1 α binding and cocaine-induced upregulation of PGC1 α and Drp1 in D1 MSNs. (1,2,14).

The importance of examining cell type-specific changes is further highlighted by cocaine induction of Egr3 promoter binding to Drp1 and the prevention of Drp1 upregulation in D1-MSNs by Egr3 blockade; indicating Egr3 transcriptional regulation of Drp1 is likely necessary for D1-MSN Drp1-mediated mitochondrial fission after cocaine exposure. D1-MSNs are known to have enhanced spine density after cocaine exposure (20,25) and Drp1 is necessary for the formation of dendritic spines (23,24). Here, Egr3 blockade in D1-MSNs also prevented cocaine-induced fission, indicated by reduced frequency of small-sized mitochondria. Thus, converging

lines of evidence support the hypothesis that cocaine-induced neuroplasticity in NAc, particularly in NAc D1-MSNs, is directly tuned by Egr3 regulation of mitochondrial dynamics. Given a large literature demonstrating enhanced excitatory plasticity, signaling processes, and transcriptional activity in D1-MSNs with both contingent and non-contingent chronic or acute cocaine it is plausible that these neurons require higher energy demands (13,17–20,48,49) or mitochondria could be acting as buffers of calcium homeostasis required for neuroplastic events (23). Thus, cocaine-induced enhancement of Egr3 and subsequent mitochondrial fission may work to meet increased energy demands. Enhancement of small-sized mitochondria indicates enhanced fission; here impaired by Egr3-miR disruption of Egr3 function. The alterations of mitochondrial morphology observed here may be responsible for facilitating long-lasting neuroplastic changes underpinning persistent craving of drugs of abuse.

Egr3 is necessary for cocaine-induced enhancement of Drp1, cocaine self-administration produces morphological shifts to small-sized mitochondria in D1-MSNs, and Drp1 and fission are required for neuroplasticity in neurons including after cocaine exposure (1,23). It is plausible that the alterations of the other mitochondria nuclear genes affected by cocaine and Egr3 in this study are involved in these dynamic changes. Egr3- PGC1 α enhancement also indicates recruitment of upstream fission/biogenesis processes and energy production machinery (2). PGC1 α knockdown results in decreased neurofilament protein (22) and PGC1 α is involved in the formation and maintenance of dendritic spines (50). Thus, PGC1 α may be critical for the dendritic spine and corresponding plasticity adaptations occurring with cocaine exposure(43,51). Further, PGC1 α null mice show impairment in sodium ion pumps (22) and neurons require large quantities of ATP to maintain ion gradients and transport mechanisms. While PGC1 α is involved in energy production it is also involved in the regulation of reactive oxygen species

(ROS) production, a byproduct of cellular respiration (52,53). PGC1 α regulates factors in the detoxification of ROS, such as superoxide dismutase, indicating concordant cell growth and protective effects by both enhancing cellular respiration and scavenging of toxic byproduct (54). This suggests a neuroprotective effect from PGC1 α regulation.

Egr3 also regulates CREB, a cocaine-responsive gene, which in turn regulates several mitochondrial components (R. Chandra et al., 2015). For instance, CREB regulates the expression of PGC1 α (55,56). CREB directly regulates cytochrome c (a major factor in ETC function), *PGC1*, and *Nrf1* (55,57–62). Further, tandem CREB-Nrf1 activity is involved in the growth-regulated cytochrome c (57,60). CREB is also mediated by TOM complexes into mitochondria, allowing access to and binding on mtDNA (58,63,64). This activity of nuclear CREB and mitochondrial CREB is coordinated via shared upstream signals. Taken together, Egr3 or other mitochondrial gene regulation induced by cocaine or viral manipulations may impact mitochondrial function indirectly through CREB activity on mitochondria-related nuclear genes to facilitate neuronal adaptation.

The result of low sample size may present false negatives in this report and hide cocaine-induced effects, such as in the case of ChIP assaying of Tfam and Tfb1 in Fig 1. However, it is noted for ChIP and RiboTag assays that samples displayed are representative of pooled tissues from 5 or 4 mice, respectively, and, thus, likely representative of authentic effects.

While this study establishes a causal connection between D1-MSN Egr3 expression and mitochondrial fission, a limitation still to be addressed is how D1-MSN Egr3-mediated mitochondrial fission affects cocaine-induced behavioral adaptations. As discussed above, studies of the fission-regulating molecules Drp1 and PGC1 α show that enhancement of D1-MSN mitochondrial fission increases some cocaine-induced behaviors, such as seeking for

cocaine, cocaine CPP, and cocaine-induced locomotion (1,2). Thus, because cocaine exposure enhances Egr3 in D1-MSNs (14), enhances Egr3 binding to PGC1 α (2), and is shown here to induce enhanced Egr3-Drp1 binding, it is predicted that subsequent enhancements of D1-MSN mitochondrial fission would induce increased cocaine-induced behaviors, such as locomotion, CPP or seeking for cocaine. It would be of interest examine whether modulation of fission or fusion-related molecules is capable of combating the effects of Egr3 manipulations. For instance, it is possible that Egr3-miR in D1-MSNs, which decreases mitochondrial fission, would be counteracted by Drp1 overexpression, shown to enhance cocaine seeking, and result in reversal of cocaine-induced behavioral impairment. Similarly, enhanced PGC1 α may counter Egr3 knockdown to restore cocaine CPP or locomotion. Alternatively, D1-MSN Drp1 or PGC1 α blockade could impair enhancement of cocaine-induced behaviors by Egr3.

A related interesting question is whether enhanced mitochondrial fusion would run counter to D1-MSN Egr3 responses to cocaine. If cocaine induces enhanced D1-MSN Egr3 expression and subsequent mitochondrial fission, it would be expected that overexpression of fusion molecules would produce behavioral effects counter to Egr3.. However, previous studies have examined the expression of the mitochondrial fusion genes, Mitofusin 1 and 2 (Mfn1 and Mfn2) and optic atrophy 1 (Opa1), but found relatively little effect of cocaine on these genes in general NAc cell populations (1). Further, D1 vs D2-MSN characterization of these genes after repeated cocaine injections showed no differences between neuron subpopulations. However, in NAc Mfn2 expression is elevated after cocaine self-administration in rats, but it remains to be seen whether this is driven by specific neuron subpopulations. An outstanding possibility is that cocaine self-administration could induce D1-MSN changes in fusion genes, such as Mfn2, whereas i.p. cocaine does not. Thus, it is conceivable that artificial overexpression of fusion

genes could counteract D1-MSN Egr3-mediated fission, no firm opinions can be made given thus far cocaine intake has little impact or can even enhance fusion-related molecules. Ultimately, the answers to how Egr3 regulation of mitochondrial dynamics relate to psychological impact remain to be determined by future studies.

Conclusion

Together, these findings establish that Egr3 regulates enhancement of mitochondrial dynamics, that have been shown to regulate plasticity adaptations in MSNs underlying behaviors occurring in rodent models of substance use disorders. Further, these studies are some of the first illustrations of cell type-specific gene regulation in brain and specifically the NAc. These studies support a model whereby cocaine-associated transcription factors are controlling mechanisms of mitochondrial dynamics and underpin long-lasting neurobiological adaptations to drugs of abuse.

List of abbreviations:

AAV: adeno-associated viruses
ChIP: chromatin immunoprecipitation
CPP: conditioned place preference
D1-MSN: dopamine receptor-1 containing medium spiny neurons
D2-MSN: dopamine receptor-2 containing medium spiny neurons
DSM-IV : Diagnostic and Statistical Manual of Mental Disorders-IV
DIO: double inverted open

507 Drp1: dynamin related protein 1

508 Egr3: early growth response factor 3

509 Egr3-miR: Egr3 microRNA

510 IACUC: Institutional Animal Care and Use Committee

511 Mfn1: Mitofusin 1

512 Mfn2: Mitofusin 2

513 MSNs: medium spiny neurons

514 NAc: Nucleus accumbens

515 Opa1: Optic atrophy 1 (alternative: OPA1 mitochondrial dynamin like GTPase)

516 PBS: phosphate buffered saline

517 PGC1 α : peroxisome proliferator-activated receptor gamma coactivator

518 Poly: mitochondrial DNA polymerase subunit gamma

519 Nrf1: transcription factors nuclear respiratory factor 1

520 Nrf2: transcription factors nuclear respiratory factor 2

521 qRT-PCR: quantitative real time polymerase chain reaction

522 RT: RiboTag

523 SS-miR: scramble sequence microRNA

524 Tfam: mitochondria-specific transcription factor a

525 Tfb1: mitochondria-specific transcription factor b

526 Tomm20: translocase of the outer mitochondrial membrane 20

527

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Author Contribution

All authors contributed to the manuscript. All authors have given final approval for this version to be published and agree to be accountable for aspects of the work:
S.C., R.C., M.H., I.P., T.W., H.K., L.J., and A.M.G. performed experiments. G.T. and S.J.R. provided and processed postmortem human cDNA. S.C., R.C., A.M.G., D.M.D., and M.K.L. designed experiments. S.C., R.C., and M.K.L. wrote and edited the manuscript.

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Figure Legends

Figure 1. Cocaine-induced Egr3 binding to promoters of mitochondrial-related nuclear gene in NAc. (A) Illustration of the ChIP assay using anti-Egr3 to immunoprecipitate chromatin in NAc tissue. (B) **Top panel:** The timeline of cocaine (20mg/kg, i.p.) or saline injections and NAc tissue collection after 24-hour abstinence. **Bottom panel:** Egr3 binding is increased on *Drp1*, *Nrf2* and *Polγ* gene promoters in NAc in the cocaine group (n=35 mice, 7 samples) compared to saline injected in male (n=30, 6 samples) mice. Student's t test, *p<0.05; Error bars, SEM.

Figure 2. Cocaine induced mitochondrial-related nuclear gene expression in NAc of rodents and human subjects. (A) Male mice treated with 7 days of cocaine (20mg/kg, i.p.) display higher mRNA expression of *Nrf1*, *Nrf2*, and *Tomm20* genes 24h in NAc. Student's t-test, *p<0.05, ***p<0.001; n=6 saline and n= 7 cocaine group. (B) Male rat mRNA expression in NAc tissue after 10 days self-administration (**upper panel**) followed by 24hr abstinence. **Bottom panel:** increased expression of *Nrf1*, *Tfb1* and *Tomm20* in NAc of rats that self-administer cocaine compared to saline. Student's t test, *p<0.05; n=5 saline and n= 6 cocaine. (C) mRNA expression in human postmortem NAc tissue indicated that *Nrf2* and *PGC1α* gene expression is higher in human cocaine dependents compared to control subjects. Student's t test, *p<0.05; n=15 control (14 male; 1 female) and n= 15cocaine dependent (14 male;1 female); Error bars, SEM.

Figure 3. Ribosome associated mitochondrial-related mRNA are altered in NAc MSN subtypes after repeated cocaine. (A) Illustration of NAc D1-MSN (blue) and D2-MSN (red) subtypes and the RiboTag procedure to isolate ribosome-associated mRNA from MSN subtypes

using D1-Cre-RT and D2-Cre-RT mice. HA tagged (green) ribosomes are immunoprecipitated from NAc homogenates using anti-HA coupled to magnetic beads, followed by isolation of ribosome-associated mRNA from D1-MSNs and D2-MSNs. **(B) Top panel:** The timeline of cocaine (20mg/kg, i.p.) or saline injections and NAc tissue collection after 24-hour abstinence. **Bottom panel:** *Tfam* ribosome-associated mRNA is increased in D1-MSNs Student's t-test, * $p < 0.05$; $p = 0.07$ *Nrf2*; $p = 0.052$ *Tomm20*; D1-MSN saline group (n=16 females, 8 male; pooled samples 4 and 2, respectively); D1-MSN cocaine group (n=8 female, 16 males; pooled samples 2 and 4, respectively). **(C)** *Poly*, *Tfam*, *Tfb1* and *Tomm20* expression is reduced in D2-MSNs after repeated cocaine (7 days, 20mg/kg). Student's t-test, * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; D2-MSN saline group (n=8 female, 16 male; pooled samples 2 and 4, respectively) and D2-MSN cocaine group (n=12 female, n=12 male; pooled samples 3 and 3, respectively); Error bars, SEM.

Figure 4. Mitochondria-related nuclear gene expression in NAc D1-MSNs and attenuation of cocaine-mediated induction of small sized mitochondria after Egr3 knockdown. **(A)** D1-Cre-RT mice received an intracranial infusion of a Cre-dependent virus inducing D1-MSN functional knockdown via Egr3-miR (experimental) or SS-miR (control). The timeline of Egr3-miR virus surgery followed by cocaine (20mg/kg, i.p.) or saline injections and NAc tissue collection after 24-hour abstinence. **(B)** *Drp1* and *PGC1 α* mRNAs are reduced in D1-MSN of the Egr3miR cocaine treated compared to SS-miR cocaine treated male mice. Two-way ANOVA: *Drp1*; Interaction $F_{(1,20)} = 12.67$; Post hoc test $p = 0.002$, ** $p < 0.01$; ***, $p < 0.001$; SS-miR Saline (n=3 male, n=2 female), SS-miR Cocaine (n=3 female, n=3 male), Egr3-miR Saline (n=4 male, n=2 female), Egr3-miR cocaine (n=3 female, n=4 male). Two-way ANOVA: *PGC1 α* ; Interaction $F_{(1,18)} = 9.398$; Post hoc test $p = 0.0067$, * $p < 0.05$; n=5 SS-miR Saline, n=5 SS-miR

Cocaine, n=6 Egr3-miR Saline, n=6 Egr3-miR cocaine all males. **(C)** Representative images for D1-MSN dendrites (green) with labeled mitochondria (red) after repeated cocaine exposure from SS-miR and Egr3-miR groups. **(D) Top left:** 3D reconstruction of dendrites and mitochondria highlights an increase in the frequency of smaller length mitochondria in D1-MSN dendrites in the cocaine SS-miR group, which is attenuated in the Egr3- miR cocaine group. The Egr3-miR cocaine group displayed a decrease in the frequency of smaller size mitochondria in the 1.5-3µm size bracket compared to the SS-miR cocaine group. Two-way ANOVA: Interaction: $F_{(15,70)} = 3.035$, $p < 0.0001$, Bonferroni post hoc: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$; SS-miR saline (n=4 , all male); SS-miR cocaine (n=4, all male); Egr3-miR saline (n=2 female, n=3 male); Egr3-miR cocaine (n=2 female, n=3 male). **Top Right:** Mitochondrial density (per dendrite 10µm length) is unaltered in D1-MSNs of mice exposed to cocaine and Egr3-miR. Two-way ANOVA: $F_{(1,14)} = 0.2823$; $p = 0.6035$; **Bottom Left:** Mitochondrial index (mitochondrial length per 10µm of dendrite length) in Egr3 knockdown compared to control group demonstrating no change among the four groups. Two-way ANOVA $F_{(1,14)} = 1.041$; $p = 0.8304$; **Bottom Right:** Mitochondrial volume ratio (relative to dendrite volume) is enhanced in the SS-miR group compared to the Egr3-miR group. Two-way ANOVA $F_{(1,14)} = 3.919$; $p = 0.0678$; Error bars, SEM.

Additional File 1. Human demographics for examined cocaine dependent and non-dependent tissue

Additional File 2. Primers utilized in study

Additional File 3. Gene regulation by species, brain region, and cell population

Additional File 4. Statistical table

a **b**

a **b** **c**

a **b** **c** **d**