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2 The adaptive benefit of evolved increases in hemoglobin-O₂ affinity is
3 contingent on tissue O₂ diffusing capacity in high-altitude deer mice

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18 Abstract

19 **Background.** Complex organismal traits are often the result of multiple interacting genes and
20 sub-organismal phenotypes, but how these interactions shape the evolutionary trajectories of
21 adaptive traits is poorly understood. We examined how functional interactions between
22 cardiorespiratory traits contribute to adaptive increases in the capacity for aerobic thermogenesis
23 (maximal O₂ consumption, $\dot{V}\text{O}_{2\text{max}}$, during acute cold exposure) in high-altitude deer mice
24 (*Peromyscus maniculatus*). We crossed highland and lowland deer mice to produce F₂ inter-
25 population hybrids, which expressed genetically based variation in hemoglobin (Hb) O₂ affinity on
26 a mixed genetic background. We then combined physiological experiments and mathematical

modeling of the O₂ transport pathway to examine links between cardiorespiratory traits and $V\dot{O}_2\text{max}$.

Results. Physiological experiments revealed that increases in Hb-O₂ affinity of red blood cells improved blood oxygenation in hypoxia, but were not associated with an enhancement in $V\dot{O}_2\text{max}$. Sensitivity analyses performed using mathematical modeling showed that the influence of Hb-O₂ affinity on $V\dot{O}_2\text{max}$ in hypoxia was contingent on the capacity for O₂ diffusion in active tissues.

Conclusions. These results suggest that increases in Hb-O₂ affinity would only have adaptive value in hypoxic conditions if concurrent with or preceded by increases in tissue O₂ diffusing capacity. In high-altitude deer mice, the adaptive benefit of increasing Hb-O₂ affinity is contingent on the capacity to extract O₂ from the blood, which helps resolve controversies about the general role of hemoglobin function in hypoxia tolerance.

Keywords

Evolutionary physiology, high-altitude adaptation, O₂ transport pathway, complex trait evolution, hemoglobin adaptation

Background

A long-standing goal of evolutionary biology is to understand how the functional integration of traits influences patterns of phenotypic change and adaptation (1). Complex physiological phenotypes often represent an emergent property of functional interactions among different tissues and organ systems, which in turn may be developmentally interrelated and genetically correlated. The functional, developmental, and genetic interdependence of traits may facilitate environmental adaptation if semi-autonomous components of a complex phenotype respond synergistically to selection. Alternatively, functional integration and genetic correlations among components of a trait can limit and channel pathways of phenotypic evolution (2, 3). Evolutionary

questions about phenotypic integration and adaptation can be addressed most profitably by examining well-defined traits with well-characterized functions and well-documented associations with fitness under natural conditions.

The capacity for aerobic thermogenesis in small mammals at high altitude is a complex performance trait that is well suited to experimental studies of how patterns of phenotypic integration affect the process of adaptation. At high altitude, cold temperatures challenge the ability of endotherms to maintain body temperature and activity, which is especially difficult in smaller animals that have high surface area to volume ratios. Unsurprisingly, aerobic thermogenesis (quantified as maximal O_2 consumption, $\dot{V}\text{O}_{2\text{max}}$, during acute cold exposure) in hypoxia is under strong directional selection in some small mammals at high altitude (4), which have evolved higher thermogenic $\dot{V}\text{O}_{2\text{max}}$ (5-9). Thermogenic $\dot{V}\text{O}_{2\text{max}}$ is supported by the integrated function of the O_2 transport pathway, the conceptual steps (ventilation, pulmonary diffusion, circulation, tissue diffusion, and mitochondrial O_2 utilization) involved in transporting O_2 from inspired air to thermogenic tissues where O_2 is used by mitochondria to support oxidative phosphorylation (10, 11). Therefore, studies of thermogenic $\dot{V}\text{O}_{2\text{max}}$ in high-altitude natives are ideal for understanding the mechanisms underlying the adaptive evolution of complex traits.

Evolved increases in the O_2 affinity of hemoglobin (Hb) are pervasive in high-altitude taxa, and have become classic examples of biochemical adaptation (12). However, the nature of the direct adaptive benefit conferred by increases in Hb- O_2 affinity in highland species is controversial. Many highland taxa have evolved increases in Hb- O_2 affinity independently, and in many cases, the molecular mechanisms underlying these changes in protein function are documented in detail (12-18). These increases in Hb- O_2 affinity are often presumed to safeguard arterial O_2 saturation in hypoxia and thus help improve tissue O_2 delivery and aerobic capacity (5, 6, 19-26), although this has rarely been tested. Nonetheless, the relationship between Hb- O_2 affinity and $\dot{V}\text{O}_{2\text{max}}$ in hypoxia remains contentious (12, 25, 27-29). Theoretical modeling of the O_2 transport pathway in humans suggests that increases in Hb- O_2 affinity do not increase aerobic capacity in hypoxia on their own (30), because the advantage of increasing Hb- O_2 affinity may be

offset by a trade-off in O₂ offloading at tissues (11, 31, 32). A recent study in humans with rare genetic Hb variants found that increases in Hb-O₂ affinity attenuated the hypoxia-induced decline in aerobic capacity, but subjects with high Hb-O₂ affinity also had compensatory polycythemia (33). Considering the strong functional integration of Hb within the O₂ transport pathway, the advantages of increasing Hb-O₂ affinity in high-altitude taxa may be contingent on the evolution of other cardiorespiratory traits, but this has not been experimentally investigated.

We sought to determine the effects of evolved increases in Hb-O₂ affinity in high-altitude deer mice (*Peromyscus maniculatus*) on thermogenic $\dot{V}\text{O}_2\text{max}$ in hypoxia, and to examine whether the adaptive benefit of changes in Hb-O₂ affinity is contingent on other cardiorespiratory changes. Deer mice have the broadest altitudinal range of any North American mammal (34), ranging from near sea level to montane environments up to approx. 4,350 m above sea level (35), and high-altitude populations have evolved elevated thermogenic $\dot{V}\text{O}_2\text{max}$ in hypoxia in response to directional selection (4-9). In conjunction with a higher $\dot{V}\text{O}_2\text{max}$ in chronic hypoxia, high-altitude deer mice also exhibit higher pulmonary O₂ extraction, arterial O₂ saturation, cardiac output, and tissue O₂ extraction than their lowland counterparts (9, 36). The latter is associated with several evolved changes in skeletal muscle phenotype and mitochondrial function (37-41). Highlanders have also evolved a higher Hb-O₂ affinity as a result of amino acid replacements in duplicated genes that encode the α - and β -chain subunits of the $\alpha_2\beta_2$ Hb tetramer (5, 6, 15, 20, 21, 23, 24, 26, 34, 42). This evolved increase in Hb-O₂ affinity in highlanders is not complemented by an enhanced Bohr effect to augment O₂ unloading (43). We initially hypothesised that these evolved increases in Hb-O₂ affinity would be responsible for higher thermogenic capacity in highland deer mice, compared to their lowland conspecifics. To investigate the effect of genetically based changes in Hb-O₂ affinity on whole-animal performance in hypoxia, we created F₂ hybrids between high- and low-altitude deer mice (F₂ intercross breeding design) to randomize associations between allelic globin variants, and we then examined the effects of α - and β -globin variants on red blood cell P_{50} (the O₂ pressure, PO_2 , at which Hb is 50% saturated), arterial O₂ saturation, thermogenic $\dot{V}\text{O}_2\text{max}$, and other

physiological traits on an admixed genetic background (see Additional file 1: Fig. S1 for graphical overview of experimental design). We performed physiological measurements before and after chronic exposure to hypoxia to test for effects of Hb genotype on trait-specific acclimation responses. We then used our empirical data in an *in silico* model of the O₂ transport pathway to examine the interactive effects of Hb-O₂ affinity and the O₂ diffusing capacity of tissues (D_TO₂) on V̇O₂max. Our results suggest that increases in Hb-O₂ affinity only contribute to the adaptive enhancement of thermogenic V̇O₂max in hypoxia if accompanied by a corresponding increase in D_TO₂ to augment tissue O₂ extraction.

Results

We measured thermogenic V̇O₂max, arterial O₂ saturation, and other cardiorespiratory traits *in vivo* during acute exposure to cold heliox in normoxia (21% O₂) and hypoxia (12% O₂) in male and female F₂ hybrid mice (mean ± SEM of body mass before hypoxia acclimation, 23.8 ± 0.9 g; see Additional file 2: Fig. S2 for body masses for each genotype before and after hypoxia acclimation) that possessed a diverse array of different α- and β-globin genotypes. We also performed *in vitro* measurements of red blood cell P₅₀ using erythrocyte suspensions from the same set of mice. The F₂ hybrids were generated by crossing wild mice from populations at high and low altitudes to produce F₁ inter-population hybrids, followed by full-sibling matings to create 4 families of F₂ hybrid progeny with admixed genetic backgrounds. Measurements of physiological phenotypes were made before and after a 6-week acclimation period to hypobaric hypoxia (12 kPa O₂, simulating ~4,300 m above sea level). In general, hypoxia acclimation was associated with increased V̇O₂max in hypoxia, along with increases in pulmonary ventilation, arterial O₂ saturation, heart rate, hematocrit (Hct) and blood Hb concentration ([Hb]), but also increases in red blood cell P₅₀ (Fig. 1, Additional file 3: Tables S1 and S2). However, hypoxia acclimation did not affect V̇O₂max under normoxic conditions. Below, we describe the effects of

Hb genotype on thermogenic $V\dot{O}_2\text{max}$ and hematological traits in mice acclimated to normoxia, and then we describe how Hb genotype affects acclimation responses to chronic hypoxia.

Genetically based decreases in red blood cell P_{50} improved arterial O_2 saturation in hypoxia. In normoxia-acclimated mice, there was a significant main effect of Hb genotype on red blood cell P_{50} ($P = 0.0048$; Fig. 2A, Additional file 3: Table S3), which appeared to be largely attributable to the effects of α -globin variants. Mice possessing highland α -globin variants had a lower red blood cell P_{50} compared to those possessing lowland variants, reflecting a higher affinity for O_2 . In contrast, Hb genotype did not affect Hct ($P = 0.8339$), [Hb] ($P = 0.9351$), or the Hill coefficient (n) that quantifies the cooperativity of Hb- O_2 binding ($P = 0.8053$; Additional file 4: Fig. S3, Additional file 3: Table S3).

Arterial O_2 saturation varied in association with red blood cell P_{50} in hypoxia, but not in normoxia. There were significant main effects of both Hb genotype ($P = 0.0189$) and inspired PO_2 ($P < 0.0001$) on arterial O_2 saturation at $V\dot{O}_2\text{max}$, with mice exhibiting reduced saturation in hypoxia (Fig. 2B, Additional file 3: Table S3). However, the effect of inspired PO_2 on arterial O_2 saturation was influenced by genotype (genotype x PO_2 interaction, $P = 0.0389$), as mice with the highland α -globin genotype exhibited a smaller reduction in arterial O_2 saturation under hypoxia compared to those with the lowland genotype. Consequently, mice with highland α -globin maintained 9-14% higher arterial O_2 saturation on average than those with lowland α -globin at hypoxic $V\dot{O}_2\text{max}$. Higher red blood cell O_2 affinity was associated with higher arterial O_2 saturation in hypoxia, as indicated by a significant negative relationship between arterial O_2 saturation and red blood cell P_{50} ($P = 0.0103$, $R^2 = 0.2441$; Fig. 2C).

Genetically based variation in red blood cell P_{50} and arterial O_2 saturation had no effect on thermogenic $V\dot{O}_2\text{max}$ in hypoxia. $V\dot{O}_2\text{max}$ was significantly reduced in hypoxia compared to normoxia by ~24% on average ($P < 0.0001$; Fig. 3A, Additional file 3: Table S3). However, although Hb genotype had a significant main effect on $V\dot{O}_2\text{max}$ ($P = 0.0416$), $V\dot{O}_2\text{max}$ in

hypoxia did not follow the pattern of variation seen for arterial O_2 saturation. As such, hypoxic $V\dot{O}_{2\max}$ was not correlated with arterial O_2 saturation in hypoxia (Fig. 3B). Instead, the observed variation in $V\dot{O}_{2\max}$ appeared to be associated with variation in heart rate, which was also significantly affected by inspired PO_2 ($P < 0.0001$), though the effect of genotype was only marginally significant ($P = 0.0545$; Additional file 5: Fig. S4A, Additional file 3: Table S3). Total ventilation, tidal volume, and breathing frequency were unaffected by Hb genotype (Additional file 5: Fig. S4, Additional file 3: Table S3).

Hb genotype influenced the acclimation responses of red blood cell P_{50} and arterial O_2 saturation to chronic hypoxia. There were main effects of hypoxia acclimation that tended to increase both red blood cell P_{50} ($P = 0.0002$) and arterial O_2 saturation measured at $V\dot{O}_{2\max}$ in hypoxia ($P = 0.0005$), but the acclimation response appeared to differ between genotypes (Fig. 4, Additional file 3: Table S2). Mice with the lowland α -globin variant exhibited no plasticity in red blood cell P_{50} in response to hypoxia acclimation, whereas mice with highland α -globin increased red blood cell P_{50} to values that were comparable to mice with lowland α -globin. Conversely, mice with lowland α -globin showed much greater plasticity in arterial O_2 saturation in hypoxia following hypoxia acclimation, with all individuals increasing saturation (on average by ~13% saturation units). Mice with highland α -globin showed little to no change in saturation after hypoxia acclimation. Hypoxia acclimation increased Hct ($P < 0.0001$) and [Hb] ($P < 0.0001$; Fig. 1), but neither these traits nor the Hill coefficient were influenced by Hb genotype (Additional file 4: Fig. S3, Additional file 3: Table S2).

$V\dot{O}_{2\max}$ in hypoxia increased after hypoxia acclimation ($P = 0.0013$), but this response was not influenced by Hb genotype ($P = 0.1764$; Additional file 6: Fig. S5, Additional file 3: Table S2). The magnitude of change in hypoxic $V\dot{O}_{2\max}$ following hypoxia acclimation was not associated with the magnitude of change in arterial O_2 saturation (Fig. 4C). Hypoxia acclimation also increased heart rate ($P = 0.0031$), total ventilation, ($P < 0.0001$), tidal volume ($P = 0.0005$), and breathing frequency ($P < 0.0001$) measured at $V\dot{O}_{2\max}$ in hypoxia, but none of these traits

were affected by Hb genotype (Additional file 7: Fig. S6, Additional file 3: Table S2). Normoxic $V\dot{O}_2\text{max}$ was not affected by hypoxia acclimation or Hb genotype, nor were the measurements of heart rate, total ventilation, tidal volume, or breathing frequency at normoxic $V\dot{O}_2\text{max}$ affected by Hb genotype (Additional file 8: Fig. S7, Additional file 3: Table S4). However, there was a main effect of genotype on arterial O_2 saturation measured at $V\dot{O}_2\text{max}$ in normoxia ($P = 0.0291$) that appeared to result from slightly lower saturation values in mice with characteristic lowland α - and β -globin genotypes ($\alpha^{\text{LL}}\beta^{\text{LH}}$; Additional file 8: Fig. S7B, Additional file 3: Table S4).

Sensitivity analysis suggested that effects of Hb- O_2 affinity on $V\dot{O}_2\text{max}$ in hypoxia are contingent on tissue O_2 diffusing capacity ($D_T O_2$). We examined the interactive effects of Hb- O_2 affinity and $D_T O_2$ on $V\dot{O}_2\text{max}$ in hypoxia using a mathematical model of O_2 flux through the O_2 transport pathway. We generated the initial solutions of the model using empirical data collected for deer mice, and then performed a sensitivity analysis to determine the effects of increasing $D_T O_2$ on $V\dot{O}_2\text{max}$ at each of the red blood cell P_{50} values for mice with characteristic highland ($\alpha^{\text{HH}}\beta^{\text{HH}}$) and lowland ($\alpha^{\text{LL}}\beta^{\text{LH}}$) Hb genotypes. Increasing $D_T O_2$ by 50% increased $V\dot{O}_2\text{max}$, but the effect was greater with the P_{50} of the high-affinity $\alpha^{\text{HH}}\beta^{\text{HH}}$ genotype (11.8%) than with the lower affinity $\alpha^{\text{LL}}\beta^{\text{LH}}$ genotype (8.5%; Fig. 5A). The effect of P_{50} was accentuated when $D_T O_2$ was increased above 41%, when venous PO_2 (and thus venous O_2 saturation) fell to zero at the higher P_{50} (Fig. 5B). These results indicate that an increase in Hb- O_2 affinity only contributes to an enhancement of $V\dot{O}_2\text{max}$ in hypoxia if it is paired with an increase in $D_T O_2$ in thermogenic tissues (i.e., skeletal muscle and/or brown adipose tissue).

Discussion

Our study provides evidence that the adaptive benefit of increasing Hb- O_2 affinity is contingent on the capacity of active tissues to extract O_2 from the blood. In agreement with previous studies (5, 6, 20, 21, 24, 26, 43), our data from F_2 inter-population hybrids demonstrate that Hb variants from

high-altitude deer mice confer a higher Hb-O₂ affinity than Hb from lowland conspecifics, and that this evolved increase in affinity augments arterial O₂ saturation in hypoxia by 9-14%. However, these genetically based changes alone did not augment $V_{O_2\max}$ (i.e., aerobic performance) in hypoxia. Modeling of the O₂ transport pathway revealed that increases in Hb-O₂ affinity would only be expected to enhance $V_{O_2\max}$ in hypoxia if O₂ diffusing capacity were increased to augment tissue O₂ extraction. Importantly, recent evidence suggests that high-altitude mice have evolved a highly aerobic skeletal muscle phenotype with an enhanced capacity for O₂ diffusion (37-41). In particular, the gastrocnemius muscle of highland deer mice has greater capillary density and a redistribution of mitochondria to a subsarcolemmal location that is closer to capillaries, each of which would increase O₂ diffusing capacity. Our results therefore suggest that increases in both Hb-O₂ affinity and tissue O₂ diffusing capacity likely contributed to the adaptive increases in $V_{O_2\max}$ in high-altitude deer mice. These findings suggest the testable hypothesis that other hypoxia-adapted, high-altitude vertebrates that have evolved derived increases in Hb-O₂ affinity will also have evolved increases in tissue capillarity and/or other changes that augment O₂ diffusing capacity.

The genetically based differences in Hb function led to predictable differences in arterial O₂ saturation during acute and chronic hypoxia. Amino acid variation in Hb genes is not always associated with changes in O₂-binding properties (16, 44), and even in cases where it has been possible to document causal effects of specific mutations on Hb function (13, 15, 23-26, 34, 42, 45-48), the *in vivo* effects on blood oxygenation have rarely been examined. Our study suggests that it is critically important to examine how genetic changes in proximal biochemical phenotypes affect higher-level physiological phenotypes (e.g., arterial O₂ saturation and $V_{O_2\max}$ in hypoxia) to fully understand their potential adaptive significance.

Genetic variation in Hb altered the acclimation response to chronic hypoxia, as highland α -globin genotypes were associated with increased plasticity in Hb-O₂ affinity of red blood cells. This variation was likely a result of differences in sensitivity to 2,3-diphosphoglycerate (2,3-DPG), an allosteric modulator of Hb-O₂ affinity. Concentrations of 2,3-DPG in erythrocytes are known to

increase in response in chronic hypoxia, which tends to reduce red blood cell Hb-O₂ affinity (49-53). Previous studies have shown that Hb from high-altitude deer mice are more sensitive to 2,3-DPG in the presence of Cl⁻ than Hb from low-altitude mice (24, 26). Therefore, in the current study, if red blood cell concentrations of 2,3-DPG were comparable across genotypes, differences in 2,3-DPG sensitivity could explain the differences in plasticity of red blood cell Hb-O₂ affinity. This mechanism may also explain why genotypes differed in the magnitude of plasticity in arterial O₂ saturation in response to chronic hypoxia. Several physiological adjustments contribute to increasing arterial O₂ saturation after hypoxia acclimation, including increases in total ventilation (Fig. 1) and adjustments in lung function to augment pulmonary O₂ diffusion (36, 54), and these effects could potentially be counteracted by reductions in red blood cell Hb-O₂ affinity. Such reductions in affinity did not occur in mice with lowland α -globin, such that they experienced greater improvements in arterial O₂ saturation after hypoxia acclimation.

Our results indicate that the adaptive benefit of increasing Hb-O₂ affinity is contingent on the O₂ diffusing capacity of active tissues. Our study provides empirical evidence that genetically based increases in Hb-O₂ affinity and arterial O₂ saturation alone are not sufficient to improve aerobic capacity in hypoxia. We also demonstrate that the adaptive benefit of increasing Hb-O₂ affinity is contingent on having a tissue O₂ conductance (D_{T-O_2}) that is sufficiently high to take advantage of the greater arterial O₂ saturation and extract more O₂ from the blood. The relationship between Hb-O₂ affinity and $V_{O_2\max}$ in hypoxia is a contentious topic (12, 25, 28), with different empirical studies and theoretical models providing contradictory results (5, 27, 29, 30, 33, 55). In fact, previous investigation in deer mice has shown that mice possessing highland α -globin alleles with higher Hb-O₂ affinity did have higher $V_{O_2\max}$ in hypoxia than mice with lowland α -globin haplotypes (5). However, in this previous study (in which genotyping was based on protein electrophoresis), different α -globin alleles were backcrossed into a highland genetic background (5), unlike the current study in which alternative allelic variants were randomized against an admixed highland/lowland background. As discussed above, highland deer mice appear to have evolved a higher capacity for O₂ diffusion and utilization in skeletal muscles than

their lowland conspecifics, comparable to some differences between high-altitude and low-altitude human populations (56). It is therefore possible that the highland mice used in this previous study (5) had a higher $D_T O_2$ than the F_2 inter-population hybrids used in our present study, which would explain the observed differences in the relative influence of Hb genotype on $V_{O_2 \max}$. Indeed, our modeling shows that the adaptive benefits of increasing Hb- O_2 affinity are critically dependent on $D_T O_2$. Together, our findings suggest adaptive increases in $V_{O_2 \max}$ in high-altitude deer mice may have been facilitated by evolved increases in $D_T O_2$, which were required in order for increases in Hb- O_2 affinity to confer an adaptive benefit at high-altitude.

Conclusions

Complex organismal traits are often the result of multiple interacting genes and phenotypes, but the role of these interactions in shaping adaptive traits is poorly understood. Our findings demonstrate that adaptive increases in thermogenic capacity result from a functional interaction between blood hemoglobin and active tissues, in which the adaptive benefit of increasing hemoglobin O_2 affinity is contingent on the capacity for O_2 diffusion from the blood. This helps reconcile controversy about the general role of hemoglobin in hypoxia tolerance, and provides insight into physiological mechanisms of high-altitude adaptation.

Methods

Animals. Wild deer mice (*Peromyscus maniculatus*) were live-trapped at high altitude on the summit of Mount Evans (Clear Creed County, CO, USA at 39°35'18"N, 105°38'38"W; 4350 m above sea level) and at low altitude on the Great Plains (Nine Mile Prairie, Lancaster County, NE, USA at 40°52'12"N, 96°48'20.3"W; 430 m above sea level), and were transported to the University of Montana (elevation 978 m). The wild mice were used to produce one family of first-generation inter-population hybrids (F_1), created by crossing a highland male and a lowland

female. These F_1 hybrids were raised to maturity and used for full-sibling matings to produce 4 families of male and female second-generation hybrid progeny (F_2). These F_2 hybrids ($n = 26$) were raised to adulthood (1-1.5 years old), a small volume of blood was obtained for genotyping (sampled from the facial vein and then stored at -80°C), and mice were then transported to McMaster University (near sea level) for subsequent experiments (see below). Prior to experimentation, all mice were kept in standard holding conditions ($24-25^\circ\text{C}$, 12:12-h light-dark photoperiod) under normal atmospheric conditions, with unlimited access to water and standard mouse chow. All animal protocols were approved by institutional animal research ethics boards.

Each mouse was genotyped for determination of α - and β -globin haplotypes. Tetrameric hemoglobin isoforms of adult *P. maniculatus* incorporate α -chain subunits that are encoded by two tandem gene duplicates, HBA-T1 and HBA-T2 (separated by 5.0 kb on Chromosome 8), and β -chain subunits that are encoded by two other tandem duplicates, HBB-T1 and HBB-T2 (separated by 16.2 kb on Chromosome 1) (34, 57, 58). A reverse-transcriptase PCR (RT-PCR) approach was used to obtain sequence data for all four of the adult-expressed α - and β -globin transcripts (26, 34). Total RNA was extracted from red blood cells using the RNeasy Plus Mini Kit (Qiagen, Valencia, CA, USA). Globin transcripts were then amplified from 1 μg of extracted RNA using the One-Step RT-PCR system with Platinum Taq DNA polymerase High Fidelity (Invitrogen, Carlsbad, CA, USA). PCR cycling was performed with 1 cycle at 50°C for 30 min, 1 cycle at 95°C for 15 min, 34 cycles at 94°C for 30 s, 55°C for 30 s, and 72°C for 1 min, and then a final extension cycle at 72°C for 3 min. For the α -globin transcripts, the same primer pair was used for HBA-T1 and HBA-T2 (forward: CTGATTCTCACAGACTCAGGAAG, reverse: CCAAGAGGTACAGGTGCGAG). For the β -globin transcripts, the same RT-PCR primer pair was used for HBB-T1 and HBB-T2 (forward: GACTTGCAACCTCAGAAACAGAC, reverse: GACCAAAGGCCTTCATCATTT). Gel-purified RT-PCR products were then cloned into pCR4-TOPO vector using the TOPO TA cloning kit (Invitrogen), and automated DNA sequencing of cloned PCR products was performed using Big Dye chemistry (ABI 3730 capillary sequencer; Applied Biosystems, Foster City, CA, USA). For each mouse, we sequenced 6 clones containing

products of HBA-specific RT-PCR, and 6 clones containing products of HBB-specific RT-PCR. Thus, full-length inserts representing cDNAs of all expressed HBA and HBB genes were sequenced at 6-fold coverage, and the haplotype phase of all variable sites was determined experimentally. We thus identified 5 distinct combinations of highland (H)- and lowland (L)- associated α - and β -globin haplotypes: $n = 5 \alpha^{LL}\beta^{LH}$, 2 male, 3 female; $n = 3 \alpha^{LL}\beta^{HH}$, 1 male, 2 female; $n = 8 \alpha^{HH}\beta^{LL}$, 5 male, 3 female; $n = 5 \alpha^{HH}\beta^{LH}$, 5 male; and $n = 5 \alpha^{HH}\beta^{HH}$, 5 male.

Acclimation treatments. Physiological measurements (see below) were taken for each mouse both before (mean $\pm$ SEM body mass, 23.8 ± 0.9 g) and after (23.7 ± 0.8 g) a six-week acclimation to hypobaric hypoxia (approx. 12 kPa PO_2), approximating the O_2 levels experienced by highland deer mice living at 4,350 m above sea level in the wild (see Additional file 2: Fig. S2 for body masses for each genotype before and after hypoxia acclimation). This was achieved by placing mice into custom-made hypobaric chambers inside which barometric pressure was maintained at 60 kPa using a vacuum pump, as previously described (37, 59). Mice were removed from the chambers for <20 min twice per week for cage cleaning.

Respirometry, plethysmography, and pulse oximetry. We combined open-flow respirometry, plethysmography and pulse oximetry to simultaneously measure aerobic capacity for thermogenesis (thermogenic $\dot{V}O_2\text{max}$), pulmonary ventilation, arterial O_2 saturation, and heart rate during acute cold (-5°C) exposure in heliox (9, 36). We used established methods of measuring thermogenic $\dot{V}O_2\text{max}$ that have been shown to elicit values of $\dot{V}O_2\text{max}$ that equal or exceed those measured during exercise $\dot{V}O_2\text{max}$ in deer mice (22, 60, 61). These measurements were performed twice before mice were acclimated to hypoxia: once in normoxic heliox (21% O_2 , 79% He) and once in hypoxic heliox (12% O_2 , 88% He) in random order. After hypoxia acclimation, both normoxic and hypoxic $\dot{V}O_2\text{max}$ trials were repeated. $\dot{V}O_2\text{max}$ was measured inside a 530-ml plethysmography chamber that has been previously described in detail (62), and was kept in a regulated freezer to maintain the internal chamber temperature at -5°C

(measured with a PT-6 thermocouple, Physitemp). Two days prior to initial trials, the neck fur of each mouse was removed with NairTM hair-removal product to facilitate pulse oximetry. Immediately before each trial, each mouse was weighed, fitted with a MouseOx pulse oximetry neck collar (Starr Life Sciences, Oakmont, PA, USA), and placed inside the chamber for 10 min of continuous recording. Incurrent gas flowed through the animal chamber at a rate of 1500 ml min⁻¹ (controlled by an MFC-2 mass flow controller, Sable Systems, Las Vegas, NV, USA), and was cooled before entering the plethysmograph by passing through copper coils that were also placed in the freezer. Excurrent gas was subsampled at a rate of 200 ml min⁻¹, and dried with pre-baked Drierite before passing through O₂ and CO₂ analyzers to determine the fractional concentrations of each gas (FoxBox Respirometry System, Sable Systems). Breathing-induced changes in flow across a pneumotachograph in the chamber lid were measured from pressure oscillations in the animal chamber relative to an identical reference chamber using a differential pressure transducer (Validyne DP45; Cancoppas, Mississauga, ON, Canada) and carrier demodulator (Validyne CD15, Cancoppas), and signals were volume-calibrated before each trial with 300- μ l injections using a gas-tight syringe. The core body temperature (T_b) of each mouse was obtained (RET-3-ISO; Physitemp, Clifton, NJ, USA) immediately after being removed from the plethysmograph, and then again at room temperature exactly 24 h afterwards, allowing us to estimate T_b at $\dot{V}\text{O}_2\text{max}$ for use in tidal volume calculations (see below) by assuming that T_b dropped linearly throughout the trial. All data were acquired and recorded using a PowerLab 8/32 and LabChart 8 Pro Software (ADInstruments, Colorado Springs, CO, USA), with the exception of pulse oximetry data, which were obtained using Starr Life Sciences acquisition hardware and software.

Breathing, heart rate, and arterial O₂ saturation were recorded at thermogenic $\dot{V}\text{O}_2\text{max}$ for each trial. O₂ consumption rate ($\dot{V}\text{O}_2$) was calculated from gas concentration and flow measurements using established equations (63). $\dot{V}\text{O}_2\text{max}$ was defined as the maximal $\dot{V}\text{O}_2$ measured over a 10-s period during the 10-min trial. $\dot{V}\text{O}_2$ usually increased to $\dot{V}\text{O}_2\text{max}$ within 5-6 min of entering the chamber and would then decline to less than 90% $\dot{V}\text{O}_2\text{max}$, and all mice

had depressed T_b by the end of each 10-min cold exposure. Tidal volume was calculated (in volumes at BTPS) using established equations for the barometric method in flow-through conditions (64, 65). Total ventilation was calculated as the product of tidal volume and breathing frequency.

Hematology. Hematology was measured both before and after hypoxia acclimation. Blood samples were taken from the facial vein 3 days after $V\dot{O}_2$ max measurements. We measured Hb content using Drabkin's reagent (according to instructions from the manufacturer, Sigma-Aldrich) and hematocrit by spinning the blood in capillary tubes at 12,700 g for 5 min. The O_2 affinity of intact erythrocytes was measured using 10 μ l blood in 5 ml buffer containing 0.1 M Hepes, 0.05 M EDTA, 0.1 M NaCl, 0.1% bovine serum albumin, and 0.2% antifoaming agent at pH 7.4. Oxygen dissociation curves were generated at 37 °C using a Hemox Analyzer (TCS Scientific), and red blood cell P_{50} and Hill coefficient (n) were calculated using Hemox Analytic Software.

Statistics. We used linear mixed effects models to test for the effects of Hb genotype and acclimation condition using the lme4 (66) package in R (v.3.1.3, R Core Team, 2013). We carried out one set of models to examine the fixed effects of Hb genotype in normoxia-acclimated mice, in absence of the effects of hypoxia acclimation, and with inspired PO_2 as an additional fixed effect. We then carried out a second set of models including data from both before and after chronic hypoxia exposure to examine the effects of Hb genotype, hypoxia acclimation, and their interaction. We used a backwards model selection approach, in which initial models included sex, family, and individual subject as random factors, as well as body mass as a covariate. If these terms had P values above 0.1, they were removed by stepwise backward deletion (starting with the term with the highest P value) and the model was re-run until all terms in the model (with the exception of fixed factors and individual subject) had P values below 0.1. Family was thus included in only 6 of the models (see Additional file 3: Tables S1-S4), while the effects of sex were never significant and were removed from all models. Tukey's HSD *post hoc* tests were

performed to test for pairwise differences between genotypes within an acclimation/ PO_2 treatment, and between acclimation/ PO_2 treatment groups within each genotype. Data are presented as individual values and as mean $\pm$ SEM, unless otherwise stated.

Modeling the O_2 transport pathway. Mathematical modeling of the O_2 transport pathway of deer mice was used to determine the interactive effects of blood- O_2 affinity and tissue O_2 diffusing capacity on V_{O_2} max in hypoxia. This was done using established equations that have been used previously to build similar models (30, 67-71). The Fick equation describes the diffusion of oxygen from the alveoli into the blood along capillaries in the lung:

$$\frac{d[O_2]_L}{dt} = \frac{D_L O_2}{t_L \dot{Q}} \cdot (P_A O_2 - P_L O_2)$$

(Equation 1)

where $[O_2]_L$ and t_L are the instantaneous O_2 content and transit time of the lung capillaries, $D_L O_2$ is the physiological O_2 diffusing capacity of the lungs, $\dot{Q}$ is cardiac output, and $P_A O_2$ and $P_L O_2$ are the PO_2 in the alveoli and instantaneously along lung capillaries, respectively. $P_L O_2$ began at mixed venous PO_2 ($P_v O_2$) and the equation was then integrated over the length on the lung capillaries to determine arterial PO_2 ($P_a O_2$) using the Hill equation (Equation 2) to relate $[O_2]$ and PO_2 in the blood (dependent on blood O_2 affinity and hemoglobin content):

$$[O_2] = 4[Hb] \cdot \frac{P O_2^n}{P O_2^n + P_{50}^n}$$

(Equation 2)

where $[Hb]$ is the hemoglobin content of the blood, P_{50} is the PO_2 at which blood is 50% saturated with O_2 , and n is the Hill coefficient that describes the cooperativity of blood- O_2 binding. The Fick equation also describes O_2 diffusion from the blood in tissue capillaries to the mitochondria:

$$\frac{d[O_2]_T}{dt} = \frac{-D_T O_2}{t_T \dot{Q}} \cdot (P_T O_2 - P_M O_2)$$

(Equation 3)

where $[O_2]_T$ and t_T are the instantaneous O_2 content and transit time of the tissue capillaries, $D_T O_2$ is the O_2 diffusing capacity of the tissues, and $P_T O_2$ and $P_M O_2$ are the PO_2 instantaneously along the tissue capillaries and at mitochondria, respectively. $P_M O_2$ was set to zero to facilitate modeling, but mitochondrial PO_2 is likely quite low and relatively close to zero at $V \square O_2 \text{max}$ (69). In this case, $P_T O_2$ begins at $P_a O_2$ and the equation is integrated along the tissue capillaries to determine $P_v O_2$. Mass conservation then matches $V \square O_2 \text{max}$ measured from O_2 extraction at the lungs to that at tissues:

$$\dot{V}_A \cdot (F_I O_2 - F_A O_2) = \dot{Q} \cdot ([O_2]_a - [O_2]_v)$$

(Equation 4)

where $V \square_A$ is alveolar ventilation, $F_I O_2$ and $F_A O_2$ are the O_2 fractions of inspired and alveolar gas, respectively, and $[O_2]_a$ and $[O_2]_v$ are arterial and venous oxygen content, respectively.

The above equations were solved using an iterative approach for the key unknown outcome variables, $P_A O_2$, $P_a O_2$, and $P_v O_2$, from which $V \square O_2 \text{max}$ was calculated. This was achieved using a $F_I O_2$ of 0.123, our empirical measurements of total ventilation at hypoxic $V \square O_2 \text{max}$, red blood cell P_{50} and n , and $[Hb]$ in normoxia acclimated $\alpha^{LL} \beta^{LH}$ mice, a body mass specific lung dead space volume of $6.4 \mu l g^{-1}$ (72) to calculate alveolar ventilation from total ventilation, and cardiac output at hypoxic $V \square O_2 \text{max}$ from our previous measurements in low-altitude deer mice (36). Values for $D_L O_2$ and $D_T O_2$ were chosen by trial and error to re-produce *in vivo* measurements of $P_a O_2$, $P_v O_2$, and $V \square O_2 \text{max}$. All of the initial values used to solve the model are listed in Additional file 3: Table S5. The model was solved iteratively as follows. Using previously recorded *in vivo* $P_v O_2$ (36) and an initial estimate of $P_A O_2$ as a starting point, Equation 1 was integrated to calculate a predicted value of $P_a O_2$. This $P_a O_2$ value was then used to calculate $P_v O_2$ by integrating Equation 3. The two above steps were repeated until the $P_a O_2$ and $P_v O_2$ values became stable to within 0.05% (< 10 iterations). $V \square O_2 \text{max}$ was then calculated using both the left and right sides of Equation 4. If the values did not agree to within 0.05%, $P_A O_2$ was adjusted and the above steps were repeated until the left and right sides of Equation 4 were

equal to within 0.05%. Reaching a stable solution of the model generally took less than 10 iterations, and the final outcome was independent of the starting estimate of P_{VO_2} .

We conducted a sensitivity analysis of the effects of increasing $D_T O_2$ on $V_{O_2} \max$ using the mean for the most ancestral 'lowland' P_{50} that was measured in $\alpha^{LL}\beta^{LH}$ mice, and then again using the mean for the 'highland' P_{50} that was measured in $\alpha^{HH}\beta^{HH}$ mice, with all other parameters in the model kept constant (including the potential effects of variation in blood pH on P_{50}), with the exception of P_{AO_2} , P_{aO_2} , and P_{VO_2} (which were under the influence of the changes in $D_T O_2$ and P_{50}). We also used the mean + SEM and mean - SEM values of P_{50} for each genotype in order to examine the influence of biological variation in P_{50} within each genotype. $V_{O_2} \max$ and $D_T O_2$ values are expressed here relative to the initial solution generated using the data here from $\alpha^{LL}\beta^{LH}$ mice or from previous measurements in lowland deer mice (Additional file 3: Table S5), which we have termed the 'ancestral' values. The calculations were carried out using spreadsheet software (Microsoft Excel), as in some previous models of the O_2 transport pathway (71), and we have included the spreadsheet template with values for the initial lowland P_{50} model (Additional file 9: Dataset and Modeling).

Declarations

Ethics approval and consent to participate. All animal protocols were approved by institutional animal research ethics boards.

Consent for publication. Not applicable

Availability of data and materials. The dataset supporting the conclusions of this article is included within the article and its additional files.

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492

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Figure Legends

Figure 1. Acclimation responses to chronic hypoxia in all F₂ inter-population hybrid deer mice of all genotypes for measurements at $V\dot{O}_2$ max in normoxia (21 kPa O₂) and hypoxia (12 kPa O₂), and for measurements of hematology. Hct, hematocrit; [Hb], blood hemoglobin content; P_{50} , O₂ pressure at 50% saturation. *P < 0.05 between measurements in normoxia versus hypoxia within an acclimation condition. †P < 0.05 vs. pre-acclimation value. Bars display mean ± SEM (n = 26) with individual data superimposed (circles).

Figure 2. Variation in red blood cell O₂ affinity and arterial O₂ saturation associated with hemoglobin (Hb) genotype in F₂ inter-population hybrid deer mice acclimated to normoxia. **A)** Red blood cell P_{50} (O₂ pressure at 50% saturation). **B)** Arterial O₂ saturation at $V\dot{O}_2$ max measured in normoxia (21 kPa O₂) and hypoxia (12 kPa O₂). Bars display mean ± SEM (n = 3-8) with individual data superimposed (circles). Different α- and β- globin genotypes are shown as superscripts with ^{‘L’} representing the lowland haplotype and ^{‘H’} representing the highland haplotype. *P < 0.05, hypoxia vs. normoxia within a genotype. P < 0.05 between genotypes for values not sharing a letter. **C)** Linear regression of arterial O₂ saturation in hypoxia and red blood cell P_{50} for individual data (P = 0.0103, R² = 0.2441; dotted line represents 95% confidence interval). Symbol colors reflect Hb genotype, as shown in A and B.

Figure 3. Variation in $V\dot{O}_2$ max was unrelated to variation in arterial O₂ saturation in F₂ inter-population hybrid deer mice acclimated to normoxia. **A)** $V\dot{O}_2$ max measured in normoxia and hypoxia. See Fig. 2 for details on hemoglobin (Hb) genotypes and symbols. **B)** There was no correlation between hypoxic $V\dot{O}_2$ max and arterial O₂ saturation in hypoxia (P = 0.8103) across individuals (mean ± SEM for each genotype are shown as error bars).

Figure 4. The effects of hypoxia acclimation on red blood cell O_2 affinity and arterial O_2 saturation differed between hemoglobin (Hb) genotypes in F_2 inter-population hybrid deer mice, but the effects of hypoxia acclimation on $V\dot{O}_{2\max}$ did not. **A)** Red blood cell P_{50} (O_2 pressure at which Hb is 50% saturated) and **B)** arterial O_2 saturation at $V\dot{O}_{2\max}$ in hypoxia, measured before and after a 6-wk acclimation to hypobaric hypoxia (12 kPa O_2). $^{\dagger}P < 0.05$ vs. pre-acclimation value within a genotype. $P < 0.05$ between genotypes within an acclimation condition for values not sharing a letter. **C)** The change in hypoxic $V\dot{O}_{2\max}$ plotted against the change in arterial O_2 saturation in hypoxia in individuals in response to hypoxia acclimation (mean $\pm$ SEM for each genotype are shown as error bars). See Fig. 2 for other details on Hb genotypes.

Figure 5. Effects of increasing tissue O_2 diffusing capacity ($D_T O_2$) on hypoxic $V\dot{O}_{2\max}$ and O_2 partial pressures (PO_2) using mathematical modeling of the O_2 transport pathway. **A)** Relative changes in hypoxic $V\dot{O}_{2\max}$ and **B)** changes in alveolar ($P_A O_2$), arterial ($P_a O_2$) and venous ($P_v O_2$) PO_2 in response to relative increases in $D_T O_2$. Effects were modeled using the mean (bold lines) $\pm$ SEM (fine lines) values of red blood cell P_{50} for mice with hemoglobin genotypes that were most characteristic of lowlanders ($\alpha^{LL}\beta^{LH}$) and highlanders ($\alpha^{HH}\beta^{HH}$).

Legends to Combined Additional files

Additional file 1: Figure S1. Graphical overview of the experimental design of our study. Deer mice from high- (H) and low- (L) altitude populations were crossed in captivity to produce F_1 interpopulation hybrids that were then mated with siblings to produce the F_2 interpopulation hybrids that were used in our experiments before and after a 6-wk acclimation to hypobaric hypoxia (12 kPa O_2). These hybrids were grouped based on the altitudinal origin of their α - and β -globin genotype.

Additional file 2: Figure S2. Body mass of F₂ inter-population hybrids both before and after a 6-wk acclimation to hypobaric hypoxia. Each individual's mass was measured before normoxic and hypoxic V̇O₂max trials, with the mean of these values used to create each individual's data point in the figure. Different α- and β- globin genotypes are shown as superscripts with ^L representing the lowland haplotype and ^H representing the highland haplotype. There was no effect of genotype (P = 0.2977), acclimation (P = 0.4018), or their interaction (P = 0.3362) on body mass. Bars display mean ± SEM (n = 3-8) with individual data superimposed (circles).

Additional file 3: Tables S1-S5. Table S1 - Effects of inspired PO₂ and acclimation to hypoxia on cardiorespiratory physiology of F₂ inter-population hybrid deer mice at V̇O₂max, without accounting for effects of genotype. Table S2 - Effects of acclimation to hypoxia and globin genotype on cardiorespiratory physiology in hypoxia of F₂ inter-population hybrid deer mice. Table S3 - Effects of inspired PO₂ and hemoglobin genotype on cardiorespiratory physiology of F₂ inter-population hybrid deer mice acclimated to normoxia. Table S4 - Effects of acclimation to hypoxia and globin genotype on cardiorespiratory physiology in normoxia of F₂ inter-population hybrid deer mice. Table S5 - Parameters used to generate the initial solution in the model of the oxygen transport pathway representing the 'ancestral condition' with the most lowland P₅₀.

Additional file 4: Figure S3. Hematology of F₂ inter-population hybrids measured before and after a 6-wk acclimation to hypobaric hypoxia (12 kPa O₂). Hct, hematocrit; [Hb], blood hemoglobin content. Different α- and β- globin genotypes are shown as superscripts with ^L representing the lowland haplotype and ^H representing the highland haplotype. [†]P < 0.05 vs. pre-acclimation value within a genotype. Bars display mean ± SEM (n = 3-8) with individual data superimposed (circles).

Additional file 5: Figure S4. Physiological parameters for F₂ inter-population hybrids acclimated to normoxia, measured at V̇O₂max in normoxia (21 kPa O₂) and hypoxia (12 kPa O₂). Different

α - and β - globin genotypes are shown as superscripts with ^L representing the lowland haplotype and ^H representing the highland haplotype. *P < 0.05 vs. normoxia value within a genotype. P < 0.05 between genotypes for hypoxic values not sharing a letter. Bars display mean $\pm$ SEM (n = 3-8) with individual data superimposed (circles).

Additional file 6: Figure S5. Hypoxic $\dot{V}\text{O}_2\text{max}$ before and after a 6-wk acclimation to hypobaric hypoxia (12 kPa O₂). Different α - and β - globin genotypes are shown as superscripts with ^L representing the lowland haplotype and ^H representing the highland haplotype. [†]P < 0.05 vs. pre-acclimation value within a genotype. Bars display mean $\pm$ SEM (n = 3-8) with individual data superimposed (circles).

Additional file 7: Figure S6. Physiological parameters for F₂ inter-population hybrids measured at $\dot{V}\text{O}_2\text{max}$ in hypoxia (12 kPa O₂) both before and after a 6-wk acclimation to hypobaric hypoxia. Different α - and β - globin genotypes are shown as superscripts with ^L representing the lowland haplotype and ^H representing the highland haplotype. [†]P < 0.05 vs. pre-acclimation value within a genotype. P < 0.05 between genotypes within an acclimation condition for values not sharing a letter. Bars display mean $\pm$ SEM (n = 3-8) with individual data superimposed (circles).

Additional file 8: Figure S7. Physiological parameters for F₂ inter-population hybrids measured at $\dot{V}\text{O}_2\text{max}$ in normoxia (21 kPa O₂) both before and after a 6-wk acclimation to hypobaric hypoxia. Different α - and β - globin genotypes are shown as superscripts with ^L representing the lowland haplotype and ^H representing the highland haplotype. [†]P < 0.05 vs. pre-acclimation value within a genotype. P < 0.05 between genotypes within an acclimation condition for values not sharing a letter. Bars display mean $\pm$ SEM (n = 3-8) with individual data superimposed (circles).

Additional file 9: Dataset and modeling.

A **B** **C**

A **B** **C**

A **B**