1 Simultaneous *in vivo* Pharmacokinetics and Pharmacodynamics of TDF Intravaginal

2 Ring during High-dose Vaginal SHIV Challenge in Pigtail Macaques

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- 4 Katarina Kotnik Halavaty^{a,1}, Adina K. Ott^{b,1,*}, Danijela Maric^a, Jonathan T. Su^{b,**}, Edgar
- 5 Matias^a, Edward J. Allen^a, Amy Martin^c, Lara Pereira^c, Frank Deyounks^d, James M. Smith^c,
- 6 Patrick F. Kiser^b, Thomas J. Hope^{a,#}
- 7
- ⁸ ^a Cell and Developmental Biology Department, Feinberg School of Medicine,
- 9 Northwestern University, Chicago, IL 60611.
- ^b Department of Biomedical Engineering, Northwestern University, Evanston, IL 60208.
- ^c Laboratory Branch, Division of HIV/AIDS Prevention, Centers for Disease Control and
- 12 Prevention, Atlanta, GA 30333.
- 13 ^d Libra Management Group, Decatur, Georgia 30030
- 14 Authors' current affiliations:
- ^{*} Department of Chemistry, College of Lake County, Grayslake, IL 60030
- ^{**} Department of Physics and Engineering, Elon University, Elon, NC 27244.
- 17
- ¹These authors equally contributed to this work.
- 19 #Address correspondence to Thomas J. Hope, thope@northwestern.edu
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23 Abstract

24 The demonstration of complete protection of macaques in a repeated low dose 25 virus challenge by a tenofovir disoproxil fumarate (TDF) intravaginal ring (IVR) and the 26 success of the dapivirine IVR in clinical trials highlighted the potential of IVRs as pre-27 exposure prophylaxis against HIV. Efficacy of TDF ring was not investigated in sexually 28 active women. Our understanding of the mechanisms of protection is limited. To 29 address this knowledge gap, we performed simultaneous pharmacokinetic and 30 pharmacodynamic analysis of a TDF-IVR at the site of SIV challenge in pigtail macaques 31 at the anatomical and cellular level. Specifically, we challenged TDF-IVR administered 32 pigtail macaques with a single high dose of a non-replicative SIV-based vector containing 33 a dual reporter system that helped us to identify the earliest targets of SIV infection 34 within the mucosa. Two and three days after challenge, the macaques were euthanized 35 and tenofovir (TFV) concentrations were measured in the female reproductive tract 36 (FRT) by HPLC-MS/MS to correlate drug concentrations and SIV-vector transduction 37 efficiency. TFV formed a gradient through the mucosal tissue, with the highest 38 concentrations near the ring, in the upper vagina and endocervix. Despite this, several 39 transduction events were identified with the most common sites being in the ovaries. 40 Moreover, proviral DNA was detected in the cervix and vagina. Thus, our studies 41 demonstrate an uneven distribution of TFV in the FRT of macagues after release from a 42 TDF-IVR that leads to incomplete FRT protection from high viral dose challenge.

43 Introduction

44	Over the past decade, antiretroviral (ARV) HIV prevention strategies have
45	undergone numerous clinical failures and some remarkable successes in the use of oral
46	Truvada, oral Descovy (1-4) and injectable cabotegravir. Yet, most of what we know
47	about ARV prevention of sexual transmission through the female reproductive tract
48	(FRT) has been learned from clinical studies, where the main outcome is the correlation
49	of local drug levels with reduced rates of infection among women (5-9). The CAPRISA-
50	004 clinical trial results revealed that 1% tenofovir (TFV) gel applied vaginally before and
51	after coitus reduced the risk of HIV acquisition among women in South Africa by 39%
52	(5). Despite encouraging results, a subsequent VOICE study resulted in ineffective
53	protection of coitus-independent daily use of this 1% TFV gel in female participants (6).
54	Similarly, no evidence for efficacy in HIV prevention was found by researchers who
55	conducted the FACTS 001 Phase III trial (9, 10). In a secondary analysis of the FACTS trial
56	data, detection of TFV in vaginal fluids was significantly associated with a 52% reduction
57	in HIV acquisition (9). Furthermore, a silicone-elastomer matrix IVR eluting the non-
58	nucleoside reverse transcriptase inhibitor (NNRTI) dapivirine (DPV) has been tested in
59	two separate clinical trials, ASPIRE (MTN-020) and Ring Study (IPM-027) in Sub-Saharan
60	Africa. The outcome of the ASPIRE phase IIIb clinical trial demonstrated overall
61	protection by 37% against HIV-1 acquisition (7), which was lower than initially expected.
62	Further analyses revealed that the ring could reduce HIV risk between 56% (7) and 75%
63	(11) depending on consistency of the ring usage. In open-labeled settings a 39%

64 reduction in HIV-1 infections among populations with high background of HIV-1

65 incidence was observed (12).

66 In this study we utilized a non-human primate model that enabled us to study a 67 mechanism of protection by TDF-IVR in a controlled and sustained release manner 68 locally. We previously showed that a 28 day TDF-IVR can prevent systemic SHIV infection 69 in pigtailed macaques (PTM) after multiple low-dose vaginal challenges (13, 14). 70 However, the mechanism of this protection remained unexplained. The efficacy of the 71 TDF-IVR in preventing systemic infection could either be due to high local FRT drug 72 concentrations blocking infection from low-dose challenge all together (sterilizing 73 protection) or lowering the frequency of early infection events and containing viral 74 spread in a way that impedes systemic infection and viremia. Waiting several days for 75 the basic read out of systemic infection does not allow for the exploration of important 76 mechanistic details on the biology and pharmacology of the prevention strategy under 77 examination. In contrast, simultaneously investigating the viral and pharmaco-dynamics 78 and pharmacokinetics of virus-drug interaction during the first few hours post-challenge 79 leads to a better understanding of the mechanisms through which prevention strategies 80 may interfere with viral dissemination in the complex environment of the FRT. The two 81 main points to be addressed to understand these mechanisms are the biodistribution of 82 drug in the mucosal site of challenge and the sufficiency of local drug concentrations to prevent all infection throughout the entire FRT in a high-dose challenge model. 83 84 A Phase 1 clinical study showed that TDF-IVR was safe, well accepted and well tolerated with protective TDF levels in sexually abstinent women over 14 days of 85

86	continuous ring use (15). However, the following Phase 1b study was terminated early
87	due to the development of genital ulcers near the ring and high levels of multiple
88	inflammatory cytokines and chemokines in sexually active women administered with
89	TDF-IVR over 90 days (16). These data raise new toxicological questions concerning drug
90	concentrations generated in the FRT with the TDF-IVR.
91	We have previously developed a replication defective pseudoviral dual reporter
92	system (LICh) that allows the identification of potential foci of transduction 48 hours
93	after exposure in the FRT (17). These foci can be further analyzed by characterizing
94	transduced cells based on mCherry and luciferase genes expression using
95	immunohistochemical staining. This study revealed that the entire upper and lower FRT
96	could be exposed to the vaginal challenge inoculum and contain susceptible target cells.
97	It was not clear before this study that the upper FRT of rhesus macaques, and
98	particularly the ovaries were susceptible to viral transductions following vaginal
99	challenge with a single high-dose of this pseudoviral LICh reporter (17). These
100	observations raised questions whether an IVR would provide enough antiretroviral drug
101	to the entire FRT to protect the upper FRT including ovaries.
102	In the current study, we employed this LICh reporter system to identify the
103	locations within the FRT of early SHIV transduction in PTM protected by TDF-IVR
104	treatment. In parallel, at necropsy, 3 days after challenge, we were able to collect the
105	whole FRT and measure drug concentrations throughout this organ. In this way, the LICh
106	system allowed us to run pharmacodynamic (PD) studies simultaneously with

- 107 pharmacokinetics (PK) analysis at the anatomical and cellular level which revealed
- 108 uneven tissue drug distribution and random viral transduction within the PMT FRTs.

110 Results

111 Transduced cells are present in the ovaries and lymph nodes of TDF-IVR treated

112 macaques after a high-dose of SHIV-LICh challenge.

113 In this study, we utilized our dual reporter LICh system pseudotyped with the 114 HIV-JRFL envelope protein that allowed us to localize and phenotype cells transduced in 115 untreated rhesus macaques (17) to study TDF-IVR function in our PTM vaginal challenge model. Briefly, the dual reporter LICh system carries firefly luciferase (18) and 116 117 fluorescent mCherry (19) genes that are driven by a CMV promoter (Fig. S1). IRES 118 (internal ribosome entry site) enables efficient expression of mCherry gene whereas 119 WPRE (Woodchucks Hepatitis Virus posttranscriptional regulatory element) at the 3' 120 end of the genome enables increased gene expression of the vector (20, 21). The 5' end 121 long terminal repeat (LTR) contains the SIV promoter for efficient virus production. The 122 3' end LTR site has a self-inactivating mutation. The reporter genome does not contain 123 any other viral genes and is delivered by a SIV-based lentiviral vector. LICh pseudotyped 124 reporter viruses were generated in 293T cells co-transfected with LICh dual reporter 125 genome vector, SIV3+ packaging vector, JRFL envelope encoding plasmid and REV 126 expression plasmid as described in Methods. 127 Two sets of depo provera-treated PTMs were vaginally inoculated with the LICh

vector (10⁵-10⁶ TCID₅₀) 28 days (pilot study) or 25 days (preclinical study) post TDF-IVR
insertion (Figure 1). The first set of animals was used for pilot analysis (pilot study) and
included 4 TDF-IVR-treated PTMs (BB432, BB588, BB401 and BB925) and 2 control PTMs
with no IVR (BB966 and 96P047). Animals were euthanized 48 hrs after vector challenge.

132	The second set of animals were used to perform in depth PD/PK (preclinical study) and
133	included 6 TDF-IVR-treated PTMs (BB548, 1.6348, 1.8678, BB187, BB963, BB535) and 1
134	no-IVR control (BB529). All the animals in the second group were euthanized 72 hours
135	post viral inoculation to potentially increase the detectable luciferase signal (Figure 1).
136	The entire intact FRT was collected at necropsy and examined for luciferase activity
137	using an In Vivo Imaging System (IVIS). We first identify and define unspecific
138	background signal by IVIS evaluation in the absence of exogenous luciferin. The tissue
139	was soaked in d-Luciferin, while ovaries were injected with d-Luciferin, and reimaged to
140	identify specific luciferase activity. Positive signal was found in foci throughout the
141	entire FRT including vagina, cervix, uterus, ovaries, and inguinal lymph nodes in both 48
142	hours control animals (96P047 and BB966) from the pilot study. However, no signal was
143	detected in the control animal (BB529) of the preclinical study necropsied 72hrs after
144	vector challenge.
145	Luciferase activity in the control animal 96P047 is shown in Figure 2A. The FRT
146	was then dissected to separate different FRT regions and further cut into smaller pieces
147	that were reimaged for luciferase activity and then embedded into Optimal Cutting
148	Temperature (OCT) media. Luciferase signal persisted in several dissected tissue pieces
149	as shown in Figure 2B. This result is in accordance with our previous study showing the
150	susceptibility of the entire FRT to viral entry (17). In the animals that were treated with

the TDF-IVR, we detected very low or no luciferase activity in the lower FRT in both thepilot and preclinical studies. However, luciferase signal was present in the ovaries of six

153 out of the ten TDF-IVR- protected animals (Table 1). Moreover, signal was also evident

154	in the lymph nodes of three of the ten TDF-IVR-treated animals (Table 1). Luminescence
155	in the FRT of a representative animal BB548 is shown in Figure 2C-D. The FRT tissue of
156	all TDF-IVR-treated animals was further dissected into smaller pieces and reimaged as
157	described above for controls. No additional luminescence was identified in smaller
158	tissue pieces as shown in Figure 2D for animal BB548. All tissues were stored in OCT
159	media at -80 $^\circ$ C for further analyses. Luciferase activity throughout the FRTs and lymph
160	nodes of all animals is summarized in Table 1.
161	
162	Single round infectious virus transduced CD4+ immune cells in ovaries and lymph
163	nodes
164	An ovary of the control animal BB966 (Figure 3A) and iliac lymph node of the
165	control animal 96P047 (Figure 3B) were analyzed for the presence of transduced cells by
166	fluorescent microscopy (Figure 3A, B). mCherry and luciferase positive cells were
167	identified in both tissues in accordance with the strong luciferase activity observed using
168	IVIS. Since the ovaries of TDF-IVR-treated animals also presented strong luciferase
169	activity with IVIS, we analyzed the ovaries of the animals in the preclinical study further
170	by fluorescent microscopy (Figure 3C, D). We observed multiple areas with a high auto
171	fluorescence background that is common in ovaries. Thus, we validated the signal using
172	spectral imaging (maximum emission at around 610 nm for mCherry and 665 nm for
173	
	luciferase in CY5, Supplemental Figure 3A, B) and used this criteria to distinguish
174	luciferase in CY5, Supplemental Figure 3A, B) and used this criteria to distinguish between non-specific and specific signal to identify cells transduced by the SHIV-LICh

176	The transduced cells were phenotyped by co-staining the tissue with anti- CD4+
177	antibody. This analysis demonstrated that some cells double positive for mCherry and
178	luciferase also expressed the CD4+ receptors (Figure 3D). Frequently, more than one
179	transduced cell was found at locations that were rich in CD4+ cells. Such an example is
180	presented in Figure 3D. We identified a median of 2 (range 0-8) of transduced cells in
181	the ovaries of the 6 TDF-IVR treated animals and 2 cells identified in the control animal
182	(Table 2). The 1.8678 animal was an exception; no mCherry/luciferase positive cells
183	were present in cryosections of both ovaries, while transduced cells were identified in
184	both ovaries of the animal number BB535.
185	
186	Pharmacokinetics of the TDF-IVR
187	Pigtailed macaques were used for both the pilot study and the preclinical study
187 188	Pigtailed macaques were used for both the pilot study and the preclinical study as shown in Fig 1. The TDF-IVR used was the same that resulted in full protection in a
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188 189 190 191	as shown in Fig 1. The TDF-IVR used was the same that resulted in full protection in a previous challenge study (13). To ensure we were getting TFV levels similar to the efficacy study, TFV was measured in vaginal tissue biopsies both proximal and distal to IVR placement, and in vaginal fluids (VF). The TFV tissue levels were measured from
188 189 190 191 192	as shown in Fig 1. The TDF-IVR used was the same that resulted in full protection in a previous challenge study (13). To ensure we were getting TFV levels similar to the efficacy study, TFV was measured in vaginal tissue biopsies both proximal and distal to IVR placement, and in vaginal fluids (VF). The TFV tissue levels were measured from biopsies collected on days 3, 14 and 30 for the pilot study and from day 15 biopsies in
188 189 190 191 192 193	as shown in Fig 1. The TDF-IVR used was the same that resulted in full protection in a previous challenge study (13). To ensure we were getting TFV levels similar to the efficacy study, TFV was measured in vaginal tissue biopsies both proximal and distal to IVR placement, and in vaginal fluids (VF). The TFV tissue levels were measured from biopsies collected on days 3, 14 and 30 for the pilot study and from day 15 biopsies in the preclinical study (Figure 4A). When the IVR was present the TFV levels were around
188 189 190 191 192 193 194	as shown in Fig 1. The TDF-IVR used was the same that resulted in full protection in a previous challenge study (13). To ensure we were getting TFV levels similar to the efficacy study, TFV was measured in vaginal tissue biopsies both proximal and distal to IVR placement, and in vaginal fluids (VF). The TFV tissue levels were measured from biopsies collected on days 3, 14 and 30 for the pilot study and from day 15 biopsies in the preclinical study (Figure 4A). When the IVR was present the TFV levels were around 10^5 ng/g of tissue proximal to the IVR placement whereas the distal tissues were about a

198	measured in whole biopsies for the preclinical group on day 15, and from lymphocytes
199	isolated from vaginal tissue two days after ring removal in the pilot study (Figure 4B).
200	These values were quite variable (range 10-1000 fmol/mg of tissue and 80-800 fmol/ 10^6
201	isolated lymphocytes) but provide evidence that the TDF is readily converted to TFV-dp
202	in vaginal tissues. The presence of TFV-dp two days after IVR removal suggests that
203	some of these cells are retained in the vaginal tissue and are not trafficking to other
204	tissues. The median TFV levels in VF proximal to the IVR were consistently around 10^6
205	ng/mL whereas the median TFV in samples collected distal to the IVR ranged from 10^4 –
206	10 ⁶ (Figure 4C). These levels are consistent with those reported for the efficacy study
207	(13).
208	TFV levels at necropsy for the animals in the preclinical study were measured
209	throughout the FRT and ranged from below LLOQ to 5000 ng/g tissue with a strong
210	dependence on the proximity of the tissue to the placement of the IVR (Figure 5).
211	Statistically significant location dependence of tissue drug levels was observed using the
212	Wilcoxon rank sum test (P < 0.0001). Further pairwise testing using the Wilcoxon
213	method demonstrated that the higher drug levels in the cervix and upper vagina were
214	not different (P = 0.39), but that drug levels in the upper vagina and lower vagina were
215	different (P = 0.01), as were the drug levels in the upper reproductive tract (uterus,
216	ovaries, fallopian tubes) compared to the upper vagina/cervix (P = 0.01) (Figure 5). Thus,
217	our results demonstrate the gradient of tissue drug levels emanating from the site of
217 218	our results demonstrate the gradient of tissue drug levels emanating from the site of the ring (Figure 5 & 7). We observe lower drug levels in the upper reproductive tract for

220	six IVR-treated animals, ranged from below our limit of quantitation (50 ng TFV/g tissue)
221	to 400 ng TFV/g tissue (Figure 5). The measured levels of TFV throughout the FRT of the
222	animals in the preclinical study at necropsy were consistent with the levels of TFV in the
223	vaginal tissues of the macaques from the pilot study collected 48-hrs after SHIV-LICh
224	challenge (Figure 4A).
225	
226	Complete screen of FRT using nested PCR reveals uneven inhibition of viral reverse
227	transcription by TDF-IVR
228	We utilized nested PCR methods to survey genital tissues of all animals in the
229	pre-clinical study (necropsied 72-hours after SHIV-LICh challenge) for proviral DNA
230	detection. Because animals had been infected with the SHIV162p3 prior to the high-
231	challenge with LICh vector we designed PCR primers to target IRES and WPRE DNA
232	elements and mCherry gene, all specific for our viral reporter genome to avoid cross-
233	detection of SHIV163p2 LTR sites in these animals. Outer PCR products were amplified
234	between IRES and WPRE elements, while inner PCR products were generated from the
235	mCherry gene located between IRES and WPRE. Scheme of primer design is represented
236	in Supplemental Figure 1.
237	To evaluate nested PCR sensitivity, we infected 293T cells with SHIV-based virus
238	containing the dual reporter genome. As described in methods, infected cells were
239	selected based on expression of mCherry protein using flow cytometry. Then, mCherry
240	expressing 293T cells were lysed for DNA isolation. DNA was separately extracted from
241	non-infected 293T cells. Genomic DNA of both cell types, mCherry positive and 293T

242	wild type cells, were mixed together in a ratio that corresponds to 100 mCherry positive
243	cells in 250 ng of total DNA per PCR reaction which represents approximately 3.8×10^4
244	cells. Serial dilutions of mixed genomic DNAs were prepared using genomic DNA of wild
245	type cells to test efficiency of the primers. The lowest DNA dilution corresponded to less
246	than 1 infected cell per PCR reaction. In this primer efficiency assay we demonstrated
247	high sensitivity of this method and were able to detect as low as 3 copies of proviral
248	DNA per 250 ng of genomic DNA (Supplemental Figure 2). As a positive control we
249	separately amplified LTR elements and we only used these sites for the PCR sensitivity
250	assay, however not for the screening of animal tissue. The dual reporter genome
251	contains two LTR elements (Supplemental Figure 1), and we therefore observed higher
252	PCR sensitivity resulting in detection of less than one copy of proviral DNA in a single
253	PCR reaction of 293T genomic DNA (Supplemental Figure 2).
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264	was positive for luciferase activity (Table 1). Proviral DNA was also detected in the
265	ovaries of two animals (BB187 and 1.8678) (Table 3) that were negative for luciferase
266	signal (Table 1). On the other hand, no viral transduction was identified in ovaries of two
267	animals (BB548 and BB535) (Table 3) that were positive in the assay of luciferase activity
268	(Table 1). Since only a few sections of ovaries were used for nested PCR evaluation it is
269	very likely that pseudoviral transduction did not occur in these sections. Ovarian
270	lymphatic of two animals (BB548 and 1.8678) and the fallopian tube of an animal
271	(BB548) were also positive for proviral DNA (Table 3). These data suggest that TDF-IVR
272	may not completely protect the genital tract of pigtail macaques from a single high viral
273	dose challenge and demonstrate the location of the initial sites of viral transduction. The
274	nested PCR data suggest that the highest frequency of proviral DNA appears in cervical
275	and vaginal tissue sections (Table 3) of TDF-IVR animals.
275 276	and vaginal tissue sections (Table 3) of TDF-IVR animals. The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study
276	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study
276 277	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study were overlayed to the IVIS images of the whole FRTs to present early viral events in a
276 277 278	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study were overlayed to the IVIS images of the whole FRTs to present early viral events in a form of 2D FRT maps (Figure 7). To this, the TFV levels obtained from each
276 277 278 279	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study were overlayed to the IVIS images of the whole FRTs to present early viral events in a form of 2D FRT maps (Figure 7). To this, the TFV levels obtained from each corresponding piece of tissue were overlayed to the PCR data to visualize the activity of
276 277 278 279 280	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study were overlayed to the IVIS images of the whole FRTs to present early viral events in a form of 2D FRT maps (Figure 7). To this, the TFV levels obtained from each corresponding piece of tissue were overlayed to the PCR data to visualize the activity of the TDF-IVR at the anatomical level. These FRT maps show the highest drug levels in the
276 277 278 279 280 281	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study were overlayed to the IVIS images of the whole FRTs to present early viral events in a form of 2D FRT maps (Figure 7). To this, the TFV levels obtained from each corresponding piece of tissue were overlayed to the PCR data to visualize the activity of the TDF-IVR at the anatomical level. These FRT maps show the highest drug levels in the middle of the FRT, where the ring was located (upper vagina, endocervix) across all six
276 277 278 279 280 281 282	The PCR-data from the 6 PTMs treated with the TFD-IVR in the preclinical study were overlayed to the IVIS images of the whole FRTs to present early viral events in a form of 2D FRT maps (Figure 7). To this, the TFV levels obtained from each corresponding piece of tissue were overlayed to the PCR data to visualize the activity of the TDF-IVR at the anatomical level. These FRT maps show the highest drug levels in the middle of the FRT, where the ring was located (upper vagina, endocervix) across all six preclinical animals. Drug levels decreased in upper (uterus, fallopian tubes, ovaries) and

- 286 levels detected. The number of detected transduced cells in ovaries are noted over each
- animal's ovary.

289 Discussion

309

290	In this work we studied the viral events and drug levels at 72-hours after
291	exposure to a high dose of a non-replicative SHIV-LICh vector to explore how the TDF-
292	IVR may protect from the earliest events after mucosal transmission. Our SHIV-LICh
293	system allowed us to detect the initial sites of transduction within the macaque FRT by
294	three different methods: A) a luciferase-based method via IVIS that allowed a rapid scan
295	of the entire reproductive tract; B) a fluorescence microscopy-based method that
296	allowed identification and characterization of single transfected cells, and C) a highly-
297	sensitive nested PCR method that facilitated the detection of transduction events
298	(proviral DNA) in the single tissue pieces across all animals. Interestingly, using these
299	methods, we detect transduction events in the upper (uterus, ovaries, fallopian tubes)
300	and middle (endocervix, upper vagina) reproductive tract even in TDF-IVR administered
301	macaques.
302	TFV concentrations in the FRT were variable and were the highest in the tissues
303	proximal to the ring. Lower drug levels were detected in distal tissues including ovaries.
304	These results suggest that the upper reproductive tract is a potential site for initial
305	infection despite the presence of the TDF-IVR.
306	Robust luciferase activity was identified in ovaries, fallopian tubes, and lymph
307	nodes in seven out of ten IVR-treated animals, while very low luciferase activity was
308	found in the cervix and vagina. While the IVIS method provides a survey of transduction
200	

310 multiple cells were transfected in a cluster to produce enough bioluminescence signal

events in the FRT, early viral events can only be detected on the surface of the tissue if

311 [2]. For that reason, a diffuse pattern of single viral transduction cannot be detected 312 using IVIS. Therefore, further molecular analyses were critical to determine viral transduction in tissue with low or no luciferase activity detection. 313 314 Luciferase and mCherry expressing cells were found in the ovaries of five out of 315 six IVR treated animals from the preclinical study as well as in the non-treated control 316 animal (BB529). Spectral profiles of both reporter proteins demonstrated specificity of 317 cells transduced with JRFL pseudotyped SIV virus. This result demonstrates the 318 importance of the fluorescence microscopy method to confirm early viral events by 319 finding transduced cells, since luciferase activity assay does not reveal single viral 320 transduction events. Phenotyping analyses of transduced cells showed expression of 321 CD4+ receptors in some of the mCherry and luciferase positive cells. This is in 322 accordance with previously published data showing that CD4+ cells are primary targets 323 during early infection in FRT (22-24). Furthermore, ovaries of the animal BB548 324 demonstrated positive luciferase activity, though no transduced cells were detected in 325 these surveyed ovarian sections of this animal. To increase the likelihood of finding cells, 326 additional tissue sections should be surveyed for the presence of transduced cells. It is 327 very likely that some infection sites may be located at the other sites of the ovaries that 328 were not necessarily included in the sectioning and were therefore not analyzed. Also, 329 high auto fluorescence within ovarian tissue may have prevented us from identifying more specific transduced cells. 330

331 Measurements of the TFV levels throughout the FRT show variation with the
332 highest levels of TFV accumulating in the upper vagina (maximum 6500 ng TFV/g tissue)

333	and cervix (maximum 10,000 ng TFV/g tissue) near the site of the ring. The maximum
334	TFV levels in the lower vagina (maximum 2600 ng TFV/g tissue), uterus (250 ng TFV/g
335	tissue) and ovaries (400 ng TFV/g tissue) are statistically significantly lower compared to
336	vagina and cervix. Our data suggest the possibility that the ovaries are more permissive
337	to earliest infection events due to the lower levels of TFV accumulated in these distal
338	tissue sites. Moreover, the mucus drainage gravitating from the upper toward the lower
339	reproductive tract probably contributes to decreasing drug levels in the ovaries.
340	The PCR analysis revealed that the entire genital tract except for the uterus was
341	susceptible to transduction events. In addition to the FRT, proviral DNA was also
342	detected in the lymph nodes of an animal (BB963), which is consistent with luciferase
343	activity detected in this same tissue. Luciferase activity was detected also in the lymph
344	nodes of several other animals (BB432, BB588, BB966 and 96P047) examined in the pilot
345	study, but the presence of transduction events in these tissues was not confirmed by
346	nested PCR. Similar findings reporting detection of early transduction events in lymph
347	nodes of inoculated rhesus macaques were documented in our previous studies (17).
348	Despite the highest drug levels detected around the endocervix and upper vaginal area
349	where the ring was located, transduction events detected by nested PCR were identified
350	in these areas in five of the six animals from the preclinical study. This suggests that
351	achieving higher drug levels in the tissue does not necessarily provide protection from a
352	high dose of SHIV. Susceptibility of the vaginal vault to infection may be due to
353	differences in the intracellular environment that is tissue specific. Levels of dATP and
354	dCTP were shown to be significantly higher in vaginal and cervical tissues compared to

355 rectal tissue (25). Based on these findings, higher concentrations of exogenous

356 nucleoside analogues such as TFV may be needed to successfully compete with cellular

357 nucleotides for binding to the viral reverse transcriptase.

358 A limitation of this study is that the use of a single round infectious virus does

359 not allow us to examine further spread of the virus within the tissue and into the

360 bloodstream, a hallmark of a productive versus an abortive infection. We hypothesize

that newly recruited immune cells in the FRT may not have accumulated enough drug to

inhibit reverse transcription and protect them from SIV-based vector transduction.

363 Moreover, migrating cells are by definition highly metabolically active with a high

364 concentration of dATP and will require more TFV-dp to inhibit virus replication (25, 26).

365 On the other hand, surrounding immune cells that were present in the FRT for a longer

366 period and had enough time to accumulate higher drug levels may be better protected

367 from viral transduction, and might prevent the viral spread outside the FRT that could

368 result in systemic infection.

In order to test this hypothesis, further investigations utilizing replicative viruses
mixed with single round infectious reporter viruses should help us to understand
whether the use of the TDF-IVR ring could prevent viral spread from the first infected
cells to other target cells as revealed by establishment of systemic infection.
While this TDF-IVR was shown to protect macaques from infection from multiple low-

dose SHIV challenge (13, 14), we observe incomplete protection from infection by the

375 TDF-IVR as detected by vaginal challenge with our replication defective dual-reporter

376 vector. Here we show that early sites of infection are detectable in TDF-IVR

377	administered macaques after a single high dose viral challenge with a replication
378	defective dual-reporter vector. The need for a single high-dose challenge with the dual-
379	reporter required for signal detection should be considered in evaluating the outcomes
380	in this model system. It has been shown in some nonhuman primates challenge studies
381	that a high viral titer inoculation could overcome a PrEP formulation , while animals
382	challenged with low viral dose remained protected (27) (28). A long-acting integrase
383	inhibitor, cabotegravir, was demonstrated to efficiently protect macaques from
384	repeated low-dose SHIV challenges (27). However, cabotegravir did not completely
385	protect from a high viral dose challenge (28). Likewise, a long-acting injectable
386	formulation of rilpivirine was also shown to have decreased efficacy against high viral
387	dose (29). Furthermore, initial occult infections may be established without detectable
388	viremia or immune responses in human populations. Studies of an early viral reservoir
389	were shown to result in systemic viremia after discontinuation of fully suppressive
390	therapy by ART (30). But the studies of in vivo PK/PD utilizing this system are not
391	intended to provide insights into the prevention of systemic infection determined by the
392	detection of viremia. Alternatively, this approach provides insights into topical PrEP
393	function at the portal of transmission revealing potential deficiencies, such as the
394	asymmetric distribution of the drug delivered in the vaginal vault by the TDF-IVR.
395	Further studies will help us to understand the mechanism of protection by comparing
396	topical drug delivery by TDF-IVR and orally administered TDF in high-challenge model.
397	Herein, we present a novel system that allows a comprehensive in vivo PK/PD
398	study of topical PrEP formulations where drug levels and viral inhibition can be directly

399	evaluated. In the current study, the function of a TDF-IVR was evaluated in the PTM
400	vaginal challenge model with a single high viral dose of a non-replicative SIV based dual-
401	reporter vector system that allows identification and analysis of potential target cells of
402	the challenge inoculum. Transduction modeling initial viral events were found in, but
403	not limited to the ovaries, cervix and vagina as expected based on previous studies (17).
404	TFV levels were variable throughout the FRT in gradients of reducing tissue
405	concentrations emanating from the IVR location. The drug released by the IVR in the
406	vaginal compartment was able to reach the upper reproductive tract including the
407	ovaries, although lower drug levels were detected. This observation suggests that IVR
408	delivery systems may not protect the upper FRT as efficiently as the vaginal
409	compartment. The highest drug concentrations were found proximal to the ring
410	location; in the ectocervix and upper vagina compartments of the FRT. The detection of
411	some transduction events overlapping with regions of high tissue drug levels reveal that
412	viral reverse transcription was not completely inhibited with a high dose viral challenge
413	despite the highest levels of TFV accumulated in these tissues. This is likely a case of the
414	use of a single drug in this IVR formulation that competes with endogenous
415	deoxyadenosine for inhibitory function. Unfortunately, it is not reasonable to address
416	this issue by increasing the TDF concentrations. As shown in the early termination of
417	Phase Ib clinical study of this TDF-IVR, ulcerations and significant increases in the levels
418	of multiple inflammatory cytokines and chemokines in cervicovaginal fluid of sexually-
419	active women administered with TDF-IVR compared to placebo group revealed drug
420	release would need to be reduced for safety reasons (16). The high concentrations of

- 421 TFV near the ring may be causing the observed adverse responses. Based on the insights
- 422 gained in this initial study, this in vivo PK/PD approach can contribute important
- 423 information to facilitate the development of effective topical PrEP formulations and
- 424 maybe even provide insights into why oral PrEP that broadly disseminates drugs may be
- 425 advantageous to topical PrEP in protecting high risk populations (1, 31).

427 Materials and Methods

428 Ethics statement

All animal experiments were conducted in accordance with protocols approved
by Northwestern University and the Centers for Disease Control and Prevention
Institutional Animal Care and Use Committee. This study was conducted with the
recommendations in the Guide for the Care and Use of Laboratory Animals of the
National Institute of Health (NIH).
TDF-IVR study in non-human primates challenged with high viral dose
Six pigtail macaques were used for the pilot study and seven pigtail macaques for
the preclinical study. All animals were given a single dose of 30 mg Depo-Provera to
synchronize animals in their cycles. Four days later, TDF-IVR were administered to four
animals in pilot study (BB432, BB588, BB401 and BB925) and six animals (BB535, BB963,
BB548, BB187, 1.6348 and 1.8678) in the preclinical study. Two control animals (96P047
and BB966) in the pilot study and one animal (BB529) in preclinical study did not receive

the TDF-IVR. All study animals were vaginally challenged with a high dose of single

round non-replicating SIV-based reporter virus (TCID₅₀ range: 10⁵-10⁶) twenty-five days

444 (preclinical study) and twenty-eight days (pilot study) after IVR insertion. After viral

inoculation animals were euthanized at 48 hours (animals in pilot study) and 72 hours

446 (animals in preclinical study). A timeline for both studies is shown in Figure 1.

447 At necropsy, intact genital tracts and regional lymph nodes were isolated, stored 448 in RPMI and shipped on wet ice overnight for further processing. The next morning

449	tissue was analyzed for luciferase reporter expression. Whole FRT and lymph nodes
450	were rinsed in PBS and scanned in the IVIS device to determine the background
451	luminescence. Then the tissue was soaked in 100 mM d-Luciferin (Biosynth), while
452	ovaries were injected with 100 mM d-Luciferin. After 10-15minutes at room
453	temperature all tissue was examined for luciferase expression using IVIS. The genital
454	tract was further divided into 5 regions: lower vagina, upper vagina/fornix, cervix, uterus
455	and ovaries including fallopian tubes, and reimaged. Every region of the tissue was then
456	dissected into smaller pieces and scanned again. Tissue sections with positive luciferase
457	activity signal were further dissected into smaller bites for the third screen. Live Imaging
458	Software was used for all analyses of luminescent signals. All dissected tissue was
459	embedded in optimal cutting temperature (OCT) media (VWR) and frozen at -80 degrees
460	Celsius. For the preclinical study, ovaries were cryosectioned and screened for the
461	presence of transduced cells using fluorescence microscopy, while all other dissected
462	domains of the FRT were used for nested PCR analyzes.
463	
464	SHIV-based dual reporter vector and viral production
465	In this study we apply a dual reporter genome that allows us to localize and
466	phenotype cells transduced with a non-replicating SIV-based vector. Vector design was
467	described previously (17). Briefly, the dual reporter genome carries firefly luciferase (18)

In this study we apply a dual reporter genome that allows us to localize and
phenotype cells transduced with a non-replicating SIV-based vector. Vector design was
described previously (17). Briefly, the dual reporter genome carries firefly luciferase (18)
and fluorescent mCherry (19) genes that are driven by a CMV promoter (Supplemental
Figure 1). IRES (internal ribosome entry site) enables efficient expression of the mCherry
gene whereas WPRE (Woodchucks Hepatitis Virus posttranscriptional regulatory

471	element) at the 3' end of the genome enables increased gene expression of the vector	
472	(20, 21). The 5' end long terminal repeat (LTR) contains the SIV promoter for efficient	
473	virus production. The 3' end LTR site has a self-inactivating mutation. The reporter	
474	genome does not contain any other viral genes, and is delivered by an SIV-based	
475	lentiviral vector.	
476	An SIV-based pseudovirus vector was driven from the SIV3+ (SIVmac251) as	
477	described in (32). To generate pseudotyped reporter virus the 293T cells were	
478	transfected with 4 plasmids mixed with Polyethylenimine (PEI, Polysciences): dual	
479	reporter genome vector, SIV3+ packaging vector, JRFL envelope encoding plasmid and	

480 REV expression plasmid DM121. 48 hours post transfection supernatant containing

481 pseudotyped virus was collected and filtered through 0.45 μm-sized pore filters. Virus

482 was concentrated using a 20% sucrose cushion, followed by titration and infectivity

483 assay (TCID₅₀) on TZM-bl cells as previously described in (33) and stored at -80° C. TCID₅₀
484 assay (TCID₅₀) on TZM-bl cells as previously described in (33) and stored at -80° C. TCID₅₀

484 ranged from 10^5 - 10^6 virions mL⁻¹.

485 To identify vector-transduced cells that express mCherry and luciferase reporter genes frozen ovaries were cryosectioned and immunostained for fluorescence imaging 486 487 analyses. mCherry was identified based on its auto fluorescent signal while the 488 luciferase protein was immuno-stained with anti-firefly luciferase antibody (Abcam) 489 labeled with Zenon AF647 Mouse Labeling Kit (Life Technology). Positive transduced cells were identified by detecting high fluorescent signals in both mCherry and CY5 490 491 (identifying AF647 labeled antibody for luciferase protein) channels. In addition, we 492 employed a further criterion using the TRITC filter in an orange wavelength to

493	characterize transduced cells. Dim emission in TRITC excludes detection of a broad-
494	spectrum auto fluorescence of the tissue indicating specificity of mCherry auto
495	fluorescence.
496	This viral reporter system was designed for a single round cell entry, viral
497	transcription and proviral DNA integration. These reporter virions are therefore non-
498	replicating viruses. This strategy allows us to localize early viral events based on the
499	expression of reporter genes.
500	
501	Drug levels during the pilot and preclinical studies
502	Vaginal fluid samples were collected and assayed as previously described (13).
503	Vaginal pinch biopsies (3-5mm) were collected on days 3 and/or 14/15 for drug level
504	analysis. A section of vaginal tissues from the FRTs of the first group of macaques was
505	collected at necropsy and processed by mechanical shearing (34) to isolate leukocytes
506	
	for drug analysis at the cellular level. Concentrations of TFV and TFV-DP in vaginal
507	tissues were determined by LC-MS as previously described (13).

509 Drug PK post necropsy

510	TFV levels in tissue were measured by LC-MS/MS methods. The LLOQ for TFV
511	was 50 ng TFV/g tissue. Tissue throughout the female reproductive tract was collected
512	and sectioned to 100 mg pieces prior to the luciferin treatment. A solution of cold 50:50
513	acetonitrile:water spiked with 100 ng/mL ¹³ C labeled TFV internal standard (Moravek,
514	Brea, California) was added to the tissue with one 5 mm stainless steel homogenizing
515	bead. Samples were homogenized for 10 min at 30 Hz and centrifuged 4 min at 10,000
516	rpm. Supernatants were filtered with 0.2 μm Nylon filters and evaporated to dryness on
517	a vacuum centrifuge. Samples were reconstituted in water and injected on an HPLC-
518	MS/MS system. Chromatographic separation was achieved using an Agilent Zorbax
519	Eclipse XDB 2.1x150mm 5 μ with mobile phases of 0.1% formic acid in water and 0.1%
520	formic acid in acetonitrile at 0.3 mL/min with an Agilent 1200 HPLC. Quantification was
521	achieved using a Bruker AmaZon X Ion Trap with Bruker Compass
522	DataAnalysis QuantAnalysis version 2.1 software. The assay was linear in the range of
523	50-5,000 ng/g tissue.
524	
525	Immunostaining and fluorescence microscopy
526	Sixteen micron thick tissue cryosections were fixed on glass slides with a PIPES-
527	formaldehyde mix (0.1 M PIPES buffer, pH 6.8 and 3.7 % formaldehyde) and washed

528 with 1x PBS. Tissue was then blocked with 10% normal donkey serum/0.1% Triton X-

529 100/0.01% NaN3 followed by staining with Alexa Fluor 488 conjugated anti-human CD4

530 OKT 4) antibody (Biolegend) at 4° Celsius overnight (1:200 diluted in PBS). The next

531	morning tissues were rinsed in 1X PBS and stained with rabbit polyclonal anti-firefly			
532	luciferase (Abcam) antibody, pre-labeled with Zenon AlexaFluor -647 mouse labeling kit			
533	according to manufacturer (Life Technology). DAPI was used to stain nuclei.			
534	Immunostained cryosections were mounted with fluorescent mounting medium (DAKO)			
535	and covered with coverslips.			
536	Imaging was conducted with a DeltaVision inverted microscope. Transduced cells			
537	were visualized with a 60X objective lens using 2x2 paneled fields. Thirty z-scan stack			
538	images were acquired in 5 channels: DAPI, FITC (AlexaFluor 488), TRITC, mCherry and			
539	CY5 (AlexaFluor647), and deconvolved using softWoRx software (Applied Precision).			
540	Expression of mCherry and luciferase conjugated to AlexaFluor647 were analyzed by			
541	spectral imaging using Nikon AIR Laser scanning confocal microscope and Nikon			
542	Elements Software.			
543				
544	Primer efficiency assay and nested PCR			
545	293T cells (American Type Culture Collection) were grown in Dulbecco's modified			
546	Eagle's medium (Mediatech) supplemented with 10% fetal bovine serum, 100 Um L $^{-1}$			
547	penicillin, 100 μ g mL ⁻¹ streptomycin and 292 μ g mL ⁻¹ l-glutamine (Gibco). At 50%			
548	confluence cells were transduced with 1000 TCID $_{50}$ JRFL pseudotyped vector in 10-cm			
549	plates for 24 hours followed by changing the media. Forty-eight hours post transduction			
550	cells were trypsinized, rinsed and collected for selection based on mCherry expression			
551	using a Beckman Coulter MoFlo system.			

552	Genomic DNA was isolated from wild type (mCherry negative) and mCherry
553	positive cells using Qiagen DNeasy Blood & Tissue Kit (Qiagen N.V.). DNA concentration
554	was measured and calculations were made to prepare a genomic DNA mixture
555	representing approximately 100 transduced cells and 3.79x10 ⁴ wild type cells in a total
556	of 250 ng DNA corresponding to approximately 3.8x10 ⁴ cells. The DNA mixture was
557	further tittered with genomic DNA of wild type cells and used in a primer efficiency
558	assay to establish the sensitivity of nested PCR as described below.
559	Approximately three 40micron thick tissue cryosections were used to extract
560	genomic DNA using above mentioned Qiagen DNeasy Blood & Tissue Kit. Tissue was
561	analyzed for the presence of mCherry gene in a total of 250 ng DNA per reaction.
562	DreamTaq polymerase (Promega) and primers were mixed with template DNA.
563	Two sets of primers were used in this assay. To target the mCherry gene we used IRES
564	and WPRE outer primers (IRES forward: 5'-ACATGTGTTTAGTCGAGG-3' and WPRE
565	reverse: 5'- CAGTCAATCTTTCACAAATTTTGTAATCC -3') and mCherry inner primers
566	(forward: 5'-CCGACTACTTGAAGCTGTCCTT -3' and reverse: 5'-
567	GTCTTGACCTCAGCGTCGTAGT -3'). The LTR sites were utilized as a positive control in the
568	primer efficiency assay only, however not for screening (1) the animals' tissues. Animals
569	were previously infected with the SHIV162p3, and therefore only the presence of
570	mCherry proviral DNA is a valid indicator of transduction in the macaque samples. To
571	amplify the LTR sites we used LTR outer (forward: 5'-GCCTGTCAGAGGAAGAGGTTAG-3'
572	and reverse: 5'-GCCTTCACTCAGCCGTACTC -3') and LTR inner primers (forward: 5'-

573 TGGCTGACAAGAGGGAAACTC -3' and reverse: 5'-CTCCTTCAAGTCCCTGTTCG -3').

574 Supplemental Figure 1 shows primer design on the dual reporter vector.

575 In the first round, PCR outer primers were used to generate outer PCR products. 576 The cycling parameters were: 95 °C for 1 min 30 sec followed by 1 cycle, 95 °C for 30 sec, 577 45 °C for 30 sec and 72 °C for 1 min 50 sec followed by 20 cycles, and 72 °C for 10 min 578 followed by 1 cycle, and the final step 4 °C forever. In the second round of PCR, 2 μ L of 579 the first PCR products were amplified with inner primers to generate final PCR products. 580 The cycling parameters were: 95 °C for 5 min followed by 1 cycle, 94 °C for 30 sec, 51 °C 581 for 30 sec and 72 °C for 45 sec followed by 35 cycles, and 72 °C for 5 min followed by 1 cycle, and the final step 4 °C forever. The nested PCR was then performed on a Bio-Rad 582 583 iCycler Thermal Cycler system (Bio-Rad Laboratories). 584 All final PCR reactions were examined on 2% agarose gel and PCR products were 585 visualized by ethidium bromide staining. PCR products were cut out from the gel and 586 DNA was extracted using Quiagen QIAquick Gel Extraction Kit and sequenced with the 587 inner mCherry primers. We then tested sensitivity of the nested PCR method. The 588 primer efficiency assay demonstrated that by using a combination of the IRES/WPRE 589 and mCherry primer sets we were able to detect up to 3 DNA copies per PCR reaction in 590 a total of 250 µg of DNA (Supplemental Figure 2). This corresponds to 3 transduced cells 591 in the mix of the wild type and transduced cells. As a positive control, we designed

592 primers targeting the LTR sites that enable us to identify less than a single copy proviral

593 DNA in our nested PCR reaction (Supplemental Figure 1, 2). Amplification of LTR

elements resulted in higher production of PCR products because of the two LTR

595	elements on each site of the molecule. Furthermore, amplification of longer PCR

- 596 products such as 1.5 kb long fragments between IRES and WPRE elements is less
- 597 efficient than amplifying shorter DNA fragments such as 587 bp long outer LTR products.
- 598 Despite the high sensitivity of the LTR detection we could not use this set of the LTR
- 599 primers to survey the tissue of the pigtail macaques because the animals had been
- 600 challenged with SHIV162p4 viral inoculum prior to the beginning of our study. Due to
- 601 the similarities of the LTR sequences in the dual reporter SIV-based virus driven from
- 602 SIVmac251 and the SHIV162p3 driven from SIVmac239, we couldn't use the LTR primers
- 603 to distinguish between the two SIV strains.
- 604
- 605 **Supplemental material** is available online only.
- 606 TEXT S1, DOCX file, 17 KB.
- 607 FIG S1, PDF file, 50 KB
- 608 FIG S2, PDF file, 4 MB
- 609 FIG S3A, PDF file, 122 KB
- 610 FIG S3B, PDF file, 226 KB
- 611

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787 Figure Descriptions

788

789 Figure 1. Time	line of the studies. A	All animals were	treated with	DEPO Provera
--------------------	------------------------	------------------	--------------	--------------

- 790 contraceptive 4 days prior to the TDF-IVR insertion. Animals in the pilot study (96P047,
- 791 BB966, BB401, BB925, BB432, BB588) were challenged with SHIV reporter virus 28 days
- after ring insertion and euthanized on day 30. Animals in the preclinical study (BB529,
- 793 BB535, BB548, BB187, 1.8678, 1.6348, BB963) were challenged with SHIV reporter virus
- 794 25 days after ring insertion and euthanized on day 28. FRT processing occurred one day
- 795 after necropsy for both studies.

796

- 797 **Table 1.** Summary of luciferase reporter expression throughout the FRT and lymph
- nodes of pigtail macaques analyzed.
- 799
- 800 Figure 2. Luciferase activity in female reproductive tract of pigtail macaques

801 challenged with a single high-dose reporter virus expressing Luciferase and mCherry

- 802 genes. Luciferase activity was induced by soaking the tissue in luciferin and detected
- using IVIS. (2A). Positive signal was identified throughout the FRT in the control animal
- 804 96P047 (Post-luciferin image). (2B). Tissue of the 96P047 animal was dissected into
- smaller pieces and reimaged. (**3C**). Whole FRT scan of TDV-IVR treated animal BB548.
- 806 Positive signal was identified in both ovaries. (2D). Dissected and reimaged tissue of
- 807 TDV-IVR treated animal BB548.
- 808

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809	Figure 3. mCherry and Luciferase expression in the tissue of female reproductive tract
810	of challenged pigtail macaques. IVIS re-imaged tissue pieces were cryosectioned and
811	immunostained with anti-Luciferase antibody and DAPI. Immunostained tissue sections
812	were surveyed using fluorescence microscopy. Luciferase (green) and autofluorescent
813	mCherry (red) positive cells were identified in right ovary of the BB966 control animal
814	(3A) , Iliac lymph node of the 96P047 control animal (3B), ovarian tissue of TDF-IVR
815	treated animal BB535 (3C), and ovarian tissue of TDF-IVR treated animal BB548, where
816	transduced cells were phenotyped with anti-CD4 antibody (3D). DAPI was used to stain
817	nuclei (blue). Specificity of transduction was confirmed by low fluorescent intensity in
818	TRITC channel.
819	
820	Table 2. Number of transduced cells (mCherry/luciferase positive) identified in ovaries
821	of SHIV challenged pigtail macaques that were administered with the TDF-IVR.
822	
823	Figure 4. Drug levels during treatment with IVR
824	4A . TFV levels as measured by LC-MS/MS from vaginal tissue biopsies collected on days
825	3 and 14 post IVR insertion, and vaginal tissue sections collected at necropsy (day 30) for
826	the pilot study. In the preclinical study biopsies were collected on day 15. 4B. TFV-DP
827	concentrations were determined in whole tissue (day15) and leukocytes isolated from
828	processed vaginal tissues (day30). 4C . TFV levels from sites proximal and distal to IVR
829	placement.

830

831 Figure 5. Post-necropsy TFV levels in macaque tissue.

- TFV levels as measured by LC-MS/MS at necropsy for the preclinical study as a function
- 833 of location in the FRT.
- 834

835 Figure 6. Nested PCR screening results

- 836 Dissected tissue of the entire FRT and lymph nodes were cryosectioned for DNA
- 837 extraction following nested PCR. Each dissected tissue piece was surveyed for the
- 838 presence of proviral DNA (mCherry gene) copy in total of 250 ng DNA per reaction in at
- least 12 PCR reactions. (6A) 250 bp long PCR products visualized in gel and sequenced
- using mCherry primers for naïve animal, control animal BB529 (vagina and cervix), and
- 841 IVR-treated animals BB187 (ovary) and BB963 (lymph node). (6B) Summary of proviral
- 842 DNA detection using nested PCR throughout FRT and lymph nodes.
- 843
- **Table 3.** Number of proviral DNA detected throughout the entire FRT and lymph nodes

of Pigtail Macaques challenged with a single high dose of SHIV.

846

Figure 7. Summary of FRT post-necropsy analyzes. FRT 2D-maps of animals

848 administered with TDF-IVR in preclinical study. Pigtail macaques were treated with TDF-

- 849 IVR for 28 days. On day 25 post TDF-IVR insertion animals were vaginally challenged
- with a single high viral dose $(10^5 10^6)$. 72 hrs later animals were scarified and FRTs were
- analyzed using three different methods. Data of all three methods is presented on FRT
- 852 maps and it corresponds to tissue locations: i) tissue TFV concentrations measured by

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- 853 LC-MS/MS (squares), ii) proviral DNA detected by nested PCR (+/-), and iii) number of
- transduced cells (next to the white arrows pointing toward ovaries) identified by
- 855 fluorescence microscopy.
- 856
- 857 SUPPLEMENTAL FIGURES
- 858
- **Fig. S1.** Scheme of dual reporter genome LICh and primer design for nested PCR.
- 860
- 861 **Fig. S2.** Primer efficiency assay. Genomic DNA mixture representing 100 infected cells in
- 862 250 ng DNA was further diluted and used in primer efficiency assay using IRES/WPRE
- and mCherry primer set and LTR primers as a positive control
- 864
- 865 Fig. S3. Spectral profile analyzes of transduced cells. Spectral emission profile matching
- the defined profile of mCherry (610 nm) and luciferase seen in CY5 (665 nm) of ovarian
- tissue of TDF-IVR-treated animal BB535 (3A) and BB548 (3B).

Simultaneous *in vivo* Pharmacokinetics and Pharmacodynamics in a High-dose Vaginal Challenge of TDF Intravaginal Ring Treated Macaques

Figures

Timeline of the studies Figure 1

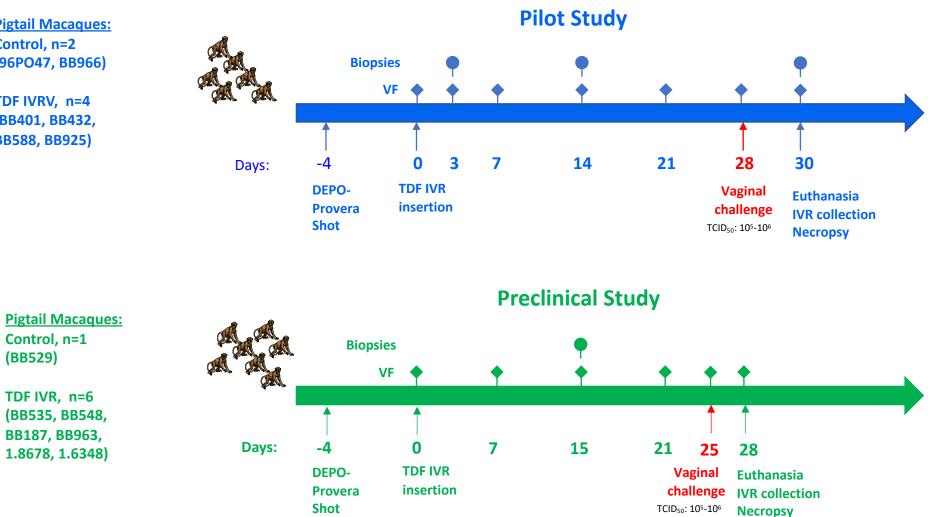
Pigtail Macaques: Control, n=2 (96PO47, BB966)

TDF IVRV, n=4 (BB401, BB432, BB588, BB925)

Control, n=1

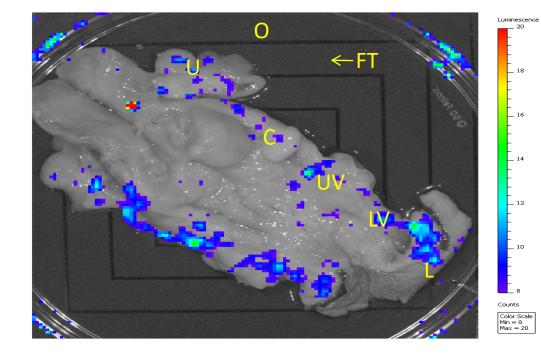
TDF IVR, n=6

(BB529)

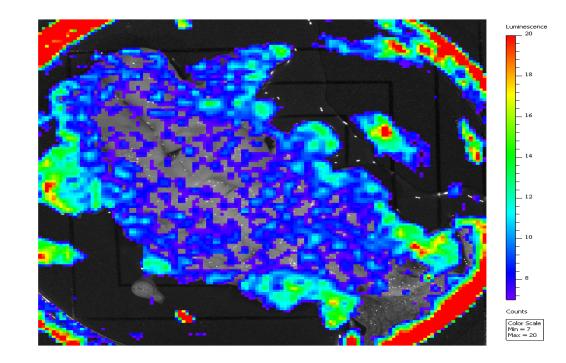


Luciferase activity detected throughout the FRT of control animal (96P047)

Pre-Luciferin



Post-Luciferin

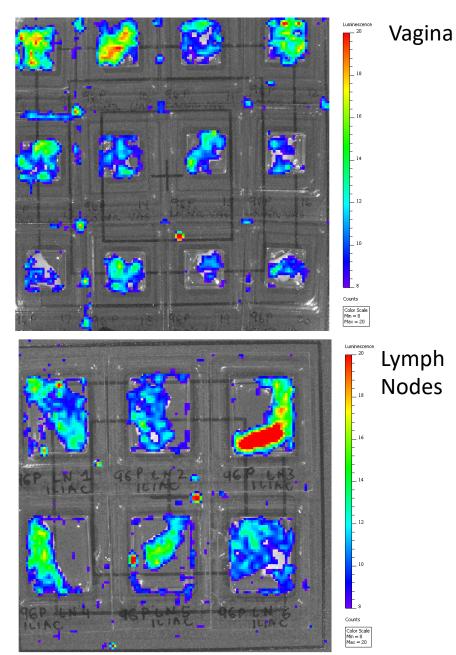


L - Labia C - Cervix LV - Lower Vagina U - Uterus UV - Upper Vagina O - Ovary FT - Fallopian Tube

Figure 2A

Figure 2B

Luciferase activity in dissected tissue of control animal (96P047)



Cervix

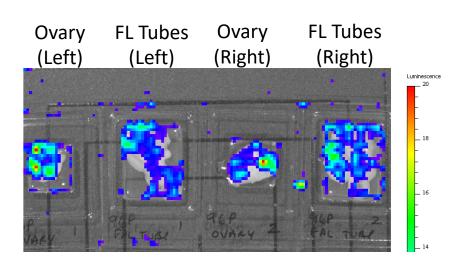
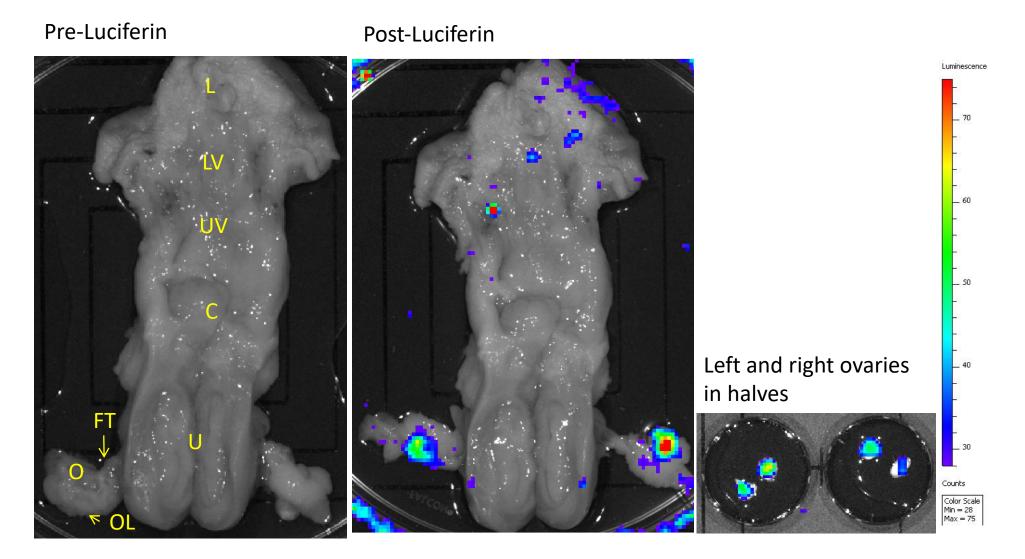


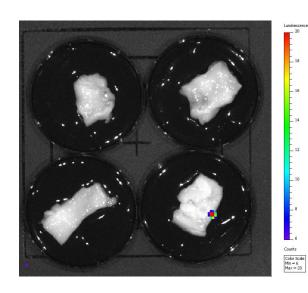
Figure 2C Luciferase activity in whole FRT of TDF-IVR administered animal (BB548)



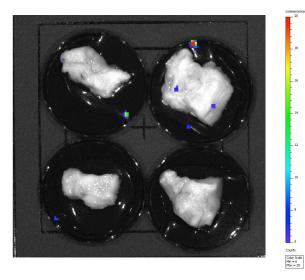
L - Labia C - Cervix LV - Lower Vagina U - Uterus UV - Upper Vagina O - Ovary

FT - Fallopian Tube OL - Ovarian Lymphatic Figure 2D

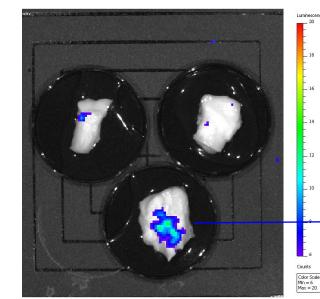
Luciferase activity in dissected tissue of TDF-IVR administered animal (BB548)



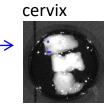
Lower vagina

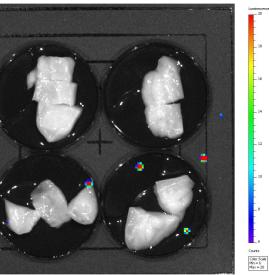


^{***} Upper • vagina



Cervix Dissected piece of







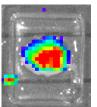
Summary of luciferase activity detection throughout the FRT and lymph nodes using IVIS

Animal code TDF IVR	96P047	BB966	BB529	BB401 +	BB925 +	BB432 +	BB588 +	BB535 +	BB548 +	BB187 +	1.8678 +	1.6348 +	BB963 +
Ovaries	++	++	-	++	++	++	++	+	++	-	-	-	_
Fallopian Tubes	++	++	_	++	++	++	++	_	_	_	_	_	_
Uterus	+	+	-	_	-	_	-	-	-	-	-	-	-
Cervix	+	+	-	-	-	-	-	-	-	-	-	-	-
Vagina	+	+	_	_	-	-	_	_	-	_	_	-	_
Lymph Nodes	+	+	-	_	_	+	+	_	-	_	_	-	+

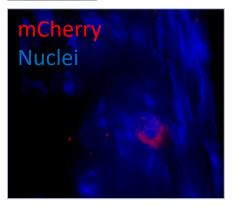
- + + : strong luciferase activity
- + : moderate luciferase activity
- : very low to no luciferase activity

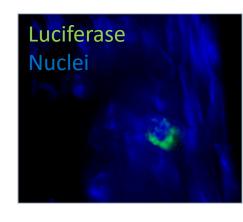
Figure 3A

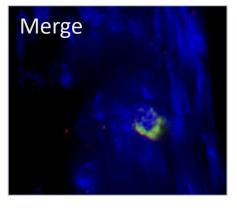
mCherry and Luciferase expression in right ovary of control animal (BB966)

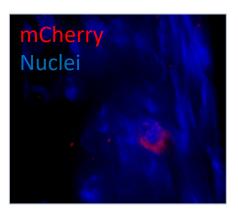


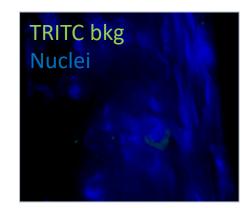
Luciferase activity throughout the entire ovary detected by IVIS











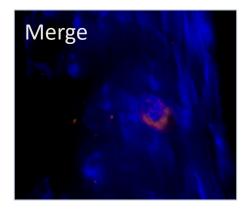
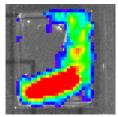


Figure 3B

mCherry and luciferase expression in Iliac Lymph Node of control animal (96P047)



Luciferase activity throughout the entire Iliac lymph node detected by IVIS

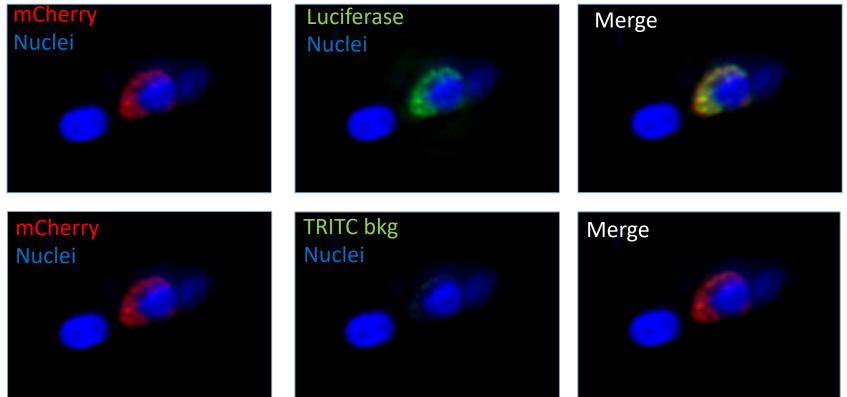
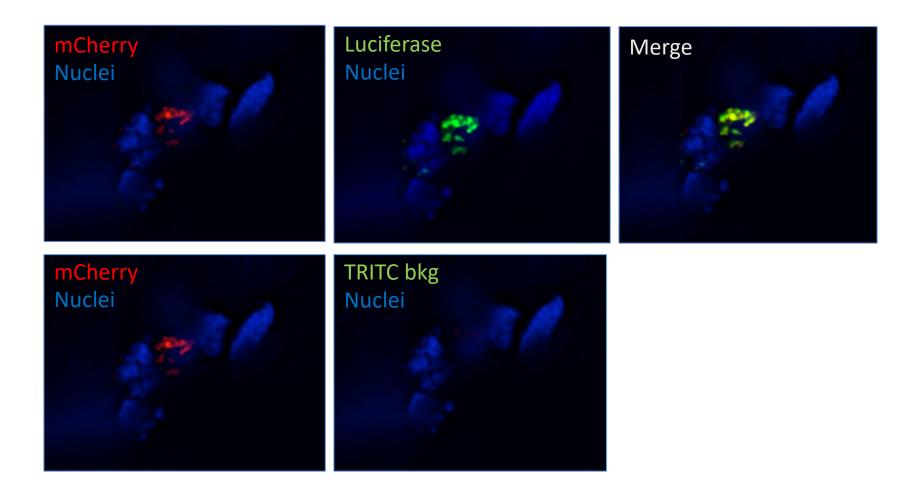
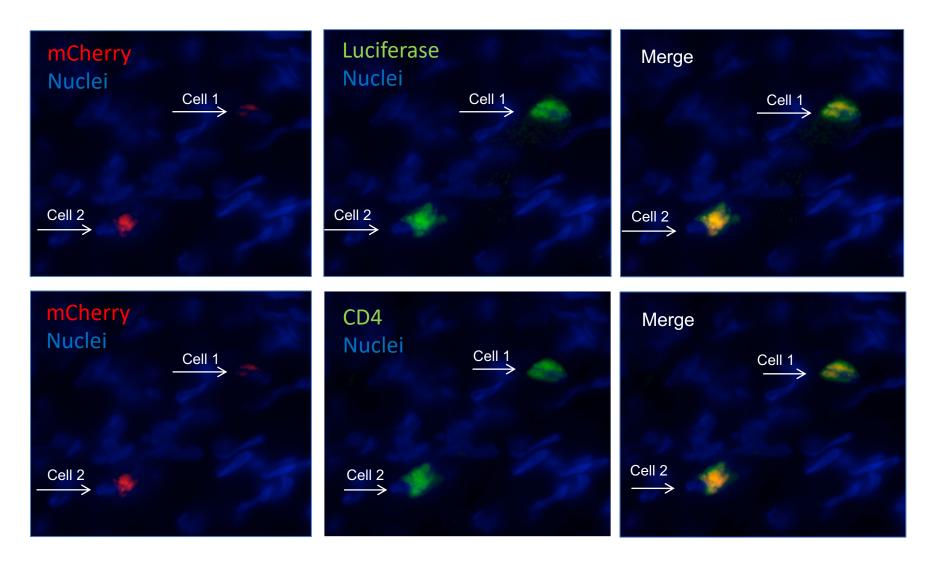


Figure 3C

mCherry and Luciferase expression in ovary of TDF-IVR administered animal (BB535)



mCherry and Luciferase expressing cells are CD4 positive in an ovary of TDF-IVR administered animal (BB548)



Summary of identified transduced cells (Luciferase and mCherry positive) in ovaries of animals challenged with a single high dose of SHIV virus.

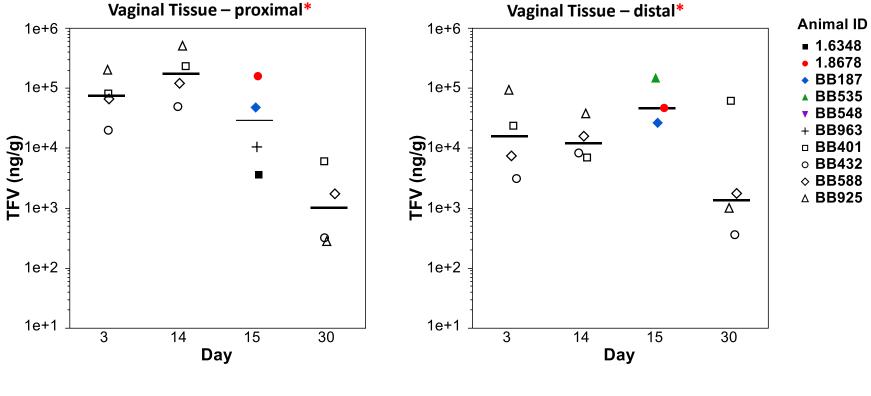
Animal code: TDF IVR	BB529 -	BB535 +	BB548 +	BB187 +	1.8678 +	1.6348 +	BB963 +
Ovary 1	2	8	2	1	0	4	1
Ovary 2	-	2	0	0	0	-	-

Number of cells found per ovary

- : Tissue not available for screening

Figure 4A

TFV tissue levels from biopsies on day 3, 14, 30 in animals in pilot study; and on day 15 in animals in preclinical study.

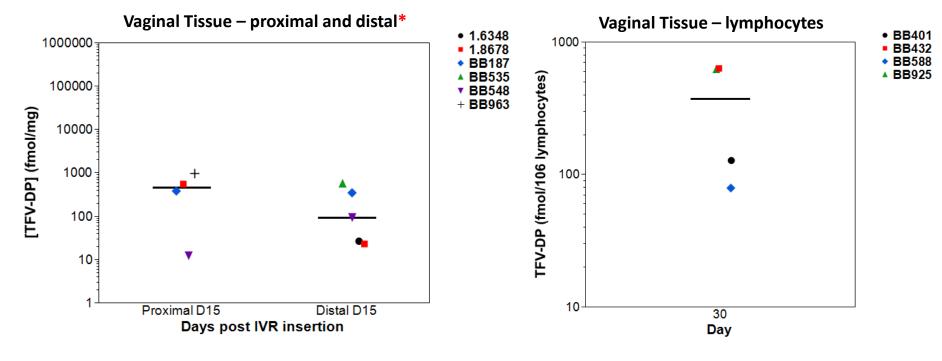


*Two samples (2 of 18) below LOQ

*Three samples (3 of 18) below LOQ

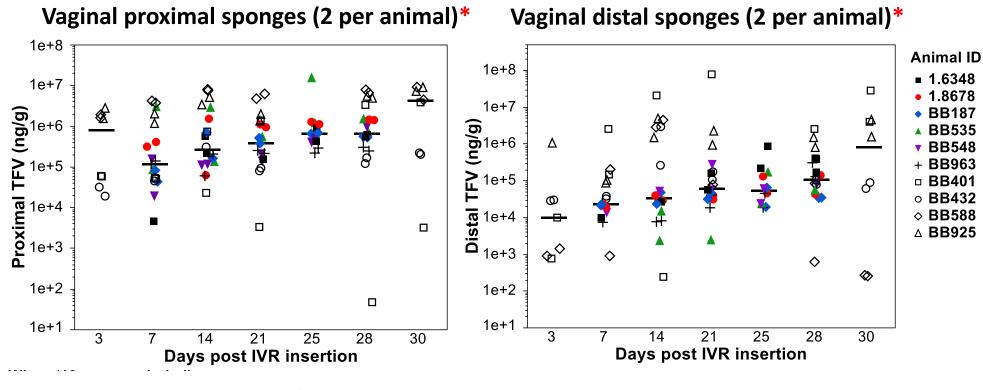
Figure 4B

TFV-DP tissue levels from biopsies in animals in pilot study



*Three samples (3 of 12) below LOQ

TFV vaginal sponge levels of animals (72 hrs post challenge)

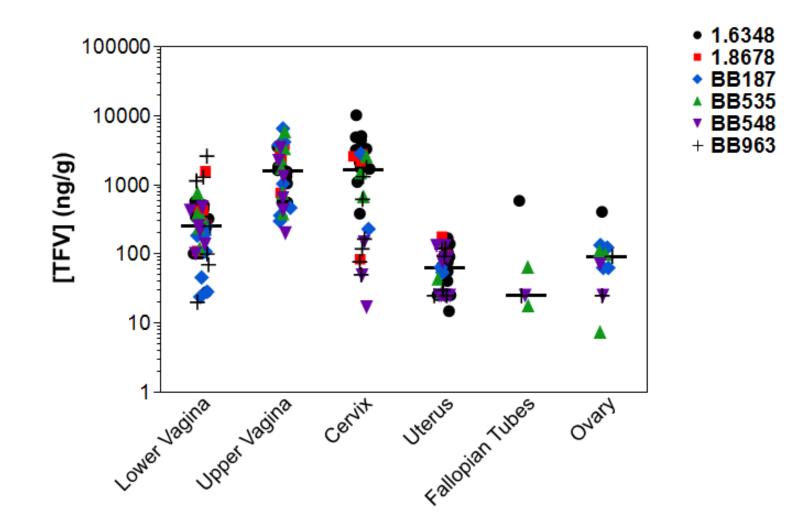


*Some samples (9/108) below LOQ

*Some samples (3/108) below LOQ

Figure 5

Post-necropsy TFV levels in macaque tissue



BB529_IRES_WPRE_mCherry_VAG_CER_D_2015-08-12

Positive mCherry PCR products detected on ethidium bromide stained agarose gel

Animal	TDF IVR	Challenged	
Naïve animal Vagina	-	-	
BB529 Vagina	-	+	
BB529 Cervix	-	+	
BB187 ovary	+	+	Θ
BB963 Lymph nod	e +	+	

Figure 6B

Summary of proviral DNA detection throughout the entire FRT and lymph nodes using nested PCR.

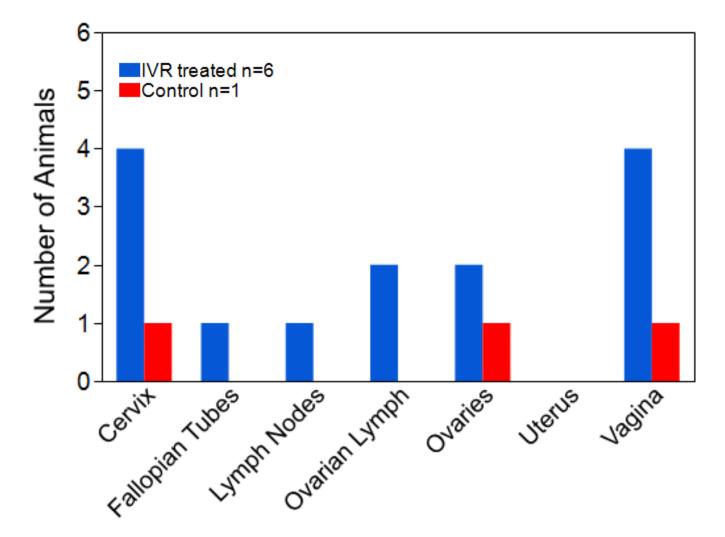


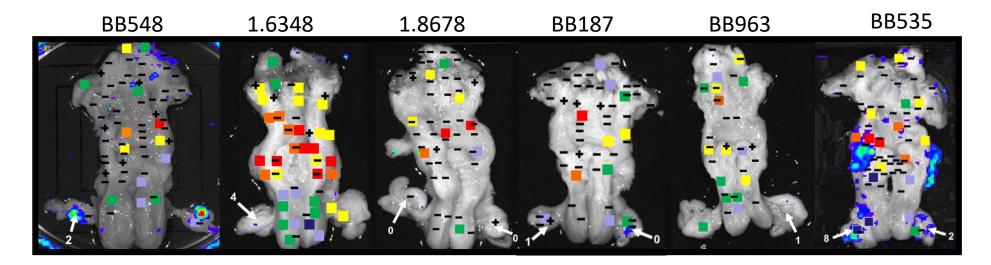
Table 3 Number of proviral DNA detected throughout the entire FRT and lymph nodes using nested PCR

Animal code:	BB529	BB535	BB548	BB187	1.8678	1.6348	BB963
TDF IVR	-	+	+	+	+	+	+
Uterus	0/1	0/3	0/2	0/3	0/5	0/4	0/7
Ovary 1	1/1	0/1	0/1	1/3	0/1	0/1	0/1
Ovary 2	-	0/1	0/1	0/2	1/1	-	-
Ovarian Lymphatic 1	-	0/1	1/1	-	0/1	-	0/1
Ovarian Lymphatic 2	-	0/1	0/1	-	1/1	-	0/1
Fallopian Tubes	0/1	-	1/2	-	0/1	0/1	0/1
Cervix	1/2	0/18	4/15	1/7	1/9	0/6	2/11
Vagina	1/15	0/32	5/39	3/30	2/28	4/8	0/13
Lymph Nodes	-	0/8	0/4	0/2	0/5	0/1	1/3

#/# : Proviral DNA detected/Total pieces of tissue screened

-: Tissue not available for screening

TFV concentration, PCR and luminescence maps from results in IVR treated animals in preclinical study.

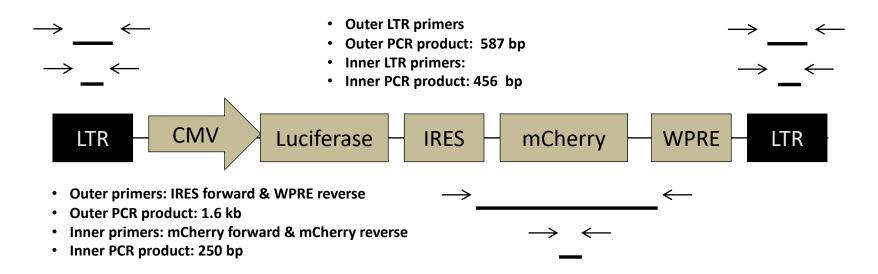


Tenofovir (ng/g tissue)

- >3000 1500-3000
 - 300-1500
- 90-300
- 30-90
- 500
- •
- <30

- Positive nested PCR
- Negative nested PCR
- H Number of transduced cells
 - Microscopy

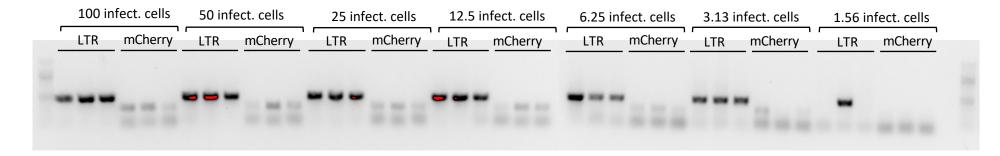
Scheme of LICh dual reporter genome and primer design for nested PCR



Primer efficiency assauch_LTR_A_2015-10-29

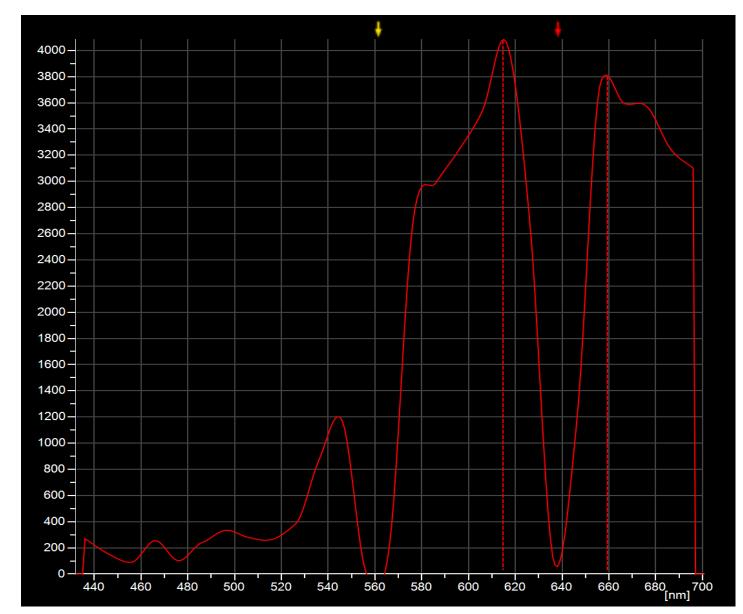
Single primer set nested PCR: LTR outer PCR product: 587 bp LTR inner PCR product: 456 bp IRES-WPRE outer PCR product: 1.6 kb mCherry inner PCR product: 250 bp

250 ng total DNA/reaction



	0.78 infect. cells		0.39 infect. cells		293T	WT cells	dH2O		
	LTR	mCherry	LTR	mCherry	LTR	mCherry	LTR	mCherry	
-									12
-	-								

Spectral imaging, animal BB535, an ovary Spectral profiles of mCherry (610 nm) and AF647/Luciferase (665 nm)



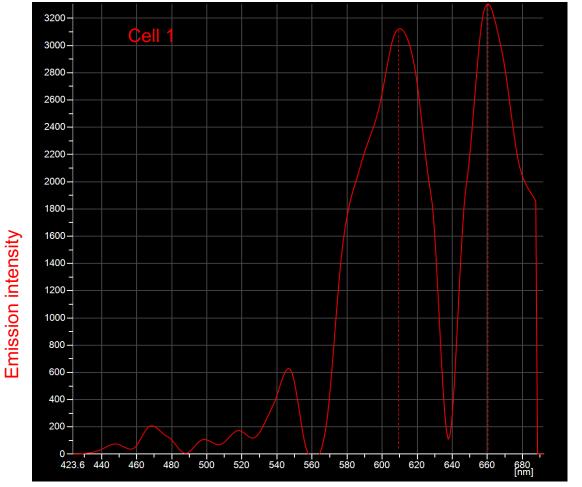
Emission intensity

Wavelength

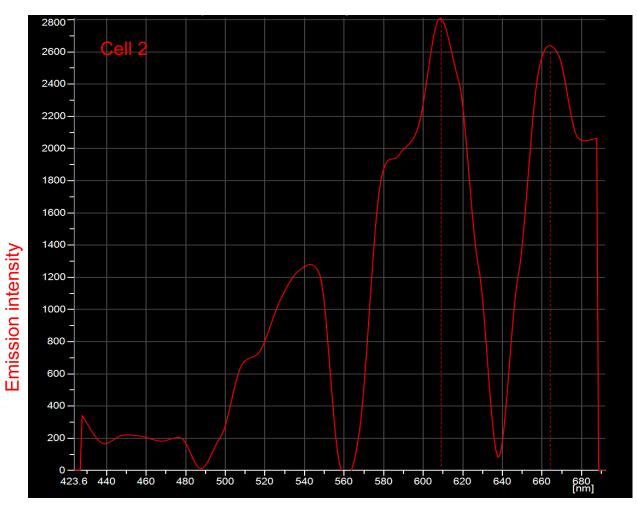
Fig. S3A

Spectral imaging, animal BB548, an ovary

Spectral profiles of mCherry (610 nm) and AF647/Luciferase (665 nm)



Wavelength



Wavelength