

1 **TITLE** : C-STEM: ENGINEERING NICHE-LIKE MICRO-COMPARTMENTS FOR OPTIMAL  
2 AND SCALE-INDEPENDENT EXPANSION OF HUMAN PLURIPOTENT STEM CELLS IN  
3 BIOREACTORS

4  
5 **Short title** : Scale-independent expansion of biomimetic hPSC colonies

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22 **ABSTRACT**

23 Human pluripotent stem cells (hPSCs) have emerged as the most promising cellular source for cell therapies. To  
24 overcome scale up limitations of classical 2D culture systems, suspension cultures have been developed to meet  
25 the need of large-scale culture in regenerative medicine. Despite constant improvements, current protocols relying  
26 on the generation of micro-carriers or cell aggregates only achieve moderate amplification performance. Here,  
27 guided by reports showing that hPSCs can self-organize *in vitro* into cysts reminiscent of the epiblast stage in  
28 embryo development, we developed a physio-mimetic approach for hPSC culture. We engineered stem cell niche  
29 microenvironments inside microfluidics-assisted core-shell microcapsules. We demonstrate that lumenized three-  
30 dimensional colonies maximize viability and expansion rates while maintaining pluripotency. By optimizing  
31 capsule size and culture conditions, we scale-up this method to industrial scale stirred tank bioreactors and  
32 achieve an unprecedented hPSC amplification rate of 282-fold in 6.5 days.

33

34 **TEASER**

35 Optimizing human pluripotent stem cells amplification by recapitulating and protecting biomimetic colonies in a  
36 bioreactor

37 **INTRODUCTION**

38

39 Following pioneering works targeting Parkinson's disease (1, 2), diabetes (3, 4), macular degeneration (5) or heart  
40 failure (6, 7), cell therapies are now addressing an increasing diversity of indications (8, 9). Therapeutic cells  
41 represent a hope for millions of patients worldwide with chronic diseases or unmet medical needs. For each of  
42 those patients, the number of required cells range between  $10^5$  and  $10^{10}$  cells. While academic pre-clinical studies  
43 or small-scale clinical trials have already been proved to be successful, a transition to true clinical scale is now  
44 urgently needed. To enable treatment of thousands to millions of patients, production capacity must scale while  
45 maintaining high-quality cells compatible with transplantation.

46 Due to their unlimited self-renewal capacity and potency to give rise to any cell type in the body, human  
47 pluripotent stem cells (hPSCs) hold great promise to provide the required quantities of therapeutic cells. *In vivo*, a  
48 few embryonic stem cells can give rise to the  $30 \times 10^{12}$  cells of the adult human body (10) while maintaining their  
49 genome integrity. As a consequence, much effort has been dedicated to the isolation and *in vitro* culture of these  
50 cells in physiological conditions.

51 Historically, the first stem cell lines were established by micro-dissecting embryos and manual passaging as two-  
52 dimensional (2D) adherent epithelial colonies on a layer of feeder cells (11–13). Cell proliferation and  
53 pluripotency maintenance were thus achieved *in vitro*. The need for an embryonic source was then alleviated with  
54 the discovery that differentiated cells could be reprogrammed into induced pluripotent stem cells (iPSC) (14).  
55 This breakthrough led to pioneer works in developmental cell biology and catalyzed the development of cell  
56 therapy applications. Even though a lot of work has been devoted to the development of media and substrate  
57 compatible with clinical use, topology of hiPSCs cultures has not been gathering the same attention. Nevertheless,  
58 2D *in vitro* cultures suffer high mortality rates, spontaneous differentiation and genetic drift (15). An estimated  
59 40-fold increase in the number of mutations compared to *in vivo* conditions (16) often results in rejection of  
60 clinical batches due to safety concerns (17, 18). In addition, 2D culture systems have limited “scale-out”  
61 possibilities: for example, generating 1 trillion pluripotent stem cells, would require almost 1000 m<sup>2</sup> of plastic

62 dishes and countless handmade passaging (15). The non-scalability and the inability to conserve high quality cells  
63 remain the main limitations of 2D culture systems for clinical applications (18).

64 More recently, 3D hPSCs cultures have been developed to model developmental processes. After seeding stem  
65 cells in bulk extracellular matrix (ECM) (19, 20) or using microfluidic chips (21), cell clusters self-organize into a  
66 monolayered epithelium recapitulating numerous features of an epiblast that generates *in vivo* all tissues in an  
67 amniote embryo through gastrulation. Even though these elegant approaches allowed to gain much insight into  
68 morphogenesis mechanisms (22), they are not designed for the production of hPSCs per se.

69 From a bioproduction perspective, significant advances have been achieved by developing 3D suspension cultures  
70 and bioreactors. Whether hPSCs are grown as floating aggregates or adherent at the surface of microcarriers,  
71 those systems provide increased surface-to-volume ratio and scale-up potentialities. Additionally, the use of  
72 stirred-tank bioreactors (STBR) allowed better control of the culture parameters without direct human  
73 intervention. Indeed, stirring-mediated mechanical agitation avoids sedimentation of the aggregates or  
74 microcarriers onto the bottom of the culture vessel and heterogeneities in the culture medium compositions.  
75 Nonetheless, one intrinsic drawback of agitation is that hydrodynamic shear induces cellular damages (23). This  
76 effect is all the more dramatic as the vessel increases in size: the larger the volume of the bioreactor is, the more  
77 power per unit of volume needs to be injected for effective homogenization. Even though expansion rates with  
78 hPSC aggregates are regularly increased and could reach up to 70-fold within 7 days (24, 25), the ability of  
79 scaling up the volume of the production is still restricted. Typically, this mechanical upper limit makes it difficult  
80 to reach batches larger than 1 liter or equivalently few billion cells. Finally, the current bottleneck for industrial  
81 scalability is the difficulty to fulfill simultaneously high amplification rate, volume and physiological quality.

82 In this work, by bridging the gap between two distinct communities, namely developmental biology and stem  
83 cells bioprocessing, we show that recapitulation of hPSC niche-like micro-environments allows to optimize the  
84 suspension culture of hPSC in STBRs. More precisely, we propose a system that utilizes a high throughput  
85 microfluidic encapsulation technology compatible with suspension culture of stem cells in a bioreactor and  
86 amenable to the production of large volume batches without any degradation of cell survival in contrast with 2D  
87 colony-based systems for instance. Briefly, iPSCs are encapsulated in alginate hollow microcapsules internally



88 coated with ECM components at low cell seeding concentration. We assess the maintenance of stemness and  
89 pluripotency upon 3D culture in suspension. We then characterize the cell growth inside the capsules before  
90 showing that upscaling to 10 liter stirred-tank bioreactor allows to reach unrivalled amplification factors.  
91 Altogether, the C-STEM technology overcomes the scale-up bottleneck faced in cell therapy bioproduction. We  
92 discuss that the origins of this performance could be related to unprecedented cell viability of 3D lumenized  
93 colonies within these capsules.

94

## 95 **RESULTS**

### 96 **High-throughput microfluidic encapsulation of hiPSCs**

97 Using a microfluidic technique to generate hollow hydrogel spheres (Fig. 1A, Movie S1, and detailed description  
98 in the Materials and Methods section) previously developed by us and others (26–29) we encapsulated human  
99 induced pluripotent stem cells (hiPSC) in liquid core capsules. Briefly, the working principle is the following: a  
100 three-layered cylindrical flow is generated using a co-extrusion microfluidic device: the cell suspension is  
101 surrounded by an alginate solution. Both solutions are separated by a sorbitol solution to prevent diffusion of  
102 calcium released from the cell suspension towards the alginate solution. Upon exiting the microfluidic device at  
103 high flow rate (on the order of 120 ml/h for all three solutions), the liquid jet is fragmented into small droplets  
104 due to the Plateau-Rayleigh instability. By contrast with the dripping regime obtained at lower flow rate that gives  
105 rise to droplets of size in the order of the capillary length (i.e. ~mm), the droplet radius is here dictated by the  
106 extruder's nozzle size (~200 microns diameter) (30). This reduced size, which is below the distance over which  
107 oxygen and nutrients supply is limited within a tissue, allows to avoid the formation of a necrotic core (31). Once  
108 they fall in a calcium bath, the alginate solution droplets undergo gelation and trap the cell suspension in their  
109 interior. Our routine protocol produces capsules at a rate of about 3 kHz (Fig. S1), meaning that a 30 seconds  
110 operation generates 100,000 capsules. Morphological analysis shows that capsules are monodisperse in size with  
111 mean external radius  $R = 205 \mu\text{m} \pm 39 \mu\text{m}$ . and that their shape is close to spherical, with a circularity parameter  
112  $C = 0.84 \pm 0.04$  (Fig. 1B).

113 In order to provide a niche-like environment to hiPSCs, Matrigel, an ECM mixture, is co-injected with the cell  
114 suspension (28). Empirically, we found that a minimal volume fraction of 25% was required to form a continuous  
115 matrix layer anchored to the inner wall of the capsule, with the excess (if any) being found as floating gel pieces  
116 inside the capsule (29). Most of the experiments reported in these works were performed with 50% of Matrigel in  
117 volume fraction. The granularity seen in the core of the capsule (Fig. 1E) thus corresponds to small floating  
118 aggregates of ECM. Most encapsulations reported hereafter were performed with a density of  $0.4 \times 10^6$  cells/ml in  
119 the cell/matrix suspension, unless otherwise stated, and led to a mean number of cells per capsule right after  
120 encapsulation (day 0) of  $\sim 2.5$  (Fig. 1C), meaning that  $\sim 10\%$  of the capsules are empty, consistently with a Poisson  
121 distribution. After 6 to 7 days of culture, practically defined as the harvest time under these seeding conditions, 3D  
122 colonies of hiPSCs were observed, suggesting, not only that hiPSCs survive, but also that they could proliferate  
123 (Fig. 1D). Higher magnification reveals the presence of a lumen (Fig. 1E).

124

### 125 **Culture, expansion and stemness of encapsulated 3D hiPSC colonies**

126 Using phase contrast imaging, we observed in more details the growth kinetics of these 3D hiPSC colonies. First,  
127 hiPSCs form a small cluster (typically during the first 24h) before self-organizing in a cyst structure around a  
128 central lumen (Fig. 2A, Movie S2 & S3). Then, the 3D hiPSC colonies grow within the capsules while keeping  
129 the same spherical shape. In the early stages, the cells within the monolayer of the cyst have a cuboid cell shape of  
130 about  $10 \mu\text{m}$  side (Fig. 2B left,  $\sim 5$  days post-encapsulation). Before harvesting, as seen in the confocal image of a  
131 representative 7 day-old hiPSC 3D colony immunostained for actin and nucleus, cells exhibit an elongated  
132 morphology perpendicular to the surface of the cyst. Yet, the cyst remains monolayered suggesting a transition  
133 towards a pseudostratified columnar epithelium with most nuclei being located on the basal side opposing the  
134 lumen. In this stage, the cysts are characterized by a thickness of about  $\sim 40 \mu\text{m}$  (Fig. 2B right) and a radius of  
135 about  $\sim 100 \mu\text{m}$  (Fig. S2, day 7). Note that later stages are ignored. Indeed, if cells are not harvested, cysts become  
136 confined by the capsules and further grow inwards leading to a progressive loss of the lumen (Movie S4) and  
137 eventually the appearance of “fractures” (Fig S2 ).

138 The maintenance of the stemness of the encapsulated 3D hiPSC colonies was then checked. The expression of key  
139 self-renewal markers such as OCT4 and SOX2 was first assessed after capsule dissolution, fixation and staining.  
140 The alginate shell was dissolved by adapting the protocol of (29): we performed a short rinse of ReLeSR, which  
141 serves here as a calcium chelator that gently dissolves the alginate gel. Fixation and immunostaining were carried  
142 out following standard protocols (see Materials and Methods section) while preserving the 3D architecture. Image  
143 analysis of representative confocal images of individual cysts (Fig. 2C (top row) and Fig. S2) allows to derive that  
144 the percentage of cells positive for OCT4 and SOX2 is 97% (Fig. 2D top row). To further assess the consistency  
145 of stemness phenotype, we applied the approach pursued in a different context for epiblast-stage hPSCs spheroids  
146 by Freedman et al. (32). “Naked” hiPSCs cysts were dissociated and replated into 2D cultures (Fig. 2C). We  
147 observed that 2D colonies are readily formed and stemness markers are detected (Fig. 2C-D, bottom row) with a  
148 percentage of OCT4 and SOX2 positive cells larger than 98%.

149 Following this characterization at the scale of individual capsules, we sought to assess the potential variability  
150 between capsules and between hiPSC lines. We thus dissociated the bulk suspension cultures, extended staining to  
151 OCT4, SOX2 and NANOG and performed flow cytometry (Fig. 2E-F). For 4 different cell lines (see Materials  
152 and Methods section) by pooling all experiments (n = 42) of each hiPSC line, we found that the mean percentage  
153 of positive cells is 93% OCT4, 98% SOX2 and 92% NANOG (Fig. 2F). This finding is in good agreement with  
154 the above-described findings at the single 3D colony level, suggesting an overall homogeneity of the stem cell  
155 culture.

156 Note that, if capsules culture was prolonged beyond 7 days, despite drastic changes of topology from cyst to  
157 aggregate (Fig. S2 C-E and Movie S4), the stemness of the hiPSCs was not affected, as revealed by OCT4 and  
158 SOX2 staining before and after lumen collapse (Fig. S2 B-C), suggesting that harvest timing is not critically  
159 stringent with respect to the stemness maintenance.

160

### 161 **Pluripotency and genomic integrity of encapsulated 3D hiPSC colonies**

162 While OCT4, SOX2 and NANOG are often considered as pluripotency markers, they actually are stemness  
163 markers. To assess more thoroughly the pluripotency and validate the quality of hPSCs upon 3D culture in their

164 ability to differentiate as bona fide pluripotent stem cells, the most commonly used assay is the trilineage  
165 differentiation assay (33), in which stochastic differentiation is induced. Following a standard protocol (34) (see  
166 Materials and Methods section), decapsulated and dissociated hiPSC (from the three available cell lines) were  
167 driven towards early differentiation, as shown by the stainings for specific markers of the 3 germ layers: namely  
168  $\beta$ -tubulin (TUJ1) for ectoderm, smooth muscle actin ( $\alpha$ -SMA) for mesoderm and  $\alpha$ -fetoprotein (AFP) for  
169 endoderm (Fig. 3A). Even though the expression level may differ from one cell line to another, all stainings are  
170 positive and clearly reveal a differentiation into the three germ layers.

171 To further quantify the differentiation potential after 3D culture within capsules, we used qPCR Scorecard™  
172 assay to evaluate the transcription profile of the cells obtained in the trilineage assay (Fig. 3B). The set of 94  
173 previously validated qPCR markers of self-renewal, ectoderm, mesendoderm, mesoderm and endoderm (35, 36)  
174 was used to compare standard 2D culture and 3D culture-in-capsules. Fig. 3B shows that, for a given marker and  
175 a given cell line, there is a striking similarity between the transcription signatures in 2D and 3D culture  
176 conditions, indicating that pluripotency assessed as the *in vitro* differentiation capability of hiPSC clones is  
177 definitely not altered in our encapsulated 3D colonies. A pooled analysis by germ layer (Fig. S4) confirms similar  
178 differentiation profile between 2D and 3D stem cells.

179 Finally, to control genomic integrity of the 3D colonies, we performed high resolution SNP (single nucleotide  
180 polymorphism) arrays before and after amplification within the capsules (Fig. 3C and Fig. S5) (37). Comparative  
181 SNP analysis showed the absence of aneuploidies, deletions or duplications, as evidenced by the superimposable  
182 karyotypes. The high degree of SNP concordance (>99.8% for all hiPSC lines) before and after encapsulation  
183 confirms cell line identity (Fig. 3D).

184

### 185 **Comparative growth of 3D hiPSC colonies at the scale of a single capsule, in a static suspension and in** 186 **bioreactors**

187 To evaluate whether the strategy to produce 3D colonies in ECM-coated capsules impacts the growth and  
188 expansion rates of hiPSC, we performed a series of systematic experiments to probe the cell growth kinetics. The

189 standard 2D cell cultures were taken as a control. Since the amplification factor is defined as  $AF=N(t_0+Dt)/N(t_0)$ ,  
190 where  $N(t_0)$  and  $N(t_0+Dt)$  are the cell numbers at the initial time  $t_0$  and  $t_0+Dt$  respectively, direct cell counting at  
191 day 6 after passaging give a mean  $AF_{2D}(t=6 \text{ days})\sim 13$ . Since, by definition,  $AF(Dt)=2^{Dt/PDT}$ , with PDT the cell  
192 population doubling time, by pooling amplification factors at different harvesting time, we obtain a mean  
193  $PDT_{2D}=34h \pm 5$  hours for iPS C line, which falls within the range of data reported in the literature (14, 15, 38).

194 Then, in order to characterize the growth of individual encapsulated 3D hiPSC colonies, we cultured them in 35  
195 mm petri dishes (typically as few as 10 capsules in a volume of medium  $\sim 5$ ml, permitting to conserve the same  
196 medium for the whole course of the experiment without any risk of nutrient depletion and acidification). We  
197 performed time-lapse phase contrast imaging over a one-week period. We assume that cell volume remains  
198 constant, which allows us to derive  $AF_{capsule}(Dt)=V(t_0+Dt)/V(t_0)$  by measuring the volume of the cyst  $V(t)$  from  
199 image analysis:  $V(t)=\frac{4\pi}{3}(R_{out}^3 - R_{in}^3)$ , where  $R_{in}$  and  $R_{out}$  are the average internal and external radii of the cyst

200 (see notations on Fig. 4A). Figure 4B shows the evolution of  $AF_{capsule}$  as a function of time for individual  
201 encapsulated 3D colonies. One immediately observes that  $AF_{capsule}(t=7 \text{ days})= 212$ . Additionally, since AF  
202 increases exponentially as  $AF(\Delta t) = \exp\left(\frac{\ln 2 \cdot \Delta t}{PDT_{capsule}}\right)$ , one finds  $PDT_{capsule} = 22 \pm 1$  hours.

203 With the perspective of scaling up the production of hiPSCs, we also investigated how the growth of encapsulated  
204 3D hiPSC colonies was impacted when cultured in conditions of i) static bulk suspension in standard T-Flasks  
205 (Fig. 4B) and ii) stirred suspension in a benchtop bioreactor (Fig. 4C). STBRs are the most common bioreactors  
206 used to culture biological agents for biotechnological applications. Besides their capacity to monitor and adjust the  
207 pH and oxygen partial pressure and to refresh the medium, the mechanical agitation provided by the impellers  
208 allows better fluid mixing and oxygen transfer ability as compared to static suspension (39). However, the  
209 drawback may also be that the shear stress induced by the impellers was shown to cause deleterious effects such as  
210 cell death or decrease in cell growth in aggregate- or microcarrier-based cultures (40, 41). Practically, we loaded  
211 capsules from a same batch in T-flasks and in a STBR at the same initial density. The bioreactor impeller  
212 rotational speed was set to 150 rpm, which is sufficient to maintain medium homogeneity and avoid capsule  
213 sedimentation. We could not detect any change in the shape of the capsules and 3D colonies under these stirring

214 conditions. Then, after dissolution of the alginate shell and dissociation of the cysts, we counted the stem cells in  
215 both static and stirred culture conditions to derive the amplification factors AF in time. We found  $AF_{flask}(7\ days)=$   
216  $109 \pm 6$  and  $AF_{bioreactor}(7\ days)= 104 \pm 18$  (Fig. 4D). From the values of the characteristic times for the  
217 exponential variation of AF, we could calculate, as explained above,  $PDT_{flask}= 25 \pm 6$  h and  $PDT_{STBR}=25 \pm 3$  h (Fig.  
218 4E). Three remarks can be made. First, these PDT values are significantly lower than the ones derived from 2D  
219 cultures, indicating again that the expansion is greatly improved in 3D, as evidenced by the low number of dead  
220 cells in capsules (Fig. 4F) as compared with 2D colonies (Fig. S6). Second, the AF values are about twice as low  
221 as the one derived from the measurements at the single capsule level. Third, the absence of statistical difference  
222 between the two culture systems suggests that, while the impeller-induced shear stress does not affect cell  
223 viability, stirred suspension culture in a benchtop bioreactor with expected better homogenization does not  
224 enhance the expansion under the experimental conditions selected here.

225 Additionally, we performed flow cytometry analysis and found that more than 92% of the cells are positive for  
226 SOX2, NANOG and OCT4. Stemness thus remains high and similar between static and stirred cultures (Fig. S7),  
227 indicating that, by contrast with previous reports (42), shear stress does not trigger the differentiation of hiPSC  
228 colonies grown in hollow capsules. Finally, in order to confirm that the amplification factors reported above are  
229 not hiPSC line specific, we carried out the same series of experiments for the other 3 cell lines in static culture  
230 (Fig. 4E). Not only are the differences between cell lines not significant, but their PDT in 3D is also found very  
231 close to the value derived for the commercial hiPSC line that we have extensively investigated in this section, i.e.  
232  $PDT_{flask}= 27 \pm 2$  h by averaging over all 3 cell lines.

### 234 **Optimized culture conditions: Impact of capsule size and oxygen tension**

235 As shown above, the static or stirred batch cultures of hiPSC colonies in capsules yielded amplification at day 7  
236 about twice as low as the one measured at the single capsule level. Although this difference only corresponds to a  
237 3h difference in PDT, we sought to address this issue and find out solutions to further improve the amplification  
238 of hiPSC in batch for large-scale production. We investigated the impact of two possible parameters.

239 First, we tested whether hiPSC amplification depends on the cell seeding density. The most obvious way would  
240 be to increase the volume fraction of cells in the core solution loaded to the microfluidic injector. However, this  
241 would lead to earlier and more frequent harvesting. Instead, we pursued a different strategy. We kept the cell  
242 density constant but increased the size of the capsules by changing the size of the nozzle (28). Doing so, for a  
243 given volume of the encapsulation cell suspension, the number of produced capsules is indeed reduced by  
244  $(R_{big}/R_{small})^3$  but the mean number of cells per capsule,  $\lambda$ , is increased by the same fold. In the context of sparse  
245 distribution, Poisson statistics applies and the generation of 300  $\mu\text{m}$  in radius capsules instead of 200  $\mu\text{m}$  leads to  
246 an increase in  $\lambda$  by about 3 fold. The immediate consequence is that the probability to have capsules containing no  
247 cell is decreased from about 8% to negligible ( $\sim 0.03\%$ ). But, more importantly, the probability to have capsules  
248 with only one cell that may die or exhibit some lag phase before proliferation goes from 20% to 0.3%. High  
249 occurrence of capsules loaded with only one cell is expected to lower the effective amplification factor and thus to  
250 increase the doubling time of the cell population. Fig. 5A shows representative phase contrast images of 300  $\mu\text{m}$   
251 in radius capsules filled with hiPSC colonies. Noteworthily, most capsules contain several cysts, suggesting that  
252 cyst growth was nucleated from several cell aggregates. More quantitatively, after monitoring the growth kinetics  
253 in benchtop STBR, derivation of the time constant reveals shorter doubling time in big capsules:  $PDT_{big} = 22\text{h} \pm 1\text{h}$   
254  $< PDT_{small} = 25\text{h} \pm 1\text{h}$  (Fig. 5B).

255 Second, we pursued along our physiomimetic approach. Among all factors that make a stem cell niche, we have  
256 already recapitulated interactions with the ECM. However, until now, we have omitted to consider oxygen  
257 tension, which is known to be naturally low in developing embryos (43). This low level of oxygen was further  
258 shown to be key to reduce mutation rates and epigenetic alterations (44, 45) as well as to improve the expansion  
259 rate (46) while reducing the probability of unwanted differentiation (47). We thus performed the same culture  
260 experiments in big capsules (300  $\mu\text{m}$  radius) by decreasing the dissolved oxygen level (DO) from 100% to 20%.  
261 (see Materials and Methods section). Under these hypoxic conditions, the population doubling time derived from  
262 the growth kinetics was found to be  $PDT_{big}^{hypoxia} = 20.4\text{h}$  (Fig. 5B), i.e, slightly but significantly shorter than in  
263 normoxic conditions. Remarkably, this value is better than the one found at the single capsule level in normoxia,



264 suggesting that the optimization of capsule size and oxygen tension allowed to upscale the production of hPSCs in  
265 a bench-scale bioreactor without any degradation of the expansion efficiency.

266 In order to assess whether this protocol is not only theoretically scalable but can be truly upscaled to an industrial  
267 level, we carried out a final experiment in duplicate in a 10 L STBR (Fig. 5C, S8 and Movie S5) by keeping all  
268 other parameters constant. Figure 5D shows the the expansion-fold as a function of time. The two curves from  
269 these two independent experiments are superimposable and we found  $AF_{10L\ STBR}(6.5\ days)=277$ , corresponding to  
270 a doubling time  $PDT_{10L\ STBR}=19.6$  h.

271 These data were obtained without passaging. In order to assess the robustness of the approach for a seed train of  
272 passaging and demonstrate that the technology can be integrated into a classical cell therapy production, we  
273 performed serial passaging. At harvest after about 7 days, the capsules were dissolved, the 3D colonies were  
274 dissociated and cells re-encapsulated following the same protocol (Fig. 6). We found that expansion-fold and  
275 stemness were preserved over two consecutive encapsulations within 14 days (Fig. S9).

276

## 277 **DISCUSSION**

278 In this work, we have developed an *in vitro* culture system for hiPSCs, that we named C-STEM (Fig. 6) and  
279 combines the benefits of biomimetic 3D culture and scalable bioreactor-based production. By contrast with other  
280 approaches using scaffold embedding in bulk matrix (48) or hydrogel beads (49, 50), our hollow capsules allow  
281 in-situ engineering of stem cell niche-like microenvironment. With biological and topological cues driving 3D  
282 self-organization of hiPSCs colonies that are reminiscent of epiblasts (51). While cell-cell interactions are not  
283 impaired due to the absence of enwrapping scaffold, the presence of the shell also provides mechanical protection  
284 against impeller damage and turbulence-induced so-called Kolmogorov eddies during stirring (52). Besides  
285 exploiting both the biomimetic and protective properties of the developed C-STEM platform, we have finally  
286 refined the culture conditions by optimizing the initial mean number of cells per capsule and the oxygen tension.  
287 Combination of all these critical factors allowed us to upscale the production of hPSCs and demonstrate that the



288 amplification efficiency is scale-independent. In particular, we could reach 282-fold amplification in 6.5 days in a  
289 10 L stirred-tank bioreactor.

290 To the best of our knowledge, this level of both amplification and scalability is unmatched in the field (15, 53).

291 This technical and quantitative tour de force is actually related to the higher cell viability obtained in our hollow  
292 capsules as compared with 2D cultures or other 3D suspension cultures. Since the amplification factor  $AF(t)$  of a

293 culture system is given by  $AF(t) = 2^{t/PDT}$ , where PDT is the population doubling time and accounts both for cell

294 division and cell death, we may rewrite it as  $AF(t) = 2^{(k_+ - k_-)t}$ , where  $k_+$  and  $k_-$  are respectively the division and

295 death rate of cells. Thus, the upper theoretical limit for AF is obtained for  $k_-=0$  (i.e. infinite death time), which

296 then yields a minimal  $PDT_{min}$  value, equal to  $k_+$ . Quite surprisingly, the measurement of  $k_+$  or the duration of the

297 cell cycle  $t_+=1/k_+$  of hiPSC has been overlooked in the literature. The sole report we are aware of arises from a

298 recent work in which cell cycle kinetics was tracked using a rainbow reporter in primed pluripotent stem cells

299 (54). The obtained cell cycle duration was found to be  $t_+\sim 14$  h. While the question of “gemellarity” between

300 embryonic stem cells (ESC) and hiPSC is still under debate (55), this value is also consistent with previous

301 estimates of  $t_+=11-16$ -h for the cell cycle duration in human and mammalian ESC (56–58). By assuming that the

302 duration of the cell cycle in the 3D cyst topology is identical to the value obtained in 2D cultures with  $AF(t=6.5$

303 days)=282 corresponding to  $PDT=19$ h, we find that the doubling time of the encapsulated hiPSC colonies is only

304  $5\text{h}\pm 2\text{h}$  longer than the intrinsic cell cycle duration. The difference corresponds to a death rate  $k_-=1/53\text{h}^{-1}$ . By

305 comparison, in the seminal Yamanaka’s paper (14), doubling times in 2D hiPSC colonies of about 45 h indicate

306 that  $k_-=1/20\text{h}^{-1}$ . More meaningful than the death rate  $k_-$ , the fraction of dead cells can be roughly estimated as

307  $\phi_{dead} \approx \frac{2^{k_-t}}{2^{PDT}} = 2.6\%$  at  $t=6.5$  days, while cell counting gives 1.30% in bioreactors and 1.97% in flasks (Fig.S6). In

308 2D cultures, we measured a fraction of dead cells of the order of 12% at harvest, even though this value under-

309 estimates the cumulated mortality which is drastically impacted by cell passaging and confluency (59). Further

310 expansion improvement is theoretically within reach by vanishing the cell death rate. Taking again  $t_+\sim 14$  h for the

311 cell cycle length of hiPSC, the glass ceiling is calculated to be  $AF_{max}(t=6.5\text{ d})\sim 2200$ . However, the value of  $t_+\sim 14$

312 h cannot be taken as granted. For instance, a cell density-dependence on the proliferation rate has been reported.

313 Indeed, hPSC exhibit a decelerated proliferation due to a prolonged G1 phase as cell density increases (60).  
314 Similarly, smaller expansion folds were observed when the inoculation density either as single cells or pre-  
315 clusters in a synthetic hydrogel exceeds  $10^6$  cells/mL (48). We may then anticipate that the actual average cell  
316 cycle duration could be longer than the one reported above upon single-cell lineage tracking in small colonies of  
317 hPSCs. As a consequence, even though future work should aim at a rigorous *in situ* measurement of the division  
318 rate within the encapsulated hiPSC cysts, we cannot exclude that the unprecedented hiPSC expansion rates  
319 reported in this work are approaching the glass ceiling.

320 Among other specificities of the C-STEM technology, we mentioned the protective role of the alginate shell and  
321 the scale-independence of the culture conditions. By contrast with other suspension cultures that need to design  
322 specific low-shear impellers (e.g. the vertical-wheel bioreactor, (61, 62), or to add shear-dampener polymers (e.g.  
323 pluronics, (24)) in order to avoid stirring-induced cell damages, our capsules permit the use of standard industrial  
324 scale bioreactors.

325 However, all the benefits cannot be assigned to this sole shielding effect. Indeed, previous works had already  
326 proposed to embed either hESC aggregates or microcarriers within hydrogel beads (which are referred to as  
327 capsules in these original works) (50) to improve cell viability. Nonetheless, expansion rates were not reported to  
328 be larger than 10 in 19 days. Similarly, two recent works describe stem cells encapsulation in hollow capsules (63,  
329 64). However, the absence of ECM leads to the formation of aggregates and a modest amplification (estimated to  
330 70-fold in 8 days from the size of the encapsulated spheroids). We thus propose that the significant amplification  
331 increase obtained with the C-STEM technology mostly originates from the stem cell niche-like environment that  
332 is engineered within each capsule and that drives hiPSC multicellular organization into cysts.

333 Interestingly, numerous studies have recently proposed biomimetic controllable environments that can be used to  
334 develop hPSC-based embryo models and more specifically epiblast models (22, 65, 66). In all cases, these 3D  
335 stem cell niche mimics drive PSCs self-organization, luminogenesis, and polarization into pseudo-stratified  
336 epithelia (19–21). This cyst configuration, which seems to be key in developmental processes, may be regarded as  
337 an optimized configuration for hPSC expansion with minimal loss of viability (67). First, it is well accepted that

338 2D hiPSC cultures exhibit intra-colony heterogeneities in pluripotency marker genes (68), viability (69) and cell  
339 morphology (70), which are very striking between the center and the edges of the colonies. In this respect, the  
340 closed spherical symmetry of a cyst intrinsically suppresses the “center-edge phenotype” and may result in more  
341 homogeneous cell population (71). Additionally, whereas cellular crowding or compaction are known to inhibit  
342 proliferation or even trigger apoptosis via caspase-dependent mechanisms (72) a cyst configuration is less prone  
343 to stress building in bulk due to the presence of a lumen. Similarly, fast proliferation rate may contribute to stress  
344 relaxation and reduce cell extrusion occurrence as observed in epithelia under compression (73, 74). Besides  
345 mechanical stress, chemical stresses are known to increase the mutation rate (44, 75). The use of bioreactors with  
346 precise adjustment of physioxia, pH, lactate, glucose and nutriments supply is thus instrumental and could be  
347 optimized beyond the present achievement.

348 Finally, relying on the observation that chromosome segregation fidelity is unambiguously higher in native  
349 contexts of epithelia of primary cells (76, 77), it also becomes tempting to speculate that, beyond the gain in  
350 amplification it provides, the preserved histology of our *in-vitro* epiblast-like colonies could also be beneficial to  
351 the maintenance of the genetic integrity (78).

352

353 In summary, our work has shown that hollow alginate capsules with reconstituted niche-like microenvironment  
354 can promote the formation and growth of 3D hPSC colonies and provide the necessary protection for scaling up  
355 the production in stirred tank bioreactors. Self-organized encapsulated epiblast-like colonies seem to be  
356 instrumental for optimal expansion by preserving stem cells physiological properties. We have demonstrated that  
357 our biomimetic stem cell platform C-STEM can deliver unprecedented scalability and we anticipate that cell  
358 quality is preserved on the basis of extremely high viability, which is taken as a primary signature of cell fitness.  
359 Future works should focus on assessing the hPSCs quality in *in vivo*-like culture systems, since the emergence of  
360 mutations during culture may be the last limitation to overcome for cell therapy bioproduction.

## 361 **MATERIALS AND METHODS**

### 362 *Ethics statements*

363 The generation, use and storage of hiPSCs were performed with approval from the “Comité de Protection des  
364 Personnes” (CPP) Ile de France (DC 2015-2595 and 2016-A00773-48).

### 365 *Human pluripotent stem cell lines*

366 Throughout the present work, we used 4 hiPSC lines. Among these, 3 hiPS cells, namely IMAGINi004-A  
367 (referred to as iPS004), IMAGINi005-A (iPS005) and IMAGINi013-A (iPS013) were derived from peripheral  
368 blood mononuclear cells (PBMC) according to the protocol described in (34). Briefly, PBMCs were transduced  
369 using the CytoTune-iPS 2.0 Sendai Reprogramming Kit (ThermoFisher Scientific) following the manufacturer's  
370 instructions. After 2-3 weeks, colonies were manually picked and expanded at least 10 passages. The 4<sup>th</sup> hiPSC  
371 line is a commercial line from ThermoFisher: Gibco™ episomal hiPSC line (A18945) generated using cord blood  
372 derived CD34+ progenitors with 7 episomally expressed factors (Oct4, Sox2, Klf4, Myc, Nanog, Lin28, and  
373 SV40 T). This commercial cell line is referred to as iPS C. For the sake of availability, in order to allow other  
374 groups to reproduce our findings, all experiments reported here were performed with iPS C, except for those that  
375 are described in Figures 2F, 3A,B,D 4E and Figures S4 S5, which were carried out to demonstrate that the  
376 findings were not cell line-dependent.

### 377 *2D hiPSC culture*

378 All hiPSC lines were maintained on Matrigel (Corning Ref. 354234) and cultured in mTeSR1 medium (StemCell  
379 Technologies 85875). Cultures were fed daily, passaged with an enzyme-free reagent, ReleSR (StemCell  
380 Technologies 05873) every 3-6 days (around 80% confluency) and replated as small clusters (between 100 and  
381 200  $\mu\text{m}$ ) at a density of about 5000-10000 cells/cm<sup>2</sup>. Cells were cultured at 37°C in a humidified atmosphere  
382 containing 5% CO<sub>2</sub>.

### 383 *3D hiPSC Encapsulation*

384 Prior to encapsulation, 2D stem cell colonies were detached using ReLeSR for 1 minute and dissociated into a  
385 near single cell solution using Accutase (Stem Cell Technologies 07920). HiPSCs were then mixed in a 50/50

386 volume ratio with Matrigel at 4°C to keep the suspension in a liquid state. The final concentration of cells in the  
387 cell/matrix solution was thus between 0.4-1.0×10<sup>6</sup> viable cells/mL, referred to as the encapsulation density. The  
388 encapsulation system is similar to the one described in (29). In brief, ethylene tetrafluoroethylene (ETFE,) tubings  
389 are connected to the three inlets of a 3D printed (using the DLP Micro Plus Hi-Res printer from EnvisionTEC)  
390 microfluidic co-laminar flow device. An extruded and polished glass microcapillary tip (of diameter ~100 μm for  
391 most experiments reported in this work, at the exception of those shown in Fig. 5A-B that were carried out with a  
392 nozzle diameter of 150 μm) is glued to the outlet of the nozzle for a better control of the flow. The cell/matrix  
393 suspension is loaded into the inner channel of the 3-way device, which is kept refrigerated thanks to an in-line  
394 cooling system in order to prevent premature gelation of Matrigel. A solution of sodium alginate (Novamatrix  
395 Pronova SLG100, 0,25 g #4202101 at 2% in distilled water) is injected into the outer channel. To prevent alginate  
396 gelation within the microfluidic device due to calcium release from the suspended cells, a calcium-free solution  
397 (Sorbitol 300mM, Sigma-Aldrich 85529) is used in the intermediate channel of the co-extrusion chip and serves  
398 as a barrier against calcium diffusion. Typical flow rates for the 3 solutions were on the order of 120 ml/h for all  
399 three channels: (alginate solution, the sorbitol solution and the cell+matrix suspension). At these rates, the  
400 composite solution forms a liquid jet that fragments into droplets (of about twice the size of the nozzle) due to the  
401 spontaneous Rayleigh-Plateau instability. To avoid subsequent coalescence of the train of droplet, an alginate  
402 charging part and a copper ring are connected to a high voltage (2000V) generator are introduced. A high-speed  
403 camera (PHANTOM VEO 1310L) was used to visualize droplet formation and splay (Fig. S1 and Movie S1).  
404 When the composite droplets contact the collecting calcium bath (at 100mM), the outer layer of alginate readily  
405 gels. As a consequence, the inner cell/matrix solution remains entrapped inside a closed, spherical and  
406 permeable micro-compartment. Within 1 min following encapsulation, capsules are rinsed with medium (DMEM)  
407 to reduce the basal calcium concentration. Finally, they are transferred to suspension culture medium.

408 Re-encapsulation was performed by dissolution of alginate shells using short rReleSR rinse, followed by cell  
409 dissociation using TrypLE (Trypsin-based, dissociation enzyme, ThermoFischer) for 20 minutes at 37°. Then the  
410 obtained cells were processed following the classic encapsulation protocol.

411 ***3D stem cell suspension culture in static T-Flasks or bioreactors***

412 Static suspension cultures of encapsulated hiPSC were carried out using T-Flasks (from 5 to 30 ml) maintained in  
413 a cell culture incubator at 37°C and 5% CO<sub>2</sub>. The medium (mTeSR1) was supplemented with 10µM Y-27632 for  
414 ROCK inhibition only during the first 24 hours of culture. From culture day 3, the medium was exchanged every  
415 day as described hereafter. The contents of the T-Flasks were transferred into Falcon Tubes. After capsules  
416 sedimentation (within a few minutes), the supernatant was removed and replenished as the capsules were  
417 transferred back into a T-Flasks. The volume of culture medium was kept constant for the first 4 days of culture  
418 (~ 4× the capsules volume). Then, the volume was steadily increased every day in order to maintain a cell  
419 concentration below 10<sup>6</sup> cells/mL.

420 Stirred suspension cultures were performed in different bioreactors. For all experiments reported in Fig. 4 and Fig.  
421 5A-B, we used benchtop STBRs, including a 30 mL (Minibio, ABLE® Bioreactor Systems) or 500 mL  
422 bioreactors (Applikon Biotechnology & Global Process Concept). For the experiments reported in Fig. 5C-D, we  
423 used a 10 liter -scale bioreactor (Global Process Concept).

424 In all cases, the bioreactors were inoculated with 15% capsule-to-medium volume. The bioreactor culture starts at  
425 a volume representing 30% of the final working volume. At Day1, the medium was replaced with fresh medium  
426 without ROCK inhibitor. From day 2 to 5, the culture is performed in a fed-batch mode up to the final working  
427 volume (39). Then, we switched to repeated-batch mode, where 90% of the media was daily renewed to maintain  
428 sufficient nutrient supply. The final capsule-to-medium volume was  $4.2 \pm 0.3\%$  and the pH was maintained at  
429  $7.2 \pm 0.2$ .

430 Dissolved Oxygen (DO) level is calibrated at 100% in starting conditions by injecting air into the bioreactor  
431 headspace. During the run, the oxygen level is monitored and controlled. In normoxic conditions the oxygen is  
432 controlled at 100% while in hypoxic conditions the set point is at 20% DO. Oxygen level is regulated by sparging  
433 nitrogen and/or air to maintain the set point. 10L scale bioreactors were regulated in hypoxic conditions. During  
434 one week of culture, the stirring speed is set at 150 rpm that is sufficient to keep capsules resuspension and  
435 bioreactor homogeneity along the run.

436 ***Time-lapse microscopy of encapsulated cyst growth and image analysis***

437 Time-lapse microscopy was performed using a Nikon Biostation IM microscope with a 10x objective. Capsules  
438 containing hiPSCs were transferred to a 35 mm Petri dish 24 hours after encapsulation. Approximately 10 to 20  
439 capsules were placed in the petri dish containing 5 mL of fresh Y-27632-free mTeSR1 medium. Cyst growth was  
440 monitored over 7 days. Practically, images were taken every 6 to 10 minutes at preselected Z-focal planes to  
441 ensure acquisition at proper focus in case of undesired drift Image analysis was performed using ImageJ. The  
442 external and internal effective radii of the cysts,  $R_{out}$  and  $R_{in}$ , were measured from the equatorial corresponding  
443 cross sections  $S$  according to  $R_{out,in}=(S_{out,in}/\pi)^{1/2}$  after applying appropriate bandpass filters and thresholds. The  
444 volume  $V$  of the cells was calculated as  $V=4\pi/3(R_{out}^3-R_{in}^3)$ . Capsule circularity was defined as  $C=a^2/b^2$ , where  $a$   
445 and  $b$  are the short and long axes of the approximated ellipse.

#### 446 ***In vitro trilineage differentiation***

447 Small cell clusters were collected from hiPSC cultures (2D or decapsulated-dissociated 3D hiPSCs colonies) and  
448 transferred into low attachment dishes (Corning, Ultra-low attachment 6 well plate). Three-dimensional  
449 aggregates of cells that are an amalgam of the three developmental germ layers (Embryoid bodies) are obtained  
450 and cultured in suspension for 7 to 9 days with DMEM/F-12 medium containing 20% pluriQ Serum Replacement  
451 (GlobalStem), 1% non-essential amino acids, 1% penicillin-streptomycin and 0.2%  $\beta$ -mercaptoethanol  
452 (ThermoFisher Scientific) in a humidified atmosphere containing 5% CO<sub>2</sub>. Culture medium was refreshed every  
453 two days. EBs were then collected for RNA analyses or transferred onto gelatin-coated dishes for 1 week. For  
454 immunocytochemistry analysis, cells were fixed with 4% paraformaldehyde for 15 min at room temperature (RT).  
455 After washing in PBS/1%BSA blocking solution for 1 hour, cells were incubated overnight at 4°C with primary  
456 antibodies, washed 3 times in PBS, and incubated with secondary antibodies for 2 hours at RT. Antibodies were  
457 diluted in PBS/1%BSA/0.1%triton solution. The list of antibodies used in this work and their origin are listed in  
458 Table S1. Nuclei were stained with a DAPI solution. Immuno-fluorescence staining was analyzed using the  
459 Celena S™ Digital Imaging System (Logos Biosystems).

#### 460 ***RNA extraction and RT-PCR analyses***

461 Total RNA was extracted using the RNeasy Mini Kit (Qiagen). cDNA was synthesized using a high capacity  
462 cDNA RT kit (ThermoFisher Scientific) from 1 $\mu$ g of total RNA. The expression of pluripotency markers as well



463 as the trilineage differentiation potential of the cells were evaluated by TaqMan® hiPSC Scorecard™ assay  
464 according to the manufacturer's protocol. This scorecard compares the gene expression pattern of key  
465 pluripotency and germ lineage markers relative to a reference standard that consists of 9 different human ES and  
466 iPS lines. Data analysis was performed using the cloud based TaqMan® hiPSC Scorecard™ analysis software.

#### 467 ***DNA isolation, genomic stability and authenticity analysis***

468 DNA isolation was performed using the PureLink™ Genomic DNA Mini Kit (Invitrogen). Molecular karyotype  
469 was performed using an Infinium Core-24 v1.2 Kit (Illumina) containing 300000 SNPs. Data were analyzed with  
470 BeadStudio/GenomeStudio software (Illumina). The percentage of SNP concordance between iPSC samples  
471 before and 7 days after encapsulation was assessed for the 3 derived iPSC cell lines. SNP files of all samples were  
472 extracted from genome studio software. The percentage of concordance between two paired samples (before and  
473 after encapsulation) was evaluated by comparing the genotype of each informative SNP (Fig. S5)

#### 474 ***Flow cytometry analysis***

475 The hiPSCs colonies were dissociated with Accutase for 10 minutes at 37°C for 2D cultures or with TrypLE  
476 Select (ThermoFisher Scientific 11598846) for 30 minutes at 37°C for 3D cultures after capsule removal. Then,  
477 cells were fixed and permeabilized using the Transcription Factor Staining Buffer Set (ThermoFisher 11500597).  
478 Cells were suspended in the permeabilization buffer at a density of  $0.5-1 \times 10^6$  cells in 100  $\mu$ l and incubated with  
479 the specific antibodies or isotype controls (Table S1) for 45 minutes at room temperature in the dark. Cells were  
480 washed twice with the staining buffer and analyzed using either BD Canto II (at the TBMCORE CNRS UMS 3427  
481 – INSERM US 005) or a BD Accuri™ C6 plus and data was post-processed with FlowJo software.

#### 482 ***Cell growth and viability analysis***

483 Cell counting was performed using the Nucleo counter NC3000 or NC200 (Chemometec). Live/dead analysis was  
484 performed using CalceinAM/Ethidium homodimer-1 (ThermoFisher L3224) according to the manufacturer  
485 recommendations, and samples were imaged using either the EVOS FL or EVOS M5000 auto Imaging system  
486 (ThermoFischer).

#### 487 ***Immunostaining, Microscopy, and Image Analysis***



488 For daily brightfield imaging of 2D cultures and encapsulated hiPSC cysts, a widefield EVOS FL or EVOS  
489 M5000 automated microscope was used. Encapsulated 3D hiPSC colonies were harvested for confocal  
490 microscopy at several timepoints. The alginate capsule was removed prior to fixation by incubating the samples in  
491 PBS without divalent cations for at least 5 minutes with agitation at RT. Both 2D and 3D stem cell colonies were  
492 fixed with 4% PFA for 30-60 minutes at RT in the dark. Following fixation, the samples were washed 3x with  
493 0.1% Tween20 in PBS. A permeabilization step was done in parallel with excess PFA quenching in a PBS  
494 solution containing 0.3% Triton X-100 and 100 mM Glycine for 30 minutes, followed by 3x washing with 0.1%  
495 Tween20 in PBS. The samples were incubated in primary and secondary antibodies (Table S1) in 1% BSA +  
496 0.1% Tween20 in PBS overnight at 4° with gentle orbital agitation, including a 3x rinsing with 1% BSA + 0.1%  
497 Tween20 in PBS after each incubation. To maintain alginate capsules during fixation and staining, the  
498 decapsulation step was skipped and all solutions (including 4% PFA) were supplemented with calcium and  
499 magnesium. All samples were imaged on either a Leica SP5 or SP8 confocal microscope (Bordeaux Imaging  
500 Center, BIC).

### 501 *Statistical Analysis*

502 All statistical analyses were performed using GraphPad Prism8. To test significant differences between bioreactor  
503 and flask, 2wayANOVA with sidak's multiple comparison test was used. To compare between 2 groups, a  
504 student T-test was used. All statistical significance is reported in terms of p-values <0.05.

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718

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726

727 **Author contributions** : KA NL MF designed the project and supervised experiments and analysis; PC performed  
728 the experiments, analyzed the data and wrote the article; Experiments were performed both at the Imagine  
729 Institute and at Treefrog Therapeutics (TFT). AL helped performing experiments, analysing the data and writing  
730 the article; EL FM ML designed bioreactor cultures and scale-up, EL JP HW EJ MD performed bioreactor  
731 cultures; JC EW EQ CB EP contributed to 2D cultures, encapsulations, trilineage assay and SNP analysis; BG  
732 contributed to data analysis and writing.; PVL proposed a mathematical formulation for PDT and viability. PN

733 helped analyze the growth of 3D colonies and write the manuscript. KA CR JH conceived and produced the  
734 microfluidic chips and performed high speed camera recordings.

735

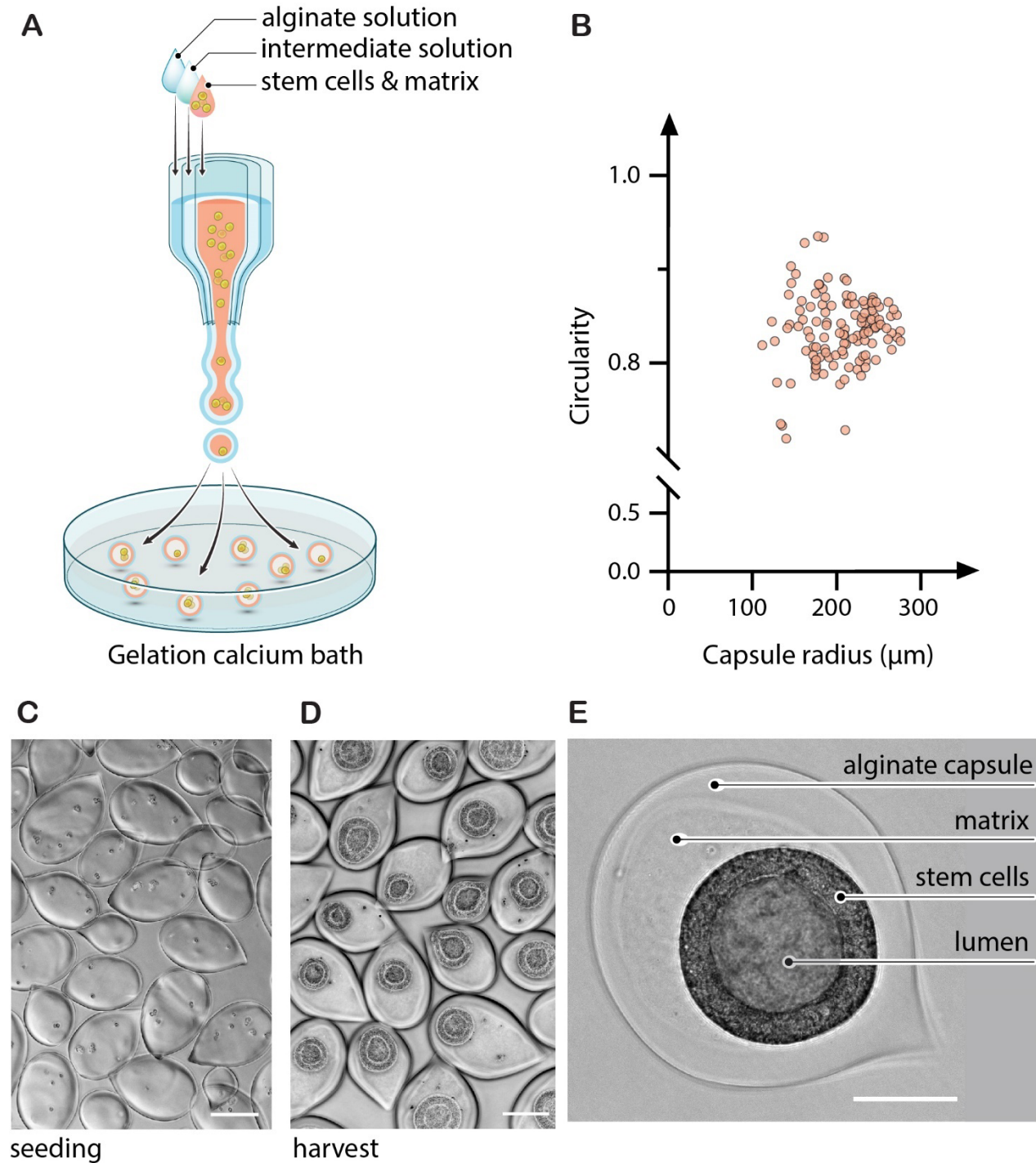
736 **Competing interests :** MF and KA are the founders of TFT; MF, KA, PC and PN are shareholders of Treefrog  
737 therapeutics. MF KA and PN have a patent pertaining to discoveries presented in this manuscript. Patent no:  
738 WO2018096277A1.

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740 **Data and materials availability :** All data are available in the main text or the supplementary materials.

741 **FIGURES AND TABLES**

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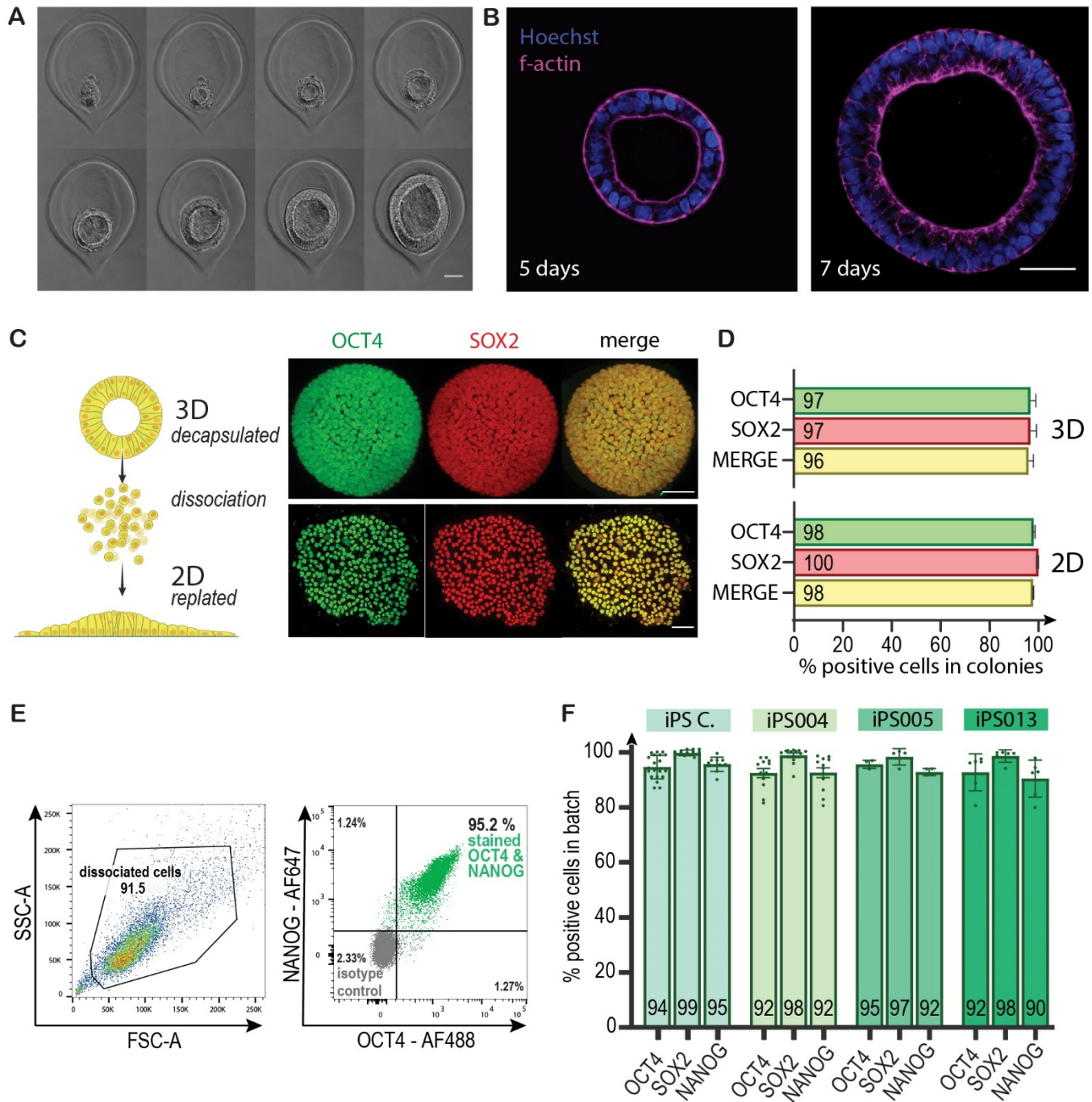


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744

745 **Fig. 1. Encapsulation of human pluripotent stem cells (hPSCs) and suspension culture of 3D lumenized**  
746 **colonies**

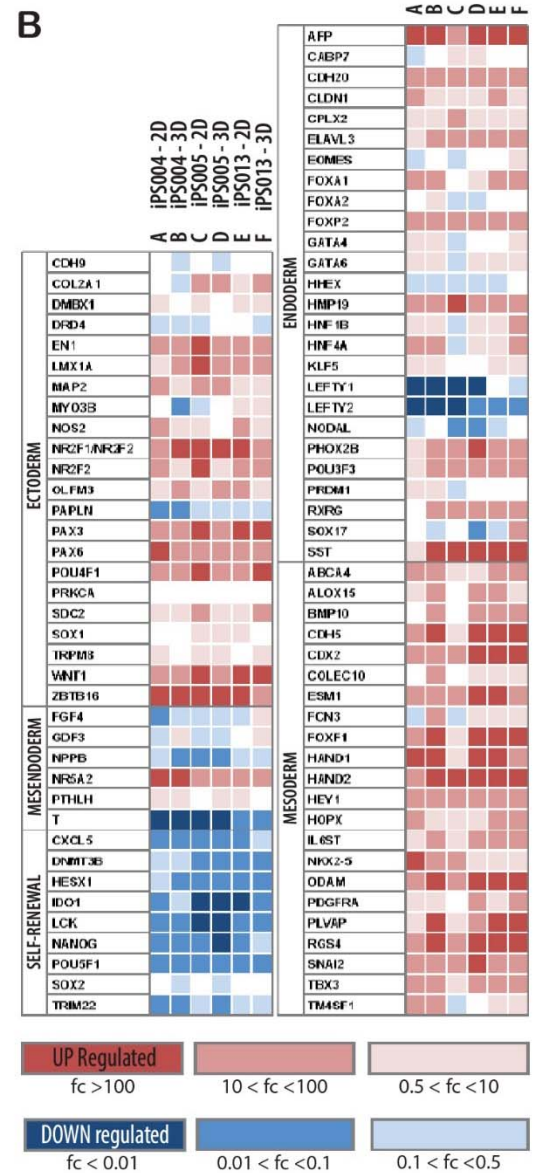
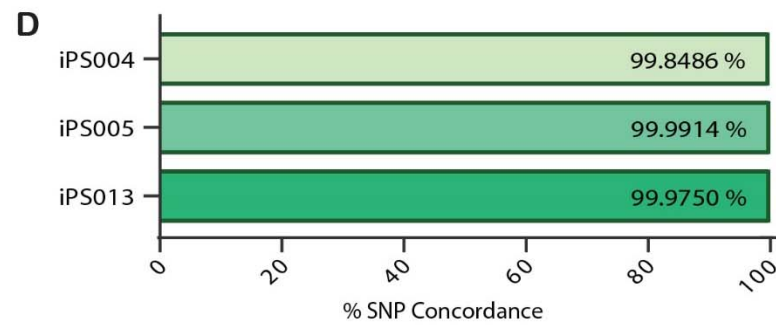
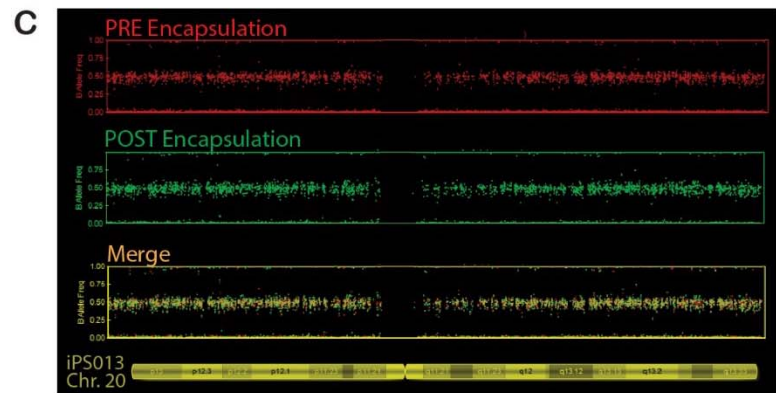
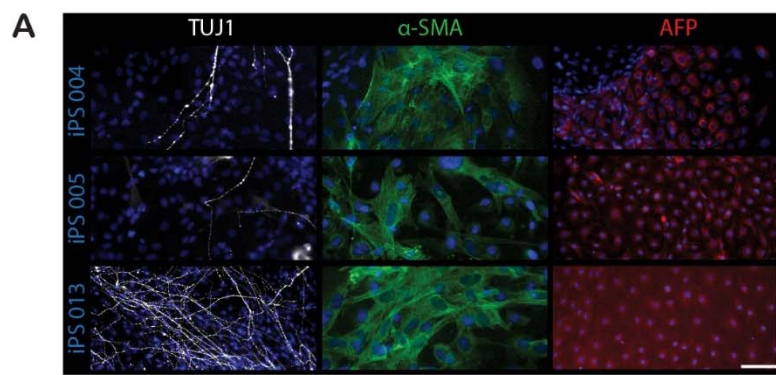
747 **(A)** Working principle of the microfluidic encapsulation technique. Co-extrusion of three co-axial flows generates  
748 composite cell-and extracellular matrix (ECM)-laden droplets. The outer layer composed of alginate solution  
749 undergoes gelation upon contact with a calcium bath. Cells are entrapped in the core-shell capsules and ECM  
750 condensates onto the internal wall to form a niche-like environment. **(B)** Morphometric analysis of the capsules.  
751 Graph of capsule circularity as a function of capsule radius for a representative batch of capsules (n=125). **(C-D)**  
752 Phase contrast micrographs of the encapsulated hPSCs after seeding at day 0 (C) and before harvest at day 7 (D)  
753 of the suspension culture course. Scale bar is 200 $\mu$ m. **(E)** Magnified phase contrast image showing the hollow  
754 alginate capsule revealing the cyst architecture of the encapsulated hPSC colony. Scale bar=100  $\mu$ m.





757 **Fig. 2. Morphology, growth and stemness of *in capsulo* self-assembled 3D hPSC colonies**

758 (A) Snapshots of phase-contrast microscopy images showing the growth of an 3D hPSC colony. The time interval  
759 between successive images is 12h. The scale bar is 50 $\mu$ m. (B) Confocal image of the equatorial plane of a hPSC  
760 colony grown in a capsule at day 5 (left) and day 7 (right) cytoskeletal actin is stained in purple (Phalloidin) and  
761 nuclei in blue (Hoechst). Scale bar=50 $\mu$ m. (C) Left: Cartoon explaining how 3D hPSC colonies are dissociated  
762 and replated to form 2D colonies. Right: Immunostaining of a representative encapsulated 3D colony (upper  
763 panel, scale bar=50 $\mu$ m) and a 2D colony obtained after replating (lower panel, scale bar=100 $\mu$ m): OCT4 (green)  
764 SOX2 (red) and Merge (OCT4/SOX2). (D) Percentage of cells positive for markers of stemness among 4  
765 representative colonies co-stained for OCT4 and SOX2 in 3D capsules (upper panel) and in 2D (lower panel).  
766 Number of counted nuclei: n = 1159 for 3D and n=671 for 2D cells, see also Fig. S3). Error bars show the  
767 standard deviation of the mean. (E) Flow cytometry dot-plots for stemness markers (OCT4 and NANOG) of a  
768 batch of 3D hPSC colonies after 7 days of culture (T-Flask). (F) Histograms showing the percentage of OCT4,  
769 SOX2 and NANOG positive cells in 3D hPSC colonies (culture in T-Flask) analyzed by flow cytometry at 7 days  
770 post encapsulation for 4 iPS cell lines (with n $\geq$ 3 independent biological replicates per cell line, n=42 total  
771 number of experiments). Error bars represent the standard error of the mean.





774 **Fig. 3. Maintenance of pluripotency and genomic integrity in 3D hPSC colonies**

775 (A) Microscopy images of immunohistochemistry-based trilineage assay of 3 iPSC lines with 4 stainings: TUJ1

776 (White, early ectoderm),  $\alpha$ -SMA (green, early mesoderm), AFP (Red, early endoderm), Dapi (Blue). (B)

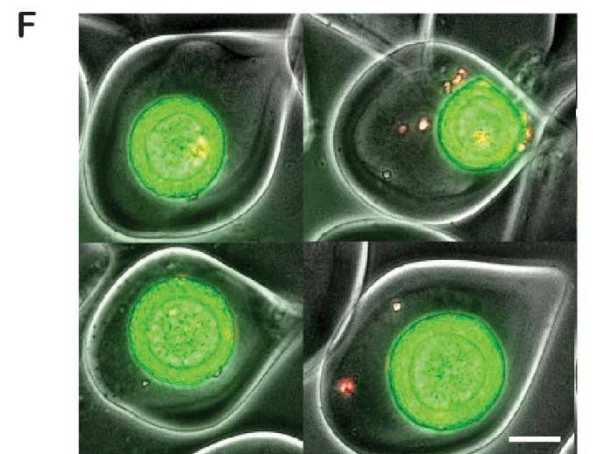
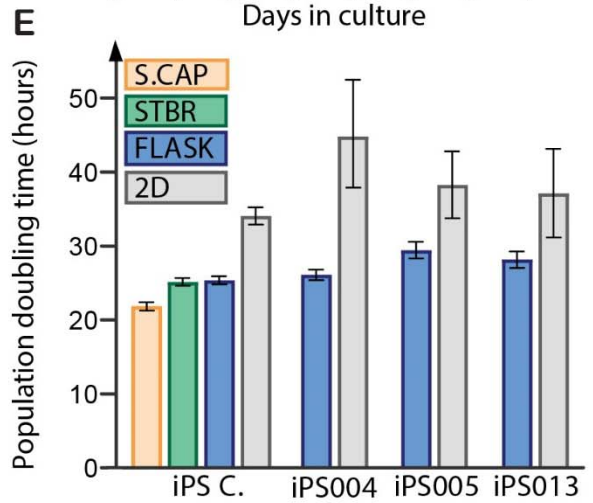
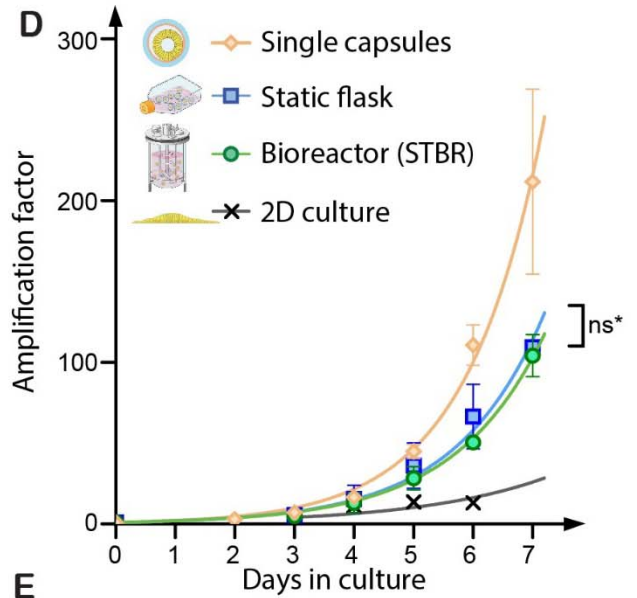
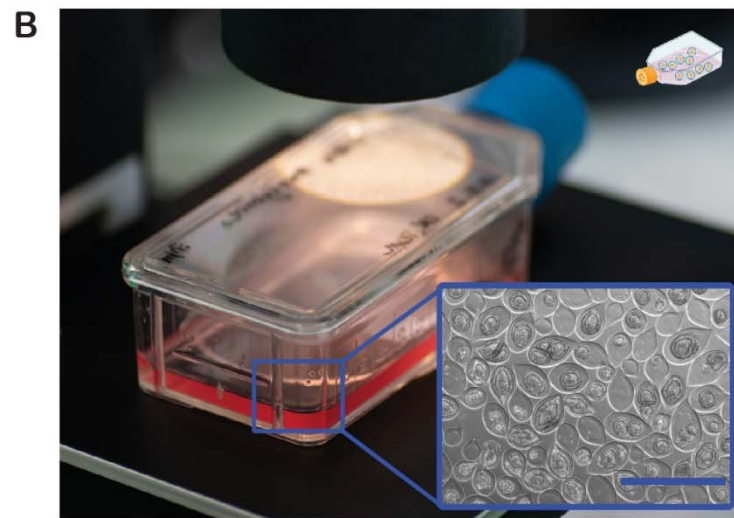
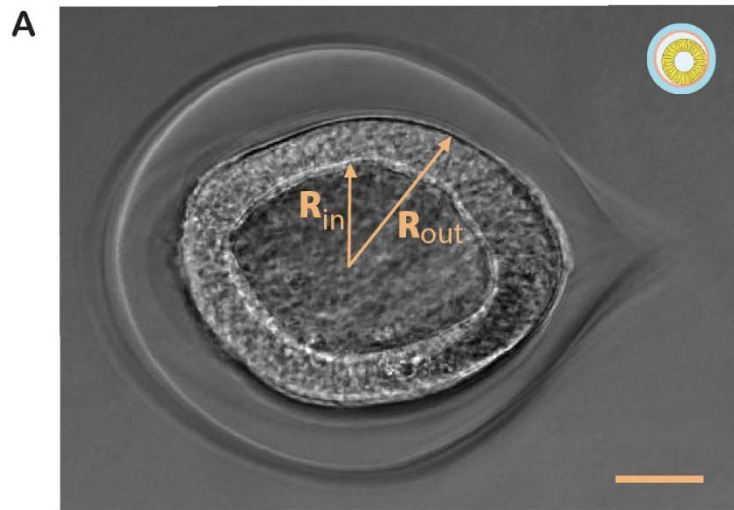
777 Scorecard™ differentiation assay comparing 3 iPS cell lines expanded in 2D and 3D encapsulated hPSC colonies.

778 (C) Comparison of high-resolution SNP arrays before and after one-week of encapsulation for iPS013 cell line :

779 Zoom on chromosome 20 for pre-encapsulation (red) and post-encapsulation (green). The merge (yellow) is

780 shown to highlight the absence of duplications and deletions. (D) Quantitative analysis yielding genotype SNP

781 concordance before and after one-week of amplification as encapsulated 3D colonies for 3 cell lines .

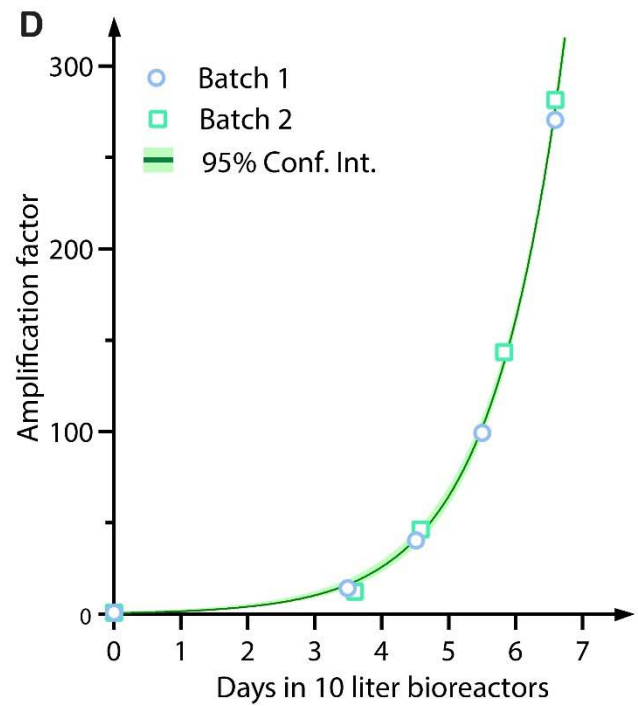
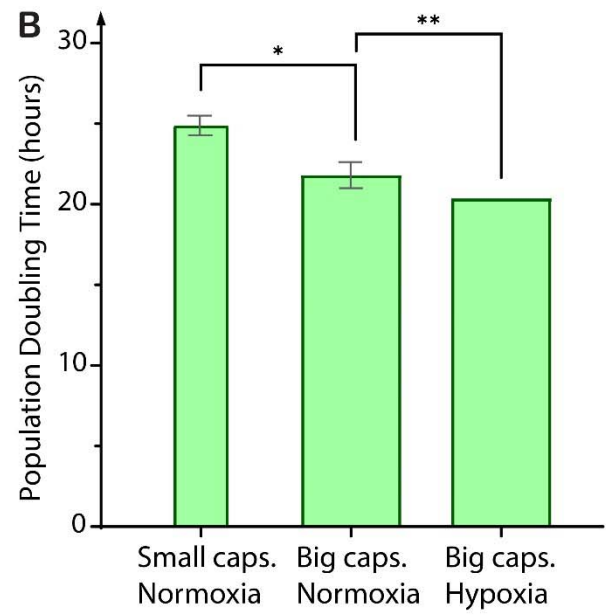
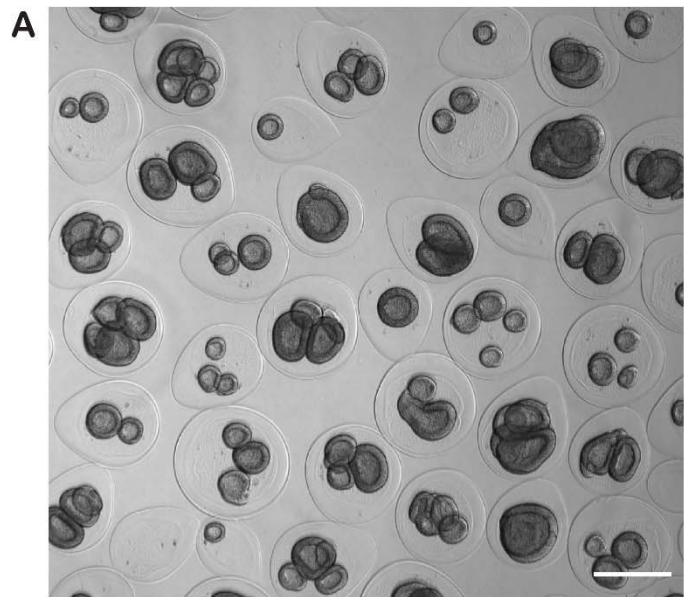


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783

784 **Fig. 4. Amplification of 3D hiPSC colonies at the scale of a single capsule, in a static suspension and in**  
785 **bioreactors**

786 (A) Micrograph of a 3D hPSC colony in a capsule and notations used in the next for the internal and external radii  
787 of the cyst. (B) Static suspension culture of encapsulated 3D hPSCs. Insert : Phase contrast image showing 3D  
788 hiPSCs colonies in capsules. Scale bar is 1000  $\mu\text{m}$ . (C) Stirred suspension culture of encapsulated 3D hPSCs in a  
789 500 ml benchtop STBR. Insert : Picture of the flowing capsules in the bioreactor. Scale bar is 4 mm. (D)  
790 Amplification factor as a function of time for single capsules (orange, n= 6), static culture (blue, n=2), stirred  
791 culture in a benchtop (volume 500ml) bioreactor (green, n=2), and conventional 2D cultures (grey). Last points in  
792 the graph correspond to the harvest time. (E) Population doubling time of encapsulated hPSC colonies in single  
793 capsules (S-CAP, orange), in a flask (FLASK, blue, n=42) and a benchtop bioreactor (STBR, green, n=2) and in  
794 standard 2D cultures (2D, grey). Error bars represent the standard error of the mean. (F) Fluorescence microscopy  
795 image of 4 representative encapsulated 3D hPSC colonies stained with Live/dead (green/red)). Scale bar is 100  
796  $\mu\text{m}$ . All data shown here were obtained with iPS C line, except panel 4D that collects data for the 4 available cell  
797 lines.



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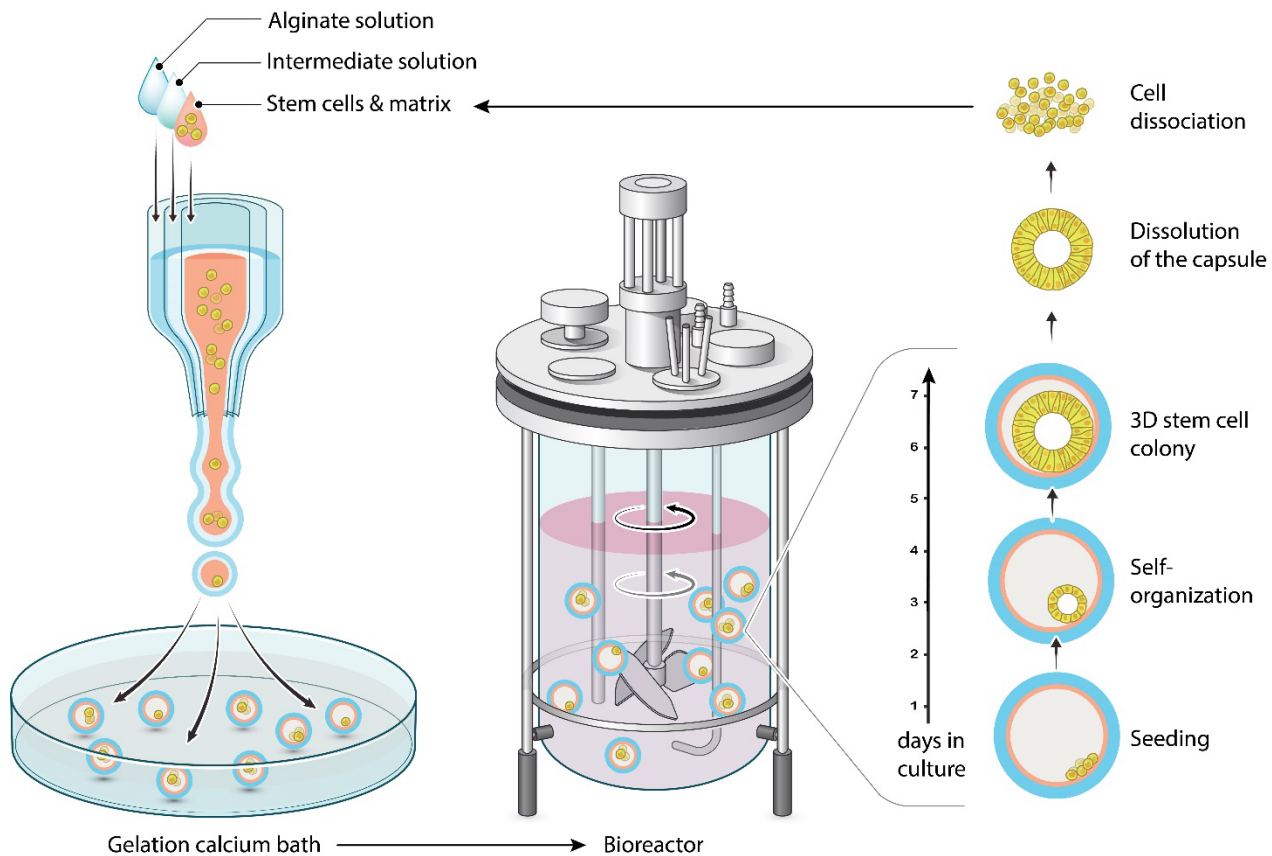
799

800 **Fig. 5. Impact of capsule size and oxygen tension on hPSC amplification and scalability in stirred tank**

801 **bioreactors**

802 (A) Phase contrast image showing 3D hiPSCs colonies in capsules referred to as “big” in the main text (with an  
803 average radius of 300 $\mu$ m). Scale bar = 500 $\mu$ m. (B) Population doubling time of encapsulated 3D hiPSCs colonies  
804 cultivated in benchtop bioreactors by varying the size of the capsules and the oxygen tension conditions  
805 (normoxic versus hypoxic). Mean and standard deviation (\* p<0,001 and \*\* p <0,01). (C) Picture of a 10 liter  
806 industrial stirred tank bioreactor used to test the scalability of the stem cell capsule culture system. (D) Graph of  
807 amplification factor of hiPSCs grown in 10 liter bioreactors over a week, in ‘Big capsules’ and hypoxic  
808 conditions; Data were obtained from 2 independent batches and from 2 independent encapsulations. Light green  
809 band shows the 95% confidence interval of the fitting curve.





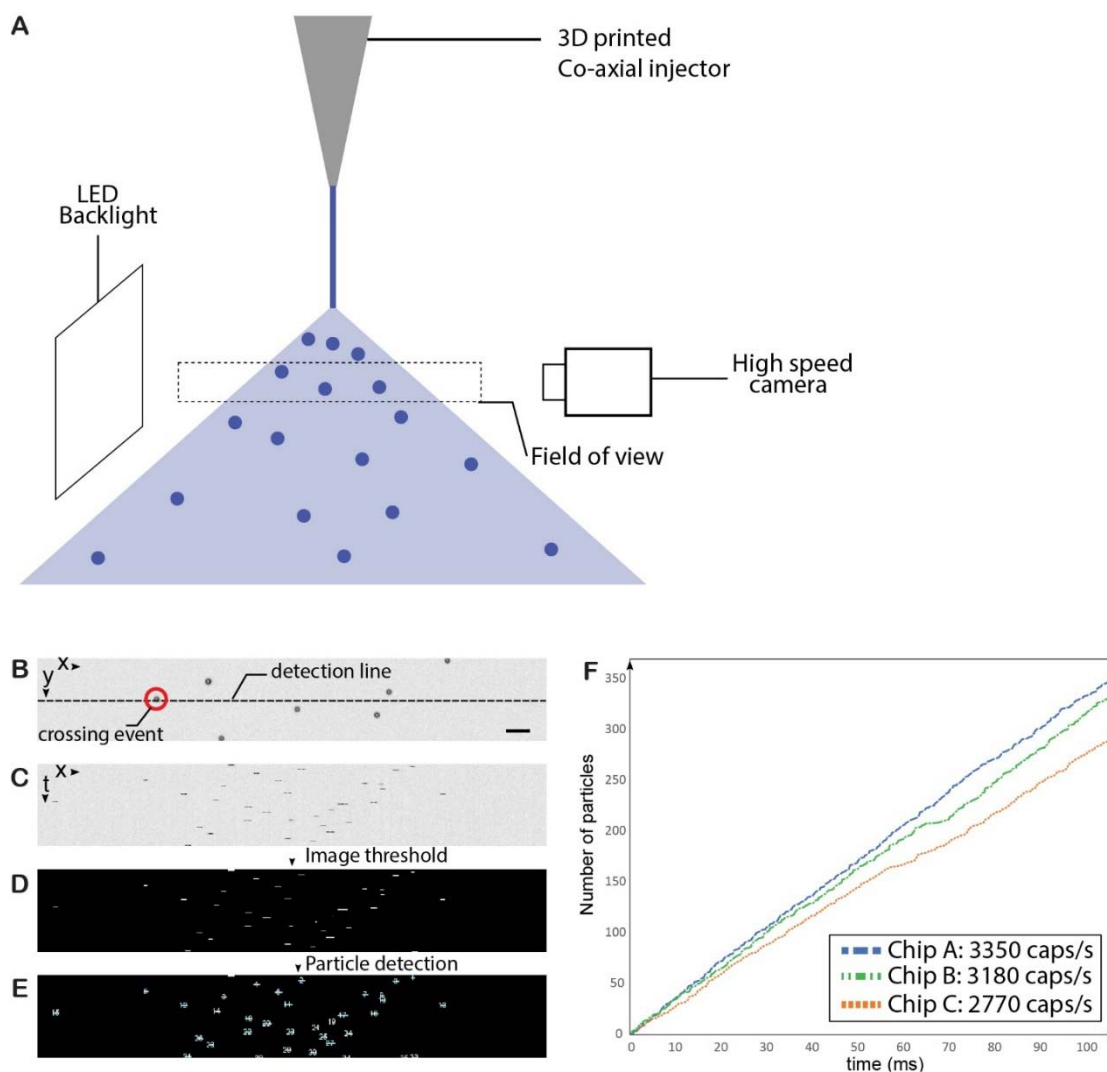
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813 **Fig. 6. C-STEM pipeline: encapsulation and scale-independent culture of encapsulated 3D hPSC colonies in**  
814 **bioreactors**

815 After encapsulation using the microfluidic extrusion technique (left panel), hPSC in matrix-laden capsules are  
816 transferred to suspension culture in a bioreactor (middle panel). Under controlled conditions provided by the  
817 bioreactor, hPSC cells self-organize into cysts which are protected by the capsules. These growing 3D colonies  
818 are harvested and dissociated after capsule dissolution. Subsequent cell suspension may then serve for another  
819 encapsulation and expansion.

820 SUPPLEMENTARY MATERIAL



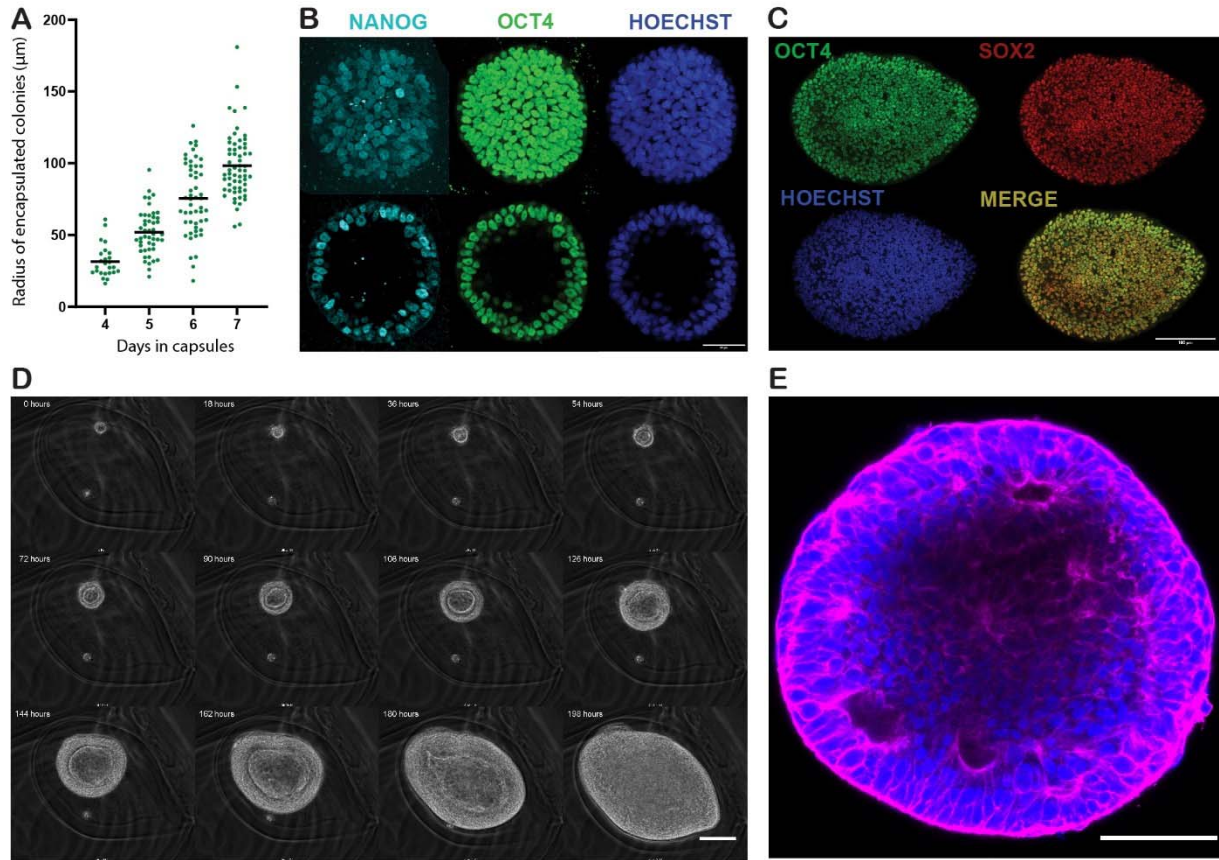
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823 **Fig. S1. Optical measurement of the capsule production rate.**

824 (A) Sketch of the experimental setup. The capsules exiting the nozzle are illuminated with a LED  
825 backlight (PHLOX 200mx200mm) and imaged using a high-speed camera (HSC Phantom VEO1310L)  
826 at a frame rate of 10,000 fps and a spatial resolution is 30px/mm. (B) Snapshot showing a typical  
827 shadow image of the capsule spray. Scale bar is 1mm. The algorithm increments the account of counts  
828 capsules every time one of them crosses the detection line (dashed-white in (b)), and stores the time of  
829 the event. Details of the detection algorithm are shown proposed in (c-e). (C) Space-time representation  
830 of the crossing events, evidenced by stacking the intensity variations of the detection line over time.  
831 Each crossing event corresponds to a black spot in the image. (D) Intensity threshold of (c). Elementary  
832 binary operations are performed to ensure that each crossing event corresponds to a single white spot.  
833 (E) Particle analysis (using ImageJ plugin). Each white spot is counted independently, and its  
834 coordinates are stored by the algorithm, providing details on the time and location of the crossing event.  
835 (F) Example of typical production curves for three different spraying nozzles (chips A, B and C). The  
836 corresponding production rates are included in the legend. The values are usually estimated with longer  
837 datasets (recordings >500 ms).



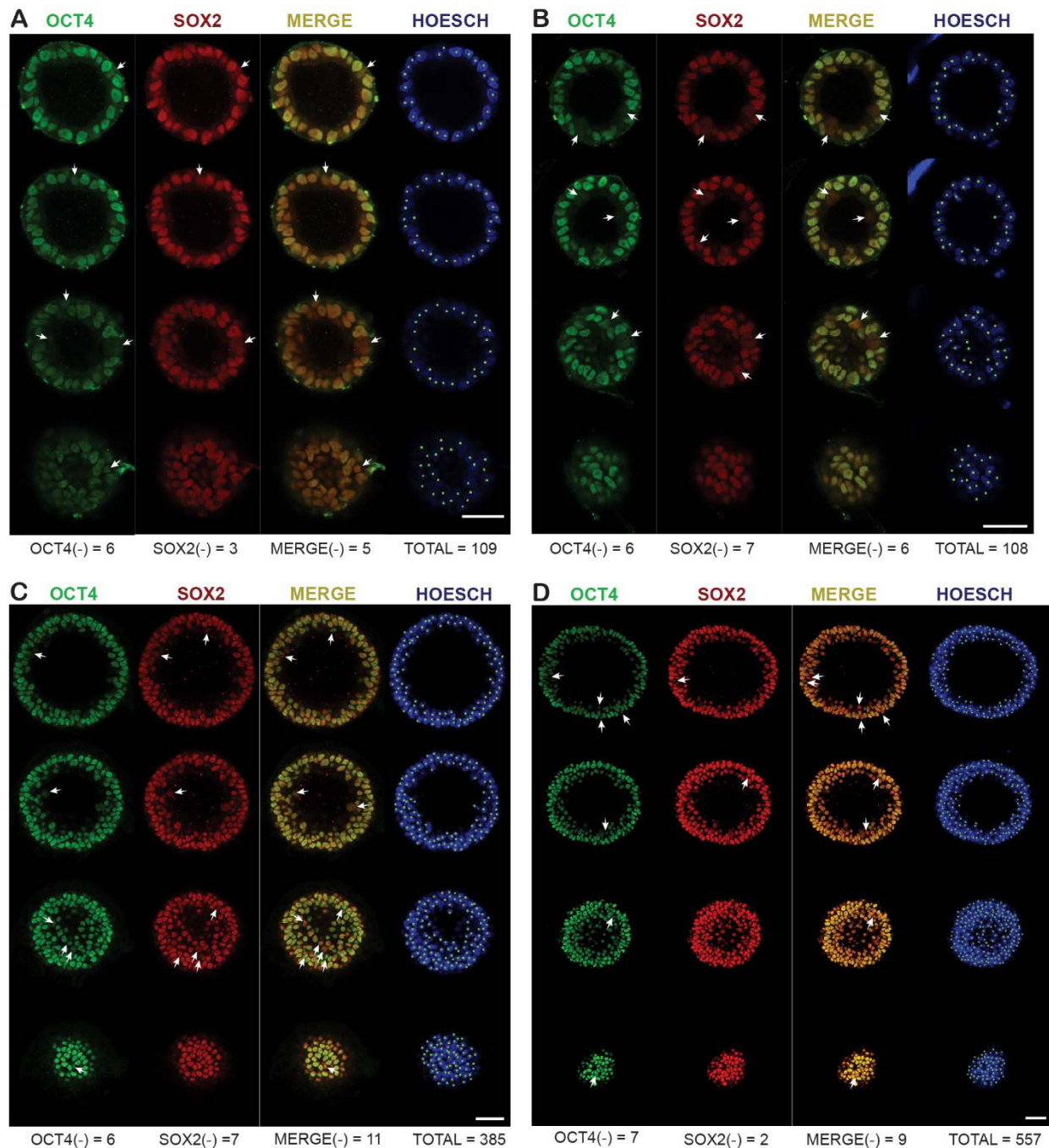


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840 **Fig. S2. Phenotype of encapsulated 3D hPSC colonies**

841 (A) Graph of hPSC cyst radius as a function of time. Radii were measured by monitoring  $n=25, 47, 52,$   
842  $61$  capsules at days 4, 5, 6 and 7 respectively. (B) 3D hPSC colony co-stained for stemness markers  
843 NANOG (cyan) and OCT4 (green) and with Hoechst (blue). Projection of maxima (upper panel) and  
844 equatorial plane (lower panel) of confocal images. Scale bar is  $50\mu\text{m}$ . (C) Confocal equatorial plane of a  
845 fully confluent hPSC capsule ( $t=10$  days post-encapsulation) co-stained for OCT4 (green), SOX2 (red),  
846 and nuclei (Hoechst, blue) and showing that the lumen has collapsed. Scale bar is  $100\mu\text{m}$ . (D) Sequence  
847 of phase-contrast microscopy images showing luminogenesis, growth and collapse of an encapsulated  
848 epiblast-like colony. The time interval between successive images is 18h. Scale bar= $100\mu\text{m}$ . (E)  
849 Confocal equatorial plane of a collapsed 3D hPSC colony co-stained for F-actin (Phalloidin, magenta)  
850 and nuclei (Hoechst, blue), Scale bar= $100\mu\text{m}$ .



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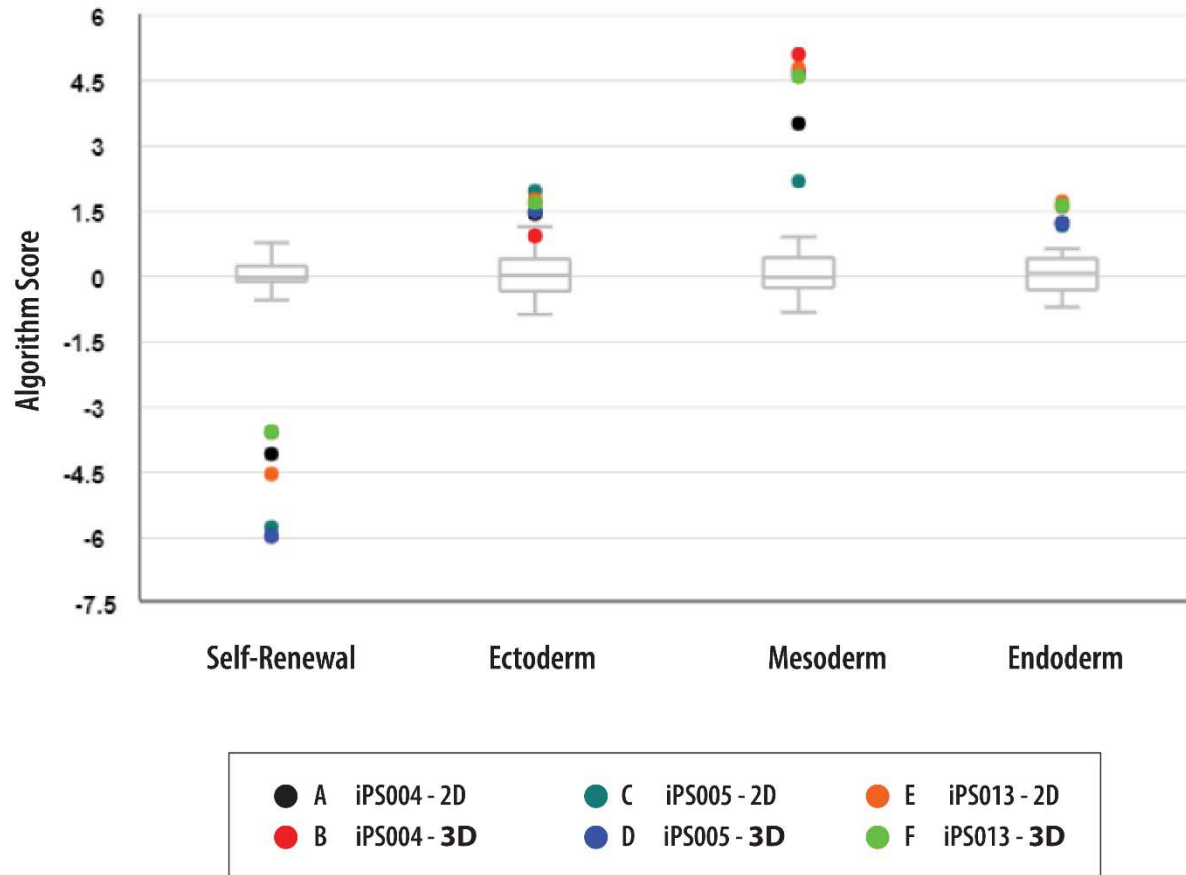
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### Fig. S3. Stemness staining of encapsulated 3D hPSC colonies

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Four different representative encapsulated hPSC colonies co-stained for OCT4 (green) SOX2 (red) and nuclei (Hoechst, blue). The 2 colonies in the upper panels (A) and (B) are collected at day 5. The 2 colonies in the lower panels (C) and (D) are collected at day7. White arrows and dots illustrate the quantification method used to determine OCT4 (green) and SOX2 (red) co-staining and the percentage of positive cells within the encapsulated cysts shown in Figure 2D of the main text. Scale bars are 50  $\mu\text{m}$ .

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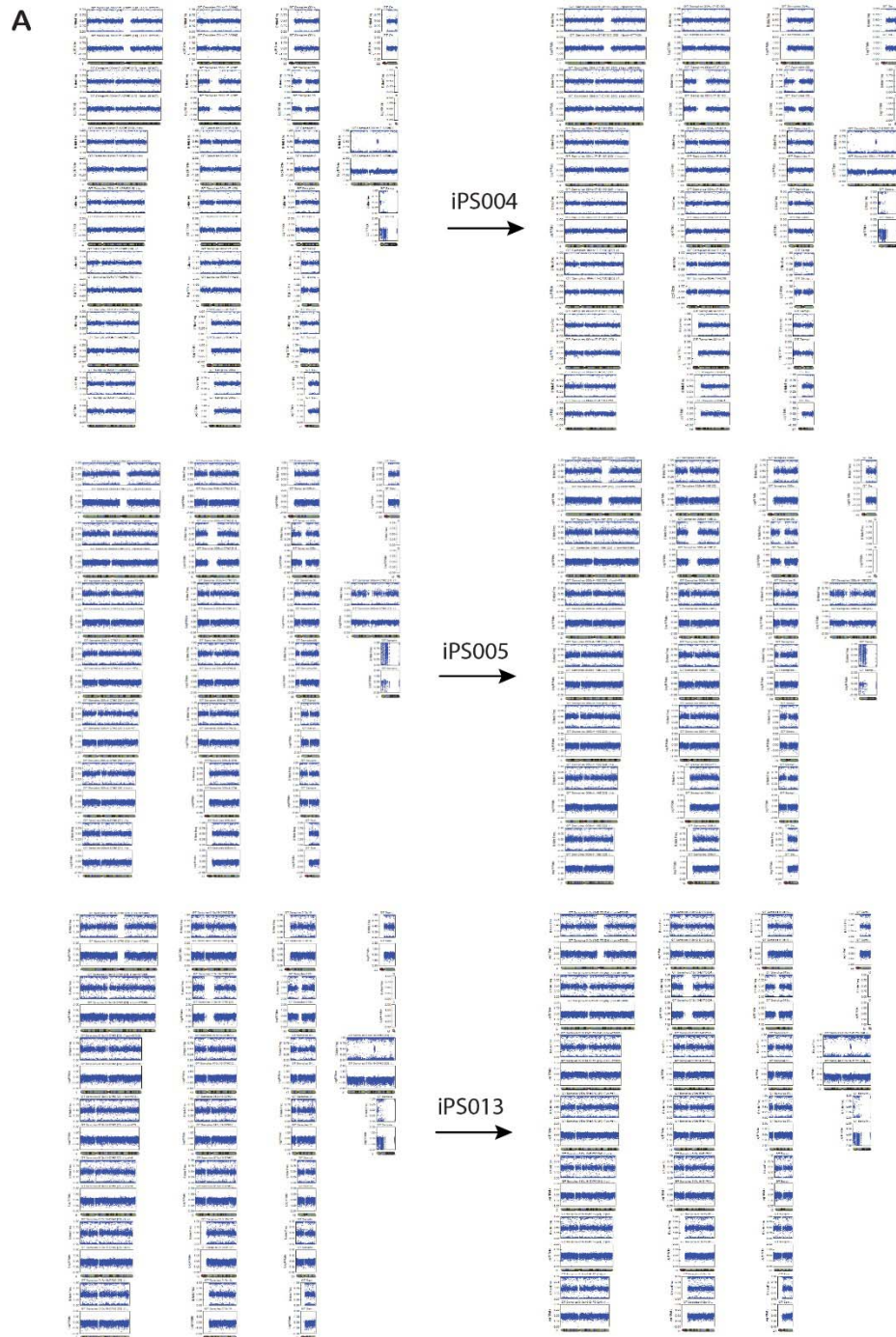


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861 **Fig. S4. Scorecard quantitative comparison of gene expression profiles from trilineage**  
862 **differentiation assays of 2D and 3D hPSC colonies**

863 2D cultures and 3D *in capsulo* cultures of hPSC were assessed with trilineage differentiation assay and  
864 scorecard qPCR panel. Three iPSC lines were differentiated and analyzed. Algorithm score generated by  
865 the manufacturer confirm a consistent decreased of the self-renewal genes compared to the  
866 undifferentiated reference. Up-regulation of the 3 germs layers means that both 2D and 3D stem cell  
867 colonies can engage in differentiation. The grey box plot indicates the undifferentiated reference.

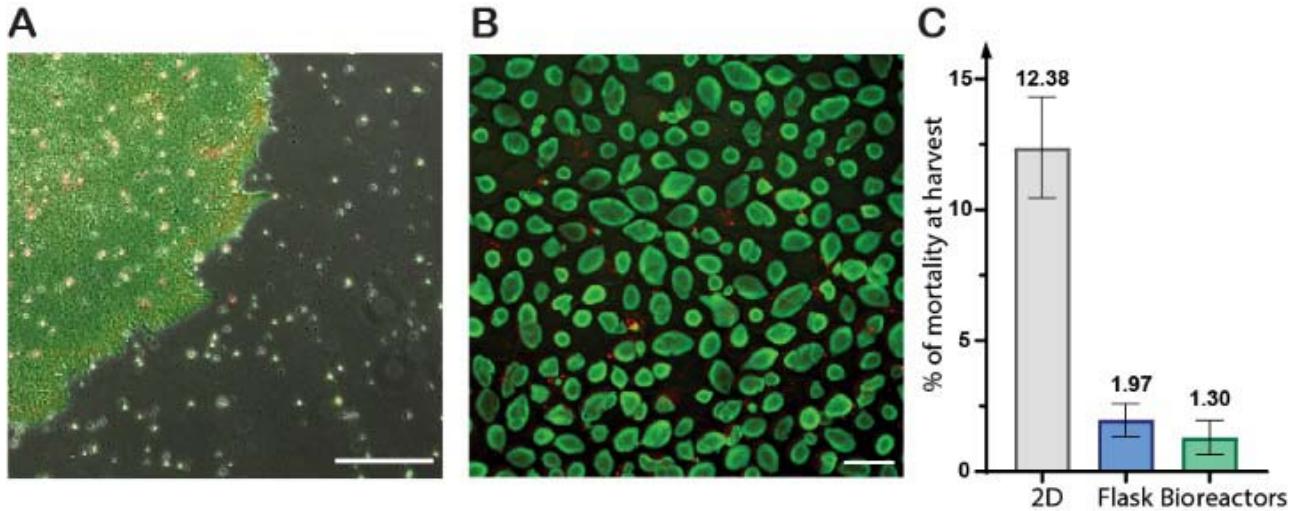




**B**

	Concordance of SNP Pre vs Post encapsulation			
	% concordance	Number of match	Informative SNP	Total SNP
iPS004	<b>99,849%</b>	304066	304527	305445
iPS005	<b>99,991%</b>	302217	302243	305445
iPS013	<b>99,975%</b>	300471	300546	305445

870 **Fig. S5. Analysis of high-resolution SNP arrays before and after C-STEM amplification**  
871 (A) Qualitative analysis of high-resolution SNP arrays before and after one-week of encapsulation: pre-  
872 encapsulation (left) and post-encapsulation (right). Absence of duplications and deletions for the 3  
873 distinct iPS cell lines (iPS004 top row, iPS005 middle row, iPS013 lower row). (B) Table summarizing  
874 the SNP concordance analysis.



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**Fig. S6. Cell viability in 2D and encapsulated 3D hPSC cultures**

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(A) Fluorescence microscopy image of a 2D stem cell colony stained for live (Calcein, green) and dead (ethidium, red). Pictures were taken before daily media changes to avoid removal of free-floating cells.

878

Scale bar is 500  $\mu$ m. (B) Fluorescence microscopy image of live (green) & dead (red) staining of 3D

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hPSC colonies at day 7 post encapsulation. Scale bar is 500  $\mu$ m. (C) Percentage of cell mortality at

880

harvest for 2D culture and encapsulated 3D hPSC colonies. Stem cells cultivated in 2D were harvested

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at day 4, 5 or 6 before reaching confluency. Stem cells cultivated for 6 to 7 days in capsules were

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harvested and dissociated after capsule dissolution. Viability was assessed with Nucleo counter NC3000.

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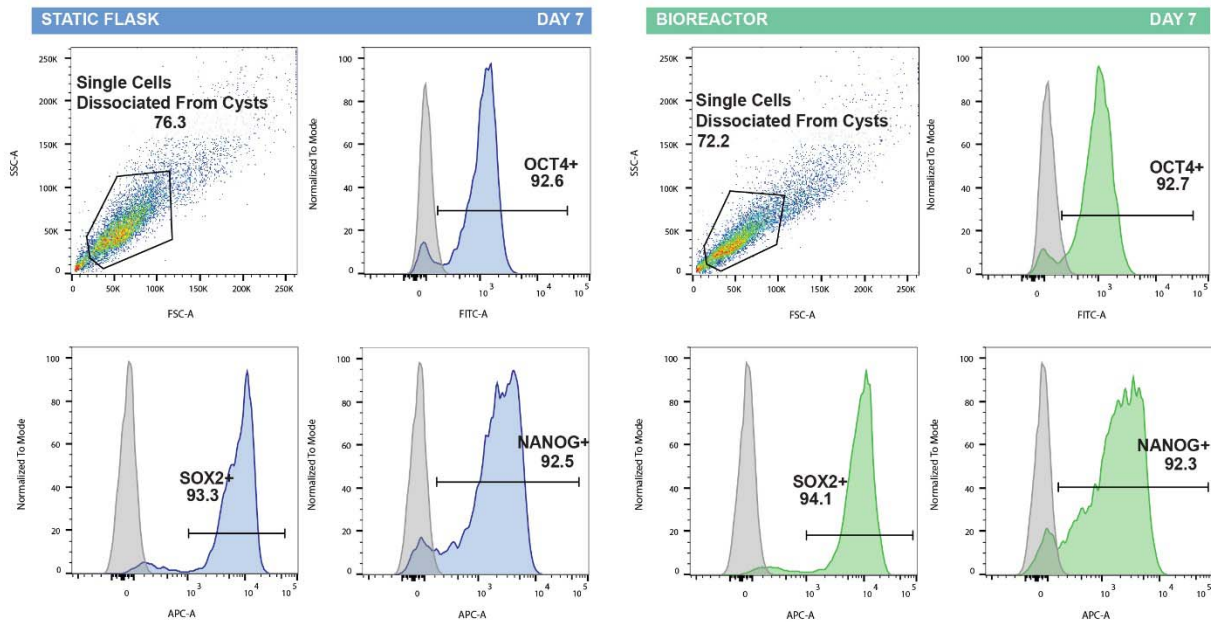
The 'count and viability assay' use the cell stain Acridine Orange for cell detection, and the nucleic acid

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stain DAPI for detecting non-viable cells.

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**Fig. S7. Encapsulated epiblast-like colonies resilience to hydrodynamic damages**

889

From one encapsulation batch, 2 suspension cultures were seeded in parallel: capsules were cultivated either in static suspension (flasks) or in constantly agitated suspension (500ml stirred tank bioreactor). after 7 dyas of suspension culture, capsules were collected, dissolved; 3D stem cell colonies were dissociated, fixed and stained for stem cell markers OCT4, SOX2 and NANOG. Fig. S7 shows flow cytometry dot-plots of the two different culture conditions (static flask versus stirred suspension in a bioreactor)

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10L Batch n°1					
Target Oxygen Level	20% DO	hPSC seeded	56 millions	Viability at harvest	99,5 %
Max working volume	10.2 L	hPSC produced	15.2 billions	OCT4+ at harvest	92.0 %
Min % Capsule Volume	4,5 - 15%	Amplification factor	x271/ 6.59 days	SOX2+ at harvest	99.6 %
Max Cells / ml of Capsules	33,92 m/ml	Population Doubling Time	19.4 hours	NANOG+ at harvest	97.0 %
		Population Doubling Level	8.08		

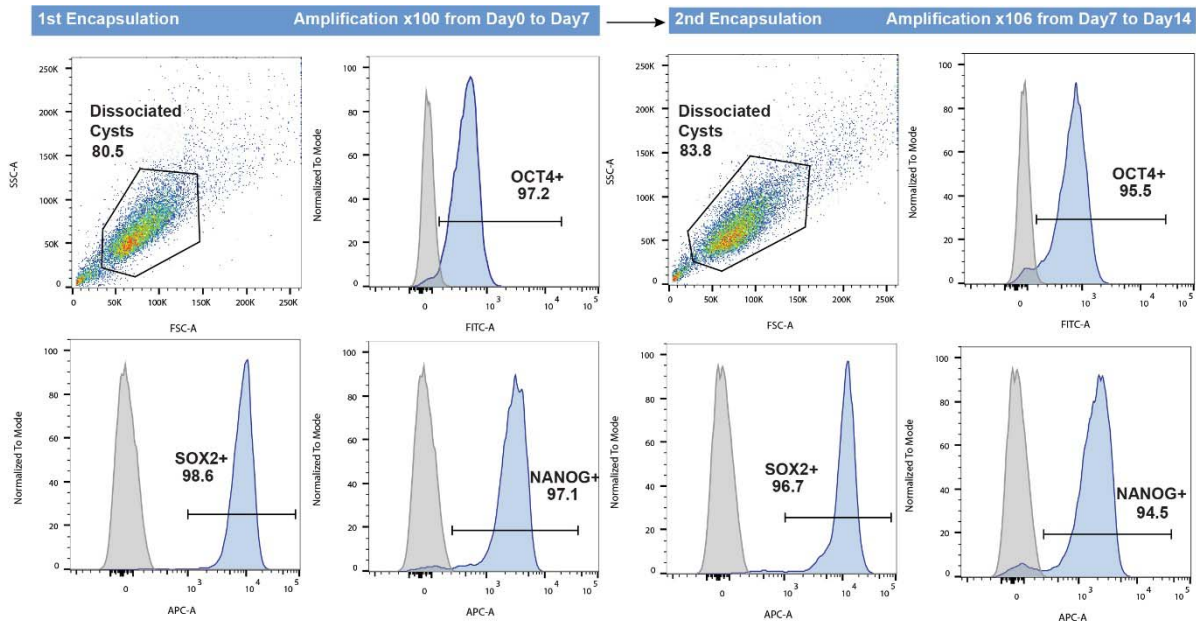
  

10L Batch n°2					
Target Oxygen Level	20% DO	hPSC seeded	51 millions	Viability at harvest	99,7 %
Max working volume	9.7 L	hPSC produced	14.52 billions	OCT4+ at harvest	96.7 %
Min % Capsule Volume	3,9 - 14 %	Amplification factor	x282/ 6.69 days	SOX2+ at harvest	99.7 %
Max Cells / ml of Capsules	33.80 m/ml	Population Doubling Time	19.73 hours	NANOG+ at harvest	98.4 %
		Population Doubling Level	8.14		

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898 **Fig. S8. Key parameters and results of C-STEM scale-up in 10 liter bioreactor**

899 Encapsulation of hiPSCs and suspension culture was performed for 2 separated runs. Target oxygen  
 900 level of 20% Dissolved Oxygen is described as an hypoxic condition (conversely to bioreactors with  
 901 100% dissolved oxygen level that are described as normoxic). A fed batch feeding strategy was applied  
 902 resulting in increasing working volume and decreasing capsule concentration. The cell density can be  
 903 expressed in millions of cells per milliliter of capsules. The volume of capsule harvested being measured  
 904 in graduated glass cylinder and reported to the cell quantity. Amplification factor (AF), and Population  
 905 doubling time (PDT) are defined following main text description. Population doubling level (PDL) is  
 906 defined as  $PDL(Dt) = \ln(AF) / \ln(2)$ . Viability was assessed by nucleocounter NC-3000 (Chemometech).  
 907 Decapsulated and dissociated cells were fixed and stained for OCT4, SOX2 and NANOG and analyzed  
 908 by flowcytometry, BD Accuri C6 plus (Table S1).



909

910

**Fig. S9. Stemness maintenance assessment of hiPSCs through 2 consecutive encapsulations**

911

After a first encapsulation of hPSCs and 7 days of suspension culture, capsules were collected and  
912 dissolved, and 3D colonies were dissociated, resuspended and used for another round of  
913 encapsulation/suspension culture. At day 7 and 14 cells were dissociated, fixed, and stained for the  
914 stemness markers OCT4, SOX2, and NANOG. Individual histograms from flow cytometry show stable  
915 phenotypes.

916 **MOVIE S1. Formation and collection of capsules in calcium bath.**

917 Video taken with a high speed camera showing how the train of droplets splays during the encapsulation  
918 process under electric field. Acquisition was performed at a frame rate of 10.000 fps. Scale bar is 1mm.

919  
920 **MOVIE S2. 3D rendering of an equatorially sectioned 3D hPSC colony.**

921 The image shows nuclear localization of OCT4 (green) and phalloidin (gray). The diameter of the cyst is  
922 110  $\mu\text{m}$ .

923

924 **MOVIE S3. Time-lapse of an encapsulated 3D hPSC colony : between encapsulation and harvest**

925 Phase contrast sequence (using Biostation Nikon IM) of a growing hPSC colony inside a capsule. Video  
926 starts at day 1 after encapsulation. Scale bar is 100  $\mu\text{m}$ .

927

928 **MOVIE S4. Time-lapse of an encapsulated 3D hPSC colony : between encapsulation and lumen**  
929 **collapse**

930 Phase contrast sequence (using Biostation Nikon IM) of a growing hPSC colony inside a capsule. Video  
931 starts at day 1 after encapsulation, prolonged until full collapse of the lumen. Scale bar is 100  $\mu\text{m}$ .

932

933 **MOVIE S5. Encapsulated 3D hPSCs colonies cultured in a 10 liter stirred-tank bioreactor.**

934 Video taken with a Digital Single-Lens Reflex camera shows the flow of hPSC-laden capsules induced  
935 by the motion of the bioreactor's impeller.

936 **Table S1. Antibody list**

937 List of antibodies used for flow cytometry, trilineage assay and immune fluorescence microscopy.

938

Antibodies used for flow cytometry	
Nanog Hu – Alexa Fluor647 Clone N3-355	BD (Ref. 561300)
OCT3/4 Hu, Ms – Alexa Fluor 488 – clone 40/oct3	BD (Ref. 560253)
SOX2 Hu Alexa Fluor 647 – Clone O30-678	BD (Ref. 562139)
Mouse igG1k – Alexa Fluor 488 – clone MOPC 21	BD (Ref. 557721)
Mouse igG1k, Alexa Fluor 647 clone MOPC 21	BD (Ref. 557732)

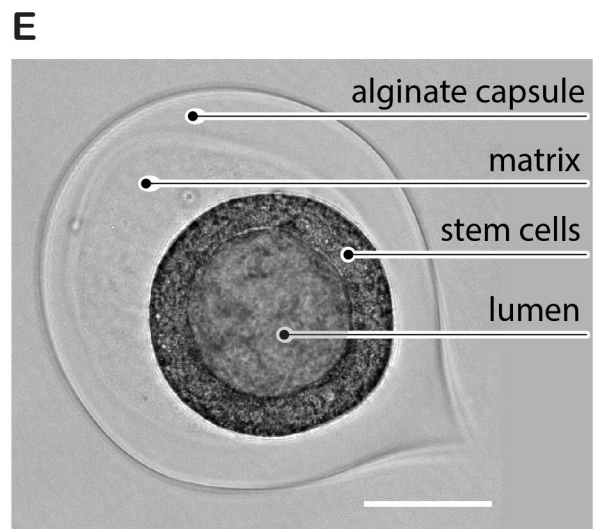
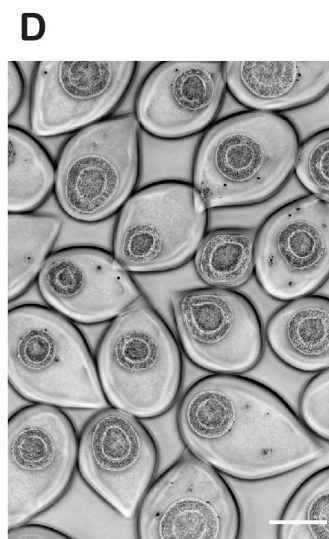
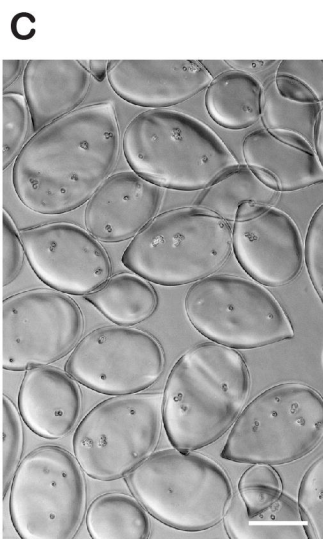
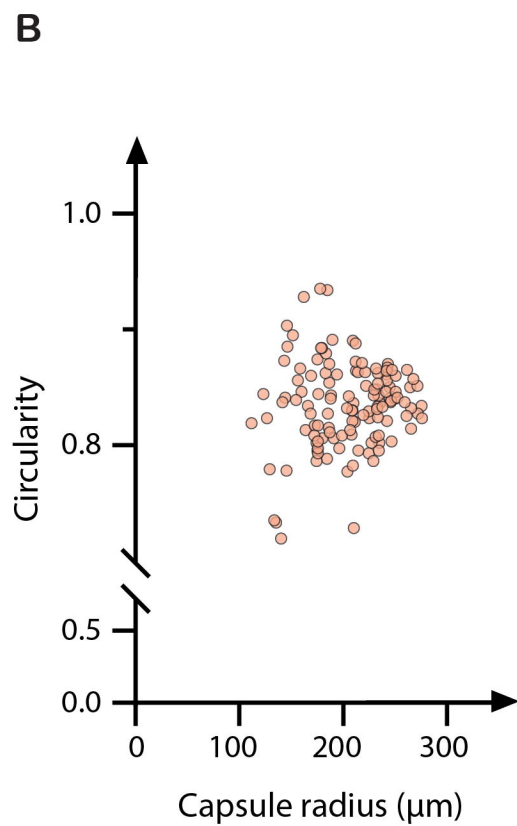
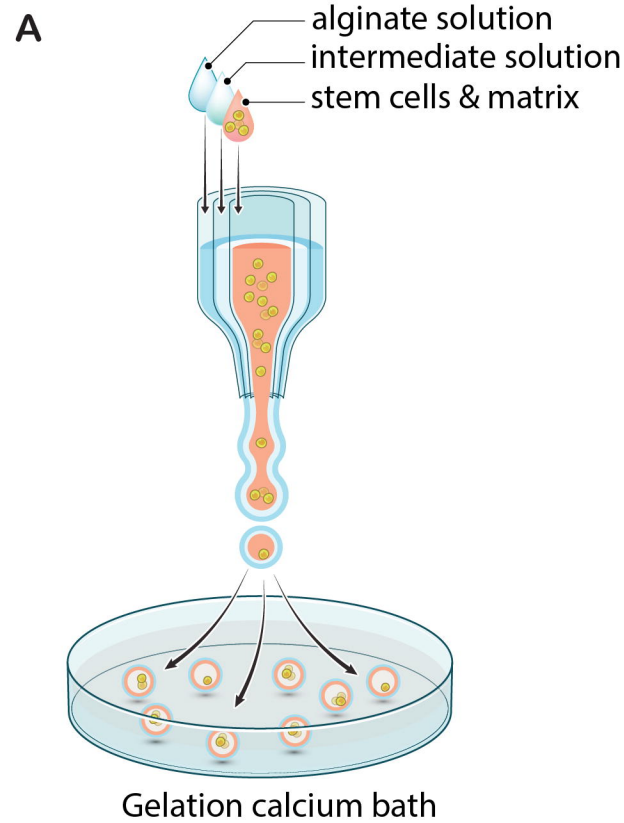
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Antibodies used for trilineage assay	
Monoclonal anti-Tubuline beta 3 (Mouse) 1:1000	Biolegend Cat#801202
Monoclonal anti actin, alpha-smooth muscle (Mouse) – FITC 1:300	Sigma-Aldrich Cat#F3777
Monoclonal anti-Alpha foeto protein (Mouse) 1:60	R&D system Cat#MAB1369
Goat anti-Mouse alexa Fluor plus 555 1:1000	Thermo fisher Scientific Cat#A32732

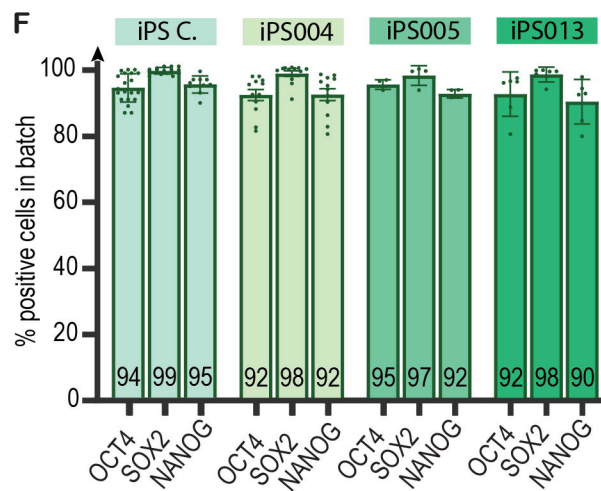
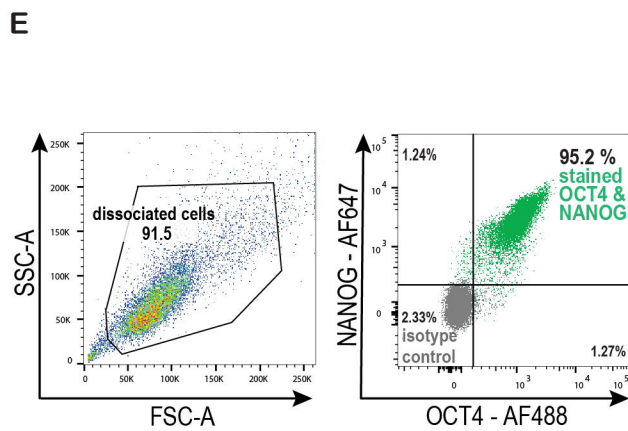
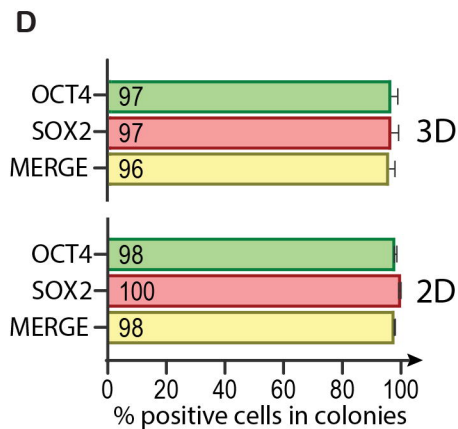
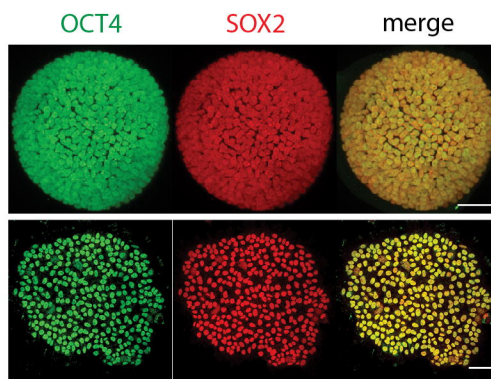
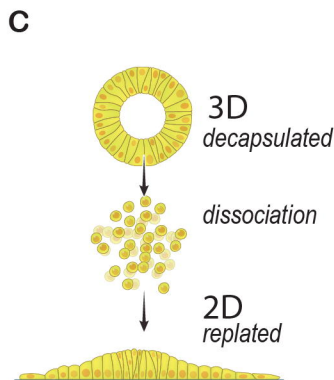
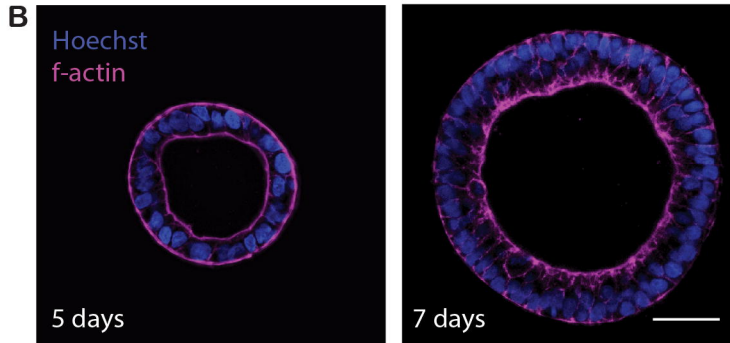
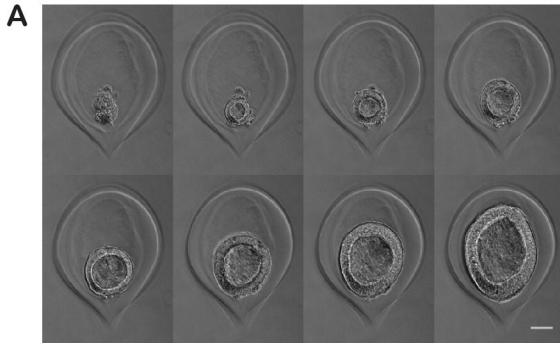
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Antibodies used for immunostaining and microscopy	
OCT 3/4 mouse IgG2b (1/200)	Santa Cruz Biotechnology sc-5279 E1818
SOX2 Rabbit Polyclonal (1/1000)	Sigma Aldrich AB5603 3153252
Secondary Donkey anti-mouse IgG H+L Alexa Fluor Plus 488 1/500	Thermo/Invitrogen A32766 TF271737A
Secondary donkey anti-rabbit IgG H+L Alexa Fluor Plus 555 1/500	Thermo/Invitrogen A32794 TH271030
Phalloidin Alexa Fluor 647 at 1:500	Thermo A22287 2015553
Hoechst 33342 at 1:1000	

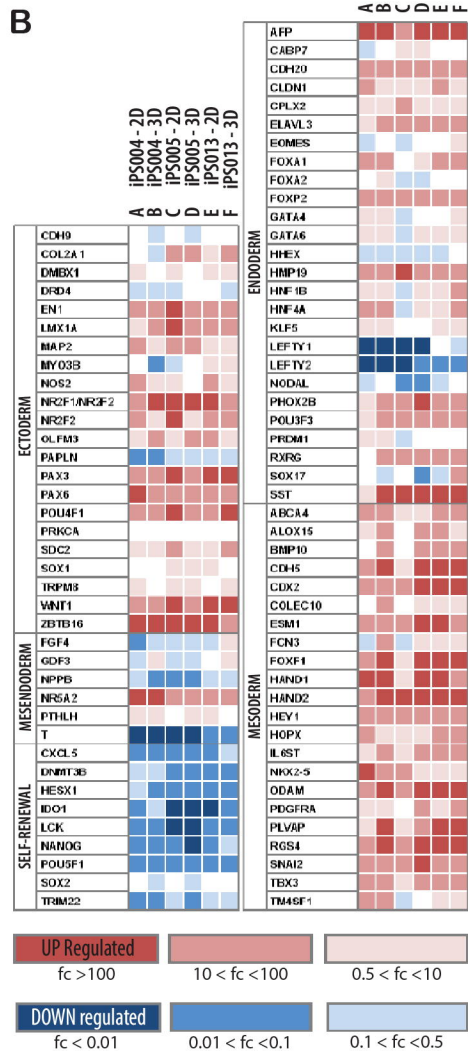
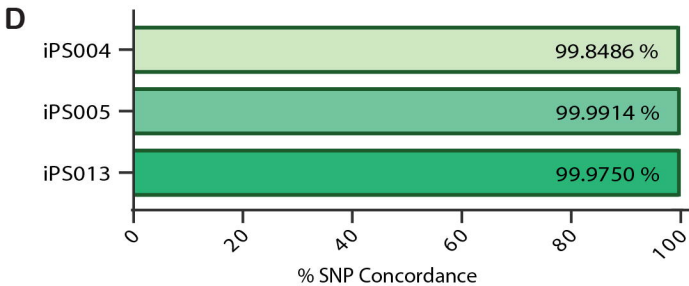
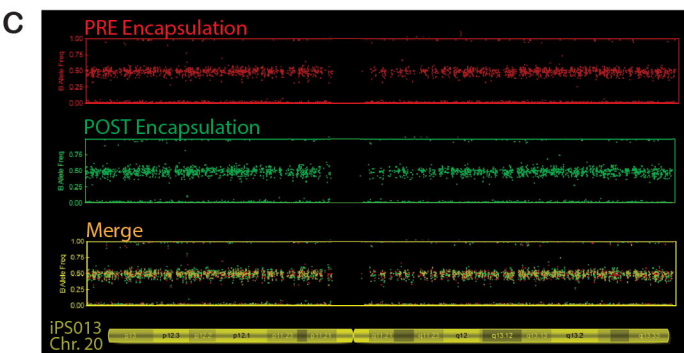
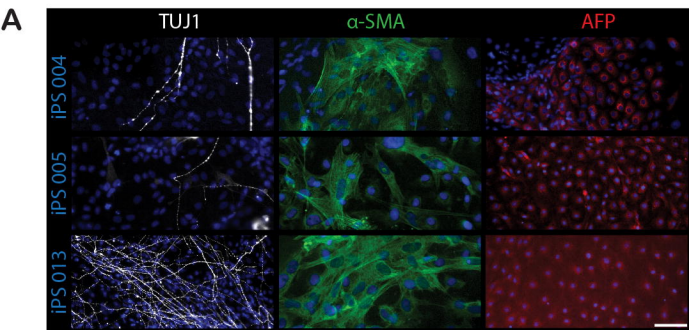


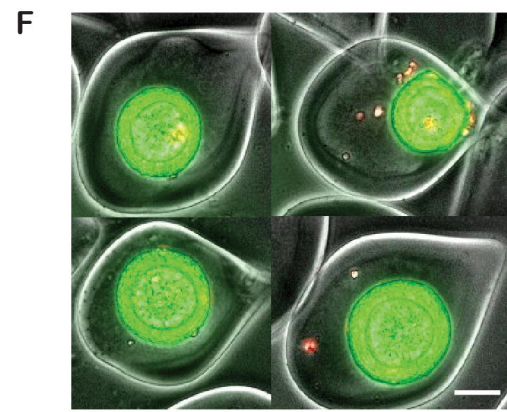
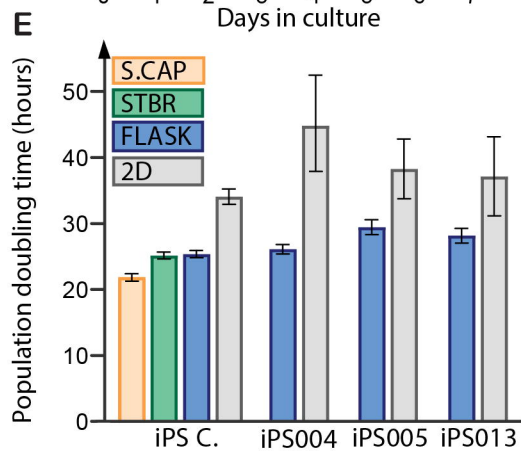
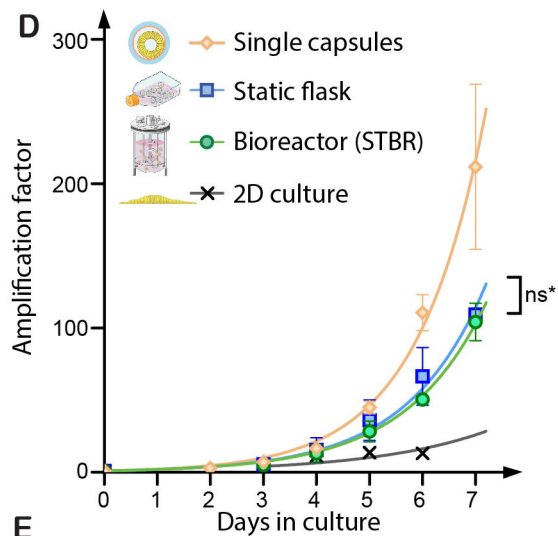
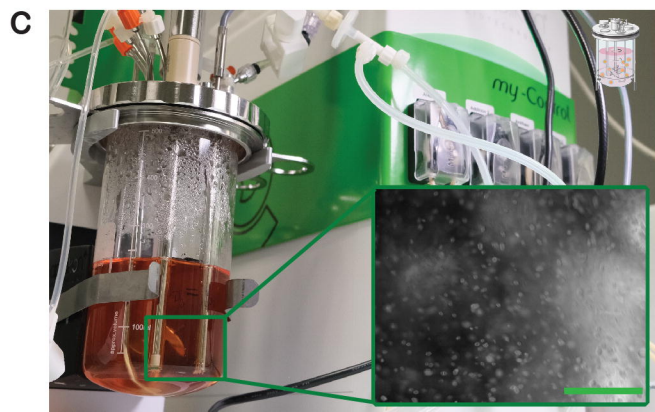
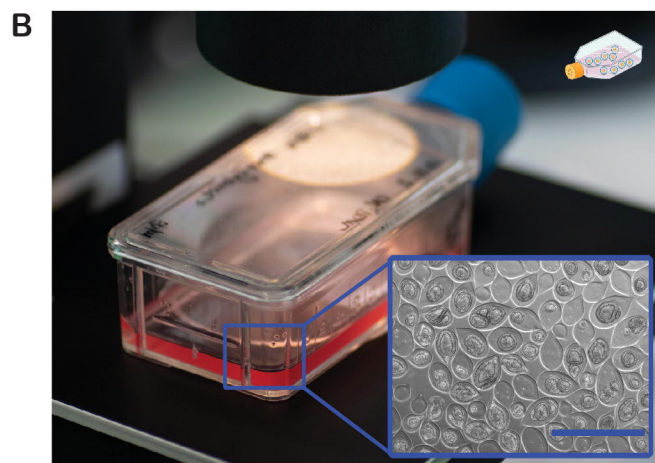
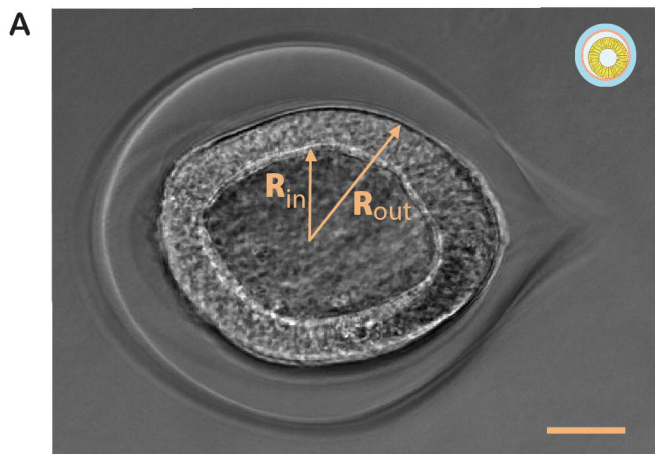




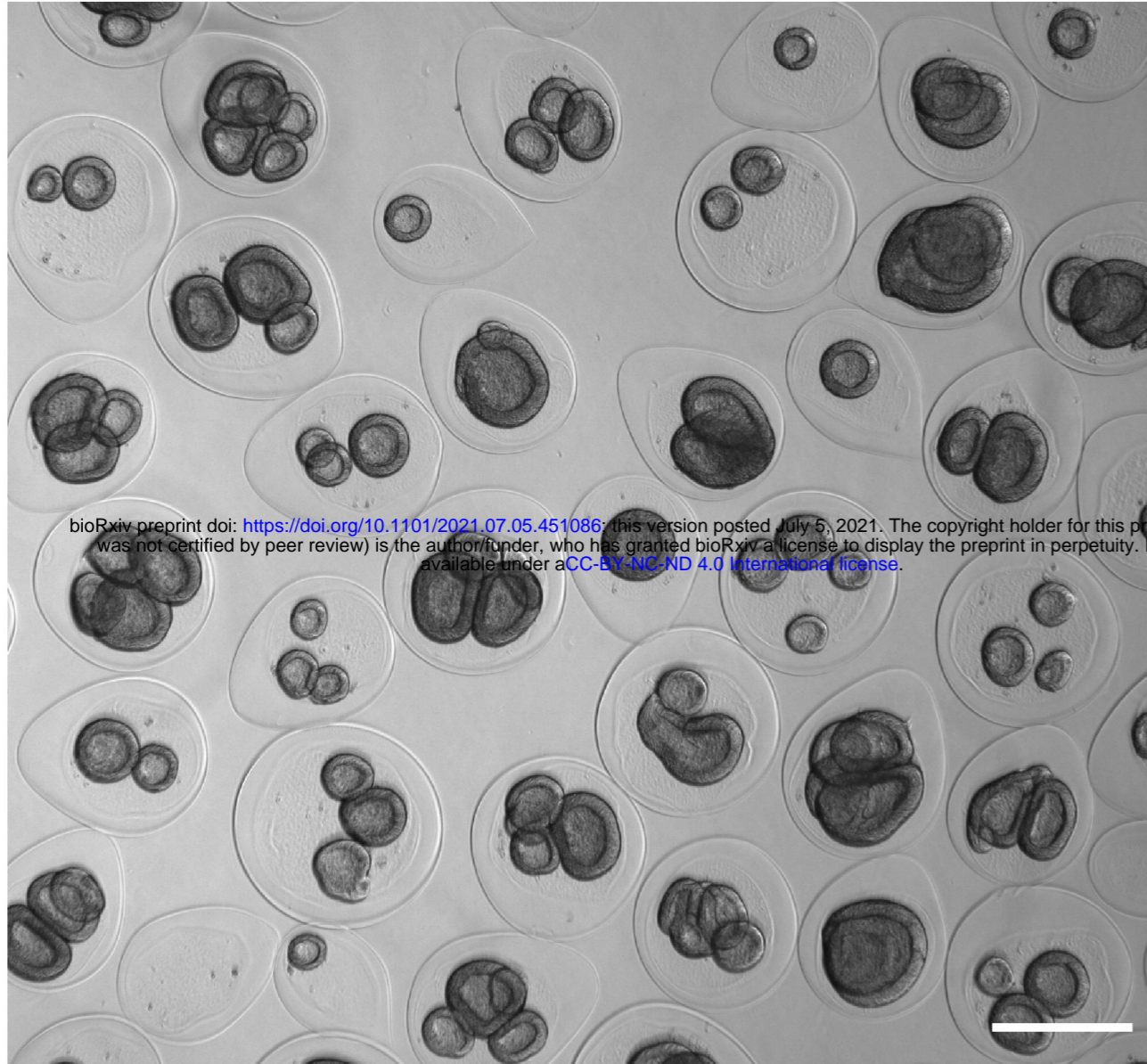
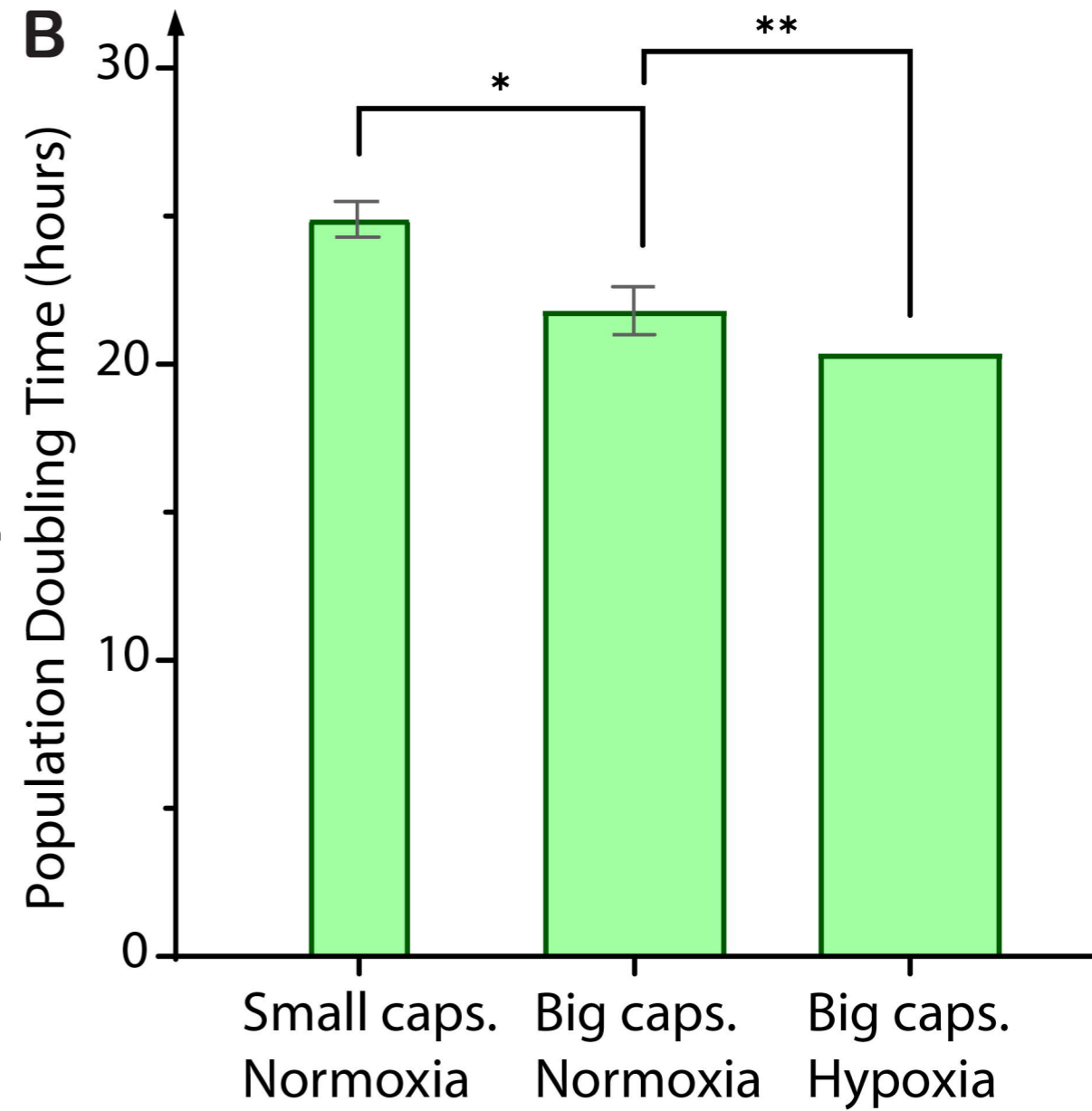










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