1	Development of antibiotic resistance reveals diverse evolutionary pathways to face the
2	complex and dynamic environment of a long-term treated patient
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#### 1 ABSTRACT

2 Antibiotic resistance development has been studied using approaches that range from laboratory experimental evolution, surveillance and epidemiology, to clinical isolate sequencing. However, 3 4 evolutionary trajectories depend on the environment in which selection takes place, compelling 5 to address evolutionary analyses in antibiotic-treated patients, to embrace the whole inherent 6 environmental complexities as well as their dynamics over time. Herein, we address the 7 complexity of the bacterial adaptive response to changing antibiotic selective pressures by 8 studying the long-term *in-patient* evolution of a broad diversity of  $\beta$ -lactam resistant 9 Pseudomonas aeruginosa clones. By using mutational and ultra-deep amplicon sequencing, we analyzed multiple generations of a *P. aeruginosa* hypermutator strain persisting for more than 26 10 11 years of chronic infection in the airways of a cystic fibrosis (CF) patient. We identified the 12 accumulation of multiple alterations targeting the chromosomally encoded class C  $\beta$ -lactamase  $(bla_{PDC})$ , providing structural and functional protein changes that resulted in a continuous 13 14 enhancement of its catalytic efficiency and high level of cephalosporin resistance. This evolution 15 was linked to the persistent treatment with ceftazidime, which we demonstrate selected for variants with robust catalytic activity against this expanded-spectrum cephalosporin. 16 17 Surprisingly, "a gain of function" of collateral resistance towards ceftolozane, a more recently 18 introduced cephalosporin that was not prescribed to this patient, was also observed and the biochemical basis of this cross-resistance phenomenon was elucidated. This work unveils the 19 diversity of evolutionary trajectories driven by bacteria in the natural CF environmental setting, 20 21 towards a multidrug resistant phenotype after years of antibiotic treatment against a formidable 22 pathogen.

23

#### 1 INTRODUCTION

2 Our current knowledge regarding the evolution of bacterial antibiotic resistance mainly comes 3 from clinical, microbiological and biochemical studies that are performed under highly controlled 4 conditions (Elena and Lenski, 2003; Weinreich et al., 2006; MacLean et al., 2010; Palmer and Kishony, 2013; Baym et al., 2016; Boolchandani et al., 2019; Card et al., 2021). Collectively, we 5 6 have learned that the emergence and evolution of antibiotic resistance, one of the greatest 7 challenges to our civilization, is a far more complex phenomenon; few studies exist that offer 8 insights into "real-world" scenarios that adequately or completely explain evolutionary 9 trajectories that shape existing phenotypes (Bershtein et al., 2006; Meini et al., 2015; Stiffler et 10 al., 2015; Prickett et al., 2017; Frimodt-Møller et al., 2018; Andersson et al., 2020; Mehlhoff et 11 al., 2020).

12 Chronic infections by *Pseudomonas aeruginosa* are main causes of morbidity and 13 mortality in patients suffering from cystic fibrosis (CF). Treating these long-term airway 14 infections is extremely challenging since *P. aeruginosa* displays an intrinsic resistance to many 15 antibiotics, as well as an unwelcome capacity to develop and evolve resistance to newly introduced antibiotics. Acquired antibiotic resistance in CF associated isolates of P. aeruginosa 16 17 occurs mainly through the accumulation of multiple mutations that alter the expression and/or 18 function of different chromosomal genes (Lister et al., 2009; Cabot et al., 2012). Furthermore, P. aeruginosa hypermutator strains are frequently isolated from CF patients, thus raising the pace of 19 20 increased antibiotic resistance development (Oliver et al., 2000; Ciofu et al., 2005; Macia et al., 21 2005; Montanari et al., 2007; Mena et al., 2008) and the repertoire of adaptability (Lujan et al., 22 2007; Moyano et al., 2007; Feliziani et al., 2010; Luján et al., 2011; Marvig et al., 2013; Feliziani 23 et al., 2014). Nevertheless, these persistent infections with complex phenotypes offer unique 24 opportunities to study antibiotic resistance evolution since: (i) they are highly influenced by long-25 term antibiotic treatments to which patients are exposed during their entire lives; (ii) they are 26 often clonal and single *P. aeruginosa* lineages that persist in the lungs of individual patients for 27 many decades; and (iii) *de novo* evolution of antibiotic resistance in individual patients can be 28 monitored constituting an attractive model system for studying the evolution of bacterial 29 populations that strive to adapt to complex dynamic environments (Folkesson et al., 2012).

30 These pulmonary infections with *P. aeruginosa* in patients with CF are intensively treated with a varied repertoire of antipseudomonal antibiotics, including aminoglycosides, quinolones, 31 32 and β-lactams. In response, *P. aeruginosa* displays a wide arsenal of resistance mechanisms such 33 as reduced outer membrane permeability, upregulation of multiple broad-spectrum drug efflux 34 pumps, antimicrobial modifying enzymes, and target site changes (Breidenstein et al., 2011). In 35 the case of  $\beta$ -lactam antibiotics, the main resistance mechanism in *P. aeruginosa* is the mutation-36 mediated overproduction of the chromosomally encoded class C  $\beta$ -lactamase, PDC (Pseudomonas-derived cephalosporinase). Constitutive overexpression of the ampC beta-37

1 lactamase gene (thereinafter *bla*<sub>PDC</sub>) results from mutations affecting regulatory genes of the 2 peptidoglycan recycling process linked to bacterial cell wall assembly (Moya et al., 2009; 3 Alvarez-Ortega et al., 2010; Tsutsumi et al., 2013; Fisher and Mobashery, 2014; Calvopiña and 4 Avison, 2018). β-lactam resistance has also been associated with structural modifications of PDC (Rodriguez-Martinez et al., 2009; Cabot et al., 2014; Lahiri et al., 2014; Berrazeg et al., 2015; 5 Lahiri et al., 2015; MacVane et al., 2017; Fraile-Ribot et al., 2018), as evidenced by the >400 6 7 PDC variants that have been described so far (Oliver, 2020). This impressive number of allelic 8 variants accounts for a highly polymorphic enzyme with a great capacity of tolerating amino acid 9 substitutions, insertions and deletions (Oliver, 2020). Recent studies have shown that clinical 10 resistance to  $\beta$ -lactams is primarily based on specific changes in conserved motifs of PDC, which 11 lead to conformational rearrangements enhancing the catalytic efficiency of the enzyme 12 (Raimondi et al., 2001; Jacoby, 2009; Lahiri et al., 2015; Barnes et al., 2018; Arca-Suárez et al., 13 2020).

14 In a whole-genome sequencing study of hypermutator populations of *P. aeruginosa* 15 during long-term chronic airways infections in a single patient (Feliziani et al., 2014), 36 genes in the  $\beta$ -lactam resistome (from a total of 70) carried mutations (Colque et al., 2020). Specifically, 16 17 the  $bla_{PDC}$  gene was targeted by multiple independent mutational events in a process boosted by 18 hypermutability (Colque et al., 2020) leading to a wide diversity of coexisting  $bla_{PDC}$  alleles and high-levels of  $\beta$ -lactam resistance, expanding the range of *P. aeruginosa* opportunities for 19 persistence (Colque et al., 2020). However, the presence of mutations in several genes and the 20 21 increased expression of PDC compared to isolates preceding the antibiotic treatment does not permit a direct assessment of the impact of the allelic variability of PDC in resistance. Therefore, 22 23 dissection of the impact of specific mutations in this gene is of relevance to trace the evolution of 24 this enzyme and consequently to guide future therapies.

25 Here, we unravel the mutational pathways and biochemical mechanisms involved in 26 different PDC variants by analyzing the evolutionary history of more than 25 years of CF chronic 27 infection in a single patient. We show how the combination of substitutions in important amino 28 acid residues in PDC changes the architecture of the enzyme active site. This adaptive scenario 29 led to the selection of distinct enzymatic variants, which conferred resistance to a broad range of 30  $\beta$ -lactam antibiotics, even to novel combinations of these drugs that were not prescribed to this patient, such as ceftolozane/tazobactam. We also identify the molecular features that elicited the 31 32 selection of collateral resistance driven by the use of narrow spectrum cephalosporins, and how this resistance was potentiated by hypermutability in *P. aeruginosa*. By modelling a core of three 33 34 substitutions preserved in the prevailing variants, we hypothesize that favorable interactions are 35 created between ceftolozane and PDC. This work details the trajectory undertaken on the path 36 towards a multidrug resistant phenotype even against untested drugs and illustrates the "collateral

damage" suffered by years of antibiotic treatment in the attempt to eradicate this versatile
 pathogen.

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- 4

#### 5 **RESULTS**

## Long-term evolution of *P. aeruginosa* hypermutator populations leads to the selection of novel *bla<sub>PDC</sub>* allelic variants with enhanced cephalosporin resistance

8 In a previous study, the complete genomes of 14 isolates from a hypermutator P. aeruginosa 9 lineage spanning 20 years of patient infection history (CFD patient) were sequenced (Feliziani et 10 al., 2014). The clonal collection included a non-mutator ancestor from 1991, two hypermutator 11 isolates from 1995 and 2002, and 11 isolates taken from the same sputum sample in 2011, all of them harboring the same mutS mutation (Feliziani et al., 2014). Within-patient genome 12 13 comparisons revealed a vast accumulation of mutations that shape an extensively diversified 14 population composed of different sub-lineages, which coexisted from the beginning of the chronic 15 infection. Interestingly, the gene encoding the  $\beta$ -lactamase PDC (*bla*<sub>PDC</sub>) was among the most frequently altered by mutations across different isolates, suggesting that *bla*<sub>PDC</sub> was subjected to 16 17 strong selective pressure (Colque et al., 2020) (Figure 1). In fact, during the course of chronic 18 airway infection, the patient received prolonged antibiotic treatment with  $\beta$ -lactam antibiotics (Figure 1A). The patient initially received short courses of variable duration of cefotaxime, 19 20 ceftazidime, piperacillin, aztreonam and the carbapenems, thienamycin and meropenem, and then 21 was continuously treated with ceftazidime from 2004 until the end of 2016. Accordingly, a 22 significant increase in the resistance to ceftazidime in these isolates was reported after the 23 prolonged treatment with this antibiotic (Figure 1-figure supplement 1), which is evident since 24 2002 (Colque et al., 2020).

In an effort to determine the evolution of substrate specificity of the PDC resistant determinant, we tested the resistance of these isolates to ceftolozane-tazobactam, a combination of a cephalosporin and a  $\beta$ -lactamase inhibitor approved in 2014. Even though this combination was not prescribed to this patient, the isolates dating back to 2002 were highly resistant to this combination (Figure 1A-figure supplement 1).

In order to understand the reason for this phenotype, we sequenced  $bla_{PDC}$  from 19 additional isolates belonging to the selected lineage, resulting in a total of 30 isolates obtained from the same 2011 sputum sample. As shown in Figure 1B, the ancestor from 1991 and the 1995 isolate harbored the PDC-3 variant (Rodríguez-Martínez et al., 2009; Berrazeg et al., 2015). After two decades of chronic infection, 7 new  $bla_{PDC}$  allelic variants (referred to as PDC-458 to PDC-466) were identified. Each allele harbored 2 to 5 mutations relative to the ancestral  $bla_{PDC-3}$ , being the result of different combinations of 8 substitutions (Figure 1C).

Substitutions G216S and V330I, which generated variant PDC-458 from the 2002 isolate
were not present in the 2011 population, which instead displayed combinations of the other six
substitutions distributed in six new PDC variants (Fig 1C). PDC-462 (A89V, Q120K, V213A,
N321S) was the most prevalent variant in the 2011 population, being found in 14 (47%) coexisting
isolates, followed by variant PDC-463 (A89V, Q120K, H189Y, V213A) that was present in 37%
of the isolates. The four remaining allelic variants were rare and only present in one or two isolates
(Figure 1D).

8

#### 9 Population dynamics of *bla*<sub>PDC</sub> mutations during chronic infection

To explore the dynamics of the *bla*<sub>PDC</sub> allelic prevalence in the population, a new sputum sample
from patient CFD was collected in 2017, and a new set of 30 isolates was obtained. Sequencing
of the *bla*<sub>PDC</sub> genes from these isolates revealed a novel scenario (Figure 2A):

13 PDC-461 (scarcely represented in the 2011 sampling) became prevalent (being present in 39% of 14 the isolates), overriding PDC-463 (36%). Instead, PDC-462, from being a prevalent variant was 15 found in only 11% of the isolates. Two new *bla*<sub>PDC</sub> alleles, referred to as PDC-465 and PDC-466, were observed. PDC-465 showed the same G216S substitution present in PDC-458, but combined 16 17 with the novel T256P substitution. On the other hand, PDC-466 seems to derive from PDC-462 18 through the addition of G205D, thus accumulating five substitutions. These two latter variants displayed a low frequency, like PDC-459, which in 2017 still maintained the low frequency 19 observed in the 2011 population (Figure 2A). 20

Overall, the main composition of  $bla_{PDC}$  mutations observed in 2011 was still conserved in the 2017 isolates. We wondered whether these mutations were representative of the whole population. Thus, the 2017 diversity and prevalence of  $bla_{PDC}$  mutations were analyzed at the population level by performing  $bla_{PDC}$  amplicon sequencing directly from DNA purified from whole sputum samples obtained from patient CFD (Figure 2B).

26 Following coverage analysis of >5000 sequencing reads per base in the  $bla_{PDC}$  open reading frame, only mutations with population frequencies above 2% were considered (Table 27 28 supplement 2). A89V, Q120K, V213A, N321S were the most frequently observed substitutions, 29 followed by H189Y and N347I (Figure 2B). Interestingly, N347I, which was not observed in any 30 of the isolates previously analyzed, has been reported to confer resistance to cephalosporins (Berrazeg et al., 2015). Substitutions G216S, T256P and G205D, present in PDC-465 and PDC-31 32 466, were not detected by this sequencing analysis, probably due to the low prevalence of these 33 variants.

This genetic analysis clearly reveals that substitutions present in the most prevalent PDC variants in either 2011 or 2017 were the most frequent substitutions observed in the global population.

### 1 Combination of multiple *bla*<sub>PDC</sub> mutations generate resistance to aztreonam and 2 cephalosporins, including ceftolozane

3 To dissect the role of the mutations present in the different  $bla_{PDC}$  allelic variants in  $\beta$ -lactam 4 resistance, we designed a system to analyze resistance profiles in a common *Pseudomonas* 5 aeruginosa PAO1 genetic background that allows the control of PDC expression levels. Firstly, 6 a  $bla_{PDC}$  deficient PAO1 derivative strain (PA $\Delta A$ ) was constructed, in which the chromosomal 7  $bla_{PDC}$  gene was deleted. Then, the seven  $bla_{PDC}$  allelic variants (Figure 1C) together with the 8 ancestor PDC-3 variant from 1991 and the PDC-1 variant from PAO1 strain were cloned into the 9 pMBLe vector under the control of the lac operator (González et al., 2016) and transformed into 10  $PA\Delta A$ . The different variants expressed to similar levels, as revealed by immunodetection (Figure 11 1C-figure supplement 2, Table supplement 3). Clones of PA $\Delta$ A carrying different *bla*<sub>PDC</sub> alleles were challenged against a panel of anti-pseudomonal  $\beta$ -lactam antibiotics commonly used as 12 therapy in CF patients (Table 1). 13

14 Bacteria expressing either PDC-3 or PDC-1 were uniformly susceptible to  $\beta$ -lactams, with 15 the sole exception of piperacillin. In addition, the PDC-458 variant (from the 2002 isolate) 16 resulted in higher resistance levels to aztreonam. In contrast, the most representative allelic 17 variants found in the 2011 isolates (from PDC-459 to PDC-464) showed increased MICs of 18 ceftazidime and aztreonam. These variants present different combinations of A89V, Q120K, H189Y, P154L, V213A, and N321S substitutions, resulting in triple, quadruple and quintuple 19 20 substitutions. Some of these variants (PDC-459, PDC-461 and PDC-463) showed lower MICs of 21 piperacillin and piperacillin/tazobactam, whereas none of them conferred resistance to cefepime or the carbapenems imipenem and meropenem (Table 1). All variants harboring the Q120K 22 23 substitution (PDC-459, -461 to -464) showed high resistance levels to ceftolozane (S  $\leq$  4 µg/mL), 24 either alone or combined with the  $\beta$ -lactamase inhibitor tazobactam (S  $\leq 4/2 \ \mu g/mL$ ) (Table 1). 25 These results show that ceftolozane resistance is due (at least partially) to these substitutions in 26 PDC. The highest resistance to both ceftazidime and ceftolozane was conferred by the PDC-459 27 combination, Q120K, P154L and V213A followed by PDC-461, which clusters A89V, Q120K 28 and V213A. Additions of N321S and H189Y to the latter triple-mutation combination in PDC-29 462 and PDC-463, respectively, not only increased resistance to aztreonam but also reverted the 30 decrease in piperacillin resistance observed in PDC-461 (Table 1).

31

### 32 Differential competitiveness of coexisting-PDC variants can shape the dynamics of resistant

**33** subpopulation of *P. aeruginosa* upon exposure to β-lactams

34 The effect of multiple combined  $bla_{PDC}$  mutations on bacterial fitness was explored by performing

- 35 competitive growth assays using the *P. aeruginosa*  $PA\Delta A$  strain carrying *bla*<sub>PDC</sub> allelic most
- 36 prevalent variants among CFD 2011 and 2017 populations (referred to as  $PA\Delta A$ -461,  $PA\Delta A$ -462,
- $PA\Delta A-463$ , and  $PA\Delta A-464$ ), tagged with the *lacZ* gene. These allelic variants combined A89V,

1 Q120K, H189Y, V213A and N321S substitution to generate triple, quadruple or quintuple variant

2 PDCs (Figures 1C and 2). Pairs of tagged/untagged strains were co-cultured *in vitro* and then

3 plated on LB-agar plates containing X-gal.

We first evaluated the relative fitness by competing each variant with the PA $\Delta$ A strain expressing the ancestral PDC-3 variant (PA $\Delta$ A-3). As shown in Figure 3A and B, significant differences were not observed in the absence of antibiotics. Instead, in the presence of the  $\beta$ lactams ceftazidime or aztreonam (the antibiotics used in the therapy of patient CFD), PA $\Delta$ A-461, PA $\Delta$ A-462, PA $\Delta$ A-463, and PA $\Delta$ A-464 clearly outcompeted PA $\Delta$ A-0. PA $\Delta$ A-464 showed lower levels of competitiveness than PA $\Delta$ A-461, PA $\Delta$ A-462 and PA $\Delta$ A-463, indicating that the introduction of a fifth substitution can compromise resistance to these antibiotics.

11 When competed between each other upon ceftazidime exposure,  $PA\Delta A$ -461 showed a 12 clear advantage over  $PA\Delta A$ -462, -463 and -464 (Figure 3C), suggesting that the 13 A89V/Q120K/V213A combination reached the highest relative fitness, whereas additional 14 substitutions impaired competitiveness in the presence of this antibiotic. For instance, the 15 quadruple variant PA $\Delta A$ -462 showed higher fitness than PA $\Delta A$ -463 (harboring H189Y instead 16 of N321S) and PA $\Delta A$ -464 (harboring both, H189Y and N321S), which in turn outcompeted 17 PA $\Delta A$ -463 (Figure 3C).

18 In the presence of aztreonam, a clear fitness advantage was observed for all variants 19 against PA $\Delta$ A-464. Yet, PA $\Delta$ A-463 and PA $\Delta$ A-462 showed higher relative fitness than PA $\Delta$ A-20 461, suggesting that the addition of N321S and H189Y extend the spectrum of  $\beta$ -lactam resistance 21 (Figure 3D).

These results support that the high prevalence of PDC-462 and PDC-463 variants in the population are correlated with the simultaneous administration of ceftazidime and aztreonam that took place prior to sample collection (Figs. 1A and D). Subsequently, the repeated rounds of ceftazidime treatments have clearly shaped the 2017 population, where PDC-461, the most resistant variant against cephalosporins, prevailed (Figure 2A).

27

#### 28 PDC variants show improved hydrolytic activity toward ceftazidime and ceftolozane

We next assessed the capacity of the most relevant PDC variants to hydrolyze  $\beta$ -lactams. The mature PDC-3, PDC-461, PDC-462 and PDC-463 proteins were expressed and purified from *E. coli* cultures to homogeneity. Then, we performed steady-state kinetic measurements to test the catalytic efficiencies against the  $\beta$ -lactams ceftazidime, piperacillin and imipenem. In agreement with previous reports (Rodríguez-Martínez et al., 2009; Drawz et al., 2011; Barnes et al., 2018), PDC-3 hydrolyzed efficiently piperacillin while showing a poor hydrolytic activity against ceftazidime, ceftolozane and imipenem (Table 2).

PDC-461, -462 and -463 efficiently hydrolyzed ceftazidime, showing 28-, 29- and 13fold increased catalytic efficiencies (respectively) relative to the ancestor PDC-3, indicating that

mutations in these PDC improved their catalytic performance on this cephalosporin. PDC-461
displayed a catalytic efficiency against piperacillin 100-fold impaired with respect to PDC-3,
disclosing a tradeoff in the substrate profile shaped by the presence of the three core mutations
(A89V, Q120K and V213A). Instead, the additional mutations present in PDC-462 and PDC-463
were able to restore this activity. Indeed, PDC-462 displayed hydrolytic levels against piperacillin
similar to PDC-3, in agreement with the observed piperacillin MICs for the strain expressing this
variant (Table 1).

8 All PDC variants maintained low hydrolysis rates for imipenem, displaying  $k_{cat}$  values 9 between 0.01 and 0.04 s<sup>-1</sup>, which correlate well with the imipenem susceptibility (MICs of 1 to 2 10  $\mu$ g/mL) observed in PA $\Delta$ A expressing either PDC-461, -462 or -463 variants (Table 1). 11 Remarkably, when the catalytic efficiencies of PDC-461, -462 and -463 were assessed against the recently introduced cephalosporin ceftolozane, the  $k_{cat}/K_m$  ratios showed significantly increased 12 values compared to that of the parental enzyme. PDC-462 and 463 show 16- and 8-fold 13 14 enhancements of this activity, a performance that is largely overcome by PDC-461, showing a 15 150-fold increase in  $k_{\text{cat}}/K_{\text{m}}$ .

These catalytic efficiencies correlate very well with the MIC levels of different antibiotics
determined in an isogenic *Pseudomonas* background (Table 1), revealing that the different
accumulated mutations are responsible of tuning the substrate profile of these variants.

19

## Molecular dynamics simulations reveal enlargement of substrate-binding pocket in PDC evolved mutants

22 The different substitutions present in the studied variants are scattered in the protein structure, 23 many of them being part of protein loops (Figure 4). Expansion of the substrate profile by 24 mutations in  $\beta$ -lactamases has been accounted for by changes in the protein dynamics. Therefore, 25 we performed classical molecular dynamics (MD) simulations on these variants (PDC-3, PDC-26 461, PDC-462, and PDC-463) in the unbound state. MD simulations were run for 200 ns and 27 conformational clustering was performed as described (González et al., 2014; Morán-Barrio et 28 al., 2016; González et al., 2018). All proteins preserved their global tertiary structure during the 29 MD simulations but revealed significant changes in the substrate binding pocket elicited by the 30 substitutions (Figure 5).

The three variants showing an enhanced activity towards ceftazidime and ceftolozane (PDC-461, PDC-462, and PDC-463) present a broader active site cavity (Figure 5B-figure supplement 3). Substitution V213A induces a conformational change in the  $\Omega$ -loop, involving residues 200-223. As a result, a hydrogen bond formed by phenolic OH of Y223 with the backbone of G214 present in PDC-3 is lost, inducing a conformational change in Y223 in PDC-461. Mutation Q120K eliminates a hydrogen bonding interaction of the amide side chain with N153 (from the YSN loop, located in the opposite side of the substrate binding pocket). This

results in a conformational change of this residue, with Lys120 pointing outwards and therefore
further widening the active site cavity (Figure 6). The structural impact of this mutation is similar
in PDC-461, -462 and -463, i.e., regardless the genetic background, highlighting the key role of
the Q120K mutation in the evolution of resistance.

Representative conformations extracted from the MD simulations were used to build in 5 6 silico the complexes of each PDC variant with ceftazidime, using the crystal structure of acylated 7 ceftazidime bound to EDC (Escherichia coli-derived cephalosporinase) (PDB 1IEL) (Powers et 8 al., 2001) as a template. In order to understand the interaction of ceftazidime with the protein 9 environment, we optimized these structures by hybrid quantum mechanics-molecular mechanics 10 (QM-MM) simulations. In all four complexes, ceftazidime interacts with residues S64, K67, 11 N153, Y223, S319, N321, N344, and N347, in agreement with the previous structural information (Powers et al., 2001; Barnes et al., 2018). The active site changes in the mutants allows a better 12 13 accommodation of the bulky R1 side chain from ceftazidime (Figure 5B- figure supplement 3). 14 In addition, the conformational change of Y223 results in an aromatic stacking interaction with 15 the 4-thiazolyl ring of ceftazidime at the R1 substituent (Figure 7). The N321S substitution in PDC-462 removes a hydrogen bond with A213 at the  $\Omega$ -loop that enables to recover the 16 17 interaction between Y223 and G214. Overall, all variants show a broadening of the active site 18 that accounts for the large increase in activity and resistance against ceftazidime of these PDC 19 variants.

20

#### 21 DISCUSSION

22 Laboratory-based experimental evolution experiments have been extensively used to replicate 23 bacterial adaptation during antibiotic therapy (MacLean et al., 2010; Palmer and Kishony, 2013; 24 Cabot et al., 2014; Baym et al., 2016; Card et al., 2019; Windels et al., 2020; Card et al., 2021). 25 This approach reduces complexity in order to establish reproducible and well-controlled 26 conditions, from which evolutionary changes and mutational trajectories can be analyzed. 27 However, it has become increasingly clear that in vitro antibiotic selection experiments do not 28 necessarily replicate resistance development taking place in more complex settings, where many 29 variables and selective factors can influence the adaptive potential and outcome in bacterial 30 populations (Didelot et al., 2016; Baker et al., 2018). Exploring long-term evolution in 'natural' environments, such as those from within-host populations, allows one to embrace this complexity, 31 32 providing new information that can be relevant for the development of novel strategies to control and/or prevent antibiotic resistance during infections. Here we investigated resistance as a 33 34 consequence of bacterial evolution in presence of all the patient co-factors (tissues, immune 35 responses, microbiota compositions, etc.), taking the experimental evolutionary system that is 36 exactly what creates the society associated resistance problem: the antibiotic-treated patient. 37 A previous study of the evolution of *P. aeruginosa* hypermutator lineages combining

1 longitudinal and cross-sectional analysis covering decades of CF chronic infection showed that 2 antibiotic resistance increases as infection progresses towards the establishment of a highly 3 diversified population, that converges towards multidrug resistance (Feliziani et al., 2014; Colque 4 et al., 2020). Here we have dissected the specific effects of mutations accumulated in the  $\beta$ -5 lactamase ampC gene ( $bla_{PDC}$ ) in isolates from a single patient who received a long-term treatment (26 years) with  $\beta$ -lactam antibiotics. Importantly, the adaptive evolution of PDC resulted from an 6 7 accumulation of multiple mutations in the  $bla_{PDC}$  gene that, when combined, resulted in high level 8  $\beta$ -lactam resistance. In particular, we show a large increase in the hydrolytic capability of variants 9 PDC-461, PDC-462 and PDC-463 against ceftazidime and ceftolozane, providing a structural and 10 functional rationale, and analyze these findings in the framework of the complexity of the 11 bacterial population elicited by a hypermutator strain.

12 The concept of population phenotype is related to the frequencies and distribution of 13 relevant alleles in bacterial populations, which can shape community functions and influence 14 clinical outcomes (Azimi et al., 2020). In this work, we demonstrate how the dynamics of multiple 15 and changing antibiotic pressures, together with higher mutation rates, resulted in high allelic variations of the PDC  $\beta$ -lactamase in a diversified resistant clonal population that originally 16 17 evolved from a susceptible ancestral infecting strain. Noteworthy, the many coexisting PDC 18 variants, each conferring particular spectrum resistance profiles, provide a wider  $\beta$ -lactam resistant phenotype to the population as a whole. For example, one of the most prevalent variants, 19 20 PDC-461 confers the highest resistance to cephalosporins in an activity trade-off that causes 21 collateral sensitivity to piperacillin. During subsequent steps along therapy, PDC-462 and PDC-22 463 have acquired insertions of novel "gain-of-function" substitutions in the PDC-461 23 background, which result in a wider substrate spectrum maintaining cephalosporin resistance, 24 with higher resistance to aztreonam, and restored resistance to piperacillin.

25 The high efficiency acquired by these PDC variants to confer resistance to the novel anti-26 pseudomonal cephalosporin, ceftolozane, is of great interest. To our knowledge, this is the first 27 study describing collateral resistance to ceftolozane in a patient that has never been treated with 28 this antibiotic. Resistance to ceftolozane has previously been observed in *P. aeruginosa* infected 29 patients when treated with this antibiotic (Munita et al., 2017; Fraile-Ribot et al., 2018), and other 30 studies have demonstrated that expression of a PDC-3 variant carrying a single E221K mutation can confer high MICs of ceftolozane in E. coli (Barnes et al., 2018). It has also been shown that 31 32 a substitution of Asp219 at the  $\Omega$ -loop 219, selected after treating a multi-drug resistant P. 33 aeruginosa strain with ceftolozane/tazobactam, enhances hydrolysis of this cephalosporin/β-34 lactamase inhibitor combination in vivo (Arca-Suárez et al., 2020). However, in vitro long-term 35 experiments of wild-type and mutator strains of P. aeruginosa exposed to increasing 36 concentrations of ceftolozane/tazobactam, showed that only mutator strains were able to develop 37 high-levels of resistance, by acquiring multiple mutations that led to overexpression and structural

modifications of PDC (Cabot et al., 2014). The value of an increased mutational and innovative
potential caused by hypermutability is documented in our *in-patient* study, making it
understandable why hypermutators are frequently isolated from bacterial populations challenged
by stressful conditions over long time periods (Oliver et al., 2000; Denamur and Matic, 2006;
Matic, 2019).

In addition to diverse polymorphisms previously described for PDC, we report three new 6 7 amino acid substitutions: A89Y, G205D and T256P, which together with Q120K, P154L, H189Y, 8 V213A, G216S, N321S, V330I and N347I mutations generated novel *bla*<sub>PDC</sub> allelic variants, each 9 harboring from 2 to 5 mutations. P154L, V213A, and N347I are located next to the conserved 10 YSN loop, the C-terminal region of  $\Omega$ -loop and the C3/C4 recognition region, respectively, and 11 have been shown to individually confer resistance to  $\beta$ -lactam antibiotics in *P. aeruginosa* clinical 12 isolates (Berrazeg et al., 2015; Barnes et al., 2018). The finding of new combinations that further 13 boost cephalosporin resistance supports the adaptability of the PDC scaffold to tolerate various 14 mutations, which at the same time provides a substantial gain-of-function.

15 The three most prevalent alleles are those that combine mutations A89V, Q120K and V213A and, at the same time, confer the highest resistance to cephalosporins and enhanced 16 17 competitiveness in the presence of ceftazidime. Molecular dynamics simulations revealed that 18 these three mutations give rise to a wider substrate-binding pocket in the PDC variants. This change favors binding of ceftazidime to the active site, providing space for better accommodating 19 20 the R1 side chain of this antibiotic (Figure 7A). In addition, substitutions Q120K and V213A 21 trigger a different orientation in the aromatic group of Y223 in the PDC-461 variant, favoring a 22 stacking interaction with the 4-thiazolyl ring present in the R1 group. Other substitutions located 23 in or near the  $\Omega$ -loop have been shown to enhance cephalosporin resistance by altering the 24 conformation of Y223 (Powers et al., 2001; Thomas et al., 2010; Barnes et al., 2018). This 25 conformational change improves hydrolysis of ceftazidime, ceftolozane and aztreonam, but has 26 the opposite effect on piperacillin. Instead, the N321S substitution in variant PDC-462 restores 27 the Y223 orientation present in PDC-3 while maintaining the enlargement of the substrate-binding 28 pocket, thus extending the hydrolysis towards ceftazidime, ceftolozane, aztreonam and 29 piperacillin. These results suggest that the broadening of the active site induced by the different 30 mutations is more relevant than the interaction with Y223.

The Q120K mutation results in a net widening of the active site entrance, due to the conformational change of the side chain (Figure 6). The impact of Q120K in resistance is evident from analyzing PDC-462 and PDC-460, which differ only by this substitution. The presence of this substitution elicits an impressive increase by 3-fold dilutions in the MICs towards ceftazidime, aztreonam, and ceftolozane (Table 1). We conclude that Q120K plays an important role in the evolution of resistance in this family of variants.

1 These structural changes result in a better accommodation of the R1 group from 2 ceftazidime: the volume in the active site region that recognizes R1 is increased by more than 3 two-fold in PDC-461, PDC-462 and PDC-463 compared to PDC-3. Interestingly, the R1 group 4 structure, which has been associated with the antipseudomonal activity (Zasowski et al., 2015), is 5 almost identical in aztreonam, ceftazidime, and ceftolozane (Figure 7B). In contrast, changes in 6 the volume of the active site cleft where R2 is located are not perceived to be important for the 7 binding of ceftazidime. We conclude that optimization of R1 binding driven by ceftazidime 8 circumvents this problem and provides a better recognition of both aztreonam and ceftolozane. In 9 the report by Berrazeg and coworkers (Berrazeg et al., 2015), the authors proposed that either 10 ceftazidime or cefepime could induce this effect. In light of the current study, we conclude that it 11 is unlikely that cefepime could elicit a similar cross-resistance effect as ceftazidime since the R1 12 group is different in this antibiotic.

13 In conclusion, this study combines genetic, biochemical and structural analyses of the 14 evolutionary process of antibiotic resistance in the natural environment where it truly occurs. This 15 detailed scrutiny of the evolution of a P. aeruginosa clone persisting within a single patient 16 reveals how the consistent and intensive antibiotic treatment in the setting of a hypermutator 17 genotype leads to a multidrug resistance phenotype, primarily driven by combined substitutions 18 in the chromosomal  $\beta$ -lactamase, PDC. The amazing plasticity of the PDC structure not only 19 confirms the already known capacity to evolve when facing the challenge of new β-lactams, but 20 also warns us that chemical similarities among  $\beta$ -lactams from different generations could lead to an unexpected evolution of resistance, particularly in the context of a chronic infection by a 21 22 hypermutator strain. Altogether, our results emphasize the huge evolutionary potential of 23 hypermutator strains and uncover the link between the antibiotic prescription history and the in-24 patient evolution of antibiotic resistance that relies on a molecular-based hypothesis of the 25 adaptation of the PDC  $\beta$ -lactamase. Finally, they highlight the importance of integrating bench-26 to-bedside research to fully understand the processes that lead to antibiotic resistance.

27

#### 28 MATERIALS AND METHODS

Clinical isolates were obtained from sputum samples from an adult patient with cystic fibrosis
attending the Copenhagen Cystic Fibrosis Center at University Hospital Rigshospitalet, Denmark
(CFD Patient) (Feliziani et al., 2014). The use of the samples was approved by the local ethics
committee of the Capital Region of Denmark (Region Hovedstaden; registration numbers H-A141 and H-1-2013-032), and patient gave informed consent.

Isolation and identification of *P. aeruginosa* from sputum was carried out as previously described
(Høiby and Frederiksen, 2000). Patient age at the time of the first isolate collection was 23 years
and the onset of the chronic infection with *P. aeruginosa* was in 1986. The *P. aeruginosa*

37 collection included: an initial isolate from 1991, two intermediate isolates from 1995 and 2002,

1 and two populations of isolates collected in 2011 (Feliziani et al., 2014) and 2017 (this study).

2 For cross-sectional analysis, 30 isolates were taken randomly from the 2011 and 2017 sputum

3 samples. Isolates were stored at  $-70^{\circ}$ C in glycerol stock solution. The 2017 sputum sample was

4 divided in two: one for the isolation of *P. aeruginosa* clones and the other for DNA extraction for

5 ultra-deep sequencing analysis.

6 The sequences of the *bla*<sub>PDC</sub> gene corresponding to the described PDCs variants have been
7 deposited in GenBank under the accession numbers shown in SI Appendix.

8 Materials and methods describing the sequence analysis of *bla*<sub>PDC</sub> gene in *P. aeruginosa* CFD

9 isolates, DNA extraction and PCR amplification of  $bla_{PDC}$  gene from whole sputum samples; the

10 construction of *P. aeruginosa*  $\Delta bla_{PDC}$  deficient (PA $\Delta A$ ) and  $bla_{PDC}$ -lacZ (PA $\Delta A$ -lacZ) strains;

11 cloning of *bla*<sub>PDC</sub> allelic variants and expression levels of PDCs in pMBLe vector; competition

12 experiments for determination of competitive fitness of  $bla_{PDC}$  variants; expression and

13 purification of PDC proteins; classical molecular dynamic simulations and QM-MM calculations

14 with the PDC variants (in apo versions) and PDC in complex with ceftazidime are described in

- 15 detail in the SI Appendix section Materials and Methods.
- 16

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35

#### **36 AUTHOR CONTRIBUTIONS**

- 1 AMS and AJV designed research and supervised the study. CAC, PET, AGAO, GD, RAH, LGH,
- 2 SF, AJM performed experimental research. HKJ provided clinical samples and bacterial
- 3 collection. CAC and LMS analyzed bioinformatics ultra-deep sequencing data. DMM, performed
- 4 molecular modeling analyses. CAC, PET, AGAO, DMM, RAB, HKJ, SM, AJV and AMS
- 5 analyzed data; RAB, SM, AJV and ASM wrote the paper.
- 6

#### 7 COMPETING INTERESTS

- 8 Authors declare no competing interests.
- 9

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#### 4 Figure 1. β-lactamase PDC variants from CFD isolates.

5 A) Overview of isolate sampling time points and  $\beta$ -lactam antibiotic treatment throughout the 26-6 years study. P. aeruginosa isolates were collected from patient CFD between 1991 and 2017. 7 Dotted lines indicate the time of isolation of the 1991, 1995, and 2002 isolates, as well as the 8 collections of 30 isolates from single sputum samples in 2011 and 2017. The plus and minus 9 symbols indicate the hypermutability state of the *P. aeruginosa* strains. The  $\beta$ -lactam antibiotics used in chemotherapy are listed on the y axis. Treatment with this group of antibiotics started 10 11 from 1986 and lasted until end of 2016. Gray circles indicate the start and end of an antibiotic 12 dose. B) Clustering of CFD isolates sequenced in Feliziani et al. (Feliziani et al., 2014), based on maximum-parsimony analysis. Circle colors represent different types of PDC variants from CFD 13 14 isolates. C) Schematic representation of the  $\beta$ -lactamase PDC protein sequence strain PAO1, and of the 8 PDC-variants that emerged during the 20 years of evolution, with their amino acid 15

- 1 variations respect to PAO1. Numbering of amino acids refers to the mature protein of PAO1
- 2 strain, after cleavage of the 26 N-terminal amino acid residues from the signal peptide, according
- 3 to the PDC-wide structural position system (SANAC numbering) (Mack et al., 2020). Early
- 4 isolates from 1991 and 1995 harbor PDC-3 with a T79A polymorphism, which was also present
- 5 in all the sequenced isolates. Isolate from 2002 harbored PDC-458 variant. The set of 30 isolates
- 6 evaluated in 2011 harbored PDC-459 to PDC-464 variants. D) Pie chart indicates the percentage
- 7 of each PDC variant in the 2011 population, respect to the total number of isolates (30) in which
- 8 *bla*<sub>PDC</sub> gene was sequenced. PDC-3 differs from PDC-1 from PAO1 by the T79A mutation, which
- 9 does not affect resistance nor the substrate specificity of the lactamase (Rodríguez-Martínez et
- 10 al., 2009; Berrazeg et al., 2015).

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#### 4 Figure 2. Population analysis on CFD 2017 sample.

5 Sputum sample collected from patient CFD was divided in two and each half was processed for 6 A) Sanger sequencing of *bla*<sub>PDC</sub> gene in 30 isolates for which sputa was plated in *Pseudomonas* 7 isolation agar and grown for 48hs at 37°C. Pie chart indicates the percentage of each PDC variant 8 in the 2017 population, respect to the total number of isolates sequenced. B) Sequencing of *bla*<sub>PDC</sub> 9 gene directly amplified on sputum sample for which DNA was extracted and sequenced on 10 Illumina MiSeq. Graph represent the percentage of total reads of each amino acid (AA) variation 11 in the whole population of *P. aeruginosa* (Table supplement 2). T79A (grey bar), considered as a 12 polymorphism that does not affect  $\beta$ -lactam resistance (Berrazeg et al., 2015), was in the 100% of the population confirming CFD patient was colonized by a P. aeruginosa lineage derived by a 13 14 single ancestral clone containing the PDC-3 variant.



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#### Figure 3. In vitro competition experiments among different bla<sub>PDC</sub> alleles. 21

Competition experiments were performed in the absence or presence of ceftazidime (CAZ, A and 22 C) or aztreonam (AZT, B and D). PAAA strain expressing PDC-461, PDC-462, PDC-463 or PDC-23 24 464 (i.e. PAAA-461, PAAA-462, PAAA-463, and PAAA-464) were competed against PAAA expressing PDC-3 (PA $\Delta$ A-3). Fitness (S) relative to PA $\Delta$ A-3 for (A) ceftazidime or (B) 25 aztreonam are shown. Then, PA $\Delta$ A-461, PA $\Delta$ A-462, PA $\Delta$ A-463 and PA $\Delta$ A-464 were competed 26 27 against each other in the absence of antibiotics or presence of (C) ceftazidime or (D) aztreonam. 28 (See SI Appendix for scheme of antibiotic concentration). Measurements were carried out in 29 triplicates for at least two independent experiments, and the results are expressed as means with their SEM. Statistically significant differences at p < 0.0001, p < 0.01 and p < 0.05 are identified 30 by \*\*\*\*, \*\* and \*, respectively (two-way ANOVA followed by Tukey's Multiple Comparisons 31 32 Test).

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# Figure 4. Representation of the PDC β-lactamase structure from *P. aeruginosa* PAO1 (PDB 400Y doi:10.2210/PDB40OY/PDB).

7 The different structural regions lining the binding site are colored as follows: omega loop, red;

8 helix H-10, blue; R2 loop, orange; and YSN, purple. The amino acids residues, which were

9 mutated across the different  $bla_{PDC}$  allelic variants in this study are represented with sticks in

10 green and pointed with arrows.

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#### 5 Figure 5. Molecular modeling of PDC proteins.

6 Structures of the apo/free versions (A) and coupled with ceftazidime antibiotic CAZ (B) with their

7 active site cavities are shown. Colors of protein structures: PDC-3 (grey), PDC-461 (yellow),

8 PDC-462 (purple) and PDC-463 (green).



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#### 4 Figure 6. Comparison of representative snapshots of the MD simulations of each protein

#### 5 studied vs PDC-3 showing the active site cavity environment (R1 region).

- 6 In all representations, N atoms are depicted in blue, oxygen atom in red and key residues are
- 7 highlighted in sticks. C atoms of the PDC-3 are depicted in grey. (A) PDC-3 vs PDC-461 (C
- 8 atoms in yellow); (B) PDC-3 vs PDC-462 (C atoms in purple); (C) PDC-3 vs PDC-463 (C atoms
- 9 in green). Residue distances are depicted with dashed lines.
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14 Figure 7. Orientation change in Y223 residue and evidence of a stacking interaction. (A) 15 When ceftazidime (CAZ) antibiotic was introduced in PDC protein structures modeling, a strong orientation change in Y223 residue of PDC-461 was seen. Y223 residues are colored in gray, 16 yellow, purple and green as for their PDC variants PDC-3, PDC-461, PDC-462 and PDC-463 17 18 respectively. Structure of CAZ antibiotic and the location of S64 active site is also depicted. (B) 19 Structures of β-lactam antibiotics for which PDC variants showed increased resistance. The R1 20 side chains of the  $\beta$ -lactam antibiotics are shown in red, and the R2 side chains of the 21 cephalosporins and monobactam aztreonam are shown in blue.

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	MIC (µg/mL) <sup>b</sup>								
Strains <sup>a</sup>	CAZ	CTZ	CTZ/TZ	AZT	PIP	PIP/TZ	FEP	IMP	MEM
	S; ≦8	S; ≤4	S;≤4/2	S; ≤8	S;≤16	S;≤16/4	S; <u>≤</u> 8	S; ≤4	S;≤4
PAO1	2	1	1	8	16	8	4	2	1
ΡΑΔΑ	2	1	1	8	16	8	4	1	1
ΡΑΔΑ-Εν	1	1	1	4	4	4	2	0.5	0.5
ΡΑΔΑ-1	4	1	1	8	64	16	4	1	1
ΡΑΔΑ-3	4	1	1	4	64	16	4	1	1
(T79A)									
PA <b>A</b> -458	4	2	1	16	16	8	2	1	1
(G216S, V330I)	100		0	100					
РА∆А-459	128	32	8	128	32	16	4	1	1
(Q120K, P154L, V213A)	22	2	1	16	<i>C</i> 1	10	4	1	1
ΥΑΔΑ-460	32	Z	1	10	04	10	4	1	1
(A89V, V213A, N321S) <b>PA</b> $\land$ <b>A</b> -461	128	16	16	32	8	2	8	1	1
(A90V 0120E V212A)	120	10	10	52	0	2	0	1	1
PAΔA-462	128	8	8	64	64	16	4	1	1
(A89V, Q120K, V213A, N321S)									
PA∆A-463	64	8	4	128	32	16	4	2	1
(A89V, H189Y, Q120K, V213A)									_
PAA-464	64	8	4	16	64	16	4	1	1
(A89V, H189Y, O120K, V213A, N321S)									

#### 1 **Table 1.** Susceptibility profiles of PAAA strain complemented with the different PDC variants

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<sup>a</sup> bla<sub>PDC</sub> allelic variants (PDC-3 and PDC-458 to PDC-464) were cloned into pMBLe vector and
transformed into PAΔA strain. PAΔA expressing PDC variant from PAO1 (i.e. PAΔA-PDC-1) or the
empty vector (i.e. PAΔA-EV) were used as controls. <sup>b</sup> Abbreviations: CAZ, ceftazidime; CTZ,
ceftolozane; CTZ/TZ, ceftolozane/tazobactam at 2:1 relation, AZT, aztreonam; PIP, piperacillin;
PIP/TAZ, piperacillin/tazobactam at a fix concentration of 4µg/mL; FEP, cefepime; IMP, imipenem;
MEM, meropenem. Shown are values from at least two independent experiments. Values of MICs of
PAΔA-3, PAΔA-461 to -463 are highlighted in bold for comparisons with the kinetic parameters

11 obtained for CAZ, CTZ, PIP and IMP antibiotics.

<sup>3</sup> 

0 19	PDC-3			PDC-461			PDC-462			PDC-463		
p-lac"	K <sub>M</sub>	k <sub>cat</sub>	k <sub>cat</sub> / K <sub>M</sub>	K <sub>M</sub>	kcat	k <sub>cat</sub> / K <sub>M</sub>	K <sub>M</sub>	kcat	k <sub>cat</sub> / Км	K <sub>M</sub>	kcat	k <sub>cat</sub> / K <sub>M</sub>
CAZ	57.4 ± 39.3	$\begin{array}{c} 0.0105 \\ \pm \ 0.0008 \end{array}$	0.2 ± 0.1	93 ± 40	$\begin{array}{c} 0.46 \\ \pm \\ 0.01 \end{array}$	5 ± 2.	23 ± 2	$0.12 \\ \pm \\ 0.01$	5.3 ± 0.9	55 ± 27	0.13 ± 0.01	2.4 ± 1.3
PIP	19± 7	3 ± 2	154 ± 160	105 ± 2	$0.145 \\ \pm \\ 0.007$	1.38 ± 0.09	10 ± 3	1.44 ± 0.06	146 ± 5	54.5 ± 3	0.8 ± 0.2	15 ± 1
IMP	10.4 ± 3	0.016± 0.01	2 ± 1	3± 2	$0.007 \\ \pm \\ 0.002$	0.2 ± 0.2	37 ± 4	0.039 ± 0.005	$1 \pm 0.2$	36 ± 5	$0.013 \\ \pm \\ 0.001$	0.36 ± 0.09
CTZ <sup>b</sup>	ND	ND	0.04 ± 0.01	ND	ND	6.1 ± 1.3	ND	ND	0.7 ± 0.1	ND	ND	0.33 ± 0.06

#### 1 Table 2. Kinetic parameters of PDC variants with different substrates

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<sup>3</sup> <sup>a</sup>Kinetic parameters were determined for ceftazidime (CAZ), piperacillin (PIP), imipenem (IMP)

4 and ceftolozane (CTZ). Two independent experiments were carried out and results are expressed

5 as means. Units: Km ( $\mu$ M).  $k_{cat}$  (s<sup>-1</sup>) and  $k_{cat}$  / $K_m$  (mM<sup>-1</sup> s<sup>-1</sup>). <sup>b</sup> Due to the low hydrolysis of

6 ceftolozane,  $k_{cat}/K_m$  values were obtained from Lineweaver-Burk plots. ND, not determined.