

1 **The first crested duck genome reveals clues to genetic compensation and crest**
2 **cushion formation**

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24 **Abstract:** The Chinese crested (CC) duck is a unique indigenous waterfowl breed
25 with a phenotypic crest trait that affects its high survival rate. Therefore, the CC duck
26 is an ideal model to investigate the genetic compensation response to maintain genetic
27 stability. In the present study, we first generated a chromosome-level genome of CC
28 ducks. Comparative genomics revealed genes related to tissue repair, immune
29 function, and tumors were under strong positive selection, which suggested that these
30 adaptive changes might enhance cancer resistance and immune response to maintain
31 the genetic stability of CC ducks. We sub-assembled a Chinese spot-billed duck
32 genome and detected genome-assembled structure variants among three ducks.
33 Functional analysis revealed that a large number of structural variants were related to
34 the immune system, which strongly suggests the occurrence of genetic compensation
35 in the anti-tumor and immune systems to further support the survival of CC ducks.
36 Moreover, we confirmed that the CC duck originated from the mallard ducks. Finally,
37 we revealed the physiological and genetic basis of crest traits and identified a
38 causative mutation in *TAS2R40* that leads to crest formation. Overall, the findings of
39 this study provide new insights into the role of genetic compensation in adaptive
40 evolution.

41

42 **Keywords:** Genetic compensation; Chinese crested duck; Crest cushion; Genome
43 adaptive evolution

44

45 **Introduction**

46 Organisms have developed dynamic buffer systems during evolution to maintain
47 normal development in the presence of certain genetic mutations[1-4]. Organisms
48 adapt to their environments by genomic fine-tuning during their evolution. Recently,
49 the genetic compensation response (GCR), a new mechanism supporting genomic
50 robustness, was found in zebrafish [5, 6], mice [7] and rockcress [8] by
51 gene-knockout mutations. In a sense, the organism developed a lethal phenotype
52 caused by harmful mutations, or in these instances resulting 'similar to gene knockout.
53 Under the action of long-term natural selection and artificial selection, the GCR
54 causes a series of genetic compensation mutations, thereby promoting genetic stability
55 to maintain the organism. Over time, compensation mutations may lead to a series of
56 phenotypic changes that offset the lethal phenotype to maintain the population.

57 The Chinese crested (CC) duck is a unique breed with complex feather-protruding
58 traits that are collectively termed the crest. Feather crests are widely distributed in
59 birds (such as cockatoos, grey-crowned cranes, and great-crested grebes), although
60 there are significant differences in shape and physiological mechanisms. Almost all
61 birds with crest traits exhibited a distinct crown formed by prominent feathers. The
62 crest cushion of the CC duck consists of soft tissue protuberances covered by feathers
63 and skin. While the presence of a crest does not affect survival in most crested birds,
64 crested ducks are an exception. Previous studies of 'Hochbrutflugenten' (HBTcr)
65 ducks, which are crested duck breeds in Germany, have shown that crested ducks
66 have high pre- and postnatal mortality, exhibiting motor incoordination in the wild
67 due to incomplete skull closure [9-11]. Although the phenotype composition of the
68 crest cushion and the fertilization rate in HBTcr and CC ducks were similar, the
69 survival rate of CC ducks was significantly higher (more than 95%) after birth with
70 good motor coordination. Therefore, the formation mechanism of the crest cushion
71 and the genomic compensation for the effect of the crest cushion on the CC duck has
72 gathered considerable interest in CC duck research. However, resolving this issue has

73 proven challenging because the crest trait is phenotypically complex. Nevertheless,
74 the CC duck is an ideal example to help explain the function of the GCR in
75 maintaining genetic stability. Specifically, genome assembly may be the best solution
76 to address these issues. However, the genomic resources for duck are limited, with
77 published genome sequences limited to Peking duck, mallard duck, and Shaoxing
78 duck in the NCBI database [12-14]. In addition, these genomes cannot reveal the basis
79 of crested cushion formation at the genomic level.

80 To explore the physiological and genetic basis behind the formation of crest cushions,
81 we first assembled a high-quality CC duck genome and a Chinese spot-billed duck
82 (Csp-b duck; *Anas zonorhyncha*) genome. These genomes were compared to those of
83 other wild and domesticated ducks to investigate shifts in structural variants and genes
84 under adaptive evolution. Our results provide valuable insights for understanding the
85 role of the GCR in adaptive evolution and provide a valuable genomic resource for
86 future genome-wide analyses of economically important traits in poultry.

87 **Results**

88 *Genome assembly of the CC duck*

89 A 28-week-old female CC duck was selected for genome sequencing and assembly.
90 The genome size was estimated to be 1.26 Gb based on the *k*-mer distribution (Figure
91 S1 and Table S1). To generate a high-quality reference genome for CC duck, a total
92 of 85.06 Gb (~75.97x) PacBio long reads were assembled using FALCON v0.7 [15]
93 and this assembly was then polished using Quiver (smrtlink v6.0.1) [16]. Thereafter,
94 10× Genomics (~79.15x) was used to connect contigs into super-scaffolds with the
95 software fragScaff [17], which resulted in a 1.13 assembly (CC_duck_v1.0) with an
96 N50 contig size of 3.24 Mb and an N50 scaffold size of 7.61 Mb (Table S3).
97 Approximately 88.65 Gb (~79.15x) Illumina paired-end reads were used to polish the
98 assembly with Pilon v1.18. Using the high linkage genetics map, 1,216 scaffolds were
99 anchored and oriented onto 37 autosome chromosomes using CHROMOMER [18]
100 (Figure S3). The remaining scaffolds were organized into the CC duck Z

101 chromosomes based on their sequence similarity with the Z chromosomes of the
102 published duck genome (CAU_duck1.0) by MUMmer v3.23. The final assembly
103 yielded an N50 scaffold size of 73.74 Mb and an N50 contig size of 3.24 Mb, and
104 ~94.10% of the assembly genome was anchored onto the 38 chromosomes (i.e., 37
105 autosome chromosomes and one Z chromosome) (Figure 1 and Table S4). To assess
106 the quality and integrity of the genome assembly, short paired-end reads were aligned
107 with the assembly. Overall, 96.68% of the paired-end reads could be mapped to the
108 genome, suggesting high integrity of our assembly genome (Table S5).
109 Benchmarking Universal Single Copy Orthologs (BUSCO) [19] showed that 97.7%
110 (2,527/2,586) of vertebrate single-copy orthologous genes were captured in our
111 assembly, which was comparable or even better than that in published duck genomes
112 (Table S6). A total of 17,425 protein-coding genes were predicted in the CC duck
113 genome by combining *de novo*, homology-based, and RNA-sequence gene prediction
114 methods (Table S7). In addition, to help explore the origin and adaptive evolution of
115 CC ducks, we assembled another duck genome (Csp-b duck) with $\sim 85.81 \times$
116 paired-end reads using SOAPdenovo (Supplementary Note 8 and Table S32) [20].
117 Finally, we generated a 1.10 assembly with an N50 scaffold size of 675.96 kb (Table
118 S8) and predicted 15,278 protein-coding genes based on homologous comparison
119 approaches (Table S9).

120 *Historical population structure reveals the origin of the CC duck*

121 The CC duck is a unique domesticated duck breed with a crest cushion in China.
122 According to historical records, the first documented origin of the CC duck can be
123 traced back to the early Ming Dynasty in China (A.D. 1368) and may have been
124 present earlier (Figure S4). To explore the origin of the crest cushion, we obtained
125 data from three wild duck breeds (mallard duck from Ningxia Province (MDN),
126 mallard duck from Zhejiang Province (MDZ), and Csp-b) and two domesticated duck
127 breeds (Pekin duck and CC duck) (Table S10). After excluding linked SNP loci that
128 could potentially bias clustering results, we built a neighbor-joining (NJ) tree using 39

129 samples. The NJ tree assigned all samples to three major groups (the wild duck, Pekin
130 duck, and CC duck groups) (Figure 2a). These clustered results were also supported
131 by principal component analysis (PCA) (Figure 2b). Additionally, we used FRAPPE
132 to explore the genetic composition of each group after initially removing potential
133 bias caused by missing loci [21]. The sample clusters were evaluated using an ad hoc
134 statistic (ΔK). The domesticated ducks were separated from the wild type ducks when
135 the cluster number K was set to 2. The ΔK value reached its maximum at $K = 3$,
136 indicating the uppermost structural level. At the same time, the MDZ was also
137 separated from the MDN. At $K = 4$, the clusters revealed that the Pekin duck shared
138 gene flow with the CC duck (Figure 2c). The results of the NJ tree, PCA, and
139 FRAPPE indicated that there was gene flow between the Pekin and CC ducks. We
140 inferred that the CC duck might have been domesticated independently from the
141 MDZ.

142 Broad-scale population collection and management of CC ducks are critical for
143 population recovery. Such efforts are challenging because the historical population
144 scale of CC ducks is unclear. To infer the ancient demographic history of the CC
145 ducks, the PopSizeABC method, which is based on approximate Bayesian
146 computation, was used to predict the effective population size (N_e) of CC ducks,
147 Pekin ducks, and mallard ducks over the past 100,000 years. Over this period, we
148 found that the population size of CC (Figure 2d), mallard (Figure 2e), and Pekin
149 ducks (Figure 2f) varied significantly in the degree of fluctuations followed by a short
150 period of relative population stability before the near extinction of the CC duck in the
151 past 100 years. The recent demographic pattern implies that the CC duck experienced
152 a population increase over the past 70 years through human protection beginning in
153 the 20th century.

154 *Gene evolution related to the GCR and crested trait formation of CC duck*

155 To reveal the genomic signatures of the GCR in the adaptive evolution of the CC
156 duck, its genome and 13 other published species genomes (Table S11) were selected

157 for gene family clustering analysis using OrthoMCL software [22], which identified
158 19,605 gene families including 3,089 single-copy gene families. Based on 3,089
159 single-copy genes, we constructed a phylogenetic tree and estimated the divergence
160 times of these 14 species. Phylogenomic analysis showed that the CC duck diverged
161 from goose ~23.3 million years ago (Mya)—slightly earlier than the previous
162 molecular-based estimate of 20.8 Mya for ducks and geese [23] (Figure 3a).
163 Interestingly, we found that the crest cushion also exists in every branch of the
164 gray-crowned crane, great-crested grebe, hoatzin, and little egret. Other species in the
165 phylogenetic tree also possess a crest, such as crested cockatoo, crested pigeons, and
166 emperor penguins, but the type and function of their crests may vary. Therefore, we
167 considered that the crest might be widespread in all types of birds, although the
168 crested characters were removed or preserved in some birds under natural selection or
169 human intervention.

170 *Gene family evolution*

171 Gene family expansion and contraction were examined using CAFE software [24] .
172 Compared to the most recent common ancestor (MRCA), we identified 75 expanded
173 gene families and 19 contracted gene families in CC ducks (Figure 3a). Furthermore,
174 these expanded gene families were mainly enriched in gene ontology (GO) terms
175 including cell adhesion, intracellular non-membrane-bound organelles, Wnt-activated
176 receptor activity, and interleukin-1 receptor binding. KEGG enrichment analyses
177 predicted that these genes were involved in the Hippo signaling pathway, cell
178 adhesion molecules, gap junctions, and signaling pathways regulating the
179 pluripotency of stem cells. We speculated that these expanded genes in CC ducks may
180 potentially participate in special phenotypic evolution, such as that of the crest
181 cushion (Tables S11–S14). In addition, the tripartite motif containing 39 (*TRIM39*)
182 and 7 (*TRIM7*), which are parts of the contracted genes, have been implicated in the
183 immune system [23, 25]. The TRIM gene family has been shown to be involved in
184 some tumor mechanisms due to E3-ubiquitin ligase activity [26]. These results might

185 provide the basis for phenotypic plasticity and compensate for the effect of the crest
186 cushion. Compared with the chicken and goose genomes, we identified 608 gene
187 families specific to CC duck, many of which were involved in cell adhesion
188 molecules, focal adhesion, and calcium ion binding, especially tissue repair and tumor
189 formation pathways (Table S15–S16), suggesting that these genes might play an
190 essential role in cancer development, diffusion, and tissue repair. Collectively, we
191 considered that human intervention led to adaptive evolution in the protective
192 mechanisms of certain species.

193 *Positive selection of genes involved in the anti-tumor response*

194 Positive selection has undoubtedly played an important role in the evolution of
195 animals, especially in maintaining some endangered species. We identified 479
196 positive selection genes (PSGs) in the CC duck lineage. Functional enrichment
197 analyses showed that these PSGs were significantly associated with genomic stability
198 and tumor formation and were assigned terms including mismatch repair, cellular
199 response to DNA damage stimulus, DNA double-strand break repair telomere
200 maintenance *via* telomerase, and cancer, which may be the underlying basis for the
201 crest cushion (Tables S17–S18). Importantly, we found that several key genes were
202 under positive selection, such as epidermal growth factor (*EGF*),
203 phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit delta (*PIK3CD*), and
204 phosphoinositide-3-kinase regulatory subunit 4 (*PIK3R4*), which are involved in
205 PD-L1 expression and the PD-1 checkpoint pathway, providing further evidence that
206 the evolution of the crest cushion relies on tumor formation. Furthermore, we found
207 that the Fraser extracellular matrix complex subunit 1 (*FRAS1*) gene was also under
208 positive selection in CC ducks, which provides evidence that *FRAS1* is associated
209 with hair curliness [27]. In addition, certain genes (e.g., golgin, RAB6-interacting
210 (*GORAB*), Fas cell surface death receptor (*FAS*), etc.) in the p53 pathway were also
211 positively selected. These findings suggest that CC ducks might have enhanced
212 *GORAB* and reduced mouse double minute 2 homolog (*MDM2*) expression during

213 evolution, thereby promoting p53 escape and activating the apoptosis pathway [28].
214 We also found some proto-oncogenes under strong positive selection in CC ducks,
215 such as key genes in the PI3K-Akt signaling pathway (*PIK3CD*, *PIK3R4*, collagen
216 type VI alpha 1 chain (*COL6A1*), *EGF*, laminin subunit alpha 1 (*LAMA1*), and von
217 Willebrand factor (*VWF*); Figure 3c). These results suggest that genetic
218 complementation mutations might have occurred at the genomic level. The effect of
219 this compensation variation was amplified by artificial protection, allowing the CC
220 duck to continue to survive or even expand its population.

221 In addition, to identify the expression level of PSGs during the crested cushion
222 development, we compared the crest region and adjacent frontal skin tissues at each
223 important embryo development stage to identify differentially expressed genes (DEGs)
224 (Figure S5). For the crested cushion, we identified 176, 207, 203, 233, 296, and 401
225 DEGs in each developmental stage, respectively. Based on the KEGG enrichment
226 analysis, we found that almost all DEGs were enriched in fatty acid biosynthesis and
227 metabolism, tumor formation and anti-tumor response, tissue repair, and neural cell
228 development pathways. Furthermore, the positive selection genes, such as connective
229 tissue growth factor (*CTGF*), fatty acid synthase (*FASN*), homeobox D10 (*HOXD10*),
230 syndecan 3 (*SDC3*), and four and a half LIM domains 2 (*FHL2*), were DEGs between
231 the crest region and adjacent frontal skin tissues, which were enriched in osteoclast
232 differentiation, cell adhesion molecules, fatty acid biosynthesis and metabolism, and
233 microRNA in cancer pathways. Overall, positive selection analysis and gene
234 expression results suggested that crest cushion formation was largely related to neural
235 cells, skin tissue, bone, and fatty tissue.

236 *Genomic signatures reveal the domestication of CC ducks*

237 To identify genomic regions influenced by the domestication of CC ducks, we
238 compared the genomes of MDZ and CC duck populations representing different
239 geographic regions using the cross-population extended haplotype homozygosity
240 (XP-EHH) [29]. We identified 1,151 (XP-EHH score > 4.409, Z-test $P < 0.01$)

241 putative selective sweeps in the CC duck genome compared to MDZ (Figure 4a). To
242 further identify genome-wide signatures of domestication selection, we calculated the
243 fixation index (F_{ST}) values between MDZ and CC ducks. In total, we identified 919
244 putative selective sweeps of CC ducks compared to MDZ ($F_{ST} > 0.504$, top 0.01)
245 (Figure 4b). As genomic regions targeted by artificial selection may be expected to
246 have decreased levels of genetic variation, we also measured and plotted nucleotide
247 diversity (π) along their genomes. Selecting the windows with the top 1% diversity
248 ratios, i.e., low diversity in the two mallard ducks but high diversity in the CC ducks,
249 we found 1,023 potential artificial selection windows by the CC duck compared to the
250 MDZ (Figure 4c). Combining the results of the three methods (F_{ST} , π , and XP-EHH),
251 we obtained 51 putative selective regions covering 30 genes in the CC duck
252 domestication process (Table S19). Among these genes, we found that dynamin 3
253 (*DNM3*), which is an activator of p53, was under selection. *DNM3* is a member of the
254 dynamin family, which possesses mechanochemical properties involved in
255 actin-membrane processes, is predominantly expressed in the brain, and is associated
256 with Sézary's syndrome, which is a lymphoproliferative disorder. Nanog homeobox
257 (*NANOG*), a gene under positive selection in CC ducks, is a key factor in the
258 specification of early embryonic pluripotent cells. If *NANOG* is ablated *in vivo*, it will
259 directly affect the fate determination of embryonic stem cells. In addition, a previous
260 study suggested that *NANOG* inhibits apoptosis and promotes cell cycle arrest mainly
261 *via* p53 regulation. In addition, transient receptor potential cation channel subfamily
262 V member 5 (*TRPV5*), which is the key gene regulating the homeostatic balance of
263 calcium, is also under positive selection in CC ducks. The function of these genes
264 under positive selection during CC duck domestication suggests that regulatory
265 elements may also play a role in the GCR of the crested trait formation.

266 *Structural variation detection reveals the essentials of genome adaptive evolution and*
267 *genetic compensation*

268 Genome-level evolution and structural variant accumulation provide an impetus for

269 the adaptive genome evolution of species. Genomic structural variation can have a
270 pronounced phenotypic impact, disrupting gene function and modifying gene dosage,
271 whereas some large structural variations can lead to large body mutations, including
272 neurodevelopmental disorders and unique trait formation. The CC duck has specific
273 phenotypic traits in the crest cushion and immune levels compared to the Pekin duck
274 and Csp-b ducks. To explore the reasons for these differences at the genomic level,
275 we used the same approach as that of Li et al. [30]. We identified 9,369 structural
276 variants (SVs), including 1,935 insertions, 4,118 deletions, and 3,316 inversions in the
277 CC duck assembly. These SVs correspond to 71.91% (6,737/9,369) of the previous
278 SVs from Illumina short-read genome sequencing, and 28.09% (2,632/9,369) of the
279 SVs were novel. We found 1,541 species-specific genes to be embedded or almost
280 completely contained (>50% overlap of gene length) in the missing sequences of the
281 Pekin duck assembly. We explored functional enrichment for the SVs and
282 species-specific genes from CC ducks using the ClusterProfiler package of R v4.1.0
283 packages[31] and the GO terms revealed by the clustering tool REVIGO (Figure
284 S6–S8) [32]. We identified 35 GO terms that were significantly overrepresented (false
285 discovery rate (FDR) < 0.05) in more than one gene (Table S20). Notably, there were
286 some GO terms related to tissue repair, including cell adhesion, homophilic cell
287 adhesion, and cell communication. These functions may be related to the unique crest
288 traits of the CC ducks. The candidate genes contained several genes related to the
289 immune system and signal transduction, which may have played important roles in
290 the sub-phenotype of the crest trait in CC duck, including: ephrin type-A receptor 1
291 (*EPHA1*), a key factor required for angiogenesis and regulating cell proliferation;
292 RUNX family transcription factor 2 (*RUNX2*), mutations of which have been found to
293 be associated with the bone development disorder cleidocranial dysplasia; and taste 2
294 receptor member 40 (*TAS2R40*), which plays a role in the perception of bitterness.
295 Interestingly, some gene families related to animal domestication have appeared as
296 structural variants, such as the SLC superfamily of solute carriers and taste 2

297 receptors.

298 Similarly, we also identified putative SVs in the Csp-b duck genome assembly by
299 comparison with the CC duck genome, and identified 2,694 insertions, 3,991
300 deletions, 609 inversions, and 421 species-specific genes. Functional enrichment
301 among these SV-related genes and species-specific genes from Csp-b ducks was
302 determined using GO analysis and pathway analysis (Table S21). These 74 functional
303 categories were statistical significant ($P < 0.05$), and the regulation of small
304 GTPase-mediated signal transduction was ranked as the top category in the GO
305 biological process. We also calculated K_a/K_s ratios by comparing Csp-b ducks to
306 chicken (Figure S9) and Zhedong goose (*A. cygnoides*) (Figure S10) lineages to
307 account for rapid genome evolution. We found that genes with elevated K_a/K_s values
308 in Csp-b ducks were significantly enriched for these functions (FDR, $q < 0.01$).
309 Furthermore, these functional GO terms overlapped with the SV-related GO terms by
310 20.27% (15/74) in Csp-b duck-Zhedong goose pairs and 12.16% (9/74) in Csp-b
311 duck-gallus pairs. We further examined the overlapping GO terms for both pairs, and
312 there were seven categories associated with energy metabolism, the nervous system,
313 and signal transduction in the Csp-b duck. We speculate that these seven functional
314 categories might contribute to the duck habitat environment-adapted phenotype.

315 *The physiological and genetic basis of crest traits*

316 The crest, which is an interesting phenotypic trait, appears in most bird species
317 worldwide. However, CC ducks are unique duck breeds with bulbous feathers and
318 skin protuberances in China. To fully reveal the physiological basis of crest cushion
319 formation, we investigated the development of the parieto-occipital region of the CC
320 duck during embryo development by microscopy. The results showed a protuberance
321 at the cranial crest of the E4 duck embryo (Figure 5h and Figure S11). Therefore, we
322 speculated that epidermal hyperplasia generated pressure on the adjacent skull
323 cartilage tissue in the fontanelle during the development period, which led to the
324 appearance of perforations in the parieto-occipital region during the cartilage

325 ossification process (Figure S12). Coincidentally, preadipocytes began to differentiate
326 into fat cells. To compensate for the decrease in brain pressure caused by the
327 perforation, different volumes of fat were deposited between the brain and cerebellum
328 (Figure S13). However, spherical feathers are only used to protect against fragile
329 epidermal hyperplasia. Thus, the formation of the crest cushion is the result of several
330 consecutive coincidences during the development of the skull, scalp, and feathers.
331 Protuberance may be the most fundamental cause of crest formation, and the
332 sub-phenotype of the crested trait was therefore attributed to phenotypic
333 compensation in response to the crested cushion. Furthermore, we found that the
334 inheritance patterns of the crest trait conformed to Mendel's genetic laws in the F_2
335 generation of 707 CC ducks \times CV ducks (crest: crestless = 541:166, $\chi^2_{df=1} = 0.35$).

337 To identify the genetic basis of crest traits, we performed genome-wide selection tests
338 in CC ducks compared to Pekin ducks and MDZ, which represent phenotypes for
339 several traits that are relevant for the crest trait of CC ducks. We calculated the global
340 XP-EHH among the CC duck compared to the Pekin duck and MDZ using a 20 kb
341 sliding window and a shift of 10 kb across the CC duck genome, and 1,561 and 1,156
342 putatively selected genomic regions were identified (Figure 5a–b). Additionally, we
343 identified 289 selected regions shared by the two-pair comparison group. In an
344 additional analysis involving the F_{ST} and $\log_2(\theta\pi)$ ratio using 12 crested ducks and 27
345 normal ducks, we identified 902 and 980 selective regions, respectively (Figure 5c–d).
346 Combining the results of the selective sweep analysis of the above four methods, we
347 identified 26 shared selective regions and spanned 18 candidate genes that we
348 speculated to be associated with crest traits (Table S22). Additional F_{ST} and genetic
349 diversity analysis of the F_2 hybrid population identified 1,165 and 997 special
350 selection regions with the top 1% global F_{ST} (Figure 5e) and $\log_2(\theta\pi)$ ratio values
351 (Figure 5f). Combining the results of between- and within-population selective sweep
352 analysis, we identified 12 selective windows that may be significantly related to the

353 crested trait (Figure 5g and Table S23). Annotation of the 13 genes putatively
354 influenced by the crested trait revealed functions associated with the sub-phenotypic
355 crested trait.

356 To fine-map regions identified using selective sweep methodologies and search for
357 direct evidence of genotype-phenotype associations, we performed genome-wide
358 association analysis (GWAS) for crest traits with informative phenotypic records.
359 Using a panel of samples from the F₂ hybrid from high-quality SNPs as well as the
360 mixed model, which involved a variance component approach to correct the
361 population structure, we identified two significant signals (harboring 4,914 SNPs) that
362 were associated with the crest cushion trait with a threshold of
363 $-\log_{10}(P\text{-value}) \geq 8.38$ (Figure 6a–b). SNPs in the 12 candidate divergent regions
364 (CDRs) associated with crest cushion formation showed extensive linkage
365 disequilibrium (LD). The peak position was located between the *KEL* and *TAS2R40*
366 genes. Furthermore, we found that the genotype frequencies of the related sites in
367 *TAS2R40* and *NANOG* almost separated the crested ducks and normal ducks in the F₂
368 population (Figure S14). Therefore, we consider that *TAS2R40*, *KEL*, and *NANOG*
369 might be candidate genes for crest cushions based on the selective sweep and GWAS
370 co-localization criteria (Figure 6c–e). To detect the candidate SNPs, we used Sanger
371 sequencing and genotyping of 30 CC ducks and 75 normal ducks from three duck
372 breeds. We found that the genotype of the 5'UTR of *TAS2R40* (123272114_c. G78A)
373 (Figure 6f), the first intron (123248845_c. G7127C) of *KEL* (Figure S15), and the
374 fourth exon (120130992_c. G577A_p. V193M and 120131265_c. G850T: p. A284S)
375 of *NANOG* (Figure S16) could separate the CC duck from other 15 non-crested ducks.
376 Among them, only the 123272114_c. G78A of *TAS2R40* showed a 100%
377 heterozygous genotype and homozygous mutant gene frequency, while other SNP loci
378 showed a percentage of more than 80%. In particular, this SNP exhibited a significant
379 *P*-value and could account for 54.68% of the explained phenotypic variation in MLM.
380 Importantly, the tissue expression profile of *TAS2R40* at 56 days of age showed that

381 *TAS2R40* was hardly expressed in the cerebellum, thigh muscle, and breast muscle.
382 The relative expression in the crested cushion and abdominal fat was significantly
383 higher than that in other tissues ($P < 0.01$) (Figure 6g). The results revealed that the
384 mutation in the 5'UTR of *TAS2R40* specifically affected the expression level of
385 *TAS2R40* in the crested tissue of CC ducks. Subsequently, luciferase assays showed
386 that the relative luciferase activity of *TAS2R40* 5'UTR-MT was significantly lower
387 than that of *TAS2R40* 5'UTR-WT ($P < 0.01$) (Figure 6h). A series of results showed
388 that the G > A mutation in the transcription region affected the regulatory effect and
389 reduced its expression in the crested tissue. Combining the above results, we
390 speculated that the SNP in the 5'UTR of *TAS2R40* was a causative mutation of the
391 crested cushion.

392 **Discussion**

393 Since the first duck draft genome was published in *Nature Genetics* in 2013 [13], the
394 origin, evolution, domestication, and selection of ducks have been revealed. More
395 importantly, a series of characteristic traits and phenotypes, such as disease resistance,
396 body size, plumage color, and egg color have been gradually discovered [12, 33],
397 providing deep insights into genotype-phenotype associations in animal molecular
398 breeding and germplasm conservation.

399 During species evolution, directional artificial selection and non-directional natural
400 selection can cause genetic diversity in animals. Adaptive evolution allows animals to
401 acquire certain protective mechanisms that allow the species to continue. Based on the
402 phenotype analysis, we explained the mechanism of crest cushion occurrence at an
403 anatomical level and found that the crest cushion might affect the survival of the CC
404 duck. Theoretically, natural selection promotes the spread of mutations and removes
405 harmful ones. However, it is not completely effective, and all populations harbor
406 genetic variants with deleterious effects. Human intervention in speciation
407 preservation might maintain the inheritance of harmful mutations and promote the
408 accumulation of beneficial mutations. The results presented herein provide evidence

409 of human intervention leading to genome protection and the evolutionary maintenance
410 of species. Considering the structural variants, genome evolution-related genes, and
411 gene content enrichment among various birds, there is evidence for genome protection
412 and evolutionary maintenance of species that complement one another. The CC ducks
413 had a greater proportion of genes under adaptive evolution with functions related to
414 tissue repair than the other two ducks.

415 Crest cushions or crest crowns are conspicuous and diverse features of almost all bird
416 lineages with feather crests and are unique among almost all bird species [34]. The
417 most obvious difference between the Chinese crested duck and other existing crested
418 birds is that the crested tissue of the crested duck affects the embryonic development
419 of the crested duck and can even lead to embryonic death. Our results indicate that the
420 crest cushion is caused by the proliferation of relevant cells in the parieto-occipital
421 region during the embryonic stage. This process generates downward pressure,
422 resulting in incomplete closure of the cartilage and, in some crested ducks, likely
423 leading to brain overflow and death as a result of exaggerated crest cushion size. This
424 finding demonstrates that the root cause of crested cushion formation is the rapid
425 proliferation of cells in the parieto-occipital region. Furthermore, we observed that
426 even if some crested duck embryos have a hole in the cartilage, the mortality rate of
427 crested ducks is very low if the scalp heals and adipose tissue compensate for the
428 insufficient brain pressure (Figure 7). Based on the above results, we propose that the
429 healing of the scalp and the presence of adipose tissue may act as a phenotypic
430 compensation mechanism for crested tissue to reduce the mortality of crested ducks.
431 To reveal the protein basis, we generated a high-quality chromosome-level CC duck
432 genome. Compared to the recently reported duck genome[12-14, 33], the evaluation
433 result of BUSSCO was better than that of other duck genomes. Furthermore, we
434 compared the CC duck genome to other bird genomes and identified some genes
435 related to tumorigenesis. Simultaneously, some immune-related genes in the crested
436 duck genome have also undergone positive selection due to the presence of holes that

437 can cause brain exposure, which is more important for CC duck survival. In addition,
438 we believe that the composition of these phenotypes may be the physiological basis of
439 crested formation under stronger positive conditions. We also identified the genetic
440 basis of crest trait formation and phenotypic composition by inter- and
441 intra-population selective sweep analysis. We found that 12 CDRs harboring 13 genes
442 were strongly selected in CC ducks. Based on GWAS and experimental evidence, we
443 confirmed that *TAS2R40* may be the most fundamental cause of mortality. We
444 speculate that the 5'UTR mutation of *TAS2R40* may affect the expression of *TAS2R40*,
445 leading to abnormal expansion of certain ectodermal cells in the early embryonic
446 development stage, forming the initial protruding tissue of the crested head, leading to
447 the occurrence of the crested trait. In addition, ephrin type-b receptor 2 (*EPHB2*),
448 which belongs to the same gene family as ephrin type-b receptor 6 (*EPHB6*)
449 identified here, has proven to be related to the inverse growth of the crest feathers of
450 crested pigeons [35]. Thus, *EPHB6* may control cranial crest feathers to grow
451 clockwise, forming a spherical crested feather phenotype in the crested duck. Thus,
452 the crested duck can form a protective tissue on fragile crested tissue. *NANOG*, which
453 is involved in the development of neural crest stem cells, has been shown to play a
454 role in the pathogenesis of many cancers by regulating cell proliferation, invasion, and
455 metastasis [36, 37]. Therefore, we suggest that *NANOG* could be a key gene in DNA
456 damage repair and the GCR in CC ducks.

457 Previous studies have shown that all domesticated ducks originate from mallard ducks
458 [12, 33]. However, according to the distribution map of the mallard, we found that the
459 mallard exists in two regions of China: the northern and southern group. However,
460 our data suggest that the CC duck originated from mallards in Zhejiang Province,
461 China, and provided important findings on the history of the CC duck. In recent
462 decades, the CC duck has become endangered, but it has quickly recovered in
463 response to conservation efforts. Our analyses identified 30 candidate genes in the
464 genomic regions under selection in the CC duck domestication process, with most of

465 these genes related to neuron development, response to stress, and response to
466 wounding. Therefore, CC ducks represent a critical example of evolutionary
467 adaptation and genetic compensation.

468 By comparing the CC duck genome with those of 13 other bird species, we shed new
469 light on how CC ducks likely evolved *via* the GCR mechanism and propose this breed
470 as a model for studying GCR by natural selection. We found that the four main
471 biological processes were likely co-enriched. The first process involves tumorigenesis
472 and suppression, such as the p53 pathway, PD-L1 expression and PD-1 checkpoint
473 pathway, cellular response to DNA damage stimulus, etc. Based on our observations,
474 we suggest that the root cause of crested head formation may be the short-term rapid
475 proliferation of cranial neural crest cells (similar to local neoplasia). However, with
476 the evolution of the CC duck, the crested duck has evolved a control system that can
477 prevent cells from continuing to proliferate rapidly. Second, we enriched some
478 pathways related to tissue repair, such as cell adhesion molecules and focal adhesions.
479 These genes may control scalp and cartilage healing to prevent encephalocele
480 formation. Third, we identified the genes related to fat synthesis and metabolism. We
481 suspect that the main role of these genes in the brain is the formation of adipose tissue,
482 which is used to compensate for the loss of missing skull intracranial pressure, thus
483 ensuring that crested ducks maintain normal levels of brain pressure. Fourth, due to
484 the existence of crested tissue, the immune system has also undergone a certain
485 degree of positive selection, such as the immune-related genes enriched in the
486 PI3K-Akt pathway (Figure 7). In short, the compensatory evolution of a series of
487 genes caused by the occurrence of crested traits has allowed crested ducks to survive
488 and even stabilize the population. Other genes may have evolved due to the
489 accompanying mutations caused by crested traits, incurring a GCR and protecting the
490 survival of crested ducks. However, the regulatory relationship of these genes in the
491 mechanism of crest cushion formation remains unclear, and with advances in cell
492 biology, this problem will be gradually solved in the future.

493 **Conclusions**

494 In the present study, we revealed the genetic mechanisms underlying the evolutionary,
495 developmental, and histological origins of the crest trait of CC ducks and provided
496 insights into the molecular mechanisms of the GCR and its relevance to cancer
497 resistance. The identified genes and their specific mutations provide a starting point
498 for future functional studies of crest cushion development, genetic compensation
499 mechanisms, oncogenesis, and tumor defense.

500 **Materials and methods**

501 *Ethical approval*

502 All experiments with ducks were performed in accordance with the Regulations on
503 the Administration of Experimental Animals issued by the Ministry of Science and
504 Technology (Beijing, China) in 1988 (last modified in 2001). The experimental
505 protocols were approved by the Animal Care and Use Committee of Yangzhou
506 University (YZUDWSY2017-11-07). All efforts were made to minimize animal
507 discomfort and suffering.

508 *Sample preparation and sequencing*

509 A 28-week-old female CC duck from Zhenjiang Tiancheng Agricultural Science and
510 Technology (Zhenjiang, Jiangsu, China) was used for genome sequencing and
511 assembly. High-quality genomic DNA was extracted from the blood tissue using a
512 standard phenol/chloroform protocol [38]. A paired-end Illumina sequence library
513 with an insert size of 350 bp and a 10× Genomics linked-read library was constructed
514 and sequenced on the Illumina HiSeq X Ten platform (San Diego, CA, USA). A
515 PacBio library was constructed and sequenced using the PacBio Sequel platform
516 (Menlo Park, CA, USA). RNA-seq libraries for eight tissues (crested tissue, spleen,
517 ovary, liver, duodenum, skin, pectoral, and blood) were constructed and sequenced
518 using Illumina HiSeq4000. Clean reads were assembled using Trinity for gene
519 prediction. In addition, a 28-week-old female Csp-b duck was used for genome
520 sequencing and assembly. Short-insert (250 bp and 350 bp) paired-end libraries and

521 large-insert (2 kb and 5 kb) mate-pair libraries were constructed and sequenced on
522 the Illumina HiSeq4000.

523 *Genome size estimation, assembly, and quality assessment*

524 The genome size of the CC duck genome was estimated based on the *k*-mer
525 distribution using high-quality paired-end reads. Contig assembly of CC duck was
526 assembled with PacBio reads using FALCON v0.7 [12]. This assembly was polished
527 using Quiver [13] with the default parameters. 10× Genomics was then used to
528 connect contigs to super-scaffolds using fragScaff software [14]. Subsequently,
529 Illumina paired-end reads were used to correct for any errors using Pilon v1.18 [39].
530 Finally, the scaffolds were anchored and oriented on chromosomes using
531 CHROMONMER v1.07 [18]. A detailed description of the genetic linkage map
532 construction and chromosome anchoring is presented in the supplementary materials
533 and methods. To estimate the quality of the final assembly, short paired-end reads
534 were aligned onto the CC duck genome using the Burrows-Wheeler aligner (BWA)
535 with the parameters of '-k 32 -w 10 -B 3 -O 11 -E 4'. BUSCO [16] was used to assess
536 completeness.

537 *Genome annotation*

538 Homology-based and *de novo* predictions were combined to identify repetitive
539 sequences in the CC duck genome. RepeatMasker and RepeatProteinMask (both
540 available from <http://www.repeatmasker.org>) were used for homologous repeat
541 detection to run against RepBase [40], LTR_FINDER [41], RepeatModeler and
542 RepeatScout [42] were used to construct a *de novo* repeat library with default settings.
543 Using the *de novo* library, RepeatMasker was run on the CC duck genome. Tandem
544 repeats were identified using TRF v4.07b [43].

545 Gene prediction was performed using homology-based prediction, *ab initio* prediction,
546 and transcriptome-based prediction. Protein sequences of *Anser cygnoides*,
547 *Aptenodytes forsteri*, *Anas platyrhynchos domestica*, *Coturnix japonica*, *Columba*
548 *livia*, *Egretta garzetta*, *Gallus gallus*, *Homo sapiens*, *Nestor notabilis*, *Struthio*

549 *camelus*, and *Taeniopygia guttata* were aligned against the CC duck genome using
550 TBLASTN [44]. The blast hits were then conjoined by Solar software [45] and
551 GeneWise [46] was used to predict accurate spliced alignments. For *ab initio*
552 prediction, Augustus [47], Genscan [48], Geneid [49], GlimmerHMM [50] and SNAP
553 [51] were used to predict genes in the repeat-masked genome. RNA-seq data from
554 eight tissues were aligned to the genome using Tophat and Cufflinks [52] to predict
555 gene structures. All predicted genes from the three approaches were integrated using
556 the EvidenceModeler (EVM) [53]. Functional annotation of the predicted genes was
557 carried out using BLASTP against the public databases: To obtain gene functional
558 annotations, KEGG [54], SwissProt [55] and NR databases [56]. InterProScan [57]
559 was used to identify domains by searching the InterPro and GO [58] databases.

560 *Comparative genomic analyses*

561 In total, 14 species, including *Anser cygnoides domesticus*, *Aptenodytes forsteri*,
562 *Balearica regulorum*, *Coturnix japonica*, *Columba livia*, CC duck, *Egretta garzetta*,
563 *Gallus gallus*, *Gavia stellata*, *Nestor notabilis*, *Opisthocomus hoazin*, *Podiceps*
564 *cristatus*, *Struthio camelus*, and *Taeniopygia guttata*, were used for gene family
565 analysis. The longest transcripts of each gene (>30 amino acids) were retained when a
566 gene had multiple splicing isoforms. ‘All-against-all’ BLAST v2.2.26 (e-value <=
567 1e-7) [44] was used to determine the similarities between the retained genes.
568 OrthoMCL software [22] was used to define the orthologous groups in the above
569 species with the parameter of ‘-inflation 1.5’. The phylogenetic tree was reconstructed
570 using single-copy orthologs from gene family analysis. Multiple alignments were
571 performed using MUSCLE [59]. The protein alignments were transformed back to
572 CDS alignments, and then the alignments were concatenated to a super alignment
573 matrix. We constructed a maximum-likelihood phylogenetic tree using RAxML [60].
574 The mcmctree program from PAML was used for divergence time estimation with
575 eight calibration points from the TimeTree website [61], and the calibration points are
576 provided in Table S24. We determined the expansion and contraction of orthologous

577 gene families using CAFÉ v1.6 [62] based on a random birth and death model to
578 model gene gain and loss over a phylogeny.

579 To identify PSGs, all single-copy gene families of five species, including CC duck,
580 *Anser cygnoides domesticus*, *G. gallus*, *P. cristatus*, and *A. platyrhynchos*, were used
581 for analysis. Protein-coding sequences were aligned with MUSCLE [63] and the
582 branch-site model of CODEML in PAML was used to identify PSGs by setting the
583 CC duck as the foreground branch. *P*-values were calculated using the chi-square test
584 and corrected by the FDR method. Sequence quality and alignment errors have certain
585 influences on the test, so the PSGs with low alignment quality were filtered using the
586 following criteria: (1) FDR > 0.05; (2) presence of gaps near three amino acids around
587 the positively selected sites in the five species. In addition, the kaka_calculator was
588 used to calculate the K_a/K_s ratio [64].

589 *Structural variation detection*

590 We built pairwise local genome alignments between the CC duck and two other duck
591 genome assemblies (i.e., the Pekin and Csp-b duck) using LASTZ v1.04.00 [39] with
592 the parameters of ‘ $M = 254$, $K = 4,500$, $L = 3,000$, $Y = 15,000$, $E = 150$, $H = 2,000$,
593 $O = 600$, and $T = 2$ ’. The genomes used for pairwise alignments were soft-masked for
594 repeats using the RepeatMasker software. Then we used “axtChain” to build the
595 co-linear alignment chains and used “chainNet” to obtain nets from a set of chains
596 with the default parameters. The “netSyntenic” command was used to add the
597 co-linear information to the nets. The “netToAxt” and “axtSort” were used to convert
598 the net-format to axt-format and change the order of the sequences, respectively.
599 Subsequently, we obtained the best hit for each single location by the utility “axtBest”
600 [65].

601 Structural variant detection was performed based on the best alignment hits with
602 gapped extension, which indicated insertions or deletions. In addition, short
603 paired-end reads of the Pekin and Csp-b duck genomes were aligned onto the CC
604 duck genome by BWA [42]. Based on the depth of the reads, we validated our

605 structural variation results. Deletions with average depth less than half of the average
606 depth of the whole reference genome, and insertions with average depth over half of
607 the average depth of the whole assembly. The software source code is available from
608 Li et al. [26].

609 *RNA sequencing and transcriptomic analysis*

610 Total RNA was extracted from the crest region and adjacent frontal skin tissues of CC
611 ducks and scalps of Cherry Valley ducks using RNAiso Plus reagent (code no. 9109;
612 Takara, Dalian, China) according to the manufacturer's instructions, and 3 μ g per
613 sample was used as the input material for RNA sample preparations. The PCR
614 products were purified using an AMPure XP system, and library quality was assessed
615 using an Agilent Bioanalyzer 2100 system. After cluster generation, the library was
616 sequenced using an Illumina HiSeq platform at Novogene Biotechnology (Beijing,
617 China), and 125/150 bp paired-end reads were generated. The quality of the RNA
618 sequences was checked using FastQC, while sequence adapters and low-quality reads
619 (read quality < 30) were removed using Trimmomatic v0.36 with TRAILING:20 and
620 SLIDING WINDOW: 4:15 as parameters. The remaining high-quality RNA-seq clean
621 reads were aligned to the corresponding CC duck genome using HISAT2 v2.1.0 with
622 default parameters. FeatureCounts v1.5.0-p3 (parameters: -Q 10 -B -C) was used to
623 count the transcript reads, and StringTie was used to quantify the gene expression
624 levels (in fragments per kilobase of transcript per million mapped reads; FPKM) in
625 the detected tissue based on the corresponding transcript annotation. DEGs were
626 identified using negative binomial generalized linear models implemented in DESeq2
627 v1.20.0. Genes with a $P < 0.05$, and $|\log_2(\text{fold change (FC)})| \geq 1$ were considered
628 DEGs. Hierarchical clustering analysis was performed to determine the variability and
629 repeatability of the samples, and a volcano plot was used to visualize the DEG
630 distribution.

631 *Historical population size estimation*

632 The recent demographic history was inferred from the trends in the N_e changes using
633 PopSizeABC v2.1 [66] with default parameters set for the duck population (mutation
634 rate of 7.54×10^{-8} and recombination rate of 1.6×10^{-8} , minor allele count threshold
635 for allele frequency spectrum (AFS) and identity-by-state (IBS) statistics computation
636 = 4, minor allele count threshold for LD statistics computation = 4, and size of each
637 segment = 2,000,000) and 1,000 simulated datasets. Summary statistics were
638 extracted using the same parameters, with the tolerance set to 0.05, as recommended.

639 *Alignment and variation calling*

640 A total of 308 samples from GWAS were aligned to the CC duck genome using BWA
641 [67] (settings: mem -t 4 -k 32 -M -R). The sample alignment rates were between
642 96–98.00%. The average coverage depth for the reference genome (excluding the *N*
643 region) was between 9.34–15.74 \times , and 4X base coverage (≥ 4) was greater than
644 82.64%. All the population structure analysis samples were aligned to the CC duck
645 genome using BWA (settings: mem -t 4 -k 32 -M -R), and the sample alignment rate
646 was between 94–98.42%. The average coverage depth for the reference genome
647 (excluding the *N* region) was 6.00X and 17.66X. Variant calling was performed for all
648 samples using the Genome Analysis Toolkit (GATK) v 3.7 [68] with the
649 UnifiedGenotyper method. The SNPs were filtered using Perl script. After filtering,
650 the GWAS sample retained 12.6 Mb of SNPs (filter conditions: only two alleles;
651 single-sample quality = 5; single-sample depth: 5~75; total-sample quality = 20;
652 total-sample depth: 308~1,000,000; maximum missing rate of individuals and site =
653 0.1; and a minor allele frequency = 0.05), and the population genetics analysis
654 retained 5.4 Mb of SNPs (filter conditions: only two alleles; single-sample quality = 5;
655 single-sample depth: 3~75; total-sample quality = 20; total-sample depth:
656 39~1,000,000; maximum missing rate of individuals and site = 0.1; and a minor
657 allele frequency = 0.05).

658 *Population structure analysis*

659 To clarify the phylogenetic relationship from a genome-wide perspective, an
660 individual-based NJ tree was constructed based on the p-distance using TreeBeST
661 v1.9.2 [69]; the bootstrap value parameter was 1,000. PCA was performed based on
662 all the SNPs using GCTA v1.24.2 [70]. The population genetics structure was
663 examined using an expectation maximization algorithm, as implemented in the
664 program FRAPPE v1.1 [21]. In the population genetics structure analysis, we filtered
665 5,425,458 SNPs from 36,611,493 SNPs that were filtered by GATK (filter conditions:
666 minor allele frequency = 0.05, maximum missing rate of individuals and site = 0.1,
667 single-sample depth = 3, and single sample quality = 5). The number of assumed
668 genetic clusters K ranged from two to seven, with 10,000 iterations for each run. We
669 compared the patterns of LD using high-quality SNPs. To estimate LD decay, the
670 degree of the LD coefficient (r^2) between pairwise SNPs was calculated using
671 Haploview v4.2, and R v4.1.0 was used to plot LD decay [71]. The program
672 parameters were set as ‘-n -dprime -minMAF 0.05.’ The average r^2 value was
673 calculated for pairwise markers in a 500-kb window and averaged across the whole
674 genome. We found differences in the rate of decay and level of the LD value that
675 reflected variations in the population demographic history and N_e among
676 breeds/populations.

677 We estimated the ancestry of each individual using the genome-wide unlinked SNP
678 dataset, and the model-based assignment software FRAPPE [21] was used to quantify
679 the genome-wide admixture between the wild duck, Pekin, and CC duck populations.
680 FRAPPE was run for each possible group number ($K = 2$ to 4) with default parameters
681 to estimate the parameter standard errors used to determine the optimal group number
682 (K).

683 *Selective-sweep analysis*

684 To identify the putative selective sweep regions, we used the high F_{ST} value [72], high
685 differences in genetic diversity (π log2 ratio), and XP-EHH [29]. We calculated the

686 F_{ST} and π log₂ ratio value in 20-kb sliding windows with 10-kb steps along the
687 autosomes using VCFtools [73] for further analyses, where F_{ST} was employed for
688 comparisons among the CC, Pekin, and Csp-b ducks were used for comparisons
689 between crested and normal ducks in the F₂ population. We then filtered out any
690 windows that had fewer than 20 SNPs. The top 1% of windows or regions with the
691 highest reduction in nucleotide diversity (ROD) values represented the extreme ends
692 of the distributions.

693 *Genome-wide association study*

694 A case-control GWAS was conducted, including 63 crested ducks (case) and 211
695 normal ducks (control), involving a total of 12.6 Mb of SNPs. After filtering with
696 PLINK v1.90 [74] (“--geno 0.1 --hwe 1e-05 --maf 0.05 --mind 0.1”), 63 crested ducks
697 and 211 normal ducks with a total of 12.2 Mb of SNPs were used for the subsequent
698 association study. An MLM program, Efficient Mixed-Model Association eXpedited
699 (EMMAX) (beta version) [75], was used to carry out the GWAS. To minimize false
700 positives, the population structure was considered using the top 20 PCA, which was
701 estimated using PLINK. For the F₂ population, the top 20 PCA values (eigenvectors)
702 were set as fixed effects in the mixed model. The BN kinship matrix was set as a
703 random effect to control for family effects. We defined the whole-genome
704 significance cutoff as the Bonferroni test threshold, which was set as 0.05/total
705 effective SNPs. The GWAS threshold for the crest-cushion was 8.38. Manhattan plots
706 and QQ plots of GWAS were produced using the qqman package in R v4.1.0 [76].

707 *GO and pathway enrichment analyses using DAVID and clusterprofiler*

708 The Database for Annotation, Visualization, and Integrated Discovery (DAVID) v6.8
709 (<https://david.ncifcrf.gov>) [77] and clusterprofiler[31] were used to perform GO
710 enrichment and KEGG pathway analyses. The Bonferroni method, which is a method
711 of R/stats package, was used to adjust the *P*-value in GO enrichment and KEGG
712 pathway analysis.

713 *Experimental validation*

714 PCR was performed to determine the candidate genes. Oligo 6 was used for primer
715 design, and the primers and annealing are shown in Supplementary Table S25.
716 Primers for RT-qPCR (Table S25) were designed using the Oligo 6. *TAS2R40* was
717 used to measure the expression levels. Three CC ducks of 56 days of age were
718 slaughtered by stunning and exsanguination. Tissue samples, including the cerebellum,
719 thigh muscle, breast muscle, cerebrum, liver, jejunum, bursa of Fabricius, spleen,
720 scalp of crested cushion, rectum, heart, kidney, scalp next to the crested cushion,
721 subcutaneous fat, crested cushion, and abdominal fat (50–100 mg) were rapidly
722 collected, snap-frozen in liquid nitrogen, and stored at -80°C . Glyceraldehyde
723 3-phosphate dehydrogenase (*GAPDH*) was used as an endogenous control. The
724 collected data were analyzed using the $2^{-\Delta\Delta\text{Ct}}$ method. Fragments of the 5'UTR of
725 *TAS2R40* were cloned and inserted between the NheI and XhoI restriction sites of the
726 pGL-Basic 3.0 vector. Luciferase activity was measured 36 h after transfection using
727 the dual-luciferase reporter system (Promega, Madison, WI, USA). Firefly luciferase
728 activity was normalized to Renilla luciferase activity.

729 **Data availability**

730 The genome assembly and all of the re-sequencing data were deposited in the BIG
731 Data Center (<http://bigd.big.ac.cn/>) under BioProject accession PRJCA001785.

732 **Author's statement**

733 GBC and GHC conceived the project and designed the study. QXG, ML, XFC, HB,
734 and HL performed the bioinformatics analysis. GBC, GHC, XYY, and SSW
735 constructed the F₂ population. GBC, XYY, SSW, ZXW, QX, YZ, QQS, RP, SHZ,
736 LLQ, TTG, XSW, YLB, ZFC, YZ, YC, and WCD collected the F₂ population
737 phenotype data. XYY, HB, and YJ prepared the DNA and RNA, and performed
738 laboratory experiments. GHC, GBC, XYY, QXG, ML, XFC, and HB wrote the
739 manuscript. BCL, ZQW, and JFL have revised the manuscript. All authors read and
740 approved the final manuscript.

741 **Competing interests**

742 The authors have declared no competing interests.

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- 954
- 955

956 **Figure Legends**

957 **Figure 1. Landscape and linkage groups of the Chinese crested duck genome.**

958 The circles illustrate from the outside to inside: a) chromosome ideograms for CC
959 duck; b) schematic depicting assembly contig lengths for CC duck chromosomes. The
960 first track of orange rectangles represent contigs >1 Mb, the second track correspond
961 to contigs ≤ 1 Mb and > 500 kb, and the third track represent the other contigs; c)
962 distribution of SNP density (non-overlapping, window size, 500 kb) in each
963 chromosome; d) distribution of GC content (non-overlapping, window size, 500 kb); e)
964 distribution of gene content (non-overlapping, window size, 500 kb); f) distribution of
965 repeat content (non-overlapping, window size, 500 kb); g) heat map showing the
966 expression level of genes in crested-head tissue, represented by FPKM values; h) heat
967 map showing the expression level of gene in skin tissue, represented by FPKM values;
968 i) distribution of miRNA number (non-overlapping, window size, 500 kb).

969

970 **Figure 2. Population structure, genomic landscape of duck divergence, and**
971 **population size estimate.** a) The principal components analysis of the duck samples.

972 b) Phylogenetic tree (neighbor-joining tree with 1,000 bootstraps) of all samples
973 inferred from the whole-genome tag SNPs with geese (*Anser cygnoides*) as an
974 outgroup. c) Population structure of all individuals ($K = 2, 3,$ and 4). The population
975 origin of each individual is indicated on the x-axis. Each individual is represented by
976 a bar that is segmented into colors based on the ancestry proportions given the
977 assumption of K populations. d–f) The recent effective population size (N_e) for CC
978 duck (d), MDZ (e), and Pekin duck (f) were inferred using PopSizeABC v2.1. A 90%
979 confidence interval is indicated by dotted lines.

980

981 **Figure 3. Comparative genomics reveals clues to genetic compensation of CC**

982 **duck.** (a) Phylogenetic tree constructed using single-copy orthologs. The estimated
983 divergence time is shown in the middle of the branch (blue). The expansion gene

984 (green) and contraction gene (red) above the branch. The gene family cluster is shown
985 to the right of the phylogenetic tree. (b) Lineage-specific genes (LSGs) of CC duck
986 identified by comparison with chicken, Pekin duck and geese. (c) Proposed signaling
987 pathways for the protective mechanism and crest formation mechanism in CC duck.
988 The positively selected genes in CC duck are indicated in purple, and expanded genes
989 are indicated in red.

990

991 **Figure 4. Genomic selection signatures during CC duck domestication.**

992 Distribution of cross-population extended haplotype homozygosity (XP-EHH) test (a),
993 population differentiation (F_{ST}) (b), and $\log_2(\theta\pi)$ ratio (c) between CC duck and MDZ
994 duck using a 20 kb sliding window and 10 kb step; the dotted line represents the
995 significant threshold (F_{ST} : top 1%; XP-EHH value: Z-test $P < 0.01$; and $\log_2(\theta\pi)$
996 ratio).

997 **Figure 5. Selective-sweep analysis of the crest cushion of the CC duck.** XP-EHH

998 values for CC duck compared to MDZ (a) and MDN (b). c–d) Manhattan plot of F_{ST}
999 and $\log_2(\theta\pi)$ ratio of CC duck domestication. e–f) Manhattan plot of F_{ST} and $\log_2(\theta\pi)$
1000 ratio for selection of crest duck in F_2 hybrid. g) Upset plot representing overlaps
1001 between above selective analysis methods. h) The embryo of CC duck and a full
1002 image of the crest cushion.

1003

1004 **Figure 6. Candidate genes identified for the crest cushion in crested ducks.** a)

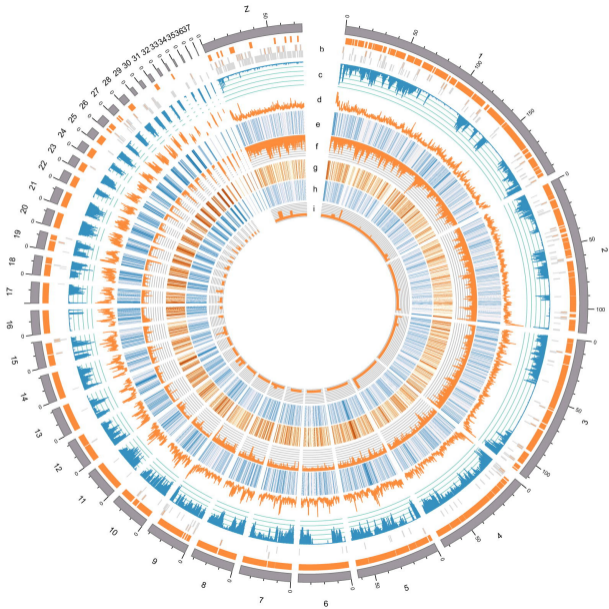
1005 GWAS of the crest cushion, including 63 crested ducks and 211 normal ducks. The
1006 red horizontal dashed lines indicate that the Bonferroni significance threshold of the
1007 GWAS was 8.39 (0.05/total SNPs). b) Magnified view of the chromosome 1 peak in
1008 (a). c–e) Zoom of 0.2 Mb and 0.1 Mb of candidate SNPs for *NANOG*, *KEL*, and
1009 *TAS2R40*. The LD heatmap (d) is depicted in red. f) Genotype frequency of *TAS2R40*
1010 (123272114_c. G78A). The CCD represent the Chinese creste duck; LC represent the
1011 Lianchen duck; JD represent the Jingding duck; HSC represent the Taiwanese Brown

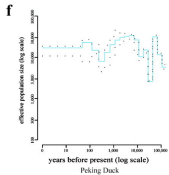
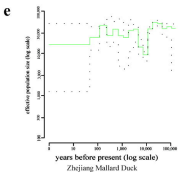
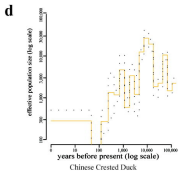
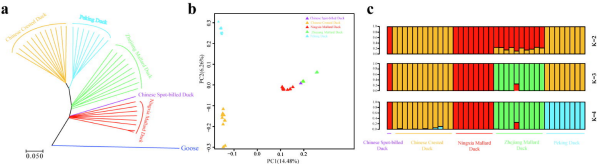
1012 Vegetable Duck; SS represent the Sansui duck; ZS represent the Zhongshanma duck;
1013 YX represent the Youxianma duck; PTB represent the Putian white duck; PTH
1014 represent the Putian black duck; MW represent the Mawang duck; LT represent the
1015 mallard; LS represent the Longshencui duck; JY represent the Jingyunma duck; JA
1016 represent the Ji'an read feather duck and CH represent the Chaohu duck. g) Relative
1017 expression of *TAS2R40* gene in 16 tissues of the CC duck. h) The luciferase activities
1018 were detected after transfection of *TAS2R40* 5'UTR-MT (mutant type) vector and
1019 *TAS2R40* 5'UTR-WT (wild type) vector. Statistical significance given as different
1020 lowercase letters.

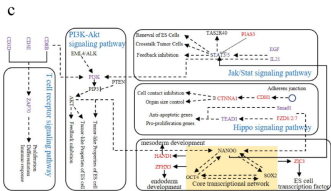
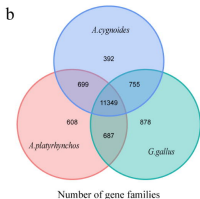
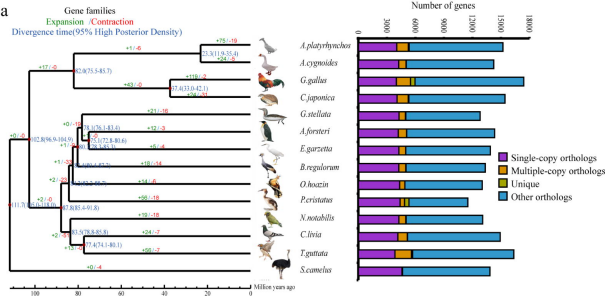
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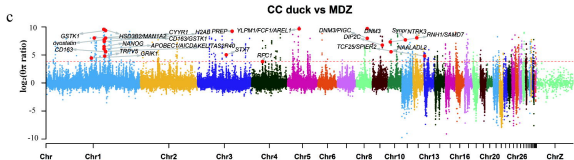
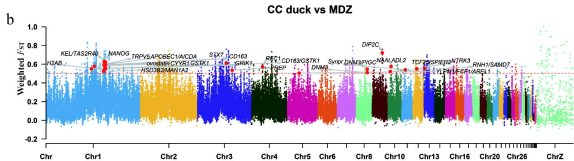
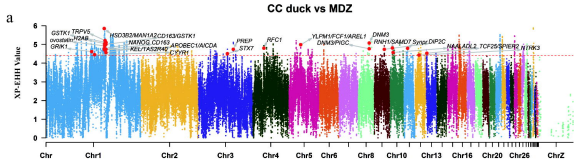
1022 **Figure 7. Diagram of crested cushion formation and genetics compensation of**
1023 **CC duck.** The causative mutation of *TAS2R40* leads to the proliferation of cells in the
1024 parieto-occipital region during embryonic stage. As these cell continue to proliferate,
1025 downward pressure is generated on the chondrocyte layer in the parieto-occipital area,
1026 forming irregular holes, causing incomplete skull closure, and reducing intracranial
1027 pressure. Through a long process of natural and artificial selection, some phenotypic
1028 compensation has occurred in the crested tissue. For example, an adipose tissue is
1029 formed between the cerebrum and the cerebellum to supplement the lost intracranial
1030 pressure. Simultaneously, the scalp in the crested area gradually thickens,
1031 accompanied by the increase of crested tissue, the change of the polarity, and rapid
1032 growth of the top feathers, which protects the leaking brain tissue due to the presence
1033 of holes. The most fundamental reason for phenotypic compensation is the selection
1034 of pathways, such as cancer suppression (p53 pathway), immunity (PI3K-Akt
1035 pathway), and tissue repair (cell adhesion molecules) in the evolution process, which
1036 leads to genetic compensation.

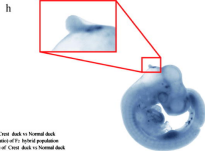
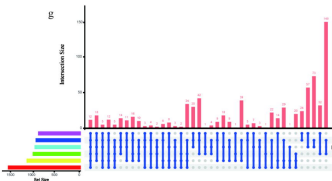
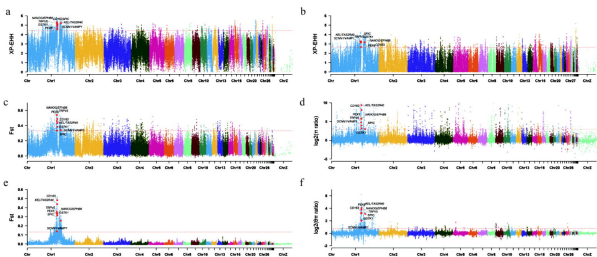
1037











Fat of Crest duck vs Normal duck
 log₂(F1 ratio) of F₂ hybrid population
 log₂(F1 ratio) of Crest duck vs Normal duck
 Fat of F₁ hybrid population
 XPENH of CC duck and MDZ
 XPENH of CC duck and PK

