Neural representations of stereotype content predict social decisions

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1 Abstract

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Perceptions of others' traits based on social group membership (stereotypes) are known 3 4 to affect social behavior, but little is known about the neural mechanisms mediating these effects. Here, using fMRI and representational similarity analysis (RSA), we 5 investigated neural representations of others' traits and their contributions to social 6 7 decision making. Behaviorally, perceptions of others' traits, captured by a two-8 dimensional framework, biased participants' monetary allocation choices in a contextdependent manner: recipients' perceived warmth increased advantageous inequity 9 10 aversion and competence increased disadvantageous inequity aversion. Neurally, RSA revealed that stereotypes about others' traits were represented in activity patterns in the 11 12 temporoparietal junction and superior temporal sulcus, two regions associated with 13 mentalizing, and in the lateral orbitofrontal cortex (OFC), known to represent latent environmental features during goal-directed outcome inference. Critically, only the latter 14 predicted individual choices, suggesting that the effect of stereotypes on behavior is 15 mediated by inference-based, goal-directed decision-making processes in the OFC. 16

17 Introduction

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People approach their interactions with different individuals using information 19 about those individuals' traits. For example, people might be generous toward a friend 20 21 who is generally appreciative but cautious toward a coworker who is generally untrustworthy. However, people often interact with individuals with whom they lack 22 extensive experience, meaning they often need to make inferences about others based 23 on indirect information. One common route to such inferences is to rely on societally 24 shared perceptions of people's traits associated with their social group membership, 25 such as their nationality or occupation (i.e., stereotypes)^{1–4}. Such inferences can 26 27 provide a shortcut to what would otherwise be highly uncertain social decision making, but widespread reliance on stereotypes is also associated with societal treatment 28 disparities⁵. Although an abundance of research in the behavioral sciences has 29 30 examined when and how people stereotype others based on their group membership⁶. only recently have we begun to understand the behavioral consequences of stereotypes 31 32 and the computational mechanisms mediating these effects⁷. Even less is known about neural representations of others' traits and their contributions to social decision making. 33 Mounting evidence from social psychology shows that stereotypes are structured 34 35 along core dimensions of trait perception, such as warmth, or the degree to which people have good intentions toward others, and competence, or the degree to which 36 people are capable of acting on their intentions^{6,8}. Recently, we adopted a novel 37 modeling approach that enabled us to characterize empirically how these trait 38 39 perceptions contribute to people's decisions about how to treat others⁷. In a modified

Dictator game, people made monetary allocation decisions between themselves and 40 41 other individuals (recipients) from various social groups⁹⁻¹¹. By incorporating people's perceptions of recipients' warmth and competence into a computational model of social 42 valuation, we discovered that these dimensions of social perception exerted dissociable, 43 context-dependent effects on individuals' aversion to different forms of inequity. When 44 paired with recipients perceived as more warm, people were more strongly averse to 45 advantageous inequity (i.e., receiving more than the recipient), whereas when paired 46 47 with recipients perceived as more competent, they were more strongly averse to disadvantageous inequity (i.e., receiving less than the recipient). Furthermore, this 48 approach was able to quantitatively predict the complex pattern of disparities observed 49 50 in field experiments in labor markets and education settings.

These findings raise the possibilities that the human brain represents stereotypes 51 about others' traits along core dimensions, including warmth and competence, and that 52 these representations systematically bias social decisions in a context-dependent 53 54 manner. Despite a wealth of neuroimaging research on trait perception and stereotyping, on the one hand, and on value-based decision making, on the other, these 55 possibilities remain empirically untested. In particular, past studies of social perception 56 57 have primarily involved passive viewing or basic judgments in non-decision contexts, making empirical characterization of behavior inapplicable. Additionally, such studies 58 have focused mostly on how active different brain regions are, rather than on multi-59 dimensional trait representations¹², and have primarily involved judgments about a small 60 number of social groups (e.g., males versus females), rather than a set of targets 61

spanning the space of social perception^{13,14}. Likewise, although past studies of social
decision-making have shed light on how choice processes are modulated by overt
characteristics such as race, gender, and attractiveness, it remains unclear to what
extent these effects are related, and much is unknown about the underlying
mechanisms.

Here we investigate the neural mechanisms underlying the effect of stereotypes 67 about others traits on social decisions using fMRI and representational similarity 68 analysis (RSA). A brain region that mediates the effect of perceived traits on behavior 69 should, at a minimum, represent traits in some way, such that those generally perceived 70 to have more similar traits produce more similar response patterns. However, not all 71 72 regions that represent traits as such must necessarily play a role in the translation of trait information into behavior. We predict that, if trait representations in some brain 73 regions are linked directly to behavior, idiosyncratic similarity in response patterns in 74 those regions can be used to predict variations in context-dependent choices across 75 individuals. 76

Past neuroscientific studies suggest two, non-mutually exclusive hypotheses.
One possibility is that a set of regions widely associated with social cognition, such as
the temporoparietal junction (TPJ), superior temporal sulcus (STS), and medial
prefrontal cortex (MPFC), represent others' traits in the service of social behavior.
These regions are consistently activated when people attempt to infer the mental states
of others and are therefore often referred to collectively as the mentalizing network^{15–22}.
Activations of the mentalizing network have been observed across a wide range of task

84	paradigms, including those that require inference of others' traits based on their group
85	membership (i.e., stereotyping) ^{13,23-26} . Although it has not yet been tested directly, these
86	mentalizing regions may contribute to social decision making by representing others'
87	perceived traits along core dimensions, including warmth and competence ²⁷ .
88	Another possibility is that perceived traits of others are represented in
89	frontostriatal regions involved in social and non-social value-based decision making,
90	such as the ventral striatum, the ventromedial prefrontal cortex, and the orbitofrontal
91	cortex (OFC) ²⁸⁻³³ . In particular, the OFC is thought to guide flexible, goal-directed
92	decisions by representing defining features of the task or environment, often not directly
93	observable but inferred, that are critical for inferring or imagining future decision
94	outcomes ^{34–40} . Given that stereotyping plays a particular role when people interact with
95	people with whom we do not have extensive experience, the OFC may contribute to
96	social decisions by representing their inferred traits and thereby enabling inference-
97	based evaluation of decision outcomes (e.g., how subjectively rewarding particular
98	monetary allocations with particular recipients will be). Therefore, frontostriatal regions
99	involved in decision making, and in particular the OFC, may play a critical role in social
100	behavior by representing others' traits when they are behaviorally relevant.
101	To test these possibilities, we conducted an fMRI experiment using an adapted
102	version of our previous paradigm to investigate neural representations of other's traits in

the service of monetary allocation decisions. We show that, consistent with our previous
 behavioral finding⁷, recipient's perceived warmth increases advantageous inequity

aversion and perceived competence increases disadvantageous inequity aversion. At

106	the neural level, RSA revealed that traits were represented along the warmth and
107	competence dimensions in the TPJ and STS, key regions in the mentalizing network,
108	and in the OFC, a key region for goal-directed decision making. Critically, we
109	discovered that the representation in the OFC, but not in the other regions, predicted
110	individual participants' monetary allocation decisions. This suggests that, while the
111	mentalizing network may be involved in inferences about others' traits, the effects of
112	those trait perceptions on social decisions are mediated by domain-general
113	mechanisms of inference-based, goal-directed decision making centered in the OFC.
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115	Results
116	Experimental paradigm
117	Participants ($n = 32$) played an extended version of the Dictator game in an fMRI

experiment. The participant played the role of Dictator and, on each trial, decided how 118 to allocate money between themselves and a recipient. To experimentally manipulate 119 the participant's perception of the recipient's traits across trials, we provided one piece 120 of information about the recipient's social group membership (e.g., their occupation or 121 nationality). We selected 20 social groups to span a wide range of social perception 122 along the trait dimensions of warmth and competence, and ratings of their warmth and 123 competence were collected in an independent, online sample⁷. We also collected social 124 125 perception ratings from our fMRI participants after scanning and confirmed that they were highly consistent with the independent ratings (Fig. S1), demonstrating the 126 127 robustness of our social perception measures.

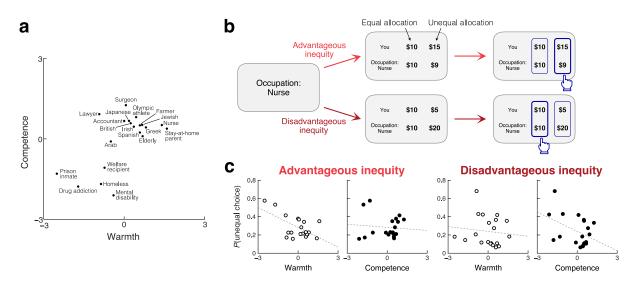


Fig 1. Experimental paradigm and behavioral results. a. Recipients in the Dictator game were identified by their social group membership. 20 social groups were chosen so that the recipient's perceived warmth and competence were variable across trials. **b.** On each trial, the recipient's social group was first presented, followed by two allocation options, one equal and one unequal. The participant was asked to make a binary choice. The unequal option allocated more money to the participant than the recipient in advantageous inequity trials (*top*) and less money in disadvantageous inequity trials (*bottom*). **c.** Participants' allocation choices were influenced by the recipient's perceived traits in a context-dependent manner. *Left*: In advantageous inequity trials, participants were less likely to choose the unequal option (and more likely to choose the equal option) when the recipient's perceived warmth was higher (r = -.60, permutation p = .004), irrespective of their competence (r = -.09, p = .331). *Right*: in disadvantageous inequity trials, participants were less likely to choose the unequal option's perceived competence was higher (r = -.43, p = .040), irrespective of their warmth (r = -.11, p = .307).

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On each trial, the participant was presented with the information about the

129	recipient (e.g., "Occupation: Nurse"; "Nationality: Japanese"), and then with two
130	monetary allocation options, between which they were asked to choose one (Fig. 1b).
131	We manipulated these options so that we could empirically characterize the tradeoff
132	between decision-making motives, i.e., maximization of one's own payoff and concern
133	for the inequity between oneself and the recipient. Specifically, in some trials, the
134	participant chose between an equal allocation and an unequal allocation that created
135	advantageous inequity (i.e., allocating more money to the participant than to the
136	recipient); in other trials, the participant chose between an equal allocation and an
137	unequal allocation that created disadvantageous inequity (i.e., allocating less money to

the participant than to the recipient). This forced choice design allowed us to directly
examine how participants' preferences about advantageous and disadvantageous
inequity depend on the recipient, and specifically, on the recipient's perceived warmth
and competence.

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143 Context-dependent effects of others' traits on social decisions

Behaviorally, the recipients' perceived warmth and competence exerted diverging 144 effects on participants' monetary allocation decisions: perceived warmth influenced 145 choices in advantageous inequity trials, while perceived competence influenced choices 146 in disadvantageous inequity trials (Fig. 1c). In advantageous inequity trials, participants 147 148 were less likely to choose the unequal allocation (and more likely to choose the equal allocation) when the recipient's perceived warmth was higher (Pearson's r = -.60. 149 permutation p = .004). Their choices about advantageous inequity were not correlated 150 with perceived competence (r = -.09, p = .331), and the effect of warmth was stronger 151 152 than that of competence (p = .004). Conversely, in disadvantageous inequity trials, participants were less likely to choose the unequal allocation when the recipient's 153 perceived competence was higher (r = -.43, p = .040). Their choices about 154 155 disadvantageous inequity were not correlated with perceived warmth (r = -.11, p = .307), and the effect of competence was stronger than that of warmth (p = .049). 156 Therefore, aversion to advantageous inequity increases with the recipient's warmth, 157 158 whereas aversion to disadvantageous inequity increases with the recipient's competence. These behavioral results replicate our previous findings⁷ despite 159

substantial differences in experimental design, including the use of binary forced
 choices between equal and unequal allocations (rather than continuous allocations) in
 the current study.

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4 Neural representations of others' traits

Our behavioral findings show that perceptions of other people's traits, guided by 165 information about social groups and organized along distinct dimensions of warmth and 166 competence, exert strong and dissociable effects on social decision-making processes 167 as captured by our extended Dictator game. Accordingly, we next looked for neural 168 representations of these perceived traits. To elucidate the representation of perceived 169 traits and not payoff structures or decision processes, we focused on BOLD signals 170 during the portion of each trial when the participant was presented with the recipient's 171 group membership, prior to the presentation of the allocation options (Fig. 1a). We 172 looked for brain regions where two recipients that are similar to each other in perceived 173 174 traits (e.g., an Accountant and a Japanese person, who are both perceived to have high competence and moderate warmth) evoke similar response patterns, and two recipient 175 that are dissimilar in perceived traits (e.g., an Accountant and a Prison inmate) evoke 176 dissimilar response patterns (representational similarity analysis; RSA⁴¹). We adopted a 177 whole-brain searchlight approach that looked for brain regions where the 178 representational dissimilarity matrix (RDM) of the local response patterns in a spherical 179 searchlight was correlated with RDM of the perceived trait, defined by pairwise 180 Euclidean distance in the two-dimensional space of warmth and competence (Fig. 2a). 181

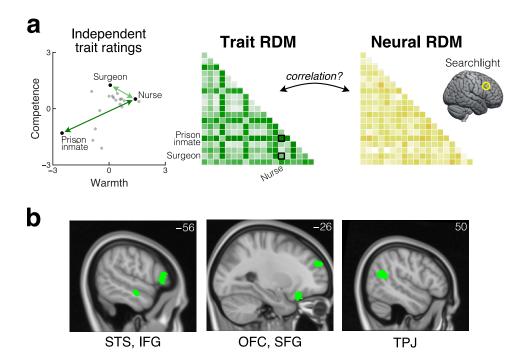


Fig 2. Neural representations of others' traits. a. Whole-brain searchlight RSA looked for neural representations of the recipient's perceived traits. The trait RDM was defined based on pairwise Euclidean distance in the two-dimensional space of warmth and competence. The neural RDM was computed for each searchlight based on pairwise cross-validated Mahalanobis distance between voxel-wise responses. b. Trait representation was found in left STS, left IFG, left OFG, left SFG, right TPJ, and right PMC (not shown) (whole-brain FWE-corrected TFCE p < .05).

182 To construct the neural RDM, we quantified dissimilarity in response patterns using

183 cross-validated Mahalanobis distance, which is a metric of the extent to which response

- patterns evoked by different recipients are consistently distinguishable across scanning
- 185 **runs**⁴².

186 Our RSA revealed that recipients' perceived warmth and co

- represented in left lateral orbitofrontal cortex (OFC), which has long been associated
- 188 with inference-based, goal-directed decision making (threshold-free cluster
- enhancement [TFCE], whole-brain family-wise error [FWE] corrected p < .05). In
- addition to the OFC, perceived traits are also represented in several other regions,
- including those associated with mentalizing, such as the right temporoparietal junction

- (TPJ), left superior temporal sulcus (STS), left inferior frontal gyrus, left superior frontal
- 193 gyrus, and right premotor cortex (Fig. 2b).
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195 Linking neural trait representations to choice behavior

- 196 Next, we investigated to what extent trait representations in these regions
- 197 contributed to participants' subsequent monetary allocation decisions (Fig. 3a). We
- reasoned that, if representations in any of the trait-representing regions (Fig. 2b)
- 199 contribute to decision making, then individual variations in local neural responses in
- such a region should predict individual variation in allocation choices. More specifically,

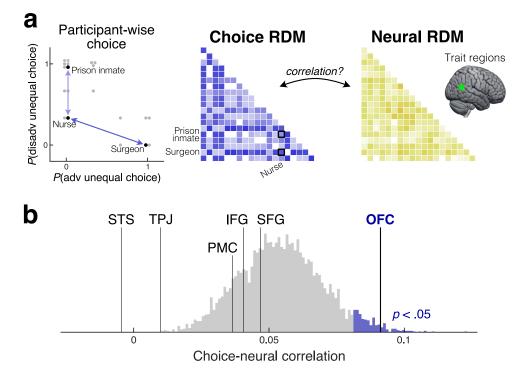


Fig 3. Correlation between neural representations of traits and individual choices. a.

Relationship between individual-level allocation choices and response patterns in the regions that represent others' traits (identified in **Fig. 2b**) was evaluated in the second RSA. The choice RDM was constructed for each participant based on pairwise Euclidean distance in the two-dimensional space of choice frequency in advantageous and disadvantageous inequity trials. Its relationship with the neural RDM in each trait region was measured by *Z*-transformed Spearman correlation. Shown is the data from one exemplar participant. **b**. The neural RDM in the OFC (p = .011), but not in any other region (p > .50), was significantly correlated with the individual-level choice RDM. Histogram: permutation-based FWE-corrected null hypothesis distribution.

if two recipients evoke similar response patterns in a particular region of a particular 201 202 participant's brain, and representations in that region contribute to decision-making in this context, then the participant should have treated those two recipients similarly. 203 Likewise, recipients that evoke dissimilar response patterns in a given participant should 204 have been treated dissimilarly by that participant. To test for such a relationship 205 between neural responses and individual choices, we ran another RSA that examined 206 207 the relationship between neural RDMs (on response patterns during the epoch of recipient identity presentation, as in the previous RSA) in each of the trait regions (Fig. 208 2b) and choice RDMs at the individual subject level (Fig. 3a). We visualized each 209 participant's choice frequency against each recipient (i.e., how often they chose the 210 211 unequal allocation over the equal allocation) as a two-dimensional space, with choices in advantageous inequity trials on one axis and choices in disadvantageous inequity on 212 213 the other axis. Pairwise Euclidean distance in this choice space was used to construct the individual choice RDM. To test the correlation between individual choice RDMs and 214 215 neural RDMs above and beyond the population-level effects of warmth and competence, we obtained an FWE-corrected null-hypothesis distribution via permutation 216 (randomly pairing choice and neural RDMs from different participants). 217 218 This analysis revealed that only responses in the lateral OFC predicted individual

allocation choices above chance (FWE corrected across the ROIs, p = .011; Fig. 3b). No other region exhibited a significant relationship with choices (p > .50). This suggests that the representation of the recipient's traits in the lateral OFC contributes to the allocation decisions. Importantly, while our behavioral analysis revealed that the trait

dimension (warmth or competence) that drives choices is *dependent on the decision context* (advantageous or disadvantageous inequity), responses in the lateral OFC were characterized by the two-dimensional spaces of traits (warmth and competence) and choices (advantageous and disadvantageous inequity), even before the participant was informed of the specific decision context. Taken together, these results suggest that the OFC plays a critical role in incorporating the perception of others' traits into social decision making in a highly flexible, goal-directed, context-dependent manner.

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231 Discussion

Adaptive social decision making relies on inferences about others' traits and 232 233 mental states. However, we often need to interact with people with whom we have very little experience. In such cases, people sometimes rely on societally shared 234 stereotypes, or trait perceptions based on cues to social group membership^{1-4,6-8}. Here 235 we identified a neural mechanism through which such trait perceptions influence social 236 decision making. Using an extended Dictator game paradigm in which participants 237 allocated monetary resources between themselves and various recipients identified by 238 information about their social group membership, we first showed that people 239 spontaneously treat others differently depending on their perceived traits in a context-240 241 dependent manner; advantageous inequity aversion increased with the recipient's 242 warmth, while disadvantageous inequity aversion increased with their competence. Using fMRI and RSA, we further showed that the recipients' traits were represented in 243 244 brain regions associated with both mentalizing (TPJ and STS) and goal-directed

decision making (OFC). Critically, the representation in the OFC was predictive of 245 246 monetary allocation choices at the individual level. Using a permutation test, we confirmed that this relationship cannot be accounted for by population-level effects of 247 warmth and competence, and instead implies that individual differences in the OFC 248 signals are associated with those in decision making. This shows that the OFC plays an 249 important role in driving social decisions based on the perception of others' traits. 250 Evidence that the lateral OFC mediates the effect of trait representations on 251 252 social decision-making connects to a large body of evidence in humans and other species that the OFC contributes to goal-directed behavior. Goal-directed behavior is 253 guided by inferred or imagined outcomes, as opposed to habitual behavior that is 254 255 guided by cached values learned through trial and error. Previous studies used paradigms such as outcome devaluation or preconditioning to demonstrate that the 256 OFC (in particular the lateral OFC) is necessary for goal-directed behavior in rats^{43,44}, 257 monkeys^{45,46}, and humans^{47–49}. Furthermore, recent neuroimaging and 258 259 electrophysiological studies revealed that the OFC represents latent features of the environment, such as the hidden state of the current trial in sequential or learning tasks, 260 that are not directly observable but are critical for outcome prediction^{34,35,50–54}. Based on 261 262 this evidence, a current influential hypothesis posits that the OFC represents aspects of the environment that are not fully observable but critical (or at least beneficial) for 263 inference on future outcomes, and thereby guides flexible, goal-directed decision 264 making^{36–40}. 265

Our findings, that the lateral OFC represents the perceived traits of others, and 266 267 that this representation is predictive of individual choices regarding these others, are consistent with the hypothesized function of the OFC. First, recipients' traits are not 268 directly observable and instead inferred from information about their group membership. 269 Second, decisions in the current paradigm are guided by inferences about how 270 subjectively rewarding it would be to allocate money between the self and the recipient, 271 272 as opposed to trial-and-error learning. Third, and most important, perceived traits affect 273 inference-based evaluation of allocation outcomes, as demonstrated by the participants' revealed preference in the current study as well as our previous studies with 274 independent samples⁷. Taken together, this points to the possibility that the lateral OFC 275 276 represent the recipient's traits in the current experimental paradigm because they are critical variables for inference-based evaluation of resource allocations; it is likely that 277 the OFC does not represent others' traits in decision contexts that rely on other 278 variables. 279

280 Other studies have also shown that the OFC is involved in incorporating perceptions of others' traits into social decisions in a goal-directed manner. For 281 instance, racial features of faces are represented in the OFC when participants chose 282 283 whether to befriend them (goal-directed decision making) but not when they judged whether they looked athletic (not goal-directed decision making)⁵⁵, and patients with 284 lateral OFC damage are able to judge competence of faces but fail to incorporate it into 285 voting decisions⁵⁶. These findings, along with various social deficits exhibited by 286 patients with OFC damage⁴⁰, show that the role of OFC in inference-based, goal-287

directed decision making extends to the social domain. Indeed, inference-based
outcome evaluation is critical for a wide range of social decisions, since the social world
is characterized by a high degree of uncertainty with complex latent structures (e.g.,
who are friends and who are foes) and countless unobservable variables (e.g., beliefs
and preferences of individuals)^{57,58}.

We also found neural representations of recipients' traits in several regions 293 outside the OFC. Among them, the right TPJ and the left STS are prominent areas in 294 the mentalizing network, which is consistently activated when people infer others' traits. 295 including based on their group membership (i.e., stereotyping)^{13,23–26}. Our results extend 296 these previous findings by showing, for the first time to our knowledge, that multi-voxel 297 298 response patterns in the TPJ and STS contain multi-dimensional information about perceived traits of others. Interestingly, the STS (particularly its ventral bank, where we 299 found trait representations) is anatomically connected to the lateral OFC in monkeys⁵⁹, 300 raising the possibility that the goal-directed representations in the OFC rely on inputs 301 302 from the mentalizing network. In addition, the regions where we found trait representations outside the mentalizing network are also anatomically connected to the 303 lateral OFC in monkeys^{59–61}, and many of these regions are also functionally coupled 304 with the lateral OFC in resting-state and task-based fMRI in humans^{62,63}. Taken 305 together, these findings suggest that the use of stereotypes in social decision making 306 relies on interaction between two key systems: one anchored on the mentalizing 307 network, which is responsible for inferences about others' traits, and the other primarily 308 centered on the OFC, which incorporates the inferred traits into outcome inferences and 309

evaluation in a context-dependent, goal-directed manner. This account is further 310 311 supported by our finding that signals in the OFC, but not in other regions, are correlated with individual choices, which suggests that the OFC contributes to subsequent 312 decision-making processes⁶⁴. 313 Our findings open up a number of exciting guestions for future research. First. 314 future studies are needed to better understand the circuit-level mechanisms through 315 316 which multi-dimensional representations in the OFC drive subsequent decision-making processes. For example, it is possible that the context-specific effects of social 317 perception on behavior (warmth affects advantageous inequity aversion, while 318 competence affects disadvantageous inequity aversion) could be mediated by flexible 319 320 readout of the OFC signals by downstream regions⁶⁵. Second, it remains an open guestion how trait representations in the mentalizing network and the OFC are 321 constructed from semantic knowledge about social groups, possibly represented in the 322 anterior temporal lobe^{66–68}. Third, while we did not find evidence of trait representations 323 324 in the hippocampus, a previous study reported that self-other relationships in a twodimensional eqo-centric space is represented in the hippocampus⁶⁹. This raises the 325 intriguing possibility that the OFC and hippocampus play complementary roles in social 326 decision making by representing the social world in different frames of 327 reference^{36,37,70,71}. Finally, our findings have the potential to inform future inquiry into the 328 neuroscience of discrimination, for example by quantifying relationships between 329 societal treatment of social groups and representations of their traits in the OFC^{72–74}, as 330 331 well as into disorders of social function, for example by separating social deficits arising

332	from an atypical neural representation of others' traits from those arising from an
333	atypical integration of trait representations into value-based decision-making ⁷⁵ .
334	Future research could also elucidate why trait representation was not observed in
335	the MPFC in this context, at least at a standard statistical threshold for whole-brain
336	analysis. Although the MPFC is also generally recruited during stereotyping ^{13,24-26} and
337	mentalizing ^{17–21,76,77} , it is possible that the MPFC contributes to stereotyping in a way
338	that does not involve trait representations in a two-dimensional warmth-competence
339	space ^{27,78,79} ; that its contributions might be more specialized for inferences about
340	individuals based on richer, more individuating information ^{80–83} ; or that its involvement
341	depends on the degree to which mentalizing is explicitly called for. For example,
342	previous studies reported that the MPFC is more activated when participants receive
343	explicit instructions to mentalize ⁸⁴ , whereas the TPJ is consistently activated even when
344	no explicit instructions or incentives for mentalizing are provided ^{76,85,86} . These
345	possibilities further highlight the potential importance of goals and incentives in
346	understanding the neural basis of social decision-making.
347	More broadly, while the current study focused on stereotypes, this is not the only
348	route to trait inference. For instance, people often assume that others tend to hold
349	attitudes or beliefs like their own (social projection), particularly when making inferences
350	about individuals that are perceived to be similar to themselves ^{4,20,82,83,87} . Furthermore,
351	for individuals with whom people interact extensively, trait information can be
352	accumulated across learning from experience66,88,89. It remains an open question how
353	trait information acquired through these different routes impacts social decisions at the

- 354 cognitive and neural levels. For its part, the current study establishes how stereotypes
- drive social decisions via goal-directed representations in the OFC, forming the basis for
- a more comprehensive understanding of the neural mechanisms through which different
- 357 types of social inferences affect social decisions across different contexts.

358 Materials and Methods

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All procedures were approved by the Institutional Review Boards at the University of California, Berkeley, and Virginia Tech.

Participants 43 healthy people provided informed consent in accordance with the Declaration of Helsinki and participated in the experiment. Data from 1 participant were removed for image artifacts and data from an additional 10 participants were removed for excessive motion (showing frame-wise or cumulative displacement of >2mm in translation or >2.5 degrees in rotation), leaving data from 32 participants for analysis (22 female, 10 male, age: 18-64, mean = 27.5, standard deviation = 11.4).

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370 Task overview Participants chose how to allocate monetary resources between themselves and a series of recipients in a modified dictator game. On each trial, the 371 participant viewed one piece of social group information about the recipient for that trial 372 (e.g., nurse, Japanese), along with two allocation options. In a majority of trials, one of 373 the options provided an equal division of resources between the participant and the 374 recipient, while the other option provided an unequal division of resources favoring 375 376 either the participant (advantageous inequity) or the recipient (disadvantageous 377 inequity). In the remaining trials, both options provided equal divisions in different amounts; these trials were only included to encourage the participant to pay attention to 378 both sets of payoffs and were not included in the primary analyses in this paper (see 379 Fig. S2c, d for behavioral data in these trials). In all cases, the participant decided 380 unilaterally which option to choose, while the recipient had no ability to affect the 381 outcome. 382 383

Recipient identities The recipient was described by one of 20 social group 384 memberships, which were originally developed in our previous study⁷ to span a wide 385 range of trait perceptions along the core dimensions of warmth and competence. The 386 387 group membership was described by one of the following attributes: occupation (accountant, surgeon, lawyer, nurse, stay-at-home parent, Olympic athlete, farmer), 388 389 nationality (Japanese, Irish, British, Spanish, Greek), ethnicity (Jewish, Arab), medical history (mental disability), age demographic (elderly), psychiatric history (drug 390 addiction), housing status (homeless), financial status (welfare recipient), and legal 391 status (prison inmate). The group membership was presented along with the attribute, 392 e.g., "Occupation: Nurse" or "Nationality: Japanese". 393

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In all behavioral and fMRI analyses, we used ratings of these recipients' warmth and competence collected from an independent sample in an online experiment (n = 252, Study 1b in our previous study⁷). To confirm that this independently measured social perception was shared by participants in the current fMRI experiment, we also asked these participants to rate recipients' warmth and competence after the scan. We confirmed that the average ratings obtained in the current study were highly correlated with the independent ratings, demonstrating the robustness of our social perceptionmeasures (Fig. S1).

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Monetary allocation options While the equal allocation option provided the same 404 amount to the participant and the recipient (\$10) across all trials, payoffs in the unequal 405 allocation option were varied across trials. The payoff structure ([own payoff, the 406 recipient's payoff]) was either [\$20, \$5], [\$15, \$9], or [\$14, \$6] in advantageous inequity 407 408 trials, and either [\$5, \$20], [\$9, \$15], or [\$6, \$14] in disadvantageous inequity trials. Therefore, in the advantageous inequity trials, the participant can maximize their own 409 payoff by choosing the unequal allocation and maximize the recipient's payoff by 410 choosing the equal allocation. Conversely, in the disadvantageous inequity trials, they 411 can maximize their own payoff by choosing the equal allocation and maximize the 412 recipient's payoff by choosing the unequal allocation. 413

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415 Procedure Participants completed the task inside the MRI scanner and indicated their 416 choices using a button box. The task was programmed in python using the Pygame package. Prior to scanning, participants were instructed that, although the monetary 417 allocations in this task were hypothetical, they should indicate as honestly as possible 418 which choice they would prefer if it were to affect the actual payoffs of themselves and 419 the recipient. Throughout scanning, each of 8 payoff structures was presented once for 420 421 each of the 20 recipients; in total, $8 \times 20 = 160$ trials were presented in a randomized 422 order for each participant. The scanning consisted of two runs (80 trials each), with each recipient appearing four times per run. 423

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In each trial, the participant was first presented with the recipient information (duration 425 between 2.5 sec to 5.5 sec: varied across scanning runs and participants), and then 426 with two allocation options, presented side by side. To mitigate cognitive load, the 427 428 constant equal allocation [\$10, \$10] was always presented to the left, while the right 429 option was varied across trials. After a delay (jittered between 3 sec and 6 sec), both options were outlined by blue boxes, which prompted the participant to indicate a choice 430 by pressing one of two buttons. Participants were asked to press a button within 5 431 seconds; the trial was automatically terminated (and not repeated) when they did not 432 press a button within that window. 433

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Behavioral data analysis Economic theories of distributional preference posit that 435 decision making in the Dictator game is driven primarily by two factors: maximization of 436 one's own payoff and concern for the inequity between one's own payoff and the 437 recipient's payoff^{10,11}. They further posit that preferences regarding advantageous 438 inequity are distinct from preferences regarding disadvantageous inequity^{90,91}. In recent 439 work, we found that aversion to advantageous inequity increases with the recipient's 440 perceived warmth (but does not depend on their perceived competence) and aversion 441 to disadvantageous inequity increases with the recipient's perceived competence (but 442 does not depend on their perceived warmth)⁷. In that study, the participant decided how 443 many tokens to share with the recipient in a continuous manner, and thus it was up to 444

them whether and how often they created advantageous or disadvantageous inequity.
We adopted a different task design in the current study, which used two-alternative
forced choices regarding advantageous and disadvantageous inequity in separate trials,
which allowed us to test the dissociable effects of perceived warmth and competence
on inequity preference even more directly.

450

461

We counted how often the participants chose the unequal allocation over the equal 451 452 allocation against each recipient in advantageous and disadvantageous inequity trials and tested their correlation with the perceived warmth and competence of the recipients 453 for those choices (Fig. 1c). The statistical significance of the correlation was assessed 454 via permutation (9,999 iterations). The same permutation test was also used to assess 455 whether the effects of warmth and competence on choice frequencies were different 456 from each other (i.e., statistical significance on the difference in correlations). While Fig. 457 1c shows choice frequencies marginalized over payoff structures in each trial type, the 458 459 relationship with trait perceptions was robustly observed even when measured for each 460 payoff structure separately (Fig. S2a, b).

MRI data acquisition MR images were acquired by a 3T Siemens Magnetom Trio 462 scanner and a 12-channel head coil. A 3D high-resolution structural image was 463 acquired using a T1-weighted magnetization-prepared rapid-acquisition gradient-echo 464 (MPRAGE) pulse sequence (voxel size = $1 \times 1 \times 1$ mm, matrix size = 190×239 , 200 465 axial slices, TR = 2300 msec, TE = 2.98 msec). While participants completed the task, 466 functional images were acquired using a T2*-weighted gradient echo-planar imaging 467 (EPI) pulse sequence (voxel size = $3 \times 3 \times 3$ mm, interslice gap = 0.15 mm, matrix size 468 = 64 × 64, 32 obligue axial slices, TR = 2000 msec, TE = 30 msec). Slices were angled 469 +30 degrees with respect to the anterior commissure-posterior commissure line to 470 reduce signal dropout in the orbitofrontal cortex⁹². 471

472

473 **MRI data analysis: trait perception.** We conducted a whole-brain searchlight Representational Similarity Analysis (RSA) to look for neural representations of the 474 recipient's perceived traits⁴¹. More specifically, we looked for brain regions in which 475 voxel-wise local response patterns evoked by two recipients are similar (dissimilar) 476 when their perceived traits are also similar (dissimilar) to each other. Our RSA 477 478 formulated this relationship as the correlation between two representational dissimilarity matrices (RDMs), one that captures dissimilarity in trait perception (trait RDM) and one 479 that captures dissimilarity in response patterns (neural RDM), in all possible pairs of 480 recipients (20 recipients, 190 pairwise similarity measures). 481 482

For the trait RDM, pairwise dissimilarity in perceived traits was quantified as Euclidean
distance in a two-dimensional space of perceived warmth and competence (Fig. 1a).
Empirical measures of warmth and competence perceptions were originally obtained as
numeric scores between 0 and 100⁷. We *z*-scored each dimension across the 20
recipients to construct the Euclidean space.

The neural RDM was computed at every voxel within grey matter in native space. 489 Pairwise dissimilarity in voxel-wise response patterns was quantified as the cross-490 491 validated Mahalanobis (Crossnobis) distance in a gray-matter spherical searchlight (10mm radius). Crossnobis distance is an unbiased measure of the extent to which 492 response patterns evoked by two recipients are *consistently distinguishable across* 493 scanning runs⁴². We chose this distance measure over alternative measures because 494 we were primarily interested in how recipients are *distinguished* in their neural 495 representation, rather than how they are *similarly represented*. In our experiment, since 496 each recipient was presented four times in each of the two scanning runs, we were able 497 to cross-validate distance estimates across runs to mitigate spurious distance caused 498 by noise (overfitting). 499

500

The pairwise Crossnobis distance was estimated following the formulae provided 501 previously⁴². We first estimated voxel-wise response patterns evoked by each recipient 502 503 in each scanning run using a GLM implemented in SPM12. To retain fine-grained 504 signals as much as possible, minimal preprocessing (only motion correction) was applied to EPIs prior to the GLM. The GLM included the regressors of interest, modeling 505 the presentation of each recipient using a box-car function that starts with the onset of 506 the recipient presentation and ends with the onset of payoffs presentation, along with 507 nuisance regressors modeling button presses. These regressors were convolved with 508 509 the canonical double-gamma hemodynamic response function (HRF) and its temporal derivative. The GLM also included confound regressors for head motion (3 translations 510 and 3 rotations, estimated in the motion correction procedure), 128-sec high-pass 511 filtering, and AR(1) model of serial autocorrelation. The GLM coefficients of each 512 recipient within the searchlight were then cross-validated across the two runs to obtain 513 the Crossnobis distance. For Mahalanobis whitening, we estimated the covariance 514 matrix in the searchlight using the GLM residuals and shrank it for invertibility⁹³. 515 516

We computed Fisher-transformed Spearman correlation between the trait and neural 517 RDMs at each gray-matter voxel. We discovered that the trait RDM inadvertently 518 contained information about visual features of the recipient presentation on the screen, 519 and specifically its character count. This visual confound was controlled by partialling 520 out another RDM that captured the character count. The resultant correlation map was 521 522 normalized to the standard MNI space based on the MPRAGE structural image of each participant and spatially smoothed (Gaussian kernel FWHM = 8 mm) using SPM12. For 523 the population-level analysis, a cluster-level permutation test was conducted using FSL 524 randomise (threshold-free cluster enhancement [TFCE], whole-brain FWE corrected p 525 < .05, 4,999 iterations). 526

527

MRI data analysis: correlation with individual choices. To look for evidence that any
of the regions that represented the perceived traits (Fig. 2b) contributed to the
subsequent monetary allocation decisions, we ran another RSA which tested the
correlation between neural RDMs and choice RDMs. We predicted that, if a region
contributed to the decisions, local response patterns evoked by two recipients in one

533 participant's brain would be similar (dissimilar) to each other when the participant 534 treated them in a similar (dissimilar) manner in their allocation choices.

535

The individual choice RDM was built on the frequency at which each participant chose the advantageous or disadvantageous unequal allocation for each recipient. Pairwise Euclidean distance was measured in the two-dimensional space of the observed choice frequencies, one dimension for advantageous inequity trials and the other dimension for disadvantageous inequity trials. Since each recipient was presented in three advantageous inequity trials and three disadvantageous inequity trials, the choice frequency on each dimension was either 0, 1/3, 2/3, or 1.

543

These individual-level choice RDM were then correlated with neural RDMs in the regions identified by our first RSA as containing representations of others' traits. Binary masks were functionally defined in standard MNI space based on the aforementioned population-level statistics (TFCE, whole-brain FWE corrected p < .05) and converted to the native space of each participant's brain using SPM12. The *z*-transformed Spearman correlation between the choice and neural RDMs was averaged across all voxels in the native-space masks.

551

In order to test whether neural response patterns predicted individual choice patterns *above and beyond* the population-level effects of warmth and competence, we conducted a permutation test, randomly pairing choice and neural RDMs from different participants (4,999 iterations). To control for multiple comparisons across ROIs, the nullhypothesis distribution was constructed by taking the highest population average of

557 correlation scores across the ROIs in each permutation iteration.

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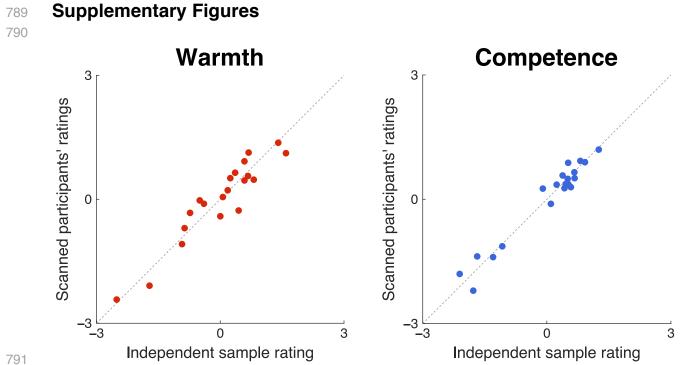
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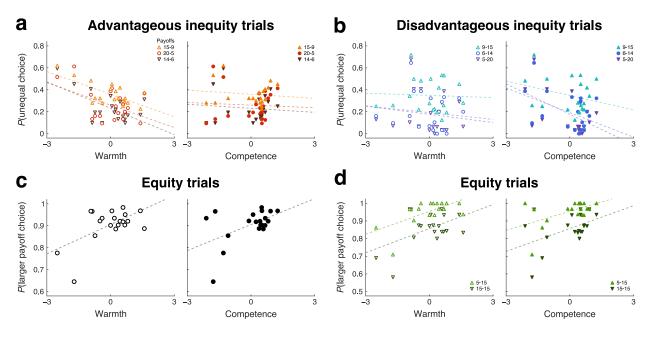
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Fig. S1. Consistency in trait perception. In all behavioral and fMRI analyses, we used ratings of warmth and competence from our previous study (Jenkins et al., 2018, Study 1b, n = 252; x axis). We also collected ratings from our participants after scanning (n =32; y axis). These two sets of ratings are highly correlated (warmth: Pearson's r = .943, competence: r = .978), demonstrating the robustness of trait perceptions.



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Fig. S2. The effect of perceived traits on monetary allocation choices, separately for 800 each payoff structure. a. In advantageous inequity trials, the unequal self-recipient 801 allocations were either \$15-\$9, \$20-\$6, or \$14-\$6. Consistent patterns were observed 802 across these payoff conditions; participants were less likely to choose the unequal 803 allocation as the recipient's perceived warmth was higher (*left*, 15-9: Pearson's r = -.68, 804 permutation p = .001, 20-5: r = -.47, p = .021, 14-6: r = -.60, p = .004) irrespective of 805 the recipient's perceived competence (*right*, 15-9: r = -.12, p = .285, 20-5: r = -.06, p 806 = .386, 14-6: r = -.09, p = .331), and the effect of warmth was stronger than 807 competence (15-9: *p* = .001, 20-5: *p* = .017, 14-6: *p* = .004). **b.** In disadvantageous 808 inequity trials, the unequal self-recipient allocations were either \$9-\$15, \$6-\$14, or \$5-809 \$20. Consistent patterns were observed across these payoff conditions, except that the 810 competence effect did not reach statistical significance in 9-15; participants were less 811 likely to choose the unequal allocation as the recipient's perceived competence was 812 813 higher (*right*, 9-15: r = -.28, p = .125, 6-14: r = -.44, p = .036, 5-20: r = -.52, p = .018) irrespective of the recipient's perceived warmth (*left*, 9-15: r = -.04, p = .417, 6-14: r =814 -.12, p = .287, 5-20: r = -.14, p = .265), and the effect of competence was stronger than 815 warmth (9-15: p = .120, 6-14: p = .054, 5-20: p = .024). **c.** In some trials, the participant 816 was presented with two equal allocations (one option was \$10-\$10, and the other option 817 was either \$5-\$5 or \$15-\$15). These conditions were only included to encourage the 818 participant to pay attention to both sets of payoffs and were not discussed in the main 819 text. In these trials, participants chose the option with higher payoffs more often when 820 the recipient's warmth was higher (r = .57, p = .009), and also when their competence 821 was higher (r = .51, p = .022). The effects of warmth and competence did not differ 822 significantly (p = .362). These results demonstrate that participants incorporated the 823 recipient's warmth and competence into their choices in a highly context-dependent 824 manner. d. Consistent behavioral patterns were observed across both payoff conditions 825 826 in the equity trials; the larger payoff frequency increased with warmth (*right*, 5-5: r = .63,

827	p = .006, 15-15: $r = .49, p = .022$) and competence (<i>left</i> , 5-5: $r = .52, p = .020, 15-15$: $r = .020,$
828	= .46, p = .033), and their effects were comparable (5-5: p = .287, 15-15: p = .440).