1 2	Fear memory-associated synaptic and mitochondrial changes revealed by deep learning-based processing of electron microscopy data
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# 1 Abstract

Serial section electron microscopy (ssEM) can provide comprehensive 3D 2 ultrastructural information of the brain with exceptional computational cost. Targeted 3 reconstruction of subcellular structures from ssEM datasets is less computationally 4 demanding but still highly informative. We thus developed a Region-CNN-based deep 5 6 learning method to identify, segment, and reconstruct synapses and mitochondria to explore the structural plasticity of synapses and mitochondria in the auditory cortex of 7 mice subjected to fear conditioning. Upon reconstructing over 135,000 mitochondria 8 and 160,000 synapses, we found that fear conditioning significantly increases the 9 number of mitochondria but decreases their size, and promotes the formation of multi-10 contact synapses comprising a single axonal bouton and multiple postsynaptic sites 11 12 from different dendrites. Modeling indicates that such multi-contact configuration increases the information storage capacity of new synapses by over 50%. With high 13 accuracy and speed in reconstruction, our method yields structural and functional 14 insight into cellular plasticity associated with fear learning. 15

# 16 Introduction

The mammalian brain consists of a vast and complex network of neurons interconnected by specialized sites called synapses. In this network, a neuron may receive input from, and send output to, thousands of other neurons. The concerted activities of neurons, which encode, process, and store information, fundamentally depend on the connectivity patterns of synapses. Thus, it is critical to elucidate the

organization of synaptic circuits in order to understand brain functions. Dissecting the 1 synaptic circuit is technically challenging due to small size, complex morphology, 2 3 dense distribution, and enormous number of synapses. Light microscopy has been used to examine populations of synapses *in vitro* and *in vivo*. Previous work has shown that 4 learning effectively modifies synaptic structures of the mammalian cerebral cortex<sup>1-3</sup>. 5 Auditory fear conditioning (AFC), a common paradigm of associative learning, 6 increases formation of presynaptic boutons and postsynaptic spines in the auditory 7 cortex (A1)<sup>3</sup>. However, although boutons and spines can be visualized using light 8 9 microscopy, the width of the synaptic cleft is below the diffraction limit and synapses are difficult to discern using light microscopy images<sup>4</sup>. The serial-section electron 10 microscopy (ssEM) technique<sup>5</sup> overcomes the resolution problem and enables large-11 12 scale three-dimensional (3D) reconstruction of brain tissue with nanometer-scale resolution, which is sufficient to resolve the ultrastructural features of synapses, such 13 as presynaptic vesicles, the synaptic cleft, and the postsynaptic density (PSD). However, 14 manual identification and segmentation of synapses from massive ssEM datasets is 15 extremely time-consuming, and thus requires an automated pipeline. 16

To date, a variety of machine learning-based approaches for synapse detection have been proposed. Some methods require saturated reconstruction or segmentation of neuronal structures prior to synapse detection<sup>6, 7</sup>, which is daunting for large datasets. Some methods do not make full use of the contextual information or structural properties of synapses<sup>8, 9</sup>, making them more prone to errors. Some other methods require nearly isotropic imaging data<sup>10</sup>, a requirement incompatible with standard ssEM,

in which the axial resolution (section thickness) is typically much worse than the lateral
resolution. Most recently, an indirect method detected synapses by identify the synaptic
connectivity (pre- and postsynaptic component of each synapse)<sup>11</sup>. All in all, there is
much to be desired in terms of the identification accuracy, speed, and general
applicability of automated synapse analysis tools.

Mitochondria play essential roles in cellular functions, such as producing 6 7 adenosine triphosphate (ATP), and participating in calcium homeostasis<sup>12</sup>. Moreover, synaptic mitochondria are linked to the process of neurotransmitter release and 8 organization of synaptic vesicles<sup>13</sup>. In the past decade, ssEM has been increasingly used 9 10 to investigate mitochondrial structures. Along with this, a variety of automated methods have been developed to detect mitochondria from ssEM images. One method was based 11 on handcrafted features and traditional classifiers<sup>14-16</sup>, the other on powerful 2D or 3D 12 convolutional neural networks (CNNs)<sup>10, 17</sup>. 13

In this study, we used Region-CNN (R-CNN) based deep learning algorithms to 14 identify, segment, and reconstruct synapses and mitochondria from ssEM images of the 15 16 mouse auditory cortex. Our pipeline achieved state-of-the-art accuracy with a speed almost three orders of magnitude faster than human experts, enabling us to sample more 17 than one hundred thousand synapses and mitochondria. Using this method, we studied 18 how a classical learning model, namely AFC, affects the synaptic and mitochondrial 19 20 organization in the A1 (Figure 1, Extended Data Figure 1). Using automated synapse reconstruction and mathematical modeling, we found that AFC increases multi-21

synaptic boutons connecting one axonal bouton to multiple different dendrites in the
 A1, and these 1-to-N connections dramatically increased the information coding
 capacity, thus representing a synaptic memory engram.

# 4 **Results**

#### 5 Auditory fear conditioning as a model for learning and memory

To investigate changes in cellular structures induced by learning and memory in 6 7 the adult brain, we used a simple and robust behavioral model for associative learning: auditory fear conditioning (AFC). Specifically, conditioned mice received 5 sessions of 8 9 paired tone pips (conditioned stimulus, CS) and foot shocks (unconditioned stimulus, 10 US), while control mice received 5 sessions of just tone pips (Figure 1A). Mice were tested with the conditioned stimulus 24 hours after conditioning. All conditioned mice 11 (n = 3) exhibited high freezing responses, while all control mice (n = 3) exhibited low 12 13 freezing responses (Extended Data Figure 2). At 4 days after conditioning, we harvested auditory cortex (A1) tissue blocks from these mice and prepared them for ssEM (Figure 14 1B). We sectioned a total of  $2.8 \times 10^5 \,\mu\text{m}^3$  A1 tissue at 50 nm section thickness, and 15 imaged at 2-4 nm lateral resolution (Supp. Video 1). 16

## 17 Deep learning-based reconstruction of synapses in A1

We first explored the synaptic changes associated with AFC, by extracting structural information of synapses from ssEM data. Synapses have distinct ultrastructural properties: pre- and postsynaptic membranes with a synaptic cleft in

between, postsynaptic density (PSD) and abundant synaptic vesicles in presynaptic 1 terminals. These special features enabled us to design a 2D-3D pipeline to detect and 2 3 reconstruct synapses at the two-dimensional (2D) and 3D levels. Due to the high anisotropy of voxels (x-y resolution: 2-4 nm, z: 50 nm) and intrinsic local 4 misalignments in most ssEM data, using a 3D convolutional neural network (3D CNN) 5 increases the computational complexity without offering any improvement in 6 performance. Therefore, we used the Mask R-CNN<sup>18</sup> model at the 2D level to detect 7 and segment synapses in each 2D image (Figure 2A). The Mask R-CNN is a deep neural 8 9 network for the instance segmentation, which can separate distinct objects in an image. As illustrated in Figure 2B, Mask R-CNN is composed of three primary parts: backbone 10 network, Region Proposal Network (RPN) and Region-CNN (R-CNN). The backbone 11 12 network provides shared feature maps for the other two parts. The backbone used for synapse detection is a Feature Pyramid Network (FPN, Figure 2B, Supp. Methods)<sup>19</sup>, 13 which we modified from the ResNet50 model<sup>20</sup>. As a typical two-stage detector, it first 14 15 generates enough region proposals to guarantee the pre-specified recall rate with a RPN. Subsequently, the feature maps of the proposals are extracted as Regions of Interest 16 (RoIs). R-CNN then makes further classification (predicting the scores being synapses 17 or not), regression (predicting the coordinates of synapses' bounding boxes) and 18 19 predicts a pixel level mask for the RoIs identified in the first stage. The classification branch that predicted each RoI as a synaptic or non-synaptic object explored both the 20 21 features of the synaptic vesicles and the PSD. This second stage guarantees the

precision rate. After obtaining the final positions, the mask branch predicted the
 segmentation masks of the detected PSDs.

To train the network, a total of 600 ssEM images from the aforementioned mouse A1 dataset were annotated by two expert annotators with cross-validation (two volumes of 2,048 × 2,048 × 300 voxels for the control and conditioned groups, respectively), which was split into training (60%), validation (20%), or test (20%) sets. Evaluation against the test set showed that our pipeline achieved a 0.90 precision rate and a 0.83 recall rate for synapse detection (Extended Data Figure 3A and 3C).

9 To quantitatively evaluate the performance (efficiency and accuracy) of the Mask R-10 CNN, we compared it against other state-of-the-art CNNs using a previously reported 11 public ssEM dataset<sup>1</sup> comprising 178 slices sized 8576 × 7616 pixels. The dataset is 12 divided into two equal parts, one for training and one for testing. The baseline network 13 U-Net<sup>21</sup> and the 3D U-Net<sup>22</sup> are commonly used for biomedical image segmentation 14 tasks. In terms of precision rate, recall rate, and F1-score (the harmonic mean of 15 precision and recall), our pipeline outperformed U-Net and 3D U-Net (Figure 2E).

We also compared the processing speed of the three networks equipped with one graphics processing unit (GPU) as well as that of manual annotation (Figure 2F). The results confirmed that our method ( $1.27 \times 10^{11} \pm 1.44 \times 10^{10}$  voxels/day) is comparable to 3D U-Net ( $1.40 \times 10^{11} \pm 1.39 \times 10^{10}$  voxels/day), one order of magnitude faster than U-Net ( $7.33 \times 10^{10} \pm 8.40 \times 10^9$  voxels/day), and almost three

<sup>&</sup>lt;sup>1</sup> https://www.micro-visions.org/data/Synapse-ATUM/

1 orders of magnitude faster than manual annotation  $(1.77 \times 10^8 \text{ voxels/day})$ .

At the 3D level, we used a 3D connection algorithm (Figure 2C) to find the instance-2 level connected components and reconstruct synapses. Based on the continuity of the 3 aligned ssEM volume and the spatial structure of the synapses, we constructed 4 similarity matrices (Supp. Methods) between adjacent layers with synapse detection 5 6 boxes. If the similarity of the two bounding boxes was greater than a certain threshold (default set at 0.5), we considered the corresponding synapse to be the same one at the 7 8 3D level. If a synapse appeared in 3 continuous layers or more, it was retained and given a unique label; otherwise, it was discarded as a false positive. Therefore, this 9 connection algorithm was also used as a post-processing method to remove false 10 positives and refine the segmentation and detection results. After connected component 11 labeling, we could obtain the synaptic instance segmentation results where each label 12 indicated a unique synapse in 3D. 13

Using our 2D-3D pipeline, we automatically identified, segmented, and reconstructed over 160,000 synapses from 12 A1 tissue blocks of 6 mice (Extended Data Figure 4, Supp. Video 2). To compare the spatial distribution of synapses in control and fear conditioned animals, we computed the distance between any two synapses, and found that synapses were uniformly distributed both in control and conditioned animals, with no difference in the average inter-spine distance (Figure 2D). Therefore, AFC does not cause a major change in synapse number or distribution in A1.

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### 1 Deep learning-based reconstruction of mitochondria in A1

We designed our 2D-3D pipeline such that it is capable of identifying any cellular 2 compartment or organelle with borders and distinct structural properties. We then used 3 this pipeline to identify mitochondria, the major energy source for cellular functions 4 5 and neuronal activities, from the same ssEM dataset. Mask R-CNN first predicted a binary mitochondrial mask (Figure 3A) for each input image, after which the 3D 6 connection algorithm produced the reconstructed mitochondria (Figure 3B). To build a 7 ground truth mitochondria dataset, mitochondria from 20 images  $(7,492 \times 7,492)$ 8 pixels) were labeled by experienced annotators. The train-validation-test split ratio used 9 here was the same as for synapses. To correct for discrepancies in imaging conditions, 10 11 we preprocessed the images using histogram matching. The images were cropped into smaller patches  $(1,024 \times 1,024 \text{ pixels})$  for training the R-CNN. The proposed 12 13 algorithm achieved a 0.93 precision rate and a 0.91 recall rate for mitochondria detection on the test set (Extended Data Figure 5). 14

After validation against the ground truth dataset, we applied the 2D-3D pipeline to examine mitochondrial changes after AFC. We identified, segmented, and reconstructed over 135,000 mitochondria from 12 A1 tissue blocks of 6 mice (Figure 3C, Supp. Video 3). By combining the synapse dataset and mitochondria dataset, we found that synapses with presynaptic mitochondria had larger PSDs than those without (PSD size with presynaptic mitochondria:  $0.21 \pm 0.008 \ \mu m^2$ , PSD size without:  $0.12 \pm$  $0.002 \ \mu m^2$ , Figure 3F). AFC significantly decreased mitochondrial volumes (control: 1  $0.11 \pm 0.003 \ \mu\text{m}^3$ , conditioned:  $0.08 \pm 0.001 \ \mu\text{m}^3$ , Figure 3D) while increased 2 mitochondrial density (control:  $0.57 \pm 0.023 \ \mu\text{m}^3$ , conditioned:  $0.65 \pm 0.014 \ \mu\text{m}^3$ , 3 Figure 3E). Thus although AFC does not alter the spatial distribution of synapses, it 4 increases the number and decreases the size of mitochondria, suggesting that 5 mitochondrial fission may accompany AFC.

#### **6** A synapse dataset combined with mitochondria and synaptic vesicles

We successfully identified and reconstructed the PSDs of synapses from ssEM 7 images with our 2D-3D pipeline, but the direction of the synaptic connectivity is still 8 9 unclear. Vesicle clouds in the presynaptic terminals provide essential information for distinguishing axons from dendrites in ssEM images. Thus, we applied FusionNet<sup>23</sup>, a 10 variant of U-Net, to detect the synaptic vesicle clouds (Extended Data Figure 6A). 11 FusionNet used the residual blocks and summation-based skip connections (Extended 12 Data Figure 6C), which could achieve state-of-the-art performance in segmenting the 13 ssEM data. The network predicted probability maps each element indicating the 14 15 probability of belonging to the foreground. Synaptic vesicles were about 60 nm in diameter and concentrated in the presynaptic region. Since labeling each synaptic 16 vesicle is extremely time-consuming, we annotated vesicle clouds to reduce the 17 annotation workload. We extracted two volumes  $(2,048 \times 2,048 \times 50 \text{ voxels})$  for 18 synaptic vesicle annotation, and divided them into training, validation, and test sets 19 using the same split ratio as for synapses and mitochondria. To evaluate the 20

performance on the test set, a thresholding operation was conducted, and FusionNet
 yielded a precision rate of 0.83 and a recall rate of 0.80 (Extended Data Figure 6B).

After applying the trained version of FusionNet to identify vesicle clouds in A1, we constructed a large-scale dataset containing synapses, mitochondria and vesicles from control and conditioned mice, which can be used then for studying the cellular ultrastructural changes associated with AFC. An example showing synaptic ultrastructure, including synaptic cleft, mitochondria, and vesicle cloud, is presented in Figure 3G.

## 9 Fear conditioning increased a specific type of multi-contact synapses

Synapses that form 1-to-N or N-to-1 connections, termed multiple-contact synapses 10 (MCSs), have been observed in the brains of mice, rabbits and monkeys<sup>24-26</sup>, and were 11 implicated in functions such as eye-blink conditioning<sup>27</sup>. But statistical and structural 12 analyses were limited due to the small sample size obtained by manual notation of EM 13 data in previous studies. In our previous work, we found that in adult mouse A1, 14 synaptogenesis rarely occurs de novo, but rather by addition of new boutons or spines 15 to existing synapses, termed "partial addition rule"<sup>3</sup>. The finding that AFC leads to an 16 increase in spine and bouton formation together with this rule may lead to an increase 17 in MCSs, if the additions are not accompanied by eliminations of existing synapses via 18 19 synaptic replacement.

To find out if MCSs serve as a synaptic memory engram for AFC, we designed a semi-automated method to localize all MCSs in the tissue blocks to examine MCSs in

a large scale (Figure 4A and Extended Data Figure 7A). To identify MCSs from 1 2 annotated synapses, we took advantage of the fact that MCSs share either a bouton or 3 a PSD, so the distances of PSDs or boutons of one MCS are restricted to the size of a synapse ( $\sim 1$ -µm). Based on this biological prior information, the candidate MCSs were 4 detected by restricting the distances between identified synapses. Then expert 5 annotators proofread to exclude the false positives (Figure 4B) which were not easily 6 distinguished in 2D images. By combining the segmentation results of synapses and 7 vesicle clouds to estimate the number of boutons in one MCS, we then classified all the 8 9 identified MCSs into two types: those consisting of a single bouton contacting multiple PSDs ("Multi-Synaptic Bouton", MSB, Figure 4C and 4D) or those consisting of a 10 single spine contacting multiple boutons ("Multi-Synaptic Spine", MSS, Figure 4E). 11

12 We found that the percentage of MSB synapses was significantly increased after AFC (control:  $5.0 \pm 0.3$  %, conditioned:  $6.8 \pm 0.2$  %, Figure 4F), whereas that of MSS 13 14 synapses did not change (control:  $1.8 \pm 0.4$  %, conditioned:  $1.4 \pm 0.4$  %, Figure 4G). We also noted that the majority (~98.58  $\pm$  0.4%) of MSBs had 2 postsynaptic targets, 15 yet a few had 3 or more (Figure 4D), and the percentage of MSBs forming more than 2 16 synapses was significantly elevated in the conditioned animals (control:  $1.07 \pm 0.3\%$ , 17 conditioned:  $2.26 \pm 0.3$  %, Extended Data Figure 7D). Together, these results showed 18 that AFC affected MCSs, specifically by promoting formation of MSBs. We also found 19 20 that the percentage of MSB synapses with presynaptic mitochondria is significantly higher than that of single-synaptic bouton (SSB) synapses with presynaptic 21

1 mitochondria (MSB: 59.41  $\pm$  1.3%, SSB: 34.12  $\pm$  0.6%, Figure 4H), suggesting that

2 MSBs are more energy-demanding.

#### **3** Combinatorial modeling to assess bouton and spine turnover patterns

Synapses undergo constant turnover in the adult brain, and long-term memory 4 storage may involve the formation of new synapses<sup>1-3</sup>. However, it is unclear to what 5 6 extent such synaptic additions may be accompanied by the elimination of existing synapses (or whether they tend to co-exist). We performed *in vivo* two-photon imaging 7 of fluorescently labeled boutons and spines in A1 of control and fear conditioned mice, 8 9 and computed the bouton and spine turnover rates at 4 days after AFC. The formation and elimination rates of spines over the 4-day period are  $9.7 \pm 1.02\%$  and  $8.9 \pm 0.41\%$ , 10 and of boutons  $14.7 \pm 1.03\%$  and  $17.8 \pm 1.24\%$ , with no significant net increase or 11 decrease. We reasoned that for any synapse, if the addition of a new bouton/spine is 12 always accompanied by the elimination of the existing bouton/spine (Figure 5A, left), 13 14 then the percentage of MCSs should remain constant, otherwise there should be an 15 increase of MCSs (Figure 5A, right). Thus, our finding that the percentage of MSSs remained unchanged after AFC (Figure 4G) suggests that new boutons tend to replace 16 old ones, representing old connectivity patterns supplanted by new ones. In contrast, 17 the significant increase in the number of MSBs (Figure 4F) suggests that new spines 18 are added to existing synapses without eliminating old ones, representing addition of 19 new connections while preserving old ones. 20

To quantitatively assess the difference in the turnover pattern of boutons and spines, specifically, to estimate the proportions of newly formed boutons/spines being replaced and/or added, we developed a combinatorial mathematical model that exhausted all turnover possibilities based on results from ssEM and *in vivo* imaging (Figure 5C and 5D). We used the model to estimate: 1) the proportion of boutons/spines for which formation was accompanied by elimination, and 2) the proportion of boutons/spines that are simply added to existing synapses without eliminating old ones.

We took the MCS/1-to-1 synapse composition of control mice as the starting 8 situation and that of conditioned mice as the end situation, using a bipartite graph to 9 model synaptic connections. In order to better reflect the difference in the MSB ratio 10 before and after learning, as well as to take into account computational complexity, we 11 modeled using 120 synapses to obtain the final expected values, using combinatorics to 12 calculate the possibility of different patterns. We assumed an equal possibility for all 13 14 turnover patterns. The percentages of MSBs and MSSs, and the elimination and formation rates of spines and boutons were all based on experimental data (Figure 4F, 15 4G and 5B). Synaptic turnover patterns that involve newly formed boutons/spines 16 include the following 6 categories ('-' represents elimination and '+' formation): 17

1-to-1 synapse  $\rightarrow$  1-to-1 synapse: -1 and +1 (A.) synaptic turnover:  $\begin{cases} \text{multiple-contact synapse} \rightarrow 1\text{-to-1 synapse}: -2 \text{ and } +1 \text{ (B.)} \\ 1\text{-to-1 synapse} \rightarrow \text{multiple-contact synapse}: \begin{cases} -0 \text{ and } +1 \text{ (C.)} \\ -1 \text{ and } +2 \text{ (D.)} \end{cases} \\ \text{multiple-contact synapse} \rightarrow \text{multiple-contact synapse}: \begin{cases} -1 \text{ and } +1 \text{ (E.)} \\ -2 \text{ and } +2 \text{ (F.)} \end{cases}$ 18

According to the above classification, "synaptic replacement" includes (A.), (B.), (D.), 1 (E.) and (F.), and "synaptic addition" includes only (C.). We built two models, one for 2 3 bouton turnover and MSS, the other for spine turnover and MSB. These two models capture bouton and spine turnover patterns, respectively (for detailed calculation, see 4 Supp. Methods). 5

Our combinatorial mathematical modeling predicts a much higher percentage of 6 replacement of boutons than that of spines (Figure 5E, 5G and 5H, Supp. Methods), 7 8 which is consistent with our observation in vivo: among 856 putative synapses identified from two-photon imaging of the A1, there were 9 cases of a new bouton 9 replacing an old bouton, and 4 cases of a new spine adding onto an existing synapse; 10 there were no cases wherein a new bouton was added to an existing synapse (Figure 11 12 5F). Note that we attribute the low frequency of turnover events of MCSs present in the *in vivo* dataset to the sparseness of neuronal labeling. Together, these data suggest that 13 each bouton tends to be the sole input of its postsynaptic counterpart, whereas spines 14 can co-exist on a single bouton, suggesting the information transfer pattern of "1-to-N" 15 is more common than "N-to-1" in synaptic transmissions. 16

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## **Evaluating the information storage capacity of MSBs in static synaptic** networks 18

Compared to two 1-to-1 synapses, two synapses formed by one MSB saved cellular 19 resources required for two distinct presynaptic boutons. To further investigate the 20 21 information coding capacity of MSBs, we developed a mathematical model to calculate the information storage capacity (ISC)<sup>28-31</sup> of a synaptic network comprising a set
number of synaptic connections. The ISC of a synaptic network is given by the
Shannon's information entropy<sup>32</sup> *H*, which measures the average uncertainty in the
synaptic connection patterns of the network, and can be expressed as:

5 
$$H(X) = E[-log_2 p(x)] = -\sum_{i=1}^n p(x_i) log_2 p(x_i),$$

where, n is the number of all possible connection patterns, X is a random variable of 6 synaptic connection patterns, p(x) is the probability mass function of X, and  $p(x_i)$ 7 is the probability measure of the occurrence of the i<sup>th</sup> synaptic connection pattern  $x_i$ . 8 We assume that the probability of each pattern of synaptic connection is equal, and the 9 model transforms into a mode to solve for the number of eligible patterns. Here, the 10 degree of uncertainty in the network depends on the number of available dendrites to 11 which each bouton could connect to. For cases where the multiple postsynaptic 12 structures of one MSB originate from the same dendrite, we consider the connection to 13 be the same as a 1-to-1 synapse. Therefore, the ISC of an MSB synapse is determined 14 by how many dendrites that the MSB can connect to. 15

To determine the number of available dendrites for each bouton and the percentages of both single-dendritic and multi-dendritic MSBs, we used the Multicut pipeline<sup>33</sup> and performed saturated reconstruction for two A1 tissue blocks of one control ( $22 \times 24 \times$ 25 µm) and one fear conditioned ( $33 \times 28 \times 25$  µm) mouse (Figure 6A, Supp. Video 4-7, Extended Data Figure 8, Supp. Methods). Since the typical length of a spine<sup>34</sup> is 1-

µm, we calculated the number of dendrites passing the vicinity of a bouton within a 1-1 µm radius in the reconstructed data set (Figure 6C, Supp. Video 8, Supp. Methods). 2 3 Among the 5,774 boutons analyzed (Figure S10), the median number of potential postsynaptic dendrites was 9 (Figure 6D), indicating that each bouton can potentially 4 make synapses with 9 dendrites. We also traced each spine to its original dendrite to 5 support categorization of MSB subtypes (single vs. multi-dendritic). Contrary to 6 previous in vitro results reporting that LTP can lead to single-dendritic MSB-and 7 thereby generating stronger connections between pre- and post-synaptic neurons<sup>35</sup>—we 8 9 found that more than 90% of MSBs were connected to multiple dendrites (Figure 6B), forming 1-to-N connections. 10

We then calculated the ISC of the synaptic network based on the synapse 11 reconstruction results containing the following types of connections: 1) 1-to-1 synapses 12 only; 2) 1-to-1 synapses and single-dendritic MSBs; 3) 1-to-1 synapses, single- and 13 multi-dendritic MSBs (Figure 6E). In a model with 100 synapses, of which 6% are 14 MSB synapses (based on data from Figure 4F), single-dendritic MSB increased ISC by 15 2.5% over 1-to-1 synapses, and multi-dendritic MSB further increased 2.2%. The 16 benefits of adding MSBs remained when the model was scaled up to  $10^6$  synapses 17 (Figure 6G, Supp. Methods). These results indicate that MSBs in a static network do 18 increase information coding capacity, but only slightly. 19

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# A plastic connectivity model for the information storage capacity of synaptic networks

The percentage of multi-dendritic MSBs among all MSBs was higher in the conditioned mouse (95.1%) than in the control mouse (87.0%). This result, together with the percentages of MSBs in control and conditioned mice  $(5.0 \pm 0.3\% \text{ vs. } 6.8 \pm$ 0.2%, Figure 4F), indicates that essentially all of the MSBs newly formed after AFC were multi-dendritic. Thus, AFC resulted in boutons making novel connections with other dendrites, rather than strengthening existing connections.

To evaluate the difference in ISC from establishment of new synaptic connections 9 10 under the two conditions, multi-dendritic vs. single-dendritic, we built a synaptic network model that incorporated synaptic plasticity by adding 10% more spines to the 11 boutons (Figure 6F), based on the *in vivo* imaging results (Figure 5B). The increase in 12 ISC depends on the number of new connections formed, and the number of potential 13 connection targets for each new connection. For newly formed multi-dendritic 14 connections, the number of potential targets for each new connection is the number of 15 16 dendrites that a bouton can make contact with (Figure 6D; condition A, forming multidendritic MSB); for single-dendritic, the number of potential target is 1 (condition B, 17 forming single-dendritic MSB). 18

19 The changes in ISC due to this 10% addition of synapses in the network, denoted by 20 the increase of information entropy  $\Delta H$ , were calculated for the condition A and 21 condition B as follows:

18

1 
$$\Delta H = H(Y) - H(X) = E[-log_2 p(y)] - E[-log_2 p(x)]$$

2 
$$= -\sum_{i=1}^{m} p(y_i) \log_2 p(y_i) - \left(-\sum_{i=1}^{n} p(x_i) \log_2 p(x_i)\right),$$

where, n and m are the number of all synaptic connection patterns before and after 3 formation, respectively; Y and X are the random variables of the connection patterns 4 5 after and before formation, respectively; p(y) and p(x) are the probability mass functions of Y and X, respectively;  $p(y_i)$  is the probability of occurrence of the ith 6 synaptic connection pattern after formation  $y_i$ ;  $p(x_i)$  is the probability of the ith 7 8 connection pattern before formation  $x_i$ . We assume that the probability of each possible connection pattern is equal, the above dynamic model can also transform into 9 a mode to solve for the number of eligible patterns. 10

Notably, whereas a multi-dendritic MSB only slightly adds to the ISC in a static network (Figure 6G), in this plastic network, the increase in ISC for condition A was more than 50% higher (Figure 6H) than that of condition B. And we found that this relative advantage for multi-dendritic connectivity scaled linearly with network size (Figure 6I). This result indicates that establishing new connections in a plastic network by forming multi-dendritic MSBs can increase information coding capacity significantly, by 50%.

## 18 **Discussion**

19 It is well-established that learning can induce neuronal plasticity in the brain. In 20 previous *in vivo* imaging studies, only a limited population of synapses were

investigated, and it is not known how learning changes the shape and size of 1 2 mitochondria in the brain. In this study, we used deep learning techniques to 3 automatically detect synapses and mitochondria in ssEM images. This method allowed us to examine hundreds of thousands of synapses and mitochondria, and our results 4 demonstrated that synaptic and mitochondrial organization were significantly affected 5 by the learning process. With a particular focus on synapses consisting of multiple 6 synaptic elements, we were able to identify a specific form of MCSs consisting of a 7 single bouton and multiple spines from different dendrites, which were indicated by 8 9 mathematical modeling to confer higher information storage than single-contact synapses. 10

In this paper, we proposed a novel 2D-3D pipeline to outline the 3D morphology of 11 synapses from ssEM images. The first step focused on detecting and segmenting 12 instances on 2D slices with advanced R-CNN, and the second converted the 2D 13 instances into 3D individuals. Compared with other CNN techniques, the experimental 14 results demonstrated that our method greatly improved detection and recall 15 performance. For the detection of MCSs, we first located them based on close-16 proximity criterion, then classified them into MSBs and MSSs using presynaptic vesicle 17 information, which is another important feature for synapse identification<sup>10</sup>. We further 18 obtained the dense reconstruction results by solving the graph partitioning problem, so 19 20 that the multiple spines (or boutons) of MSBs (or MSSs) can be traced back to their original dendrites (or axons). This is the first report of detecting and classifying MCSs 21 22 based on their connectivity. However, the inherent error of our MCS detection

algorithm is a limitation of this study, for which predicting the synaptic partner neurons
 with CNN may be a solution in the future.

Dendritic spines have been extensively studied by in vivo imaging methods, because 3 their unique shape makes them easily identifiable. However, there are also synapses 4 formed on dendritic shafts, which are not visible by fluorescent imaging. They can, 5 6 however, be easily identified using our synapse classifier algorithms (Extended Data Figure 9A, 9B, 9C and 9D) from ssEM images. In fact, 26% of all identified synapses 7 were shaft synapses (Extended Data Figure 9G), and after learning, their number 8 decreased as spine synapses increased (Extended Data Figure 9E and 9F), ultimately 9 resulting in an unaltered total number of synapses. These synapses likely contribute to 10 the homeostasis of cellular resource relocation and synapse organization. 11

The ssEM method enabled us to identify a special type of synapse, namely the 12 multiple-contact synapses. Our previous work<sup>3</sup> indicated that most new synapses are 13 formed by adding a synaptic element, either a presynaptic bouton or postsynaptic spine, 14 to an existing synapse. We called this the "partial addition rule". Adding a bouton to an 15 existing synapse creates an MSS, while adding a spine creates an MSB. We found that 16 17 the MSB number increased significantly after learning, but MSS stayed the same. Since bouton formation and spine formation both increased after learning, this result 18 suggested that a new bouton was more likely to compete and oust the previous bouton 19 20 in a synapse for being the sole input to a postsynaptic spine, while the new spine and the old spine could co-exist on a single bouton, leading to a higher proportion of 21

MSBs. MSS synapses may remain in a transient state during the switch from an old 1 synaptic contact to a new one, while MSB represents a more stable synaptic 2 3 configuration, allowing simultaneous information transfer from a single bouton to multiple postsynaptic sites. For any MSS, boutons from different neurons likely have 4 unsynchronized activities, and only one of them would correlate best with the MSS. 5 According to the Hebbian postulate "fire together, wire together", only the best 6 correlated bouton will win the competition to be the sole input for the spine, and the 7 MSS will turn into a single-synaptic spine. 8

In most cases, the transmitters released from presynaptic sites outnumber the 9 postsynaptic receptors, so it is efficient to transmit information from one bouton to 10 multiple postsynaptic targets. Indeed, most MSBs made contact with more than one 11 12 dendritic branch, broadcasting information from one neuron to multiple neurons with one multiple-contact bouton, with minimal cellular energy consumed. We noted that 13 the proportion of MSB is relatively low, consistent with the notion that memory 14 encoding may be very sparse. For any piece of memory, only very few synapses are 15 involved in coding the information. Our model indicated a 50% increase in information 16 storage capacity for multi-dendritic MSBs, which provides great potential for synaptic 17 plasticity with minimal increase of synapse number and structures. 18

By design, our 2D-3D pipeline can be used to identify any cellular compartment or
organelle with distinct structural properties, and we utilized it to identify mitochondria.
Mitochondria adapt to the cellular energy requirements by highly dynamic fusion and

fission<sup>36</sup>. Mitochondrial dynamics are also found to be related to synaptic transmission and plasticity. There was evidence to suggest that increasing mitochondrial fragments can promote synapse formation<sup>37</sup>. Our results showed significant decrease in size and increase in number of mitochondria along with changes of synaptic organization following fear conditioning, suggesting that mitochondria may play a role in learning by balanced fusion and fission.

The recently reported connectome of 1mm<sup>3</sup> human cerebral cortex<sup>11</sup> shows the 7 substantial improvement of EM imaging speed and the powerful ability of deep learning. 8 The biggest difference is that rather than performing large-scale saturated 9 reconstruction, we conducted specific and local classification of synapses and 10 mitochondria, which allows ultra-structural analyses of specific cellular organelles 11 12 without resource-demanding heavy computation. As our method applies region-based CNN to identify objects, it can potentially be used to extract other discrete, distinct 13 structures from ssEM data, such as Golgi apparatus and nucleus, making it a versatile 14 tool for ssEM image processing. 15

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# 4 Author contribution

- 5 H.H., Q.X. and Y.Y. conceived and guided the project. J.Q., Y.Y. and Y.K. performed
- 6 the animal experiments and provided the samples. H.M. conducted EM imaging. G.L.
- 7 implemented automated location and navigation system. X.C. performed alignment. J.L.
- 8 and B.H. developed the algorithms. Z.L. established the mathematical models. L.S.
- 9 performed visualization. Y.Y., J.L., J.Q. and Z.L. wrote the manuscript with
- 10 contributions from all authors.

# **Declaration of Interests**

12 The authors declare no competing interests.

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10		

# 1 Figure legends

2	Figure 1. Overall schematic of the experimental procedure.
3	(A) Auditory fear conditioning and sample preparation. Control mice are subjected to
4	5 repeats of tone pips; fear conditioned mice are subjected to 5 repeats of paired tone
5	pips and foot shocks. At 4 days after conditioning, auditory cortex (A1) tissue blocks
6	are harvested from these mice and prepared for serial section electron microscopy
7	(ssEM).
8	(B) The ssEM image acquisition and alignment procedure. Serial sections are
9	automatically sectioned using automated tape-collecting ultramicrotome (ATUM);
10	these are collected onto 4-inch silicon wafers. The wafers are then imaged using
11	scanning electron microscopy. Raw images are aligned using a SIFT-flow-based, non-
12	linear registration algorithm.
13	(C) Identification of synapses and mitochondria in A1. Synapses and mitochondria are
14	automatically identified using our 2D-3D pipeline based on Region-Convolutional
15	Neural Network (R-CNN).
16	(D) The reconstructed dendrites from the saturated reconstruction by applying the
17	Multicut pipeline <sup>33</sup> . The spine fragments are manually traced to the original dendrite.
18	(E) Multiple-contact synapses (MCSs) localization and classification. MCSs are
19	manually verified and classified into Multi-Synaptic Boutons (MSBs, consisting of a
20	single bouton contacting multiple PSDs) and Multi-synaptic Spines (MSSs, consisting
21	of a single spine contacting multiple boutons) by incorporating the vesicle cloud
22	features.

30

1 (F) A combinatorial mathematical model is built to simulate the synaptic turnover

- 2 associated with the fear conditioning process.
- 3 (G) MSB subtypes. MSBs are classified into single- or multi- dendritic MSBs by
- 4 incorporating saturated reconstructions to identify the origination dendrites of multiple
- 5 postsynaptic spines.
- 6 (H) A synaptic network is built based on ssEM data, using the number of dendrites
- 7 within a 1- $\mu$ m radius around a bouton.

#### 8 Figure 2. Automated identification and reconstruction of synapses.

- 9 (A) Examples of an EM image and a binary synaptic mask predicted by Mask R-CNN.
- 10 Scale bar: 1 µm.

11 (B) Network architecture of Mask R-CNN, which takes a  $1,024 \times 1,024$  image patch

12 as input and outputs the bounding boxes and binary masks of all synapses in the input

13 image

14 (C) Sketch of the similarity-index-based 3D connection algorithm used for
15 reconstructing synapses. The similarity of two synapses from adjacent layers depends
16 on the intersection-over-union of the bounding boxes.

17 (D) Normalized histograms of Euclidean distances between any two synapses in control

- 18 (blue) and conditioned mice (red); note that these accord with a normal distribution
- 19 (control: p = 0.1149, two-sided Kolmogorov-Smirnov test, conditioned: p = 0.4801,
- 20 two-sided Kolmogorov-Smirnov test).

21 (E) Comparison with other state-of-the-art methods in terms of precision, recall, and

22 F1-score metrics on a public ssEM dataset. Each circle indicates an image from the test

1 sets (half of this public ssEM dataset).

2	(F) Comparison with other automatic state-of-the-art CNN methods as well as manual
3	annotation on processing speed, which was here evaluated as the number of voxels
4	processed per day. With one GPU, R-CNN (golden) is in the same order of magnitude
5	as 3D U-Net (red), one order of magnitude faster than U-Net (blue), and nearly three
6	orders of magnitude faster than manual processing by humans (purple).
7	Figure 3. Automated identification of mitochondria and vesicle clouds.
8	(A) Examples of an EM image and a binary mitochondrial mask predicted by Mask R-
9	CNN. Scale bar: 1 μm.
10	(B) EM image and 3D visualization of 8 mitochondria located in dendrites or axons.
11	Scale bar: 1 µm.
12	(C) 3D visualizations of all mitochondria from one $22 \times 24 \times 25$ µm image stack.
13	(D) The average mitochondrial volume is smaller in conditioned mice (0.08 $\pm$ 0.001
14	$\mu m^3)$ than in controls (0.11 $\pm$ 0.003 $\mu m^3).$ p $<$ 0.01, two-sided t-test.
15	(E) The mitochondrial density is higher in conditioned mice (0.65 $\pm$ 0.014 $\mu m^3$ ) than in
16	controls (0.57 $\pm$ 0.023 $\mu m^3).$ $p < 0.01,$ two-sided t-test.
17	(F) The postsynaptic density (PSD) area of synapses with presynaptic mitochondria
18	$(0.21~\pm~0.008~\mu m^2)$ is larger than the PSD area of synapses without presynaptic
19	mitochondria (0.12 $\pm$ 0.002 $\mu m^2$ ). p < 0.001, two-sided t-test.
20	(G) A typical example of a spine synapse with the identified PSD (green), mitochondria
21	(red) and vesicle cloud (purple). Another view and the corresponding EM images are
22	displayed on the right. Scale bar: 1 µm.

## **1** Figure 4. Synaptic organization in A1.

2	(A) MCS detection and classification. MCS candidates are first automatically marked
3	based on a close-vicinity criterion, and then manually verified and classified as MSBs
4	or MSSs by estimating the number of boutons based on vesicle cloud segmentation.
5	Specifically, the bouton number is estimated by intersecting the fitting circle of the
6	synapse and the bounding box of the vesicle cloud. Neurite reconstructions are then
7	introduced to determine the origination of multiple spines or boutons. Red circles: fitted
8	circles by synapse segmentation; Green boxes: minimum boundary rectangles of
9	vesicle cloud segmentation. Scale bar: 1 µm.
10	(B) Two examples of false positives identified by 3D reconstruction of synapses. The
11	left subpanel is an MSB false positive that was falsely detected owing to the horse shoe-
12	shaped bouton (dark green). The right subpanel is an MSS false positive comprising
13	two shaft synapses. Scale bar: 1 μm.
14	(C-E) Examples of a 3D reconstructed MSB (C), an MSB with more than 2 postsynaptic
15	sites (D), and an MSS (E). Scale bar: 1 µm.
16	(F) The percentage of MSB synapses is higher in conditioned mice (6.8 $\pm$ 0.2 %) than
17	in controls (5.0 $\pm$ 0.3 %). p < 0.01, two-sided t-test.
18	(G) There is no difference in the percentage of MSS synapses between control (1.8 $\pm$
19	0.4 %) and conditioned mice (1.4 $\pm$ 0.4 %). p = 0.46, two-sided t-test.
20	(H) The percentage of MSB synapses with presynaptic mitochondria (59.41 $\pm$ 1.3%) is
21	higher than the percentage of SSB synapses with presynaptic mitochondria (34.12 $\pm$
22	0.6%). p < 0.001, two-sided t-test.

#### **1** Figure 5. Synaptic turnover patterns.

2 (A) Cartoons showing replacement and addition in bouton and spine turnover.

3 (B) Four-day turnover rates of spines (formation:  $9.71 \pm 1.02$  %, elimination:  $8.93 \pm$ 0.41 %) and boutons (formation:  $14.73 \pm 1.03$  %, elimination:  $17.76 \pm 1.24$  %) in the 4 auditory cortex of fear conditioned mice. Each circle represents data from a single 5 mouse. The bouton turnover rate is averaged among axons that project to the auditory 6 cortex from the lateral amygdala (LA), the anterior cingulate cortex (ACC), and the 7 medial geniculate nucleus (MGN). 8 9 (C-D) Diagrams showing bouton (C) and spine (D) turnover in combinatorial mathematical models. Green: existing boutons. Purple: exisiting spines. White: 10 eliminated spine/bouton; yellow: added new spine/bouton; arrow: synaptic connection. 11 12 (E) Estimation of the percentage of replacement and addition for boutons (left) and spines (right) based on combinatorial mathematical modeling. Models predict 95.4% 13 replacement of boutons and 73.2% replacement of spines. 14 15 (F) Synaptic turnover examples from *in vivo* two-photon microscopy analysis. Arrows 16 point to elimination of an old bouton and to formation of a new bouton (green, top), and to an addition of a new spine (red, bottom). Scale bar: 1 µm. 17 (G) The percentage of bouton replacement is around 96% when the model size is scaled 18 19 up to 12,000 synapses. (H) The percentage of spine replacement is around 72% when model size is scaled up 20

21 to 12,000 synapses.

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1	Figure 6. Saturated reconstruction and information storage capacity calculation.
2	(A) Two reconstructed tissue blocks from control (left: 22 $\times$ 24 $\times$ 25 $\mu m)$ and
3	conditioned mice (right: 33 $\times$ 28 $\times$ 25 $\mu m$ ). Insets show examples of single- or multi-
4	dendritic MSBs. Scale bar: 1 µm.
5	(B) Percentage of single- and multi-dendritic MSBs in control (multi-dendrite MSBs:
6	86%) and conditioned mice (multi-dendrite MSBs: 95%).
7	(C) A reconstructed example showing 10 dendrites intersecting within a 1- $\mu$ m radius of
8	a bouton. Scale bar: 1 μm.
9	(D) Distribution of the number of dendrites within a 1- $\mu$ m radius of a bouton. n = 5774
10	boutons.
11	(E) Illustrations showing synaptic connectivity patterns of a static model. Condition 1:
12	1-to-1 synapses only; condition 2: 1-to-1 synapses and single-dendritic MSBs;
13	condition 3: 1-to-1 synapses, single- and multi-dendritic MSBs.
14	(F) Illustrations showing synaptic connectivity patterns of a plastic model. Condition
15	A: multi-dendritic MSBs, condition B: single-dendritic MSB only. Red: dendrite; green:
16	bouton; solid arrow: one possible synaptic connection; dashed arrow: potential synaptic
17	connection.
18	(G) Information storage capacity (ISC) for static networks of different sizes for 3
19	conditions: 1-to-1 synapses only (condition 1); 1-to-1 synapses and single-dendritic
20	MSBs (condition 2); 1-to-1 synapses, single- and multi-dendritic MSBs (condition 3).
21	(H) Increase in ISC for plastic networks of different sizes for 2 conditions: new synapse
22	on any passing dendrite (condition A); new synapse on the same dendrite (condition B).

- 1 (I) Linear relationship for the increase in ISC for conditions A and B across different
- 2 network sizes.

# **3 Extended Data Figure legends**

#### 4 Extended Data Figure 1. EM data processing procedure.

- 5 The aligned EM stacks were first fed to R-CNNs for detection of the synaptic structures
- 6 (PSD and synaptic vesicles), mitochondria, and neuronal membranes. The synapses
- 7 were then classified into spine or shaft synapses based on the ultrastructural features.
- 8 MCSs were identified and classified into MSBs and MSSs. Dense segmentation was
- 9 achieved using the Multicut pipeline for determining the origination of the MCSs.

#### 10 Extended Data Figure 2. Behavioral results for auditory fear conditioning.

- 11 Freezing levels of control  $(18.89 \pm 8.01\%)$  and fear conditioned  $(89.44 \pm 3.89\%)$  mice.
- Each circle represents data from one mouse. p < 0.005, Student's t-test.

#### 13 Extended Data Figure 3. Automatic detection of synapses.

- 14 (A) Examples showing automatically detected synapses in an EM image. Colored lines
- 15 represent automatically detected synaptic clefts. Insets show false positives and false
- 16 negatives by manual verification. Scale bar:  $1 \mu m$ .
- 17 (B) Diagram of single-layer fusion algorithm. Orange and green boxes represent two 18 overlapping cropped patches.  $D_{ij}$  and  $D_{jk}$  reprenset repeated detection boxes in the 19 overlapping region. Scale bar: 1  $\mu$ m.
- 20 (C) Evaluation of the synapse test set in terms of precision and recall. Each circle
- 21 indicates one EM image from the test set.

#### **1** Extended Data Figure 4. Pipeline for synapse reconstruction from ssEM images.

- 2 The original EM images were cropped into overlapping small patches. The patches
- 3 were then fed into the trained network for synapse identification, and the overlapping
- 4 areas were fused using the single-layer fusion algorithm. The multilayer 3D connection
- 5 algorithm was applied to obtain the reconstructed synapses, and 3D visualization was
- 6 shown using ImageJ.

### 7 Extended Data Figure 5. Performance of mitochondria detection by R-CNN.

- 8 Evaluation of the mitochondrion test set in terms of precision and recall. Each circle
- 9 indicates one EM image from the test set.

#### 10 Extended Data Figure 6. Identification of vesicle clouds.

- (A) Examples of EM image and binary vesicle cloud mask predicted by R-CNN. Scale
  bar: 1 µm.
- 13 (B) Evaluation on the vesicle cloud test set in terms of precision and recall. Each circle
- 14 indicates one EM image from the test set.

15 (C) Network architecture of FusionNet, which takes a  $1,024 \times 1,024$  image patch as 16 input and outputs the binary vesicle cloud masks of input image. Each golden circle 17 represents a residual module. The yellow arrows indicate the sum operation to fuse 18 features from encode path and decode path. Red arrows and blue arrows indicate the 19 convolution with maxpooling and deconvolution operations, respectively.

# Extended Data Figure 7. Semi-automatic detection, verification and classification of MCSs.

22 (A) MCSs detection and verification. MCS candidates were first automatically marked

1	based on a close-vicinity criterion, and then manually verified and classified into MSBs
2	and MSSs based on the vesicle cloud features. Colored line: PSD; yellow box: MCS
3	candidates; red box: false detection; blue box: verified MSB; orange box: verified MSS;
4	blue patch: boutons. Scale bar: 1 μm.
5	(B) A continuous series of EM images showing an MSB that was omitted by the
6	automatic close-vicinity detection algorithm because the two spines never co-appeared
7	on a single slice. Bottom left: a cartoon illustrating the false negative scenario. Scale
8	bar: 1 μm.
9	(C) False negative rate of MSBs estimated by manual identification of MSBs in
10	saturated reconstruction blocks (control: 26.3%, tissue block 11 $\times 12$ $\times 5$ $\mu m^3,$
11	conditioned: 24%, $17 \times 14 \times 2.5 \ \mu m^3$ ).
12	(D) The percentage of MSB synapses with more than 2 postsynaptic sites is higher in
13	the conditioned mice (2.26 $\pm$ 0.3 %) than in controls (1.07 $\pm$ 0.3%). p < 0.05, two-sided
14	t-test.
15	Extended Data Figure 8. Workflow of volume segmentation.
16	Trained network took raw images as input and membrane probability maps as output,
17	then distance transform was applied to create superpixels and a graph G was constructed
18	
-	to build the relationships between segments. 3D segmentation results were obtained by
19	to build the relationships between segments. 3D segmentation results were obtained by solving the graph partitioning problem. Scale bar: $1 \mu m$ .
19	solving the graph partitioning problem. Scale bar: 1 $\mu$ m.

- 1 (B) Spine and shaft synapse classification based on spiny (right) or flat (left) shapes of
- 2 postsynaptic structures. Scale bar: 1 μm.
- 3 (C-D) Examples of a 3D reconstructed spine and a shaft synapse. Scale bar:  $1 \mu m$ .
- 4 (E) Spine synapse density is higher in the conditioned mice  $(0.674 \pm 0.0379/\mu m^3)$  than
- 5 in controls  $(0.489 \pm 0.0099/\mu m^3)$ . p<0.01, two-sided t-test.
- 6 (F) Shaft synapse density is lower in the conditioned mice  $(0.12 \pm 0.0094/\mu m^3)$  than in
- 7 controls  $(0.173 \pm 0.0102/\mu m^3)$ . p<0.01, two-sided t-test.
- 8 (G) Shaft and spine synapse proportion in the control mice (spine:  $73.84 \pm 1.49\%$ , shaft:
- 9  $26.16 \pm 1.49\%$ ) and fear conditioned mice (spine:  $84.54 \pm 1.81\%$ , shaft:  $15.47 \pm 1.81\%$ ).
- 10 p < 0.01, two-sided t-test.
- 11 (H) Confusion matrix of classification results on the test dataset.
- 12 Extended Data Figure 10. Identification of dendrites from saturated

- 14 (A) Each point represents a dendrite or non-dendrite (other) segment.
- 15 (B) Examples of identified axonal bouton (yellow) and dendritic branch (blue). Scale
- 16 bar: 1 μm.
- 17

### **1 Online Methods**

### 2 Animals

C57BL/6 mice were purchased from SLAC Laboratory Animals (Shanghai, China).
YFP-H line mice were obtained from the Jackson Laboratory (Bar Harbor, ME, USA).
Mice were bred and housed in the animal facility of Shanghai Protein Center under a
12 h light-dark cycle (7 am-7 pm light). Eight- to twelve-week-old male and female
mice were used for the experiments. All procedures were approved by the Animal
Committee of the Shanghai Tech University.

### 9 **Behavior**

Fear conditioning and behavioral test for the freezing response took place in different 10 environments. Mice were handled prior to conditioning. A commercial fear 11 conditioning apparatus (MED Associates Inc., St. Albans, VT, USA) was used for the 12 fear conditioning and behavioral test. Before conditioning and testing, the apparatus 13 was wiped clean with 70% ethanol. The conditioned stimulus (CS) is a series of 14 kHz 14 beeps (interleaved 0.5 s on, 0.5 s off) at 80 dB, lasting 10s in total; the unconditioned 15 stimulus (US) is a 0.6 mA foot shock lasting 2 s. The sound co-terminates with the foot 16 shock. For conditioned animals, CS-US pairing was presented 5 times with random 17 intervals ranging from 60 to 90 s. For naïve animals, CS was presented 5 times with 18 random intervals, without presentation of US. The behavioral responses to CS were 19 tested 1 day after conditioning for both groups, using CS lasting 60 s. All conditioned 20

- 1 animals showed high levels of freezing when CS was played, and naïve animals did not
- 2 show a freezing response (Extended Data Figure 2).

### **3** Electron microscopy sample preparation

The mouse was deeply anaesthetized with sodium pentobarbital (50 mg/kg, i.p.) and 4 transcardially perfused with freshly prepared 4% paraformaldehyde and 0.5% 5 glutaraldehyde (EM grade) in phosphate-buffered saline (PBS) 3 days after behavioral 6 training. The brain was post-fixed in 2% paraformaldehyde and 2% glutaraldehyde 7 overnight in a cold room. Blocks of the auditory cortex were dissected and embedded 8 in resin for serial sectioning. Serial sections of the samples were continuously sectioned 9 at 50 nm with an ATUMtome automated tape-collecting ultramicrotome (RMC, 10 Boeckeler instruments Inc., Tucson, AZ, USA) and then collected onto a Kapton 11 polyimide tape (8 mm wide and 100  $\mu$ m thick). The tape with brain sections were then 12 segmented and attached to 4-inch silicon wafers via double coated carbon conductive 13 tape (TED Pella Inc, Redding, CA, USA). Lastly, the wafers were coated with 6 nm of 14 carbon though an EM ACE60 high vacuum film deposition instrument (Leica 15 Microsystems GmbH, Wetzlar, Germany) to prevent charging during scanning EM 16 imaging (Figure 1). 17

### **18 Electron Microscopy**

The tape-collected ultra-thin sections were imaged on a Carl Zeiss Supra 55 scanning
electron microscope (Carl Zeiss AG, Oberkochen, Germany) using a secondary

- 1 electron detection (9 kV accelerating potential, working distance of approximately 6.0
- 2 mm), with resolution of 2-4 nm/pixel and pixel dwelling time of  $1.5 \,\mu s$ .

### 3 **Image Alignment**

4 To correct for distortions of serial sections from automated tape-collecting 5 ultramicrotome scanning electron microscopy (ATUM-SEM), we used a non-linear 6 registration algorithm<sup>38</sup> to create 3D image stacks that retain the original morphology 7 as much as possible.

### 8 Network Architecture of FPN

9 The FPN consists of a bottom-up pathway and a top-down pathway with lateral 10 connections. On each level of the pyramid, the top-down pathway features will be fused 11 (element-wise addition) with the bottom-up pathway features in the corresponding level. 12 The scale-space induced from the feature pyramid fits well with the object-space. Thus, 13 the RPN and R-CNN predict the class and regress the bounding box of objects at 14 different scale using feature maps at different levels of the pyramid. The same applies 15 for the mask branch.

### 16 Block-wise inference strategy for large-scale data

Due to the constraints of the GPU, the inference was designed to proceed in a blockwise way for large-scale data (Extended Data Figure 3B). The original images were first cropped into small patches  $(2,048 \times 2,048)$  with overlaps  $(100 \times 100)$ , which were then fed into the trained network to generate detection boxes and segmentation masks. To obtain the connection relationship at the 3D level, we used a strategy which
first fused the results on 2D and then connected the adjacent 2D layers to produce the
3D results.

### 4 2D segmentation of neuronal processes

FusionNet<sup>23</sup> was trained to predict the neuronal membrane. The membrane probability maps obtained from the network were binarized with a threshold of 0.5, and morphologically dilated with a disk radius of 2 in order to dismiss the small cracks in membranes and avoid merge errors. A watershed algorithm was then used to obtain connected neuronal components.

### 10 Automated volume segmentation

The thickness of ssEM sections is a key factor for automatic reconstruction. High anisotropy brings more problems and challenges in learning the affinity between voxels along the z-direction. The state-of-the-art approach, which learns an affinity graph by 3D CNN, did not perform well on our data set. Therefore, we used the Multicut pipeline<sup>33</sup> to obtain the volume segmentation. And we imported the results to the proofreading tool, and assigned the proofreading task to 6 experienced experimenters. The proofreading took about 4 weeks.

### 18 Experimental setup

All networks were implemented in Keras with Tensorflow<sup>39</sup> as backend. A stochastic
 gradient descent algorithm<sup>40</sup> with learning rate of 0.001 was used to optimize the

networks. To avoid overfitting, we used online data augmentation, including random
 rotation, random flipping and adding random noise for all training data sets. All training
 and inference procedures were performed on servers equipped with NVIDIA Tesla K40
 GPUs.

### 5 Spine and Shaft Synapse Classification

6 Excitatory and inhibitory synapses are classified according to some established criteria<sup>41</sup>. Due to the low axial resolution, indistinct synaptic vesicles and 7 symmetry/asymmetry of PSDs can't be used to identify the classes of synapses. 8 9 Although it is generally believed that excitatory synapses are mostly located on spines, some studies have indicated that excitatory synapses can also form on dendritic shafts<sup>42</sup>, 10 which cannot be quantified in vivo by counting spines using microscopy. Accordingly, 11 12 based on the presence of postsynaptic mitochondria (Extended Data Figure 9A) and the shape of postsynaptic structures (Extended Data Figure 9B), we established some rules 13 14 to classify the spine and shaft synapses (Extended Data Figure 9C and 9D).

### 15 Error analysis of MCS detection algorithm

The multiple boutons or spines in a single MCS had to appear on the same section for them to be successfully identified by our semi-automatic MCS detection algorithm (Extended Data Figure 7B). Indeed, a comparison against manually quantified MSB/MSS suggested that the our semi-automatic MCS detection algorithm had a false negative detection rate of 25% for two densely reconstructed image stacks (Extended 1 Data Figure 7C). The data in Figure 5D and Figure 5E were adjusted accordingly.

### 2 Virus injection for *in vivo* imaging

The AAV-hSyn-EGFP and AAV-hSyn-tdTomato vectors were produced by Taitool 3 Bioscience, Co., Ltd. (Shanghai, China). Virus injection was performed using a 4 previously described protocol<sup>3</sup>. Briefly, mice were anaesthetized with sodium 5 pentobarbital (7 mg/kg) and placed in a stereotaxic frame (RWD Life Sciences Co., 6 Ltd., Shenzhen, China). For axonal labeling and imaging in the auditory cortex, 0.1-0.2 7  $\mu$ l GFP or tdTomato viruses (~10<sup>13</sup> virus particles per ml) were injected, using a glass 8 micropipette with a Nanoject III micro-injector (Drummond Scientific Company, 9 Broomall, PA, USA), into three different regions that project axons to the auditory 10 cortex: lateral amygdala (LA, 1.0 mm from Bregma, 3.25 mm lateral from the midline, 11 3.55 mm vertical from the cortical surface), anterior cingulate cortex (ACC, -1.00 mm 12 from Bregma, 0.5 mm lateral from the midline, and 1.5 mm vertical from the cortical 13 surface), medial geniculate nucleus: (MGN, 3.2 mm from bregma, 2.0 mm lateral from 14 15 the midline, and 2.8 mm vertical from the cortical surface).

### 16 **Two-photon microscopy and data analysis**

We performed cranial window implantation and two-photon imaging in mouse auditory
cortex using previously described protocols<sup>3</sup>. Mice were imaged 1 day before and 3
days after fear conditioning. All images were analyzed using ImageJ (NIH, Bethesda,
MD, USA). Dynamic turnover assays of boutons and spines were based on comparison

of the images collected at two different time points (3 days after *vs.* 1 day before
conditioning). Percentages were normalized to the initial image taken at 1 day before
conditioning. Turnover of boutons was calculated by averaging the turnover rates of
LA, ACC and MGN axonal boutons in the auditory cortex. For the dual-color labeled
images, potential bouton-spine pairs were visually identified when a presynaptic bouton
and a postsynaptic spine overlaid in the image stacks<sup>3</sup>.

### 7 Mathematical modeling to assess bouton and spine turnover patterns:

### 8 replacement/addition ratio

# 9 (1) Estimating bouton replacement and addition ratio by MSS percentages and 10 bouton turnover rate

According to the ssEM data (Figure 4G), there were 1.8% MSS synapses in control 11 animals and 1.4% in conditioned animals. Based on in vivo microscopic analysis 12 (Figure 5B), the bouton elimination rate was approximately 15% and the formation rate 13 was 15%. We built a model starting with 120 synapses consisting of 118 1-to-1 14 synapses (98.3%) and 2 MSS synapses (1 MSS; 1.7%, approximated to 1.8%), and 15 ending with 120 synapses, also 118 1-to-1 synapses and 2 MSS synapse (1.7%, 16 approximated to 1.4%). Note that in this model, the spine entities remained the same, 17 and the number of boutons eliminated and the number newly formed were both 18 (120 18  $\times$  15%) since the number of spines and MSSs were both constant. 19

To count all possible bouton turnover patterns, we considered bouton elimination before
formation. There were a total of 3 types of bouton elimination, with the number of each

- 1 type denoted as  $a_k(k = 1,2,3)$  and the number of corresponding formation patterns
- 2 satisfying the end situation denoted as  $b_k(k = 1,2,3)$ .

3 Thus, the total number of synaptic turnover patterns, denoted by *N*, can be calculated4 by:

5 
$$N = \sum_{i=1}^{3} a_i b_i ,$$

6 Then, we calculate the formation ratio of (A.), (B.), (D.), (E.), (F.) vs. (C.), indicating

7 the percentage of bouton replacement and addition in new synapses, respectively.

# 8 (2) Estimating spine replacement and addition ratio by MSB percentages and 9 spine turnover rate

According to the ssEM data (Figure 4F), 5.0 and 6.8% of all synapses were MSB 10 synapses in control and conditioned animals, respectively. Based on in vivo imaging 11 data (Figure 5B), spine turnover rate was approximately 10% for elimination and 10% 12 for formation. The starting 120 synapses consisted of 114 1-to-1 synapses (95%) and 6 13 MSB synapses (3 MSBs; 5.0%), and the end situation consisted of 121 synapses 14 including 12 new synapses (113 1-to-1 synapses and 8 MSB synapses, i.e., 4 MSBs, 15 6.6%, approximated to 6.8%). It should be noted that in this model, the bouton entities 16 17 remain the same, and the difference between the number of synapses eliminated (11) 18 and the number newly formed  $(120 \times 10\% = 12)$  is due to the constant number of boutons and variable number of MSBs. 19

To count all possible spine turnover patterns, we considered spine elimination before
formation. There were a total of 10 types of spine elimination, with the number of each
type denoted as a<sub>k</sub>'(k = 1,2,...,10) and the number of corresponding formation
patterns satisfying the end situation denoted as b<sub>k</sub>'(k = 1,2,...,10).
Therefore, the total number of synaptic turnover patterns, denoted by N', can be

6 calculated by:

7 
$$N' = \sum_{i=1}^{10} a_i' b_i'$$
,

8 Then, we calculate the formation ratio of (A.), (B.), (D.), (E.), (F.) *vs.* (C.), indicating
9 the percentage of spine replacement and addition in new synapses, respectively.

### 10 Calculating the number of dendrites intersecting within 1-µm radius

Based on the dense reconstruction results, we formulated some criteria to identify each 12 13 neurite as dendritic or not. We extracted a 2D vector (number of voxels and ratio of vesicle cloud) as features to classify the segments. If the number of voxels in a neurite 14 was greater than 250,000 and the ratio of vesicle cloud in it was less than 5%, this 15 16 neurite was classified as dendritic (Extended Data Figure 10). For each bouton, we fit a minimum circumscribed circle and obtained the radius (R) and center (x, y, z)17 approximately. Then, we extended a sphere centered on (x, y, z) with radius of R+1  $\mu$ m, 18 and the number of dendrites that pass through the sphere was calculated. 19

### **1** Static Synaptic Connectivity Model

For simplicity, our static model consists of 100 synaptic connections (Figure 6E). Based
on our experimental data (Figure 6D), each bouton can make contact with its 9 potential
dendrites. There are two notable constraints: 1) at most 2 synapses can be formed per
bouton; 2) 6% of the synapses are MSB synapses (Figure 5G) for conditions 2 and 3.

6 For condition 1, the constraint of the number of synapses and only one synapse formed 7 per bouton makes the existence of exactly 100 boutons. Each independent bouton has  $C_9^1$  possible connections, so there is a total of  $(C_9^1)^{100}$  patterns for condition 1. For 8 condition 2, since 6% of synapses are MSB synapses, there are a total of 6 MSB 9 10 synapses from 3 MSBs. Thus, this condition consists of 97 boutons including 94 1-to-1 boutons and 3 MSBs. Accordingly, the number of patterns for condition 2 can be 11 given by  $C_{97}^3(C_9^1)^{94}(C_9^1)^3$ . For condition 3, each of the 3 boutons selected as MSBs has 12  $(C_9^2 + C_9^1)$  optional connection patterns that meet the condition. Rethinking the 13 14 consideration of condition 2, the total number of patterns that meet condition 3 can be calculated as  $C_{97}^3 (C_9^1)^{94} (C_9^2 + C_9^1)^3$ . 15

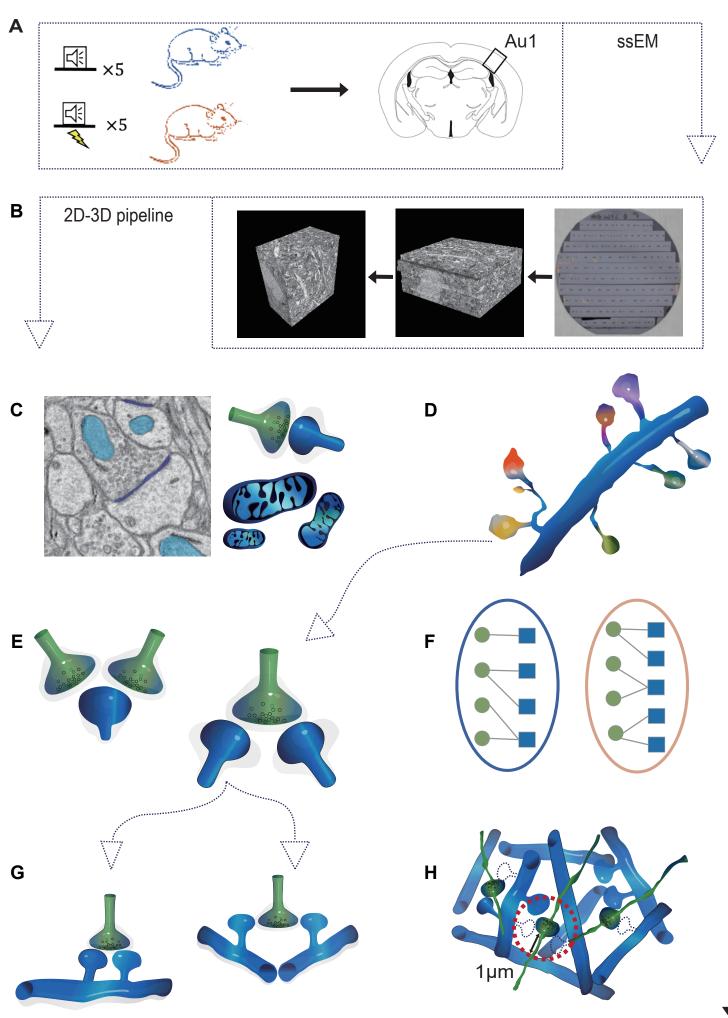
### 16 Plastic Synaptic Connectivity Model

We built a neural network model that incorporated plasticity by adding 10% more contacts to the boutons as a form of learning-induced synaptic formation. Plasticity is represented by a 10% increase in synaptic connections by adding 10 connections to existing boutons in a network consisting of 100 boutons and 100 1-to-1 synapses. There are two notable constraints: 1, at most one synapse is added to each bouton; 2, formation

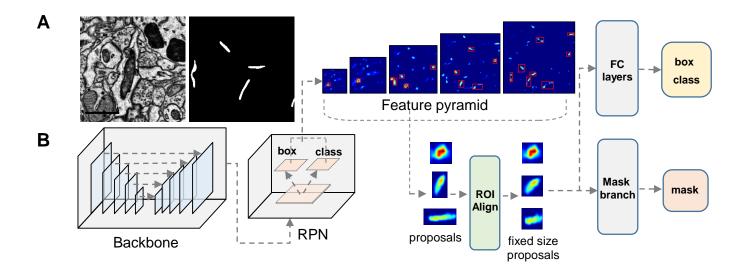
1 of the new synapses is random.

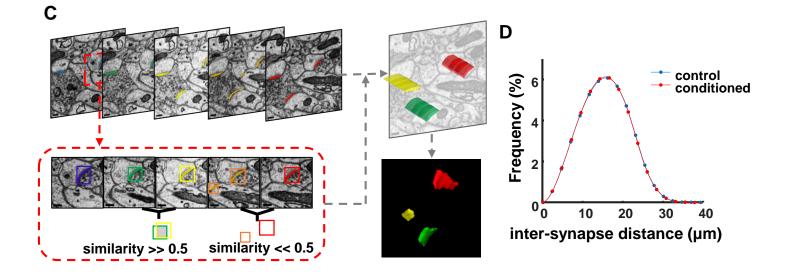
Before the synaptic formation, the number of possible connections for both conditions 2 A and B was  $(C_9^1)^{100}$ , which is the same as condition 1 of the static model. For 3 condition B, new connections can only be formed on the same dendrite. Therefore, only 4 the factor of the selection of the 10 boutons from the 100 boutons to form new synapses 5 can lead to an increase in the number of patterns triggered by the synaptic formation. 6 Furthermore, the number of patterns after formation can be written as 7  $C_{100}^{10}(C_9^1)^{90}(C_9^1)^{10}$ . For condition A, like condition 3 in the static model, each of the 10 8 boutons selected as MSBs has  $(C_9^2 + C_9^1)$  optional connection patterns that meet the 9 criterion. Rethinking the consideration of condition B, the total number of patterns that 10 meet condition A can be calculated as  $C_{100}^{10}(C_9^1)^{90}(C_9^2+C_9^1)^{10}$ . 11

## Figure 1



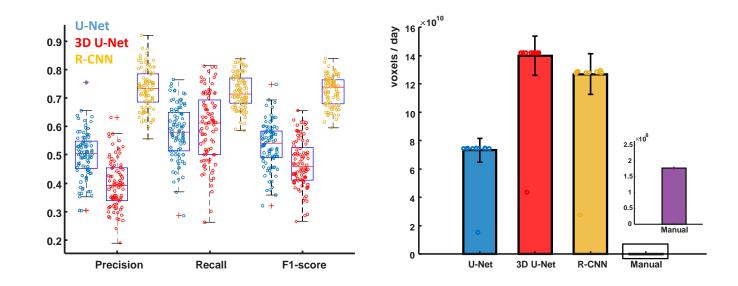
# Figure 2





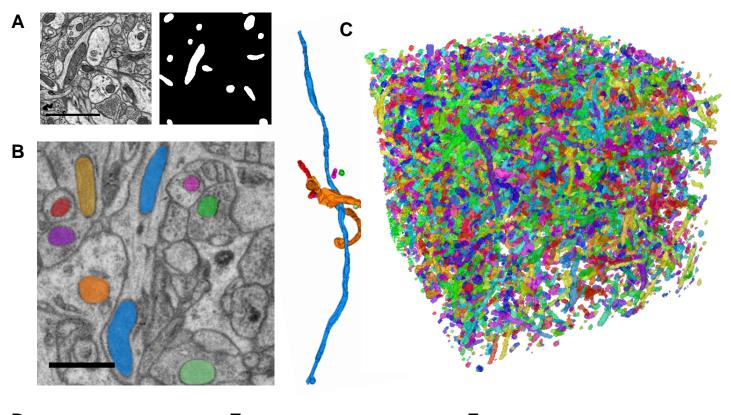
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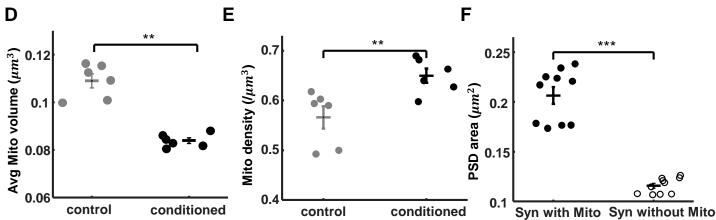
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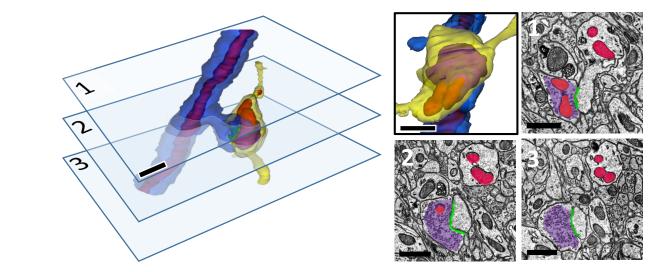


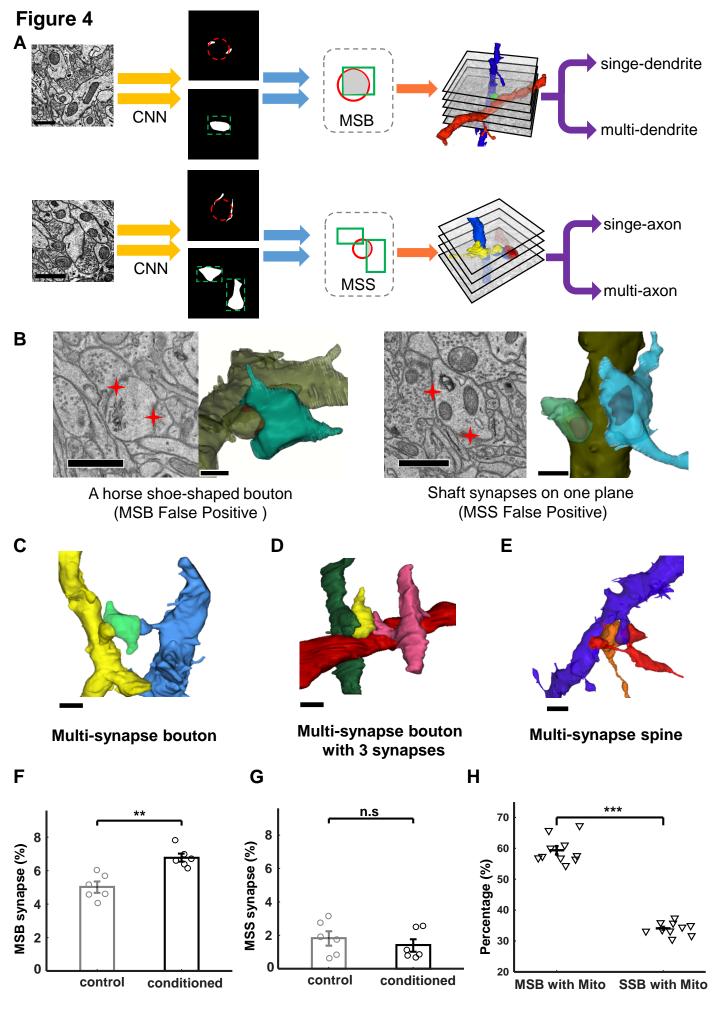
# Figure 3

G

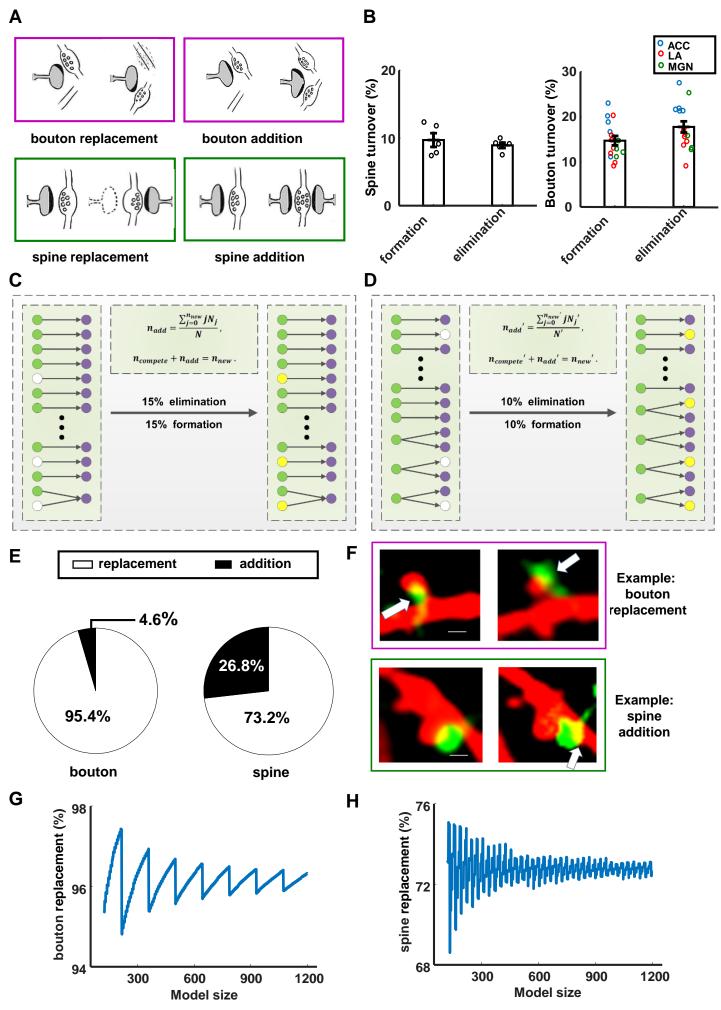




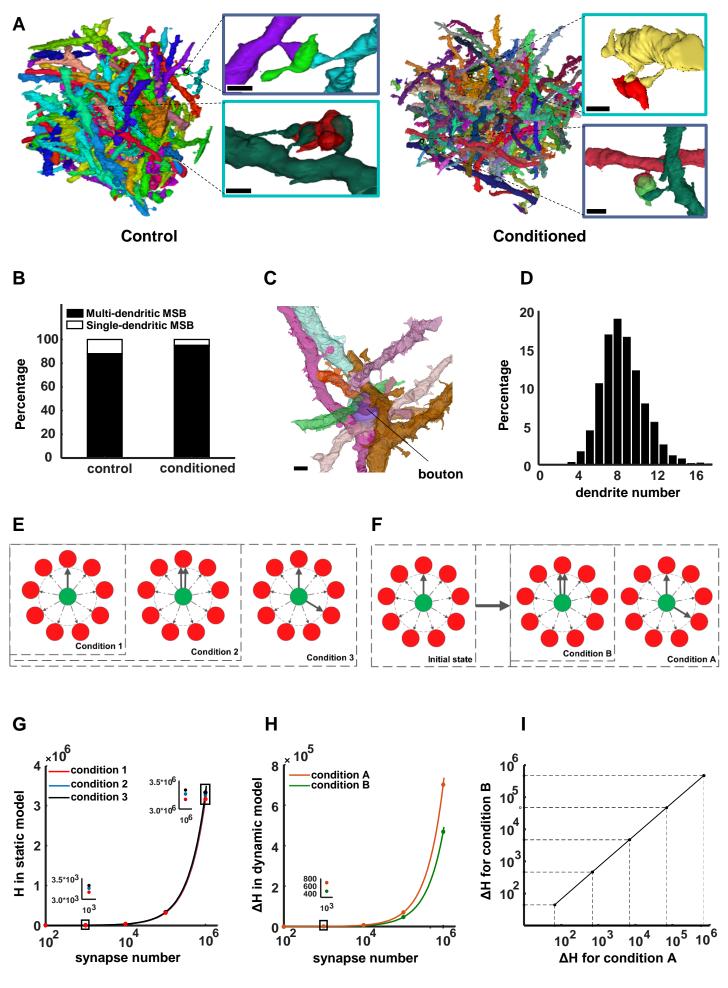


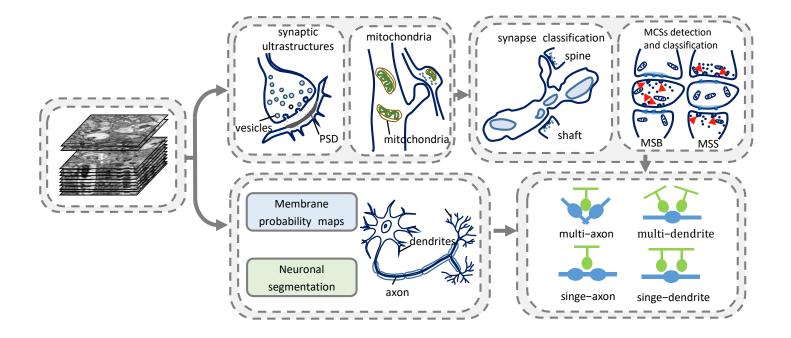


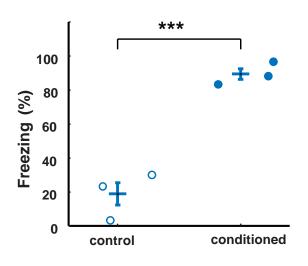
## Figure 5

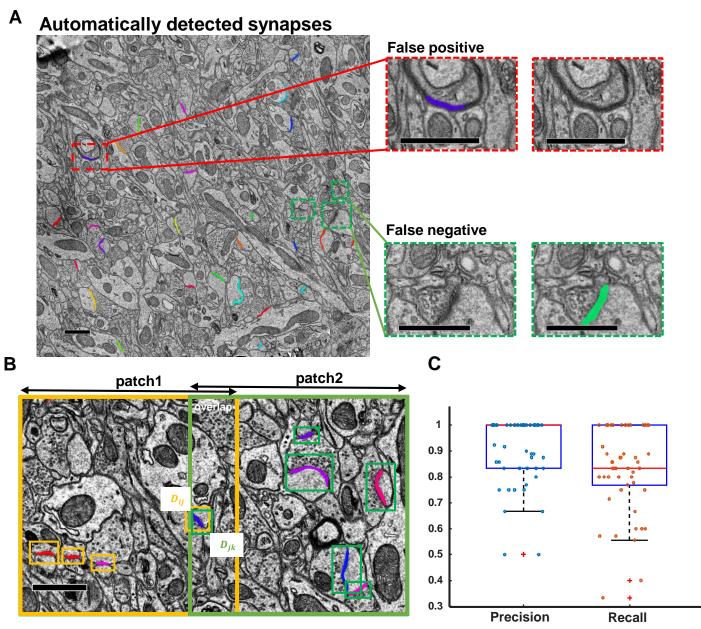


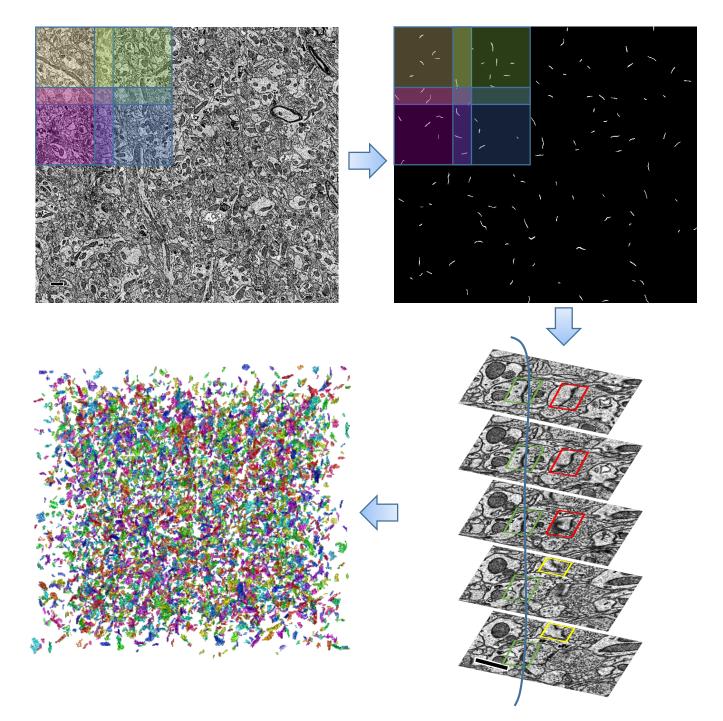
## Figure 6

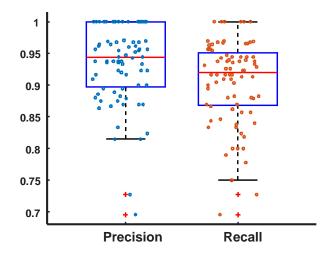


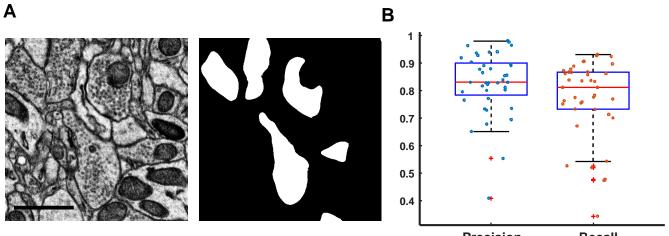






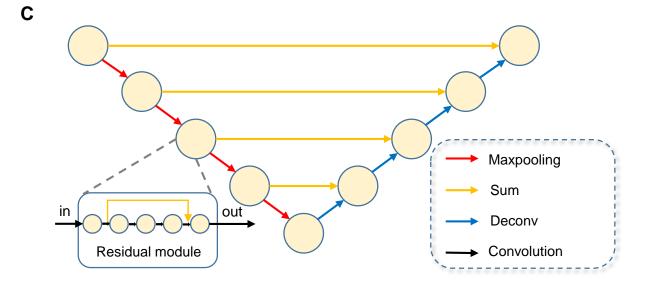




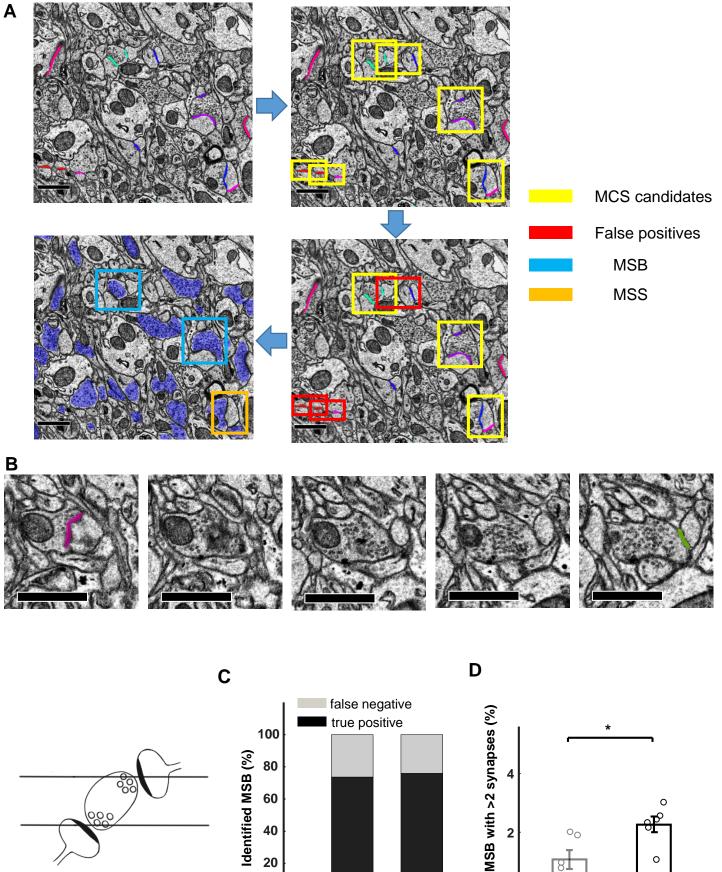








# **Extended Data Figure 7**



0

conditioned

81 00

control

0

control conditioned

