

## **Noradrenergic Regulation of Two-Armed Bandit Performance**

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## Abstract

2           Reversal learning depends on cognitive flexibility. Many reversal learning studies  
assess cognitive flexibility based on the number of reversals that occur over a test session.  
4   Reversals occur when an option is repeatedly chosen, e.g. eight times in a row. This design  
feature encourages win-stay behavior and thus makes it difficult to understand how win-  
6   stay decisions influence reversal performance. We used an alternative design, reversals  
over blocks of trials independent of performance, to study how perturbations of the  
8   medial orbital cortex and the noradrenergic system influence reversal learning. We found  
that choice accuracy varies independently of win-stay behavior and the noradrenergic  
10   system controls sensitivity to positive feedback during reversal learning.

*Keywords:* cognitive flexibility, reversal learning, reinforcement learning, prefrontal,  
12   yohimbine

## Noradrenergic Regulation of Two-Armed Bandit Performance

Reversal learning tasks are one of three types of behavioral tasks used to study

2 behavioral flexibility, along with attentional shifting and rule switching (Tait et al., 2018).

Reversal learning requires participants to remap associations between either stimuli or

4 actions and their outcomes. A number of disorders have been reported to negatively

influence reversal learning (Peterson et al., 2009; Reddy et al., 2016; Remijnse et al., 2006;

6 Waltz & Gold, 2007), and the task has been suggested as a core method for preclinical

evaluations of drugs used for treating psychiatric disorders (Powell & Ragozzino, 2017).

8 Some researchers have described each decision in a reversal learning task in terms

of Win-Stay/Lose-Shift (WSLS) strategies (Bari et al., 2010; Dalton et al., 2014, 2016; Jang et

10 al., 2015). The Win-Stay (WS) strategy exploits a previously-rewarded choice, while the

Lose-Shift (LS) strategy involves exploration of a different option after reward omission

12 (Estes, 1950). Probabilistic outcomes that force animals to integrate multiple previous

trials to guide choice increase the difficulty of the task, and the task design has commonly

14 been referred to as “probabilistic reversal learning”. Other studies have thus referred to

task design as a “bandit” task--a reinforcement learning paradigm. Within this framework,

16 the focus is on changes in two variables: learning rate, which describes how quickly the

subject incorporate new evidence into its decisions, and inverse temperature, which

18 describes the subject’s confidence in the decision (Groman et al., 2016; Metha et al., 2019;

Sutton & Barto, 2018). These two approaches, WSLS and reinforcement learning, are rarely

20 combined to understand behavioral mechanisms or neuronal measures of reversal

learning (Worthy & Maddox, 2014). One recent attempt at doing this was reported by

Harris et al. (2020), who found evidence for a dissociation among measures of accuracy, latency, WSLs, and reinforcement learning during the initial discrimination learning and reversal learning of a visually guided probabilistic learning task.

Several neurotransmitter systems (Robbins & Roberts, 2007) and selective regions of frontal cortex (Izquierdo et al., 2017) have been implicated in behavioral flexibility, and bandit performance in particular. Among these systems, the role of the norepinephrine system and its actions in the orbitofrontal cortex are unclear. Neural recording studies have established that the orbitofrontal cortex (OFC) is important for maintaining predictive stimulus-outcome associations (e.g. Schoenbaum et al., 1999). Furthermore, OFC lesions or reversible inactivations have consistently impaired stimulus-outcome remapping in reversal learning tasks (e.g. Chudasama & Robbins, 2003). This part of the frontal cortex is therefore an important target for understanding how norepinephrine modulates neural processing during two-armed bandit performance.

The noradrenergic system also has an established role in reversal learning (Seu et al., 2009). Tonic norepinephrine (NE) activity in the OFC has been proposed to modulate cognitive flexibility by allowing the formation of novel contexts and associations (Sadacca et al., 2017; Wilson et al., 2014). The  $\alpha_2$  antagonist yohimbine reduces availability of NE receptors throughout the brain and may lead to reduced persistent activity in the frontal cortex (Kovács & Hernádi, 2003; Zhang et al., 2013).. Yohimbine may therefore impair the ability to form new spatial/action-outcome contingencies (Sadacca et al., 2017; Wilson et al., 2014), and could alter how animals learn from feedback (Jepma et al., 2016). No published study has examined the impact of yohimbine on performance in a two-armed

bandit task or how NE-selective drugs alter WSLs strategies or models of reinforcement

2 learning.

In the present study, we evaluated how rats perform a version of the two-armed

4 bandit task that has blocked option-outcome reversals (Costa et al., 2015). This allowed us

to investigate the relationships between WSLs strategy, reinforcement learning, and

6 flexibility, and also to examine within-session changes under a range of outcome

probabilities. We further examined the role of the medial OFC using reversible inactivation

8 methods and evaluated effects of systemic and intra-cortical antagonism of NE on two-

armed bandit performance. There were three main findings from our study. First, rats

10 maintained their WSLs strategy across different reward probabilities, but adapted their

strategy with experience in each session. Second, reversible inactivation of the mOFC

12 decreased the animals' accuracy in performing the task and their sensitivity to negative

feedback. Third, NE antagonism, systemically but not intracranially in mOFC, decreased

14 performance accuracy, reduced sensitivity to positive feedback, and decreased the inverse

temperature parameter from the reinforcement learning algorithm.

16

## Methods

Procedures were approved by the Animal Use and Care Committee at American

18 University and conformed to the standards of the National Institutes of Health as outlined

in the "Guide for the Care and Use of Laboratory Animals" published by the Public Health

20 Service.

## Subjects

2           Twenty four male Long-Evans rats (300-350g) were obtained from the NIH animal  
colony, Charles River, or Envigo. Animals were housed individually and kept on a 12/12 h  
4 light/dark cycle. Animals had regulated access to food to maintain their body weights at  
approximately 90% of their free-access weights. Seven of these animals were unable to  
6 reach the initial accuracy criterion in the spatially-guided deterministic blocked bandit  
design (described below) and were removed from the study. Seventeen rats in total were  
8 tested in the uncertainty experiment. From this group, 11 rats were tested with 2mg/kg  
systemic yohimbine. Five of those 11 were surgically implanted with cannulae for intra-  
10 cortical infusions of muscimol. An additional 4 Long-Evans rats (Charles River) were  
trained and tested with yohimbine and muscimol but did not participate in the uncertainty  
12 experiment.

## Behavioral Apparatus

14           All animals were trained in sound-attenuating behavioral boxes (ENV-018MD-EMS:  
Med Associates). A light-pipe lickometer was located on one side of the box, and contained  
16 a 5/16" sipper tube recessed behind a photobeam (ENV-251M: Med Associates). The tip of  
the sipper tube was 6.5 cm from the floor of the box. A green LED light (4 cm) was placed  
18 above the spout. The opposite wall had two nosepoke ports aligned horizontally 4.5 cm  
from the floor, 12.5 cm apart, with IR beam break sensors on the external side of the wall.  
20 Behavioral devices were controlled and data was collected using custom-written code for  
the MedPC system, version IV (Med Associates). Visual stimuli were presented using  
22 custom-made LED matrices (Swanson et al., 2021). Visual stimulus devices were placed

above each nosepoke port, outside the box, to signal active trials. Positive reward

2 feedback was presented through either a 1-sec 4.5 kHz SonAlert tone (Mallory SC628HPR)

or a mechanical relay which clicked three times in quick succession. Negative omission

4 feedback was presented through with a 1-sec 2.9 kHz SonAlert tone (Mallory SC105R). The

devices were placed in opposite corners of the box behind the spout.

## 6 **Training Procedure**

Rats were first given access to 25 ml of 16% sucrose in their home cage once a day

8 over a two day period. They were then acclimated to the operant chamber with

unrestricted access to liquid sucrose from the lickometer in 30 minutes behavioral

10 sessions. Fluid presentation was indicated by activation of the fluid pump, the relay

auditory stimulus, and illumination of a 5 mm green LED located above the lickometer.

12 Once the rats licked more than 1000 times in each of two consecutive behavioral sessions,

the rats were trained to nosepoke at either the left or right ports in a counterbalanced

14 manner. The visual stimulus above the nosepoke was fully illuminated at the start of each

trial. The opposite nosepoke was inaccessible. After 2-5 training sessions, in which the

16 open nosepoke alternated each day, we then opened both nosepokes at once while

retaining the visual cues. The rats had to respond at the visually-cued nosepoke for 2-4

18 days. If the unilluminated port was chosen, the animals would be presented with the 2.9

kHz tone and would have to lick at the spout to begin a new trial.

20 After rats responded selectively to the illuminated port, we introduced 30-trial

blocks with fixed locations of the cued and rewarded ports. After every 30 trials, the cued

22 and rewarded ports switched to the alternate side. On the very first trial in the session,

both ports were illuminated, and the option chosen on this trial became the “correct”,  
2 illuminated side for the remainder of the block. Finally, the task transitioned from visually  
and spatially guided to purely spatially guided behavior. The difference in the number of  
4 illuminated LEDs in the LED matrices (Swanson et al., 2021) between the correct and  
incorrect side was slowly decreased over several sessions, starting from 10 or 8 versus 0  
6 LEDs, until both cues had an equal number of LEDs illuminated for each trial.

Once rats were able to perform the spatially-guided deterministic blocked bandit at  
8 an accuracy of approximately 80%, the rats were further tested for 3 days, and then tested  
for three days each on 90/10, 80/20, and 70/30 reward schedules in order. We did not test  
10 them on a 60/40 reward schedule because their accuracy for the 70/30 test sessions was  
at or below the 65%, and thus near chance.

## 12 **Systemic Drug Injections**

Following initial testing under different reward schedules, rats were either returned  
14 to a deterministic schedule for 1-2 days before being challenged with yohimbine or  
implanted with infusion cannulas (as described below). Rats that received drug cannulas  
16 were tested first with central infusions and then with systemic injections of yohimbine. For  
systemic drug testing, rats were first injected with a physiological saline volume control,  
18 and the next day were tested with 2 mg/kg yohimbine (Yobine: Akorn Pharmaceuticals).  
Both treatments were administered intraperitoneally under isoflurane 20 minutes before  
20 testing. There was no difference in behavior between a gas-only pretest and the saline  
session.



## Surgery

2           Nine rats were surgically implanted with bilateral cannulae. Anesthesia was  
induced by an injection of diazepam (5 mg/kg, IP) and maintained with isoflurane (4.0%;  
4 flow rate 4.5 cc/min). Standard stereotaxic methods were used to implant 26-gauge guide  
cannulae (PlasticsOne) bilaterally, targeting the medial orbitofrontal cortex (as in Swanson  
6 et al., 2019). Depth was calculated from the brain surface using a posterior angle of 12°  
and a lateral angle of 30° (AP: 3.6mm ML: 1.2mm DV: 2.0mm). Infusions were made using  
8 33 gauge cannula (PlasticsOne), which extended 0.5 mm past the end of the guide  
cannulae. We used the term medial orbitofrontal cortex (mOFC) to refer to the general  
10 region of the frontal cortex examined in this study, as in a recent study from our group  
(Swanson et al., 2019). This term was used because all infusion cannula were localized in  
12 the medial frontal cortex anterior to the rhinal sulcus. We note that mOFC is not a  
homogeneous region, and includes the medial orbital cortex (ventral), rostral prelimbic  
14 cortex (dorsal), and medial portion of ventral orbital region (lateral).

Rats were allowed 7 days of recovery before returning to behavioral testing. Intra-  
16 cortical drug infusions were done under isoflurane anesthesia as in previous studies from  
our lab (e.g. Swanson et al., 2019). Rats were acclimated to testing after 10 minutes under  
18 gas anesthesia prior to testing. Rats showed no differences in behavioral performance  
(trials performed, accuracy) after 1-2 "gas control" sessions. For muscimol testing, rats  
20 were infused with 0.5  $\mu$ L of muscimol (Tocris) or physiological saline with a flow rate of  
0.25  $\mu$ L/min. Seven of the animals were tested with 0.05  $\mu$ g/ $\mu$ L muscimol. Two did not  
22 perform the task at this dosage, so instead we report their behavior under 0.01  $\mu$ g/ $\mu$ L.

Four of the rats were also infused with 2  $\mu$ L of either physiological saline or 5  $\mu$ g/ $\mu$ L

2 yohimbine (Tocris) with a flow rate of 0.5  $\mu$ L/min as previously reported (Caetano et al.,  
2012). Animals were tested 15 minutes after infusion. For both muscimol and yohimbine  
4 tests, infusion cannula were left in place for 2 minutes after the infusions to allow for  
diffusion.

## 6 **Confirmation of Cannula Placement**

After completion of the experiment, animals were anesthetized with isoflurane gas  
8 and injected intraperitoneally with Euthasol (100 mg/kg). Animals were transcardially  
perfused with 200 ml of chilled (4°C) physiological saline solution followed by 200 ml of  
10 chilled (4°C) 4% paraformaldehyde. Brains were removed and cryoprotected using a  
solution containing 20% sucrose, and 20% glycerol. Brains were then cut into 50  $\mu$ m-thick  
12 coronal slices using a freezing microtome (Hacker). Brain sections were mounted onto  
gelatin-coated slides and Nissl stained via treatment with 0.05% thionin. Thionin-treated  
14 slices were dehydrated through a series of alcohol steps, then covered with Clearium and  
coverslipped. Sections were imaged using a Tritech Research scope (BX-51-F), Moticam Pro  
16 282B camera, and Motic Images Plus 2.0 software. The most ventral point of the injection  
tract was compared against (Paxinos & Watson, 2007) to estimate brain atlas coordinates.

## 18 **Data Analysis**

Behavioral data were saved in standard MedPC data files and were analyzed using  
20 custom-written code in the Python (Python Software Foundation,  
<https://www.python.org/>) and R (The R Project, <https://www.r-project.org/>) languages,  
22 maintained using Anaconda (<https://www.anaconda.com>). Analyses were conducted using

Jupyter notebooks (<https://jupyter.org/>). The relationships between WSLs strategy,

2 accuracy, and reward uncertainty were evaluated using analysis of variance (ANOVA) and  
linear regression. Within-session effects were evaluated using ANOVA, and block analysis  
4 was done using repeated-measures ANOVA. Post hoc testing used either Tukey's Honest  
Significant Difference (HSD) test for standard ANOVA or paired t tests within a given level  
6 of block for repeated-measures ANOVA. Statistical testing and post hoc analysis were  
done using the default functions in R, e.g. `aov`.

8 Accuracy was defined as the percentage of trials directed toward the option with  
the higher likelihood of dispensing reward. Win-Stay values were determined by  
10 calculating the proportion of stay trials following every win. The inverse of this proportion  
is the likelihood of demonstrating Win-Shift behavior. Likewise, Lose-Shift values were  
12 determined by calculating the proportion of shift trials following every omitted reward.  
The inverse of the proportion is the likelihood of demonstrating Lose-Stay behavior. For  
14 ease of computation, the first trial in every session was considered a "Stay" trial in  
reference to a hypothetical 0th trial.

16 Perseverative errors were defined as responses to the previously high-value option  
immediately after a reversal, before the first response to the new high-value option  
18 (Caetano et al., 2012). Please note that this measure includes rewarded errors given the  
probabilistic nature of the task.

20 Change points for choices during each block were calculated using the `cpt.mean`  
function in the *changeoint* package for R (Killick and Eckley, 2014). Change points were  
22 estimated based on the likelihood ratio test statistic. The algorithm was constrained to

find no more than one change point per block of trials (AMOC method, “At Most One  
2 Change”) and using the Schwarz information criterion as the penalty term (default  
parameters for the `cpt.mean` function). The model was fit on the choice data over the 60  
4 trials that comprised the blocks immediately preceding and following each action-  
outcome probability reversal, with the reversal centered at 0. Therefore, negative  
6 changepoint values indicated that the rat shifted behaviourally before the action-outcome  
contingencies reversed, while positive values indicated that they shifted their response  
8 after the reversal.

Choice latency was defined as the time between the first contact with the reward  
10 spout from the previous trial (or from the session start for the first trial), and the time of  
entry into the nosepoke. This time included the 0.5-second reward delivery on rewarded  
12 trials. However, rats typically remained near the spout to lick after the pump turned off,  
and stayed there for some time even on unrewarded trials. Collection latencies were  
14 defined as the time between the cue above the reward port and the first lick at the spout.  
Rats had to respond to the reward spout to begin a new trial regardless of reward  
16 delivery.

For blocked analysis, only the initial six blocks were included. The first cohort  
18 completed on average versus 6 blocks ( $t(16) = -17.141$ ,  $p = 4.07e-41$ , independent t-test).  
The second cohort completed significantly more blocks. We therefore only analyzed the  
20 first six blocks across rats, with the goal of limiting bias on the performance measures by  
the second cohort. Importantly, we found no differences in performance between blocks  
22 blocks 4, 5 and 6, regardless of how many blocks were completed. However, there were

sometimes decreases in trial completion rate and accuracy toward the end of the session

2 and as the rats became satiated or less engaged. These periods of task performance were  
not included in any of the reported analyses.

#### 4 Reinforcement Learning

Four Q-learning models were fit to the choice behavior of the rats to estimate

6 learning rates and inverse temperature. Two models were fit to Left/Right choice behavior  
while another two were fit to Stay/Shift choice behavior. For each type of choice behavior,

8 we fit one model with a single learning rate and one with a separate learning rate for each  
option. Each model updated the value,  $Q$ , of a chosen option  $i$  based on reward feedback  $r$

10 as:

$$Q_i(t) = Q_i(t-1) + \alpha [r(t) - Q_i(t-1)]$$

At each trial  $t$ , the updated value of an option  $i$  was given by its old value,  $Q_i(t-1)$

12 plus a change based on the reward prediction error ( $r(t) - Q_i(t-1)$ ), multiplied by the

learning rate parameter for that option,  $\alpha_i$ . In single-alpha models,  $\alpha_i$  referred to the same

14 value for both options. Choice probability for each option  $i$  was calculated as  $d_i(t)$  using:

$$d_i(t) = \frac{e^{\beta Q_i(t)}}{\sum_{i=1} e^{\beta Q_i(t)}}$$

We then calculated the log-likelihood as:

$$ll = \prod_T \log[c_1(t)d_1(t) + c_2(t)d_2(t)]$$

16 where  $c_i(t) = 1$  if the subject chooses option  $i$  in trial  $t$  and  $c_i(t) = 0$  otherwise.  $T$  is the total

number of trials in the session or block. Parameters were fit by maximizing the log-likelihood using standard optimization techniques via the scipy package for python. Initial values for learning rate parameters were drawn from a normal distribution with a mean of 0.5 and a standard deviation of 0.5. Learning rate values were bounded within (0,1]. Initial values for the inverse temperature parameter were drawn from a normal distribution with a mean of 1 and a standard deviation of 5 and were bounded between 0 and 20. Initial values of actions were always set to 0.5 to minimize the impact of starting value on the other parameters by animal. When fitting blocks, the final action values of each block were carried over to initiate the next block. Model fits were repeated 100 times to avoid local minima and the model with the minimum negative log-likelihood was selected as the best fit. The Akaike Information Criterion (AIC) was then calculated for each best-fit model and was used to compare the goodness of fit between models. Because the number of free parameters differed by model, we also calculated the Bayesian Information Criterion (BIC), which more strongly penalizes a larger number of free parameters. While the best fit model according to both criteria had individual learning rates for each location, as previously found (Noworyta-Sokolowska et al., 2019), the learning rates were not statistically different from each other. Therefore, a simpler model with a single alpha parameter that updated both options was sufficient to model the behavioral data here.

## Results

### 20 **Effects of Reward Schedule on Performance**

We trained 17 rats on a spatial, blocked two-armed bandit task. The rats were first

trained deterministically (100%/0%), and then tested on 90%/10%, 80%/20% and 70%/30%  
2 probabilistic reward schedules. Each schedule was repeated one session per day for three  
days. The rats had to nosepoke one of two options on one side of the box. They  
4 immediately received tonal feedback as to whether that choice was rewarded. Regardless  
of the outcome, the rat had to lick at a spout on the opposite side of the box. The spout  
6 delivered a reward on reinforced trials or immediately started the next trial on non-  
rewarded trials. Reward probabilities reversed every 30 trials (Figure 1A). We trained the  
8 rats in two groups. The second cohort of rats (n=11) was trained to a minimum accuracy  
criterion as set by the first cohort (n=6). For each session, we calculated the proportion of  
10 Win trials in which the animals stayed with the same port (Win-Stay) and the proportion of  
Loss trials in which the animals shifted to the other port (Lose-Shift) (Figure 1B), but this  
12 measure was not used as a criterion for training.

Accuracy, defined as the percentage of trials targeted toward the higher-value  
14 option, varied significantly with reward schedule ( $F(3,46) = 92.162$ ,  $p = 0$ , ANOVA, Figure  
1C). In the deterministic version of the task, when feedback was most informative,  
16 subjects performed well (mean accuracy = 77.83%). Subjects performed more poorly when  
the reward feedback was less revealing of the higher-value target. For example, at the  
18 70/30 reward schedule, rats were only able to obtain a mean accuracy of 63.09% over a  
session.

20 We also note that the number of blocks completed varied by rat (3-24 blocks, mean  
= 11), and the second cohort completed significantly more blocks than the first, 15 blocks  
22 on average versus 6 blocks ( $t(16) = -17.141$ ,  $p = 4.07e-41$ , independent t-test). Accuracy was

lower in the second cohort in general ( $t(16) = 2.7546$ ,  $p = 0.00642$ , independent t-test), but  
2 there was no effect of the number of blocks completed on accuracy ( $t(16) = -1.379$ ,  $p =$   
0.169, linear regression). There was no effect of cohort for any other measure. There was  
4 also no effect of day over the 3-day test for each reward schedule ( $F(2,30) = 2.548$ ,  $p =$   
0.0951, ANOVA), though there was a slight interaction between schedule and day ( $F(6,94) =$   
6 2.646,  $p = 0.0205$ , ANOVA).

To determine whether the decrease in accuracy was due to a change in sensitivity  
8 to positive and negative feedback, we analyzed their Win-Stay/Lose-Shift (WSLS) decision  
strategy for each schedule. Their overall sensitivity to positive and negative feedback was  
10 approximated by determining the proportion of WS and LS trials in a session. There was  
no difference by reward schedule in Win-Stay likelihood ( $F(3,46) = 0.971$ ,  $p = 0.415$ , ANOVA,  
12 Figure 1D) and no effect of day within schedule ( $F(1,46) = 0.054$ ,  $p = 0.818$ , ANOVA). There  
was of reward schedule on Lose-Shift likelihood ( $F(3,46) = 2.492$ ,  $p = 0.0719$ , ANOVA,  
14 Figure 1E). These results indicate that the rats did not adjust their strategy to compensate  
for reward uncertainty beyond this level.

### 16 **Effects of Block on Performance**

Since the task required many spatial reversals within the session, it is possible that  
18 the rats' strategy, and therefore performance, changed over time. To investigate within-  
session trends, we independently analyzed each block. A repeated-measures ANOVA  
20 confirmed the effect of block on accuracy ( $F\text{-stat}(5,74) = 3.34$ ,  $p = 0.00895$ , ANOVA, Figure  
2A). Subjects demonstrated a drop in accuracy in the second block (following the first  
22 reversal) in all reward schedules ( $p = 0.0000304$ , post-hoc Tukey test). There was no



interaction between block and schedule ( $F(5,74) = 0.754$ ,  $p = 0.586$ , ANOVA).

2            Within the shortened view of the first six blocks, there was still no difference in WS  
likelihood by reward schedule ( $F(1,74) = 1.646$ ,  $p = 0.204$ , ANOVA), but there was a  
4 difference across blocks ( $F(5,74) = 23.562$ ,  $p = 0$ , ANOVA, Figure 2B). Post-hoc testing  
revealed that the first and second block were significantly lower than the other four blocks  
6 ( $p < 0.0249$ , post-hoc Tukey test), and also that they were different from each other ( $p =$   
0.000152, post-hoc Tukey test). Repeated-measures ANOVA also reported a block-  
8 dependent change in LS likelihood ( $F(5,74) = 3.056$ ,  $p = 0.0146$ , ANOVA, Figure 2C), but  
post-hoc testing did not indicate a difference between any two specific blocks. There was  
10 also no interaction between block and schedule ( $F(5,74) = 0.929$ ,  $p = 0.467$ , ANOVA).

Together, these results indicate that the strategy for positive feedback changes with  
12 experience and eventually collapses to some value across all reward schedules, while the  
strategy for negative feedback depends on whether the task is probabilistic but does not  
14 change with experience.

We expected that increased uncertainty might also cause perseveration around  
16 reversals, since the animals would have more difficulty distinguishing between  
probabilistic negative feedback and a true reversal, and because their Lose-Shift rates  
18 were lower in probabilistic schedules. However, there was no statistical difference in the  
number of perseverative errors by reward schedule ( $F(3,48) = 1.444$ ,  $p = 0.242$ , ANOVA).  
20 Interestingly, their WS likelihood was lowest in the first block, while their accuracy was  
lowest in the second. Additionally, the mean likelihood of selecting the correct side by trial  
22 appeared to reach asymptote in the second block more slowly than for the other blocks

(Figure 2D). To investigate the decrease in accuracy in the second block, we attempted to  
2 quantify how quickly rats adapted to each reversal. However, rats made the same number  
of perseverative errors following each of the first five reversals ( $F(4,64) = 0.593$ ,  $p = 0.669$ ,  
4 ANOVA, Figure 2E). The mean number of perseverative errors was 1.78 trials.

It is possible that while they always chose the target option within a few trials after  
6 a reversal, it took longer for the rats to reliably choose the target option within the block.  
To estimate this behavioral reversal for each block, we applied a changepoint algorithm to  
8 the rats' choice data (see Methods). Reward schedule had no impact on behavioral  
changepoint ( $F(1,33) = 2.383$ ,  $p = 0.132$ , ANOVA). There was also no within-session effect of  
10 block ( $F(4,33) = 2.037$ ,  $p = 0.112$ , ANOVA, Figure 2F), however the algorithm was only able  
to detect a statistically valid changepoint after 57% of the first 5 reversals in each session.  
12 Out of those, the rats demonstrated a behavioral reversal 3.32 trials after the  
environmental reversal on average. This finding suggests that the rats shifted their  
14 behavior abruptly soon after the reversal in action-outcome contingencies for half of the  
blocks, but for the other half of blocks gradually learned the new contingencies or did not  
16 demonstrate a behavioral reversal at all.

### Reinforcement Learning Analysis

18 WSLs strategies describe the overall trends in individual choices but may not  
describe behavior over longer time periods adequately, as indicated by the change in WS  
20 likelihood by block. We fit several reinforcement learning models to the data to determine  
if such a model that incorporates outcome history would better account for their behavior.  
22 We fit the model using either left-right or stay-shift dichotomies as the options to learn,

and tried models with either a single learning rate or with separate learning rates for each  
2 option. The model that had the lowest Akaike Information Criterion (AIC) used individual  
learning rates to update the values of left and right actions. However, since there was no  
4 statistical difference between the alpha values for this model ( $t(201) = 0.2659$ ,  $p = 0.7906$ ,  
paired t-test), there was no real difference in the learning rate for each option and a better  
6 fit is likely due to the freedom given by the extra parameter. Therefore, we elected to use  
the single-alpha model instead.

8           Uncertainty affected learning rate (alpha) in the single-alpha model ( $F(3, 46) =$   
3.591,  $p = 0.0205$ , ANOVA, Figure 3A). Post-hoc testing showed that the 70/30 schedule  
10 specifically produced slower learning, driving the effect ( $p < 0.09$ , post-hoc Tukey test).  
There was no corresponding effect on inverse temperature (beta) ( $F(3,46) = 0.77$ ,  $p = 0.517$ ,  
12 ANOVA). Log transforms of the values also found no effect ( $F(3,46) = 1.022$ ,  $p = 0.392$ .  
However, there were differences in variance in the fits of beta between all pairs of reward  
14 schedules with the exception of 100/0 - 80/20 ( $F(1,49)$ ,  $p < 0.000148$ , variance test), which  
violated the assumptions of the ANOVA. Nonparametric testing revealed dramatic  
16 differences in the medians of the fits ( $\chi^2(3) = 71.976$ ,  $p = 1.611e-15$ , Kruskal-Wallis rank  
sum test, Figure 3B), such that beta decreased with uncertainty.

18           One of the reasons we used a blocked design was that we could apply  
reinforcement learning to each block individually. When we fit the model to each of the  
20 first six 30-trial blocks, reward schedule impacted both learning rate ( $F(3,65) = 4.152$ ,  $p =$   
0.00938, ANOVA) but not inverse temperature ( $F(3,65) = 2.064$ ,  $p = 0.114$ , ANOVA). The  
22 effect of learning rate was dominated by model parameters for the deterministic 100/0

schedule ( $p < 0.0024$ , post-hoc Tukey test). Learning rate also varied by block regardless of  
2 schedule, ( $F(5,65) = 9.321$ ,  $p = 9.92e-07$ , ANOVA, Figure 3C). Within the probabilistic  
schedules, learning rate increased over the first three blocks. There was no effect of block  
4 on inverse temperature (beta parameter) ( $F(5,65) = 1.543$ ,  $p = 0.174$ , ANOVA, Figure 3D)  
and no interaction with reward schedule ( $F(12,65) = 1.442$ ,  $p = 0.170$ , ANOVA). When fit to  
6 each block individually, we found homoscedasticity (equal variance) across reward  
schedules. Nevertheless, to confirm these results using a non-parametric method, we  
8 found no difference in median beta by block ( $\chi^2(5) = 9.38$ ,  $p = 0.0948$ , Kruskal-Wallis rank  
sum test).

10 In the deterministic session, learning rate drops in the second block and recovers  
in the third block, but this was not found to be significant in a post-hoc test. In contrast,  
12 there was no such effect of block on inverse temperature (beta parameter) ( $F(5,65) =$   
 $1.543$ ,  $p = 0.174$ , ANOVA, Figure 3D) and no interaction with reward schedule ( $F(12,65) =$   
14  $1.442$ ,  $p = 0.170$ , ANOVA). When fit to each block individually, we found homoscedasticity  
(equal variance) across reward schedules. Nevertheless, to confirm these results using a  
16 non-parametric method, we found no difference in median beta by block ( $\chi^2(5) = 9.38$ ,  $p =$   
 $0.0948$ , Kruskal-Wallis rank sum test).

18 To quantify the relationship between WSLS strategy and RL parameters, we  
performed a linear regression on the WSLS values to predict learning rate and inverse  
20 temperature. Both alpha ( $t(3,198) = 2.461$ ,  $p = 0.0147$ , linear regression) and beta ( $t(3,198)$   
 $= -2.847$ ,  $p = 0.00488$ , linear regression) were best predicted by the interaction between  
22 Win-Stay and Lose-Shift likelihoods. For each rat, LS (sensitivity to negative feedback) was

a better predictor of learning rate (WS:  $t(3,198) = -1.890$ ,  $p = 0.0602$ ; LS:  $t(3,198) = -2.246$ ,  $p = 0.0258$ ) and WS (sensitivity to positive feedback) was a better predictor of choice stochasticity (WS:  $t(3,198) = 2.777$ ,  $p = 0.00602$ ; LS:  $t(3,198) = 2.714$ ,  $p = 0.00724$ ).

#### 4 **Inactivation of Medial Orbitofrontal Cortex**

To determine the role of mOFC in the blocked TAB design, a subset of rats ( $n=9$ ) were implanted with cannula bilaterally in mOFC and received infusions of muscimol one hour prior to testing (Figure 4A). Because both accuracy and LS likelihood were highest in the 100/0 session, all rats were tested in the deterministic reward schedule only to increase the likelihood of detecting an effect and to limit risk associated with intra-cortical infusion. Inactivation of mOFC resulted in a decrease in accuracy ( $F(1,8) = 7.979$ ,  $p = 0.0223$ , ANOVA, Figure 4B). While inactivation did not affect Win-Stay likelihood ( $F(1,8) = 0.085$ ,  $p = 0.778$ , ANOVA, Figure 4C), it caused a decrease in Lose-Shift likelihood ( $F(1,8) = 12.53$ ,  $p = 0.00763$ , ANOVA, Figure 4D). This decrease in LS likelihood corresponded to an increase in changepoint ( $F(1,14) = 9.695$ ,  $p = 0.00762$ , ANOVA, Figure 4E) and perseverative errors ( $F(1,32) = 5.513$ ,  $p = 0.0252$ , ANOVA, Figure 4F).

Inactivation of mOFC did not affect either the learning rate ( $F(1,8) = 2.709$ ,  $p = 0.138$ ) or inverse temperature ( $\chi^2(1) = 0.43905$ ,  $p = 0.5076$ ) in a session-wide analysis of reinforcement learning. There was no interaction with learning rate when analyzed by block ( $F(5,32) = 0.868$ ,  $p = 0.513$ ). However, there was a difference in inverse temperature when the RL model was fit by block ( $F(1,5) = 13.461$ ,  $p = 0.0145$ , ANOVA), with muscimol increasing the beta parameter. There was no interaction between treatment and block ( $F(1,5) = 0.336$ ,  $p = 0.587$ , ANOVA).

### Effects of Systemic Yohimbine

2 To investigate the role of norepinephrine in two-armed bandit performance, we  
first challenged a subset (n=11) of the rats with a 2 mg/kg systemic injection of yohimbine.  
4 They were tested on both deterministic and 80/20 probabilistic reward schedules since IP  
injections posed less of a health risk than intra-cortical infusion. If norepinephrine  
6 moderates the balance between exploration and exploitation, we would expect to see a  
change in their strategy. Indeed, there was a decrease in WS likelihood in both reward  
8 schedules under yohimbine ( $F(1,10) = 21.43$ ,  $p = 0.000936$ , ANOVA, Figure 5C). There was  
no change in LS likelihood ( $F(1,10) = 2.078$ ,  $p = 0.18$ , ANOVA, Figure 5D), but as before,  
10 there was a significant difference in LS strategy between the 100/0 and 80/20 in the saline  
session ( $F(1,10) = 7.722$ ,  $p = 0.0195$ , ANOVA).-Therefore, yohimbine selectively decreased  
12 sensitivity to positive feedback.

This effect led to a significant decrease in accuracy under yohimbine compared to  
14 saline control ( $F(1,10) = 29.03$ ,  $p = 0.000306$ , ANOVA, Figure 5A). As with the uncertainty  
testing, animals were more accurate in the deterministic reward schedule ( $F(1,10) = 54.9$ ,  $p$   
16  $= 2.29e-05$ , ANOVA). However, there was no interaction between treatment and schedule  
( $F(1,10) = 3.416$ ,  $p = 0.0943$ , ANOVA), meaning that yohimbine caused a similar decrease in  
18 accuracy in both tests. This decrease in accuracy was not associated with perseveration, as  
treatment with yohimbine did not impact the number of perseverative errors the animals  
20 made following each reversal ( $F(1,10) = 0.925$ ,  $p = 0.359$ , ANOVA). However, yohimbine did  
increase the behavioral changepoint ( $F(1,10) = 9.497$ ,  $p = 0.0116$ , ANOVA, Figure 5B).

22 We then focused on the first 6 blocks to investigate yohimbine's effect on the first

few reversals. While treatment decreased the likelihood of choosing the correct option,  
2 there was no interaction between treatment and block on accuracy ( $F(5,50) = 1.468$ ,  $p =$   
0.217, ANOVA), on Win-Stay likelihood ( $F(5,50) = 1.808$ ,  $p = 0.128$ , ANOVA), or Lose-Shift  
4 likelihood ( $F(5,50) = 0.766$ ,  $p = 0.579$ , ANOVA), at least in the context of the order of the  
blocks. There was however a difference in accuracy between even and odd blocks for the  
6 deterministic session, ( $t(10) = 4.275$ ,  $p = 6.394e-05$ , dependent t-test, Figure 6A) with lower  
accuracy on the odd blocks. This suggests that the rats become biased toward the initial  
8 high-value option. This effect was present in Win-Stay likelihood ( $t(10) = 3.141$ ,  $p = 0.0025$ ,  
dependent t-test, Figure 6B), but there was no such pattern in LS likelihood ( $t(10) = 0.260$ ,  
10  $p = 0.795$ , dependent t-test, Figure 6C). However, there was no significant effect on  
accuracy for the 80/20 probabilistic schedule ( $t(10) = 0.256$ ,  $p = 0.799$ , dependent t-test),  
12 suggesting that the effects of yohimbine on bias depend on reward uncertainty.

There was also a double dissociation of the effect by reward schedule and block  
14 under yohimbine ( $F(5,120) = 3.405$ ,  $p = 0.00652$ , ANOVA). Under the deterministic schedule  
yohimbine increased the number of trials the rats needed to behaviorally reverse for each  
16 of the first six reversals. Under the probabilistic schedule however, they reversed their  
behavior before the first two environmental reversals in the session, and then took longer  
18 to adapt after the next three reversals than in the saline session.

We predicted that norepinephrine controls the determinism of choice via control  
20 over the inverse temperature in reinforcement learning. When we fit the single-alpha  
reinforcement learning model discussed above to their behavior to the whole session,  
22 there was no change in learning rate under yohimbine ( $F(1,10) = 2.162$ ,  $p = 0.172$ , ANOVA,

Figure 7A). In contrast, we found that yohimbine decreased inverse temperature as  
2 predicted ( $F(1,10) = 6.31$ ,  $p = 0.0308$ , ANOVA, Figure 7B). There was also no interaction with  
schedule for either parameter (Alpha:  $F(1,10) = 0.295$ ,  $p = 0.599$ ; Beta:  $F(1,30) = 0.83$ ,  $p =$   
4  $0.384$ , ANOVA). These fits had equal variance and did not require non-parametric analysis.

When fit to each block individually, we found that yohimbine treatment decreased  
6 learning rate ( $F(1,10) = 10.06$ ,  $p = 0.00995$ , ANOVA, Figure 7C) but not inverse temperature  
( $F(1,10) = 3.067$ ,  $p = 0.11$ , ANOVA, Figure 7D). While there was an effect of block for both  
8 parameters (Alpha:  $F(5,50) = 2.583$ ,  $p = 0.0373$ ; Beta:  $F(5,50) = 2.942$ ,  $p = 0.021$ , ANOVA),  
there was no interaction between treatment and block (Alpha:  $F(5,50) = 0.226$ ,  $p = 0.949$ ;  
10 Beta:  $F(5,50) = 0.469$ ,  $p = 0.797$ , ANOVA). Because there was no interaction with schedule  
(Alpha:  $F(5,50) = 1.275$ ,  $p = 0.289$ ; Beta:  $F(5,50) = 1.421$ ,  $p = 0.233$ , ANOVA), we combined  
12 the results of the fits in Figure 7C-D.

### **Intra-cortical Infusions of Yohimbine**

14 A subset of rats ( $n=4$ ) were also bilaterally infused with yohimbine in medial OFC  
under the deterministic schedule (see Figure 4A for this schedule) to directly compare  
16 against the muscimol infusion above. Blockade of  $\alpha_2$  adrenergic receptors in this brain  
region caused a similar reduction in accuracy ( $F(1,3) = 12.74$ ,  $p = 0.0376$ , ANOVA), and Win-  
18 Stay Likelihood ( $F(1,3) = 16.68$ ,  $p = 0.0265$ , ANOVA) across the session, and did not affect  
Lose-Shift likelihood ( $F(1,3) = 0.079$ ,  $p = 0.796$ , ANOVA). Again, as with the systemic  
20 injections, yohimbine did not affect the number of perseverative errors ( $F(1,3) = 0.247$ ,  $p =$   
 $0.653$ , ANOVA), nor did it increase changepoint ( $F(1,3) = 7.321$ ,  $p = 0.0734$ , ANOVA) in these  
22 rats. When analyzed by even versus odd blocks, we found no effect on accuracy ( $t(3) =$



1.958,  $p = 0.057$ , independent t-test, Figure 6D) or WS likelihood ( $t(3) = 1.789$ ,  $p = 0.081$ , independent t-test, Figure 6E). There was also no such effect on LS likelihood ( $t(3) = -0.765$ ,  $p = 0.45$ , independent t-test, Figure 6F). The effects of reinforcement learning did not reach the threshold for statistical significance, due to the small group size and high variability of model fits between rats.

## 6 **Effects of Yohimbine on Choice and Reward Collection Latencies**

We hypothesized that rats might take longer to make decisions when feedback is less informative. However, there was no difference in median choice latency ( $F(3,30) = 1.13$ ,  $p = 0.353$ , ANOVA) or collection latency ( $F(3,30) = 1.584$ ,  $p = 0.214$ , ANOVA) by schedule. There was however a significant effect of block on both median choice latency ( $F(5,44) = 9.512$ ,  $p = 3.34e-06$ , ANOVA) and collection latency ( $F(5,44) = 8.269$ ,  $p = 1.42e-05$ , ANOVA). Specifically, rats had longer latencies in the initial block relative to all other blocks (Choice:  $t(10) = 3.4489$ ,  $p = 0.0013$ ; Collect:  $t(10) = 3.1982$ ,  $p = 0.0026$ , paired t-test). There was no interaction between block and schedule for either measure.

Systemic yohimbine decreased both choice latency ( $F(1,10) = 12.14$ ,  $p = 0.00588$ , ANOVA) and collection latency ( $F(1,10) = 5.983$ ,  $p = 0.0345$ , ANOVA) in the first six blocks. Block number affected choice latency in both sessions ( $F(5,50) = 5.375$ ,  $p = 0.000496$ , ANOVA) with no interaction with drug treatment ( $F(5,50) = 2.326$ ,  $p = 0.0881$ , ANOVA). The effect of block was even more pronounced for collection latency ( $F(5,50) = 7.999$ ,  $p = 1.34e-05$ , ANOVA), as was the interaction with yohimbine ( $F(5,50) = 3.596$ ,  $p = 0.00743$ , ANOVA). In particular, rats were slower in the first block as compared to the second (Choice:  $p = 0.0251$ , Collect:  $p = 0.00163$ , post-hoc Tukey test). There was also an overall increase in the

mean number of blocks completed: 14.6 under systemic yohimbine vs 12.1 under the  
2 saline control, ( $t(43) = -4.4476$ ,  $p = 0.0002$ , paired t-test), indicating that the increase in  
pace enabled them to complete more trials in the 1-hour session.

4 To examine this issue, we regressed median choice latency, treatment, and  
correctness onto accuracy. While drug treatment was a predictive factor as expected ( $\beta = -$   
6  $2.756$ ,  $p = 0.0075$ , linear regression), choice latency had no relationship with accuracy ( $\beta = -$   
 $0.348$ ,  $p = 0.7290$ , linear regression) nor was the interaction between choice latency and  
8 systemic yohimbine ( $\beta = 0.590$ ,  $p = 0.5569$ , linear regression).

In the four rats with guide cannula, intra-cortical yohimbine affected neither choice  
10 ( $F(1,3) = 0.287$ ,  $p = 0.629$ ) nor collection latencies ( $F(1,3) = 0.516$ ,  $p = 0.524$ ). However, it is  
unclear if this finding was due to the small sample size ( $n=4$ ) or if these individual rats  
12 were unaffected, since systemic yohimbine also did not alter latencies in these animals  
(Choice:  $F(1,3) = 1.748$ ,  $p = 0.278$ ; Collect:  $F(1,3) = 0.199$ ,  $p = 0.686$ ).

## 14 Discussion

Win-Stay Lose-Shift strategy has been shown to be consistent in rats across  
16 sessions in an 80/20 probabilistic bandit (Noworyta-Sokolowska et al., 2019), though  
further evidence shows that WS and LS likelihoods change in the learning and reversal  
18 phases of a single probabilistic reversal (Amodeo et al., 2017). In contrast, human choices  
may be best fit by a WSLS model that changes with experience (Worthy & Maddox, 2014).  
20 However, these studies confound WS likelihood with the reversal trigger. The current  
study used a blocked bandit and corroborates both findings to some extent. We found no

change in LS likelihood by block, but likelihood of Lose-Shifting was higher in deterministic  
2 schedules as compared to probabilistic schedules. This is unsurprising as negative  
feedback is perfectly informative of a reversal in the deterministic schedule. In contrast,  
4 WS likelihood increased over the first three blocks but was stable across schedules. This  
finding is in contrast to a recent study that found increased Win-Shift behavior at the  
6 beginning of each block and in the higher uncertainty schedule within a 3-armed bandit  
(Cinotti et al., 2019). However, this study averaged values across blocks which may have  
8 obscured block-by-block effects.

Interestingly, rats showed reduced accuracy following the first reversal under all  
10 reward schedules. Furthermore, while rats performed less accurately in sessions with  
more uncertainty, behavioral changepoint and the number of perseverative errors  
12 following reversal did not vary with reward uncertainty or block. Experience with reversals  
is known to affect strategy and expectation of reversals (Costa et al., 2015; Mackintosh et  
14 al., 1968; Murray & Gaffan, 2006; Rudebeck et al., 2013; Yu & Dayan, 2005). We found that  
learning rate increased with experience over the first three blocks in the probabilistic  
16 schedules, indicating that the rats learned faster with repeated reversals. Conversely,  
inverse temperature, or choice determinism, decreased dramatically with increasing  
18 uncertainty but did not change in response to reversal experience. Inverse temperature  
affects stochasticity or the ratio of exploitation to exploration (Doya, 2002; Katahira, 2015),  
20 and the decrease in beta with uncertainty could be due to probability devaluation (Daw et  
al., 2006) or to increased exploration (Knox et al., 2012; Speekenbrink & Konstantinidis,  
22 2015)

We also found that Win-Stay likelihood (sensitivity to positive feedback) was more  
2 strongly correlated with inverse temperature (Cinotti et al., 2019), while LS likelihood  
(sensitivity to negative feedback) was more strongly correlated with learning rate.  
4 However, both the WS likelihood and learning rate increased over repeated reversals,  
while inverse temperature and LS remained stable. It is unclear what is responsible for  
6 this mismatch, and this result comes in contradiction to Noworyta-Sokolowska and  
colleagues, who found that sensitivity to positive feedback is associated with faster  
8 learning (Noworyta-Sokolowska et al., 2019).

The second aim of this study was to determine whether the mOFC was critical for  
10 success in a blocked two-armed bandit task. The mOFC region that we targeted was also  
investigated by our lab in a study of progressive ratio responding (Swanson et al., 2019)  
12 and may be homologous to the pregenual anterior cingulate cortex in primates (Laubach  
et al., 2018). In the present study, we infused muscimol into mOFC to transiently inactivate  
14 the region. Inactivation led to a decrease in accuracy and a dramatic decrease in LS  
likelihood, as well as an increase in changepoint and perseveration. These results  
16 demonstrate that the mOFC plays an important role in TAB performance.

Previous studies showed similar reductions in negative feedback sensitivity  
18 following mOFC inactivation in a 80/20 performance-based two-armed bandit (Dalton et  
al., 2016; Verharen et al., 2020), though Dalton and colleagues also found a decrease in  
20 positive feedback sensitivity following mOFC inactivation. However, our results run  
contrary to a previous finding that mOFC inactivation decreases perseveration and  
22 increases Lose-Shift likelihood in a visual deterministic TAB task which used a 24/30

correct sliding window to trigger reversals (Hervig et al., 2020). This could be due to the  
2 difference in modality, as there is evidence to suggest that mOFC is more important in  
retrieving action-outcome associations than stimulus-outcome associations as compared  
4 to lateral OFC (Bradfield et al., 2015), and the animals in this experiment could be using a  
left-right strategy to solve the spatial bandit.

6           The final aim of this study was to explore the role of the noradrenaline system in a  
two-armed bandit task via the  $\alpha$ 2-noradrenoreceptor antagonist yohimbine. At lower  
8 doses than used here, systemic delivery of yohimbine has been shown to deactivate  
presynaptic  $\alpha$ 2-noradrenoreceptors, enhancing central NA release (Abercrombie et al.,  
10 1988; Szemeredi et al., 1991). For this reason, we also delivered yohimbine intracranially to  
determine the role of  $\alpha$ 2-adrenoreceptors in mOFC specifically (Agster et al., 2013;  
12 U'Prichard et al., 1979). It is worth noting that yohimbine antagonizes other monoamines  
including serotonin, (Millan et al., 2000), the signaling of which appears to play a general  
14 role in bandit performance (Izquierdo et al., 2017). Across our studies, we found similar  
effects of 2 mg/kg systemic yohimbine and 5  $\mu$ g/ $\mu$ L intra-cortical yohimbine. Specifically,  
16 accuracy was reduced while changepoint increased under yohimbine in deterministic and  
80%/20% probabilistic sessions.

18           Critically, these results demonstrate a dissociation between the effects of  
yohimbine NA blockade and broad inactivation of the mOFC. While both manipulations led  
20 to a decrease in accuracy, muscimol inactivation of mOFC led to a decrease in sensitivity to  
negative feedback via a selective decrease in LS likelihood and  $\alpha$ 2-noradrenoreceptor  
22 blockade in mOFC led to a decrease in positive feedback sensitivity. In a recent review,

Wilson and colleagues proposed that the overarching role of orbitofrontal cortex is to  
2 represent task states (Wilson et al., 2014), leading to the common finding that lesion or  
inactivation leads to deficits in reversal learning but not initial discrimination learning  
4 (Schoenbaum et al., 2002 ; Rudebeck & Murray, 2008), as we found here.

The noradrenergic system is one of many neuromodulators of flexibility (Robbins et  
6 al., 2010; Robbins & Roberts, 2007) and is thought to play a role in mediating the  
explore/exploit tradeoff (Aston-Jones & Cohen, 2005; Daw & Doya, 2006; Doya, 2002; Yu &  
8 Dayan, 2005). Specifically, endogenous tonic NA rises following reversal (Aston-Jones et al.,  
1997), which is thought to facilitate exploration (Aston-Jones et al., 1999; Jepma &  
10 Nieuwenhuis, 2011; Shea-Brown et al., 2008) and signal to the OFC to update task state or  
context (Bouret & Sara, 2005; Dayan & Yu, 2006; Sadacca et al., 2017). In the present study,  
12 we found that rats performed better on blocks where the high-value option matched their  
initial choice (Figure 6). We also found a decrease in inverse temperature, a measure of  
14 the exploration/exploitation tradeoff. Yohimbine antagonism may lead to a decrease in  
tonic NA in mOFC which would block updating of context and therefore decrease  
16 responding to the non-preferred side.

Finally, the increased noradrenergic tone caused by systemic yohimbine can lead to  
18 increased measures of impulsivity in a variety of tasks (Mahoney et al., 2016; Sun et al.,  
2010; Swann et al., 2005). While we found an increase in speed and decrease in accuracy  
20 under yohimbine, these measures varied independently based on correlation analysis.

We acknowledge several limitations and alternative interpretations for this study.  
22 First, we used only male rats in this study. We originally included both males and females,

but the females performed fewer trials and did not reach the accuracy thresholds.

2 However, this is inconsistent with published research and there is evidence for sex  
differences in strategy in probabilistic bandits (Chen et al., 2021). It is possible that these  
4 results do not generalize to both sexes.

Second, correlated reward schedules were presented in order of certainty and each  
6 schedule was presented one session per day for three days in a row. While we found no  
difference in strategy by day for each schedule, as reported by Noworyta-Sokolowska et al.  
8 (2019), it is possible that the order of presentation had some effect on WSLS strategy. Also,  
we only report the first 6 blocks in all block-based analyses although some rats completed  
10 up to 15 blocks per session. Focusing on the initial part of the test session avoided  
potential effects of satiety (Colwill & Rescorla, 1985; Rudebeck & Murray, 2011). We note  
12 that performance remained relatively stable until the rats approached the end of the one-  
hour session and reached satiety. We further note that we removed any incomplete blocks  
14 in the whole-session analysis.

Third, rats were tested only in the deterministic reward schedule to increase the  
16 likelihood of detecting an effect. We were therefore unable to determine if the effects  
of muscimol inactivation of OFC depended on reward uncertainty. Previous studies that  
18 implemented a performance-based bandit used an 80/20 probabilistic schedule (Dalton et  
al., 2016; Verharen et al., 2020) and we found that baseline LS likelihood in the blocked  
20 bandit depends on the presence of uncertainty. There is also some evidence that the  
result depends on species, since excitotoxic, fiber-sparing lesions of OFC in monkeys do  
22 not impair deterministic reversal learning (Rudebeck et al., 2013).

Fourth, we found a contradiction by scope of the RL model. When looking at each  
2 block individually, systemic yohimbine decreased learning rate but had no effect on  
inverse temperature. Inverse temperature controls the influence of reward history  
4 (Katahira, 2015), so the difference in evidence available for a single block and for the  
whole session likely contributed to the difference in fits for alpha and beta. Within such a  
6 short window, a decrease in likelihood of selecting the higher-value option could just as  
well be attributed to slow updating of the value as to the reduced influence of the value  
8

Finally, the side-biased Win-Stay likelihood and accuracy under yohimbine in the  
deterministic session could be due to a failure in spatial encoding. As discussed above,  
10 yohimbine can increase central NA release (Abercrombie et al., 1988; Szemerédi et al.,  
1991), so the dose used in this study may increase activation of  $\beta$ -noradrenoreceptors,  
12 which control synaptic plasticity in hippocampus (Hagena et al., 2016; Kemp & Manahan-  
Vaughan, 2008). In addition to reduced activity in the frontal cortex (Kovács & Hernádi,  
14 2003; Zhang et al., 2013) systemic yohimbine may lead to altered spatial representations  
in the hippocampal formation (Grella et al., 2019; Wagatsuma et al., 2018). Yohimbine may  
16 therefore impair the ability to form new spatial/action-outcome contingencies. However,  
the intra-cortical infusions also resulted in this pattern of reduced performance in  
18 alternate blocks. Since the infusion would not have affected LC somatic autoreceptors, this  
would not lead to an increase in central NA as could be caused by systemic yohimbine  
20 (Huang et al., 2012). However, this pattern did not appear in the 80/20 session and since  
the cannulated animals weren't tested under this schedule, it is difficult to confirm how  
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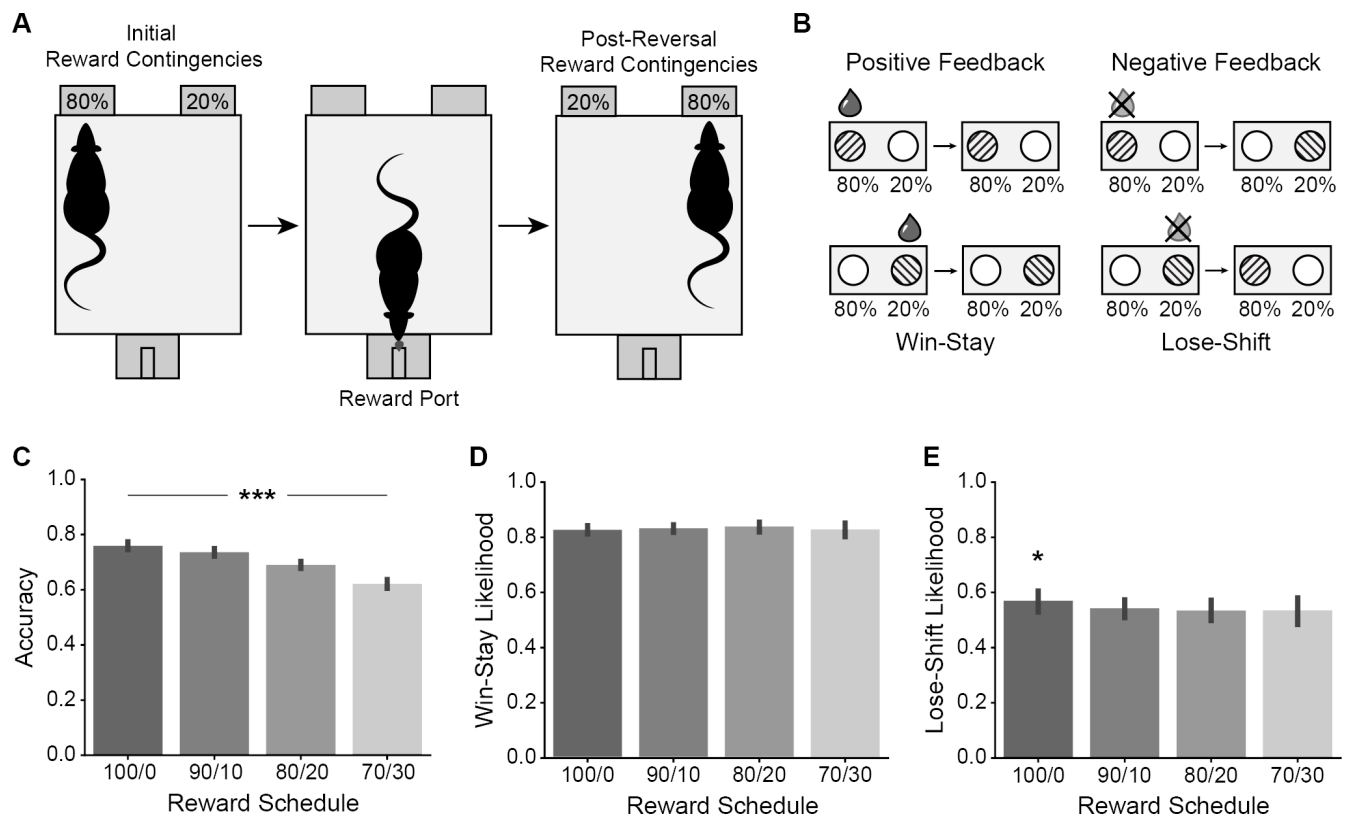
Norepinephrine drives persistent activity in prefrontal cortex via synergistic

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**Figure 1**

2 *Design and Performance of the Two-Armed Bandit Task*

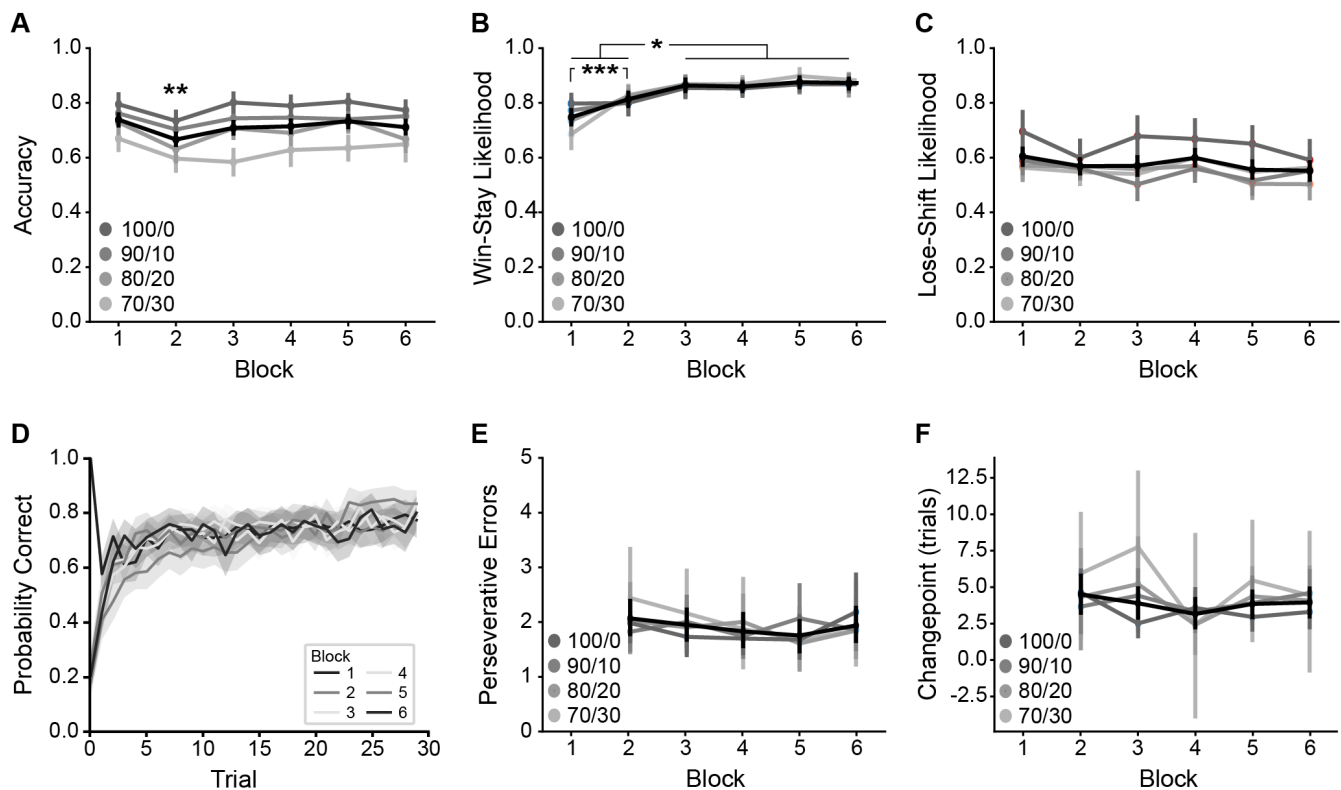


(A) Task design: Rats were presented with two nose pokes on one side of the chamber.

- 4 Each nose poke was associated with a different probability of reward delivery. The reward spout was located on the opposite side of the chamber. The contingency between
- 6 nose poke and reward probability reversed every 30 trials throughout the session. (B) Win-Stay/Lose-Shift strategies: Each trial can be described as a reaction to the feedback from
- 8 the previous trial. Win-Stay decisions occur when the same option is repeated following positive feedback. Lose-Shift trials occur when the alternative option is selected following
- 10 negative feedback. (C) Reward schedule impacted accuracy across three days of testing per schedule ( $F(3,46) = 92.162$ ,  $p = 0$ , ANOVA). (D) Reward schedule had no influence on
- 12 mean Win-Stay likelihood ( $F(3,46) = 0.971$ ,  $p = 0.415$ , ANOVA). (E) Reward schedule was shown to influence mean Lose-Shift likelihood ( $F(3,46) = 2.492$ ,  $p = 0.0719$ , ANOVA), and
- 14 this effect was driven by a higher LS likelihood under the deterministic versus probabilistic schedules ( $p = 0.0104$ , post-hoc Tukey test). Error bars denote 95% confidence intervals.

**Figure 2**

2 Behavior Over Blocks in the Two-Armed Bandit Task

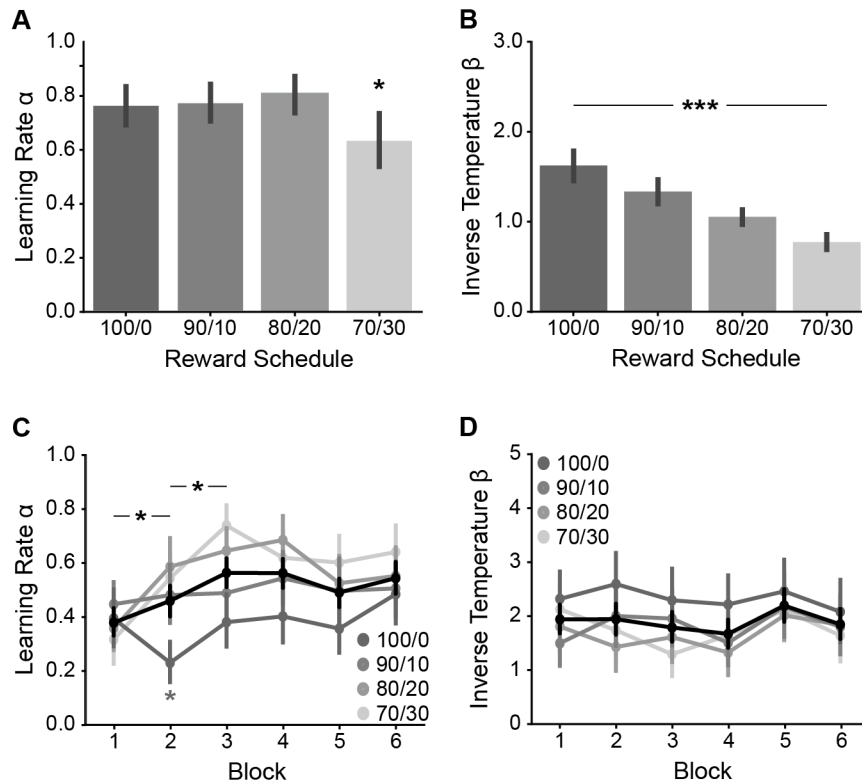


(A) Block number had an effect on mean accuracy early in the session ( $F(5,74) = 3.34$ ,  $p = 0.00895$ , ANOVA). Specifically, there was a difference between the first and second blocks in all reward schedules ( $p = 0.0000304$ , post-hoc Tukey test). (B) Average WS likelihood increased across blocks early in the session.  $F(5,74) = 23.562$ ,  $p < 0$ , ANOVA). The first and second block were significantly lower than the subsequent four blocks ( $p < 0.0249$ , post-hoc Tukey test), and were different from each other ( $p = 0.000152$ , post-hoc Tukey test). (C) Although an ANOVA reported a block-dependent change in mean LS likelihood ( $F(5,74) = 3.056$ ,  $p = 0.0146$ , ANOVA), post-hoc testing did not indicate a difference between any two specific blocks. (D) The average probability of selecting the “correct” option increased across trials. In the second block, this probability increased comparatively slowly. (E) There was no difference in the mean number of perseverative errors following each reversal ( $F(4,64) = 0.593$ ,  $p = 0.669$ , ANOVA). (F) Reward schedule had no impact on mean changepoint ( $F(1,33) = 2.383$ ,  $p = 0.132$ , ANOVA) and there was no within-session effect of block ( $F(4,33) = 2.037$ ,  $p = 0.112$ , ANOVA). Significance: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . Error bars denote 95% confidence intervals.



### Figure 3

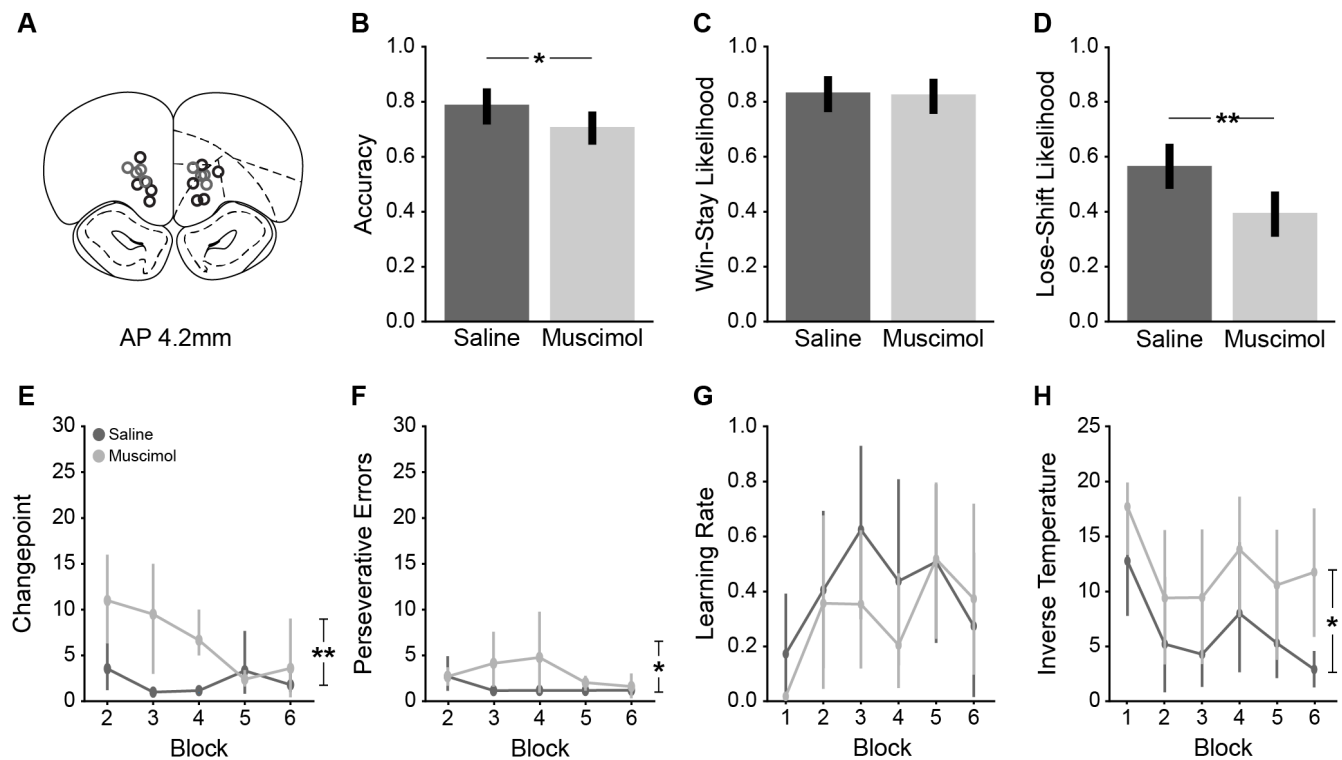
#### 2 Modelling of Behavior with Reinforcement Learning



(A) Learning rate was affected by reward schedule ( $F(3, 46) = 3.591, p = 0.0205$ , ANOVA),  
4 and the effect was specifically caused by slower learning under the most difficult 70/30  
6 schedule ( $p < 0.09$ , post-hoc Tukey test). (B) The median inverse temperature across rats  
8 decreased with increasing uncertainty ( $\chi^2(3) = 71.976, p = 1.611e-15$ , Kruskal-Wallis rank  
10 sum test). (C) An additional RL model was fit to each of the first six blocks individually and  
found evidence that learning rate varied by block ( $F(5,65) = 9.321, p = 9.92e-07$ , ANOVA).  
(D) There was no effect of block on mean inverse temperature ( $F(5,65) = 1.543, p = 0.174$ ,  
ANOVA). Significance: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . Error bars denote 95%  
confidence intervals.

**Figure 4**

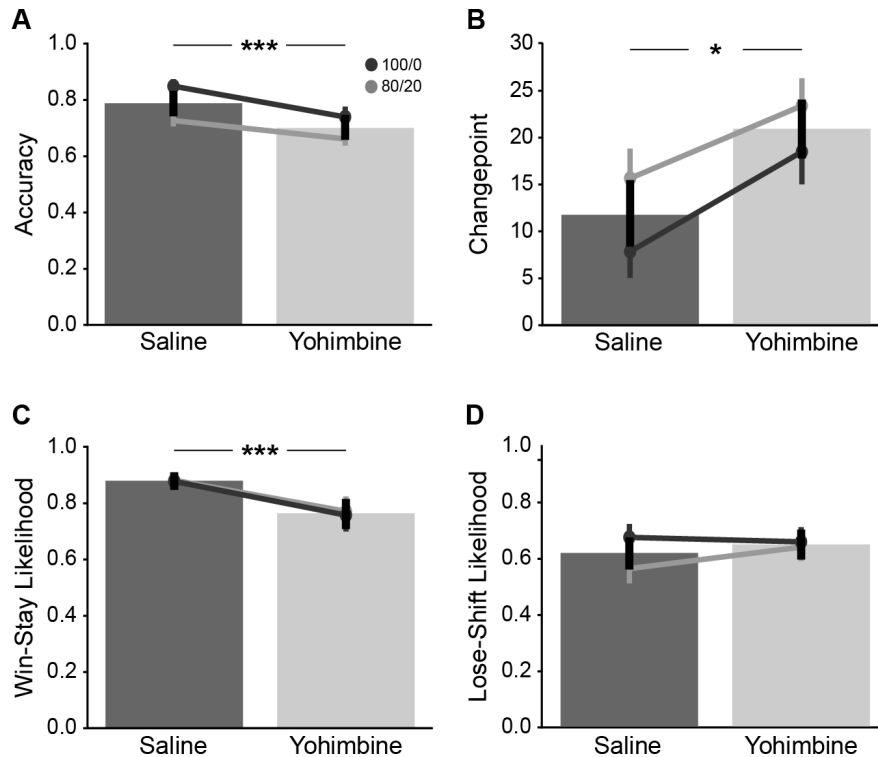
2 *Inactivation of mOFC Decreased Sensitivity to Negative Feedback*



(A) Bilateral guide cannulae were implanted in mOFC and all animals were tested under  
4 muscimol (reversible inactivation). Infusion locations highlighted in blue were also tested  
with intra-cortical yohimbine. (B) Inactivation of mOFC resulted in a decrease in accuracy  
6 ( $F(1,8) = 7.979$ ,  $p = 0.0223$ , ANOVA). (C) Inactivation did not affect Win-Stay likelihood ( $F(1,8)$   
= 0.085,  $p = 0.778$ , ANOVA). (D) Lose-Shift likelihood decreased following mOFC  
8 inactivation ( $F(1,8) = 12353$ ,  $p = 0.00763$ , ANOVA). (E) Inactivation increased changepoint  
( $F(1,14) = 9.695$ ,  $p = 0.00762$ , ANOVA). (F) There was a slight increase in perseveration  
10 following mOFC inactivation ( $F(1,32) = 5.513$ ,  $p = 0.0252$ , ANOVA). (G) Inactivation of mOFC  
did not impact learning rate ( $F(1,16) = 0.553$ ,  $p = 0.468$ ). There was also no effect of block  
12 ( $F(5,32) = 0.868$ ,  $p = 0.513$ ). (H) Inactivation of mOFC did not affect inverse temperature  
( $\chi^2(1) = 0.43905$ ,  $p$ -value = 0.5076). However, there was a difference in inverse temperature  
14 when the RL model was fit by block ( $F(1,5) = 13.461$ ,  $p = 0.0145$ , ANOVA), with muscimol  
increasing the beta parameter. This effect did not interact with block ( $F(1,5) = 0.134$ ,  $p =$   
16 0.587, ANOVA). Significance: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . Error bars denote 95%  
confidence intervals.

## Figure 5

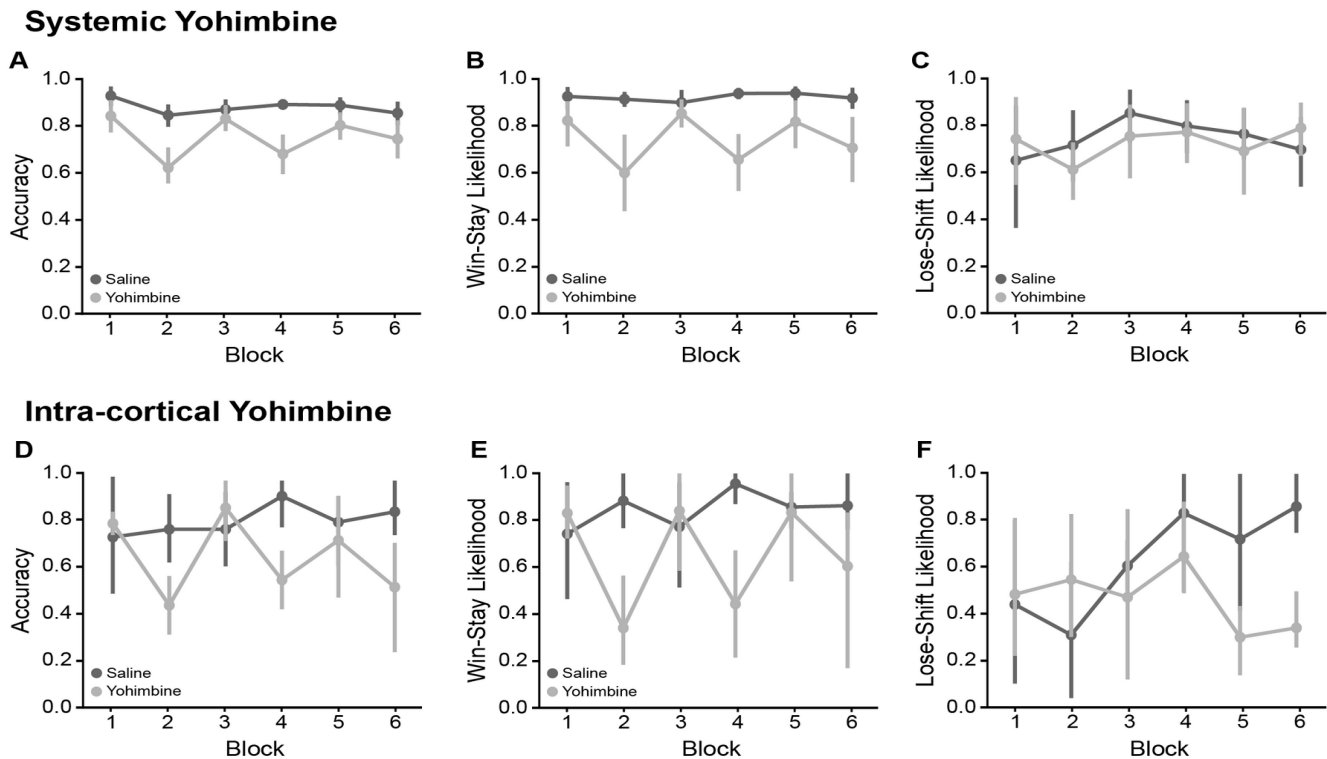
### 2 Systemic Yohimbine Reduced Sensitivity to Positive Feedback



Rats were challenged with a 2 mg/kg systemic injection of yohimbine or saline control and tested under deterministic (dark line) and 80/20 probabilistic (light line) reward schedules. (A) Yohimbine decreased accuracy compared to saline controls ( $F(1,10) = 29.03$ ,  $p = 0.000306$ , ANOVA). (B) Yohimbine increased changepoint compared to saline controls ( $F(1,124) = 4.664$ ,  $p = 0.03273$ , ANOVA). (C) Average WS likelihood decreased in both reward schedules under yohimbine as compared to control sessions ( $F(1,10) = 21.43$ ,  $p = 0.000936$ , ANOVA). (D) There was no change in mean LS likelihood under yohimbine ( $F(1,10) = 2.078$ ,  $p = 0.18$ , ANOVA). Significance: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . Error bars denote 95% confidence intervals.

**Figure 6**

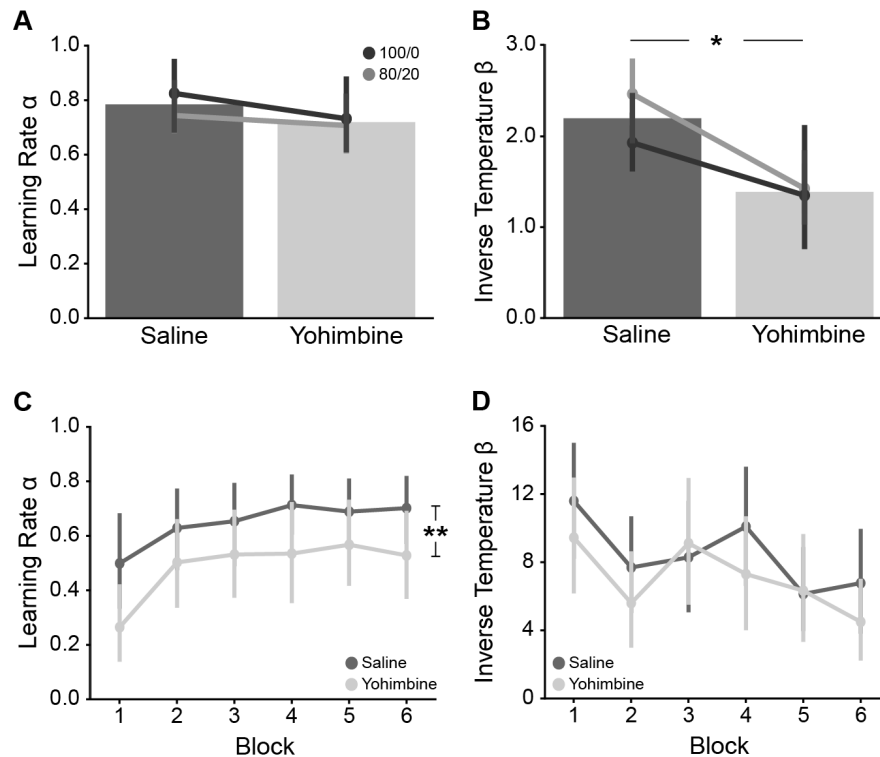
2 *Systemic yohimbine altered choice behavior under a deterministic reward schedule*



(A) Rats were more accurate in odd blocks, compared to even blocks in sessions with systemic yohimbine ( $t(10) = 4.275, p = 6.394 \cdot 10^{-5}$ , dependent t-test). (B) Rats were more likely to Win-Stay on odd blocks compared to even blocks ( $t(10) = 3.141, p = 0.0025$ , dependent t-test). (C) There was no difference in LS likelihood between even and odd blocks ( $t(10) = 0.260, p = 0.795$ , dependent t-test). (D) Intra-cortical yohimbine did not change mean accuracy compared to saline control on even blocks ( $t(3) = 1.958, p = 0.057$ , independent t-test). (E) Intra-cortical yohimbine did not affect WS likelihood on even blocks ( $t(3) = 1.789, p = 0.081$ , independent t-test). (F) Intra-cortical yohimbine did not affect LS likelihood ( $t(3) = -0.765, p = 0.45$ , independent t-test). Significance: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . Error bars denote 95% confidence intervals.

## Figure 7

### 2 Yohimbine Altered Learning Rate and Inverse Temperature



(A) Systemic yohimbine did not affect learning rate, measured over the entire session (F(1,10) = 2.162, p = 0.172, ANOVA). (B) Yohimbine decreased inverse temperature, measured over the entire session (F(1,10) = 6.31, p = 0.0308, ANOVA). (C) When the model was fit by block, yohimbine decreased learning rate (F(1,10) = 10.06, p = 0.00995, ANOVA). There was an effect of block on learning rate (Alpha: F(5,50) = 2.583, p = 0.0373, ANOVA), but no interaction with treatment (F(5,50) = 1.275, p = 0.289, ANOVA). (D) When the model was fit by block, yohimbine did not affect inverse temperature (F(1,10) = 3.067, p = 0.11, ANOVA). There was an effect of block (F(5,50) = 2.942, p = 0.021, ANOVA), but no interaction between treatment and block (F(5,50) = 1.421, p = 0.233, ANOVA). Significance: \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. Error bars denote 95% confidence intervals.