Land Use Cover changes in the western escarpment of Rift Valley in the Gamo Zone, Southern Ethiopia

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13 Abstract

LULC changes are caused by natural and human alterations of the landscape that could 14 largely affect forest biodiversity and the environment. The aim of the study was to analyzed 15 LULC change dynamics in the western escarpment of the rift valley of the Gamo Zone, 16 Southern Ethiopia. Digital satellite images downloaded from USGS were analyzed using 17 ERDAS Imagine (14) and Arc GIS 10.2 software and supervised image classification was 18 used to generate LULC classification, accuracy assessment and Normalized Difference 19 Vegetation Index (NDVI). Drivers of LULC change were identified and analyzed. Four land 20 classes were identified such as forest, farmland, settlement and water-wetland. Settlement and 21 farmlands have increased by 7.83% and 5.88%, respectively. On the other hand, both forest 22 and water bodies and wetland decreased by aerial coverage of 11.03% and 2.68%, 23 respectively. The overall accuracy of the study area was 92.86%, 94.22% and 94.3% with a 24 25 kappa value of 0.902, 0.92 and 0.922, respectively. NDVI values ranged between -0.42 to 0.73. Agricultural expansion (31.4%), expansion of settlement (25.7%) and Fuelwood 26 collection and Charcoal production (22.9%) were the main driving forces that jeopardize 27 forest biodiversity of the study area. Integrated land use and policy to protect biodiversity 28 29 loss, forest degradation and climate changes are deemed necessary.

- 30 Keywords: Landsat images, Land use/land cover, Change detection, Rift valley
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36 1. INTRODUCTION

Land use land cover change (LULCC) is a major issue of concern with regards to change in a 37 global environment [1]; changes are so pervasive such that, when aggregated globally, they 38 significantly affect key aspects of Earth System functioning[2,3]. This directly impacts 39 40 biodiversity throughout the world [4]; contribute to local and regional climate change [5] as well as to global climate warming [6]; are the primary sources of soil degradation [7]; and, by 41 altering ecosystem services, affect the ability of biological systems supporting human needs 42 [8]. Such changes also determine, in part, the vulnerability of places and people to climatic, 43 economic, or socio-political perturbations [9]. 44

The land is the major natural resource in which economic, social, infrastructure and other 45 46 human activities are undertaken [10]. Thus, changes in land use that has occurred at all times in the past, currently on-going, and is likely to continue in the future [11, 12]. These changes 47 48 have beneficial or detrimental impacts, the latter being the principal causes of global concern as they impact human well-being and safety [13; 3]. LULC changes are widespread, 49 accelerating, and the trade-offs offset human livelihood [14]. The rapid growth and expansion 50 of urban centers, population pressure, scarcity of land, changing technologies are among the 51 many drivers of LULC in the world today [15]. 52

[16] Stated that land cover change occurs through conversion and intensification by human 53 intervention, altering the balance of an ecosystem, generating a response expressed as system 54 changes. For centuries, humans have been altering the earth's surface to produce food through 55 agricultural activities [17]. In the past few decades, the conversion of grasslands, woodlands, 56 57 and forests into croplands and pastures has risen dramatically, especially in developing 58 countries where a large proportion of the human population depends on natural resources for their livelihoods [17, 18, and 19]. The increasing demand for land and related resources often 59 results in changes in land use/cover [16] and it has local, national, regional and global causes 60 and implications [20]. 61

In Africa, forests cover about (21.4%) of the land area which corresponds to 674 million
hectares and in Eastern Africa alone approximately 13% of the land area is under forests and

woodlands [21]. [22] noted that close to 40% of Ethiopia might have been covered by high
forests and that about 16% of the land area was covered by high forests in the early 1950s
(EFAP 1994). In the early 1980s the high forest cover of Ethiopia declined to 3.6% and
further declined to 2.7 % in 1989 [23]. The recent estimate of the land cover of Ethiopia that
could qualify as 'forests' which includes high forests, woodlands, plantations, and bamboo
forests adds up to 15% [24].

Land cover change occurs naturally in a progressive manner but, could sometimes be rapid 70 and abrupt due to anthropogenic activities [25]. Vegetation cover change is a process in 71 72 which the level of diversity and the density of individual species that makes up the natural vegetation structure are altered as a result of natural and human-induced pressure [26; 27]. 73 Vegetation change mapping and monitoring are useful when changes in the vegetation 74 attributes of interest result in detectable changes in image radiance, emittance, or microwave 75 76 backscatter values [28]. Many research results in Ethiopia indicate some of the critical threats to forests that need to be seriously addressed. One of these is land use/ cover changes [29, 30] 77 78 and 31]. There is a dearth of LULC change detection studies in the study area and hence, the present study aims to evaluate and analyze LULC change detection at the southwest 79 80 escarpment of the rift valley of Gamo Zone, Southern Ethiopia.

81 **2. MATERIALS and METHODS**

82 2.1 Description of the Study Area

The study was carried out in the western escarpment of the rift valley of the Gamo Zone,
Southern Ethiopia. Gamo Zone is bordered by Dirashe Special Woreda in the South, Gofa
Zone in the NW, Dawro and Wolayita Zones in the north, Lake Abaya and Chamo in the NE,
South Omo in the South and Amaro Special Woreda in the SE (Figure 1). Araba Minch town
is the administrative center of Gamo Zone.

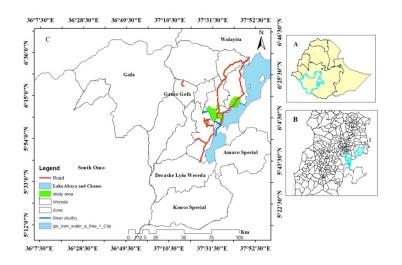
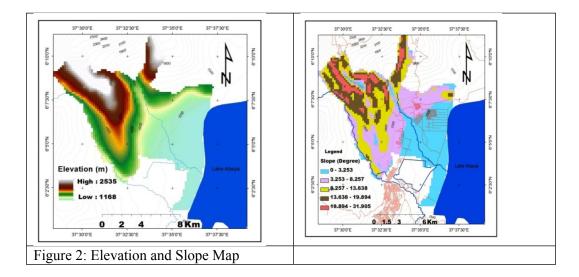


Figure 1: Location map for the study area (A = Ethio-Region, B = Gamo Zone, C =Study area
(surrounded Zone, Lake Abaya and Chamo, Rivers and Roads all weathered)) (Source: Arc GIS 10.2
and CSA)

The study area consists of plains and hillsides of the Gamo mountain ridge between 6°05'N to 6°12'N and 37°33'E to 37°39'E. The elevation of the area ranging from 1168 m to 2535 m a.s.l and the slope of the forest ranges between 0 to 32 degrees (Figure 2). The total population in the study area is estimated to be 195,858 in the 2019 projection population (CSA, 2019) (Table 1). Drainage in the study area is seasonal and many streams from the mountain chains merge to form the Kulfo and Hara rivers which eventually join the western escarpment of the Central Rift Valley to Lakes (Chamo and Abaya).



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100 **Population**

101 The total population of the study area was increased in the three successive periods (1999,

102 2009 and 2019) (Table 1) (CSA, 2019).

	Arba Minch Zur			
Year	М	F	Total	
1999	58,062	55,468	113,530	
2009	82,751	82,929	165,680	
2019	97,905	97,953	195,858	projection population

103	Table 1: Total Pop	oulation of the study area	a from 1999-2019	(CSA, 2019)

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105 Geology and soil

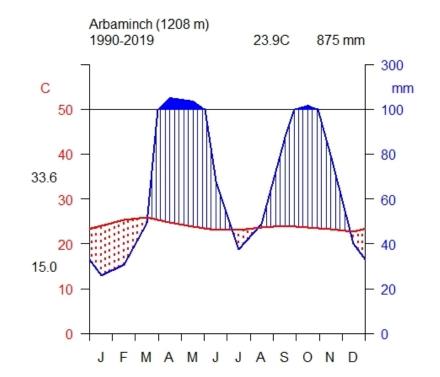
The geology of the Rift-valley escarpment is mainly quaternary volcanic alluvial deposits and 106 lacustrine clay. Forest and the state farm are composed of three main types: Fluvisols, 107 108 Gleysols and Vertisols. Fluvisols consist of soil materials developed in alluvial deposits and flood plains [32]. The Rift valley floor near Lake Abaya and Chamo is filled with alluvial 109 sediments. The bedrock in the region consists of basalt, trachyte, rhyolite, and ignimbrite and 110 the western edges of Lake Abaya are covered by approximately 1 to 2-km wide plain of 111 lacustrine and swamp deposits [33]. The topsoil textural classes of major soils in its spatial 112 distribution are mainly dominated by clay loam, light clay, loam sand and sandy clay loam 113 114 based on USDA classification.

115 Vegetation Cover

116 According to [34], the study area is characterized by complex vegetation types such as 117 *Combretum-Terminalia* woodland vegetation, *Acacia-Commiphora* woodland vegetation and 118 Dry evergreen Montana forest. The most common tree species in the study area are 119 *Terminalia brownii, Combretum molle, Ziziphus mucronata, Pappea capensis, Cadaba* 120 *farinosa, Vachellia and Senegalia Acacia species, Balanites aegyptiaca, Commiphora* 121 *abyssinica, Rhus natalensis, Olea europaea, Psydrax schimperiana, Acokanthera schimperi,* 122 *etc.*

123 Climate

The study area has a bimodal rainfall type. Maximum and minimum mean annual rainfall during 1999-2019 was 1141.1 mm and 491.8 mm, respectively (Figure 3). The maximum and minimum mean annual temperature was 33.6°C and 15°C, respectively (Figure 3) [35].



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Figure 3: Annual Max. and Min. temp.in ^oC and rainfall in mm (1990-2019)

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131 **2.2 Data types and sources**

Primary and secondary data were used: Ground control points (GCP) for ground truth were collected as primary data using handheld GPS. Secondary data include Landsat Thematic Mapper (TM) for the year 1999, ETM+ for the year 2009 and Landsat 8 Operational Land Imager (OLI) images for the year 2019 acquired from United States Geological Survey online imagery portals (http:// glovis.usgs.gov). Other Geo-spatial data include Shapefiles and topographic maps collected from the Central Statistical Agency (CSA) and Ethiopian Mapping Agency (EMA) for extraction and delineation of area of interest (Table 2).

Acquisition	Sensors	Path and	Spatial	Number of	Format	Source
data		Row	Resolution	bands		
01/05/1999	ТМ	169, 56	30m	7	TIFF	USGS
01/05/2009	ETM ⁺	169,56	30m	8	TIFF	USGS
01/05/2019	OLI	169,56	30m	11	TIFF	USGS

139 Table 2: Remote sensing data of the study

141 **2.3 Land-use change assessment (1999–2019)**

Digital satellite images were processed classified and analyzed using ERDAS Imagine (14). 142 Computations of the area and changes in land use categories were made using Arc GIS 10.2 143 144 software analytical tools. Pre-processing of satellite images was done to create a more faithful representation of the original scene. An intensive pre-processing such as geo-referencing, 145 layer-stacking, resolution merge, and sub sets were carried out to Ortho-rectify the satellite 146 images into UTM coordinates (WGS, 1984) and to remove disturbances such as haze, noise, 147 steep slope effect, and radiometric variation between acquisition dates. A stacked satellite 148 image of the study area was extracted by clipping the Area of Interest (AOI) layer of the 149 Gamo shapefile in ERDAS 14 software. 150

The satellite image was classified using the supervised image classification technique and 151 employed pixel-based supervised image classifications with the maximum likelihood 152 classification algorithm [36] to produce LULC maps of the study area. Appropriate band 153 154 combinations were obtained and the signatures were used for the supervised classification. Land cover change detection for the study area was monitored at three intervals: 1999 2009, 155 156 2009 2019 and 1999 2019. Supervised classification into four land classes were categories and distinguished into farmlands, forest lands, settlement, water bodies and wetlands (Table 157 158 3).

Class name	Description
Farmlands	Areas used for crop cultivation (Maze, teff, Banana, Mango, etc.).
Dense forest scattered forest and woodland	This habitat is dominated by trees characterized by a multi-storeyed nature with the crown cover of almost 10-50%
Settlement	Different settlements (villages) associated with building
Water_wetland	areas where water cover and may support both aquatic and wetland species

Table 3: Characteristics of land cover classes

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161 **2.4** Accuracy analysis

162 Since image classification without accuracy assessment is incomplete [37], accuracy 163 assessment for the images was carried out. The accuracy of the classification was assessed 164 using producers, users and overall methods of accuracy assessment. The overall accuracy, as 165 well as Kappa statics, was calculated based on the GCP collected from the identified land-use 166 types. Kappa statics was calculated by the following equation:-

4.67	$Kappa = \frac{(observed Agreement - Expected Agreement)}{1 - Expected Agreement}$ (1)
167	$Kappa = \frac{1 - Expected Agreement}{1 - Expected Agreement} \dots \dots$
168	2.5 Land use land covers change detection
169	The LULC maps of three years showing period's with a range of ten years in between (1999,
170	2009 and 2019) were generated from the satellite imageries using supervised maximum
171	likelihood classification. To analyze the land cover structural changes in the study area the
172	table showing the area in hectares and percentage changes between the periods 1999_2009,
173	2009_2019 and 1999-2019 were measured for each LULC type. Change detection was
174	calculated by:-
175	R = Q2 - Q1/t(2)
176	Where, $R = Rate$ of Change, $Q_2 = Recent$ year forest cover in ha
177	Q_1 = Initial Year forest cover in ha and
178	t = Interval year between Initial year and Recent year
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180 181	2.6 Vegetation index
182	Normalized Difference Vegetation Index (NDVI) is one of the indicators commonly used to
183	detect the vegetation cover of the earth's surface i.e. spectral change detection method. NDVI
184	values were calculated on composite image and used band 3 (Red) and 4 (Near Infrared) for
185	Landsat 7, and band 4 (Red) come with band 5 (Near Infrared) for Landsat 8. NDVI
186	approaching calculation of greenness degree of image correlates with vegetation crown
187	density. NDVI correlates with chlorophyll content and its value is between -1 to 1. NDVI is

- 188 calculated as:
- $NDVI = \frac{NIR R}{NIR + R}$ 190(3)

191 Where: NDVI = Normalized Difference Vegetation Index, NIR=Near Infra-Red Band R=

192 Red Band

193 2.7 Drivers of LULC changes

194 LULC changes are influenced by a number of driving factors. In the study area, human 195 activity is often mentioned as the major driver of LULC Changes. For a better understanding 196 of LULC changes data were collected including field observation, focused group discussion

(FDG) and key informant interview (KII). KII and FGD were selected based on the 197 recommendation of local community leaders and agriculture extension workers. The 198 participants included elders (male and female), agriculture extension workers and youth 199 jobless. The informants were asked for their consent to participate in the discussion were then 200 given clear information about LULC changes in the study area. Data were analyzed using 201 IBM SPSS version 20. 202

3. RESULTS AND DISCUSSION 203

3.1 Land use land covers classification 204

The Four land classes identified in the study include forest, farmland, settlement and water 205 bodies and water-wetlands. The land use land cover categories in Figure 4 show that forest 206 207 land class has progressively decreased while farmlands and settlement increased from 1999 2019. 208

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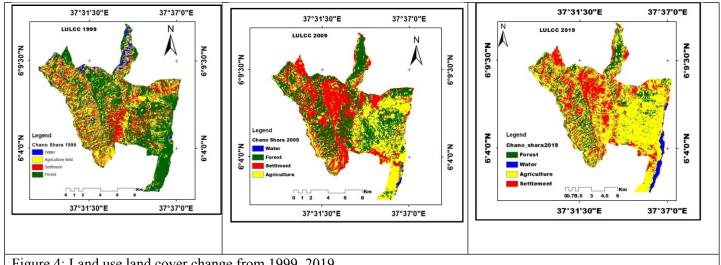


Figure 4: Land use land cover change from 1999 2019

Similar results were reported by [38; 39; and 40] showing that farmlands in the Rift Valley of 210 Ethiopia have expended as a result of population pressure.[41] has shown that more than 4/5 211 of the total terrestrial productive land in the Ethiopian Central Rift Valley was lost to 212 agriculture. Conversions to other land use types have been observed and the image 213 classification shows a clear conversion of land covers into farmland and settlement (Table 4). 214

215 3.2 Land use land covers change

Results revealed that the extent of land cover changes from forest to farmland in the last three 216 decades was rapid. The decline of water bodies and wetlands was not as dramatic as the loss 217 of forests (Table 4). The conversion of farmlands to settlements was equally high. Similar 218

results were reported by [42 and 43] in the Finchaa Catchment, North-western Ethiopia and Abijata Shalla National Park, respectively. This is due to small-scale irrigation by pumping water from the lakes and rivers for income generation through the production of fruit and vegetables. [44] also showed that urban settlements and farmland expansion gained the most in the area compared to other LULC types, while forest areas exhibited a decreasing trend. Demand for food and grazing land for the growing population appears to be the driving factors.

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Table 4: Land use land covers change (1999_2019)

Area	Area (ha) (1999_2009)	%	Area (ha) (2009_2019)	%	Area (ha) (1999- 2019)	%
Forest - Agriculture	1416.156	11.4	2671.36	21.51	1878.42	15.13
Forest - Settlement	500.144	4.03	284.27	2.29	376.529	3.03
Agriculture - Forest	155.922	1.26	105.00	0.85	50.315	0.41
Agriculture-Settlement	142.651	1.15	408.7	3.29	376.529	3.03
Agriculture - Water	235.9683	1.9	166.1	1.34	232.268	1.87
Water - Agriculture	384.342	3.09	401.85	3.24	277.00	2.23

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234 **3.3 Land use land covers change detection**

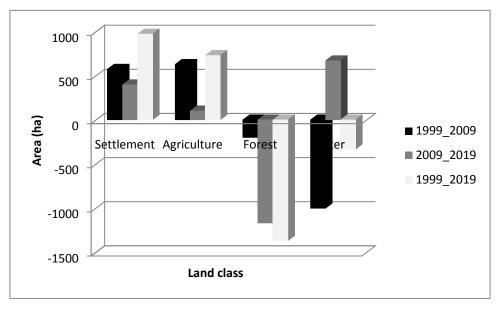
LULC change detection was showing that the areal coverage of settlement and farmlands increased. On the other hand, both forest and water_wetland were decreased by an aerial coverage (Table 5). This was due to the conversion of forest and water_wetland, to settlement and farmlands increased and also Lake Abaya might be fluctuated increased and or decreased its volume, but mostly at the expense of forest lands (Table 5). [44] shown that urban settlements and farmland expansion gained the most in the area compared to other LULC

- types, while forest areas exhibited a decreasing trend (Figure 5). Demand for food and
- grazing land for the growing population seems the probable driving force, among others.

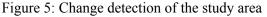
Land class	Rate change	Rate change $(r=Q2-Q1/t)$						
	1999_2009		2009_2019		1999_201	9		
	ha	%	ha	%	ha	%		
Settlement	574.17	4.6	398.45	3.2	972.63	7.83		
Agriculture	628.62	5.06	101.1	0.81	729.72	5.88		
Forest	-199.95	-1.61	-1169.79	-9.42	-1369.74	-11.03		
Water	-1002.83	-8.08	670.23	5.4	-332.6	-2.68		

Table 5: Land use land covers change detection from 1999 to 2019

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247 **3.4 Overall accuracy assessment (1999, 2009 and 2019)**

The accuracy of image classification was checked with an accuracy matrix using 140, 173 248 and 158 randomly selected control points, respectively. The accuracy assessment was 249 250 performed using land-use maps, ground truth points and Google Earth. Three periods (1999, 2009 and 2019) land use classification have shown, user's accuracy and producer's accuracy 251 are greater than 85%, as well the overall accuracy of 92.86%, 94.22% and 94.3% (Table 7,8 252 and 9), respectively (Table 6, 7 and 8). These values indicate the LAND SAT images and the 253 methodologies used were so accurate. The Kappa coefficient was also calculated, with a 254 value of K = 0.9, which indicates that the classification is almost perfect since it is greater 255 than 0.8. [45] argued that overall accuracy values greater than 0.8 indicate in the Landsat and 256 the methodologies used to have high accuracy. 257

Table 6: Overall accuracy of the study area (1999)

	Ground truth					
Land class	Settlement	Agriculture	Forest	Water	Row Total	User's Accuracy
Settlement	50	2	1	0	53	94.34 %
Agriculture	0	29	0	1	30	96.67%
Forest	2	2	26	0	30	86.67%
Water	0	1	1	25	27	92.6%
Column Total	52	34	28	26	140	
Producers Accuracy	96.15%	85.3%	82.86%	96.15%		92.86%

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- 260 Overall Classification Accuracy = $\underline{92.86\%}$
- 261 KAPPA (K^) STATISTICS
- 262 Overall Kappa Statistics = 0.902
- **263 Table 7:** Overall accuracy 0f the study area (2009)

	Ground truth						
	Settlement	Agriculture	Forest	Water	Row	User's	
Land class					Total	accuracy	
Settlement	47	2	1	0	50	94%	
Agriculture	1	60	2	0	63	95.25%	
Forest	1	1	36	0	38	94.74%	
Water	0	1	1	20	22	90.91%	
Column	49	64	40	20	173		
Total							
Producers	95.92%	93.75%	90%	100%		94.22%	
Accuracy							

- 264 Overall Classification Accuracy =<u>94.22%</u>
- 265 KAPPA (K[^]) STATISTICS
- 266 Overall Kappa Statistics = 0.92
- 267
- **Table 8:** Overall accuracy of the study area (2019)

	Ground truth						
Land class	Settlement	Agriculture	Forest	Water	Row Total	User's accuracy	
Settlement	54	2	1	0	57	94.74%	
Agriculture	1	40	1	0	42	95.25%	

Forest	1	1	30	0	32	93.75%
Water	0	1	1	25	27	92.59%
Column	56	44	33	25	158	
Total						
Producers	96.43%	90.91%	90.91%	100%		94.3%
Accuracy						

269 Overall Classification Accuracy = 94.3%

270 KAPPA (K[^]) STATISTICS

271 Overall Kappa Statistics = 0.922

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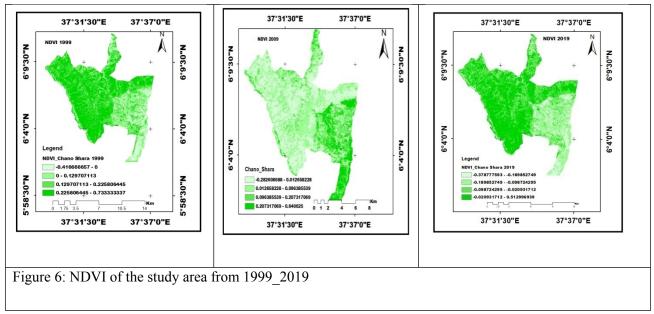
3.5 Normalized difference vegetation index (NDVI)

The statistics and visual observation of the NDVI images over three successive periods 275 (1999, 2009 and 2019) showed that major land cover changes have taken in the study area 276 (Figure 7). The threshold value of NDVI was approximately 0.73 (Figure 6). The pixels 277 278 having an NDVI value above the threshold were identified as vegetated areas, while low 279 NDVI values represented non-vegetated areas. For non-vegetated areas, we found that the water bodies were represented by low NDVI values, ranging from -0.28 to -0.42, while the 280 281 pixels having NDVI values in the range of 0.51 to 0.73 were considered as vegetation cover areas (Table 9). NDVI analysis has proven that there had been changes in vegetation cover 282 283 between 1999 and 2019 images and higher values were recorded in the period 1999 in the 284 study area.

Table 9: NDVI result of the study area

Statistics	1999	2009	2019
$NDVI = \frac{(NIR - R)}{NIR + R)}$			
Low	-0.42	-0.28	-0.37
High	0.73	0.64	0.51
Mean	0.18	0.059	-0.21
SD	0.11	0.095	0.11

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288 **3.6 Drivers of LULC changes**

289 The results of FGD and KII reveal the five major direct driving forces (Table 10). Among

these, agricultural expansion account, expansion of settlement and Fuelwood collection and

- 291 Charcoal production take large shares.
- **292 Table 10**: Proximate causes of LULC changes

N <u>o</u>	Driver	Frequency	%	Rank
1	Fuelwood collection, tree cutting and Charcoal production	8	22.9	3
2	Agricultural expansion	11	31.4	1
3	Expansion of settlement	9	25.7	2
4	Fire	2	5.7	5
5	Overgrazing	5	14.3	4
	Total	35	100	

The demographic data of the study area over the past three decades has revealed that 293 population pressure ranked as the top cause of LULC changes (Table 11) [46]. The work of 294 Lambin *et al* (2003) show that impact human population pressure is causing the accelerated 295 conversion of natural habitats into agricultural and settlement areas to meet the mounting 296 demand for food and housing. In Ethiopia, resettlement and villagization programs during the 297 Military Government had made a significant contribution to the expansion of settlements and 298 agriculture. Due to the low policy enforcing capacity of the then government landless farmers 299 cleared forests and occupied as much land as possible to increase the chances of land 300 301 ownership.

No	Driver categories	Frequency	%	Rank	
1	Demographic	13	37.1		1
2	Biophysical	8	22.9		3
3	Economic	10	28.6		2
4	Institution and policy	4	11.4		4
	Total	35	100		

302	Table 11: Underlying causes of LULC changes
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304 **3.7 CONCLUSION and RECOMMENDATIONS**

There were four land classes in the study area including forest, farmland, settlement and water bodies and wetlands. The changes observed in 2009 and 2019 were more rapid than that in 1999 the expansion of small-scale irrigated farmlands for fruit and vegetable production. Field observations, KII and focus group discussant confirmed that the main cause of LULC changes in the study area was the expansion of farmland and settlement. On the other hand, demographic, economic and biophysical conditions were indirect driving forces of LULC changes.

Linking participatory forest management with an institution and strong monitoring policies, 312 313 green legacy and creating awareness to local people is hopped to improve the current status forest biodiversity and environment of the study area. Furthermore, the land use policy and 314 environmental rehabilitation policies of the country need to be revised to include biodiversity 315 hotspots and sequestration of carbon for carbon trading. The environmental trade-offs of fruit 316 and vegetable productions that fetch good economic income must be mitigated through 317 payment for ecosystem services that can be channeled for payment to the workforce involved 318 319 in green legacy and environmental rehabilitation. Furthermore, promoting none agricultural economy to the jobless youths and creating forest reserved areas with a buffer zone of the 320 study area. 321

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