

1 **A combination of two human neutralizing antibodies prevents SARS-CoV-2 infection in**
2 **rhesus macaques**

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38 **ABSTRACT**

39

40 Human monoclonal antibody (mAb) treatments are promising for COVID-19 prevention, post-
41 exposure prophylaxis, or therapy. However, the titer of neutralizing antibodies required for
42 protection against SARS-CoV-2 infection remains poorly characterized. We previously
43 described two potently neutralizing mAbs COV2-2130 and COV2-2381 targeting non-
44 overlapping epitopes on the receptor-binding domain of SARS-CoV-2 spike protein. Here, we
45 engineered the Fc-region of these mAbs with mutations to extend their persistence in humans
46 and reduce interactions with Fc gamma receptors. Passive transfer of individual or combinations
47 of the two antibodies (designated ADM03820) given prophylactically by intravenous or
48 intramuscular route conferred virological protection in a non-human primate (NHP) model of
49 SARS-CoV-2 infection, and ADM03820 potently neutralized SARS-CoV-2 variants of concern
50 *in vitro*. We defined 6,000 as a protective serum neutralizing antibody titer in NHPs against
51 infection for passively transferred human mAbs that acted by direct viral neutralization, which
52 corresponded to a concentration of 20 µg/mL of circulating mAb.

53

54 INTRODUCTION

55 In the past decades, two pathogenic human coronaviruses, severe acute respiratory syndrome
56 (SARS-CoV) and Middle East respiratory syndrome CoV (MERS-CoV), have been reported to
57 cause severe respiratory tract disease associated with high morbidity and mortality. In December
58 2019, the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) emerged in Wuhan,
59 Hubei province, China (Wang et al., 2020). SARS-CoV-2 is the causative agent of the current
60 worldwide COVID-19 outbreak. The pandemic caused by COVID-19 has made the development
61 of countermeasures an urgent global priority (Chan et al., 2020; Chen et al., 2020a; Li et al.,
62 2020; Wu et al., 2020a; Zhou et al., 2020). Safe and effective vaccines and therapeutics are
63 essential to combat this global pandemic.

64
65 Initial work identified that SARS-CoV-2 uses the angiotensin-converting enzyme 2 (ACE2)
66 protein from bats, civet cats, swine, non-human primates, or humans as an attachment and entry
67 receptor (Letko et al., 2020; Wan et al., 2020; Zhou et al., 2020). As with related coronaviruses,
68 interaction with ACE2 is mediated principally through the viral spike (S) protein. Hence, S on
69 the surface of the virion is the main target for neutralizing antibodies on these coronaviruses.
70 This homotrimeric glycoprotein is anchored in the viral membrane and consists of two subunits,
71 S1, containing the N-terminal domain (NTD) and host cell receptor binding domain (RBD), and
72 S2, which contains the fusion peptide (Walls et al., 2020; Wrapp et al., 2020). The S protein
73 RBD directly interacts with the peptidase domain of ACE2 (Letko et al., 2020; Wan et al., 2020;
74 Wrapp et al., 2020; Zhou et al., 2020). Recent studies of the S protein structure have shown that
75 the protein exists in different conformations (Cai et al., 2020; Walls et al., 2020). Initially, the
76 RBD switches from a closed conformation to an open conformation to allow hACE2 interaction.

77 Upon interaction with the hACE2 receptor and TMPRSS2 priming, S2 undergoes a dramatic
78 conformational change to trigger host membrane fusion (Fan et al., 2020).

79

80 The RBD is the primary target of most potently neutralizing anti-SARS-CoV-2 antibodies
81 identified to date (Cao et al., 2020; Ju et al., 2020; Pinto et al., 2020; Rogers et al., 2020; Shi et
82 al., 2020; Wu et al., 2020b; Zost et al., 2020a). The RBD is also the main antigenic site for
83 neutralizing antibody responses in current and experimental COVID-19 vaccines (Chen et al.,
84 2020b; Mulligan et al., 2020; Zang et al., 2020). Previous studies established a non-human
85 primate (NHP) model for SARS-COV-2 infection (Chandrashekar et al., 2020; Yu et al., 2020)
86 demonstrating protection from viral infection by transfer of a high-dose of ACE2-blocking
87 monoclonal antibodies (Zost et al., 2020a). Currently available antibody therapeutics that have
88 received EUA from the FDA were approved for post-exposure treatment, not for pre-exposure
89 prophylaxis (FDA, 2020, 2021a, b). Prophylaxis with passive antibody therapy could be
90 important as an option for individuals at high risk of disease from SARS-CoV-2 infection who
91 cannot be adequately vaccinated, including immunocompromised individuals or others who
92 respond poorly to vaccination (AstraZeneca, 2021; Loo et al., 2021).

93

94 Here, we evaluated the prophylactic efficacy of low or moderate doses of two different human
95 mAbs targeting non-overlapping neutralization epitopes in the RBD domain (Zost et al., 2020a;
96 Zost et al., 2020b), which we assessed individually or in combination. It has been previously
97 shown that antibody cocktails can limit the risk of viral mutations that escape antibody
98 neutralization more efficiently than monotherapy (Baum et al., 2020b; Chen *et al.*, 2021b;
99 Greaney et al., 2021). The antibody COV2-2381 binds directly to the receptor-binding motif on

100 the RBD on an S protomer in the open position. In contrast, the antibody COV2-2130 binds a
101 non-overlapping site on the RBD that is accessible in either the open or closed S protomer
102 conformation. We engineered the Fc portion of these antibodies to contain mutations that extend
103 half-life (M252Y/S254T/T256E, designated YTE) (Richards et al., 1999; Uppal et al., 2015;
104 Wang et al., 2015) and also to reduce Fc γ receptor binding (L234A/L235A, designated LALA)
105 (Lund et al., 1991; Wines et al., 2000; Xu et al., 2000). One conceptual advantage of this
106 approach is that the use of these antibodies lacking Fc-mediated effects allowed us to assess the
107 level of serum neutralizing activity needed *in vivo* to achieve efficacy in the absence of
108 confounding variables. The resulting recombinant mAbs were designated mAb COV2-2130-
109 YTE-LALA and mAb COV2-2381-YTE-LALA, and a two-mAb cocktail that is a 1:1 mixture of
110 the two was designated ADM03820. The results demonstrate that ADM03820 protects against
111 challenge with SARS-CoV-2 in the lungs and nasopharynx in a dose-dependent manner, and
112 define titers of passively-transferred neutralizing antibodies that are necessary for protection in
113 NHPs. In addition, our results support the use of antibody cocktail that could be administered by
114 either intravenous or intramuscular route and that neutralizes SARS-CoV-2 variants of concern.
115 This work provides evidence for developing a cocktail of antibodies as prophylaxis against
116 SARS-CoV-2 in high-risk individuals.

117

118 **RESULTS**

119

120 **ADM03820 antibody cocktail is detected at primary sites of SARS-CoV-2 infection in NHPs**
121 **when administered by IV or IM routes (Study 1).** In this study, we used a rhesus macaque
122 SARS-CoV-2 challenge model for pre-clinical development studies of a prophylactic cocktail

123 ADM03820 comprising two engineered mAbs, COV2-2130-YTE-LALA and COV2-2381-YTE-
124 LALA. We first assessed the human antibody concentration in serum and at primary sites of
125 infection (*e.g.*, upper and lower respiratory tract mucosa) after 11.7 mg/kg intramuscular (IM) or
126 31.3 mg/kg intravenous (IV) administration of ADM03820 in rhesus monkeys (**Figure 1A**).
127 Circulating human mAbs were detected at high levels in serum on day 0 after administration
128 (median 193 µg/mL after IM or 520 µg/mL after IV administration) and persisted in serum for
129 >80 days, exhibiting a slow and gradual decline. The median human IgG serum concentration
130 was 9 µg/mL on day 84 after IM or 26 µg/mL after IV administration (**Figure 1B**). Notably,
131 ADM03820 antibodies also were detected in respiratory tract secretions, including
132 bronchoalveolar lavage (BAL) and nasopharyngeal (NP) swabs up to 60 days after
133 administration and at concentrations ranging from 10 ng/mL (the assay limit of detection) to 270
134 ng/mL (**Figure 1C,D**). The concentration of human antibodies in these secretions *in vivo* prior to
135 collection is expected to be higher, given that specimen collection from the mucosa sites with
136 saline washes resulted in antibody dilution.

137

138 **ADM03820 antibody cocktail potently neutralizes variants of concern.** ADM03820 exhibited
139 broad and potent neutralizing activity *in vitro* with half-maximal inhibitory concentration values
140 <25 ng/mL, including potent neutralization of viruses representing wild-type SARS-CoV-2
141 WA1/2020 with or without D614G mutation, authentic B.1.1.7 virus, authentic B.1.617.2 virus,
142 and chimeric Wash-B.1.351 and Wash-B.1.1.28 viruses, which contain an S gene from B.1.351
143 or B.1.1.28, respectively, in the backbone of WA1/2020 (Chen *et al.*, 2021a) (**Table 1**).
144 Collectively, these results showed prolonged persistence of administered human antibodies in

145 serum and respiratory mucosa at concentrations sufficient for neutralization of currently
146 circulating viral variants.

147

148 **Protective efficacy of ADM03820 in nonhuman primates (Study 2).** To evaluate the
149 protective efficacy of ADM03820, animals received various doses of the ADM03820 by either
150 IM or IV route followed by a viral challenge with 10^5 tissue culture infectious dose (TCID₅₀) 3
151 days later (**Figure 2A**). We then measured the circulating human antibody concentration in
152 serum and serum neutralizing titers up to day 14 following IM or IV administration. While
153 antibody concentration was below the limit of detection in the sham-treated group, animals in the
154 antibody-treatment groups exhibited mAb levels proportional to the dose and route of
155 administration of the combination product (**Figure 2B**). The antibody concentration in serum
156 peaked approximately three days post-administration and remained constant throughout the
157 remaining 14 days of the study.

158

159 We observed high circulating neutralizing antibody titers by pseudovirus neutralization assays in
160 all ADM03820 treatment groups but not in the sham-treated control group. However, sham-
161 treated control animals developed low-level neutralizing titers beginning around day 6,
162 presumably due to the induction of natural host immunity (**Figure 2C**). In general, the overall
163 neutralizing antibody titers were consistent with the pharmacokinetic data for the same treatment
164 groups.

165

166 We assessed the kinetics of viral loads up to day 14 following viral challenge in BAL and NP
167 swab samples by determining the levels of SARS-CoV-2 sub-genomic RNA (sgRNA), which

168 distinguishes replicating virus from input challenge virus, using reverse-transcriptase-polymerase
169 chain reaction (RT-PCR) (Chandrashekar et al., 2020; Wolfel et al., 2020; Yu et al., 2020). High
170 levels of sgRNA were observed in the sham controls (**Figure 2D-E**) with a median peak of 5.0
171 (range = 3.3 to 5.4) log₁₀ sgRNA copies/mL in BAL fluid and 6.9 (range = 4.9 to 7.3) log₁₀
172 copies per swab of sgRNA in NP. As expected, peak viral loads occurred between days 1 to 4
173 after challenge. All treatment groups showed nearly full protection from viral replication in the
174 BAL fluid, although individual animals displayed low-level, transient viral replication on day 1,
175 which was eliminated by day 2 (**Figure 2D**). Although somewhat higher sgRNA levels were
176 observed in some animals in the NP swabs on day 1, similar to BAL fluid, most treated animals
177 quickly eliminated detectable virus by day 2 (**Figure 2E**), with the exception of one animal in
178 the group receiving the lowest dose (3.9 mg/kg IM) and one animal in the group receiving 11.7
179 mg/kg dose.

180

181 **Protective efficacy of individual mAbs of the cocktail in nonhuman primates (Study 3).** The
182 next challenge study was conducted after prophylactic administration of the individual 2130-
183 YTE-LALA or 2381-YTE-LALA antibodies and was followed by quantitative serum antibody
184 levels and virologic protection measurements as in the challenge study above (**Figure 3A**). As
185 expected, the concentration of circulating human antibodies was below the level of detection in
186 the sham-treated group. In contrast, animals that received either mAb demonstrated
187 concentrations in serum proportional to the administered dose (**Figure 3B**). Peak antibody
188 concentration was observed within three days of administration and remained constant
189 throughout the study. Serum neutralizing titers of administered individual mAbs showed similar
190 peak and kinetics to those seen with the ADM03820 cocktail (**Figure 3C**). Sham-treated animals

191 showed low levels of neutralizing antibody activity by day six due to the host immune response
192 to SARS-CoV-2 infection (**Figure 3C**).

193
194 As evidenced by sgRNA levels, viral infection again was observed in all the sham-treated control
195 animals in both BAL fluid and NP samples (**Figure 3D,E**). For both treatment mAbs, most
196 animals quickly cleared virus by day two post-challenge after transient viral replication
197 regardless of dose or route of administration, except for one animal in the 1.95 mg/mL 2381-
198 YTE/LALA IM group (**Figure 3D**).

199
200 Similar levels of viral protection were observed in NP samples with the 15.65 mg/mL dose of
201 either individual antibody (**Figure 3E**) as was observed with similarly high tested doses of the
202 ADM03820 cocktail (**Figure 2E**). However, higher median viral loads were observed in the NP
203 samples for both antibody treatments at the low dose of 1.95 mg/mL. This dose is two-fold lower
204 than the lowest dose tested for the cocktail and likely represents viral breakthrough due to
205 insufficient neutralizing antibody levels.

206
207 **Protective efficacy of ADM03820 that administered by IM route at low doses (Study 4).** To
208 determine the minimally protective dose of the ADM03820 cocktail, animals were treated with
209 two-fold decreasing doses of the antibody cocktail across four treatment groups from 3.91 mg/kg
210 to 0.49 mg/kg by the IM route (**Figure 4A**). Circulating human antibody titers were not present
211 in sham-treated animals and were consistent with the administered dose in the treatment groups
212 (**Figure 4B**). The serum neutralizing antibody titer decrease was proportional to the administered
213 ADM03820 dose and was observed across all four treatment groups but not observed in sham

214 group animals (**Figure 4C**). BAL fluid viral load measurement suggested protection in the lower
215 airways at all tested antibody doses, including at the 0.49 mg/kg dose (**Figure 4D**). However,
216 increases in NP swab viral loads were seen across decreasing dose conditions, with no protection
217 observed in the 0.98 mg/mL or 0.49 mg/mL groups (**Figure 4E**). These results suggested that a
218 higher antibody dose would be necessary to control viral replication in the upper airways
219 following IM administration.

220

221 **Defining protective serum antibody concentration and neutralizing antibody titer in NHP**
222 **SARS-CoV-2 challenge model.** We next estimated a protective threshold for prophylaxis with
223 potent YTE-LALA Fc-region engineered human Abs that acted principally via direct virus
224 neutralization *in vivo*. We performed an overall analysis using data from challenge studies 2, 3
225 and 4 above by comparing human mAb concentration in serum or half-maximal neutralizing titer
226 values at the time of challenge to the time-weighted average values for the change of sgRNA
227 viral load in BAL fluid or NP swabs from day 1 to day 10 after viral challenge (see *Methods* and
228 **Table S1-2**). A threshold for virological protection in BAL fluid and NP was estimated to be
229 equal or higher than 20 µg/mL for circulating human antibody concentration and equal to or
230 higher than 6,000 for serum neutralizing antibody titer (NT₅₀) (**Figure 5A-D**). Antibody levels
231 above these thresholds conferred full protection in 83% to 93% of challenged NHP, which
232 contrasted with 17% to 50% fully protected animals with antibody levels below these estimated
233 protective thresholds (**Figure 5E**). Overall, our results suggested that high prophylaxis efficacy
234 can be achieved with the cocktail of two YTE-LALA Fc-region engineered human Abs
235 formulated as a cocktail ADM03820 and demonstrated the potential for IM delivery of human
236 antibody-based therapeutics for COVID-19.

237

238 **DISCUSSION**

239 These studies provide insights into both quantitative and qualitative aspects of the use of human
240 mAbs as medical countermeasures for COVID-19. First, we demonstrate the principle that
241 prophylaxis against infection in NHPs can be achieved using neutralizing antibodies engineered
242 to lack Fc-mediated functions. These data extend previous findings that demonstrated
243 prophylaxis efficacy for neutralizing mAbs with intact Fc-mediated functions in NHPs (Baum et
244 al., 2020a; Jones et al., 2021; Zost et al., 2020a; Winkler et al., 2021). Second, the data show
245 excellent protection by antibodies acting only by direct neutralization of virus and define the
246 protective level of serum neutralizing activity in the absence of confounding variables of Fc-
247 mediated effects. A threshold for virological protection in BAL fluid and NP secretions was
248 estimated to be equal to or higher than 6,000 for serum neutralizing antibody titer (NT₅₀), since
249 antibody levels above these thresholds conferred full protection in 83% to 93% of challenged
250 NHPs. This quantitative determination of a neutralizing titer as a direct mechanistic correlate of
251 protection has implications for estimating the durability of protection conferred by passive
252 immunization with antibodies (Loo et al., 2021) or active immunization with vaccines. The
253 failure to achieve serum neutralizing titers above this threshold likely explains the lack of limited
254 efficacy observed in most clinical trials of COVID-19 convalescent plasma (Begin et al., 2021;
255 Bradfute et al., 2020; Janiaud et al., 2021). Also, this quantitative threshold for correlate of
256 protection sheds light on the somewhat limited magnitude and durability of the humoral
257 immunity component of protection following natural infection or immunization. Third, the
258 studies also support a public health strategy of prophylaxis of high-risk individuals who cannot
259 be adequately vaccinated by using administration of neutralizing mAbs instead. Engineering of

260 the Fc region with YTE mutations to accomplish long-half extends the prophylactic efficacy of
261 the antibodies, predicted to last for at least several months. Fourth, we also assessed IM and IV
262 administration and found that IM administration was effective, which could allow a much easier
263 and more practical approach to administration of these antibodies at large scale in populations at
264 risk.

265

266 Numerous groups have reported the isolation of potently neutralizing antibodies from survivors
267 that target the RBD of SARS-CoV-2 S protein (Brouwer et al., 2020; Cao et al., 2020; Robbiani
268 et al., 2020; Rogers et al., 2020; Shi et al., 2020; Wec et al., 2020; Wu et al., 2020b). The studies
269 here support the further development of a two-mAb prophylactic anti-SARS-CoV-2 cocktail
270 (ADM03820) incorporating mAbs that target non-overlapping regions of the RBD (Zost et al.,
271 2020a; Zost et al., 2020b). The combination of engineered antibodies possesses desirable
272 features consistent with the objectives above, including long half-life, an effective IM
273 formulation, accumulation at respiratory mucosa following systemic administration, and a clear
274 mechanism of action purely through direct virus neutralization. The combination was shown
275 effective in a stringent rhesus macaque model for SARS-CoV-2 we previously developed with
276 high viral loads in the upper and lower respiratory tract, cellular and humoral immune responses,
277 and pathogenic evidence of viral pneumonia (Chandrashekar et al., 2020; Yu et al., 2020). In the
278 present study, we demonstrated that prophylactic administration of the two-mAb cocktail
279 ADM03820 for protection against SARS-CoV-2 infection in this animal model, reducing viral
280 loads in the upper and lower airways and accelerating virus clearance.

281

282 These antibodies include the YTE mutations in Fc region, which increase the serum half-life of
283 the mAbs (Dall'Acqua et al., 2006; Dall'Acqua et al., 2002; Yu et al., 2017) and the LALA Fc
284 mutations that were designed to decrease the Fc effector function by reducing interaction with
285 Fc γ receptors (Lund et al., 1991; Wines et al., 2000; Woodle et al., 1998; Yu et al., 2017) .
286 Studies in murine SARS-CoV-2 challenge models have demonstrated equivalently high
287 prophylactic efficacy by potently neutralizing RBD-specific mAb variants with intact or
288 abrogated Fc region-mediated effector functions (Winkler et al., 2021). Previous studies in a
289 similar NHP model have shown that COV2-2381 IgG with a conventional Fc region cleared the
290 virus infection, and no virus was observed when given at 50 mg/kg (Zost et al., 2020a). Here, the
291 addition of YTE and LALA mutations did not appear to reduce the ability of these mAbs to clear
292 SARS-CoV-2 infection in either the BAL fluid or NP swabs in rhesus macaques when
293 administered three days prior to challenge.

294
295 A lower serum antibody neutralizing titer (>100) was associated with protection by vaccines in
296 NHP SARS-CoV-2 challenge models (Corbett et al., 2020; McMahan et al., 2021; Yu et al.,
297 2020) and in human clinical trials (Anderson et al., 2020; Jackson et al., 2020; Khoury et al.,
298 2021) relative to the protective titer associated with mAbs (~6,000) that we defined here.
299 However, a similar protective titer against SARS-CoV-2 was identified in NHPs for a
300 combination of another combination of two neutralizing human mAbs in clinical development -
301 AZD7442 (Loo et al., 2021). Future studies are needed to determine if the lower serum
302 neutralizing antibody protective titer for COVID-19 vaccines relative to that achieved by passive
303 mAb transfer is due to targeting of multiple epitopes on the SARS-CoV-2 S, different anatomical
304 distribution of antibody responses, a contribution of Fc-mediated effector functions in the

305 polyclonal response, or complementary mechanisms of protection that are mediated by vaccine-
306 induced T cells.

307

308 The RBD sequence is highly variable in SARS-CoV-2, which may represent a selective
309 adaptation (Demogines et al., 2012; Frank et al., 2020; MacLean et al., 2020; Starr et al., 2020).

310 Our approach, to use a combination of two antibodies that do not compete for the same epitope,
311 could prevent the selection of escape mutant viruses that are likely inherent in monotherapy
312 approaches. Recent work in the context of SARS-CoV-2 has demonstrated that combinations of
313 two antibodies that do not compete for binding to the same region of the spike protein offer
314 higher resistance to escape mutations while protecting animals from SARS-CoV-2 challenge
315 (Baum et al., 2020a; Baum et al., 2020b; Weinreich et al., 2020; Zost et al., 2020a; Chen et al.,
316 2021b).

317

318 In prior NHP studies, mAbs typically were infused via IV administration. The studies presented
319 here demonstrate the efficacy of these antibodies either administered as a combination or alone
320 when administered by the IM route This approach could provide a more broadly deployable
321 route of administration for these antibodies to patients in clinical settings. In addition, the doses
322 that were efficacious in these studies translate to very low doses in humans compared to
323 conventional antibody therapies. The data generated in these studies provides strong evidence for
324 the continued development of these antibodies for clinical use.

325

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334

335 **Declaration of interests:**

336 R.R.C., R.V.H., D.M.S., M.H., B.H., L.C., G.N., M.T.T and K.H. are employees of Ology
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343 received sponsored research agreements from Takeda, IDBiologics and AstraZeneca. Vanderbilt
344 University has applied for patents related to antibodies studied in this paper. M.S.D. is a
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348 and Emergent BioSolutions.

350 **MATERIALS AND METHODS**

351

352 *Monoclonal antibodies*

353 The antibody COV2-2381 and COV2-2130 sequences have been previously described (Zost et
354 al., 2020a; Zost et al., 2020b). The antibodies were produced and purified as previously
355 described (Tomic et al., 2019). Briefly, stably transfected CHO cells expressing either COV2-
356 2130-YTE-LALA or COV2-2381-YTE-LALA were generated using Leap-In transposon vectors
357 (ATUM) containing the respective antibody heavy and light chain genes and a glutamine
358 synthetase gene as a selectable marker. Leap-In vectors were transfected into a CHO-K1 GS
359 knockout cell line (HD-BIOP3; from Horizon Discovery) and stably transfected pools were
360 selected using medium lacking L-glutamine. Manufacturing was performed under Good
361 Manufacturing Practices using stably transfected pools in large scale bioreactors and antibody
362 material was purified from harvested supernatants. The downstream processes consisted of 3
363 chromatography steps: 3) viral inactivation, 2) filtered viral reduction (Planova), and 3) an
364 ultrafiltration step to concentrate the product to the appropriate g/L. Both individual antibodies
365 and the combination were generated as cGMP-grade drug substance and drug product materials,
366 were provided at a concentration of 52 mg/mL and were stored at -80°C until day of
367 administration. On the day of administration, the stock vials were thawed at room temperature
368 (RT) and gently inverted 6 to 10 times to mix the contents. After thawing, the vials were stored
369 at RT until use. Based on individual animal weights and dose required, the purified antibody
370 stock for each NHP was diluted to 1 mL in 0.9% normal saline diluent (Baxter) for IM injections
371 and 10 mL in the same diluent for IV infusions. IM injections were delivered bilaterally in the

372 upper quadriceps at 0.5 mL/quadriceps. IV infusions were performed at a rate of 1 to 2 mL/min
373 over 5 to 10 min/animal for a total of 10 mL infused per animal.

374
375

376 *Animal studies*

377 All animals were maintained at Bioqual, Inc. (Rockville, MD) which is fully accredited by the
378 Association for Assessment and Accreditation of Laboratory Animal Care International
379 (AAALAC) and approved by the Office of Laboratory Animal Welfare (NIH/PHS assurance
380 number D16-00052). Studies were conducted in compliance with all relevant local, state, and
381 federal regulations and were approved by the Bioqual Institutional Animal Care and Use
382 Committee (IACUC). Cynomolgus monkeys (*Macaca fascicularis*) (2.2 – 5.8 kg body weight; 6
383 to 12 years old) were mixed male and female and randomly assigned to groups. In Study 1
384 (n=3/group), experimental animals received the ADM03820 cocktail of COV2-2130-YTE-
385 LALA and COV2-2381-YTE-LALA at either 11.7 mg/kg IM or 31.3 mg/kg IV and were
386 followed for 12 weeks for antibody pharmacokinetics only without any SARS-CoV-2 challenge.
387 In Study 2 (n=4/group), sham control animals received no mAb while 12 experimental animals
388 were administered the ADM03820 cocktail at varying doses and administration routes three days
389 before challenge as described in **Figure 2**. Animals then were challenged with 10^5 TCID₅₀
390 SARS-CoV-2 USA-WA1/2020. These doses were administered as 0.5 mL per nare intranasally
391 and 1 mL intratracheally on day 0. In Study 3, four sham-treated controls received no mAb while
392 12 experimental animals (n=3/group) were administered three days prior to challenge with either
393 COV2-2130-YTE-LALA or COV2-2381-YTE-LALA separately at varying doses and
394 administration routes as described in **Figure 3A**. Animals were then challenged with 10^5 TCID₅₀
395 SARS-CoV-2 similarly as in the first study. In Study 4 (n=3/group), sham control animals

396 received no mAb while experimental animals were administered the ADM03820 cocktail IM at
397 varying low doses three days before challenges performed similarly to studies 2 and 3 (**Figure**
398 **2,3**). Macaques in all four studies were monitored daily with an internal scoring protocol
399 approved by the IACUC. These studies were not blinded.

400

401 *Viruses*

402 The SARS-CoV-2 USA-WA1/2020 strain was obtained from BEI Resource (NR-52281; Lot
403 #7003175). The viral stocks were expanded using Vero E6 cells and harvested on day 5
404 following inoculation. To confirm the viral identity, complete genome sequencing was
405 performed and was shown to be 100% identical to the parent virus sequence. The D614G virus
406 was produced by introducing the mutation into an infectious clone of WA1/2020, and the
407 B.1.351 and B.1.1.28 spike genes were cloned into the WA1/2020 infectious clone to produce
408 Wash-B.1.351 and Wash-B.1.1.28 chimeric viruses, as described previously (Chen et al., 2021a).
409 B.1.1.7 and B.1.617.2 were isolated from infected individuals. D614G, Wash-B.1.351, Wash-
410 B.1.1.28, B.1.1.7, and B.1.617.2 viruses were propagated on Vero-TMPRSS2 cells and subjected
411 to deep sequencing.

412

413 *Quantification of circulating human mAbs and serum neutralization activity*

414 The quantification of infused/injected human SARS-CoV-2 mAbs in NHP serum at multiple
415 time points was performed as previously described [20]. Additionally, the serum neutralization
416 activities of infused or injected mAbs were also monitored at the same time points using a
417 pseudovirus neutralization assay as previously described [24, 25].

418

419 ***BAL and NP swab collection***

420 Collection of mucosal secretions was performed on sedated NHPs using cotton swabs (COPAN
421 flocculated swab) or nasosorption FX-I devices (Hunt Developments Ltd.). The swabs were inserted
422 into the nasal cavity and rotated gently. Following collection, the swabs were placed into a
423 collection vial containing 1 mL of phosphate buffered saline (PBS). All vials were stored at $\leq -$
424 70°C until viral load testing (or antibody quantification if required).

425

426 The bronchoalveolar lavage (BAL) collection procedure was performed on anesthetized animals
427 by the “chair method”. In brief, each animal was placed in dorsal recumbency in a chair channel
428 and a red rubber feeding tube inserted into the trachea via a laryngoscope during inspiration. A
429 total of 10 mL PBS was flushed through the tube and the volume instilled and recovered from
430 each animal recorded. The collected BAL samples were placed immediately onto wet ice and
431 processed for isolation of fluid by centrifugation at 4°C followed by supernatant removal. BAL
432 aliquots were stored at $\leq -70^{\circ}\text{C}$ until viral load testing (or antibody quantification if required).

433 ***Focus reduction neutralization test***

434 Serial dilutions of mAbs were incubated with 10^2 FFU of different strains or variants of SARS-
435 CoV-2 for 1 h at 37°C . Antibody–virus complexes were added to Vero-TMPRSS2 cell
436 monolayers in 96-well plates and incubated at 37°C for 1 h. Subsequently, cells were overlaid
437 with 1% (w/v) methylcellulose in MEM. Plates were collected 30 h later by removing overlays
438 and fixed with 4% PFA in PBS for 20 min at room temperature. Plates were washed and
439 sequentially incubated with an oligoclonal pool of SARS2-2, SARS2-11, SARS2-16, SARS2-31,
440 SARS2-38, SARS2-57 and SARS2-71 anti-S (VanBlargan et al., 2021) antibodies and HRP-
441 conjugated goat anti-mouse IgG (Sigma, 12-349) in PBS supplemented with 0.1% saponin and

442 0.1% bovine serum albumin. SARS-CoV-2-infected cell foci were visualized using TrueBlue
443 peroxidase substrate (KPL) and quantitated on an ImmunoSpot microanalyzer (Cellular
444 Technologies).

445

446 *Subgenomic mRNA assay*

447 The subgenomic mRNA of SARS-CoV-2 was assessed by RT-PCR as previously described
448 (Chandrashekar et al., 2020; Wolfel et al., 2020; Yu et al., 2020). The standard curve is based on
449 the SARS-CoV-2 E gene. Prior to PCR, cDNA was generated from each animal using
450 Superscript III VILO (Invitrogen) according to the manufacturer's instructions. Using the
451 sequences targeting the E gene mRNA, a TaqMan custom gene expression assay (Thermo Fisher
452 Scientific) was designed (Wolfel et al., 2020) and reactions were carried out using a QuantStudio
453 6 and 7 Flex Real-Time PCR system (Applied Biosystems) according to the manufacturer's
454 instructions. Standard curves were generated to calculate sgRNA/mL or per swab. Viral load for
455 each timepoint tested per NHP was reported as the average of two replicates. The sensitivity of
456 this assay was 50 copies per mL of BAL or per swab.

457

458 *Quantification and statistical analysis*

459 The average change in viral load (\log_{10} sgRNA copies/mL or swab) was assessed from day 1 to
460 day 14 (Study 2), or from day 1 to day 10 (Study 3 and 4). The time-weighted average (TWA)
461 values for the change of sgRNA viral load in BAL or NP from day 1 to day 10 after viral
462 challenge were calculated as the area under the curve (AUC) of the change in viral load in Prism
463 (version 9.1.2; GraphPad) and then divided by 10 as described previously (Baum et al., 2020a)
464 (**Table S1**). The TWA values of each treatment group were compared to those of the sham group

465 using Welch's t-test. The significance level alpha of 10% was pre-specified, and estimated P-
466 values are indicated in the figures. TWA threshold was set up to ≤ 0.3 for full protection, ≤ 0.51
467 (the lower sham point) for partial protection, and > 0.51 for no protection in BAL, and ≤ 0.3 for
468 full protection, < 1.638 (the lower sham point), for partial protection, and > 1.638 for no
469 protection in NP. To estimate protective antibody concentration or neutralizing titer in serum, the
470 optimal thresholds that maximizes the sum of sensitivity and specificity for full protection were
471 calculated and reported in **Table S2**. Sensitivity is the proportion above the threshold in the
472 fully-protected subjects, and specificity is the proportion below the threshold in partially- or non-
473 protected subjects. The fitting curves and confidence intervals to visualize the relationship
474 between TWA and antibody levels were estimated using Lowess curve smoothing method using
475 ggplot2 in R software. The other data visualization was performed using Prism software.

476 **FIGURE LEGENDS**

477

478 **Figure 1. Pharmacokinetics and biodistribution of ADM03820.**

479 (A) Schema of study design. Different doses of antibody cocktail ADM03820 (containing
480 COV2-2130/YTE-LALA and COV2-2381/YTE-LALA at a 1:1 ratio) were administered to
481 rhesus monkeys (n=3 per group) by IV (11.7 or 31.3 mg/kg) or IM (11.7 or 31.3 mg/kg) route.
482 Human antibody concentration was assessed by ELISA in (B) serum, (C) BAL, or (D) nasal
483 swab eluate samples at indicated time points after ADM03820 administration. The dotted
484 horizontal line depicts the assay limit of detection.

485

486 **Figure 2. Pharmacokinetics, antibody neutralizing titers, and prophylactic efficacy of**
487 **ADM03820 mAbs in SARS-CoV-2-challenged NHPs.**

488 (A) Schema of study design. Different doses of ADM03820 were administered to rhesus
489 monkeys (day -3) by IM (3.9 or 11.7 mg/kg) or IV (31.3 mg/kg) route (n=4 per group). One
490 group of NHPs was left untreated (sham; n=4) and served as a control. Animals in all groups
491 were challenged with 10^5 TCID₅₀ SARS-CoV-2 by the intranasal and intratracheal routes on day
492 0.

493 (B) Human antibody concentration in serum was assessed by ELISA at indicated time points
494 after ADM03820 administration and viral challenge.

495 (C) Total neutralizing antibody titers were assessed in serum at indicated time points using
496 pseudovirus neutralization assay. The red line indicates the median titer of neutralizing
497 antibodies in each group.

498 **(D)** Subgenomic RNA (sgRNA) levels were assessed at various time points after viral challenge
499 in bronchoalveolar lavage (BAL) samples using RT-qPCR.

500 **(E)** Subgenomic RNA (sgRNA) levels were assessed at various time points after viral challenge
501 in nasopharyngeal (NP) swab samples.

502 Each black curve shows the measurements from individual animals, with red lines indicating the
503 median values of measurements for animals within each treatment group. Neutralization assay
504 limit of detection = 50 copies/mL or 50 copies/swab for panels (D) and (E). For statistical
505 analysis, refer to *Methods* section.

506

507 **Figure 3. Pharmacokinetics, neutralizing titers, and prophylactic efficacy of individual**
508 **mAbs of the cocktail in SARS-CoV-2-challenged NHPs.**

509 **(A)** Schema of study design. Individual mAbs COV2-2130/YTE-LALA or COV2-2381/YTE-
510 LALA (n=3 NHP per group) were administered to rhesus monkeys (day -3) at different doses
511 (1.95 mg/kg or 15.65 mg/kg) and routes (IM or IV) as indicated. One group of NHPs was left
512 untreated (sham; n=4) to serve as controls. Animals in all groups were challenged with SARS-
513 CoV-2 by the intranasal and intratracheal routes on day 0.

514 **(B)** Human antibody concentration was assessed by ELISA in serum at indicated time points
515 after indicated mAb administration and viral challenge. **(C)** Total neutralizing antibody titers
516 were assessed in serum at indicated time points using a pseudovirus neutralization assay. Each
517 black curve shows the measurements from an individual animal, with red lines indicating the
518 median values of measurements for animals within each treatment group.

519 **(C)** sgRNA levels were assessed after viral challenge at various time points in BAL samples
520 using RT-qPCR.

521 (D) sgRNA levels were assessed after viral challenge at various time points in nasopharyngeal
522 swab samples.

523 The red line depicts the median levels of sgRNA in each group. Each black curve shows an
524 individual animal's measurements, with red lines indicating the median values of measurements
525 for animals within each treatment group. Neutralization assay limit of detection = 50 copies/mL
526 or 50 copies/swab. For statistical analysis, refer to *Methods* section.

527

528 **Figure 4. Pharmacokinetics, neutralizing titers, and prophylactic efficacy of ADM03820 in**
529 **a dose de-escalation study and IM antibody administration in NHPs.**

530 (A) Schema of study design. Different doses of ADM03820 were administered to rhesus
531 monkeys (day -6) by IM route (3.91, 1.95, 0.98, and 0.49 mg/kg; n=3 NHP per group). One
532 group of NHPs was left untreated (sham; n=3) and served as a control. Animals in all groups
533 were challenged with SARS-CoV-2 by the intranasal and intratracheal routes at day 0.

534 (B) Human antibody concentration was assessed by ELISA in serum at indicated time points
535 after ADM03820 administration and viral challenge.

536 (C) Total neutralizing antibody titers in serum were assessed at indicated time points using a
537 pseudovirus neutralization assay. The red line shows median titer of neutralizing antibodies in
538 each group.

539 (D) sgRNA levels were assessed at various time points after viral challenge in BAL samples
540 using RT-qPCR.

541 (E) sgRNA levels were assessed at various time points after viral challenge in nasopharyngeal
542 swab samples.

543 The red line depicts the median levels of sgRNA in each group. Each black curve shows
544 measurements from an individual animal, with red lines indicating the median values of
545 measurements for animals within each treatment group. Assay limit of detection = 50 copies/mL
546 or 50 copies/swab. For statistical analysis, refer to *Methods* section.

547

548 **Figure 5. Human antibody concentration and antibody neutralizing titer in NHP serum**
549 **associated with protection against viral challenge in BAL or NP samples.** (A-D) The time-
550 weighted average (TWA) values for the change of sgRNA viral load in BAL or NP swabs from
551 day 1 to day 10 after viral challenge were compared to antibody concentration in serum or serum
552 NT₅₀ value for each animal from studies 2, 3 and 4 described in Figures 2 through 7. The fitting
553 curves were estimated using Lowess curve smoothing method and are shown in black, and grey
554 shading indicates the confidence interval. Shapes indicate individual animals, colors indicate
555 route of antibody treatment, and animals from separate studies are shown with different shapes
556 as detailed in the figure. Horizontal black dotted lines indicate designated TWA thresholds for
557 full (bottom line) and partial (top line) protection. Vertical dotted orange dashed line in the
558 graphs indicates designated estimated optimal cut-off for protective antibody concentration or
559 titer in NHP serum. For calculation of TWA and cut-off values, refer to *Methods* section. (E)
560 Percent animals that fully protected, partially protected, or non-protected determined using the
561 estimated thresholds for protection as in panels A-D. Gradient of green shading visualize % of
562 protected animals in which dark green indicates higher % of protected animals and light green
563 indicates lower % of protected animals for each described condition.

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849

Table 1. Neutralization breadth of ADM03820 against SARS-CoV-2 variants of concern¹					
[IC ₅₀ (ng/mL) against indicated virus] ²					
WA1/2020	D614G	B.1.1.7 (Alpha)	Wash-B.1.1.351 (Beta)	B.1.617.2 (Delta)	Wash-B.1.1.28 (Gamma)
28	21	20	19	25	8

850

851 ¹Neutralizing activity of ADM03820 against authentic SARS-CoV-2 WA1/2020, authentic SARS-CoV-2
852 WA1/2020 bearing D614G mutation, or authentic B1.1.7, authentic B.1.617.2, chimeric Wash-B1.351,
853 and chimeric Wash-B.1.1.28 viruses was assessed using a focus reduction neutralization test (FRNT).

854

855 ²Half-maximal inhibitory concentration (IC₅₀) values are shown and represent the average of technical
856 duplicates and two independent experiments.

857

Figure 1

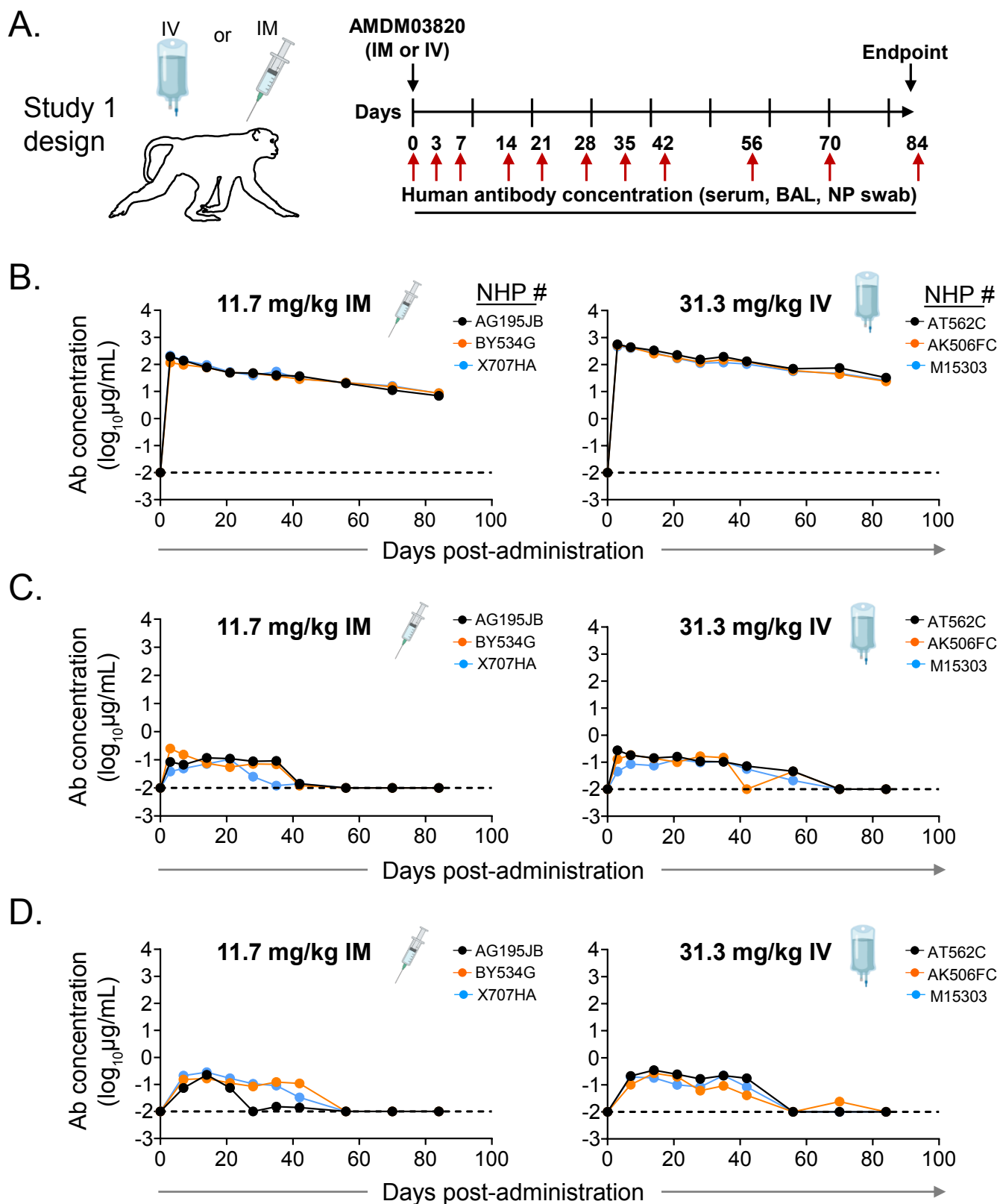
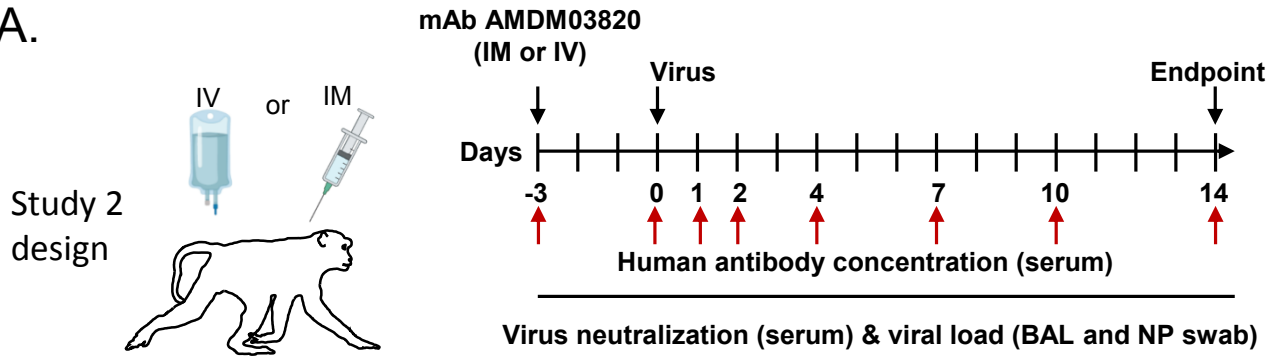
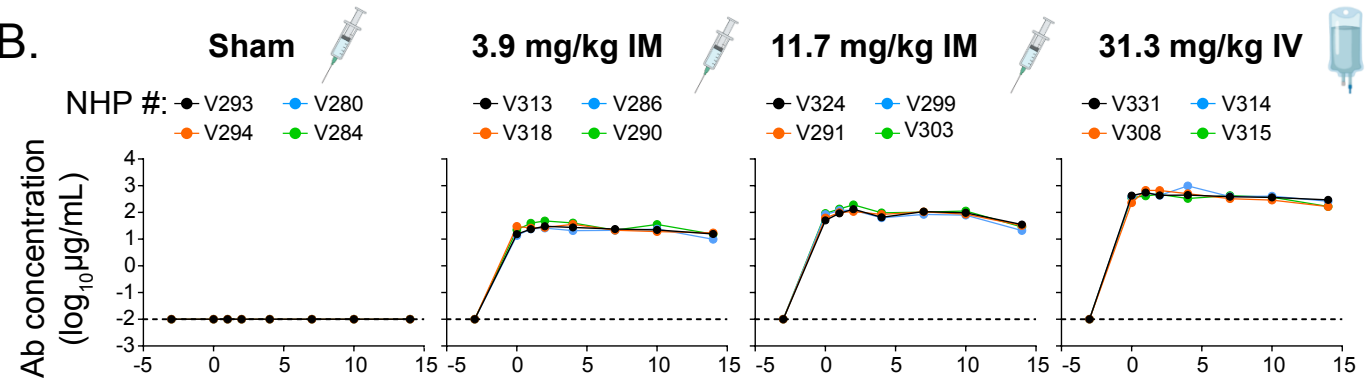


Figure 2

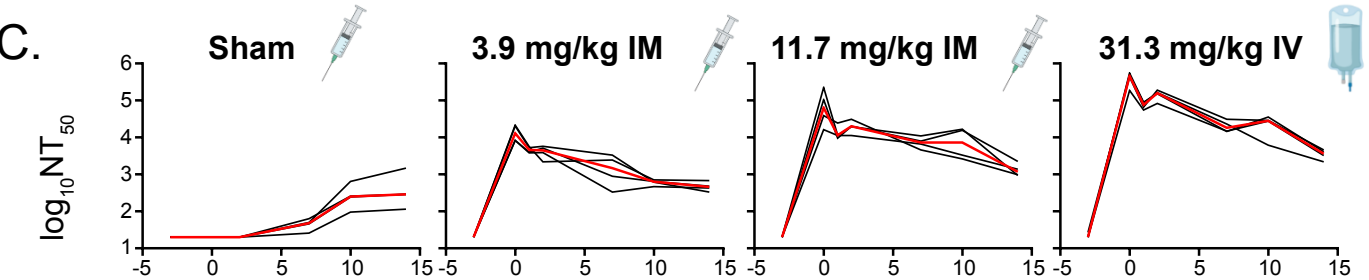
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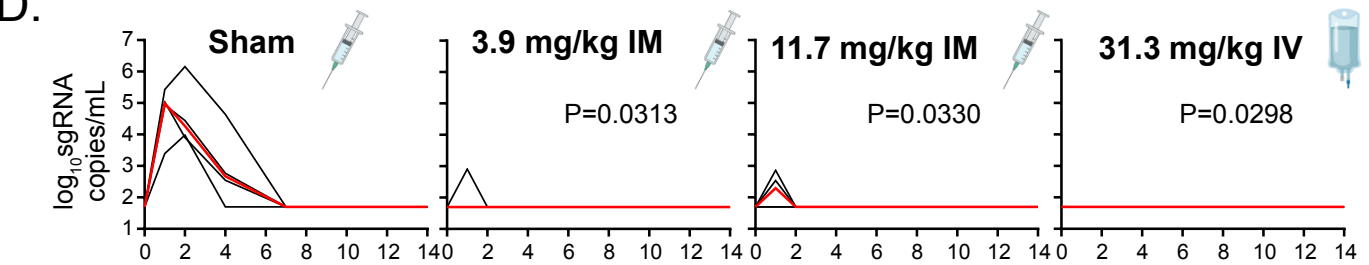
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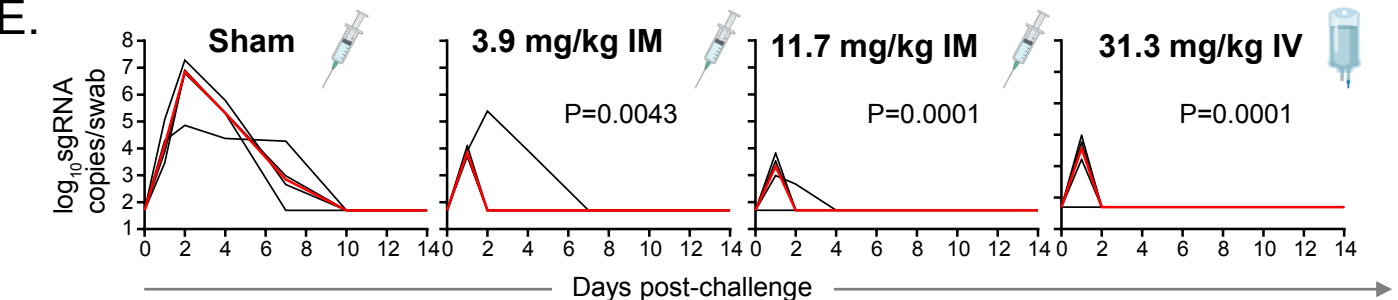
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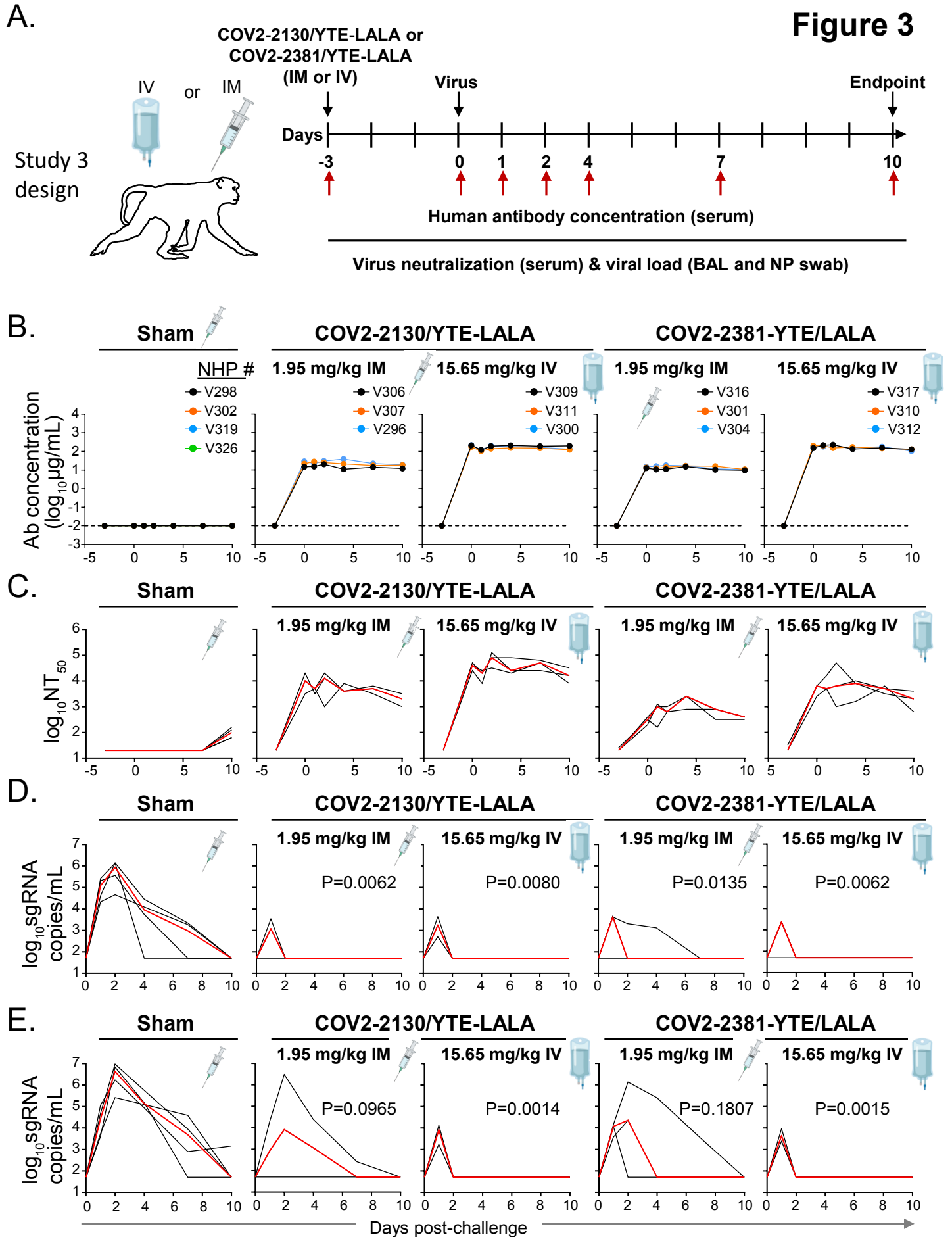


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E.





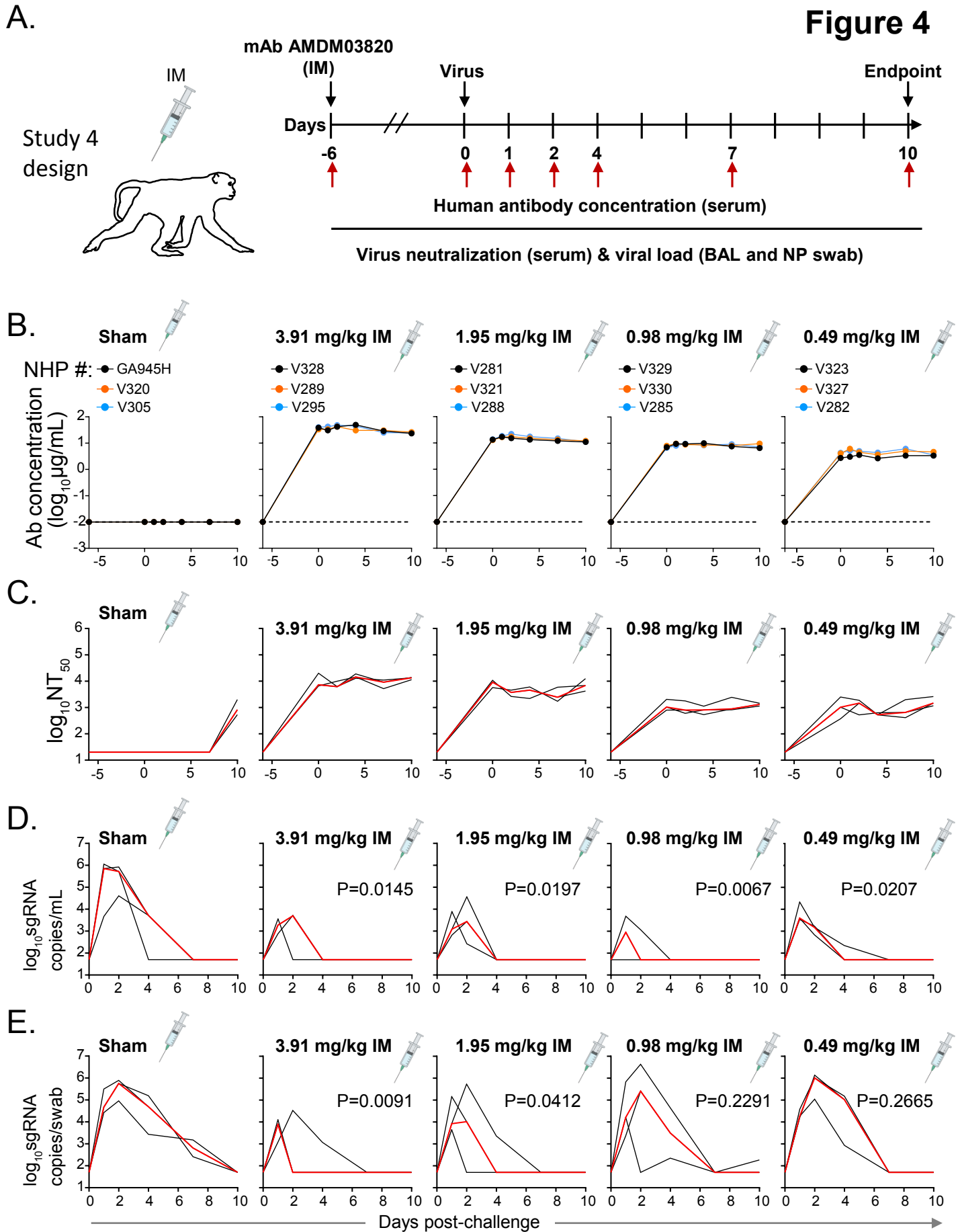
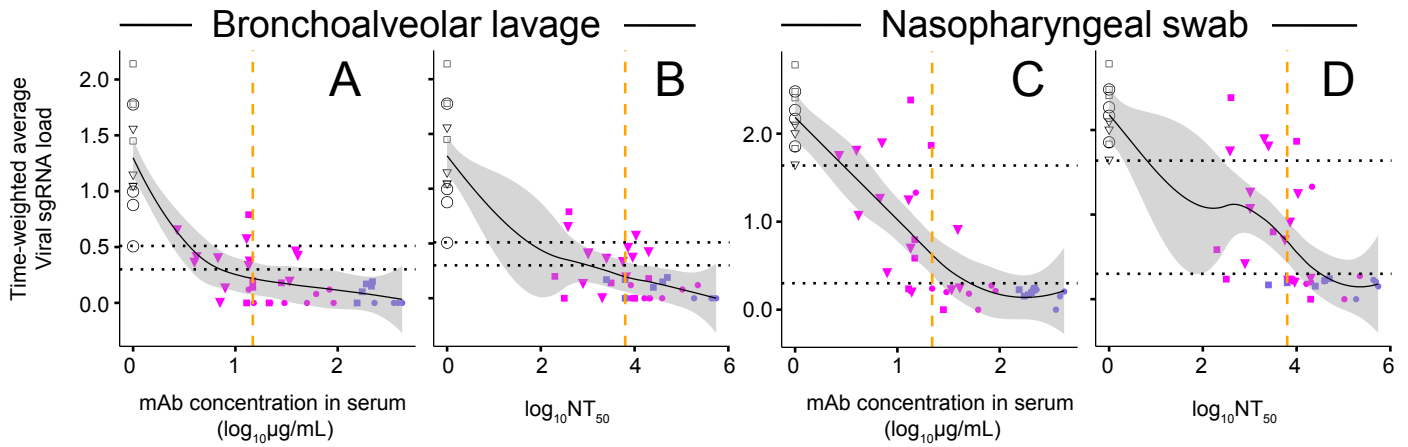


Figure 5



Study	Treatment	Threshold
○ 2	Blue – IV (Bottom) –TWA threshold for full protection
□ 3	Purple – IM (Top) –TWA threshold for partial protection
▽ 4	Open – sham (Vertical dashed orange line) – Cut-off for protective mAb concentration or titer in serum

E

Site	# of NHP with indicated protection level of total animals in the cohort (%)											
	BAL						NP swab					
	A			B			C			D		
Protection level												
≥identified cut-off for protective mAb concentration or titer	22/24 (92%)	2/24 (8%)	0/24 (0%)	20/24 (83%)	3/24 (13%)	1/24 (4%)	19/20 (95%)	1/20 (5%)	0/20 (0%)	20/24 (83%)	3/24 (13%)	1/24 (4%)
<identified cut-off for protective mAb concentration or titer	4/12 (33%)	5/12 (42%)	3/12 (25%)	6/12 (50%)	4/12 (33%)	2/12 (17%)	3/16 (19%)	8/16 (50%)	5/16 (31%)	2/12 (17%)	6/12 (50%)	4/12 (33%)

Full	Partial	None
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