Inhibition of TRPV4 rescues circuit and social deficits unmasked by acute inflammatory response in a Shank3 mouse model of Autism

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1 Abstract

2 Autism spectrum disorder is a neurodevelopmental disease characterized by 3 social deficits and repetitive behaviors. The high heterogeneity of the disease may be 4 explained by gene and environmental interactions and potential risk factors include 5 immune dysfunctions and immune-mediated co-morbidities. Mutations in the SHANK3 6 gene have been recognized as a genetic risk factor for ASD. While heterozygous SHANK3 mutations are usually the types of mutations associated with idiopathic 7 8 autism in patients, heterozygous deletion of *Shank3* gene in mice does not commonly 9 induce ASD-related behavioural deficit. Here, we used *in-vivo* and *ex-vivo* approaches 10 to demonstrate that region-specific neonatal downregulation of Shank3 in the NAc promotes D1R-MSN hyperexcitability and upregulates Trpv4 to impair social 11 behaviour. Interestingly, genetically vulnerable *Shank3*^{+/-} mice, when challenged with 12 13 Lipopolysaccharide to induce inflammatory response, showed similar circuit and 14 behavioural alterations that were rescued by acute Trpv4 inhibition. Altogether our data demonstrate shared molecular and circuit mechanisms between ASD-relevant 15 genetic alterations and environmental insults, which ultimately lead to sociability 16 17 dysfunctions.

1 Introduction

2 Autism spectrum disorder (ASD) includes a heterogeneous group of 3 neurodevelopmental diseases characterized by social communication deficits and 4 repetitive behaviours. Mutations in SHANK3 gene, coding for a scaffolding protein 5 located at excitatory synapses, account for 1-2% of all ASD cases and its haploinsufficiency is acknowledged to lead to a high-penetrance form of ASD, known as 6 Phelan-McDermid syndrome^{1,2}. Currently, the development of pharmacological 7 interventions to alleviate ASD-related sociability symptoms is limited by several 8 9 factors, including the relative lack of understanding of the genetic consequences of SHANK3 insufficiency. This is further complicated by the fact that Shank3 plays 10 11 specific roles depending on its expression pattern in different regions and cell types³. 12 Thus, investigating altered neuronal circuit mechanisms underlying disease 13 pathophysiology and uncovering their roles in discrete behavioral readouts in mice is 14 of the highest importance^{4,5}.

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Most of the pre-clinical models of Shank3 deficiency show impairments in 16 17 dorsal striatal circuits^{3,6}, principally related to the indirect pathway, which drives 18 repetitive behaviour^{6,7}. On the other hand, the role of the mesolimbic reward system, including the Ventral Tegmental Area⁸ and the Nucleus Accumbens (NAc)⁹, in social 19 reward processing makes it an ideal neural circuit substrate for further investigation 20 21 in the context of ASD in both rodents¹⁰ and humans^{11,12}. Despite the fact that 22 neuronal deficits within the reward system have been revealed in different Shank3 animal models^{13,14} and that expression of Shank3 in the striatum is particularly 23 24 enriched¹⁵, the contribution of *Shank3* insufficiency in the ventral striatum, which 25 includes the Nucleus Accumbens (NAc), to ASD symptomatology has been largely 26 neglected.

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Although the generation of knock-out (KO) *Shank3* (*Shank3*^{-/-}) mouse lines has favored the identification of behavioural and synaptic impairments, single allele mutations minimally affect the behavioural pattern in rodents^{16–19} limiting translation from rodents to human studies. Indeed, while Phelan-McDermid syndrome (PMS) patients are heterozygous for *SHANK3* deletions or mutations, most of the existing

1 animal models failed to report consistent behavioural phenotypes when heterozygote 2 mice were assessed. Thus, one intriguing question that arises is whether 3 environmental challenges would actually exacerbate or unmask alterations, 4 otherwise covert in heterozygote mice. Indeed, apart from genetic risk factors, 5 several studies support the role of immune regulation and inflammation in ASD. 6 Patients have frequent immune dysfunctions and immune-mediated co-morbidities²⁰. 7 Furthermore, transcriptomic analysis in post-mortem brain tissues revealed an 8 upregulation of genes involved in inflammation ²¹, while in recent years, an interplay 9 between immune system and reward circuit function has been put forward^{22,23}. 10 Remarkably, in some PMS patients, debilitating symptoms appeared after acute infections or stressful environmental challenges ²⁴ suggesting that the heterogeneity 11 12 in the phenotypes could be the consequence of the interplay between genetic and environmental factors. Although increasing evidence indicates links between immune 13 14 deficits and ASD, mechanistic insights are still lacking.

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16 Here firstly interrogated behavioural electrophysiological we and 17 consequences of shRNA-induced Shank3 early postnatal downregulation in the NAc. 18 Not only we observed reduced social preference and D1-medium spiny neurons (D1-19 MSNs) hyperexcitability, but also identified the Transient Receptor Potential Vanilloid 20 4 (Trpv4) as a key effector of our observations. Remarkably, similar molecular, circuit 21 and behavioural alterations were also observed in genetically vulnerable Shank3+/-22 mice challenged with lipopolysaccharide-induced neuroinflammation. Finally, acute 23 Trpv4 inhibition in the NAc restored excitability and sociability deficits in Shank3 24 heterozygous mice.

1 **RESULTS**

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3 Social deficits following early NAc-specific *Shank3* insufficiency

4 Given the emerging importance of NAc in social reward processing^{9,25}, we first 5 focused our investigation on this brain circuit and asked whether Shank3 6 downregulation restricted to this region would lead to sociability deficits. Using AAV-7 sh*Shank3*-luczsGreen virus, we downregulated the expression of *Shank3* during early 8 postnatal development^{13,26} (≤P6; hereafter P6 for simplicity, Fig. 1a-a' and 9 Supplementary figure 1a-b) or during adulthood (P90, Fig. 1d-d', and **Supplementary figure 1a-b**). While scr*Shank3* mice (injected at the same age with a 10 11 scrambled virus) showed preference for a juvenile conspecific (Fig. 1b and 1e), 12 shShank3 P6-injected mice spent a comparable amount of time around the juvenile 13 and object stimulus (Fig. 1c) and in the two chambers (Supplementary figure 1c). 14 Furthermore, while the overall exploratory behaviour was comparable between 15 scr*Shank3* and sh*Shank3* mice (Supplementary figure 1d and g), sh*Shank3*-infected 16 mice spent less time exploring the juvenile mouse and more time exploring the object 17 stimulus compared to scr*Shank3* (Supplementary figure 1e-f). Remarkably, when shShank3 was injected at P90, mice showed intact sociability (Fig. 1f and 18 19 **Supplementary figure 1h**) while presenting similar decrease in Shank3 expression 20 (Supplementary figure 1b). No difference in the exploration time around targets 21 between groups (Supplementary figure 1i-k), nor in the distance moved 22 (Supplementary figure 1I) was observed after injection at P90.

Altogether these data point at the NAc as a key region for sociability deficits induced by *Shank3* insufficiency. Moreover, our results suggest the existence of a critical period during early postnatal development, which is important for the expression of appropriate sociability later in life. We, thus, decided to focus our efforts on early *Shank3* downregulation and to investigate the mechanisms underlying sociability deficits.

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Alterations in intrinsic properties of NAc D1R-expressing Medium Spiny
 Neurons following *Shank3* downregulation

1 The downregulation of Shank3 from D1R-expressing (direct pathway) or D2R-2 expressing MSNs of dorsal striatum (indirect pathway) leads to neuronal 3 hyperexcitability³. In order to assess the excitability of MSN subpopulations in the NAc in our model, we used fluorescently-labelled D1R mice (Drd1a-tdTomato)²⁷ injected 4 with scrShank3 or shShank3 (Fig. 2a-a'). When recorded in presence of synaptic 5 6 blockers (picrotoxin and kynurenic acid), Shank3 downregulation increased the 7 excitability of D1R-tom⁺ compared to scr*Shank3*::D1R-tom⁺ MSNs, while no changes 8 were detected in the D1R-tom population (Fig. 2b-e and Supplementary figure 2a-9 f). Interestingly, in absence of synaptic blockers, *Shank3* downregulation induced a 10 hyperexcitability of D1R-tom⁺ MSNs and hypoexcitability of D1R-tom⁻ MSNs, (Supplementary figure 2g-r). Overall, these results indicate that the hyperexcitability 11 12 of accumbal direct pathway MSNs largely derives from alterations of intrinsic 13 membrane properties, while the hypoexcitability of putative indirect pathway MSNs is 14 the consequence of circuit network dysfunctions.

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A causal link between NAc D1R MSN hyperexcitability and sociability deficits

17 To probe causality between direct pathway hyperexcitability and sociability deficits, we used cell-specific chemogenetic tools to manipulate neuronal activity. 18 19 D1R:Cre⁺ or D1R:Cre⁻ mice were injected with either control scrambled virus or sh*Shank3* during early post-natal development. After P30, all mice were infected with 20 21 an inhibitory Cre-dependent DREADD-expressing virus (AAV-DIO-hM4Di-mCherry) 22 (Fig. 2f-f'). We validated the effectiveness of our chemogenetic approach by analyzing 23 the expression of GIRK channels in Nac MSN (Supplementary figure 3a) the main effectors of chemogenetic inhibition, and the effects of Clozapine N-Oxide (CNO) on 24 25 neuronal excitability ex vivo (Supplementary figure 3b-c). Mice underwent the three-26 chamber interaction assay 30 minutes after systemic CNO injections (Fig. 2f-f'). 27 D1R:Cre+ mice injected with CNO (regardless of NAc virus) reduced their locomotor 28 activity (Supplementary figure 3d); however, the total exploration time for both 29 enclosures remained comparable to that of D1R:Cre- mice (Supplementary figure 30 **3e**). By analysing the time spent around either the juvenile or object target, we 31 confirmed that control D1R:Cre::scrShank3 mice showed intact sociability (Fig. 2g, 32 Supplementary figure 3f). While both D1R:Cre+::scrShank3 mice treated with CNO

and D1R:Cre⁻::sh*Shank*3 did not show a preference for the social over the object
stimulus (Fig. 2h-i and Supplementary figure 3f), interestingly, D1R:Cre⁺::shShank3
showed a preference for the social stimulus compared to the object (Fig. 2j and

4 **Supplementary figure 3f**).

5 These data establish a causal link between NAc D1R-MSN hyperexcitability 6 and sociability defects *in vivo*, and further suggest that decreasing direct pathway 7 hyperexcitability might be a useful strategy to ameliorate social dysfunctions.

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9 Downregulation of *Shank3* induces a *Trpv4* upregulation

10 It has been previously shown that Shank3 mutations in human predispose to 11 autism by inducing a channelopathy²⁸. To further investigate the mechanisms 12 underlying D1-MSN hyperexcitability, we performed direct pathway transcriptomic 13 analysis of the NAc in Drd1a-tdTomato P6-injected scrShank3 and shShank3 mice. 14 For this purpose, we FAC-sorted direct pathway MSNs at P30 and performed bulk RNA sequencing (Fig. 3a). ScrShank3 and shShank3 were clustered separately in 15 both D1R-tom⁺ and D1R-tom⁻ populations, (Fig. 3b) and differential expression 16 17 analysis by groups for scrShank3 vs shShank3 revealed 178 altered genes in AAV-18 infected D1R-tom+ (Fig. 3c and Supplementary figure 4a). GO:Term analysis of 19 significantly altered genes in NAc-injected shShank3 mice, highlighted changes 20 relevant to cell adhesion, localization, and cellular movement-related, as well as, 21 related to functions regarding inflammatory mechanisms (Fig. 3d). Moreover, within 22 the modified genes identified in the bulk RNA sequencing, we observed a high 23 representation of activity-related genes (Fig. 3e) in D1R-tom⁺ neurons, immune 24 response-related genes, as well as, SFARI genes associated with ASD in both D1R-25 tom⁺ and D1R-tom⁻ populations (**Supplementary figure 4b-c**).

Among these genes altered by early postnatal *Shank3* downregulation, we noticed that the one encoding for the Transient receptor potential vanilloid 4 (*Trpv4*) channel was significantly upregulated (**Fig. 3e**). Trpv4 is a member of the transient receptor potential superfamily, broadly expressed in the central nervous system²⁹. These receptors are activated by temperature, mechanical stimulation, cell swelling and endocannabinoids³⁰ and participate in inflammatory responses³¹. Moreover, Trpv4 function influences neuronal excitability and its disruption leads to social

behaviour abnormalities³². The increased in Trpv4 expression in the NAc from mice 1 2 where Shank3 was downregulated before P6, was confirmed by qPCR 3 (Supplementary figure 5a). Remarkably, when Shank3 was downregulated during 4 adulthood, the levels of Trpv4 were comparable between scrShank3 and shShank3 5 injected mice (Supplementary figure 5b). Since downregulation of Shank3 in 6 adulthood did not reveal any sociability deficit (Fig. 1d-f), together, these data 7 suggested a link between the increased Trpv4 expression and the behavioural 8 phenotype. To directly interrogate this hypothesis, we tested the ability of a Trpv4-9 specific inhibitor (HC-067047) to rescue the direct pathway MSN hyperexcitability ex-10 vivo (Fig. 3f). In patch-clamp recordings, bath application of HC-067047 normalized the excitability of D1R-tom+::shShank3 to D1R-tom+::scrShank3 levels (Fig. 3g). So 11 12 far, this evidence indicates that early downregulation of Shank3 in the NAc upregulates both the expression and the function of *Trpv4* in the direct pathway 13 14 neurons, identifying a novel molecular effector of Shank3 insufficiency.

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16 Trpv4 antagonist restores sociability in NAc sh*Shank3* mice

17 To test causality between sociability defects and the upregulation of *Trpv4* in the NAc and to probe its potential as a therapeutic target *in-vivo*, we next asked 18 19 whether the region-specific administration of Trpv4 inhibitor restores sociability in 20 sh*Shank3* mice. Scr*Shank3* and sh*Shank3* were bilaterally cannulated above the 21 NAc for local pharmacology experiments. After one week of recovery, shShank3 mice 22 were pre-treated with HC-067047 or vehicle before the three-chamber test (Fig. 3h-23 h'). Treatments were counterbalanced and the same animals were tested again after 24 seven days (scrShank3 animals were instead infused only with vehicle). scrShank3 25 mice infused with vehicle showed intact sociability (Fig. 3i) indicating no side effects 26 of the cannulation on our behavioural endpoints. Confirming our previous findings, 27 vehicle-infused shShank3 animals showed impaired social preference (Fig. 3j). 28 Remarkably, intra-NAc Trpv4 antagonist (HC-067047) infusions in shShank3 mice 29 restored sociability (Fig. 3k), increasing the time spent in the social chamber 30 (Supplementary figure 5c). Furthermore, sh*Shank3* mice showed an increase of 31 social preference ratio when infused with HC-067047 compared to when infused with 32 vehicle (Supplementary figure 3I). No difference was observed in the distance

1 moved during the test among the groups (**Supplementary figure 5d**).

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Our results highlight the role of Trpv4 both in D1R-MSN hyperexcitability and social preference deficits displayed by NAc-injected sh*Shank3* mice.

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5 LPS challenge unmasks social deficits in *Shank3*^{+/-} mice

6 Based on the region-specific results obtained by GO:Term analysis (Fig. 3d), 7 we hypothesised that acute inflammatory challenge could unmask behavioural 8 deficits of Shank3 knock-out mice, in which exons 4 to 22 were deleted ($\Delta e4-22^{+/-}$ 9 mice, hereafter referred to as Shank3^{+/-})¹⁹, via a Trpv4-dependent mechanism. As 10 previously reported¹⁹, *Shank3^{+/-}* mice do not display social preference deficits in the 11 three-chamber test (Supplementary figure 6a-e). Importantly, when Lipopolysaccharide (LPS) was injected 24 hrs prior the three-chamber task (Fig. 4a), 12 Shank3^{+/-} mice spent a comparable amount of time around the juvenile and object 13 14 stimulus and in the corresponding chamber, indicating sociability deficits (Fig. 4e and Supplementary figure 7a). As control, saline-injected Shank3^{+/+} and Shank3^{+/-} mice 15 spent more time exploring the juvenile-containing enclosure and chamber (Fig. 4b 16 17 and **d** and **Supplementary figure 7a**). Moreover, LPS challenge did not confer any behavioural alterations in *Shank3*^{+/+} mice (**Fig. 4c** and **Supplementary figure 7a**) 18 19 and the distance moved did not differ across genotypes (Supplementary figure 7b). Importantly, sociability deficits were not observed 7 days after LPS injection (Fig. 4f-20 21 h and Supplementary figure 7c-d) indicating that alterations induced by acute 22 inflammatory challenges were transient.

We next asked whether striatal *Trpv4* expression was altered in Shank3^{+/-} mice after LPS. Remarkably, whereas LPS injections increased the inflammatory markers IL-1 β and TNF- α expression 24 hours after LPS injection in both *Shank3^{+/-}* and *Shank3^{+/-}* mice, the observed increase in *Trpv4* was seen only in *Shank3^{+/-}* mice and not detectable 7 days after LPS challenge (**Fig. 4i-j**).

These data supported the hypothesis that inflammatory challenges may unmask behavioural phenotypes in *Shank3*^{+/-} mice via an upregulation of *Trpv4* in the striatum.

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32 Hyperexcitability seen in D1R-MSNs of Shank3+/- mice after an acute LPS

1 challenged is rescued by Trpv4 antagonist *ex-vivo*

2 To further investigate our hypothesis, we crossed *Shank3*^{+/-} with Drd1a-tdTomato 3 mice and we performed ex-vivo patch-clamp recordings from D1R-MSNs 24 hrs after LPS 4 injection (Fig 4k). In order to probe the functional consequences of Trpv4 upregulation, we 5 first assessed Trpv4-mediated whole-cell currents from D1R-MSNs and observed an 6 increase only in LPS-challenged Shank3^{+/-} mice (Fig 4I). Importantly, we found that LPS challenge caused a D1R-MSNs hyperexcitability in Shank3^{+/-} mice similarly to the NAc-7 8 shShank3 model (Fig 4m-n). Finally, bath application of Trpv4 antagonist, HC-9 067047, normalized neuronal excitability, strengthening the causal links between D1R-MSN hyperexcitability and *Trpv4* upregulation (Fig 4m-n). 10

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12 Intra-NAc Trpv4 antagonist restores sociability in *Shank3*^{+/-} LPS-challenged 13 mice

Although these results further corroborated our hypothesis, we still questioned
 whether the NAc plays a role in the behavioural alterations observed in *Shank3*^{+/-}
 mice after LPS injection.

17 To answer this question, $Shank3^{+/-}$ mice were bilaterally cannulated above the NAc for local pharmacology experiments. After one week of recovery, mice were 18 19 treated with either HC-067047 or vehicle intra-NAc infusions 1 hour after the LPS challenge. The day after, mice were again infused locally in the NAc with HC-067047 20 21 or vehicle 30 minutes before the three-chamber task (Fig. 5a). While Shank3+/::LPS 22 mice infused with vehicle showed sociability deficits (Fig. 5b and Supplementary 23 figure 8a), Shank3+/-::LPS mice infused with HC-067047 spent more time around the 24 enclosure containing the juvenile mouse (Fig. 5c), albeit without a significant 25 difference in the time spent in chambers (Supplementary figure 8a). Locomotor 26 activity was not affected by local HC-067047 treatment (Supplementary figure 8b). These results indicate that the inhibition of *Trpv4* in the NAc after immune system 27 28 activation is sufficient to ameliorate sociability deficits, suggesting a link between 29 Trpv4 modulation and social behaviour.

30 Collectively, our data highlight the NAc *Trpv4* alterations as a potentially 31 common and unifying molecular underlying factor in sociability and aberrant intrinsic 32 neuronal properties in *Shank3* mouse models for autism.

1 DISCUSSION

2 Mutations in the SHANK3 gene have been recognized as a genetic risk factor 3 for ASD. Remarkably, high heterogeneity of neuronal pathophysiology and behavioral 4 phenotypes have been reported in *Shank3* mouse models. Nevertheless, whether 5 environmental factors contribute to the phenotypic heterogeneity of Shank3 mouse 6 model is still largely unknown. Here we first found that early loss of *Shank3* in the Nac 7 reduces sociability via direct pathway hyperexcitability. These changes were 8 accompanied by an unbalance of inflammatory mediators and by the overexpression 9 of transient receptor potential vanilloid 4 (Trpv4). Interestingly, lipopolysaccharide-10 induced neuroinflammation revealed similar molecular, circuit and behavioural alterations in genetically vulnerable *Shank3*^{+/-} mice. Acute Trpv4 inhibition in the NAc 11 restored excitability and sociability deficits. Our data not only suggest that activation 12 13 of the immune system may unmask autism-related behavioural phenotypes in 14 genetically vulnerable mice but also ascribe Trpv4 as a potential therapeutic target for 15 sociability defects in Autism.

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17 The mesolimbic system represents an interesting hub for ASD pathophysiology. Indeed, human studies reported that social stimuli activate the 18 19 NAc^{35–39} and that this activation is disrupted in ASD patients^{11,40}. In support of the 20 clinical studies, alterations in the mesolimbic system induce reward-related behavioural alterations in rodents^{8,13,41}. However, the neuronal mechanisms 21 22 underlying NAc-related sociability deficits remained largely unknown. It has been 23 previously shown that the lack of Shank3 induces differential alterations of intrinsic 24 and synaptic properties of dorsolateral striatum D1R- and D2R-MSNs and that 25 deficits in the indirect pathway contribute to repetitive behaviour^{3,6}. In our study, we 26 found that the downregulation of *Shank3* in the ventral striatum alters sociability via 27 hyperexcitability of D1R-MSNs, which is linked to gene expression alterations. While 28 we cannot exclude that changes in D2R-MSNs also contribute to the phenotype, it is 29 important to note that changes in excitability in the indirect pathway neurons were 30 only observed in absence of synaptic blockers. Furthermore, while decreasing the 31 activity of D1R-MSNs in shShank3 mice was able to rescue the behavioural 32 phenotype, decreasing the activity of the direct pathway neurons in control mice alters sociability (Fig. 2h). These data not only causally link the activity of the direct
pathway ventral striatum to sociability but suggest that the activity of D1R-MSN has
to be tightly tuned in order to guarantee optimal expression of social behaviour.
These findings are in line with previous evidence supporting the importance of NAc
D1R-MSNs activity in modulating social behavior²⁵.

6

7 Although ASD is known as a synaptic pathology^{46,47}, recent evidence 8 demonstrated a fundamental role of ion channels deficits in the pathophysiology of 9 ASDs. Indeed, the loss of scaffolding between Shank3 and HCN impairs Ih currents 10 and neuronal excitability²⁸. Here, we highlighted a novel link between Trpv4 alterations and Shank3 insufficiency. We found that accumbal Shank3 insufficiency 11 12 upregulates Trpv4, a non-selective cation channel constitutively active at physiological temperatures⁴², which allows Ca²⁺ influx, stimulates Ca²⁺-induced Ca²⁺-13 14 release (CICR) signaling⁴³⁻⁴⁵ and ultimately tunes neuronal excitability⁴². 15 Interestingly, we have not observed an upregulation of Trpv4 in P90-injected 16 sh*Shank3* mice. To further prove the causal link between the gene and behaviour, 17 we observed an increase in Trpv4 expression in *Shank3*^{+/-} mice 24 hrs after LPS, time point at which we also could observe behavioural deficits. Furthermore, 18 19 sociability of sh*Shank3* and LPS-*Shank3*^{+/-} mice improves by a region-specific Trpv4 20 inhibition. Although future experiments will have to determine the precise 21 mechanisms of how a scaffold protein could affect the transcription of a set of genes, 22 our study supports the idea that Shank3 downregulation affects both intrinsic 23 excitability and synaptic properties, which may ultimately account for the symptom 24 heterogeneity of PMS patients.

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The heterogeneity of ASD symptoms most likely results from the involvement of a multitude of genetic factors and a complex interaction between those genes and environmental challenges^{48–53}. For example, increasing evidence suggests a role for inflammation in ASD pathogenesis^{54–56}. Indeed, individuals with ASD often have heightened levels of pro-inflammatory cytokines^{57,58}, and post mortem brain samples revealed an upregulation of genes related to the immune response⁵⁹. A recent hypothesis posits that the activation of the immune system during critical periods of

brain development may cause neuronal dysfunctions^{60,61} and lead to behavioural 1 2 deficits⁶². To better understand how immune responses to infectious agents might 3 affect behavior in preclinical models, we used LPS, a bacterial endotoxin, that 4 stimulates an innate response to bacterial infection leading to a variety of behavioural changes^{63–68}. Interestingly, animals exposed to inflammatory stimuli show impaired 5 motivation, decreased exploratory behaviour⁶⁹, and social withdrawal^{70,71}. Here, we 6 7 show that one LPS injection during adulthood reveals transient sociability deficits in 8 adult Shank3^{+/-} mice. Our data suggest that immune system activation may expose 9 an underlying genetic vulnerability in Shank3+/- mice, leading to social behavior 10 deficits.

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Exploring the contribution of striatal dysfunctions to ASD pathophysiology 12 allowed us to uncover alterations in specific neuronal populations and to find a novel 13 14 potential therapeutic target. Specifically, using a circuit-specific knock-down strategy, 15 we identified *Trpv4* upregulation as the link between changes in excitability, inflammatory response and behavioural deficits. Trpv4 is widely expressed in the 16 17 brain where it is activated by changes in both osmotic pressure and heat⁷²⁻⁷⁴. Research into the involvement of Trpv4 in neuropathies and neurodegenerative 18 19 diseases has attracted an increasing interest^{75,76}. Indeed, whole-genome sequencing of guartet families with ASD has revealed frameshift mutations of *Trpv4*⁷⁷, suggesting 20 21 a possible involvement in the pathogenesis of autism. Furthermore, hyperactivity of these channels occurs in several pathological conditions^{45,76,78,79}. Interestingly. Trpv4 22 23 activation may induce inflammation by increasing pro-inflammatory cytokines^{45,80} and Trpv4 inhibitors have been used to counteract oedema and inflammation³¹. Although 24 25 it is well established that inflammatory cytokines may impact both synaptic transmission and neuronal excitability^{81,82}, the direct link between Trpv4, neuronal 26 27 function and behaviour was still relatively unknown. Here, using a circuit approach, 28 we firstly identify an upregulation of Trpv4 after Shank3 downregulation. 29 Consequently, based on these results, we found that inflammatory challenge in Shank3^{+/-} mice increased the expression of Trpv4 and induced D1R-MSNs 30 31 hyperexcitability. By rescuing sociability deficits in these mice, we provide a novel 32 link between immunoresponse, genetic background, and neuronal activity in the

context of ASD. Finally, our data point at Trpv4 channel as a novel potential candidate
 for the treatment of ASD symptoms.

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Overall, our data highlight that viral-mediated and region-specific ablation of *Shank3*, is a suitable model to obtain mechanistic insights regarding regions and cell types that could be implicated in autism-relevant symptoms and furthermore, to validate hypotheses and potential novel therapeutic interventions.

8

1 Authors Contributions

ST, SM, AC and CB conceived and designed the experiments. ST, AC and GC performed and analyzed the behavioural experiments. ST, SM and SB performed and analyzed the electrophysiological experiments. EM and DJ analyzed the results obtained from the bulk RNA sequencing. YJ generated the mutated Shank3 mouse line. ST, SM, AC and CB wrote the manuscript and AC prepared the figures.

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13 Conflict of interests

14 The authors declare no conflict of interest.

1 Figure legends

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Figure 1: *D*ownregulation of *Shank3* in the NAc during early postnatal development alters social preference

5 (a, d) Schema of injection sites in the NAc with AAV-scrShank3-GFP or AAVsh*Shank3*-luczsGreen in ≤ P6 mice (e) or at P90 (h). (a', d') Representative images 6 7 of injection sites (scale bar: 500 μ m). (b, c, e, f) Left: time spent around the 8 enclosures during the social preference test for mice injected at \leq P6 or at P90 ((b); t 9 $(12) = 6.092, p < 0.001, (c); t_{(9)} = 0.409, p = 0.697, (e); t_{(9)} = 3.806, p = 0.004, (f); t_{(6)}$ 10 = 6.970, p < 0.001). Right: juvenile preference index for mice injected at $\leq P6$ or at P90 (one-sample t-tests against chance level = 0.5: (b), scr*Shank3*; $t_{(12)} = 5.847$, p 11 < 0.001, (c), sh*Shank3*; *t* (9) = 0.273, *p* = 0.791; (e), scr*Shank3*; *t* (9) = 3.928, *p* = 0.003, 12 (f), shShank3; $t_{(6)} = 7.996$, p < 0.001). Error bars report SEM. 13

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Figure 2: *Shank3* NAc downregulation alters D1R MSNs excitability. Decreasing the activity of D1R MSNs normalizes sociability deficits

(a) Experimental design. Drd1a-dTomato mice were injected neonatally in the NAc 17 18 with scr or shShank3 virus and whole-cell patch clamp recordings were performed 19 during early adulthood. (a') Representative images of the NAc of a D1R-20 tom+::sh*Shank3* mouse (scale bar: 50 μ m). (b) Example traces at 300 pA 21 depolarizing current injection in D1R-tom+ MSNs infected with scrShank3 (left) or with shShank3 (right). (c) Number of action potentials (nAPs) across increasing 22 depolarizing current steps (0-500 pA) for D1R-tom+::scrShank3 and shShank3 23 24 MSNs, in presence of picrotoxin and kynurenic acid. (Repeated measures ANOVA, 25 virus main effect $F_{(1,14)} = 10.88$, p = 0.005, current steps main effect $F_{(10, 140)} = 7.727$, 26 p < 0.001, scr*Shank3* n = 8 cells, 3 mice, sh*Shank3* n = 8 cells, 3 mice). (d) Example 27 traces at 300 pA depolarizing current injection in D1R-tom- MSNs infected with 28 scrShank3 (left) or with shShank3 (right). (e) Number of action potential (nAPs) 29 across increasing depolarizing current steps (0-500 pA) for D1R-tom-::scrShank3 30 and shShank3 MSNs, in presence of picrotoxin and kynurenic acid. (Repeated 31 measure (RM) two-way ANOVA, virus main effect $F_{(1,18)} = 0.098$, p = 0.758, current steps main effect $F_{(10, 180)} = 14.58$, p < 0.001, n = 8 cells, 3 mice (sh), n=12 cells, 3 32

mice (scr)). (f) Experimental design. D1R-Cre positive (D1R:Cre⁺) and negative 1 2 (D1R:Cre⁻) mice were injected neonatally in the NAc with scr or sh*Shank3* virus and 3 after P30 with AAV-hSyn-DIO-hM4Di-mCherry (DREADD). After 4 weeks, allowing 4 for virus expression, the mice underwent social behaviour assessment in the three-5 chamber task. Mice were intraperitoneally injected with CNO 30 min before starting 6 the test. (f') Representative images of the NAc of a D1R:Cre⁺ mouse infected with 7 sh*Shank3* and DREADD viruses (scale bar: 50 μ m). (g, h, i, j) Left: time around the 8 target during the social preference test for D1R:Cre::scr*Shank3* mice (g; $t_{(7)} = 5.453$, 9 p = 0.001); D1R:Cre⁺::scr*Shank3* mice (h; $t_{(7)} = 0.471$, p = 0.652); D1R:Cre⁻ 10 ::sh*Shank3* mice (i; $t_{(6)} = 0.264$, p = 0.801) and D1R:Cre⁺::sh*Shank3* mice (j; $t_{(8)} =$ 3.443, p = 0.009). Right: juvenile preference index (one-sample t-tests against 11 12 chance level = 0.5: D1R:Cre⁻::scr*Shank3*; $t_{(7)} = 6.395$, p < 0.001, D1R:Cre⁺::scr*Shank3*; *t* (7) = 0.054, *p* = 0.958, D1R:Cre⁻::sh*Shank3*; *t* (6) = 0.334, *p* = 13 14 0.750, D1R:Cre⁺::sh*Shank3*; *t* (8) = 3.706, *p* = 0.006). Error bars report SEM.

15

Figure 3: Downregulation of *Shank3* in D1R MSNs induces alterations in inflammatory mediators and Trpv4 expression

(a) Experimental design. Drd1a-dTomato mice were injected neonatally in the NAc 18 19 with scr or shShank3 virus. At P30 NAc was dissected and FACsorted in 4 different 20 cell populations (D1R-tom+, D1R-tom-, D1R-tom+::AAV and D1R-tom-::AAV). For 21 each cell population we carried out bulk RNA sequencing. (b) Worst-case scenario 22 selected altered genes in scr vs sh testing clearly discriminated infected cells, both 23 D1R+ and D1R- in PCA analysis. (c) While non-infected samples do not share common genes significantly altered in scr vs sh testing, infected D1R+ and D1R-24 25 share a core set of 68 altered genes. (d) Overall GO:Term analysis of infected D1R+ 26 significantly altered genes highlights the relevance of inflammatory mechanisms, as 27 well as cell adhesion-, localization- and movement-related functions. (e) D1R-tom+ proteins 28 expressing directlv altered aenes include genes involved in 29 electrophysiological properties, including the Transient receptor potential vanilloid 4 30 (*Trpv4*). (f) Experimental design. Drd1a-dTomato mice were injected neonatally in 31 the NAc with scr or shShank3 virus and whole-cell patch clamp recordings were 32 performed during early adulthood. (g) Right: example traces from 300 pA

1 depolarizing current injection in D1R+ MSNs infected with scrShank3 treated with 2 vehicle (up), D1R+ MSNs infected with shShank3 treated with vehicle (middle) and 3 D1R+ MSNs infected with shShank3 treated with HC-067047 (down). Left: number 4 of action potentials (nAPs) across increasing depolarizing current steps (0-500 pA) 5 for D1R-tom+::scrShank3 and shShank3 MSNs in the presence of Trpv4 antagonist 6 (HC-067047). (Repeated measures ANOVA, drug main effect $F_{(2, 31)} = 5.883$, p =7 0.007, current steps main effect $F_{(10, 310)} = 24.15$, p < 0.001, drug by current steps 8 interaction $F_{(20, 310)} = 1.685$, p = 0.035 n = 12 cells, 4 mice (sh*Shank3*-Veh), n=10 9 cells, 3 mice (sh*Shank3*-Trpv4), n = 12 cells, 4 mice (scr*Shank3*-Veh)). (h) 10 Experimental design. C57BL6/j mice were injected neonatally in the NAc with scr or shShank3 virus and at P50-60 were bilaterally cannulated above the NAc. After 7 11 12 days, mice underwent the three-chamber social interaction assay. ScrShank3 were infused with vehicle (aCSF/DMSO 0.3%). On the other hand, shShank3 mice were 13 14 infused with either vehicle (aCSF/DMSO 0.3%) or HC-067047 (2µg in aCSF/DMSO 15 0.3%) 10 min before to start the test. (h') Representative image of the injection site 16 and cannula placement above the NAc (scale bar: 250 μ m). (i, j, k) Left: time around 17 the target during the social preference test for mice infected with scrShank3 and infused with vehicle (i, $t_{(5)} = 6.304$, p = 0.002), mice infected with sh*Shank3* and 18 infused with vehicle (i, $t_{(7)} = 0.869$, p = 0.414) or with HC-067047 (k, $t_{(7)} = 4.324$, p 19 20 = 0.004). Right: juvenile preference index for mice infused either with vehicle or with 21 HC-067047 (one-sample t-tests against chance level = 0.5: (f); $t_{(5)} = 6.459$, p = 0.001, 22 (q); $t_{(7)} = 1.02$, p = 0.342, (h); $t_{(7)} = 6.078$, p = 0.001). (I) Juvenile preference index 23 comparison between sh*Shank3*-vehicle and sh*Shank3*-HC-067047 ($t_{(7)} = 2.6, p =$ 24 0.035). Error bars report SEM.

25

26 Figure 4: LPS challenge in *Shank3*^{+/-} unmasks social deficits

(a) Experimental design. *Shank3*^{+/+} and *Shank3*^{+/-} were intraperitoneally injected with LPS or vehicle and 24 hrs later they were subjected to 3-chamber task. (b, c, d, e) Left: Time spent around the target (Paired-samples t-tests for object- vs. social: (b); $t_{(7)} = 7.686, p < 0.001, (c); t_{(8)} = 4.199, p = 0.003, (d); t_{(8)} = 3.462, p = 0.009, (e); t$ (9) = 0.935, p = 0.374). Right: juvenile preference index (one-sample t-tests against chance level = 0.5: (b); $t_{(7)} = 7.2, p < 0.001, (c); t_{(8)} = 5.262, p < 0.001, (d); t_{(8)} =$

1 3.734, p = 0.006, (e); $t_{(9)} = 0.9747$, p = 0.355). (f) Experimental design. Shank3^{+/+} 2 and Shank3^{+/-} were intraperitoneally injected with LPS and 7 days later were 3 subjected to 3-chamber task. (g, h) Left: Time spent around the target (Pairedsamples t-tests for object- vs. social: (g); $t_{(6)} = 5.979$, p = 0.001, (h); $t_{(9)} = 2.759$, p =4 5 0.022). Right: juvenile preference index (one-sample t-tests against chance level = 6 0.5: (g); $t_{(6)} = 6.054$, p < 0.001, (h); $t_{(9)} = 2.463$, p = 0.036). (i) mRNA expression analysis of *IL-1* β , *TNF-a* and *Trpv4* genes after LPS challenge in *Shank3*^{+/+} (IL-1 β one 7 way ANOVA followed by Sidak's multiple comparisons test, $F_{(2,9)}=10.33$, p=0.005; 8 TNF-α Kruskal-Wallis statistic 7.538, *p=0.012; Trpv4* one way ANOVA followed by 9 Sidak's multiple comparisons test, $F_{(2,9)}=2.768$, p = 0.116). (j) mRNA expression 10 11 analysis of *IL-1* β , *TNF-a* and *Trpv4* genes after LPS challenge in *Shank3*^{+/-} (IL-1 β Kruskal-Wallis statistic 9.002, p=0.002; TNF-a one way ANOVA followed by Sidak's 12 multiple comparisons test, $F_{(2.10)}=10.27$, p=0.004; Trpv4 one way ANOVA followed 13 by Sidak's multiple comparisons test: $F_{(2,9)} = 31.26$, p < 0.001). (k) Experimental 14 15 design. Shank3+/- were crossed with Drd1a-tdTomato mice labelling specifically D1R-16 MSNs in *Shank3*^{+/-} background. *Ex-vivo* patch clamp recordings were made 24 hrs 17 after the LPS injection. (I) Whole-cell recording of Trpv4 current after LPS challenge in Shank3^{+/+-} and Shank3^{+/-} mice (Repeated measures ANOVA, voltage steps main 18 19 effect $F_{(1.974,43,29)} = 16.15$, p=0.001, genotype by voltage steps interaction $F_{(120,880)}$ 20 = 1.451, p = 0.002; n = 5 cells, 2 mice (*Shank3*^{+/+}), n=5 cells, 2 mice (*Shank3*^{+/+}+LPS), n = 7 cells, 2 mice (*Shank3*^{-/+}) n = 9 cells, 2 mice (*Shank3*^{-/+}+LPS)) (m) Example 21 traces from 300 pA depolarizing current injection in D1R+ MSNs of Shank3+/- mice 22 23 after vehicle IP injection and treated with vehicle (left), D1R+ MSNs of Shank3+/- mice 24 after LPS challenge and treated with vehicle (middle), and in D1R+ MSNs of 25 Shank3^{+/-} mice after LPS challenge and treated with HC-067047. (n) Number of 26 action potentials (nAPs) across increasing depolarizing current steps (0-500 pA) for 27 D1R-tom+:: Shank3^{+/-} MSNs after LPS challenge (Repeated measures ANOVA, LPS challenge main effect $F_{(2.30)} = 3.034$, p = 0.063, current steps main effect $F_{(10, 300)} =$ 28 28.08, p < 0.001, LPS challenge by current steps interaction $F_{(20, 300)} = 2.042$, p =29 0.006, n = 10 cells, 4 mice (D1R-tom+:: Shank $3^{+/-}$ + veh), n = 13 cells, 3 mice (D1R-30 tom+:: Shank $3^{+/-}$ + LPS), n = 10 cells, 3 mice (D1R-tom+:: Shank $3^{+/-}$ + LPS + 31 32 HC067047)). Error bars report SEM.

1

Figure 5: Trpv4 antagonist infused in the NAc improves social deficits in *Shank3^{+/-}* mice challenged with LPS

(a) Experimental design. Adult *Shank3*^{+/-} mice were intraperitoneally injected with 4 5 LPS and 24 hours later were subjected to the behavioural task. 30 minutes before 6 the test, mice were infused (in the NAc) either with Trpv4 antagonist (HC-067047) or 7 vehicle. (b, c) Left: Time spent around the target for Shank3^{+/} mice after LPS 8 challenge and vehicle or HC-067047 infusion in the NAc (Paired-samples t-tests for 9 object- vs. social: (b); $t_{(6)} = 0.408$, p = 0.687, (c); $t_{(6)} = 2.787$, p = 0.032). Right: 10 juvenile preference index (one-sample t-tests against chance level = 0.5: (b); $t_{(6)}$ = 0.629, p = 0.4388, (c); $t_{(6)} = 2.852$, p = 0.029). Error bars report SEM. 11

12

Supplementary Figure 1: *Shank3* downregulation during development increases the interaction with the non-social target

15 (a) Schema of injection sites in the NAc with AAV-scrShank3-GFP or AAV-shShank3-16 luczsGreen in ≤P6 or P90 mice. Subsequently, the NAc was dissected and mRNA 17 was extracted. (b) Real-time PCR analysis of NAc dissected from P6- or P90-injected 18 mice confirm the downregulation of Shank3 in sh infected mice (two-way ANOVA 19 followed by Bonferroni's multiple comparisons test: Virus main effect $F_{(1, 8)} = 18.80$, p=0.003). (c, h) Time spent in compartments for mice injected \leq P6 ((c) Paired-20 21 samples t-tests for object- vs. social-containing chambers: scrShank3; $t_{(12)} = -5.047$, 22 p < 0.001), shShank3; $t_{(9)} = -0.645$, p = 0.535, (h) Paired-samples t-tests for object-23 vs. social-containing chambers: scrShank3; $t_{(9)} = 3.144$, p = 0.012, shShank3; $t_{(6)} =$ 24 5.686, p = 0.001). (d) Total exploration time around the enclosures for mice injected neonatally (Mann-Whitney test, p = 0.784). (e) Time spent around the enclosure 25 containing the social stimulus ($t_{(21)} = 2.152$, p = 0.043). (f) Time spent around the 26 non-social target ($t_{(21)} = -3.499$, p = 0.002). (g) Distance moved in the apparatus (t 27 28 (21) = -0.483, p = 0.634). (i) Total exploration time around the enclosures for mice 29 injected during adulthood ($t_{(15)} = 1.347$, p = 0.198). (j) Time spent around the 30 enclosure containing the social stimulus ($t_{(15)} = -1.541$, p = 0.144). (k) Time spent around the non-social target ($t_{(15)} = 1.347$, p = 0.198). (I) Distance moved in the 31 32 apparatus ($t_{(15)} = -1.544$, p = 0.143). Error bars report SEM.

1

Supplementary Figure 2: *Shank3* NAc downregulation alters D1R MSNs excitability

(a, d, i, o) Total number of APs across all steps ((a) Mann Whitney test, p = 0.005. 4 5 (d) unpaired t-test, $t_{(18)} = 0.482 p = 0.636$. (i) Mann Whitney test, p = 0.055. (o) Mann 6 Whitney test, p = 0.134.). (b, e, j, p) Resting membrane potential of recorded cells 7 ((b) Mann Whitney test, p = 0.721. (e) unpaired t-test, $t_{(17)} = 0.105 p = 0.918$. (j) Mann 8 Whitney test, p < 0.001. (p) unpaired t-test, $t_{(20)} = 0.385 p = 0.704$). (c, f, k, q) After-9 hyperpolarization current (AHP) of recorded cells ((c) unpaired t-test, $t_{(14)} = 0.597$, p=0.559. (f) unpaired t-test, $t_{(18)} = 0.291 p = 0.774$. (k) unpaired t-test, $t_{(24)} = 0.094$, 10 p = 0.926. (g) unpaired t-test, $t_{(18)} = 0.099 p = 0.922$). (g) Number of action potentials 11 12 (nAPs) across increasing depolarizing current steps (0-500 pA) for D1R-13 tom+::scrShank3 and shShank3 MSNs (Repeated measure (RM) two-way ANOVA, 14 main effect of virus $F_{(1, 27)} = 5.285 p = 0.030$, main effect of current steps $F_{(10, 270)} =$ 15 32.46 p < 0.001, virus by current steps interaction $F_{(10, 270)} = 1.957 p = 0.038$, n = 9 cells, 3 mice (shShank3), n = 20 cells, 5 mice (scrShank3)). (h) Example traces from 16 17 300 pA depolarizing current injection in D1R-tom+ MSNs infected with scrShank3 (upper part) or with shShank3 (lower part). (I, r) Input resistance of recorded cells ((I) 18 $t_{(27)} = 0.528$, p = 0.602. (r) $t_{(19)} = 1.607$, p = 0.125). (m) Number of action potential 19 20 (nAPs) across increasing depolarizing current steps (0-500 pA) for D1R-tom-21 ::scrShank3 and shShank3 MSNs (Repeated measures ANOVA, main effect of virus 22 $F_{(1, 20)} = 5.207$, p = 0.034, main effect of current steps $F_{(10, 200)} = 11.77$, p < 0.001, 23 virus by current steps interaction $F_{(10, 200)} = 2.958 p = 0.002$, n = 10 cells, 3 mice (shShank3), n=12 cells, 3 mice (scrShank3)). (n) Example traces from 300 pA 24 25 depolarizing current injection in D1R-tom-MSNs infected with scrShank3 (upper part) 26 or with shShank3 (lower part). Error bars report SEM.

27

28 Supplementary Figure 3: Dampening D1R-MSNs activity improves social 29 deficits in NAc-shShank3 mice

30 (a) Representative image of GIRK1 expression (green) in the NAc of Drd1a-dTomato

31 (red) mice. (b) Experimental design. D1R-Cre positive (D1R:Cre⁺) mice were injected

32 in the NAc with AAV-hSyn-DIO-hM4Di-mCherry (DREADD) and after P60 whole-cell

patch clamp recordings were performed. NAc slices were either pre-incubated with 1 2 CNO and recorded in presence of CNO, or were incubated and recorded in aCSF 3 only. (c) Number of action potentials (nAPs) across increasing depolarizing current 4 steps (0-500 pA) in presence or absence of CNO. The number of APs was 5 significantly decreased by the bath application of CNO (Repeated measures ANOVA, 6 main effect of drug $F_{(1, 11)} = 6.060 p = 0.032$, main effect of current steps $F_{(10, 110)} =$ 7 12.11 p < 0.001, drug by current steps interaction $F_{(10, 110)} = 4.342 p < 0.001$, n = 6 8 cells (aCSF) 7 cells (CNO); n = 2 mice). (d) Distance moved for D1R:Cre+/- mice 9 injected with DREADD and scrShank3 or DREADD and shShank3 (Two-way ANOVA: main effect of virus $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, main effect of genotype $F_{(1, 28)} = 1.756$, p = 0.196, p = 0.19610 $_{28)} = 10.039, p = 0.004$, virus by genotype interaction $F_{(1, 28)} = 4.959, p = 0.034$). (e) 11 Total exploration time for D1R:Cre⁺ or D1R:Cre⁻ mice injected with DREADD and 12 scrShank3 or DREADD and shShank3 (Two-way ANOVA: main effect of virus F (1, 13 14 $_{28)} = 0.902, p = 0.350,$ main effect of genotype $F_{(1, 28)} = 2.135, p = 0.155,$ virus by 15 genotype interaction $F_{(1, 28)} = 2.604$, p = 0.118). (f) Time spent in compartments of 16 the three-chamber social interaction task for D1R:Cre⁺ or D1R:Cre⁻ mice injected with 17 DREADD and scrShank3 or DREADD and shShank3 (D1R:Cre⁻::scrShank3: $t_{(7)}$ = 4.916, p = 0.002, D1R:Cre⁺::scrShank3: $t_{(7)} = 0.043$, p = 0.967, D1R:Cre⁻::shShank3: 18 19 $t_{(6)} = -0.355$, p = 0.735, D1R:Cre⁺::shShank3: $t_{(8)} = 3.031$, p = 0.016). Error bars 20 report SEM.

21

Supplementary Figure 4: D1R-MSNs shShank3 downregulated genes association with SFARI genes

(a) Differential expression analysis of AAV-scrShank3 vs AAV-ShShank3 shows
small indirect transcriptional effect in non-infected samples, while infected samples
display the stronger transcriptomic alterations. In both D1R+ (b) and D1R- (c) SFARI
associated genes are altered, supporting the link between Shank3 downregulation
with an autism-related phenotype.

29

30 Supplementary Figure 5: Supplementary data on behavioral experiments 31 showed in Figure 4

32 (a-b) Real-time PCR analysis of NAc dissected from P6- or P90-injected mice confirm

1 the upregulation of *Trpv4* in P6 sh-infected mice (P6, unpaired t-test $t_{(4)} = 2.980$, p =2 0.041, P90 $t_{(5)} = 0.4203$, p = 0.69). (c) Time spent in compartments for mice infected 3 with scrShank3 or shShank3 and infused with vehicle or HC-067047 (Paired-samples t-tests for object- vs. social-containing chambers scrShank3 + veh: $t_{(10)} = 2.772$, p =4 0.020, shShank3 + veh: $t_{(7)} = 0.462$, p = 0.658, shShank3 + HC-067047: $t_{(7)} = 3.339$, 5 6 p = 0.012). (d) Distance moved during social preference test (one way ANOVA) 7 followed by Bonferroni's multiple comparisons test: $F_{(3,24)}=0.586$, p = 0.630). Error 8 bars report SEM.

9

10 Supplementary Figure 6: *Shank3*^{+/-} does not show social deficits

(a) Behavioural task paradigm. (b, c) Left: Time spent around the target during social 11 preference test for Shank3+/+ and Shank3+/- mice (paired-samples t-tests for object-12 vs. social: Shank $3^{+/+}$: $t_{(9)} = 5.167$, p < 0.001; Shank $3^{+/-}$: $t_{(12)} = 3.026$, p = 0.011). Right: 13 14 juvenile preference index (one-sample t-tests against chance level = 0.5: Shank $3^{+/+}$: t (9) = 5.617, p < 0.001; Shank $3^{+/-}$: $t_{(12)} = 3.146$, p = 0.008). (d) Time spent in the juvenile. 15 object or in center chamber during social preference test for *Shank3*^{+/+}, *Shank3*^{+/-} and 16 17 Shank3^{-/-} mice (Paired-samples t-tests for object- vs. social-containing chambers: Shank $3^{+/+}$: $t_{(9)} = 3.269$, p = 0.009; Shank $3^{+/-}$: $t_{(12)} = 2.705$, p = 0.019). (e) Distance 18 19 moved during social preference test (Unpaired-samples t-tests: $t_{(21)} = 0.9307$, p =20 0.363).

21

Supplementary Figure 7: Supplementary data on behavioral experiments showed in Figure 5

LPS challenge induces social deficits in Shank3^{+/-} mice after 24 hours: (a) Time spent 24 25 in the juvenile, object or in the center chamber during social preference test for Shank3+/+ and Shank3+/- previously injected with vehicle or LPS (Paired-samples t-26 27 tests for object- vs. social-containing chambers: Shank $3^{+/+}$ + veh: $t_{(7)}$ = 4.838, p = 0.002, Shank $3^{+/+}$ + LPS: $t_{(8)}$ = 3.87, p = 0.005, Shank $3^{+/-}$ + veh: $t_{(8)}$ = 4.526, p = 0.002, 28 Shank $3^{+/-}$ + LPS: t (9) = 0.3939, p = 0.703). (b) Distance moved during social 29 30 preference test (two-way ANOVA followed by Bonferroni's multiple comparisons test: 31 LPS treatment main effect $F_{(1,32)}$ =58.03, p<0.001). 7 days after LPS challenge the 32 sociability and the distance moved are not impaired anymore: (c) Time spent in the

- 1 juvenile, object or in the center chamber during social preference test for Shank3+/+
- 2 and Shank3+/- previously injected LPS (Paired-samples t-tests for object- vs. social-
- 3 containing chambers: *Shank* $3^{+/+}$ + LPS: $t_{(7)}$ = 5.083, p = 0.002, *Shank* $3^{+/-}$ + LPS: $t_{(10)}$
- 4 = 2.536, p = 0.032. (d) Distance moved during social preference test (unpaired t-test
- 5 t₍₁₅₎=0.3116, p=0.76). Error bars report SEM.
- 6

Supplementary Figure 8: Supplementary data on behavioral experiments showed in Figure 6

- 9 (a) Time spent in compartments for *Shank3*^{+/-} mice after LPS challenge and with
- 10 vehicle or HC-067047 infusion in the NAc (Paired-samples t-tests for object- vs.
- 11 social-containing chambers: *Shank* $3^{+/-}$ + veh: *t* (6) = 1.3229, *p* = 0.232, *Shank* $3^{+/-}$ +
- 12 HC-067047: $t_{(6)} = 1.17$, p = 0.286). (b) Distance moved during social preference test
- 13 (unpaired t-test: $t_{(12)} = 0.3708$, p = 0.717). Error bars report SEM.

1 METHOD DETAILS

2

3 Viruses and stereotactic injections

4 Viruses used in this study: (1) purified scrShank3 and shShank3 (AAV1-GFP-U6-scrmbshRNA; titer: 5.9x10¹³ GC/mL and AAV5-ZacF-U6-luczsGreen-sh*Shank3*; 5 6 titer: 7.4x10¹³ GC/mL, VectorBioLab); (2) AAV5/hsyn-DIO-hM4D(Gi)-mCherry 7 (AV44961, titer: 5.5x10¹² virus molecules/mL, UNC GTC vector core). Viral injections 8 in the NAc were delivered in mice either at an early time-point (at P5 or P6; \leq P6) or 9 later in life (>P30) (, depending on the experimental cohort. After anesthesia induction with a mixture of isoflurane/O2, C57BI/6j wildtype pups or >P30 mice were placed on 10 11 a stereotaxic frame (Angle One; Leica, Germany). For the pups, the coordinates used 12 were AP: +3.5 mm, ML: ±0.8 mm, DV: -3.2 mm (measured from lambda), and for >P30 mice, the coordinates were AP: +1.2 mm, ML: ±1.0 mm, DV: -4.4/-4.0 mm 13 14 (measured from bregma). To obtain bilateral NAc infection, 100 nl of viral solution 15 was infused per injection in pups and 150 nl of viral solution was infused per injection 16 in >P30 mice.

17

18 Social preference test

19 A three-chambered social interaction assay was used, comprising a rectangular Plexiglas arena $(60 \times 40 \times 22 \text{ cm})$ (Ugo Basile, Varese, Italy) divided into three 20 21 chambers (each $20 \times 40 \times 22$ (h) cm). The walls of the center chamber had doors that 22 could be lifted to allow free access to all chambers. The social preference test was 23 performed similarly as published by Moy *et al*⁸³. Briefly, each mouse was placed in the arena for a habituation period of 10 min, when it was allowed to freely explore the 24 empty arena. At the end of the habituation, the test was performed: two enclosures 25 with metal vertical bars were placed in the center of the two outer chambers. One 26 27 enclosure was empty (serving as an inanimate object) whereas the other contained a 28 social stimulus (unfamiliar juvenile mouse 25 ± 1 day old). The enclosures allowed 29 visual, auditory, olfactory, and tactile contact between the experimental mice and the 30 mice acting as social stimuli. The juvenile mice in the enclosures were habituated to the apparatus and the enclosures for a brief period of time on the 3 days preceding 31 32 the experiment. The experimental mouse was allowed to freely explore the apparatus

and the enclosures for 10 min. The position of the empty vs. juvenile-containing 1 2 enclosures alternated and was counterbalanced for each trial to avoid any bias effects. 3 Animals that their total exploration time for both the enclosures was less than 10 4 seconds were excluded from the analysis. In particular, one mouse in the 5 D1:Cre⁺::scr*Shank3* group was excluded from the analysis according to this criterion. Every session was video-tracked and recorded using Ethovision XT (Noldus, 6 7 Wageningen, the Netherlands), which provided an automated recording of the time 8 around the enclosures (with virtual zones designed around them), the distance moved 9 and the velocity. The time spent around each enclosure was assessed and then used 10 to determine the preference score for the social target as compared to the empty 11 enclosure (social/(social + empty)). The arena was cleaned with 1% acetic acid 12 solution and dried between trials.

In the rescue experiment with the chemogenetic approach, 30 minutes before the
habituation, scr- and sh*Shank3* injected mice were intraperitoneally injected with
Clozapine N-oxide (CNO, Cat. No.: BML-NS105-0025, Lot No.: 07131709) dissolved
in saline (5 mg/Kg).

In the LPS challenge experiments, *Shank3^{+/+}* and *Shank3^{+/-}* mice were intraperitoneally injected 24 hours before the test with LPS at a dose of 2mg/Kg in saline (NaCl 0.9%) (Lipopolysaccharides from Escherichia coli O26:B6, Sigma-Aldrich)

21

22 Whole-cell patch clamp recordings

23 Coronal midbrain slices 250 µm thick containing the NAc were prepared 24 following the experimental injection protocols described above. Brain were sliced in 25 artificial cerebrospinal fluid (aCSF) containing 119 mM NaCl, 2.5 mM KCl, 1.3 mM 26 MgCl₂, 2.5 mM CaCl₂, 1.0 mM NaH₂PO₄, 26.2 mM NaHCO₃ and 11 mM glucose, 27 bubbled with 95% O₂ and 5% CO₂. Slices were kept for 20-30 min at 35°C and then 28 transferred at room temperature. Whole-cell voltage clamp or current clamp 29 electrophysiological recordings were conducted at 32°-34° in aCSF (2-3 ml/min, 30 submerged slices). Recording pipette contained the following internal solution: 31 140 mM K-Gluconate, 2 mM MgCl2, 5 mM KCl, 0.2 mM EGTA, 10 mM HEPES, 4 mM 32 Na2ATP, 0.3 mM Na3GTP and 10 mM creatine-phosphate. The cells were recorded

1 at the access resistance from 10–30 M Ω . Resting membrane potential (in mV) was 2 read using the Multiclamp 700B Commander (Molecular Devices) while injecting no 3 current (I = 0) immediately after breaking into a cell. Action potentials (AP) were 4 elicited in current clamp configuration by injecting depolarizing current steps (50 pA, 5 500 ms) from 0 to 500 pA, in presence of Picrotoxin (100 μ M) and Kynurenic acid 6 (3mM). For CNO validation and HC-067047 rescue, slices were incubated 20 min 7 with the drugs (CNO 20 μ M, HC-067047 10 μ M, in DMSO 0.03% final concentration) 8 before to start the excitability protocol. After-hyperpolarization current (AHP) was 9 assessed in voltage clamp configuration by holding the cell at -60mV with a step of 10 +60mV for 100 ms. TRPV4 currents were assessed by holding the cell at 0mV followed by a 400 ms ramp from -100 mV to +100 mV. The ramp protocol was applied 11 12 every 5 seconds for 5 minutes (baseline) and then, the TRPV4 inhibitor, HC067047 $(10 \mu M)$, was applied and cells were recorded for 20 min. Trpv4 current response was 13 14 obtained by subtracting the current in the presence of HC067047 from the baseline. 15 The synaptic responses were collected with a Multiclamp 700B-amplifier (Axon 16 Instruments, Foster City, CA), filtered at 2.2kHz, digitized at 5Hz, and analyzed 17 online using Igor Pro software (Wavemetrics, Lake Oswego, OR).

18

19 RNA extraction, cDNA synthesis, and RT-PCR

20 Total RNA was extracted using RNeasy Mini Kit (cat 74104) from QIAGEN. 21 The extraction was performed following the details of the kit. After the extraction, RNA 22 guantification was performed using NanoDrop 1000 (Thermo Scientific) and the 23 samples were stored at -80°C until cDNA synthesis. RNA integrity was checked 24 using the Agilent 2100 Bioanalyzer (RIN was always >8). cDNA synthesis for two-25 step RT-PCR was performed using the QuantiTect Reverse Transcription Kit (cat 26 205313) from QIAGEN. For each sample, 1 up of RNA was retrotranscribed in cDNA 27 following the kit instruction. 200 ng of cDNA was used for the RT-PCR analysis using 28 a Sybr Green technology. Plates were processed on the 7900HT systems from 29 Thermo Fisher Scientific, equipped with automated devices for plates loading. (Tecan Freedom EVO). SHANK3 forward primer 5' acqaagtgcctgcgtctggac 3', reverse 30 31 5′ ctcttqccaaccattctcatcaqtq 3'; forward 5' primer IL-1β primer 32 caaccaacaaqtgatattctccatg 3', reverse primer 5' gatccacactctccagctgca 3'; TNF-a

5' 5' 1 forward primer gacgtggaactggcagaagag 3', primer reverse 2 gccacaagcaggaatgagaag 3'; TRPV4 forward primer 5' gtctcgcaagttcaaggact 3', 3 5′ reverse primer 5' aaacttacgccacttgtctc 3'; Actin forward primer 4 agagggaaatcgtgcgtgac 3', reverse primer 5' caatagtgatgacctggccgt 3'. Reactions were carried out using iTaq[™] Universal SYBR® Green Supermix (Biorad) bv 50°C 5 6 for 2 min, 95°C for 10 min followed by 40 cycles at 95°C for 15 s and 60°C for 1 min. 7 Relative quantification of gene expression was performed according to the $\Delta\Delta$ -Ct method⁸⁴. 8

9

10 FACS sorting and RNA sequencing

11 Mice were anesthetized in isoflurane and decapitated to dissect fresh brains 12 in ice-cold aCSF (see above). Brains were kept in ice-cold and O₂ 95%, CO₂ 5% bubbled aCSF during the preparation of coronal slices, 300um thick using a 13 14 vibratome. Selected slices were used to manually microdissect the NAc using a total 15 of 4-5 P30 mice for each experiment. The dissected tissue was moved in 1.5ml FACS 16 buffer (L15 added with Glucose 2mg/ml, Bovine Serum Albumin 0,1%, Citrate 17 Phosphate Dextrose 16.7%, DNAsel 10U/ml). After removing the FACS buffer, the tissue was incubated in 400 ul of L15 0.01%Papain (Worthington, #LS003118) and 18 19 incubated 30' at +37°C. The tissue was mechanically disrupted pipetting 10 times 20 with a P1000 and a P200 sterile tip, and Papain digestion was blocked adding FACS 21 buffer 0.02% Chicken egg white inhibitor (Sigma, #T9253). The cell suspension was 22 passed through a 70 μ m strainer (ClearLine, # 141379C) and spun at 200g for 5' at 23 +4°C. The precipitate was resuspended in 1ml FACS buffer, this step was repeated 24 a second time to further wash cellular debris. 8 ul of Hoechst (0.1 mg/mL) were added 25 to the sample and incubated for 7' at +37°C. Before FACsorting we added the 5 ul of 26 the cellular death dye Drag7TM (Viability dye, Far-red DNA intercalating agent, 27 Beckman Coulter, #B25595). The suspension was sorted on an Astrios II cell sorter 28 (Beckam Coulter), enriching for Hoechst stained and Drag7TM non-stained particles. 29 Forward and side scatter were used to exclude smaller cellular debris and duplets. 30 488nm and 568nm laser excitation were used to separate the desired combinations 31 of cellular population. Each cell population was sorted in FACS buffer and spun down 32 at 200g for 5' to be dried and snap-frozen in liquid nitrogen before RNA extraction.

FACsorting experiments were performed within the Flow cytometry facility at the
 University of Geneva.

3

4 Sequencing libraries preparation

5 To prepare cDNA libraries collected frozen tissue was processed using a 6 QIAGEN RNeasy kit (QIAGEN, #74034) to extract RNA and prepare cDNA libraries 7 using SMARTseq v4 kit (Clontech, # 634888) and sequenced using HiSeq 2500 in 8 100 pairbase length fragments for a minimum of 1 million reads per sample. 9 Sequences were aligned using STAR aligner⁸⁵ using the mouse genome reference 10 (GRCm38). The number of read per transcripts was calculated with the open-source HTseq Python library⁸⁶. All analyses were computed on the Vital-it cluster 11 12 administered by the Swiss Institute of Bioinformatics. Sequencing experiments were 13 performed within the Genomics Core Facility of the University of Geneva.

14

15 Sequencing analysis

16 Count tables were normalized to reads per million (RPM) and genes were 17 filtered keeping only those with more than 10 RPM (supplementary information Table S1). DEseg2 package was used to normalize samples to RPM count tables. In Fig. 18 19 3b we selected differentially expressed genes were selected on a worst-case 20 scenario threshold of 1.5 fold, keeping the data from the replicates corresponding to 21 the pair that gave the minimum fold change between each pair of conditions tested. 22 The full list of results for the worst-case scenario fold change analysis is shown in 23 Supplementary figure 4a. We performed PCA analysis in with all of the samples 24 and all of the genes selected above worst-case scenario threshold of 1.5 (855 genes, 25 supplementary information Table S2), these data were normalized by rlog 26 transformation from the DEseg2 package and then used for PCA analysis. SFARI 27 genes (https://gene.sfari.org/tools) belonging to the list of significantly altered genes 28 in AAV-scrShank3 versus AAV-shShank3 infected D1R-tom+ and D1R-tom-29 samples are plotted in Supplementary figure 4b-c and have been tested for 30 enrichment using Fisher test in Fig. 3d, the 178 worst case scenario differentially 31 expressed genes in AAV-shShank3 versus AAV-scrShank3 D1R-tom+ samples, split 32 in sh upregulated and sh downregulated were analyzed for significantly enriched

GO:Terms using GOrilla⁸⁷ and the REViGO⁸⁸ online tools, selecting GO:Terms with adjusted P-value lower than 1e-3. Gene expression heatmap in **Fig. 3e** was produced normalizing the rlog transformation of RPM count tables and allowing samples and genes to cluster by Euclidean distance (supplementary information Table S3). All analysis have been made using R, packages used: DEseq2⁸⁹, reshape2⁹⁰, ggplot2⁹¹, scater⁹², IHW⁹³. Count table and FASTQ files are available at the GEO database (GSE139683).

8

9 Cannulations and intra-NAc microinfusions

10 As explained in the subchapter "Viruses and stereotactic injections", adult mice (P50-60) were placed on a stereotaxic frame (Angle One; Leica, Germany). 11 12 Bilateral craniotomy (1 mm in diameter) was then performed bilaterally with the following stereotactic coordinates: AP: +1.2 mm, ML: ± 1 mm, DV: -3.8 mm 13 14 (measured from bregma). Bilateral stainless steel 26-gauge cannula (5 mm ped, 15 PlasticsOne, Virginia, USA) was implanted above the NAcs and fixed on the skull 16 with dental acrylic. Between experiments, the cannula was protected by a removable 17 cap in aluminum. All animals underwent behavioural experiments 1-2 weeks after surgery. In the rescue experiment with the Trpv4 antagonist, cannulated scr- or 18 sh*Shank3* and *Shank3*^{+/-} mice were infused 10 minutes before the three-chamber 19 task (Fig. 3h, more precisely, 10 minutes before the habituation in the arena). 20 21 Cannulated scr- and sh*Shank3* mice performed the behavioural task two times with 22 7-days pause period between the trails. sh*Shank3* injected mice were randomly 23 infused with $2\mu L$ (500nL m⁻¹) of vehicle (~3 % dimethyl sulfoxide (DMSO, Sigma) diluted in aCSF) or with 2μ L Trpv4 antagonist (HC-067047, Sigma 2 μ g diluted in 24 25 aCSF-DMSO ~3 %). The treatment was counterbalanced between trials. On the other hand, scr*Shank3* injected mice were infused both trials with vehicle. Similarly, 26 27 cannulated Shank3^{+/-} mice were intraperitoneally injected with LPS at a dose of 28 2mg/Kg 24 hours before the test. Then mice were randomly infused 10 minutes 29 before the test with 2μ L (500nL m⁻¹) of vehicle (~3 % dimethyl sulfoxide (DMSO. Sigma) diluted in aCSF) or with 2μ L Trpv4 antagonist (HC-067047, Sigma 2 μ g 30 diluted in aCSF-DMSO ~3 %). 31

32

1 Tissue processing for post-hoc studies

For *post hoc* analysis, adult mice were anesthetized with pentobarbital (Streuli Pharma) and sacrificed by intracardial perfusion of 0.9% saline followed by 4% PFA (Biochemica). Brains were post-fixed overnight in 4% PFA at 4 °C. 24 hours later, they were washed with PBS before 50µm thick vibratome cutting. After each behavioural experiment, *post hoc* analysis was performed to validate the localization of the infection and/or cannulation.

8

9 Immunohistochemistry and image acquisition

10 Prepared slices were washed three times with phosphate buffered saline (PBS) 0.1M. Slices were then pre-incubated with PBS-BSA-TX buffer (0.5% BSA and 11 12 0.3% Triton X-100) for 90 minutes at room temperature in the dark. Subsequently, cells were incubated with primary antibodies diluted in PBS-BSA-TX (0.5% BSA and 13 14 0.3% Triton X-100) overnight at 4°C in the dark. The following day slices were 15 washed three times with PBS 0.1M and incubated for 90 minutes at room 16 temperature in the dark with the secondary antibodies diluted in PBS-BSA buffer 17 (0.5% BSA). Finally, coverslips were mounted using fluoroshield mounting medium with DAPI (Abcam, ab104139). Primary antibody used in this study: polyclonal rabbit 18 19 anti-Kir3.1 (Girk1, 1/750 dilution, Alamone labs, APC-005). Secondary antibody used at 1/500 dilution: donkey anti-rabbit 488 (Alexa Fluor, Abcam ab150073). 20

Post hoc tissue images were acquired using a confocal laser-scanning microscope
 LSM700 (Zeiss) or an Axiocam fluo wide field microscope (Zeiss) depending on the
 size of the ROI.

24

25 Statistical analysis

Statistical analysis was conducted with GraphPad Prism 7 and 8 (San Diego, CA, USA) and SPSS version 21.0 (IBM Corp, 2012). Statistical outliers were identified with the ROUT method (Q = 1) and excluded from the analysis. The normality of sample distributions was assessed with the Shapiro–Wilk criterion and when violated non-parametric tests were used. When normally distributed, the data were analyzed with independent t-tests, one sample t-tests, one-way ANOVA and repeated measures (RM) ANOVA as appropriate. When normality was violated, the 1 data were analyzed with Mann-Whitney test, while for multiple comparisons,

- 2 Kruskal-Wallis or Friedman test was followed by Dunn's test. For the analysis of
- 3 variance with two factors (two-way ANOVA, RM two-way ANOVA, and RM two-way
- 4 ANOVA by both factors), normality of sample distribution was assumed, and followed
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- 6 significance was set at 95% of confidence.

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Figure 1 - Tzanoulinou, Musardo, Contestabile et all



Figure 2 - Tzanoulinou, Musardo, Contestabile et all



Figure 3 - Tzanoulinou, Musardo, Contestabile et all



Figure 4 - Tzanoulinou, Musardo, Contestabile et all



Figure 5 - Tzanoulinou, Musardo, Contestabile et all



Sup. Figure 1 - Tzanoulinou, Musardo, Contestabile et all





Sup. Figure 2 - Tzanoulinou, Musardo, Contestabile et all

Whole-cell patch clamp in Picrotoxin and Kynurenic Acid

Whole-cell patch clamp in aCSF



Sup. Figure 3 - Tzanoulinou, Musardo, Contestabile et all



Sup. Figure 4 - Tzanoulinou, Musardo, Contestabile et all



Sup. Figure 5 - Tzanoulinou, Musardo, Contestabile et all



Sup. Figure 6 - Tzanoulinou, Musardo, Contestabile et all



Sup. Figure 7 - Tzanoulinou, Musardo, Contestabile et all



Sup. Figure 8 - Tzanoulinou, Musardo, Contestabile et all