1 Genome-wide association identifies candidate genes for drought tolerance in coast redwood 2 and giant sequoia 3 4 Amanda R. De La Torre^{1*§}, Manoj K. Sekhwal¹, Daniela Puiu², Steven L. Salzberg², Alison 5 Dawn Scott^{3‡}, Brian Allen³, David B. Neale^{3§}, Alana R.O. Chin³, Thomas N. Buckley^{3*} 6 7 ¹ School of Forestry, Northern Arizona University, 200 E. Pine Knoll, AZ86011, Arizona, USA. 8 ² Department of Biomedical Engineering, Computer Science and Biostatistics & Center for 9 Computational Biology, John Hopkins University, 3100 Wyman Park Dr, Wyman Park Building, 10 room S220, Baltimore, MD21211, USA 11 ³ Department of Plant Sciences, University of California, Davis, One Shields Avenue, Davis, CA 12 95616, USA. 13 14 *Shared first authorship 15 16 §Corresponding authors: Amanda De La Torre, Amanda.de-la-torre@nau.edu; David B. Neale 17 dbneale@ucdavis.edu 18 [‡] Current affiliation: Department of Chromosome Biology, Max Planck Institute for Plant 19 Breeding Research, Cologne, NRW, Germany 20 21 22 23 Running head: Genomics of drought in redwoods 24 Journal: 25 Number of words: 7805 26 Number of Tables: 3 27 Number of Figures: 6 28 Supporting Information: Tables S1-S7, Figures S1-S5 29

31 SUMMARY

32 Drought is a major limitation for survival and growth in plants. With more frequent and severe 33 drought episodes occurring due to climate change, it is imperative to understand the genomic and 34 physiological basis of drought tolerance to be able to predict how species will respond in the future. 35 In this study, univariate and multitrait multivariate GWAS methods were used to identify candidate 36 genes in two iconic and ecosystem-dominating species of the western US - coast redwood and 37 giant sequoia – using ten drought-related physiological and anatomical traits and genome-wide 38 sequence-capture SNPs. Population level phenotypic variation was found in carbon isotope 39 discrimination, osmotic pressure at full turgor, xylem hydraulic diameter and total area of 40 transporting fibers in both species. Our study identified new 78 new marker × trait associations in 41 coast redwood and six in giant sequoia, with genes involved in a range of metabolic, stress and 42 signaling pathways, among other functions. This study contributes to a better understanding of the 43 genomic basis of drought tolerance in long-generation conifers and helps guide current and future 44 conservation efforts in the species.

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46 Key words: Drought, GWAS, Sequoiadendron giganteum, Sequoia sempervirens, polygenic

47 traits, carbon isotope discrimination, stomata, osmotic pressure, xylem

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49 Significance Statement: Climate change brings more frequent and severe drought events that 50 challenge the survival of natural populations of plants. While most of our knowledge about drought 51 tolerance comes from annual and domesticated plants, the genomic basis of drought tolerance in 52 long-generation trees is poorly understood. Here, we aim to fill this gap by identifying candidate 53 genes in two conifer species, coast redwood and giant sequoia.

54 INTRODUCTION

55

56 Understanding the genomic basis of phenotypic trait variation and its distribution across a species' 57 range is indispensable to predict species response to global climate change and to develop 58 conservation and management guidelines (Bellard et al., 2012; Razgour et al., 2019). This has 59 become an urgent need in the western United States, where longer and more severe drought events 60 have resulted in massive tree mortality in the last ten years (Allen *et al.*, 2010; Hicke *et al.*, 2015; 61 Adams et al., 2017; Stephenson et al., 2018; Fettig et al., 2019). Drought stress, manifesting as 62 low soil water content and/or high evaporative demand, poses significant challenges to the 63 establishment, development, growth and survival of long-generation tree species such as conifers 64 (Adams and Kolb, 2005), and also predisposes trees to pathogens and pests (Jactel et al., 2012; 65 Gaylord et al., 2013). Despite the economic importance of conifers and their dominance in global 66 arid, semi-arid, montane and circumpolar zones, the genomics of drought and thermal tolerance 67 have received little attention and lag behind studies in other plant species (Moran et al., 2017).

68

69 Conifer species have large genome sizes (8-34 Gb; Murray et al., 2004; De La Torre et al., 2014), 70 and large genetic-to-physical distance ratio (>3000 kb/cM). Linkage disequilibrium (LD) in coding 71 regions rapidly decays within a short distance, which complicates the identification of genes 72 responsible for phenotypic variation (Neale and Savolainen, 2004). Another challenge of 73 genotyping many individuals is the need to use a massive number of genome-wide markers in 74 large-genome trees such as conifers. The development of high-throughput systems such as next 75 generation sequencing (NGS) and SNP arrays should help overcome this difficulty, since they 76 allow rapid and cost-effective genotyping over a massive number of SNPs (McCarthy et al., 2008). 77 In addition, the rapid advancement of genome sequencing and bioinformatics approaches have 78 opened the door to more comprehensive assessments of population-level diversity (McGuire *et al.*, 79 2020). Association mapping principally exploits evolutionary recombination at the natural 80 population level (Myles et al., 2009). A mixed linear model (MLM) method (Yu et al., 2006) was 81 proposed to better control for population structure and the imbalanced kinships among various 82 individuals (Pritchard et al., 2000). Until recently, determining the molecular basis of heritable 83 trait variation has been challenging in conifer species, and genome-wide association studies have 84 been limited to a few species and traits (Lu et al., 2017; Baison et al., 2020; Elfstrand et al., 2020; 85 Weiss et al., 2020; Chen et al., 2021; De La Torre et al., 2021a). For example, association studies 86 of drought tolerance have only been performed with pre-selected candidate genes (Gonzalez-87 Martinez et al., 2008; Eckert et al., 2010; Cumbie et al., 2011; Trujillo-Moya et al., 2018; 88 Depardieu et al., 2021) and no large-scale, genome-wide studies have been reported to date.

89

90 Giant sequoia (Sequoiadendron giganteum [Lindl.] J.Buchh.) is a slow-growing, long-lived, 91 outcrossing species that grows in discrete groves on the western slope of the Sierra Nevada 92 mountains in California. Giant sequoia is diploid and has a genome size of 8.125 Gbp (Scott et al., 93 2020). The species occurs in a highly disjunct range consisting of approximately 75 groves, 94 spanning around 420 km north to south and ranging from 830 to 2700 m elevation. Giant sequoia 95 is the most moisture-demanding species of mixed conifer forests, mainly because of its very high leaf area: mature trees can have > 10^8 leaves (Sillett *et al*, 2015; Dodd and DeSilva, 2016). Coast 96 97 redwood (Sequoia sempervirens [D.Don] Endl.) is also slow-growing and long-lived but differs 98 from giant sequoia in that it is hexaploid (genome size is 26.5 Gbp; Neale et al. 2021) and often 99 reproduces asexually. The species once had a nearly continuous distribution along the Pacific 100 Coast in Oregon and California, but natural populations were severely reduced by intensive logging beginning in the 19th and 20th centuries (Burns *et al.*, 2018; Breidenbach *et al.*, 2020). Both
coast redwood and giant sequoia are listed as endangered by the International Union for
Conservation of Nature (IUCN) Red List of Threatened species (Farjon and Schmid, 2013).
However, increased growth rates in response to elevated CO₂ (Sillett *et al.*, 2015) may make these
two species good candidates for forest restoration and carbon sequestration.

106

107 Being the tallest and fourth-tallest conifers, the crowns of coast redwood and giant sequoia can 108 stretch over ~100m of vertical extent, and thus these species have the greatest degree of within-109 crown phenotypic plasticity of any conifers measured, both responding more strongly to water 110 availability than to light (Chin and Sillett 2016, 2019). Like other members of the Cupressaceae, 111 coast redwood and giant sequoia lack an endodermis to constrain the breadth of their vascular 112 development, allowing the proliferation of traits promoting water-stress tolerance with increasing 113 height (Oldham et al., 2010; Chin and Sillett 2016). Less clear is whether populations of these 114 species have adapted genetically to environmental variation across their ranges, in ways that either 115 limit or enhance phenotypic plasticity in traits related to drought tolerance. In this study, we 116 sampled natural populations across the current ranges of both giant sequoia and coast redwood, 117 grew cuttings in pots in a greenhouse common garden for two years, measured a range of 118 physiological and anatomical traits thought to be relevant for drought resilience, and tested for 119 significant genome-wide associations with ten different drought-related traits using univariate and 120 multivariate GWAS methods. We aimed to dissect the genomic basis of drought tolerance in each 121 species, in order to identify the hardiest individuals and populations that might be used for 122 conservation and restoration efforts in the species.

- 123
- 124

125 **RESULTS**

126 Genotype datasets

A total of 577,774 and 767,242 SNPs were called for 71 SEGI and 82 SESE individuals, respectively. From them, 52,987 (9%) SNPs from 71 SEGI individuals; and 57,357 (7%) SNPs from 82 SESE individuals were retained after filtering using TASSEL. The before and after filtering SNPs statistics for each of the SEGI and SESE individuals are reported in Supplementary Tables S1 and S2, respectively. The filtered SNPs datasets were retained for further GWAS analyses.

133

134 Phenotype datasets

135 For the phenotypic traits listed in Table 1, within-genotype mean trait values varied widely across 136 genotypes for each species (Figure 1), and showed variation across the species' natural ranges 137 (Figure 2). In coast redwood, the relative spread of means across genotypes was greatest for central 138 fiber area, C:N ratio and SMA, whereas in giant sequoia the spread was greatest for total areas of 139 transfusion tissue and xylem. In both species, the relative spread was smallest for carbon isotope 140 discrimination and xylem hydraulic diameter. Consistent with established patterns, trait values in 141 giant sequoia indicated a relatively more xeric habit than those in coast redwood; e.g., total xylem 142 and transfusion tissue areas and xylem hydraulic diameter were all smaller in giant sequoia, and 143 osmotic pressure at full turgor and leaf mass per unit area were greater in giant sequoia. Trait 144 variability across genotypes grown in our common garden was generally lower than that seen along 145 vertical gradients within the crowns of individual trees (cf. red bars in Figure 1) (Oldham et al., 146 2010; Chin and Sillett 2016).

148 Correlations among traits and environmental parameters

149 In SEGI, C:N ratio (CN) was positively correlated with shoot mass per area (SMA) (r=0.33, p-150 value=0.004), and carbon isotope discrimination (D13C) (r=0.32, p-value=0.006). Total xylem 151 part of vascular bundle (XA) was also positively correlated with carbon isotope discrimination 152 (r=0.24, p-value=0.04); and total area of transfusion tissue (TA) (r=0.43, p-value=0.0001). All 153 correlations results can be found in Figure 3. Carbon isotope discrimination was positively 154 correlated with latitude (r=0.26, p-value= 0.025) and negatively associated with longitude (r=-0.3, 155 p-value=0.009) and elevation (r=-0.27, p-value=0.021). C:N ratio was negatively correlated with 156 elevation (r=-0.27, p-value=0.019).

157

158 Carbon isotope discrimination was positively correlated with several precipitation variables (Bio3, 159 Bio12, Bio13, Bio16, Bio17 and Bio19), suggesting populations at the northern distribution of the 160 species range, located at more humid locations and lower elevations have higher water use 161 efficiency than populations in other locations when grown in a common garden. Osmotic pressure 162 at full turgor (PIFT) was positively correlated with different measures of temperature and 163 precipitation variation (Bio4-Temperature seasonality, Bio7-Temperature Annual Range, Bio15-164 Precipitation seasonality) and negatively correlated with Relative Humidity (RH). Finally, xylem 165 hydraulic diameter (HD) was correlated with Mean Annual Solar Radiation (MAR). All correlation 166 results can be found in Figure S1.

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In SESE, the total area of central fibers (FA) was negatively correlated with latitude (r=-0.3, pvalue=0.006), and positively correlated with longitude (r=0.23, p-value=0.037). The same trait was also negatively correlated with various measures of precipitation including MAP, Bio12Bio19 and positively correlated with several temperature-related variables (MCMT, EMT, Bio3, Bio8, Bio11). Osmotic pressure at full turgor (PIFT) was positively correlated with different precipitation variables such as Bio13, Bio16 and Bio19. Shoot mass per unit area (SMA) was positively correlated with osmotic pressure at full turgor (r = 0.33, p = 0.002), C:N ratio (r = 0.39, p = 0.0003) and total xylem area (r = 0.29, p = 0.01). Finally, xylem hydraulic diameter (HD) was positively correlated with Mean Annual Temperature (MAT), DD5, EMT and Eref, and negatively with degree days below 18 °C (DD_18). All correlation results can be found in Figure S2.

178

179 Genotypes from coast redwood's latitudinal and precipitation extremes had very little overlap 180 within the common-garden trait-space, but in all cases overlapped by at least 70% with 181 intermediate categories; trees originating from intermediate sites thus did not have readily 182 detectable trait differences from either extreme. North and South genotypes shared 19% of their 183 unified trait-space, while Wet and Dry genotypes only intersected in 14% of their unified trait-184 space, with low levels of overlap indicating multivariate differences in the suites of traits 185 associated with both latitudinal and precipitation extremes. Wet and Dry sites had highly 186 conserved traits compared to Intermediate Precipitation sites, which had 5X less point density 187 within their phenotypic volumes, suggesting a broader hydraulic niche driving much less 188 specialization. Likewise, genotypes from coast redwood's central latitudes were spread across 189 almost 3X the relative trait-space of North or South genotypes.

190

191 Genome-wide association study (GWAS)

192 Genome-wide association analyses of 52,987 SNP markers and 71 individuals in SEGI; and 57,357

193 SNP markers and 82 individuals in SESE were performed to detect marker-trait associations.

194 Mixed linear model (MLM) and general linear mixed model (GLM) were used to determine 195 associations between genotypic and phenotypic datasets in TASSEL. In SEGI, a total number of 196 ~476K associations were tested among 52.987k SNPs and all phenotypic traits listed in Table 1 197 (stomatal density [SD] and area of transporting fibers [FA] were excluded from this analysis, in 198 which SD was not measured and transporting fibers were not observed). In SESE, a total of ~573K 199 associations were tested among 57.357k SNPs and all nine phenotypes. Bonferroni correction for 200 multiple testing was performed to adjust p-values. The general linear mixed model (GLM) 201 identified a total number of 78 significant SNPs, 77 of them were associated with total area of 202 transfusion tissue (TA) and one with total area of transporting fibers (FA) (Table 2, Table S3). 203 These SNPs were distributed across 22 scaffolds and matched 23 genes in the genome of coast 204 redwood (Table S3). In SEGI, GLM only identified 2 SNPs, located at close distance in 205 chromosome 9 and associated with osmotic pressure at full turgor (PIFT) with a p-value $< 9.00 \cdot 10^{-10}$ 206 ⁶ after Bonferroni correction (Table 2, Table S4, Figure S3). Manhattan plots of $-\log_{10}(P)$ values 207 for each SNPs versus chromosomal or scaffold positions were generated from these datasets. 208 TASSEL MLM did not identify any significant marker x trait associations in any of the species 209 after Bonferroni correction at threshold p-value < 0.05.

210

Subsequently, univariate linear mixed model (uLMM) and multivariate linear mixed model (mvLMM) approaches were performed in GEMMA to identify significant SNPs. In SESE, mvLMM identified 31 significant SNPs (p-value < $9.00 \cdot 10^{-6}$), and uLMM, 29 SNPs (p-value < $9.00 \cdot 10^{-6}$) (Figure 4; Tables S5 and S6; Figure S4). Of the 29 SNPs identified from uLMM analysis, 27 were significantly associated with total area of transfusion tissue (TA), one with xylem hydraulic diameter (HD), and one with total area of transporting fibers (FA). In SEGI, mvLMM

identified 3 significant SNPs and uLMM only one (p-value $< 9.00 \cdot 10^{-6}$) associated with total xylem 217 218 area, XA (Figure 5; Table S7; Figure S5). These SNPs were in chromosomes 5, 8 and 9 of the 219 giant sequoia genome (Table S7). Among all three-analysis including GLM at TASSEL, uLMM 220 and mvLMM at GEMMA, a total 27 significant SNPs were consistently found in SESE (Figure 221 6). For SEGI, only one significant SNP (chromosome 8) was shared among two of the GWAS 222 analyses (mvLMM and uLMM; Figure 5). Manhattan plots for each SNP versus chromosomal or 223 scaffold positions for GLM (TASSEL), and uLMM and mvLMM (GEMMA) analyses for both 224 species were reported in Figures 4-5, and Figures S3-S5.

225

226 In SESE, all significant SNPs associated with TA, came from genes involved in the ubiquitin 227 system, cationic antimicrobial peptide (CAMP) resistance, hedgehog signaling pathway, glycine, 228 serine and threonine metabolism, lysosome, apoptosis, plant-pathogen interaction, renin-229 angiotensin system, and protein digestion and absorption (Table 3). In SESE, gene SESE 010495 230 was annotated as a F-box protein, SESE 026053 as a motile sperm domain-containing protein, 231 SESE 026278 as a BTB/POZ domain-containing protein, SESE 028233 as a protein HOTHEAD-232 like, and SESE 039821 as a receptor-like protein kinase HAIKU2 (Table 3). In SEGI, a significant 233 SNP at the gene SEGI 21288 associated with PIFT was identified as an uncharacterized protein. 234 The GO IDs and GO names of these genes of significant SNPs in SESE and SEGI were reported 235 in Table 3.

236

237 **DISCUSSION**

238

By using a combination of univariate and multivariate methods, our study was able to identify
several genes associated with drought-related traits in two ecologically important conifer species:

coast redwood and giant sequoia. Previous genome-wide studies identifying candidate genes for drought tolerance have been absent for both of these important species. Here, we report notable phenotypic variation for several drought-related traits among natural populations (or groves) of both giant sequoia and coast redwood grown in a common garden. The development of genomewide methods, gene identification, functional annotation and location in the species' genomes has only been possible due to the recent sequencing of both species' reference genomes (Scott *et al.*, 2020; Neale *et al.*, 2021).

248

249 Polygenic basis of drought tolerance

250 Our results suggest a polygenic basis of drought tolerance, consistent with previous genome-wide 251 association studies in other complex traits in conifer species, with candidate genes distributed in 252 different chromosomes or scaffolds, and small to moderate effect sizes (Baison et al., 2020; Weiss 253 et al., 2020; De La Torre et al., 2021a). The exact location of candidate genes in the genome of 254 coast redwood could only be determined at the scaffold level since the current assembly of the 255 reference genome is not chromosome-scale (Neale et al., 2021). Univariate methods identified a 256 total of 78 new significant associations for coast redwood, 27 of them were consistently found by 257 all three univariate and multivariate GWAS methods in this study. All these SNPs (except one) 258 were associated with variations in the total area of transfusion tissue associated with the leaf 259 vasculature. However, when using the multi-trait multivariate mvLMM method in GEMMA, we 260 found that many of the SNPs identified by TASSEL were associated with a group of drought-261 related traits, either vascular or carbon isotope-related traits. This is coincident with the presence 262 of significant correlations among traits in these groups (Figure 3), suggesting the multitrait 263 multivariate GWAS provides a more accurate picture of the complex trait architecture in the species. Six genes associated with total area of transfusion tissue in coast redwood were also associated with Mean Annual Temperature, Mean Annual Precipitation or Climate Moisture Deficit in a previous environmental genome-wide association study using the same SNP set (Table 2; De la Torre *et al.*, 2021b). Transfusion tissue area triples with height in tall coast redwood crowns, and is associated with low water availability and less-negative values of δ^{13} C; it buckles under drought stress, releasing water that helps protect the leaf and isolate damage (Oldham *et al.*, 2010).

271

272 The number of significant associations was much lower in giant sequoia with only six significant 273 associations discovered by all GWAS methods, with two of them associated with osmotic pressure 274 at full turgor, one with the total xylem area, and three with combinations of traits (Figure 5). The 275 multitrait multivariate mvLMM method did not result in significant differences or a higher number 276 of candidate genes when compared with the univariate methods. Significant genes were involved 277 in RNA-dependent DNA biosynthetic processes and catalytic activity (Table 3). One of the genes, 278 SEGI 21288 (chromosome 9) associated with osmotic pressure, was also associated with Mean 279 Annual Precipitation in a previous GEA study in giant sequoia (De La Torre et al., 2021b).

280

In coast redwood, most associations were clustered in a small number of scaffolds and genes. For example, scaffold 16773 harbors three closely located genes (SESE_102359, SESE_121791, SESE_010570) involved in proteolysis (Table 3); scaffold 203021 has four different genes (SESE_026053, SESE_008114, SESE_041334 and SESE_031915) with unknown functions, and scaffold 344217 has two genes (SESE_039821 and SESE_025289), the first one, a receptor-like protein kinase HAIKU2 involved in protein phosphorylation, and the second one with unknown function. The identification of other potential genomic clusters could only be possible with the presence of a chromosome-scale genome assembly in coast redwood. No genomic clusters were observed in giant sequoia mainly due to the small number of significant associations.

290

291 Despite the relatively small sample size of the common garden experiments, substantial phenotypic 292 variation was found in several of the drought-related traits measured in this study. For example, 293 C:N ratio, total area of transfusion tissue, total xylem area, and total area of central conducting 294 fibers showed great variation in the species (Figure 1). This large variation, however, did not 295 translate into the identification of large numbers of candidate genes. There might be several 296 explanations for this: the presence of high levels of plasticity for these drought-related traits in the 297 species; a large difference between the number of markers and samples leading to false positives 298 after stringent multiple testing correction; or the relatively low power to detect rare variants due to 299 small sample sizes. Due to the genome-wide distribution and the number of markers included in 300 this study, we don't consider the number of markers to be a potential limitation in our study despite 301 the rapid decay of linkage disequilibrium in the species.

302

303 High levels of phenotypic variance in drought-related traits

Giant sequoia is known for its high phenotypic plasticity and multiple adaptations to cope with water stress, including shoot and leaf succulence, leaf toughness, tight stomatal control of water loss and increasing xylem cavitation resistance with height (Pitterman *et al.*, 2012; Ambrose *et al.*, 2015; Chin and Sillet 2016). In a greenhouse study, Ambrose *et al.*, (2016) found contrasting drought-responses strategies between the species, with greater stomatal closure leading to an increase in intrinsic water-use efficiency and lower xylem embolism under severe drought in giant 310 sequoia than in coast redwood. As an adaptation to their natural environment, shade-tolerant coast 311 redwood seedlings will invest biomass into above-ground woody stem, which enhances 312 competitive success in humid, closed canopy conditions with shallow water tables seen in northern 313 forests (Sawyer *et al.*, 2000; Ambrose *et al.*, 2016). In contrast, though larger as adults, giant 314 sequoia seedlings invest more biomass in developing root growth as desiccation is an important 315 factor contributing to early mortality in the species (Havey *et al.*, 1980).

316

317 A study measuring shoot water potential, leaf gas exchange, xylem embolism and growth 318 concluded there were not significant differences at the population level in neither coast redwood 319 nor giant sequoia (Ambrose et al., 2016). In contrast, our study found significant population-level 320 differences in three traits for each species (carbon isotope discrimination, osmotic pressure, and 321 xylem hydraulic diameter for giant sequoia, and total area of central fibers, osmotic pressure and 322 xylem hydraulic diameter for coast redwood). For example, carbon isotope discrimination of bulk 323 leaf tissue for plants grown in our common garden was positively correlated with several 324 precipitation and geographic variables for each genotype's environment of origin. For a given 325 photosynthetic capacity, a decrease in carbon isotope discrimination (i.e., less negative values of 326 D13C) implies reduced stomatal opening and greater water use efficiency. Thus, our results 327 suggest that giant sequoia genotypes collected from sites near the species' northern limit, or from 328 more humid or lower-elevation (< 2000 m) sites, have higher water use efficiency when grown in 329 a common garden than genotypes from more southern, drier or higher-elevation sites. Under these 330 criteria, we identified three high-elevation groves that might need conservation due to a higher 331 sensitivity to drought (given lower carbon isotope values and lower water use efficiency) should 332 their year-round supplies of surface water diminish; these are Redwood Mountain (36.69 latitude,

-118.92 longitude), Giant Forest (36.56 latitude, -118.75 longitude) and Atwell Mill (36.46
latitude, -118.68 longitude). All these groves are located over 2000 m of elevation, where cold
tolerance traits, such as narrow xylem tracheid diameters, may have been selected for over those
supporting drought survival.

337

338 Within-crown phenotypic plasticity in tall trees of both coast redwood and giant sequoia is only 339 slightly greater than that observed in the common garden, for comparable traits (red bars in Figure 340 1; Oldham et al., 2010; Chin and Sillett 2016). The innate ability to acclimate to environmental 341 microclimatic conditions, and the mostly small differences in within-crown compared to within-342 garden variation suggests that naturally recruiting trees of northern provenance may have a 343 different range of plasticity less suited to withstand climatic pressures comparable to conditions 344 experienced in the southern range. Indeed, southernmost coast redwood trees reach a maximum 345 height 20-30 m shorter than northern trees but have similar treetop levels of transfusion tissue 346 investment (Ishii et al., 2014). In contrast to the two species explored here, Douglas-fir 347 (Pseudotsuga menziesii) has varying amounts of within-crown trait variability across its much 348 larger range (Chin and Sillett 2019), suggesting that future genomic work may find tradeoffs 349 between geographic and individual-level trait variation.

350

In coast redwood, larger values for two traits associated with the capacity for water transport – the total area of conducting fibers contributing to water transport, and the xylem hydraulic diameter (a measure of the effective mean size of individual xylem conduits, accounting for nonlinear effects of conduit size on water transport) – were associated with lower precipitation and higher temperatures in the environment of origin for genotypes. For example, genotypes collected from

356 lower latitudes and more eastern locations (stands at Warm Springs Creek [38.68 latitude, -123.11 357 longitude] and Bodega [38.36 latitude, -122.96 longitude]) had particularly large areas devoted to 358 central conducting fibers. Central fibers are found in coast redwood at their greatest abundance in 359 a distinct shoot morphotype, specialized for absorption of water (Alana Chin, unpublished data), 360 so the increased area at dry sites may indicate a reliance on summertime foliar water uptake and 361 use of alternate hydraulic pathways. These traits may indicate adaptations that enable water 362 transport to be sustained in environments that are relatively warm and dry for this species; 363 sustained water transport would, in turn, minimize leaf water stress and enable leaf stomata to 364 remain open to allow photosynthesis (Brodribb et al., 2007). Thus, these groves might represent 365 sources of drought-tolerant germplasm for coast redwood.

366

367 Coast redwood genotypes from wet and dry locations have distinct combinations of water-stress 368 related functional traits when considered on a multivariate level, and far less variability than seen 369 among intermediate rainfall sites - suggesting adaptive specialization. Intraspecific trait 370 convergence is a characteristic response to abiotic stress and so is expected on environmentally 371 harsh, typically dry or cold, range ends (Mitchell and Baaker 2014, Van Nulan et al., 2020). In the 372 case of coast redwood, rainforest conditions may present unique challenges due to months of 373 continuous leaf wetness and heavy cloud cover, resulting in phenotypes on both latitudinal range-374 ends that overlap with intermediate zones, but share little of the same trait-space. Better group 375 separation based on precipitation-class, rather than latitude, may indicate that climatic adaptation 376 has been more important than distance between populations in determining the coast redwood 377 water-stress phenotype. Latitudinal groupings may be undetectable in giant sequoia because of the

- 378 relatively consistent climate within its range; coast redwood samples came from sites spanning
- 379 >2.5X the climatic variability (based on mean coefficient of variation).
- 380
- 381 Functional annotation of candidate genes

382 Candidate genes found in this study indicate a complex genomic architecture of drought tolerance 383 with many genes involved in many important biological functions related to growth, abiotic stress 384 resistance, and disease resistance. For example, gene SESE 010495 associated with total area of 385 transfusion tissue was involved in the ubiquitin system. The ubiquitin-proteasome system controls 386 the degradation of most proteins in the cells. It provides a rapid strategy to control many cellular 387 processes by degrading specific proteins, playing a critical role in the regulation of many biological 388 processes such as hormonal signaling, growth, embryogenesis, senescence, and environmental 389 stress (Sharma et al., 2016; Xu and Xue 2019). F-box domain proteins have been found to play 390 important roles in abiotic stress responses via the ubiquitin pathway. For example, the study by 391 Zhou et al., (2015) found that overexpression of TaFBA1 enhanced drought tolerance in transgenic 392 plants, confirming the importance of F-box proteins in plants' tolerance to multiple stress 393 conditions.

394

A significant SNP at the gene SESE_026278 which annotated as BTB/POZ domain-containing protein was involved in hedgehog signaling pathway. The BTB/POZ domain is an evolutionarily conserved and widely distributed structural motif found involved in different biological processes, such as transcriptional regulation, cytoskeletal organization, and formation of voltage-gated channels (Collins *et al.*, 2001). Overexpression of GmBTB/POZ in soybean resulted in enhanced resistance to *Phytophthora sojae* (*P. sojae*). The activities and expression levels of enzymatic 401 superoxide dismutase (SOD) and peroxidase (POD) antioxidants were significantly higher in
402 GmBTB/POZ-overexpressing transgenic soybean than in wild-type (WT) plants (Zhang *et al.*,
403 2019).

404

405 Another important candidate gene identified in our study was SESE 039821, a receptor-like 406 protein kinase. Receptor-like kinases are important signaling components that regulate a variety 407 of cellular processes. Protein kinases regulate metabolic pathways and are intimately involved in 408 cellular signaling networks (Wang et al., 2007). An Arabidopsis cDNA microarray analysis led to 409 the identification of the cysteine-rich receptor-like kinase CRK36 responsive to the necrotrophic 410 fungal pathogen (Alternaria brassicicola) (Lee et al., 2017). The gene haiku2 is a mutant allele of 411 gene *iku2*, which is a leucine-rich repeat kinase (LRR) gene involving in regulation of seed size in 412 Arabidopsis (Luo et al., 2005).

413

In our study, the gene SESE_121791 was identified as cysteine protease RD19A-like and was involved in lysosome, apoptosis and plant-pathogen interaction pathways. Papain-like cysteine proteases (PLCPs) are involved in many plant processes (Zou *et al.*, 2018). Cysteine proteases were found to play a role in nodule development in soybean and in the pathogen defense (Shukla *et al.*, 2014; van Wyk *et al.*, 2014). Also, cysteine protease (*AdCP*) gene in the wild peanut (*Arachis diogoi*) was differentially expressed when it was challenged with the late leaf spot pathogen (Shukla *et al.*, 2014).

421

The gene SESE_075160 identified by GLM at TASSEL in our study was identified as chlorophyll
a/b binding protein. The light-harvesting chlorophyll *a/b*-binding (LHCB) members were shown

to be targets of an ABA-responsive WRKY-domain transcription factor, which
represses *LHCB* expression to balance the positive function of the LHCBs in ABA signaling.
Consequently, it revealed that ABA is an inducer that fine-tunes *LHCB* expression through
repressing the WRKY40 transcription repressor in stressful conditions in co-operation with light,
which allows plants to adapt to environmental challenges (Liu *et al.*, 2013).

429

430 This study is a step forward to understand the genomics of drought tolerance in long-generation 431 conifer species. Genomic studies have been limited in conifers due to their large genome sizes, 432 and long-generation times. Given the high levels of phenotypic variance despite the relatively 433 small sample sizes in both coast redwood and giant sequoia found in this study, long-term studies 434 with larger sample sizes are warranted. For that purpose, coast redwood seedlings measured in this 435 study have been planted in long-term common gardens in California, where different phenotypes 436 can be evaluated as trees mature. This new resource, together with our newly sequenced reference 437 genomes of giant sequoia and coast redwood (Scott et al., 2020; Neale et al., 2021) will help 438 develop future genomic studies in the species. A thorough knowledge of the interconnection 439 among plasticity, genomics and physiological processes is needed to predict species' responses to 440 future warmer conditions and to design conservation and management strategies.

441

442 EXPERIMENTAL PROCEDURES

443

444 Foliage collection for greenhouse establishment

Juvenile foliage of coast redwood (SESE) was collected from the Kuser common garden (Kuser *et al.*, 1995) hedge orchard growing in Russell Reserve (University of California field station,
Contra Costa County, California) in fall 2017. As the SESE-Kuser common garden is hedged

annually, juvenile primary shoots were collected for ideal propagation. Cuttings were taken of
foliage from mature giant sequoia (SEGI) trees in the Fins trial (Fins, 1979) at Foresthill Divide
Seed Orchard (Foresthill, California) in winter 2018. As the SEGI accessions were mature trees,
juvenile foliage was sampled where possible, but sampling was restricted to plagiotropic growth.
Collections were made to represent a wide range of geographic sites of origin, spanning the
species' natural distributions.

454

455 Immediately following collection, foliage samples were misted with water, wrapped in paper 456 towels, and stored in labeled plastic bags. Bagged samples were then kept in a cooler with ice for 457 transport to the greenhouse, where they were stored in a refrigerated room (4 °C) for up to 24 458 hours. One at a time, to avoid mixing of genotypes, samples were washed with water to remove 459 debris, then briefly soaked in a disinfectant (Physan 20, solution of 39 mL L⁻¹). Terminal shoots 460 were then trimmed into cuttings approximately 10 cm long. All primary needles were removed 461 from the lower third of the each cutting. Between 30 and 60 cuttings per genotype were stuck. 462 Cuttings were dipped in rooting hormone (3:1 Dip N Grow:water, 7,500 PPM IBA) for 5 seconds 463 and then stuck into rooting medium (9:1 perlite:peat by volume) with Osmocote 18-6-12 controlled release fertilizer at 1.8 kg m⁻³ and Micromax Micronutrients at 0.7 kg m⁻³. Cuttings were arranged 464 465 in rows, with 3 cm between individual cuttings, and a minimum of 5 cm between rows. Rooting 466 trays were kept under mist until roots emerged (for SESE, 2-3 months; for SEGI, 4 months or 467 longer). Rooted cuttings were carefully removed from rooting medium and potted into individual 468 containers with growing medium, individually labeled, staked with bamboo if needed, and returned 469 to the greenhouse. Re-potted plants were hand watered for 3-4 weeks and then placed on irrigation 470 drip.

For SESE genotyping, fresh needles were collected from a selected ramet from each of the surviving 92 clonal genets. Overall, these samples were sourced from 66 locations, with 1-3 source trees per population. For SEGI genotyping, fresh needles were sampled from a selected ramet from each of the surviving 90 clonal genets. These SEGI accessions came from 23 groves, with 1-9 samples from each population. Additionally, six SEGI accessions were included as technical replicates, resulting in 96 genotyped samples total.

478

479 DNA extraction

480 Young needles were collected from a selected ramet from each of the surviving 92 SESE and 90 481 SEGI genets. They were stored on ice for transport, then flash-frozen in liquid nitrogen, stored in 482 a -80°C freezer for 48 hours, and lyophilized (48 hours for SEGI & 72 hours for SESE). Global 483 DNA (gDNA) was extracted with the Omega Biotek E-Z 96 Plant DNA kit and an Eppendorf 484 automated pipetting workstation at UC Davis. The DNA extraction protocol included one day of 485 tissue lysis, followed by several steps of precipitation, filtering and elution. DNA quality was 486 assessed using a Qubit 2.0 Fluorometer (average concentration = $24.5 \text{ ng/}\mu\text{L}$ for SESE and 43.5487 $ng/\mu L$ for SEGI), NanoDrop 8000 (average A260/280 = 1.94; average A260/230 = 1.99 for SESE 488 and 1.6 for SEGI), and gel electrophoresis (average fragment size $\geq 20,000$ bp). Samples were 489 normalized to 20 ng/µL in 50 µL. The gDNA was submitted to the UC Davis Genome Center for 490 sonication, size selection, and library preparation.

491

492 Sequence Capture and SNP calling

493 Exome capture baits were designed for each species using PacBio IsoSeq RNA data combined 494 with previously published Illumina RNAseq data (Scott et al., 2020) and clustered at 95% identity 495 to produce a set of nonredundant transcripts. The clustered transcripts were then mapped to the 496 reference assembly at high stringency using gmap. For SEGI, the regions of matches were 497 submitted to Roche (Madison, WI) where 120-mer oligos were designed to cover the target regions 498 at 2x tiling density. For SESE, the regions of matches between genome sequence and transcript 499 sequences were submitted to Roche for 120-mer oligos were designed to cover the target regions 500 at 2x tiling density. The UC Davis Genome center carried out hybridization of baits and the gDNA 501 samples described above. The resulting libraries were pooled and sequenced on the NovaSeq 6000 502 platform. Bowtie2 v2.2.9 (Langmead and Salzberg, 2012) was used to align sequencing capture 503 raw reads against the reference genome assemblies of giant sequoia version 2.0 504 (treegenes.db.org/FTP/Genomes/Segi) and redwood version 2.1 coast 505 (treegenesdb.org/FTP/Genomes/Sese). Alignments were sorted and divided into multiple sets 506 based on reference intervals, and later processed in parallel using SAMtools v1.3.1 and BEDtools 507 v2.25.0. SNPs were then called using BCFtools with default parameters (Li et al., 2009). 508 Haplotypes were called using Genome Analysis Toolkit (GATK v.4.1.7.0) HaplotypeCaller & 509 GenotypeGVCF (McKenna et al., 2010). SNP functional annotations were obtained from the 510 species' reference genome annotations in the TreeGenes database (treegenesdb.org); and by 511 sequence alignment against the NCBI non-redundant protein sequences database (nr) using 512 BLASTP (Johnson et al., 2008) with an e-value $<1x10^{-10}$. BCFtools was used to merge vcfs files 513 of individuals for further analysis (Danecek et al., 2011).

514

515 *Phenotypic traits*

516 For each species, we measured ten traits related to drought tolerance (Table 1) in one branch from 517 each of three individuals per genotype. The set of available individuals from each genotype were distributed randomly throughout the greenhouse; sampling was performed haphazardly, in that we 518 519 sampled the first three individuals encountered for each genotype. In some cases, this required 520 exhaustive searching due to poor survival of some genotypes; in other genotypes, many individuals 521 were present. Each branch was sampled in early June 2020 using sharp secateurs and immediately 522 placed in a ziploc bag and sprayed with water. The bag was then sealed and placed in a cooler with 523 ice to prevent further water loss. Upon return to the laboratory, each branch was recut under water 524 $(\geq 1.5 \text{ cm})$, the cut end was placed into a 50-mL falcon tube, and the tube was placed into a stand 525 to allow the branch to rehydrate for 38 - 46 hours. After rehydration, three leaves were removed 526 from each branch and stored in FAA for later anatomical measurements, and the branch was 527 immediately returned to a sealed ziploc bag that had been sprayed internally with water. These 528 bags were stored in a refrigerator until completion of measurement of shoot mass per unit area and 529 subsampling for osmotic pressure measurements and stomatal density mounts were completed. 530 Three values (or, in a few cases, two) for each trait measurement were thus collected for each 531 genotype, and subsequent analysis was performed on the mean of these three values. Methods for 532 each trait measurement are described below.

533

Shoot mass per unit area. Each branch was removed from the refrigerator and its sealed bag, and a small, representative section was returned to the bag and refrigerator for subsequent measurements of osmotic pressure and stomatal density. The rest of the branch was dabbed dry with paper towels and placed on a scanner (Canon TR8520), scanned for later measurement of shoot silhouette area (including both leaves and the shoots to which they were attached) in ImageJ, 539 placed into a labelled paper envelope, and placed in a drying oven at 70 °C until weight stopped 540 declining (generally ~24 h). These dried samples were later weighed on a 5-point digital balance 541 (Mettler-Toledo model XS225DU). Shoot mass per unit area was computed as the ratio of dry 542 mass to initial (fresh) silhouette area.

543

Stomatal density. For SESE, three leaves from each branch were excised and mounted abaxial side down in fingernail polish on a microscope slide. The number of stomata in a single image frame at a magnification of 200x was counted for each leaf and divided by the frame size (0.255742 mm^2) to calculate stomatal density. Results are presented as the mean ± SE among leaves. Stomatal density was not measured for SEGI.

549

Osmotic pressure at full turgor. For each branch, a 6-mm long section of a previously rehydrated 550 551 leaf (SESE) or branch (SEGI) was excised with a fresh razor blade and immediately enclosed in 552 the sample well of a C-52 thermocouple psychrometer (Wescor, Logan, UT). The psychrometer 553 was then placed in an insulated box and allowed to equilibrate. Every hour, a CR6 datalogger 554 (Campbell Scientific, Logan, UT) was used to initiate a 10-s cooling curve for each psychrometer, 555 psychrometer output (μ V) was recorded every second, and the average μ V output between 2 and 556 5 seconds after the end of cooling was calculated. The resulting means were found to remain stable 557 between 4 and 9 hours of equilibration; values from either 5 or 6 hours were used for subsequent 558 analysis. Each psychrometer was calibrated using five KCl solutions, with osmotic pressures of 0, 559 0.5, 1.0, 2.0, and 3.0 MPa, with 0.025 mL of each solution placed on a filter paper disk in the 560 psychrometer sample well and otherwise measured as described earlier for leaves.

562 Elemental and isotopic analyses. A dried sample of leaf (SESE) or branch (SEGI) material was 563 placed in a sealed cuvette with three stainless steel spherical pellets and ground in a ball mill for 564 two minutes. Subsamples (1.9 - 4.6 mg) were weighed and transferred into tin capsules, placed 565 into 96-well trays, and crushed to seal the capsules. δ^{13} C (relative to Vienna Pee Dee Belemnite 566 standard) and total C and N were measured at the UC Davis Stable Isotope Facility using a PDZ Europa ANCA-GSL elemental analyzer interfaced to a PDZ Europa 20-20 isotope ratio mass 567 568 spectrometer (Sercon Ltd., Cheshire, UK), with several replicates of at least four laboratory 569 reference standards periodically interspersed for internal calibration. C:N ratio (mol mol⁻¹) was 570 calculated by dividing total C by total N.

571

572 Leaf vascular anatomy. Leaves previously stored in FAA as described earlier were hand-sectioned, 573 mounted on a slide, and digitally imaged at 400x magnification, centered on the single leaf vein, 574 and four traits were measured using ImageJ: (i) the total cross-sectional area of transfusion tissue 575 laterally abutting the single leaf vein, (ii) the total cross-sectional area occupied by xylem, (iii) the 576 hydraulic mean diameter (calculated following Kolb and Sperry 1999 as HD = $\Sigma D^5 / \Sigma D^4$, where D 577 is conduit diameter and the sum is taken over 10 conduits; D was calculated from conduit lumen area [A] as $D = [4A/\pi]^{0.5}$, and (iv) the total area of central fibers, when present (longitudinal fibers 578 579 with thick, concentrically lamellated cell walls located adjacent to the adaxial side of the xylem, 580 and are thought to contribute to water transport). Central fibers were not observed in SEGI. As for 581 all other traits, measurements were repeated for three leaves per genotype, each taken from a 582 different ramet.



585 Physiological parameters depend on relationships among traits and their composite effects on leaf 586 function; thus, we evaluated geo-climatic clustering within the collective phenotypic trait-space 587 observed in the common garden. We also tested and plotted correlations among the nine drought-588 related traits (Table 1) and geographical and environmental variables for both SEGI and SESE 589 using R packages Hmisc and gcorrplot in R studio 1.1.442. Geographic variables (latitude, 590 longitude and elevation) representing the geographic origin of the sampled trees (collected directly 591 for SESE individuals, or using the centroid of the grove polygon for SEGI) were used as 592 geographic origin to obtain environmental data from public databases such as WorldClim2.0 (Fick 593 and Hijmans, 2017) and ClimateNA (Wang et al., 2016).

594

595 All 83 SESE genotypes were ordinated in Euclidean trait-space with PCA, using a correlation 596 matrix and eight of nine traits, excluding only C:N because of univariate nonlinear relationships 597 with other traits. A similar analysis was repeated unsuccessfully for SEGI, which did not have any 598 geographic or climatic associations with PCA axes. Giant sequoia had only five traits suitable for 599 PCA, giving less dimensionality to explore, and samples came from far fewer groves which were 600 sampled unevenly. Grove-level clusters were apparent in the trait-space, but our sample size did 601 not permit analysis on that level. The eight SESE traits used had a mean skewness of 0.366 and a 602 mean kurtosis of 0.596. None of the climatic or geographic variables had strong correlations with 603 individual axes; however, the cumulative association of rainfall-related variables and latitude were 604 $> R^2 = 0.3$. We selected mean annual precipitation and latitude to create two sets of potential 605 clusters within the trait-space, selecting three groups from each, with the highest and lowest values 606 forming two groups, and the intermediate values forming a larger, third class. For latitude we 607 called genotypes from above 40° latitude "north" (N = 23), those from latitudes below 37.5°

608 "south" (N = 19), and intermediate zones "central" (N = 41). Categories for rainfall were "wet" if 609 sampling sites received more than 1600 mm of annual precipitation (N = 19), those from locations 610 with fewer than 900 mm of precipitation "dry" (N = 16), and "intermediate rainfall" (N = 48). With 611 the first five PCA axes, retaining 78% of the total trait variation, we found the 5-dimensional 612 "phenotypic volumes" as minimum convex hulls occupied by each potential latitudinal or rainfall 613 class, and estimated their intersection and union using the R package hypervolume.

614

615 *Genotype data preparation*

Raw genotyping data containing high levels of missing data were filtered using TASSEL v.5.2.72 (Bradbury *et al.*, 2007) with the following parameters: minor allele frequency (maf) = 0.05, maximum allele frequency (max-maf) = 0.9. The minimum count-the minimum number of taxa in which the site must have been scored to be included in the filtered data set, 50 was implemented for SEGI and 30 for SESE.

621

622 Genome-wide association study

623 Associations between each drought-related trait and individual marker were tested using a general 624 linear model (GLM) and a mixed linear model (MLM) implemented in the GWAS analysis in TASSEL v.5.2.72 (Bradbury et al., 2007). A kinship matrix and Principal Component Analysis 625 626 (PCA) were calculated for the mixed linear model (MLM) analysis (Yu et al., 2006). Population 627 structure was accounted by including principal components as co-variates in the models. 628 Relatedness among individuals was also accounted for incorporating a kinship matrix in the 629 models. Effect sizes (proportion of phenotypic variance explained by the marker) and the 630 dominance and additive effects were also calculated in TASSEL.

632 In addition, univariate linear mixed models (uLMM) and multivariate linear mixed models 633 (mvLMM) GWAS were performed in GEMMA v0.98.3 (Zhou and Stephens 2012; 2014). In 634 contrast to the uLMM method, mvLMM associates multiple phenotypic traits with all markers 635 simultaneously, while controlling for population structure and relatedness. To run GEMMA, 636 PLINK binary ped format was generated using PLINK v.1.9 software for association analysis. The 637 Bonferroni threshold (<0.05) correction and false discovery rate (FDR) were applied for multiple 638 correction to identify significant SNPs. Manhattan plots of $-\log_{10}(P)$ values for each SNPs versus 639 chromosomal positions were generated at the GLM of TASSEL and uLMM and mvLMM of 640 GEMMA results.

641

642 Functional gene annotations

643 The genomic positions of the significant SNPs were investigated to identify the annotated genes 644 by scanning the genomic VCF files of SEGI and SESE. Subsequently, the identified significant 645 **SNPs** annotated using annotation files downloaded TreeGenes were from 646 (https://treegenesdb.org/TripalContactProfile/588450). The annotation was confirmed using some 647 other approaches such as pfam (Finn et al., 2014) and blastp (Johnson et al., 2008), BlastKOALA 648 (Kanehisa et al., 2016). The Pfam was ran using the HMMER (Finn et al., 2011) at default 649 parameters with e-value 1.0 to search proteins families. The blastp was ran at expected threshold-650 0.05; matrix-BLOSUM 62; database- non-redundant protein sequence (nr) to search the similar 651 hits. The BlastKOALA at KEGG (Kanehisa et al., 2016) was performed for protein pathways and 652 annotations. The identical matching genes were chosen to identify annotations and KEGG 653 pathways.

655 DATA AVAILABILITY STATEMENT

656 Sequencing raw reads are deposited in the NCBI SRA (<u>https://www.ncbi.nlm.nih.gov.sra</u>) under

657 BioSample SUB10142549.

658

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669

670 CONFLICT OF INTEREST

671 The authors declare there are no conflict of interests.

672

673 AUTHOR CONTRIBUTIONS

DN, ARDLT, TNB and AS designed the study; AS established the common gardens; TNB, AS,
and AROC planned the trait measurement; TNB measured all drought-related traits; BA and AS
performed all genomic lab work; DP and SS did the SNP calling; MKS and ARDLT performed
all genomic and bioinformatic data analyses; MKS, TNB, AROC and ARDLT wrote the
manuscript; all authors reviewed the final version of the manuscript.

680 SUPPORTING INFORMATION

681

682 **Table S1.** SNP filtering in giant sequoia

- 683 **Table S2.** SNP filtering in coast redwood.
- 684 **Table S3.** Univariate GLM TASSEL GWAS results in coast redwood.
- 685 **Table S4.** Univariate GLM TASSEL GWAS results in giant sequoia.
- 686 **Table S5.** Univariate uLMM GEMMA GWAS results in coast redwood.
- 687 **Table S6.** Multitrait multivariate mvLMM GEMMA GWAS results in coast redwood.
- 688 **Table S7.** uLMM and mvLMM GEMMA results in giant sequoia.
- 689 Figure S1. Correlations among drought related traits, geographic and environmental variables in
- 690 giant sequoia. R values are color-coded based on the figure legend.
- 691 Figure S2. Correlations among drought related traits, geographic and environmental variables in
- 692 coast redwood. R values are color-coded based on the figure legend.
- 693 Figure S3. Manhattan plot of SNP markers generated by TASSEL using GLM for SEGI (a) for
- 694 SESE (b). Each point represents a genetic variant in Manhattan plots. In the Manhattan plot y-axis
- 695 represent the p-value of SNP markers in -log10 and the x-axis is chromosomal positions.
- 696 Figure S4. Manhattan plot of SNP markers generated by GEMMA using univariate linear mixed
- 697 model (uLMM) in SESE. (a) manhattan plot indicate the uLMM analysis with the phenotypic traits
- 698 CN, (b) D13C, (c) D15N, (d) FA, (e) SMA, (f) PIFT, (g) SD, (h) TA, (i) HD, and (j) XA, in SESE.
- In the Manhattan plot y-axis represents the p-value of SNP markers in -log10 and the x-axis is
- 700 chromosomal positions. The red line represents genome-wide significant cut-off (p-value < 701
- 701 9.00E10⁻⁶). The green dot over the genome-wide significant cut-off (red line) represents the
- significant SNPs (p-value $< 9.00E10^{-6}$).
- **Figure S5.** Manhattan plot of SNP markers generated by GEMMA using univariate linear mixed
- model (uLMM) in SEGI. (a-h) Manhattan plot indicate the uLMM analysis with the phenotypic traits (a) TA, (b) CN, (c) D13C, (d) D15N, (e) SMA, (f) PIFT, (g) HD, and (h) XA, in SEGI. In
- the Manhattan plot y-axis represent the p-value of SNP markers in -log10 and the x-axis is chromosomal positions. The red line represents genome-wide significant cut-off (p-value $6.00E10^{-7}$). The green dot over the genome-wide significant cut-off (red line) represents the significant SNPs (p-value < $6.00E10^{-7}$).
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Table 1. Drought-related traits measured in this study in giant sequoia (SEGI) and coast redwood (SESE).

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Trait	Symbol	Units
Shoot mass per area	SMA	g m ⁻²
Osmotic pressure at full turgor	PIFT	MPa
C:N ratio	CN	unitless
Stable carbon isotope discrimination	D13C	permille
Stable nitrogen isotope discrimination	D15N	permille
Stomatal density	SD	mm ⁻²
Total area of transfusion tissue	TA	μm ²
Total area of xylem	XA	μm ²
Total area of central fibers	FA	μm^2
Xylem hydraulic diameter	HD	μm

1033 Table 2. Functional annotation of the genes of significant SNPs identified by GLM at TASSEL and univariate, multivariate linear mixed models at GEMMA for

1034 SESE and SEGI. Analysis column indicates the analysis methods (GLM, uLMM, mvLMM) for finding significant SNPs associated with different phenotypic 1035 traits in SEGI and SESE. Similar search ID column indicates the genbank or uniport ID identified by similar BLAST hits. Genes associated with environmental

1035 traits in SEGI and SESE. Similar search ID column indicates the genbank or uniport ID identified by similar BLAST hits. Genes associated with environmental variables in a previous GEA study show the environmental variable in parentheses.

Analysis	Gene	Similar Search ID ^a	SNPs ^b	p-value	Annotation Description	KEGG Pathway
GT	SESE_010495 [‡]	XP_024365529.1	1	2.00E-07	F-box protein	Ubiquitin system
GT	SESE_022882 [‡]	XP_024520340.1	1	3.00E-07	Uncharacterized protein	Cationic antimicrobial peptide (CAMP) resistance
GT	SESE_025289 [‡]	-	1	6.00E-07	-	-
GT	$SESE_026053^{\downarrow}$	XP_006840991.3	4	3.00E-07	Motile sperm domain-containing protein	-
GU, GM, GT	SESE_026278↓	XP_006847025.2	1	1.00E-07	BTB/POZ domain-containing protein	Hedgehog signaling pathway
GT	SESE_028233 [‡] (MAT)	XP_010255566.1	1	0.00E+00	Protein HOTHEAD-like	Glycine, serine and threonine metabolism
GT	SESE_031915 [↓] (MAT)	XP_027156177.1	1	7.00E-07	Uncharacterized protein	-
GT	SESE_035946 [‡]	ATG29933.1	1	1.00E-07	Cyp750c18	Cytochrome
GU, GM, GT	SESE_039821 [‡]	XP_012445484.1	21	2.00E-07	Receptor-like protein kinase HAIKU2	-
GT	SESE_041334 [‡]	XP_020251916.1	1	8.00E-07	Uncharacterized protein	-
GT	SESE_058034 [‡] (CMD)	XP_011625611.2	1	0.00E+00	Cysteine-rich receptor-like protein kinase	-
GT	SESE_074679 [‡]	ABR17724.1	1	4.00E-07	Unknown	-
GT	SESE_075160 [‡]	XP_006840304.2	1	2.00E-07	Chlorophyll a-b binding protein	Photosynthesis-antenna proteins, Metabolic pathways
GT	SESE_079577 [‡] (MAP)	XP_007014626.2	1	8.00E-07	Protein indeterminate domain	-
GT	SESE_084919 [‡]	XP_017700156.2	1	2.00E-07	Hypothetical protein	-
GT	$SESE_093724^{\downarrow}$	XP_006858242.1	1	1.00E-07	Glyoxysomal processing protease	-
GT	SESE_102359 [‡]	XP_020532610.1	1	1.00E-06	Lysosomal Pro-X carboxypeptidase	Renin-angiotensin system, Protein digestion and absorption
GT	SESE_118250 [‡] (MAP)	XP_027064440.1	1	4.00E-07	Uncharacterized protein	
GU, GM, GT	SESE_121791 [‡]	XP_031476139.1	4	2.00E-07	Cysteine protease RD19A-like	Lysosome, Apoptosis, Plant-pathogen interaction
GM	SESE_041833	XP_006838305.1	1	6.00E-06	cellulose synthase A catalytic	Transferases
GM	SESE_031320 [‡] (MAP)	XP_027057309.1	1	4.00E-06	uncharacterized protein	-
GT	SEGI_21288 ^{↓↓} (MAP)	XP_019108059.1	1	7.18E-07	Uncharacterized protein	-
GM	SEGI_29523	MBT3334041.1	1	3.20E-06	5-amino-6-(D-ribitylamino) uracilL-tyrosine 4-hydroxyphenyl transferase-CofH	Methane metabolism, Metabolic pathways

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GU= GEMMA univariate; GM= GEMMA multivariate; GT= GLM at TASSEL; ¹genes were associated with TA; ¹¹ genes were associated with pift phenotype. ^aNCBI or Uniprot IDs; ^bTotal Numbers of candidate SNPs in a gene.

Table 3. Gene Ontology annotation of the genes of significant SNPs associated with different phenotypic traits in redwood (SESE) and giant sequoia (SEGI).

Gene	GO IDs	GO Names
SESE_010495	MP: GO:0005515	MP: protein binding
SESE_022882	-	-
SESE_026053	-	-
SESE_026278	MP: GO:0005515	MP: protein binding
SESE_028233	MP: GO:0016614; MP: GO:0050660	MP: oxidoreductase activity, acting on CH-OH group of donors; MP: flavin adenine dinucleotide binding
SESE_031915	MP: GO:0003676	MP: nucleic acid binding
SESE_035946	MP: GO:0004497; MP: GO:0005506; MF: GO:0016705; MP: GO:0020037	MP: monooxygenase activity; MP: iron ion binding; MP: oxidoreductase activity, acting on paired donors, with incorporation or reduction of molecular oxygen; MP: heme binding
SESE_039821	BP: GO:0006468; MP: GO:0004672; MF: GO:0005524	BP: protein phosphorylation; MP: protein kinase activity; MP: ATP binding
SESE_041334	CC: GO:0016021	CC: integral component of membrane
SESE_058034	BP: GO:0006468; MP: GO:0004672; MP: GO:0005524	BP: protein phosphorylation; MP: protein kinase activity; MP: ATP binding
SESE_074679	CC: GO:0110165	CC: cellular anatomical entity
SESE_075160	BP: GO:0009765; CC: GO:0016020	BP: photosynthesis, light harvesting; CC: membrane
SESE_079577	BP: GO:0009630; MP: GO:0046872; CC: GO:0005634	BP: gravitropism; MP: metal ion binding; CC: nucleus
SESE_084919	-	-
SESE_093724	BP: GO:0016485; MP: GO:0004252; CC: GO:0005777	BP: protein processing; MP: serine-type endopeptidase activity; CC: peroxisome
SESE_102359	BP: GO:0006508; MP: GO:0008236	BP: proteolysis; MP: serine-type peptidase activity
SESE_118250	-	-
SESE_121791	BP: GO:0006508; MP: GO:0008234	BP: proteolysis; MP: cysteine-type peptidase activity
SESE_041833	BP: GO:0030244; MP: GO:0016760; CC: GO:0016020	BP: cellulose biosynthetic process; MP: cellulose synthase (UDP-forming) activity; CC: membrane
SESE_031320	-	-
SEGI_21288	BP: GO:0006278; BP: GO:0090502; MP: GO:0003676; MP: GO:0003964; MP: GO:0004523	BP: RNA-dependent DNA biosynthetic process; BP: RNA phosphodiester bond hydrolysis, endonucleolytic; MF: nucleic acid binding; MF: RNA-directed DNA polymerase activity; MF: RNA-DNA hybrid ribonuclease activity
SEGI_29523	MP: GO:0003824; MP: GO:0016740; MP: GO:0016765; MP: GO:0044689; MP: GO:0046872; MP: GO:0051536; MP: GO:0051539	MP: catalytic activity; MP: transferase activity; MP: transferase activity, transferring alkyl or aryl (other than methyl) groups; MP: 7,8-didemethyl-8-hydroxy-5-deazariboflavin synthase activity; MP: metal ion binding; MP: iron-sulfur cluster binding; MP:4 iron, 4 sulfur cluster binding

1041 BP=biological process; MP=molecular process; CC=cellular component

1042 FIGURES





Figure 1. Phenotypic variability in drought-related traits across populations of climatically diverse origin is similar to or smaller than phenotypic plasticity within individual tree crowns. Grey bars indicate variation (interquartile range) across genotypes examined in this study, for (a) coast redwood, and (b) giant sequoia; red bars indicate variation within crowns of single trees examined by Chin & Sillett (2016) and Oldham et al. (2010). The vertical line in each grey bar denotes the median, whiskers denote 5th and 95th percentiles, and grey symbols are outliers. Trait values are expressed relative to mean values across genotypes (grey bars) or within crowns (red bars). Mean values across genotypes for each trait are shown at right in each panel (units as in Table 1; for areas of transporting fibers, xylem and transfusion tissue, multiply values shown here by 10^3 to get areas in μm^2).





Figure 3. Correlations among drought-related traits and geographical variables in giant sequoia.
(a) Heatmap showing R for all combinations of variables; (b) scatterplots of significant correlations
(P value<0.05) among geographic variables and carbon isotope discrimination and C:N ratio. Full
trait names can be found in Table 1.



Figure 4. Manhattan plot of SNP markers generated by GEMMA using multivariate linear mixed model (mvLMM) in SESE. (a) manhattan plot indicate the mvLMM analysis with the phenotypic traits SMA, PIFT, SD, D13C, CN and (group 1) (b) manhattan plot indicating the mvLMM analysis with the phenotypic traits D15N, TA, XA, HD and FA (group 2) in SESE. In the Manhattan plot y-axis represent the p-value of SNP markers in -log₁₀ and the x-axis is chromosomal positions. The red line represents genome-wide significant cut-off (p-value < 9.00.10⁻⁶). The green dot over the genome-wide significant cut-off (red line) represents the significant SNPs (p-value $< 9.00 \cdot 10^{-6}$).



Figure 5. Manhattan plot of SNP markers generated by GEMMA using multivariate linear mixed model (mvLMM) in SEGI. (a) Manhattan plot indicate the mvLMM analysis with the phenotypic traits SMA, PIFT, D13C, CN and D15N (group 1) and (b) manhattan plot indicate the mvLMM analysis with the phenotypic traits TA, XA, HD (group 2) in SEGI. The red line represents genome-wide significant cut-off (p-value< $6.00 \cdot 10^{-7}$). The green dot over the genome-wide significant cut-off (red line) represents the significant SNPs (p-value< $6.00 \cdot 10^{-7}$).





Figure 6. Venn-diagrams representing the common significant SNPs identified by all three GWAS analyses including GLM at TASSEL, uLMM and mvLMM at GEMMA in SEGI (a) and SESE (b). List 1 is the total number of SNPs identified by GLM, list 2 is total number of SNPs identified by uLMM and list 3 is total SNPs identified by mvLMM

identified by uLMM and list 3 is total SNPs identified by mvLMM.

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