

The synthetic triterpenoids CDDO-TFEA and CDDO-Me, but not CDDO, are potent BACH1 inhibitors

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Abstract

1 The transcription factor BACH1 is a potential target against a variety of chronic conditions
2 linked to oxidative stress and inflammation, and formation of cancer metastasis. However,
3 only a few BACH1 degraders/inhibitors have been described. BACH1 is a transcriptional
4 repressor of heme oxygenase 1 (HMOX1), which is positively regulated by transcription
5 factor NRF2 and is highly inducible by derivatives of the synthetic oleanane triterpenoid 2-
6 cyano-3,12-dioxooleana-1,9(11)-dien-28-oic acid (CDDO). Most of the therapeutic activities
7 of these compounds are due to their anti-inflammatory and antioxidant properties, which
8 are widely attributed to their ability to activate NRF2. However, with such a broad range of
9 action, these drugs may have other molecular targets that have not been fully identified and
10 could also be of importance for their therapeutic profile. Herein we identified BACH1 as a
11 target of CDDO-derivatives, but not CDDO. While both CDDO and CDDO-derivatives activate
12 NRF2 similarly, only CDDO-derivatives inhibit BACH1, which explains the much higher
13 potency of CDDO-derivatives as HMOX1 inducers compared with unmodified CDDO.
14 Notably, we demonstrate that CDDO-derivatives inhibit BACH1 via a novel mechanism that
15 reduces BACH1 nuclear levels while accumulating its cytoplasmic form. Altogether, our
16 study identifies CDDO-derivatives as dual KEAP1/BACH1 inhibitors, providing a rationale for
17 further therapeutic uses of these drugs.

18

19 Background

20 The synthetic oleanane triterpenoid 2-cyano-3,12-dioxooleana-1,9(11)-dien-28-oic acid
21 (CDDO) and its derivatives, including CDDO-methyl ester (CDDO-Me, also known as
22 Bardoxolone methyl) and CDDO-trifluoroethyl amide (CDDO-TFEA), are a class of
23 multifunctional drugs with anti-inflammatory and antioxidant properties that have a wide

24 range of therapeutic uses, from neuroprotection to anticancer, in a variety of preclinical
25 models [1-5]. These compounds were first identified as inducers of heme oxygenase 1
26 (HMOX1), an inducible enzyme with potent antioxidant and anti-inflammatory properties,
27 and later as potent activators of the transcription factor NRF2 [6]. Extensive structure-
28 activity studies led to the development of the most potent NRF2 activators known to date,
29 some of which, including CDDO-Me, are currently in advanced clinical trials [7, 8]. NRF2 is
30 largely controlled at the protein stability level, and its main regulator, KEAP1 (Kelch-like
31 ECH-associated protein 1), is a substrate adaptor for the Cul3-based E3 ubiquitin ligase, and
32 in normal conditions, KEAP1 targets NRF2 for proteasomal degradation, keeping the levels
33 of NRF2 low in cells [9]. KEAP1 is also a sensor for electrophiles, such as CDDO and its
34 derivatives, which chemically modify cysteines in KEAP1 [10, 11] preventing it from
35 targeting NRF2 for degradation, leading to a rapid nuclear accumulation of NRF2 and
36 transcription of its target genes [9].

37

38 In addition to NRF2, the transcription of *HMOX1* is also regulated by BACH1 (broad complex,
39 tramtrack and bric à brac and cap'n'collar homology 1), a transcription factor that competes
40 with NRF2 for binding to sequences called antioxidant response elements (AREs) within its
41 promoter region. Unlike NRF2 which activates *HMOX1* transcription, BACH1 represses it [12-
42 15]. While KEAP1 inhibitors/NRF2 activators induce the expression of numerous
43 cytoprotective genes, BACH1 inhibitors/degraders activate only a limited subset of these
44 genes, although they are extremely potent at inducing *HMOX1*.

45

46 Despite their therapeutic potential [16-23], only a few BACH1 inhibitors/degraders have
47 been identified so far. The most widely used BACH1 degrader is hemin, a heme derivative.

48 Hemin binds to BACH1, promoting its nuclear export and subsequent cytoplasmic
49 degradation [24-26]. Other degraders/inhibitors are the natural phytocannabinoid
50 cannabidiol [27], the synthetic compound HPP-4382 [19], and its derivatives [16], although
51 their mechanisms of action are not clear. Based on the differential effect of BACH1 versus
52 KEAP1 inhibitors, we expect drugs with dual activity, targeting both transcription factors, to
53 have broader and stronger anti-inflammatory and antioxidant properties with potentially
54 greater therapeutic value than drugs targeting either protein individually. In that regard, we
55 have recently reported a chemical derivative of cannabidiol with dual activity [28], although
56 its efficacy *in vivo* has not been established.

57

58 CDDO-derivatives are more potent than CDDO at inducing HMOX1 [6, 29] and have a better
59 therapeutic profile, although the reason for this increased activity is unclear. In this work we
60 demonstrate that CDDO derivatives (particularly CDDO-Me and CDDO-TFEA) are potent
61 BACH1 inhibitors, while CDDO is not. This dual KEAP1 and BACH1 inhibition explains their
62 enhanced potency as *HMOX1* inducers and may also explain some of their superior
63 therapeutic profile.

64

65 **Materials and Methods**

66 **Cell culture**

67 Cells were grown in RPMI (HaCaT and HK2) or DMEM (H1299, A549) containing 10% FBS at
68 37°C and 5% CO₂. LX2 cells were maintained in high glucose DMEM media with 2mM L-
69 Glutamine, without sodium pyruvate and with 2% FBS EmbryoMaxTM (Sigma-Aldrich, St.
70 Louis, MO, USA). HaCaT cells have been validated by STR profiling and were routinely tested
71 for mycoplasma. LX2 cells were obtained from SIGMA, and HK2, H1299 and A549 cells were

72 obtained from ATCC and also tested for mycoplasma. CRISPR-edited NRF2-KO HaCaT cells
73 were produced by transfecting HaCaT cells with pLentiCRISPR-v2 (a gift from Dr Feng Zhang,
74 Addgene plasmid #52961) containing a guide RNA directed against the exon 2 of the NFE2L2
75 locus (which encodes NRF2) (5'-TGGAGGCAAGATATAGATCT-3'). HaCaT BACH1-KO and
76 HaCaT NRF2-KO/BACH1-KO cells were generated by transfecting either HaCaT WT or HaCaT
77 NRF2-KO cells with two different pLentiCRISPR-v2 plasmids containing each one a guide RNA
78 against the first exon and the second exon of BACH1, respectively (5'-
79 CGATGTCACCATCTTTGTGG-3', 5'-GACTCTGAGACGGACACCGA-3'). All CRISPR-edited cell
80 lines were selected with puromycin for 2 days, cells were clonally selected by serial dilution,
81 and positive clones were identified as previously described [30]. Control cells, referred as
82 HaCaT wild type (HaCaT WT), are the pooled population of surviving cells transfected with
83 an empty pLentiCRISPRv2 vector treated with puromycin.

84 **Antibodies and reagents**

85 Antibodies against BETA-ACTIN (C-4), BACH1 (F-9) and LAMIN B2 (C-20) were obtained from
86 Santa Cruz Biotechnology (Dallas, Texas, USA). Anti-NRF2 (D1Z9C) was obtained from Cell
87 Signalling Technology (Danvers, MA, USA) and anti-HMOX1 was purchased from Biovision
88 (San Francisco, CA, USA). Antibody against ALPHA-TUBULIN was obtained from Sigma-
89 Aldrich (St. Louis, MO, USA). HRP-conjugated secondary antibodies were obtained from Life
90 Technologies (Carlsbad, California, USA). Dimethyl sulfoxide (DMSO) was from Sigma-
91 Aldrich. R,S-sulforaphane (SFN) was purchased from LKT Laboratories (St. Paul, MN, USA).
92 (±)-TBE-31 was synthesized as described [31, 32]. CDDO and CDDO-derivatives were
93 obtained from Cayman Chemicals (Ann Arbor, MI, USA). MG132 was obtained from Santa
94 Cruz Biotechnology; Leptomycin B from Cayman Chemicals, MLN4924 and Selinexor (KPT-

95 330) from Selleckchem (Houston, TX, USA) and Actinomycin D and Cycloheximide from
96 Sigma.

97 **Plasmids**

98 BACH1-RFP, and BACH1- C435, C46, C492, C646A (Hemin resistant) -RFP were generated as
99 follows. BACH1 WT or Hemin-resistant inserts were synthesised and cloned into Plenti-CMV-
100 MCS-RFP-SV-puro. Plenti-CMV-MCS-RFP-SV-puro was a gift from Jonathan Garlick & Behzad
101 Gerami-Naini (Addgene plasmid # 109377; <http://n2t.net/addgene:109377>;
102 RRID:Addgene_109377).

103 **Quantitative real time PCR (rt-qPCR)**

104 RNA from cells was extracted using GeneJET RNA Purification Kit (Thermo Fisher Scientific)
105 and 500 ng of RNA per sample was reverse-transcribed to cDNA using Omniscript RT kit
106 (Qiagen) supplemented with RNase inhibitor according to the manufacturer's instructions.
107 Resulting cDNA was analysed using TaqMan Universal Master Mix II (Life Technologies,
108 Carlsbad, CA, USA) as well as corresponding Taqman probes. Gene expression was
109 determined using a QuantStudio 7 Flex qPCR machine by the comparative $\Delta\Delta$ CT method. All
110 experiments were performed at least in triplicates and data were normalized to the
111 housekeeping gene HPRT1. Taqman probes used: HPRT1 Hs02800695_m1; HMOX1
112 Hs01110250_m1; AKR1B10 Hs00252524_m1.

113 **Cell lysis and western blot**

114 Cells were washed and harvested in ice-cold phosphate-buffered saline (PBS). For whole cell
115 extracts, cells were lysed in RIPA buffer supplemented with phosphate and protease
116 inhibitors [50 mM Tris- HCl pH 7.5, 150 mM NaCl, 2 mM EDTA, 1% NP40, 0.5% sodium
117 deoxycholate, 0.5 mM Na₃VO₄, 50 mM NaF, 2 µg/mL leupeptine, 2 µg/mL aprotinin, 0.05
118 mM pefabloc]. Lysates were sonicated for 15 s at 20% amplitude and then cleared by

119 centrifugation for 15 min at 4 °C. For subcellular fractionation, cells were resuspended in
120 400µl of low-salt buffer A (10mM Hepes/KOH pH7.9, 10mM KCL, 0.1mM EDTA,
121 0.1mM EGTA, 1mM β-Mercaptoethanol) and after incubation for 10min on ice, 10µl of
122 10% NP-40 was added and cells were lysed by gently vortexing. The homogenate was
123 centrifuged for 10s at 13,200rpm, the supernatant representing the cytoplasmic fraction
124 was collected and the pellet containing the cell nuclei was washed 4 additional times in
125 buffer A. The pellet containing the nuclear fraction was then resuspended in 100µl high-
126 salt buffer B (20mM Hepes/KOH pH7.9, 400mM NaCl, 1mM EDTA, 1mM EGTA, 1mM
127 β-mercaptoethanol). The lysates were sonicated and centrifuged at 4°C for 15min at
128 13,200rpm. The supernatant representing the nuclear fraction was collected. Protein
129 concentration was determined using the BCA assay (Thermo Fisher Scientific, Waltham, MA,
130 USA). Lysates were mixed with SDS sample buffer and boiled for 7 min at 95 °C. Equal
131 amounts of protein were separated by SDS-PAGE, followed by semidry blotting to a
132 polyvinylidene difluoride membrane (Thermo Fisher Scientific). After blocking of the
133 membrane with 5% (w/v) non-fat dried milk dissolved in Tris buffered saline (TBS) with 0.1%
134 v/v Tween-20 (TBST), membranes were incubated with the primary antibodies overnight at
135 4°C. Appropriate secondary antibodies coupled to horseradish peroxidase were detected by
136 enhanced chemiluminescence using Clarity™ Western ECL Blotting Substrate (Bio-Rad,
137 Hercules, CA, USA). Resulting protein bands were quantified and normalised to each lane's
138 loading control using the ImageStudio Lite software (LI-COR). For whole cell extracts, the
139 protein of interest was normalised against ACTIN or GADPH. LAMIN was used as an internal
140 control for nuclear extracts and TUBULIN or GADPH were used as controls for cytoplasmic
141 extracts.

142 **Cell viability assay**

143 Alamar Blue (Thermo Fisher Scientific) was used to determine cell viability after drug
144 treatment. HaCaT cells were seeded in 96-well plates to 50–60% confluency and treated the
145 next day with the corresponding compounds for 48 hours. After treatment, Alamar Blue was
146 added to the wells (1:10 ratio) and after four hours of incubation at 37 °C the fluorescence
147 was measured (excitation 550 and an emission at 590 nm) using a microplate reader
148 (Spectramax m2). Viability was calculated relative to the DMSO treated control.

149 **Statistical analysis**

150 Experiments were repeated at least 2-5 times with multiple technical replicates to be
151 eligible for the indicated statistical analyses. Data were analysed using Graphpad Prism
152 statistical package. All results are presented as mean \pm SD unless otherwise mentioned. The
153 differences between groups were analysed using one-way ANOVA.

154

155 **Results**

156 **CDDO-derivatives, but not CDDO, reduce BACH1 levels.** We have previously shown in
157 immortalised human keratinocytes (HaCaT cells), that the classical NRF2 activator
158 sulforaphane (SFN) is a weak *HMOX1* inducer (but a very good inducer of the NRF2
159 transcriptional target *AKR1B10*), while BACH1 degraders such as hemin strongly induce
160 *HMOX1* (in an NRF2-independent manner) without affecting *AKR1B10* expression [27, 28].
161 This emphasizes that although *HMOX1* has often been used as a surrogate for NRF2 activity,
162 in some cases *AKR1B10* induction might be a more appropriate reporter for NRF2 activation
163 while *HMOX1* induction is a better surrogate for BACH1 inhibition. To answer whether the
164 observed limited effect of SFN on *HMOX1* in HaCaT cells is a general phenomenon for NRF2
165 activators we compared three potent NRF2 activators (SFN, CDDO and TBE31) against a
166 BACH1 degrader (hemin) for their ability to induce *HMOX1* in these cells. As shown in **figure**

167 **1A**, all three NRF2 activators were weak *HMOX1* inducers when compared with hemin but
168 potent inducers of *AKR1B10* expression.

169

170 Since CDDO-Me is more potent than CDDO at inducing *HMOX1* expression in some cellular
171 models, we tested whether CDDO-Me and CDDO had a differential effect on *HMOX1*
172 transcription in HaCaT cells. CDDO-Me was significantly more potent than CDDO at inducing
173 *HMOX1* expression, although both compounds were equally potent at inducing *AKR1B10*
174 (**Fig. 1B**), suggesting that their differential effect on *HMOX1* must be NRF2-independent.

175 Next, we hypothesised that, in addition to activating NRF2, CDDO-Me might be targeting
176 BACH1. To test this, we compared the effect that CDDO and CDDO-Me had on BACH1 and
177 NRF2 protein levels. As shown in **figure 1C**, CDDO-Me - but not CDDO - reduced BACH1
178 protein levels and greatly induced *HMOX1*, while both compounds equally stabilised NRF2.

179 Since other CDDO-derivatives are also potent *HMOX1* inducers, we hypothesised that they
180 might also reduce BACH1 protein levels. To test this, we compared the effect of various
181 CDDO-derivatives on BACH1 and NRF2 protein levels as well as *HMOX1* and *AKR1B10*
182 expression. Of the derivatives tested, CDDO-TFEA and CDDO-Me were the most potent
183 compounds at reducing BACH1 levels (**Suppl. Fig S1A**) and at inducing *HMOX1* expression
184 (**Suppl. Fig S1B**). All compounds (CDDO and derivatives) induced *AKR1B10* to a similar extent

185 (**Suppl. Fig S1B**). Based on their potency, we focused on CDDO-TFEA and CDDO-Me
186 (structures shown in **Suppl. Fig S1C**) and performed a time course analysis of their effect on
187 BACH1 levels. Our results show that BACH1 reduction appears to be maximal between three
188 and six hours, and that this effect is not observed at 16 hours (**Fig. 1 D**). Neither CDDO-TFEA
189 nor CDDO-Me reduced cell viability at the concentrations used in various cellular systems
190 (**Suppl. Fig. S1D**).

191

192 **The differential effect of CDDO and CDDO-derivatives on *HMOX1* expression is due to**

193 **BACH1 inhibition**. Reportedly, some CDDO-derivatives still increase *HMOX1* protein levels in

194 the absence of NRF2 [29], although the factor responsible for that induction has not been

195 identified. To test whether in our system the differential effect of CDDO-TFEA and CDDO-Me

196 versus CDDO was dependent on NRF2, we compared wild type (WT) and NRF2-KO HaCaT

197 cells. We found that both CDDO-TFEA and CDDO-Me were more potent than CDDO at

198 inducing *HMOX1* in WT cells, and a similar pattern (although with reduced fold induction)

199 was observed in NRF2-KO cells (**Fig. 2A**), demonstrating that the differential effect between

200 CDDO and CDDO-TFEA/Me was indeed not related to NRF2. On the other hand, *AKR1B10*

201 induction in WT cells was similar for the three compounds and was completely abolished in

202 the absence of NRF2 (**Fig. 2B**). We used a complementary approach with an immortalised

203 human proximal tubular kidney cell line (HK2) to test if the observed NRF2-independent

204 differential effect of CDDO-derivatives on *HMOX1* was cell-type specific. Using CRISPR/Cas9

205 gene editing, we produced an isogenic HK2 cell line with hyperactive NRF2 that cannot be

206 further stabilised by activators (NRF2-GOF cells) (Cell line validation in **Suppl. Fig S2A**). In

207 this cell line, CDDO failed to induce *HMOX1* any further while CDDO-Me and CDDO-TFEA still

208 potently induced *HMOX1* (**Suppl. Fig. S2B**), confirming that this differential induction does

209 not depend on NRF2 stabilisation. In agreement with the results obtained in HaCaT cells, the

210 three compounds equally induced *AKR1B10* in WT HK2 cells but failed to induce it further in

211 NRF2-GOF HK2 cells (**Suppl. Fig. S2C**).

212 As BACH1 is a key regulator of *HMOX1* expression, we hypothesised that the differential

213 effect between CDDO and CDDO-derivatives must be due to their differential activity on

214 BACH1, and that the strong effect of CDDO-derivatives on *HMOX1* expression relates to the

215 combination of NRF2 stabilisation and BACH1 reduction. To test this, we compared the
216 three compounds in BACH1-KO and in BACH1/NRF2-KO HaCaT cells. In BACH1-KO cells, the
217 differential effect between CDDO and CDDO-derivatives on *HMOX1* was lost (**Fig. 2C left**
218 *panel*), suggesting that BACH1 is indeed responsible for that effect (comparison between
219 Fig. 2C and 2A), and that NRF2 (or another factor) might be responsible for the remaining
220 observed induction. In fact, in double BACH1/NRF2-KO cells the effect of the compounds on
221 *HMOX1* expression was largely abolished, highlighting the relevance of both factors
222 regulating *HMOX1* (**Fig. 2C right panel**).

223

224 **CDDO-derivatives reduce BACH1 nuclear levels while accumulating cytoplasmic BACH1**

225 **levels in a NRF2-independent manner.** Our results demonstrate that CDDO-derivatives, but
226 not CDDO, reduce the levels of BACH1, and that this reduction is responsible for their
227 differential effect on *HMOX1* expression. However, the reduction of BACH1 levels was less
228 than expected based on the strong *HMOX1* induction (which was similar to that observed
229 with the potent BACH1 degrader hemin). Furthermore, although CDDO-derivatives were still
230 robust inducers of *HMOX1* in other cell lines (such as HK2 cells, the lung cancer cells H1299
231 and A549, or the human hepatic stellate cell line LX2), in contrast to HaCaT cells (**Fig. 1B**),
232 they did not affect the BACH1 levels (**Suppl. Fig. S3A-S3D**), which was intriguing. As some of
233 the compounds that target BACH1 for degradation do so by first inducing its nuclear export
234 [25], we wondered whether CDDO-derivatives might affect the balance between
235 nuclear/cytoplasmic BACH1 and whether the compound effect could be on nuclear BACH1
236 (the active pool). Indeed, while in HK2, LX2, H1299 and A549 cells the effect of CDDO-
237 derivatives on total BACH1 (whole cell extract) was insignificant (**Suppl. Fig. S3A-S3D**), by
238 using subcellular fractionation we observed that CDDO-Me and CDDO-TFEA significantly

239 reduced nuclear BACH1 while increasing its cytoplasmic levels (**Fig. 3A-3D**). This explains the
240 apparent lack of effect on total BACH1 levels (as the cytoplasmic accumulation would mask
241 its nuclear reduction) and the strong *HMOX1* induction (as nuclear BACH1 represents the
242 transcriptionally active pool).

243

244 Additionally, as these compounds are potent NRF2 activators and NRF2 induces BACH1
245 expression [33, 34], we tested whether NRF2 was necessary for the effect of CDDO-
246 derivatives on BACH1 nuclear and cytoplasmic levels. To do this, we performed time course
247 experiments in WT and NRF2-KO HaCaT cells. The absence of NRF2 did not impair the
248 reduction in nuclear BACH1 nor its cytoplasmic accumulation (comparison between **Suppl**
249 **Fig. S3E and S3F**), strongly suggesting that NRF2 is not required for either of these effects. In
250 agreement, potent NRF2 activators such as CDDO or TBE31 did not induce BACH1
251 cytoplasmic accumulation or promote its nuclear reduction (**Suppl Fig. S3G**).

252

253 **How are CDDO-derivatives affecting BACH1 levels?** The nuclear reduction and cytoplasmic
254 accumulation of BACH1 in response to CDDO-TFEA/Me could be explained in different ways:
255 1- The two effects are not linked: e.g. CDDO-derivatives induce BACH1 nuclear degradation
256 and independently, BACH1 cytoplasmic accumulation, either by increasing the protein
257 stability or the transcript levels of BACH1.

258 2- The two effects are linked: e.g. CDDO-derivatives affect the nuclear export of BACH1.

259

260 We next tested these two possible explanations:

261 **Is BACH1 protein stability affected by the CDDO-derivatives?** The two main pathways
262 controlling protein degradation are the ubiquitin-proteasome system and autophagy. To

263 study the involvement of the ubiquitin-proteasome system we used MG132 (proteasome
264 inhibitor) and MLN 4924 (an inhibitor of NEDD8 activating enzyme, which acts by inhibiting
265 all Cullin RING ligases). Although both inhibitors increased the basal levels of BACH1, neither
266 of them abolished the effect of CDDO-TFEA/Me on BACH1 (**Fig. 4A**), suggesting that
267 degradation of BACH1 via the proteasome is not the main mechanism by which the CDDO-
268 derivatives reduce levels of BACH1. To address the potential role of autophagy, we used the
269 autophagy inhibitor bafilomycin A1, which did not impair the effect of CDDO-Me/TFEA on
270 BACH1 protein levels (**Suppl Fig. S4A**).

271 Hemin (the best-characterised BACH1 degrader) binds to BACH1, promoting its proteasomal
272 degradation, and thus our results suggest that CDDO-derivatives and hemin might have
273 different mechanisms of action. To address this, we reconstituted BACH1-KO cells with
274 either BACH1-WT or a BACH1 hemin-resistant mutant, in which four cysteines in the haem-
275 binding site were mutated to alanine (Hemin-resistant) [25, 35]. Although both hemin and
276 the CDDO-derivatives efficiently reduced nuclear levels of BACH1-WT, only CDDO-TFEA/Me
277 reduced the levels of the hemin-resistant BACH1 mutant (**Fig. 4B**). These results further
278 confirm that the mechanism of BACH1 reduction by CDDO-TFEA/Me is different from that of
279 hemin.

280 Is BACH1 transcription affected by the CDDO-derivatives? As BACH1 protein stability did not
281 seem to be affected by CDDO-TFEA/Me, we tested if BACH1 transcriptional regulation was
282 responsible for its cytoplasmic accumulation. To test this, we used compounds to inhibit
283 either protein synthesis (cycloheximide, a protein synthesis inhibitor) or transcription
284 (actinomycin D, a DNA-directed RNA synthesis inhibitor). Neither of these inhibitors blocked
285 BACH1 nuclear reduction or its cytoplasmic accumulation in response to CDDO-TFEA/Me

286 **(Suppl Fig. S4B)**, suggesting that synthesis of new proteins (and their transcription) is not
287 needed for the effect of the CDDO-derivatives on BACH1.

288 Is BACH1 nuclear export affected by the CDDO-derivatives? So far, our results showed that
289 neither transcriptional regulation nor protein degradation are mechanisms responsible for
290 the effect of CDDO-TFEA/Me, suggesting that the CDDO-derivatives might be reducing
291 nuclear BACH1 and accumulating its cytoplasmic pool via a nuclear export mechanism.
292 While small molecules (20-40 kD) can passively diffuse between the nucleus and the
293 cytoplasm, transport of larger molecules such as proteins involves signal-dependent
294 mechanisms. Many nuclear export substrates contain a nuclear export signal (NES) that
295 binds the export receptor CRM1 (exportin 1/Xpo1), which is sensitive to inhibitors such as
296 leptomycin B and selinexor. However, not all proteins that shuttle between the nucleus and
297 cytoplasm use CRM1 to do so, and CRM1-independent nuclear export pathways have been
298 identified [36-40]. To address whether the changes in nuclear and cytoplasmic BACH1 in
299 response to CDDO-TFEA/Me are related to a CRM1-dependent nuclear export mechanism,
300 we tested the effect of two CRM1 inhibitors (leptomycin B and selinexor) **(Suppl. Fig S4C)**.
301 Although both inhibitors induced a basal nuclear accumulation of BACH1, as expected,
302 neither of them abolished its nuclear reduction nor its cytoplasmic accumulation in
303 response to CDDO-TFEA/Me. Overall, our data suggest that CDDO-derivatives induce BACH1
304 nuclear export in a CRM1-independent manner.

305

306 **Discussion**

307 Our results demonstrate that CDDO-derivatives - but not CDDO - inhibit BACH1, explaining
308 their greater potency as *HMOX1* inducers in comparison with CDDO. Although we did not
309 identify the mechanism(s) responsible for the ability of CDDO-derivatives to reduce nuclear

310 BACH1 levels, our data show that neither the proteasome nor the nuclear export receptor
311 CRM1 are involved, demonstrating that CDDO-derivatives use a mechanism different from
312 the one used by hemin. This highlights the need to better understand the mechanisms
313 controlling BACH1 regulation. Additionally, the differential effect observed in the levels of
314 nuclear and cytoplasmic BACH1 shows that nuclear reduction of BACH1 (without further
315 cytoplasmic degradation) is sufficient for a strong *HMOX1* induction. This should be taken
316 into consideration in the design of screening strategies to identify BACH1 inhibitors, as only
317 looking at total BACH1 levels in cells could be misleading. It would be interesting to address
318 whether the accumulation of cytoplasmic BACH1 may have other functions that are
319 unrelated to its well-characterized role as transcriptional regulator.

320

321 Our data demonstrate that both CDDO-TFEA and CDDO-Me are very potent dual KEAP1 and
322 BACH1 inhibitors, which could explain some of their therapeutic benefits. Importantly our
323 study provides a rationale for their potential clinical development for conditions affected by
324 BACH1, such as bone destructive diseases [16], non-alcoholic steatohepatitis [22],
325 atherosclerosis [23], insulin resistance [20], coronary artery disease [41], aging related
326 conditions [17] and tumour metastasis [33, 34, 42-46].

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AUTHORS CONTRIBUTION

LC, RM, MH, SDN, GN, AEK, EBS, WL and LC conducted the experiments and were responsible for initial data analysis, figure preparation and statistical analysis. TH and TBP provided resources and technical expertise. LV, DO and ADK had a leading contribution in the design of the study, and an active role in the discussion and interpretation of the whole dataset. LV wrote the original draft of the manuscript. ADK, DO and LC reviewed and edited the manuscript. Funding acquisition LV, DO and ADK. All the authors take full responsibility for the work.

DECLARATION OF INTERESTS

ADK is a member of the Scientific Advisory Board of Evgen Pharma, and a consultant for Aclipse Therapeutics.

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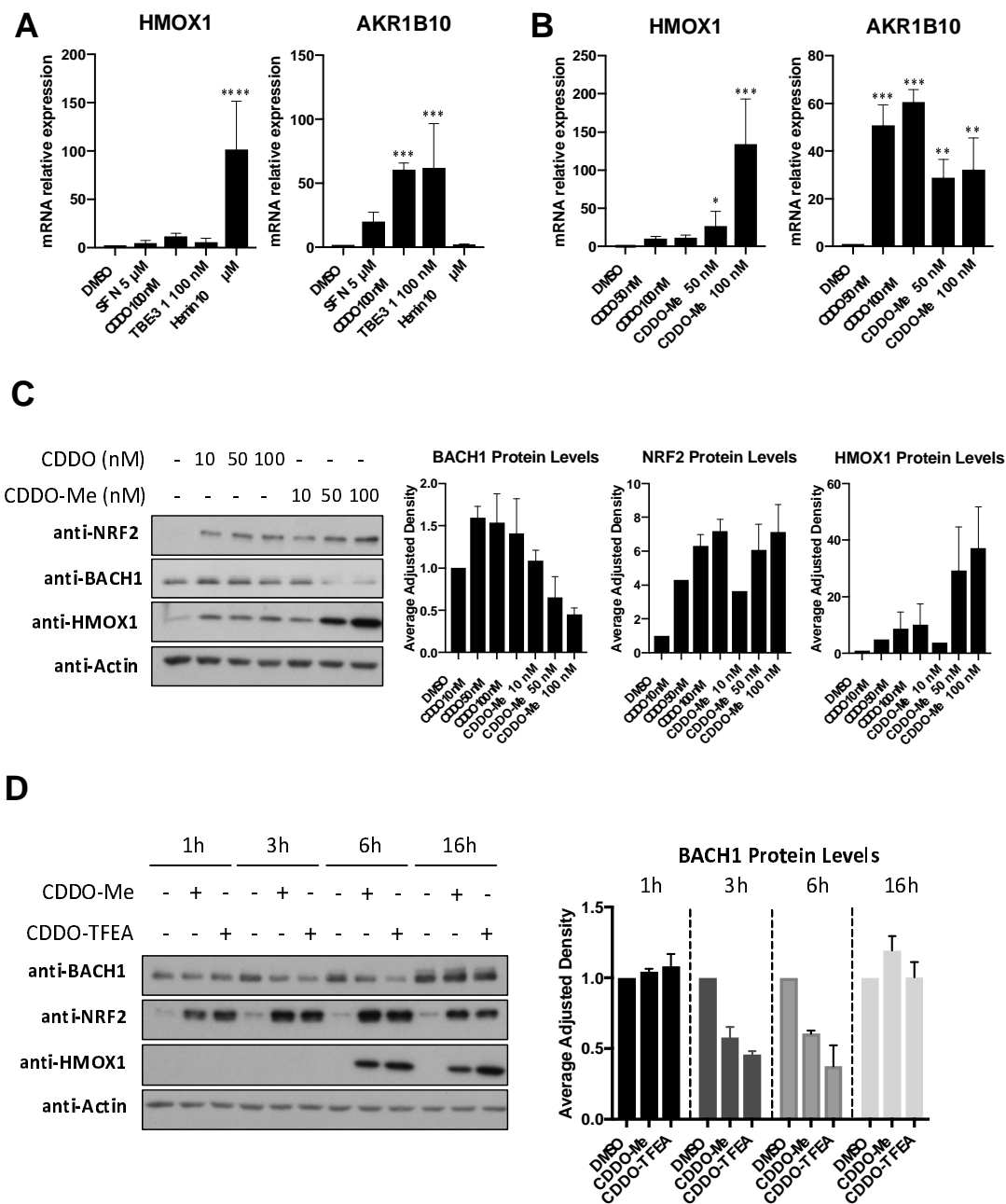


Figure 1

Figure 1. CDDO derivatives, but not CDDO, reduce BACH1 levels (A) HaCaT cells were treated with either DMSO (0.1%, v/v), SFN (5 μ M), CDDO (100 nM), TBE-31 (100 nM) or Hemin (10 μ M) for 16h. Cells were lysed and mRNA levels of *HMOX1* and *AKR1B10* were analysed by qRT-PCR, using *HPRT1* as a housekeeping gene. **(B)** As in A, but HaCaT cells were

treated with either DMSO (0.1%, v/v) or increasing concentrations of CDDO or CDDO-Me. After 16 hours cells were harvested and lysed and mRNA levels of *HMOX1* and *AKR1B10* were analysed by real-time qPCR. Data were normalised using *HPRT1* as an internal control (n= 3) and are expressed relative to the DMSO treated sample. **(C)** HaCaT cells were treated with DMSO (0.1%, v/v) or increasing concentrations of CDDO or CDDO-Me. Five hours later, cells were harvested and lysed. Protein levels of NRF2, BACH1, HMOX1 and ACTIN were analysed by Western Blot. Left panel shows a representative blot and right panels show quantification of NRF2 and HMOX1 protein levels against the loading control. Data represent means \pm SD (n= 3) and are expressed relative to the DMSO-treated samples. **(D)** HaCaT cells were treated with either DMSO (0.1%, v/v), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) for 1h, 3h, 6h or 16h. Cells were harvested, lysed and analysed for the levels of the indicated proteins. Left panel is a representative blot; right panels are the quantification of BACH1 levels (n= 3). Data are expressed relative to the DMSO-treated samples for each time point and were normalized against their respective loading controls (DMSO sample for each time point set to 1). *P \leq 0.05, **P \leq 0.01, ***P \leq 0.001, ****P \leq 0.0001.

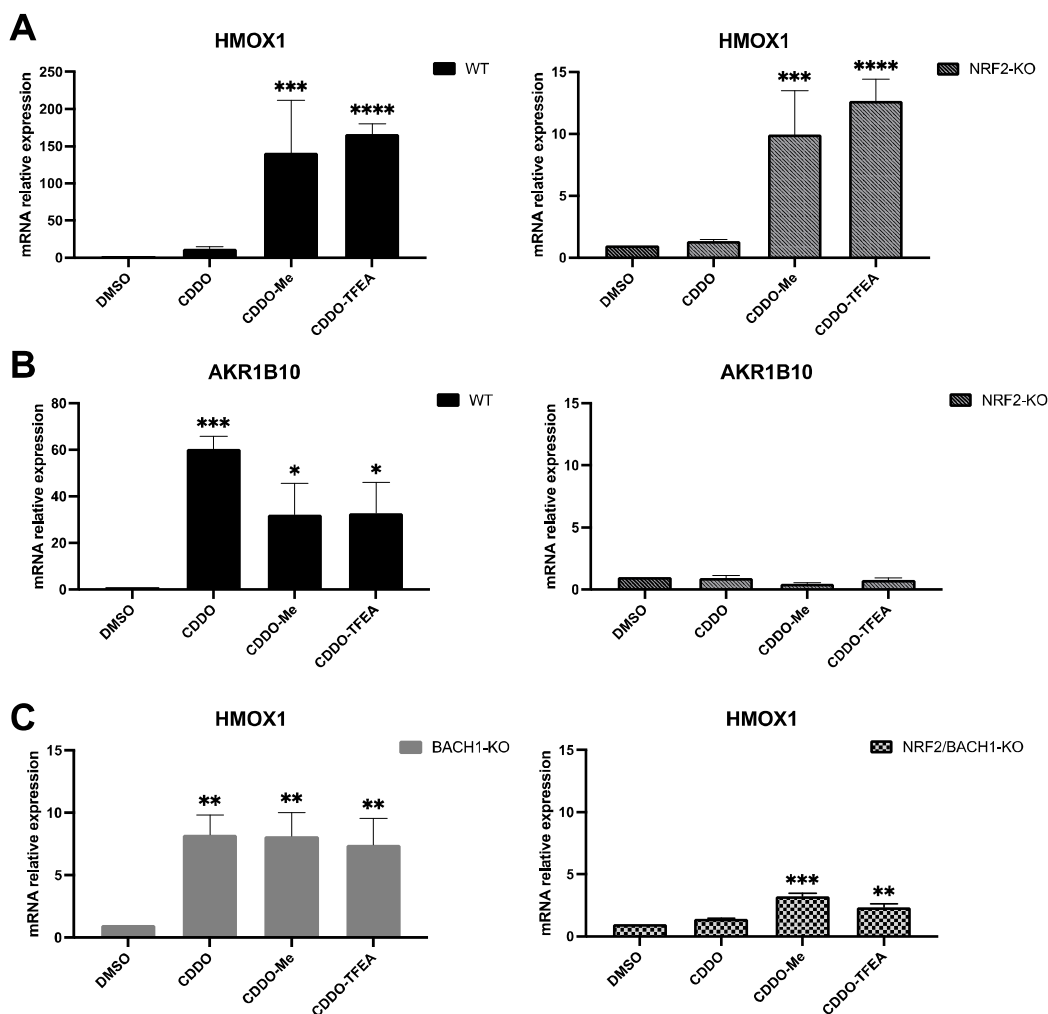


Figure 2

Figure 2. The differential effect of CDDO and CDDO/TFEA on *HMOX1* is BACH1-dependent and NRF2-independent. (A,B) HaCaT WT or NRF2-KO cells were treated with either DMSO (0.1%, v/v), CDDO (100 nM), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) for 16h. Samples were collected and mRNA levels of *HMOX1* (A) and *AKR1B10* (B) were analysed via real-time qPCR, using *HPRT1* as an internal control. Data are expressed relative to the DMSO-treated samples in each cell line (DMSO in WT and NRF2-KO cells set to 1). **(C)** HaCaT BACH1-KO and HaCaT NRF2/BACH1-KO cells were treated as above. Levels of *HMOX1* were analysed by qRT-PCR as previously described. *HMOX1* levels in the DMSO samples of each cell line were

set to 1 and the rest of the data are expressed relative to their corresponding DMSO

sample. *P ≤ 0.05, **P ≤ 0.01, ***P ≤ 0.001, ****P ≤ 0.0001.

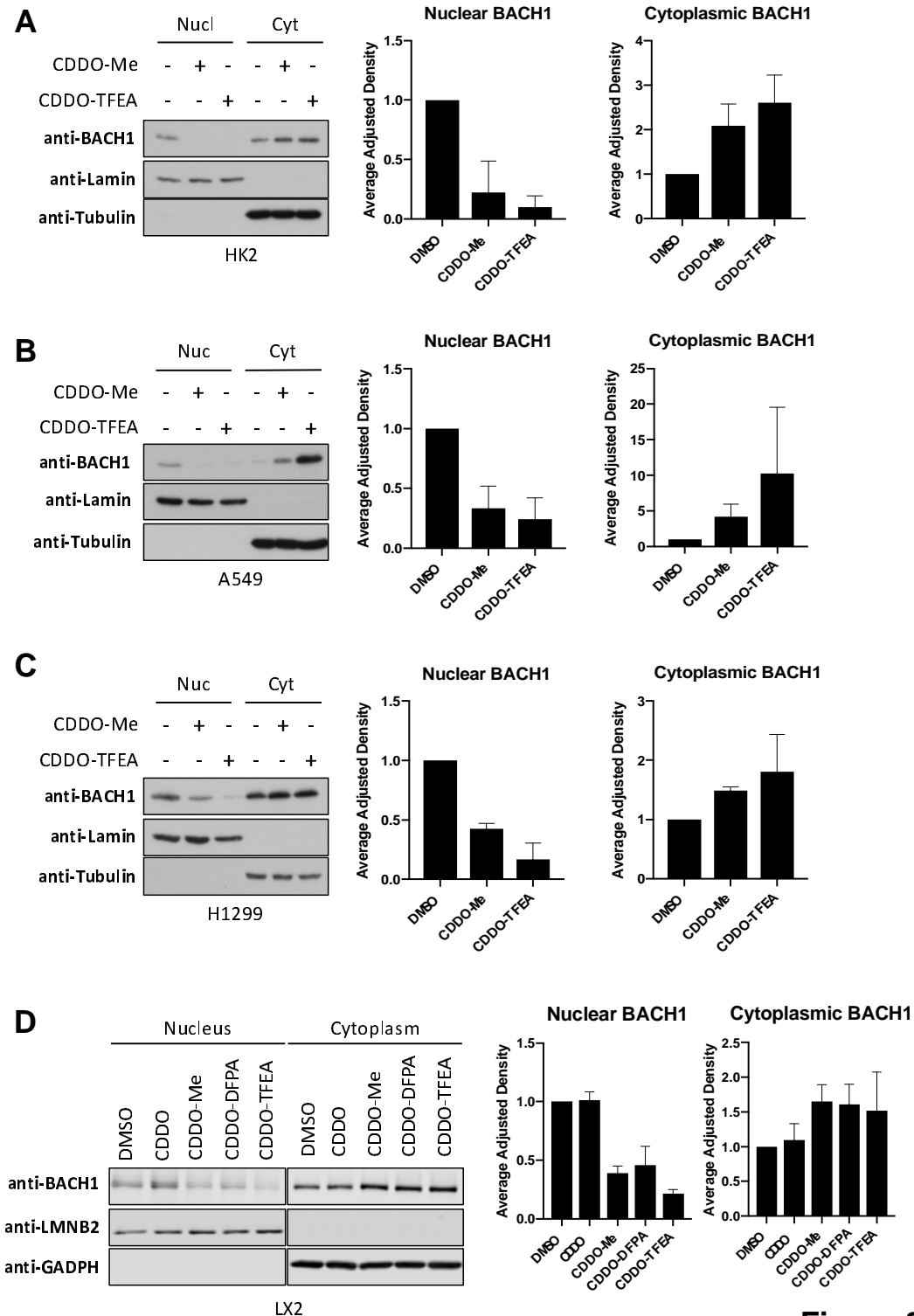


Figure 3

Figure 3. CDDO-derivatives reduce nuclear BACH1 levels while increasing cytoplasmic BACH1 levels. (A-D) HK2 (A), A549 (B), H1299 (C) or LX2 (D) cells were treated with DMSO

(0.1%, v/v), CDDO-Me (100 nM) or CDDO-TFEA (100 nM). Six hours later cells were harvested and subcellular fractionation was performed. BACH1 protein levels were analysed via western blot. Panels on the left show a representative blot; panels on the right are the corresponding BACH1 nuclear and cytoplasmic quantifications, which were normalised against their internal control (i.e., LAMIN for nuclear and TUBULIN for cytoplasmic levels). Data represent means \pm SD (n= 3) and are expressed relative to the DMSO-treated samples.

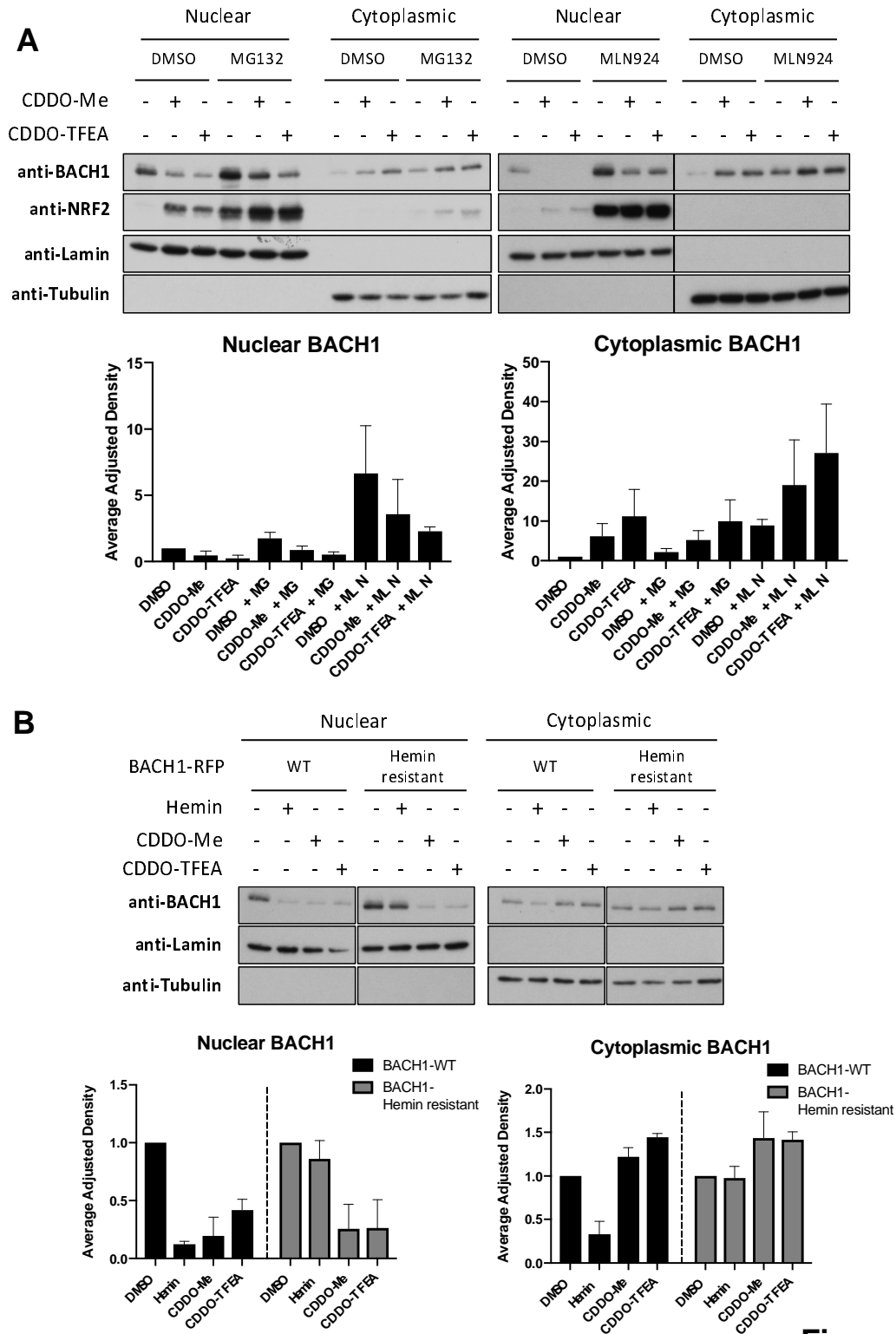
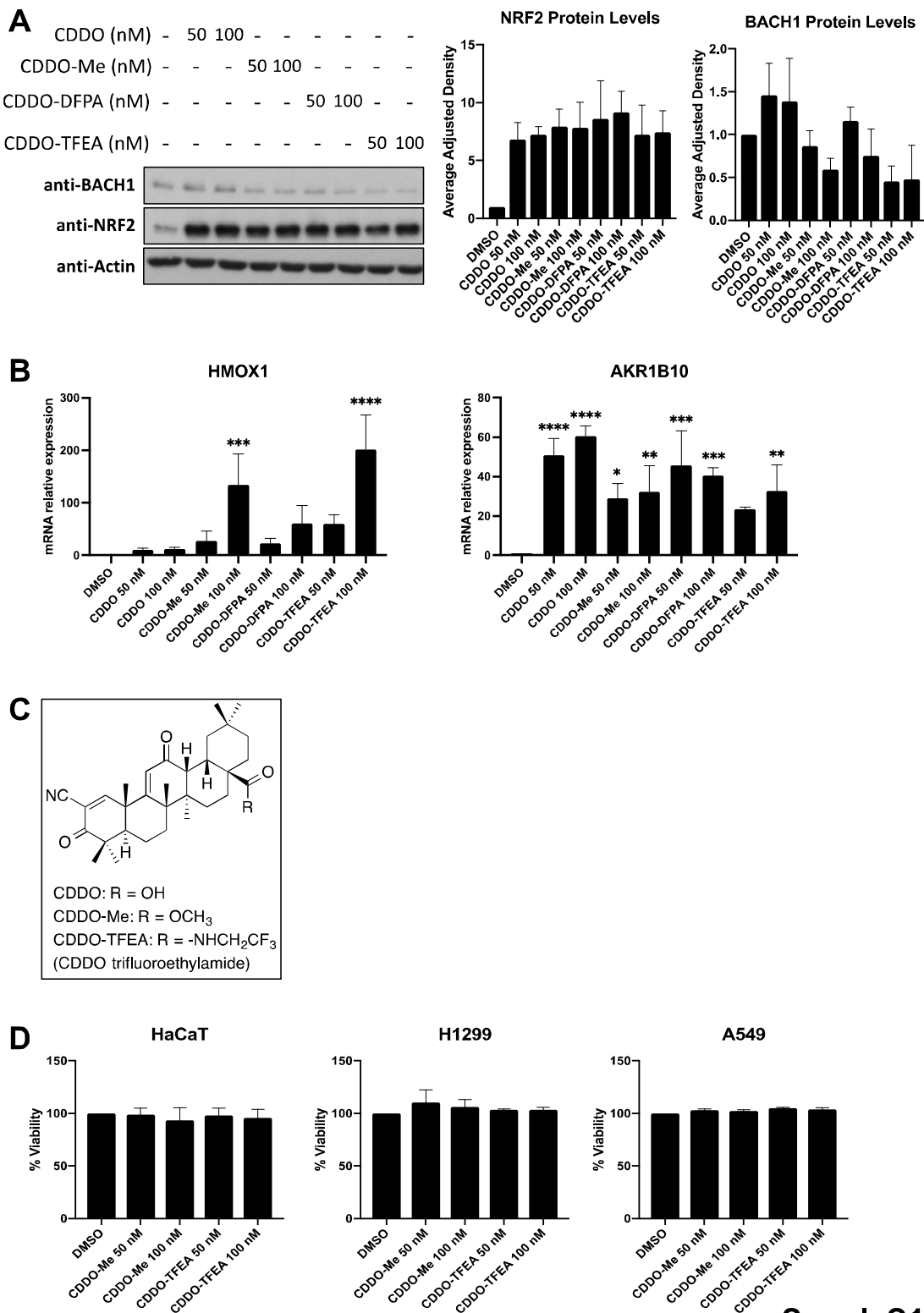


Figure 4

Figure 4. CDDO-Me/TFEA affects BACH1 levels in a proteasome independent manner and have a mechanism of action different than hemin. (A) HaCaT cells were incubated with

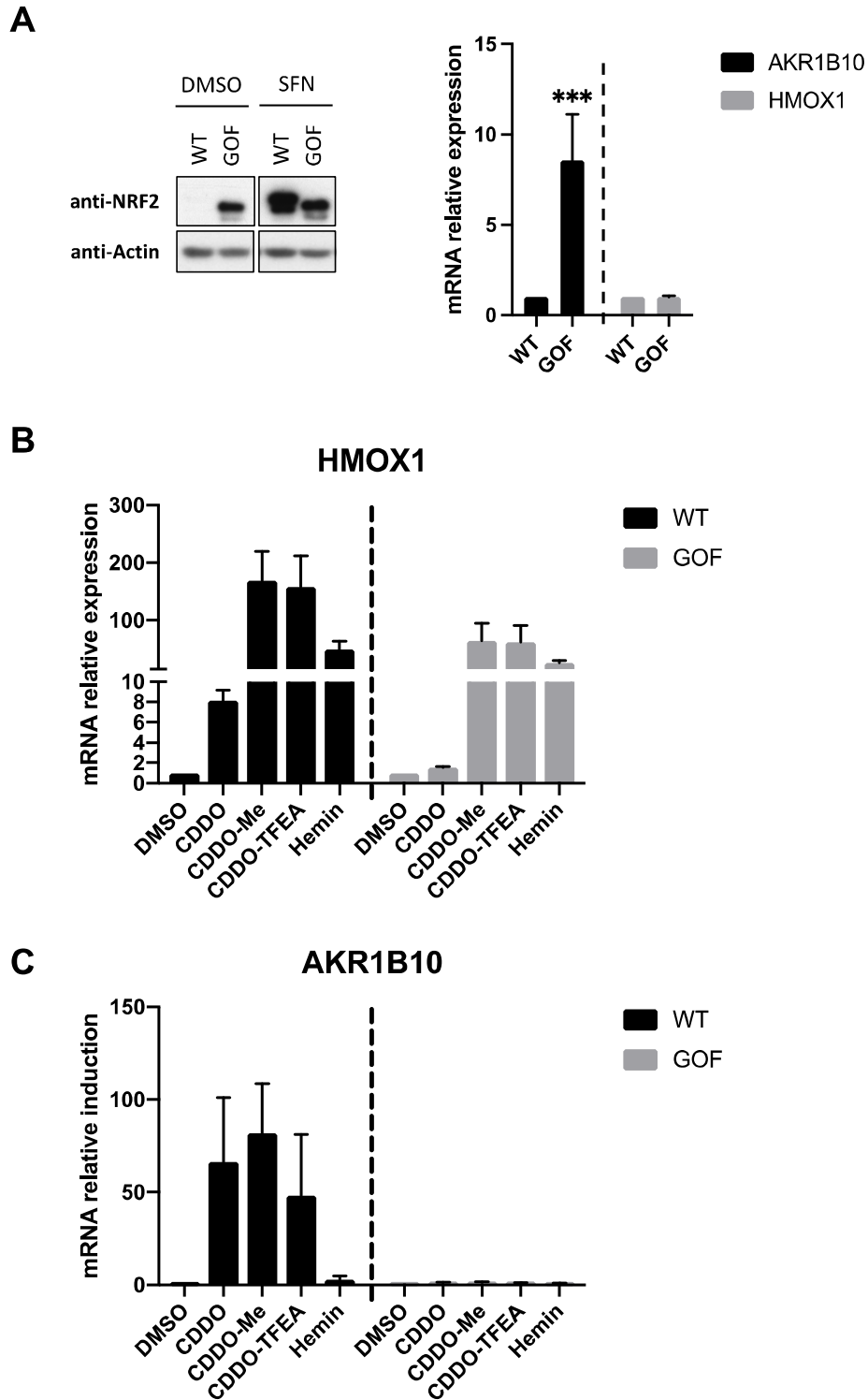
either DMSO (0.1%, v/v), MG132 (10 μ M) or MLN924 (2 μ M) for one hour. After that, either DMSO (-), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) was added. Six hours later, cells were harvested and nuclear/cytoplasmic fractions were isolated and analysed for their levels of BACH1 and NRF2. Upper panel is a representative blot and lower panels are the quantifications of nuclear and cytoplasmic BACH1 levels normalised against their corresponding loading control. Data represent means \pm SD (n=3) and are expressed relative to the DMSO sample. **(B)** HaCaT BACH1-KO cells reconstituted with either BACH1-RFP-WT or BACH1-RFP-Hemin resistant mutant were treated with DMSO (-), Hemin (10 μ M), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) for six hours. Cells were harvested and nuclear/cytoplasmic fractions were isolated and analysed for their levels of BACH1. Upper panel is a representative blot and lower panels are the quantifications of nuclear and cytoplasmic BACH1 levels normalised against their corresponding loading control. Data represent means \pm SD (n=3) and are expressed relative to their DMSO control.



Suppl. S1

Suppl. Figure S1. (A) HaCaT cells were treated with vehicle (DMSO, 0.1%, v/v) or increasing concentrations of either CDDO, CDDO-Me, CDDO-DFPA or CDDO-TFEA. After five hours cells

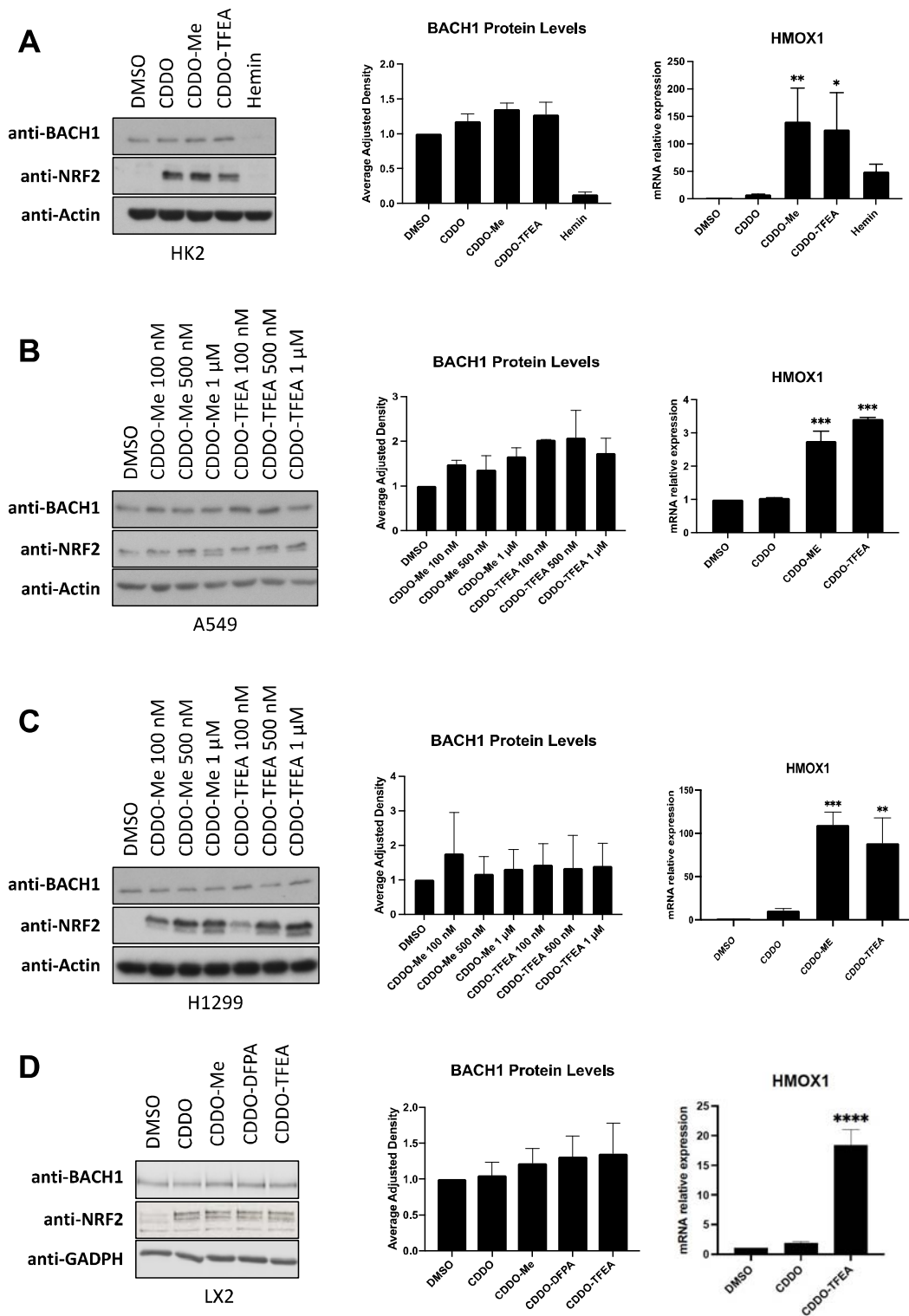
were lysed and samples were analysed by Western Blot. Upper panel is a representative blot and lower panels show the quantification of BACH1 and NRF2 protein levels normalized for actin levels. Data represent means \pm SD (n = 3) and are expressed relative to the DMSO-treated samples. **(B)** HaCaT cells were treated with either DMSO (0.1%, v/v) or different concentrations of CDDO, CDDO-Me, CDDO-DFPA or CDDO-TFEA. After 16 hours cells were harvested and lysed and mRNA levels of *HMOX1* and *AKR1B10* were analysed by real-time qPCR. Data were normalised using *HPRT1* as an internal control (n= 3) and are expressed relative to the DMSO treated sample. *P \leq 0.05, **P \leq 0.01, ***P \leq 0.001, ****P \leq 0.0001. **(C)** Structures for CDDO, CDDO-Me and CDDO-TFEA. **(D)** HaCaT, H1299 or A549 cells were treated with either DMSO (0.1%, v/v) or different concentrations of CDDO-Me or CDDO-TFEA as indicated. After 48 hours, viability was calculated relative to the DMSO-treated control using Alamar Blue.



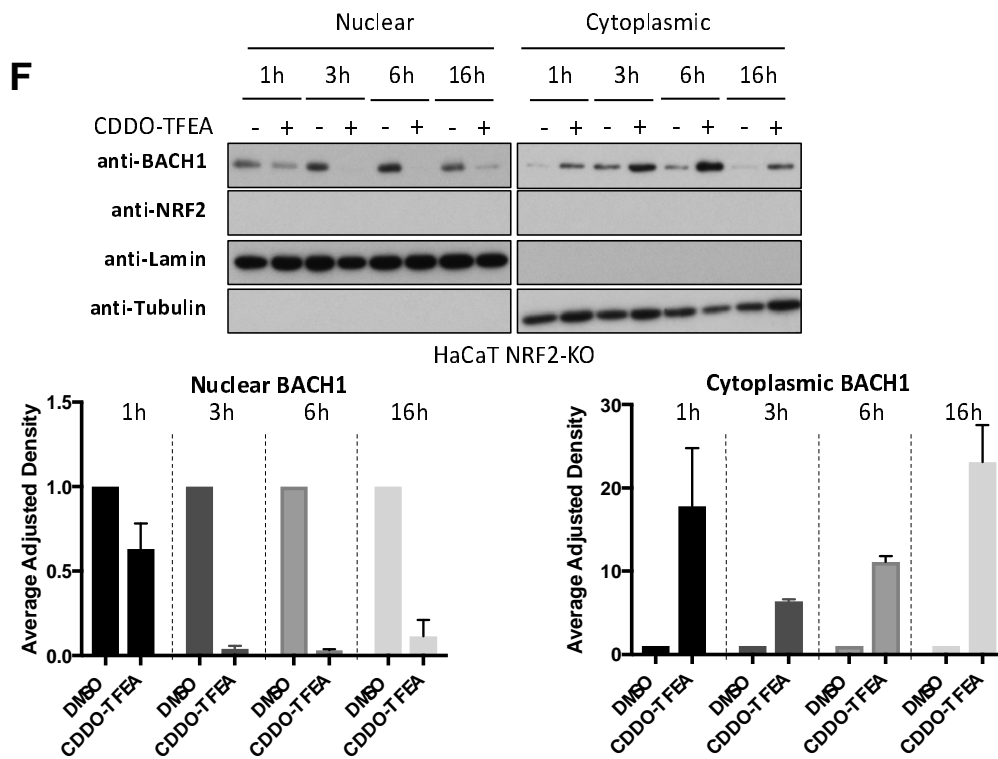
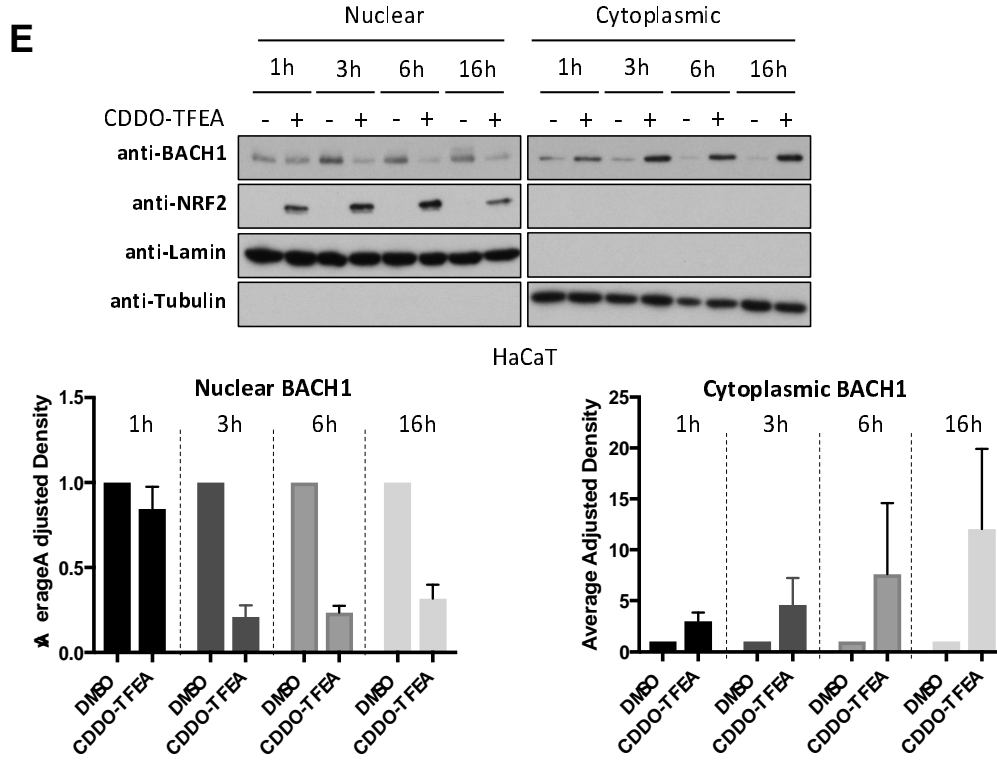
Suppl. S2

Suppl. Figure S2. (A) Left panel: Control (WT) and NRF2 gain-of-function (GOF) HK2 cells were treated with either DMSO (0.1%, v/v) or sulforaphane (SFN). Three hours later the

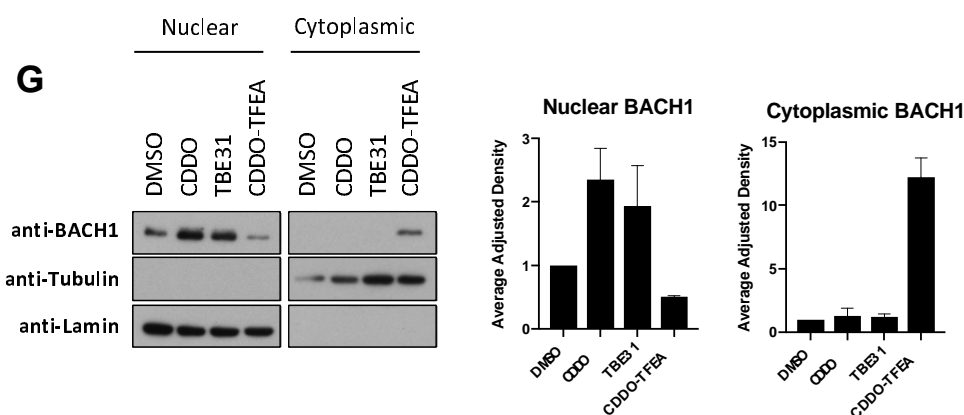
levels of NRF2 were measured by western blot. Right panel: Basal mRNA levels of *HMOX1* and *AKR1B10* in control (WT) and NRF2-GOF HK2 cells were analysed by RT-qPCR. *HPRT1* was used as a housekeeping gene for the analysis. *** $P \leq 0.001$. **(B,C)** HK2 Control (WT) and NRF2-GOF cells were treated with DMSO, CDDO (100 nM), CDDO-Me (100 nM), CDDO-TFEA (100 nM) or Hemin (10 μ M) for 16h. *HMOX1* (B) and *AKR1B10* (C) mRNA levels were analysed using RT-qPCR and *HPRT1* as a housekeeping gene. Data are expressed relative to the DMSO-treated samples in each cell line (DMSO in WT and NRF2-GOF cells set to 1). * $P \leq 0.05$.



Suppl. S3



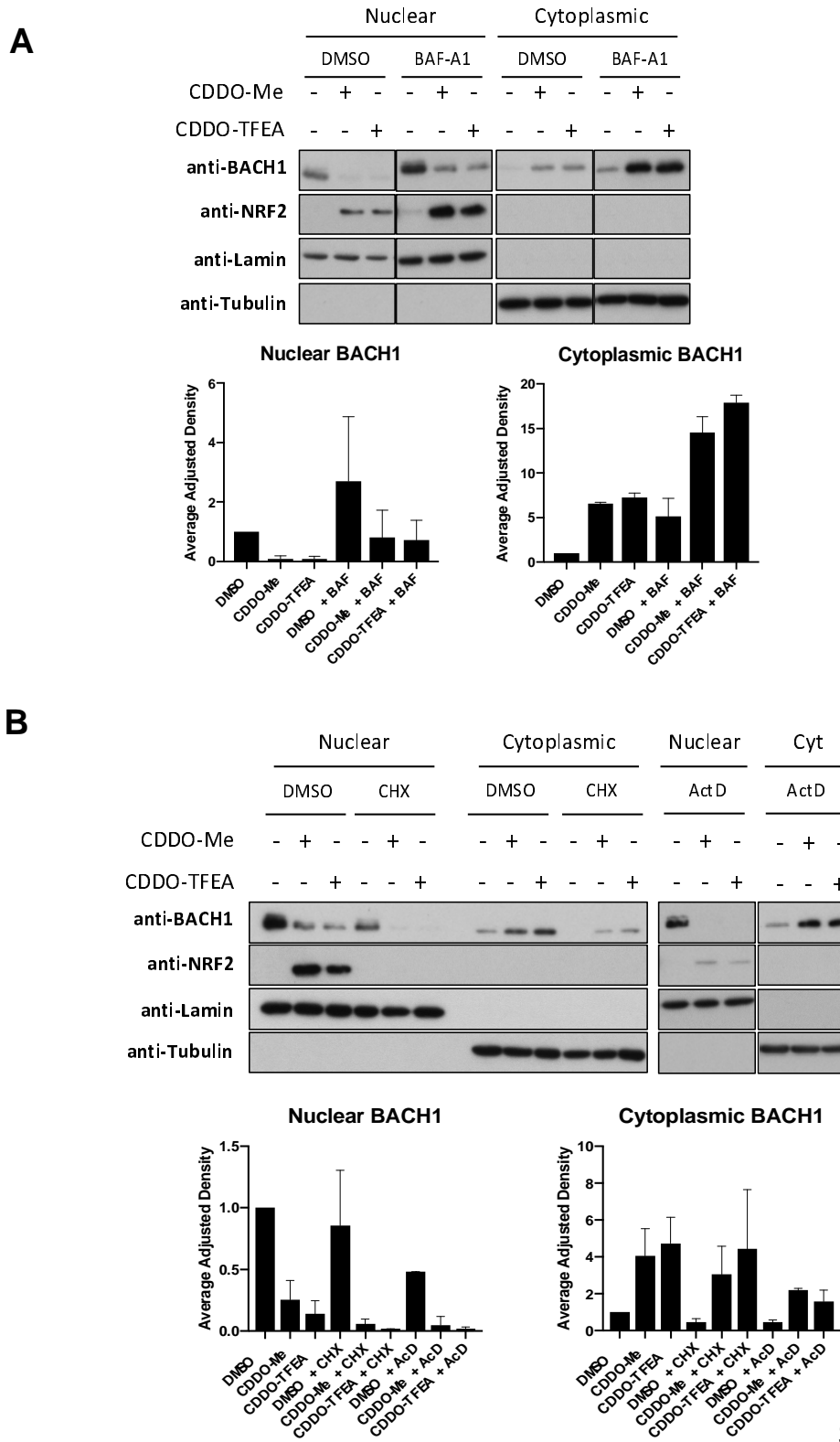
Suppl. S3



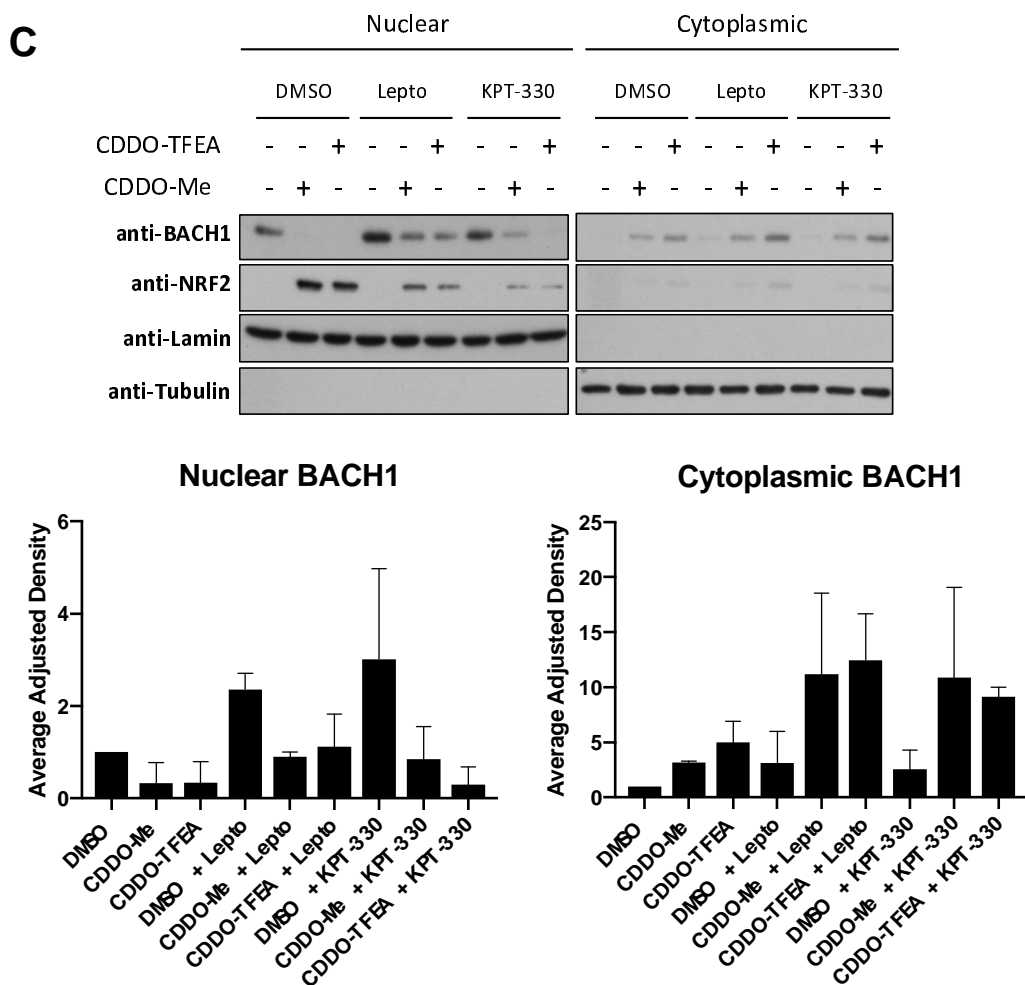
Suppl. S3

Suppl. Figure S3. (A) HK2 cells were treated with DMSO, CDDO (100 nM), CDDO-Me (100 nM), CDDO-TFEA (100 nM) or hemin (10 μ M) for 6h. Cells were lysed and total BACH1, NRF2 and actin levels were analysed by western blot. Representative blot is shown on the left panel and quantification of BACH1 protein levels (n=2) is in the middle panel. Right panel: HK2 cells were treated with either DMSO (0.1%, v/v), CDDO (100 nM), CDDO-Me (100 nM), CDDO-TFEA (100 nM) or hemin (10 μ M) for 16h. *HMOX1* mRNA levels were analysed. *P \leq 0.05, **P \leq 0.01. **(B)** A549 cells were treated with DMSO (0.1%, v/v) or increasing concentrations of CDDO-Me or CDDO-TFEA for 6h. Left panel shows a representative blot while middle panels show quantification of BACH1 protein levels (n= 2). Right panel: A549 cells were treated with DMSO (0.1%, v/v), CDDO (100 nM), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) for 6h. *HMOX1* mRNA levels were analysed. ***P \leq 0.001 **(C)** H1299 cells were treated with DMSO (0.1%, v/v) or increasing concentrations of CDDO-Me or CDDO-TFEA for 16h. Left panel shows a representative blot while middle panels show quantification of BACH1 protein levels (n=2). Right panel: H1299 were treated with DMSO (0.1%, v/v), CDDO (100 nM), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) for 16h. *HMOX1* mRNA levels were analysed. **P \leq 0.01, ***P \leq 0.001. **(D)** LX2 cells were treated with DMSO

(0.1%, v/v), CDDO (50 nM), CDDO-Me (50 nM), CDDO-DFPA (50 nM) or CDDO-TFEA (50 nM) for 6h. Samples were lysed and total BACH1, NRF2 and ACTIN levels were analysed by western blot. Representative blot is shown on the left panel and quantification of BACH1 protein levels (n= 3) is in the middle panel. Right panel: LX2 cells were treated with either DMSO (0.1%, v/v), CDDO (50 nM) or CDDO-TFEA (50 nM) for 16h. *HMOX1* mRNA levels were analysed. *P ≤ 0.05, **P ≤ 0.01. **(E,F)** HaCaT WT cells (E) and NRF2-KO cells (F) were treated with DMSO (0.1%, v/v) or CDDO-TFEA (100 nM) for 1h, 3h, 6h or 16h. Cells were harvested and nuclear and cytosolic fractions were isolated and analysed for the levels of the indicated proteins. Upper panel is a representative blot; lower panels are the quantification of BACH1 nuclear and cytoplasmic levels (n= 2). Data are expressed relative to the DMSO-treated samples for each time point and were normalized against their respective loading controls (DMSO sample for each time point set to 1). **(G)** HaCaT WT cells were treated with either DMSO (0.1%, v/v), CDDO (100 nM), TBE-31 (100 nM) or CDDO-TFEA (100 nM) for six hours. Nuclear and cytosolic fractions were isolated and analysed for their levels of BACH1. Upper panel is a representative blot and lower panels show the quantification of BACH1 nuclear and cytoplasmic levels (n=2), normalized against their respective loading controls. Data is expressed relative to the DMSO treated samples.



Suppl. S4



Suppl. S4

Suppl. Figure S4. (A) HaCaT cells were incubated with either DMSO (0.1%, v/v) or bafilomycin A1 (BAF-A1, 100 nM). Two hours later they were treated with either DMSO (-), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) for another six hours. Subcellular fractionation was performed as previously described. Upper panel is a representative blot and lower panels are the quantifications of nuclear and cytoplasmic BACH1 levels normalised against their corresponding loading control. Data represent means \pm SD (n=2) and are expressed relative to the DMSO sample. Control and BAF-A1 treated samples were all loaded in the same gel **(B)** HaCaT cells were incubated with either DMSO (0.1%, v/v), cycloheximide (CHX, 10 μ M) for 2h or actinomycin D (ActD, 1 μ g/mL) for 30 min. After that, either DMSO (-),

CDDO-Me (100 nM) or CDDO-TFEA (100 nM) was added. Six hours later, cells were harvested and nuclear/cytoplasmic fractions were isolated and analysed for their levels of BACH1 and NRF2. Upper panel is a representative blot; lower panels are the quantifications of nuclear and cytoplasmic BACH1 levels normalised against their corresponding loading control. Data represent means \pm SD (n=3) and are expressed relative to the DMSO-treated cells. **(C)** HaCaT cells were incubated with either DMSO (0.1%, v/v), leptomycin B (Lepto, 25 ng/mL) or KPT-330 (1 μ M). After two hours, either DMSO (-), CDDO-Me (100 nM) or CDDO-TFEA (100 nM) was added. Six hours later, cells were harvested and subcellular fractionation was performed. BACH1 and NRF2 protein levels were analysed by western blot. Upper panel is a representative blot and lower panels are the quantification of BACH1 nuclear and cytoplasmic levels normalised to the corresponding loading control. Data represent means \pm SD (n=3) and are expressed relative to the DMSO-treated cells.