1	Title: The role of the gut microbiota in the effects of early-life stress and dietary fatty acids
2	on later-life central and metabolic outcomes in mice
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4	Running title: Effects of early stress and fatty acids on microbiota
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# 31 Abstract

Early-life stress (ELS) leads to increased vulnerability for mental and metabolic disorders. We have previously shown that dietary low  $\omega$ -6/ $\omega$ -3 polyunsaturated fatty acid (PUFA) ratio is able to protect against ELS-induced cognitive impairments. Due to the importance of the gut microbiota as determinants of long-term health, we here study the impact of ELS and dietary PUFA's on the gut microbiota, and how this relates to the previously described cognitive, metabolic and fatty acid profiles.

38 Male mice were exposed to ELS via the limited bedding and nesting paradigm (postnatal day (P)2 – 39 P9) and to an early diet (P2 – P42) with either high (15) or low (1)  $\omega$ -6 linoleic acid to  $\omega$ -3 alpha-40 linolenic acid ratio. 16S ribosomal RNA was sequenced and analyzed from fecal samples at P21, P42 41 and P180.

42 ELS increased β-diversity at P42, which persisted into adulthood. The low  $\omega$ -6/ $\omega$ -3 diet prevented the 43 ELS-induced increase in β-diversity, at P42. At the level of taxa abundance, for example, the 44 abundance of the phyla Bacteroidetes increased while Actinobacteria and Verrucomicrobia decreased 45 with age; ELS reduced the relative abundance of the genera *RC9 gut group* and *Rikenella* into 46 adulthood and the low  $\omega$ -6/ $\omega$ -3 diet reduced the abundance of the Firmicutes Erysipelotrichia. At P42, 47 species abundance correlated with body fat mass and circulating leptin (e.g. Bacteroidetes and 48 Proteobacteria taxa) and fatty acid profiles (e.g. Firmicutes taxa).

This study gives novel insights into the impact of age, ELS and dietary PUFAs on microbiota composition, providing potential targets for non-invasive (nutritional) modulation of the ELS-induced deficits.

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Keywords: early-life stress, diet, interventions, polyunsaturated fatty acids, microbiota, microbiome, gut-brainaxis

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# 63 Importance

- 64 Early-life stress (ELS) leads to increased vulnerability to develop mental and metabolic disorders, however the
- biological mechanisms leading to such programming are not fully clear. Increased attention has been given to
- 66 the importance of the gut microbiota as determinant of long term health and as potential target for non-
- 67 invasive nutritional strategies to protect against the negative impact of ELS. Here we give novel insights in the
- 68 complex interaction between ELS, early dietary  $\omega$ -3 availability and the gut microbiota across ages and
- 69 provides new potential targets for (nutritional) modulation of the long-term effects of the early-life
- 70 environment via the microbiota.
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### 73 Introduction

74 There is ample clinical and preclinical evidence that early-life stress (ELS) is associated with 75 increased vulnerability to mental and metabolic health problems such as depression and inflammatory 76 bowel disease<sup>1-4</sup>. We and others have shown in recent years that chronic ELS induced in rodent models via the limited bedding and nesting material (LBN) paradigm<sup>5,6</sup> leads to impaired cognitive 77 78 functions and an altered metabolic profile<sup>7,8</sup>. Moreover, we demonstrated that early postnatal exposure to diet with a low  $\omega$ -6 to  $\omega$ -3 polyunsaturated fatty acid (PUFA) ratio was able to protect 79 80 against the ELS-induced cognitive deficits without affecting the metabolic alterations<sup>9</sup>. Currently the 81 exact underlying mechanisms for the effects of ELS and the beneficial effect of the diet are not fully 82 understood and may be multi-factorial. In this paper we address the effects of ELS and dietary  $\omega$ -6/ $\omega$ -83 3 PUFA ratio on fecal microbiota and if and how these relate to the effects of ELS and early postnatal 84 diet on both the brain and metabolism across different ages that we reported earlier<sup>9</sup>.

85 In recent years, there has been an increasing interest in how the gut microbiome might impact our health<sup>10,11</sup>. Particular attention has been devoted to the cross talk between the gut 86 87 microbiota and the brain, known as the microbiota - gut - brain (MGB) axis, an integrated 88 communication system including neural, hormonal and immunological signaling pathways through 89 which the gut microbiota can influence brain development and function and vice versa<sup>12,13</sup>. Increasing 90 evidence supports the intriguing hypothesis that the microbiota can influence brain functions, that 91 dysbiosis might contribute to changes in behaviour (e.g. social behaviour<sup>14</sup>) and the development and etiology of brain disorders (e.g. depression<sup>15-18</sup>) and that targeting the microbiota is effective in 92 93 modulating brain function (e.g. cognitive functions<sup>19</sup>). Similarly, the gut microbiota are also thought to 94 impact greatly on the immune system and metabolic health and has been associated with various risk 95 factors of obesity and metabolic syndrome<sup>20</sup>.

96 Several elements are emerging to be key in modulating the microbiome composition, 97 including developmental life stages, stress and diet<sup>13,21,22</sup>. In fact, the development of the microbiome 98 coincides with crucial (neuro)developmental periods. While little is known of the exact developmental 99 trajectory of the microbiome in mice, we know from human literature that the intestinal microbiome 100 starts to develop during and shortly after birth, during which time the brain is also going through 101 immense developmental changes<sup>23</sup>. Preclinical evidence shows that various early postnatal stress 102 paradigms, in different species, impact the gut microbiota<sup>24</sup>. For example, maternal separation (MS)

has been shown to increase intestinal permeability in rats<sup>4,25</sup>, and affect the microbiota composition of 103 the gut microbiota of infant Rhesus monkeys directly after separation<sup>26</sup> and of 7-week old rats<sup>27</sup>. Such 104 105 microbial composition changes may be instrumental for establishment of some of the MS-induced 106 anxiety-related alterations, as germ free mice were not affected by MS to the same extend as 107 colonized mice<sup>28</sup>. Also chronic ELS induced via the limited bedding and nesting material (LBN) 108 paradigm<sup>6</sup> in male rats led to changes in microbiota composition and increased intestinal permeability 109 at weaning age<sup>29</sup>. Thus the early-life adversity-induced dysbiosis could possibly contribute to later life mental and metabolic health<sup>10,23,24,30,31</sup>. 110

111 Next to development and exposure to early adversity, diet, and more specifically, dietary 112 PUFA composition has also been shown to modulate the composition of the gut microbiota at different stages of life<sup>32,33</sup>. For example, an 8-week supplementation with  $\omega$ -3 long chain (LC)PUFAs including 113 114 docosahexaenoic acid (DHA) and eicosapentaenoic acid (EPA) in middle-aged healthy individuals led 115 to multiple changes in bacterial taxa including an increased abundance of genera involved in butyrate 116 production, which have been suggested to be important for mental health<sup>15,34</sup>. The abundance of 117 dietary  $\omega$ -3 and  $\omega$ -6 PUFAs during early life phases is also highly relevant as these are key factors for proper development and function of the brain<sup>35</sup> and can influence the microbiome<sup>33</sup>. 118

119 In the last century, there has been a marked change in the consumption of  $\omega$ -6 and  $\omega$ -3 120 PUFAs, with a high intake of especially  $\omega$ -6 linoleic acid (LA) in western societies, resulting in a high 121  $\omega$ -6/ $\omega$ -3 ratio<sup>36</sup>. Given the relevance of dietary  $\omega$ -6/ $\omega$ -3 for brain development and function<sup>35</sup>, this shift 122 is thought to increase today's prevalence of psychopathology and chronic disease<sup>37</sup>, and possibly also contributes to gut dysbiosis and thereby impacting on the MGB-axis<sup>32</sup>. Therefore, dietary fatty 123 124 acids have been explored as possible strategy to modulate (stress-induced) behavioral changes and cognitive functioning<sup>9,38–41</sup>. In particular, the possible protective actions of  $\omega$ -3 PUFA during different 125 life stages on the early-life stress induced effects have been explored<sup>9,40,42</sup>. Pusceddu and colleagues 126 127 demonstrated that long-term exposure to a diet with low  $\omega$ -6/ $\omega$ -3 ratio (i.e. between 5 and 17 weeks 128 of age by supplementation with  $\omega$ -3 LCPUFAs including DHA) was beneficial for anxiety and cognition 129 in non-stressed female rats, and could restore part of the disturbed gut-microbiota composition of MS 130 female rats which was associated with the attenuation of the cortisol response to an acute stressor<sup>40,42</sup>. While this consisted of a lifelong intervention starting at 5 weeks of age, we have 131 132 recently shown that a relatively short dietary intervention with low  $\omega$ -6/ $\omega$ -3 diet starting in the early

postnatal period (i.e. from postnatal day 2 - 42), is able to restore the effects of ELS (via LBN) exposure, on brain FA composition early in life and on cognitive functions and brain plasticity in adulthood, without modulating the ELS-induced alterations in body fat mass and circulating leptin in mice<sup>9</sup>.

Here we study the effects of ELS, using the LBN paradigm in mice (P2 and P9), an early dietary intervention with low  $\omega$ -6 linoleic acid (LA) to  $\omega$ -3 alpha-linolenic acid (ALA) ratio (P2 and P42) and their interaction on the short-term (at P42) and long-term (at P180, after exposure to regular diet from P42 onwards) impact on the gut microbiota composition and if and how these changes relate to the earlier reported central and metabolic ELS-induced profiles described in the same cohort of mice<sup>9</sup>.

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### 143 Material and Methods

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# 145 **2.1 Animals**

In the current study we describe microbiome data from the same mice from our previous publication <sup>9</sup>. 146 147 In brief, male (6 weeks old) and primiparous female (8 weeks old) C57BI/6J mice were purchased 148 from Harlan Laboratories B.V. (Venray, the Netherlands). After arrival at the animal facility, the mice were put on a synthetic AIN-93G diet (Ssniff-Spezialdiäten GmbH, Germany)<sup>43</sup> and housed in a 149 150 controlled environment (temperature 22±1°C, humidity 55±5%) with ad libitum food and water, under 151 a 12:12 h light-dark cycle schedule (lights on at 8 AM). After two weeks of acclimatization, mice were 152 bred in house by housing two females with one male for one week in a type-II long cage. 153 Subsequently females were housed in single-sex pairs for another week, and after that pregnant 154 females were housed individually in a standard cage (type-I short cage) covered with a filter top. 155 Females were monitored daily, between 9 and 10 AM, for the birth of pups. When a litter was 156 detected, the previous day was designated the day of birth (postnatal day (P)0). At P2, dams with 157 litter were randomly assigned to control (CTR) or ELS condition, see 2.2 and to one of the 158 experimental diets (see 2.3). At P21, offspring was weaned and male offspring was housed in groups 159 (littermates; 2 or 3 animals per cage) in type-II long cages with standard amount of bedding material. 160 Mice were kept on their respective diet until P42, after which all groups were switched to standard semi-synthetic diet (AIN93M)<sup>43</sup> until end of the study. 161

162 All experimental procedures were approved by the Animal Welfare Body of the University of

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Amsterdam, and the Central Authority for Scientific Procedures on Animals (CCD – Centrale Commissie Dierproeven) in compliance with Dutch legislation and the principles of good laboratory animal care following the EU directive for the protection of animals used for scientific purposes.

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# 167 **2.2 Chronic early-life stress exposure**

168 We used the chronic ELS model, based on the limited bedding and nesting (LBN) stress paradigm as described before by our group and others<sup>5,7,9</sup>. The LBN paradigm induces fragmentation of maternal 169 170 care which results in chronic stress in the pups. At P2, litters were culled to six pups per litter (sex 171 ratio m:f of 3:3 or 4:2) without cross fostering, randomly assigned to CTR or ELS condition. In ELS 172 cages, the bottom was covered with a little amount of sawdust bedding and a fine-gauge stainless 173 steel mesh is placed 1 cm above the cage floor. Half a square piece of cotton nesting material (2,5 x 5 174 cm, Technilab-BMI, Someren, the Netherlands) was placed on top of the mesh. Control cages were 175 equipped with standard amounts of sawdust bedding and nesting material (one square piece of cotton 176 nesting material (5x5cm). Cages were equipped with food and water ad libitum and covered with a 177 filtertop. Throughout all procedures, manipulation was kept to a minimum to avoid handling effects 178 and animals were left undisturbed until P9. On the morning of P9, bodyweight of the dams and pups 179 and the consumed amount of food and/or water was measured, this data can be found in our previous 180 publication<sup>9</sup>. From P9 onwards all animals were housed in cages equipped with a standard amount of 181 nesting and bedding material.

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# 183 **2.3 Experimental diets**

184 Experimental diets were provided from P2 onwards to dam with litter, and after weaning (P21) offspring were kept on their respective diet until P42. During lactation, fatty acid composition of the 185 186 maternal diet, in particular LA and DHA, is reflected in milk fatty acid composition<sup>43</sup>. The two 187 experimental diets (Ssniff-Spezialdiäten GmbH, Soest, Germany) were semi-synthetic differing only in 188 LA/ALA ratio that was either a high (15) or low (1.1) The diets were isocaloric and contained a macro-189 and micronutrient composition according to the AIN93-G purified diets for laboratory rodents<sup>44</sup> 190 (Supplementary Table S1). Experimental groups are referred to as: CTL- and ELS-high; control and 191 ELS-exposed animals that received a diet with  $\omega$ -6/ $\omega$ -3 ratio=15 and CTL- and ELS-low; control and 192 ELS-exposed animals that received a diet with  $\omega$ -6/ $\omega$ -3 ratio=1.1.

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# 194 **2.4 Fecal sample collection, DNA extraction and sequencing**

Fresh fecal samples were collected during a brief handling moment of approximately 2 minutes, from three separate age cohorts, P21, P42 and P180. One or two pellets per animal were snap frozen and stored at -80°C until further analysis. The N per group was as follows: P21: CTL-high: 3, ELShigh: 5, CTL-low: 5, ELS-low: 5; P42: CTL-high:9, ELS-high: 14, CTL-low: 7, ELS-low: 7; P180: CTLhigh: 11, ELS-high: 11, CTL-low: 10, ELS-low: 9.

200 DNA extraction from these samples was performed with QIAmp DNA Stool Mini Kit (Qiagen) 201 according to the manufacturer's protocol except for the addition of two bead-beating steps. To 0.2 -202 0.3 g of fecal sample 300 mg of 0.1 mm glass beads together with 1.4 mL of ASL (lysis) buffer and on 203 this suspension the first bead-beating step was applied for 3x 30 sec (FastPrep-24 instrument 204 program 5.5). After addition of the InhibitEx tablet the second bead-beating step was applied for 3x 30 205 sec (FastPrep-24 instrument program 5.5) to homogenize the sample. Following each bead-beating 206 step samples were cooled for 5 min on ice. Extracted DNA purity was checked using the NanoDrop™ 207 spectrophotometer (Thermo Fisher Scientific Inc.), whereas DNA quality and concentration was 208 measured using the Quant-iTTM 193 dsDNA BR Assay kit (Invitrogen™). DNA aliquots were stored at 209 -20°C until use.

210 On the purified fecal DNA extracts primers Bact-0341F (5'-CCTACGGGNGGCWGCAG-3') and 211 Bact-0785R (5'-GACTACHVGGGTATCTAATCC-3')<sup>45</sup> were used to amplify the V3–V4 regions of the 212 bacterial 16S rRNA gene and the generated amplicons were subsequently sequenced on a Illumina 213 MiSeq instrument as described previously<sup>46</sup>.

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# 215 **2.5 Sequencing analysis**

Sequencing data was analyzed using the Quantitative Insights Into Microbial Ecology (QIIME) v.1.9.0 pipeline<sup>47</sup>. Sequences with mismatched primers were discarded. Quality control filters were set to retain sequences with: a length between 200 and 1000 bases; a mean sequence quality score >15 in a five-nucleotide window; no ambiguous bases. The filtered sequences were grouped into Operational Taxonomic Units (OTUs) by *de novo* OTU picking using the USEARCH algorithm<sup>48</sup> at 97% sequence identity. Subsequently, the Ribosomal Database Project Classifier (RDP)<sup>49</sup> was applied to assign taxonomy to the representative sequence (i.e. the most abundant sequence) of each OTU by

alignment to the SILVA ribosomal RNA database (release version 1.1.9)<sup>50</sup>. ChimeraSlayer<sup>51</sup> was 223 224 applied, as part of QIIME, to filter for chimeric sequences and these were excluded from all downstream analyses. Representative OTUs were aligned using PyNAST<sup>47</sup> and used to build a 225 phylogenetic tree with FastTree<sup>52</sup>, which was used to calculate UniFrac<sup>53</sup>. OTUs that could not be 226 227 aligned with PyNAST, singletons and low abundant OTUs with a relative abundance <0.002% were 228 excluded. Weighted UniFrac distances were used to assess the (dis)similarities between the 229 samples<sup>53,54</sup>. Rarefaction was applied to the OTUs by QIIME to ensure identical number of reads per 230 sample in order to perform  $\alpha$ -diversity calculations using the Chao1 metric.

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# 232 **2.6 Statistical analyses**

233 The microbial diversity within each sample ( $\alpha$ -diversity) was assessed to investigate the 234 overall microbiota development between P21, P42 and P180. The average Chao1 value for each 235 sample at each sequencing depth, resulting from the rarefaction procedure, was visualized. The 236 average Chao1 values were grouped per diet, condition, as per age and expressed as mean ± 237 standard error of the mean (SEM). The Three-Way Generalized Linear Mixed Model (GLMM) was 238 performed at the a sequencing depth of 11,535 sequences. Considering the low sample sizes of the 239 P21 samples (n = 3 to 5 per experimental group), these were excluded from further analyses. 240 Therefore, a Two-Way GLMM was performed only at P42 and P180 separately, at the highest 241 sequencing depth.

242 Between sample microbiota profile (dis)similarity ( $\beta$ -diversity), was assessed both on OTU 243 level with Weighted Unifrac and on genus level, with aggregated data, at P42 and P180 separately 244 (due to the strong separation between the two ages (Supplementary Fig. 1A). At each age a Two-245 Way ANOVA with two predictor variables (i.e., condition and diet) and interactions thereof on the 246 Weighted Unifrac distances within the four experimental groups (homogeneity) was performed. In 247 addition, Weighted Unifrac distances of samples between the four experimental groups were plotted 248 and analyzed with a Kruskal-Wallis analysis of variance and Dunn's multiple comparison test 249 (Supplementary Fig. 1B. Principal component analysis (PCA) and distance-based redundancy analysis (db-RDA), using Bray-Curtis metrics, were performed on the OTU data aggregated at genus-250 251 level taxonomy to assess the influence of condition, diet and their interactions on the fecal microbiota 252 composition at each age separately. Since litter effects have been shown to drive gut microbiota variation in common laboratory mice<sup>55</sup>, litter correction was applied to the db-RDA calculations. Data at genus level was Log transformed and standardized by Hellinger transformation<sup>56</sup>. Significance of the explained variance in the db-RDAs were assessed with ANOVA-like permutation test for Redundancy Analysis<sup>57</sup>. The 10 genera explaining the most variation in the PCA and db-RDA were visualized. The db-RDA procedures were performed using the vegan package(version2.5-7) in R(version 3.6.2).

259 Next, the impact of condition, diet, and age on the microbial taxa abundances was 260 investigated. To this end the sequence data was aggregated at the following taxonomic levels: genus, 261 family, order, and at phylum. Also for microbial taxa abundances, litter was accounted for in the 262 statistical analysis. GLMM was used to determine whether litter has a significant effect on the 263 sequence data derived abundances of a taxonomic group within every taxonomic level and in cases 264 where it did, it was taken along as a co-variate in the GLMM. In order to estimate the effect of age, a 265 Three-Way GLMM was performed on the sequence data derived abundances of each taxonomic 266 group within every taxonomic level, and in order to estimate the effect of condition and diet, a Two-267 Way GLMM was employed on each age group (P42 and P180) separately. After performing an 268 GLMM, the resulting sets of p-values, one set for each of the predictor variables and interactions 269 thereof, were used to estimate the false discovery rate (FDR) by calculating q-values<sup>58</sup>. Resulting p-270 values <0.05 with corresponding q-values <0.1 were regarded as significant.

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272 Correlational analysis between abundance of microbial species and central and peripheral outcomes 273 Finally, using a Spearman correlation test we tested whether the abundance of microbial species on 274 four taxonomic levels from the current study correlated with previously reported parameters from the same mice<sup>9</sup>. The parameters were: cognitive behaviour (Performance on Object Location Task and 275 276 Morris Water Maze), fatty acid profiles in hippocampus, liver and erythrocytes and multiple metabolic 277 outcomes (bodyweight, plasma leptin levels, inguinal fat, sum white fat). Detailed description of the 278 methods regarding these parameters can be found in Yam et al. (2019). All correlations are shown in 279 Figure 5, and correlations with  $\rho$ >0.7 are reported in the text and Supplementary Table S2 and S3. 280

281 Results

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# 3.1 Low dietary ω-6/ω-3 ratio prevents the early-life stress induced expansion of microbiota diversity in fecal samples

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Male mice were exposed to ELS via LBN paradigm (P2 to P9) and to an early diet (P2 – P42) with either high (15) or low (1)  $\omega$ -6 linoleic acid to  $\omega$ -3 alpha-linolenic acid ratio, and are the same cohort of mice as in our previous publication<sup>9</sup>. 16S ribosomal RNA was sequenced and analyzed from fecal samples at P21, P42 and P180 (Fig. 1A). Experimental groups are referred to as: CTL- and ELS-high; control and ELS-exposed animals that received a diet with  $\omega$ -6/ $\omega$ -3 ratio=15 and CTL- and ELS-low; control and ELS-exposed animals that received a diet with  $\omega$ -6/ $\omega$ -3 ratio=1.1 (Methods).

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# 293 a-diversity

294  $\alpha$ -diversity summarizes the distribution of taxa abundances in a given sample into a single number 295 that depends both on species richness and evenness. For all four experimental groups, the lowest 296 Operational Taxonomic Unit (OTU) level a-diversity within samples was observed at P21 and 297 increased with age (GLMM: timepoint p<0.0001 at a sequencing depth of 11,535 sequences; all 298 experimental samples present at this sequencing depth) (Fig. 1B). No differences were seen in 299 phylogenetic  $\alpha$ -diversity between the four experimental groups at any timepoint (P21, condition\*diet 300 F<sub>1,14</sub> =0.690, P=0.420; P42, condition\*diet F<sub>1,33</sub> =0.389, P=0.537; P180, condition\*diet F<sub>1,37</sub> =0.668, 301 P=0.419).

302 Our sample size at P21 was relatively low (N=3-5 per group), and even though our 303 methodology was able to pick up age-related changes in α-diversity for further outcome 304 measurements we only analyzed the P42 and P180 time points.

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306 β-diversity

Where alpha diversity focuses on community variation within a community (sample),  $\beta$ -diversity quantifies (dis-)similarities in microbiota composition between samples. Analysis of the  $\beta$ -diversity at OTU level between samples within the experimental groups showed a main effect of condition and diet at both P42 and P180, and an interaction effect between condition and diet at P42 (Two-way ANOVA: P42, *condition*: F<sub>1,165</sub> = 9.425, P = 0.0025; *diet*: F<sub>1,165</sub>=14.17, P=0.0002, *condition\*diet* F<sub>1,165</sub> =5.063, P=0.0258; P180: *condition*: F<sub>1,187</sub>=16.08, P < 0.0001; *diet* F<sub>1,187</sub>=5.002, P=0.0265). Further

post hoc testing revealed that at P42, the microbiome of animals exposed to ELS and high dietary ω- 6/ω-3 (ELS-high) animals displayed an increase in β-diversity as compared to the other P42-age groups (Tukey post-hoc test: ELS-high – CTL-high, P < 0.0001; ELS-high – CTL-low, P < 0.0001; ELS-high – ELS-low, P < 0.0001) (Fig. 1C,D).

317 Kruskal Wallis analysis of variance of the β-diversity *between* experimental groups at P42 and 318 P180 was significant (Kruskal Wallis p<0.0001 for both ages) (Supplementary Fig. 1C,D). Dunn's 319 multiple comparison test at P42 showed that microbiota composition profile of "CTL-high versus ELS-320 low" is less distant than "CTL-high versus ELS-high" (Dunn's post hoc: p=0.0001). The composition 321 profile of "CTL-high versus ELS-high" is more distant than "CTL-low versus CTL-high" (p=0.0080) and 322 "CTL-low versus ELS-low" (Dunn's post hoc: p=0.0022. The microbiota composition of "ELS-low 323 versus ELS-high" is also more distant than that of "CTL-low versus CTL-high" (p=0.0178) and "CTL-324 low versus ELS-low" (0.0050). Dunn's multiple comparison test at P180 showed that the composition 325 of "CTL-high versus ELS-low" was significantly less distant than "ELS-low versus ELS-high" 326 (p=0.0249) and "CTL-low versus ELS-low" (p=0.0011). Next, the microbiota composition of "CTL-high 327 versus ELS-high" was less distant than "ELS-low versus ELS-high" (p=0.0040), "CTL-low versus ELS-328 low" (p=0.0001) and "CTL-low versus ELS-high" (p=0.0457). Lastly, the microbiota composition of 329 "CTL-low versus CTL-high" was less distant than "CTL-low versus ELS-low".

330 Assessment of the  $\beta$ -diversity at genus level by principal component analysis (PCA) showed no 331 distinct clustering of the four experimental groups at P42 (Fig. 1E), nor at P180 (Fig. 1G). Similar to 332 the Weighted UniFrac analysis, the ELS-high group showed the lowest homogeneity (i.e., the 333 samples of ELS-high displayed the largest spread over the plot) at P42. When performing distance-334 based redundancy analysis (db-RDA), distinct clustering of the experimental groups was observed at 335 P42 and P180 (Fig. 1F,H). At P42 the condition\*diet interaction explained 12,8% of the total variation 336 (with 10,6% in the first two db-RDA axes, see Fig. 1F), this was found to be significant (ANOVA like 337 permutation test for Redundancy Analysis; p=0.018). For P180 the condition\*diet interaction 338 explained 13,9% of the total variation (with 11,9% in the first two db-RDA axes, see Fig. 1H) which 339 was found to be significant (ANOVA like permutation test for Redundancy Analysis p=0.003).

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341 3.2 Fecal microbiota composition is affected by age, early-life stress and the  $\omega$ -6/ $\omega$ -3 PUFA 342 ratio of an early diet

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343 Analysis of relative abundances at phylum, class, family and genus levels shows that the fecal 344 microbiota composition of the experimental groups differed significantly for several bacterial taxa at 345 both P42 (Fig. 2) and P180 (Fig. 3). All statistical differences are included in Table 2 and Table 3, and 346 additional descriptive information on all measured bacterial species stratified per taxonomic level, age 347 and experimental group are included in supplementary Table S4. Analysis at phylum level indicated 348 that for both ages the fecal microbiota was dominated by three major phyla: Bacteroidetes, 349 Firmicutes, and Verrucomicrobia, but also Proteobacteria, Deferribacteres and Actinobacteria were 350 present (Fig. 2A). Other phyla detected in low abundance (<3%) were Candidate division TM7 (2.1%), 351 Cyanobacteria (0.67%) and Tenericutes (1.53%) (not depicted in Fig. 2A). Analysis at genus level 352 showed that the twenty most abundant genera for both ages were Parasutterella, Bacteroides, 353 Atopobium, Bilophila, Desulfovibrio, Allobaculum, Lachnospiraceae\_uncultured and Blautia, 354 Odoribacter, Alloprevotella, Alistipes, RC9-gut group, Rikenella, Ruminococcaceae uncultured, 355 Anaerotruncus and Incertae-sedis, S24-7\_uncultured, VadinBB60\_uncultured, Akkermansia and a 356 group with unassigned sequences (Fig. 2B).

Many changes were observed in the fecal microbiota composition of mice between P42 and P180 at all analyzed taxonomic levels (phylum, class, order, family, genus). At phylum level, the abundance of Bacteroidetes increased with age while Actinobacteria and Verrucomicrobia were found in lower abundances in P180 samples. At genus level, among many others, *Parasutterella* and *VadinBB60* increased and *RC9 gut group* and *Bilophila* decreased with age. All age-mediated changes and statistical vales are described in Figure 2 and Table 1.

Main effects for ELS and the early dietary  $\omega$ -6/ $\omega$ -3 ratio on the relative abundance were detected for bacterial groups at P42 and P180 (Fig. 3; Fig. 4; Table 2). At P42, ELS exposure decreased the abundance of *Coprococcus* and the low  $\omega$ -6/ $\omega$ -3 diet reduced the class, order and family Erysipelotrichia, Erysipelotrichales and Erysipelotrichaceae belonging to Firmicutes (Fig 3). At P180, the low  $\omega$ -6/ $\omega$ -3 diet long-lastingly reduced the genus *Coriobacteriaceae uncultured*. ELS reduced the relative abundance of the genera *RC9 gut group* and *Rikenella*, both part of the Rikenellaceae family in adulthood at P180 (Fig. 4).

370 At both ages, most significant changes in the relative abundance of the microbiota were 371 dependent on both ELS and dietary  $\omega$ -6/ $\omega$ -3 ratio (Table 2). At P42 (Fig. 3), interaction effects were 372 found between ELS exposure and diet for the phylum Cyanobacteria and its class and order

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373 Melainabacteria and Gastranaerophilales, for which the low diet significantly increased their 374 abundance in specifically CTL animals, while in ELS animals no differences were present dependent 375 on the early dietary  $\omega$ -6/ $\omega$ -3 ratio. This same pattern was found for several Clostridia members; 376 Clostridiales Family XIII, an unassigned Clostridiales taxon, Incertae sedis, and an uncultured Family 377 XIII taxon. Next, interaction effects between ELS and diet were detected for the class, order, family 378 and genus Erysipelotrichia, Erysipelotrichales and Erysipelotrichaceae and Allobaculum, the low ω-379 6/ω-3 diet reduced its abundance in specifically CTL animals, while for ELS animals this reduction 380 was not significant. Lastly, an interaction effect was found for the Bacteroidetes genus Odoribacter, 381 for which ELS reduced its abundance in animals fed a high  $\omega$ -6/ $\omega$ -3 diet but not in animals fed the low 382  $\omega$ -6/ $\omega$ -3 diet.

383 At P180 (Fig. 4), an interaction between ELS and diet was found for the genus 384 Bifidobacterium, relative abundance was significantly higher in ELS exposed animals fed the high ω-385  $6/\omega$ -3 diet as compared to CTL and ELS exposed animals fed the low  $\omega$ -6/ $\omega$ -3 diet. For the bacteria 386 group Coriobacteriaceae uncultured, except from the reduction by the low  $\omega$ -6/ $\omega$ -3 diet for both CTL 387 and ELS exposed animals as described above, an interaction between ELS exposure and diet was 388 found. Next, an interaction effect was found for three members of the Rikenellaceae family. ELS 389 exposure increased the abundance of *Alistipes* specifically in animals fed the high  $\omega$ -6/ $\omega$ -3 diet. For 390 RC9 gut group and Rikenella, the ELS induced reduction (main effect ELS as described above), was 391 only significant in animals fed the low  $\omega$ -6/ $\omega$ -3 diet. For the Firmicutes VadinBB60 ambiguous taxa 392 and *Turicibacter* the low  $\omega$ -6/ $\omega$ -3 diet increased its abundance in CTL animals. Lastly, ELS exposure 393 decreased the relative abundance of *Bilophila* only in animals fed the high  $\omega$ -6/ $\omega$ -3 diet. For S24-7 394 ambiguous taxa (Bacteroidetes) an interaction effect was found between ELS and diet at P180, 395 however post hoc testing did not reveal significant differences between the experimental groups.

396

# 397 3.3. Correlations between bacterial taxa and peripheral and central outcome parameters within 398 the same mice

We have recently reported that ELS exposure altered central and peripheral fatty acid profiles and impaired cognition in these animals<sup>9</sup>. Exposure to the low  $\omega$ -6/ $\omega$ -3 PUFA diet between P2 and P42 was able to protect against the ELS-induced cognitive deficits in adulthood but did not affect the metabolic alterations. In order to investigate if and how alterations in the microbiota might relate to

403 these changes, we studied the correlation between several outcomes (behaviour, metabolic 404 parameters and levels of central and peripheral fatty acid levels) and the relative abundance of 405 bacterial groups at different taxonomic levels. All correlations are shown in Figure 5, and those with 406 p>0.7 or p<-0.7 are reported in the text and supplementary Table S2 and S3.

407

408 Bacterial taxa at P42 in relation to behaviour in adulthood

With regard to adult behaviour, we detected a negative correlation between the P42 levels of two related Bacteroidetes taxa *Porphyromonadaceae* and *Odoribacter* and performance on the object location task (OLT) (*rho=-0.7, rho = -0.73* respectively) (Supplementary Fig. 2). No correlations were detected for the other parameters related to behaviour.

413

# 414 Bacterial taxa at P42 in relation to metabolic outcome parameters at P42

415 The abundance of several bacterial species at P42 correlated with specific P42 metabolic outcomes 416 (Fig. 5; Supplementary Table S2). Namely, the phylum Bacteroidetes and order Bacteroidales 417 negatively correlated with the amount of inguinal fat (rho = -0.77 for both). Taxa of the Bacteroidetes 418 phylum Porphyromonadaceae and Odoribacter positively correlated with bodyweight (rho = 0.73 for 419 both). Several taxa within the Proteobacteria phylum: Enterobacteriales, Enterobacteriaceae and 420 Escherichia-shigella group (rho = 0.71), as well as taxa within the Firmicutes phylum: Clostridiaceae 1 421 and Clostridium sensu stricto 1 (rho = 0.85 for both) and Marvinbryantia (rho = 0.78) positively 422 correlated with plasma leptin levels. The genus Christensenella S24-7 negatively correlated with 423 leptin levels (rho = -0.83 and -0.71 respectively). The Bacteroidetes S24-7 and S24-7 Unc. showed a 424 negative correlation with the amount of white fat in mice (rho = -0.72 for both). There were no 425 correlations between bacterial species at P180 and metabolic outcomes at P180.

426

Bacterial taxa at P42 in relation to fatty acid levels in the hippocampus, erythrocytes and liver at P42 We detected multiple strong correlations between bacterial taxa and fatty acid levels in the hippocampus, erythrocytes and liver (Fig. 5; Supplementary Table S3). From the Firmicutes phylum the Peptostreptococcaceae family negatively correlated with the  $\omega$ -6/ $\omega$ -3 ratio in the hippocampus, erythrocytes and liver (*rho* values of -0.76, -0.71, -0.77, respectively). In agreement with this, Peptostreptococcaceae positively correlated with  $\omega$ -3 levels in all three tissues (*rho* > 0.7 for all). The

Lactobacillaceae family, also from the Firmicutes phylum, positively correlated with the LA/ALA ratio in erythrocytes and liver (*rho* = 0.73 for both). Within the Actinobacteria phylum the *Bifidobacterium* lineage (from order, family until genus level) positively correlated with the amount of LCPUFAs in the hippocampus (*rho* = 0.82). We detected very few correlations between P180 bacterial species and fatty acid levels at P180 (Fig. 5; Supplementary Table S3)

# 438

# 439 **Discussion**

440 We have previously shown that an early dietary intervention with reduced  $\omega$ -6/ $\omega$ -3 PUFA (LA/ALA) 441 ratio protects against the ELS-induced cognitive deficits without affecting the metabolic alterations<sup>9</sup>. 442 While the relation between stress, nutrition and the gut microbiota has been gaining increased attention over the recent years<sup>13,32</sup>, the specific mechanisms of such dietary interventions are not well 443 444 understood. Here we demonstrate that chronic ELS during the first week of life (P2 - P9) increases 445 the phylogenetic  $\beta$ -diversity of the gut microbiota both at P42 and persistently into adulthood (P180) in 446 animals consuming a high  $\omega$ -6/ $\omega$ -3 diet. The early diet with low  $\omega$ -6/ $\omega$ -3 ratio was able to prevent this 447 increase in β-diversity at P42, when animals were still consuming the experimental diet. In addition, 448 ELS and the diet, mostly in interaction with each other, modulate the relative abundance of bacterial 449 groups at several taxonomic levels on the short and long-term.

450 We will next discuss the microbiota diversity and composition across age, then elaborate on 451 the short- and long-term impact of ELS and early dietary  $\omega$ -6/ $\omega$ -3 ratio on different microbiota 452 parameters and lastly relate the microbial taxa abundance to earlier reported central and peripheral 453 outcome measures from the same cohort of mice<sup>9</sup>.

454

# 455 The microbiota across age

456 The phylogenetic diversity within samples ( $\alpha$ -diversity) increased with age from weaning (P21) up to 457 adulthood and, as expected, with only a relatively small difference between P42 and P180 samples in 458 terms of the number of detected species. This is in line with previously described total amount of species across these  $ages^{59,60}$ , while a decrease in  $\alpha$ -diversity has been described in late adulthood 459 or elderly which was associated with increased presence of diseases and medication<sup>61</sup>. The sample 460 461 size at weaning age (P21) was relatively low, and even though the methodology that was used was 462 reliable and sensitive enough to pick up age-related changes in a-diversity (sequencing depth of over 463 20.000 sequences for all three ages), we will further focus the discussion on our findings comparing

464 adolescent (P42) and adult (P180) microbiota composition. The composition of the gut microbiota in 465 terms of its relative species abundance is affected by age. Both at P42 and P180, Bacteroidetes and 466 Firmicutes are the two most abundant phyla in all experimental groups, which is in line with other 467 rodent and human microbiota profiles<sup>62</sup>. When comparing these two ages we observed multiple 468 changes in the composition of the fecal microbiota, mainly consisting of a reduction in the phyla 469 Actinobacteria and Verrucomicrobia (which includes the genus Akkermansia) and an increase of 470 Bacteroidetes in adulthood. In particular, in P180 samples as compared to P42 samples, we observed 471 lower abundance of members of the phylum Actinobacteria (Coriobacteriaceae and Enterorhabdus) of 472 which Bifidobacterium is a genus and multiple members of the Firmicutes order Clostridiales. Also we 473 observed higher abundances of members of the phylum Proteobacteria, such as the genus 474 Parasutterella, in P180 samples as compared to P42 samples. In line with our comparative analyses 475 between ages in mice, there is evidence for age dependent changes on the microbiome from human 476 literature. While most studies up to date aimed at comparing gut microbiota of children between 0 and 477 2 years old with those of adults or elderly<sup>63</sup> only very few have included adolescent groups. However, 478 based on Agans et al. (2011), in line with our findings, adolescents can easily be separated from 479 adults based on the relative species abundance and that in particular adolescent microbiota consist of 480 a relative lower abundance of the genus Sutterella and relative higher abundance of Bifidobacterium and *Clostridium*<sup>64,65</sup>. Further work is needed, in both rodent and human cohorts, to be able to 481 482 understand the age-related changes in microbiota composition in more detail and if and how each age 483 group might be differently sensitive to stress exposure, diet or other environmental challenges.

484

# 485 Short and long-term impact of early-life stress and early diet on microbiota composition

486 We will here first discuss the effects of ELS on microbiota α- and β-diversity and species abundance 487 and thereafter the specific effects of the different dietary  $\omega$ -6/ $\omega$ -3 ratio on these parameters as well as 488 the interaction of  $\omega$ -6/ $\omega$ -3 ratio with ELS exposure.

489

# 490 Short and long-term impact of early-life stress on microbiota composition

491 In this study, ELS exposure did not affect  $\alpha$ -diversity at P42 or P180. Similar to our data, a multi-hit 492 ELS model did not alter  $\alpha$ -diversity in adult mice<sup>66</sup>, while our findings are in contrast with the ELS-493 reduction in  $\alpha$ -diversity reported in rats, via the limited bedding and nesting (LBN) model at weaning<sup>67</sup> or maternal separation (MS) in adulthood<sup>68</sup>. Thus, type of ELS model, outcome age and species seem to greatly impact the effects of early life adversity on the microbial  $\alpha$ -diversity. In general, a less diverse microbiome is thought to be less resilient to external perturbations due to the loss of functional redundancy of the present species, therefore possibly less healthy<sup>69</sup>. However, whether health outcomes are positive or negative likely depends on the actual composition of the community.

The phylogenetic  $\beta$ -diversity distances between samples at OTU-level in ELS-exposed animals fed the high  $\omega$ -6/ $\omega$ -3-high diet, were strongly expanded both on short- and long-term, at P42 and P180. This suggests greater compositional differences between samples within the ELS group, meaning that the microbiota of ELS exposed mice are phylogenetically more apart from each other when compared to those of CTL mice. To our knowledge, this is the first time that such expansion of  $\beta$ -diversity is reported after ELS on the long-term and might suggests an aberrant microbial state, however the exact functional implications of such state remain to be understood<sup>70,71</sup>.

506 Few bacterial species were affected by ELS regardless of the early dietary  $\omega$ -6/ $\omega$ -3 ratio. At 507 P42, ELS reduced the abundance of the genus Coprococcus, part of the Lachnospiraceae family. 508 This is in line with the reduction in Coprococcus found at weaning in ELS exposed rats, via the LBN 509 paradigm<sup>67</sup>. Lachnospiraceae and *Coprococcus* have been defined as major butyrate producing bacterial groups in both rodents and humans<sup>72,73</sup>, which suggests that ELS could affect butyrate levels 510 511 via affecting these taxa. In adulthood (P180) the genera RC9 gut group and Rikenella, both part of the 512 Rikenellaceae family and Bacteroidetes phylum were lastingly reduced by ELS. Similarly, MS in rats 513 has been shown to reduce abundance of Rikenella, which also correlated with stress-induced corticosterone plasma levels in MS-exposed rats<sup>42</sup>. *Rikenella* is a well-known sugar fermenter and it 514 has been suggested that stress can reduce the availability of sugars in the gut<sup>74</sup> possibly leading to a 515 516 decrease of bacteria involved in processing of sugars.

517 In summary, ELS during the first week of life does not affect α-diversity but leads to long-term 518 effects on β-diversity. The expansion of the phylogenetic β-diversity between samples has been 519 associated with an unhealthy or aberrant gut microbial state. Moreover, ELS affects the relative 520 abundance of *Coprococcus* at P42 and *RC9* gut group and *Rikenella* at P180. The implications of 521 these specific alterations within the relative abundance of bacterial groups are not well understood but 522 nevertheless can impact the functionality of the gut microbiota.

523

524 Effect of the early dietary  $\omega$ -6/ $\omega$ -3 ratio and its interaction with early-life stress on the short and long 525 term

We have previously reported, within this same cohort, a rescue effect of the low  $\omega$ -6/ $\omega$ -3 diet on the ELS-induced cognitive impairments as well as alterations in hippocampal brain plasticity, namely a reversal of the ELS-reduction in adult neurogenesis and the ELS-increase in the phagocytic marker of microglia, without affecting the ELS-mediated metabolic changes<sup>9</sup>. This allows us to not solely discuss effects of ELS and early diet on the microbiota, but also relate the observed changes to earlier described ELS-induced alterations, which were performed within the same mice cohort.

532 We found that the ELS-induced aberrant state of the phylogenetic  $\beta$ -diversity, the increased 533 distances between animals fed a high  $\omega$ -6/ $\omega$ -3 diet early in life, was not present in animals that were 534 consuming a low  $\omega$ -6/ $\omega$ -3 diet (as measured at P42). Because, as earlier described, the low  $\omega$ -6/ $\omega$ -3 535 diet protected against the ELS-induced central deficits in cognition and hippocampal plasticity in 536 adulthood, it is tempting to speculate that this early modulation of  $\beta$ -diversity by dietary  $\omega$ -6/ $\omega$ -3 ratio 537 could possibly modulate early developmental processes that contribute to the long-term ELS-induced 538 brain-related outcomes<sup>30,75</sup>. Interestingly the effect of the diet on  $\beta$ -diversity is no longer present in 539 adulthood. This is in line with the idea that diet mostly directly impacts microbiota. For example, it has 540 been demonstrated in clinical trials that washout periods after dietary supplementation of DHA mostly revert DHA-mediated microbiota changes<sup>76,77</sup> and that dietary patterns and fatty acid intake can be 541 directly linked to the composition of the gut microbiota<sup>78,79</sup>. Similarly, in a preclinical setting, as 542 543 mentioned before, it has been reported that life-long supplementation of  $\omega$ -3 LCPUFAs can restore 544 part of the disturbed gut microbiota composition of MS-exposed adult female rats, even though in this 545 study no changes in  $\beta$ -diversity were reported<sup>42</sup>.

546 When looking at the relative abundance of microbial species, we see some immediate and 547 long-lasting effects of the diet. Mice fed the low  $\omega$ -6/ $\omega$ -3 ratio diet from P2-P42, exhibited a reduction 548 in Erysipelotrichia lineage down to the Erysipelotrichaceae family (Firmicutes) when compared to 549 mice fed the high  $\omega$ -6/ $\omega$ -3 ratio diet. These taxa have been reported to be increased in obese 550 individuals notoriously consuming diets with excess of  $\omega$ -6 fatty acids<sup>80</sup>, pointing towards the idea that 551 dietary  $\omega$ -6/ $\omega$ -3 ratio is an important modulator of these specific bacteria and their balance. Similarly, 552  $\omega$ -3 (LC)PUFA supplementation has been shown to lead to a decrease of the Firmicutes phylum<sup>33,81,82</sup> and restoration of the Firmicutes/Bacteroidetes ratio, often reported to be higher under pathological
 conditions such as obesity and inflammatory bowel syndrome (IBS)<sup>83,84</sup>.

555 Next to the independent effects of ELS and dietary  $\omega$ -6/ $\omega$ -3 ratio, their interaction is particularly 556 interesting to gain further insight in how the diet might exert its protective effect on the ELS-induced 557 deficits. For example, directly after the end of the dietary intervention at P42, specifically control mice 558 fed the low w-6/w-3 diet exhibited an increased abundance of several Clostridia members when 559 compared to those fed the diet with the high  $\omega$ -6/ $\omega$ -3 ratio. These taxa belong to the phylum 560 Firmicutes and order Clostridiales that are known for their involvement in the production of butyrate<sup>85,86</sup>. Such modulation is in line with the fact that  $\omega$ -3 fatty acid supplementation can indeed 561 562 lead to increased bacterial derived butyrate<sup>72,77</sup>. Short-chain fatty acids (SCFA) such as butyrate, 563 propionate and acetate are bacterial-derived metabolites of fibers and carbohydrate that have been 564 suggested to be key for mental health<sup>87</sup>. For example by increasing central brain derived neurotrophic factor (BDNF) production<sup>88,89</sup> and modulation of microglial maturation and functionality<sup>90</sup>. As 565 566 mentioned above, the low  $\omega$ -6/ $\omega$ -3 diet was able to prevent ELS-induced alterations in hippocampal plasticity including microglial morphology and phagocytic capacity<sup>9</sup>, raising the question if this could 567 568 possibly be related to an increase in bacterial-derived butyrate by the diet in ELS exposed animals 569 specifically. Next, ELS exposure reduced the abundance of the Bacteroidetes genus Odoribacter in 570 animals fed the high  $\omega$ -6/ $\omega$ -3 diet but not in animals fed the low  $\omega$ -6/ $\omega$ -3 diet. Odoribacter is a known 571 producer of acetate, propionate and butyrate<sup>91</sup>, decreased *Odoribacter* may affect host inflammation 572 via reduced SCFA availability. It will be interesting to see in follow-up studies whether indeed our 573 conditions lead to an altered levels of SCFAs and in particular butyrate.

574 Summarizing, we found that low dietary  $\omega$ -6/ $\omega$ -3 ratio prevents the ELS-induced expansion of 575 the phylogenetic  $\beta$ -diversity. In addition, the dietary  $\omega$ -6/ $\omega$ -3 ratio significantly impacted the presence 576 of microbes in the gut while animals were still consuming the experimental diet, mostly in interaction 577 with ELS exposure. Many of these changes are in line with literature showing beneficial effects of dietary  $\omega$ -3 supplementation on brain and metabolism<sup>40,92</sup> and suggest that the diet induced protective 578 579 effects might be partly modulated by the observed changes in microbiota. We hypothesize that 580 lowering  $\omega$ -6/ $\omega$ -3 early in life, via lowering dietary LA/ALA ratio, contributes to a stable and diverse 581 microbiota thereby affecting sensitive developmental processes that could impact the later-life health 582 status.

583 Important to note is that within the current study, all animals were on a life-long synthetic diet, 584 enabling us to control for the source and proportion of its ingredients. Such synthetic diets, also 585 referred to as "refined", contain mostly insoluble fibers such as cellulose (Supplementary Table S4), 586 which distinguishes it from the regular chow diets containing both soluble and insoluble fibers. These 587 dietary conditions likely impact microbiota composition since distinct bacterial species are involved in 588 the fermentation of soluble versus insoluble fibers<sup>93,94</sup>. While this does not affect the differences 589 observed between groups in the current study as all experimental groups were exposed to synthetic 590 diet, it is important to bare this in mind when comparing the current findings to existing literature<sup>95</sup>.

591

# 592 Abundance of microbiota species in relation to central and peripheral outcomes

593 We studied how the bacterial changes correlate with the previously published ELS- and diet-mediated 594 differences in cognitive abilities, metabolic alterations and central and peripheral fatty acid profiles 595 analyzed in this same cohort<sup>9</sup>. As mentioned in section "Short and long-term impact of early-life stress on microbiota composition" we found a negative correlation between adult performance on a spatial 596 597 memory task and the levels of Bacteroidetes family Porphyromonadaceae and its genus Odoribacter 598 at P42 and not at P180. Similarly, an increased abundance in both taxa have been described in aged 599 mice<sup>96</sup> and specifically *Porphyromonadaceae* has been shown to be negatively correlated with cognitive dysfunction in humans<sup>97,98</sup>. Suggesting that a dysregulation of these taxa might be key in 600 601 modulating cognitive functions. We have previously reported that ELS exposure leads to a life-long 602 reduction in white fat mass and circulating leptin<sup>99</sup>, while these ELS-induced effects were not 603 modulated by the diet<sup>9</sup>. When studying the correlation of the bacterial profile with the metabolic 604 outcomes (body weight, fat mass and leptin) we found a positive correlation of Porphyromonadaceae 605 and Odoribacter with bodyweight at P42, which is in line with a previous report showing that their abundance is increased in HFD-exposed mice<sup>100</sup>. In addition, the phylum Bacteroidetes and multiple 606 607 of its taxa (e.g. S24-7), were negatively correlated with the amount of white fat mass. Notably, an 608 unidentified taxon from the S24-7 family has been reported to be affected by early life 609 supplementation of synbiotics that protected against diet-induced obesity in adult mice<sup>101</sup>. Indeed high 610 levels of Bacteroidetes and some of its taxa are associated with a healthy non-Western diet while lower levels are associated with a Western-style diet<sup>32,102</sup>. Finally, there was a positive correlation 611 612 between several taxa within the Proteobacteria phylum and plasma leptin levels. Importantly, changes 613 in the Proteobacteria have been associated with HFD in mice and humans, where leptin levels are dysregulated as well<sup>79,80,103</sup>. Also, Bacteroidetes S24-7-ambiguous taxa and the Firmicutes genus 614 615 Christensenella were negatively correlated with plasma leptin, both associated with reduction in body weight or adiposity in mice<sup>101,104</sup>, suggesting that these bacteria might be particularly sensitive to 616 617 conditions with altered leptin and fat mass. Lastly, we found multiple strong correlations between 618 bacterial species and specific fatty acid levels in the hippocampus, liver and erythrocytes (Fig. 5; 619 Supplementary Table S3). To name a few examples, there was a negative correlation between the 620 P42 hippocampal, liver and erythrocyte  $\omega$ -6/ $\omega$ -3 ratio and the relative abundance of 621 Peptostreptococcaceae (Firmicutes) at P42. In agreement, Peptostreptococcaceae positively 622 correlated with  $\omega$ -3 levels in all three tissues. Interestingly a life-long  $\omega$ -3 PUFA supplementation 623 starting prenatally lead to decreased levels of Peptostreptococcaceae when compared to chow-fed or 624  $\omega$ -3 deficient mice<sup>105</sup>. Such discrepancy is mostly likely due to the length and type of the dietary 625 intervention. Next, the *Bifidobacteria*, which has been established to be increased by diets high in  $\omega$ -3 fatty acids<sup>77,105</sup>, correlated with the amount of hippocampal PUFAs. Several positive functions have 626 627 been attributed to Bifidobacteria such as degradation of non-digestible carbohydrates, production of 628 vitamin B, antioxidants, stimulation of the immune system, and increasing butyrate levels via cross-629 feeding<sup>106,107</sup>. While the above-mentioned relations are of course of descriptive nature, they give us a 630 lead for future investigations to better understand which processes might be most impacted by 631 microbiota changes and via which routes the microbiota changes could be involved in the observed 632 ELS and diet induced effects.

633

In conclusion, we show that exposure to ELS via the LBN paradigm during the first postnatal week and the  $\omega$ -6/ $\omega$ -3 ratio of the early diet from P2-P42 affect the gut microbiota of male mice. These data give novel insights in the complex interaction between ELS, early dietary  $\omega$ -3 availability and the gut microbiota across ages and provide a basis for i) future studies addressing the causal relationship between the alterations in microbiota, the ELS-induced deficits and diet ii) as well as for non-invasive (nutritional) interventions targeting the microbiota to protect against and/or reverse the ELS-induced deficits.

641

## 642 Data availability statement

643	The data that support the findings of this study are openly available in "figshare" at								
644	https://doi.org/10.6084/m9.figshare.16748824.v1								
645									
646	Conflict of interest								
647	Authors ST, MM, JK and LS are employed by Danone Nutricia Research								
648									
649	Author contributions								
650	KR analyzed the data, prepared the figures and wrote the manuscript. ST analyzed the data and								
651	prepared the figures. KY, LS and AK conceptualized the study and KY performed the mouse-related								
652	experimental work. MM contributed to correlation analysis and discussion interpretation. AK								
653	supervised this study and reviewed and edited the manuscript. All authors contributed to editing of the								
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# 905 Figure Captions

# 906 Figure 1. Early dietary low ω-6/ω-3 diet reverses ELS-induced increase in microbiota β-907 diversity

908 A: Experimental timeline. B: Chao1 plot displaying increase in α-diversity with age (GLMM at all

909 sequencing depths p<0.0001; all experimental samples present at a sequencing depth of 11,535

910 sequences). **C**, **D**: Average weighted UniFrac distances (within groups) of  $\beta$ -diversity on OTU level

911 comparing phylogenetic configurations of fecal microbial communities of the four experimental groups 912 for both ages, Two-Way ANOVA: \*: condition effect, #: diet effect, &: interaction effect condition\*diet.

912 for both ages, Two-Way ANOVA; \*: condition effect, #: diet effect, &: interaction effect condition\*diet, 913 ^: significant difference with Tukey *post-hoc* test. P<0.05. **C**: P42, showing the increase in  $\beta$ -diversity

914 in ELS-high and not in ELS-low experimental group. **D**: P180, showing increase in  $\beta$ -diversity in ELS-

- 915 high and in ELS-low experimental group. E, F, G, H: Principal Component Analysis (PCA) and
- 916 distance based Redundancy Analysis (db-RDA) of  $\beta$ -diversity aggregated at genus level for both
- 917 ages. The 10 genera explaining most variation in the PCA and db-RDA were visualized. **E:** PCA at
- 918 P42. F: db-RDA at P42, ANOVA like permutation test for Redundancy Analysis, p=0.018. G: PCA at
- 919 P180. H: db-RDA at P180, ANOVA like permutation test for Redundancy Analysis, p=0.003).
- 920 Abbreviations: GLMM: General Linear Mixed Model. OTU: Operational Taxonomic Unit. PCA:
- 921 Principal Component Analysis. Db-RDA: Distance-based Redundancy Analysis
- 922 923
- Figure 2. Microbiota composition goes through large amount of changes between P42 andP180

A: Relative abundance at phylum level for P42 and P180. B: Relative abundance at genus level for
P42 and P180. C: Cladogram showing significant age-mediated changes in relative abundance of
bacterial species at several taxonomic levels.

# 930 Figure 3. Early-life stress and early dietary $\omega$ -6/ $\omega$ -3 ratio affect the microbiota composition at 931 P42 in interaction with each other

A: Cladogram showing significant condition and diet-mediated changes in the relative abundance of
bacterial taxa at several taxonomic levels at P42. B – J: Bar graphs of detected interaction effects
(condition\*diet) for bacterial taxa at P42 (GLMM p<0.05 & q<0.1). @ main effect of diet, &: interaction</li>
condition\*diet, ^: significant difference with Tukey *post-hoc* test. p<0.05. Abbreviation: GLMM:</li>

- 936 General Linear Mixed Model.
- 937

# 938 Figure 4. Early-life stress and early dietary $\omega$ -6/ $\omega$ -3 ratio affect the microbiota composition at 939 P180 in interaction with each other

940 **A:** Cladogram showing significant condition and diet-mediated changes in the relative abundance of 941 bacterial taxa at several taxonomic levels at P180. **B - J:** Bar graphs of detected interaction effects

941 bacterial taxa at several taxonomic levels at P180. B - 3. Bar graphs of detected interaction energies
 942 (condition\*diet) for bacterial taxa at P180 (GLMM p<0.05 & q<0.1). # main effect of Condition, @ main</li>
 943 effect of diet, &: interaction condition\*diet, ^: significant difference with Tukey *post-hoc* test. p<0.05.</li>
 944 Abbreviation: GLMM: General Linear Mixed Model.

945

# Figure 5. Bacterial taxa are correlated with several peripheral and central outcome parameterswithin the same mice

- 948 A: Correlations between bacterial taxa at P42 and metabolic outcomes parameters at P42. B:
- 949 Correlations between bacterial taxa at P42 and fatty acid levels in the hippocampus at P42. C:
- 950 Correlations between bacterial taxa at P42 are fatty acid levels in erythrocytes at P42. **D**: Correlations

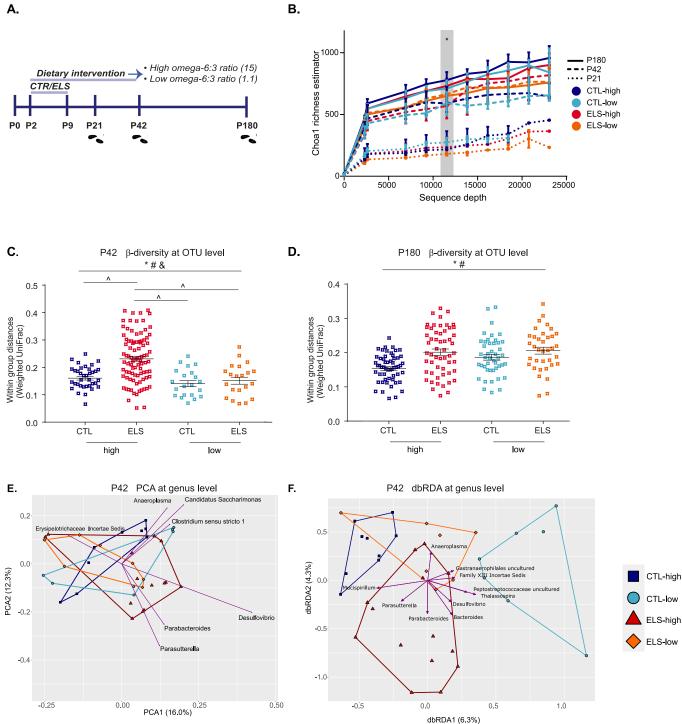
between bacterial taxa at P42 and fatty acid levels in the liver at P42. -1 < Spearman's *rho* < 1 952

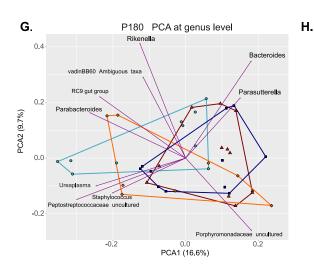
# 953 Supplementary figure 1. Age impacts phylogenetic β-diversity

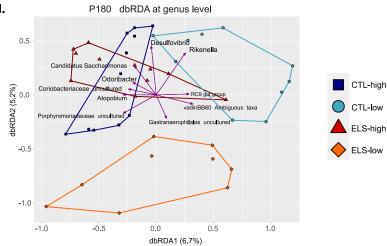
- 954 **A, B:** Principal Component Analysis (PCA) and distance based Redundancy Analysis (db-RDA) of  $\beta$ -
- 955 diversity aggregated at genus level for both ages. **C**, **D**: Average weighted UniFrac distances
- 956 (between groups) of  $\beta$ -diversity on OTU level comparing phylogenetic configurations of fecal microbial
- 957 communities of the four experimental groups for both ages.

958 959 960 961 962 963	Supplementary figure 2. Bacteroidetes taxa <i>Porphyromonadaceae</i> and <i>Odoribacter</i> negatively correlate with performance on the object location task (OLT)
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964

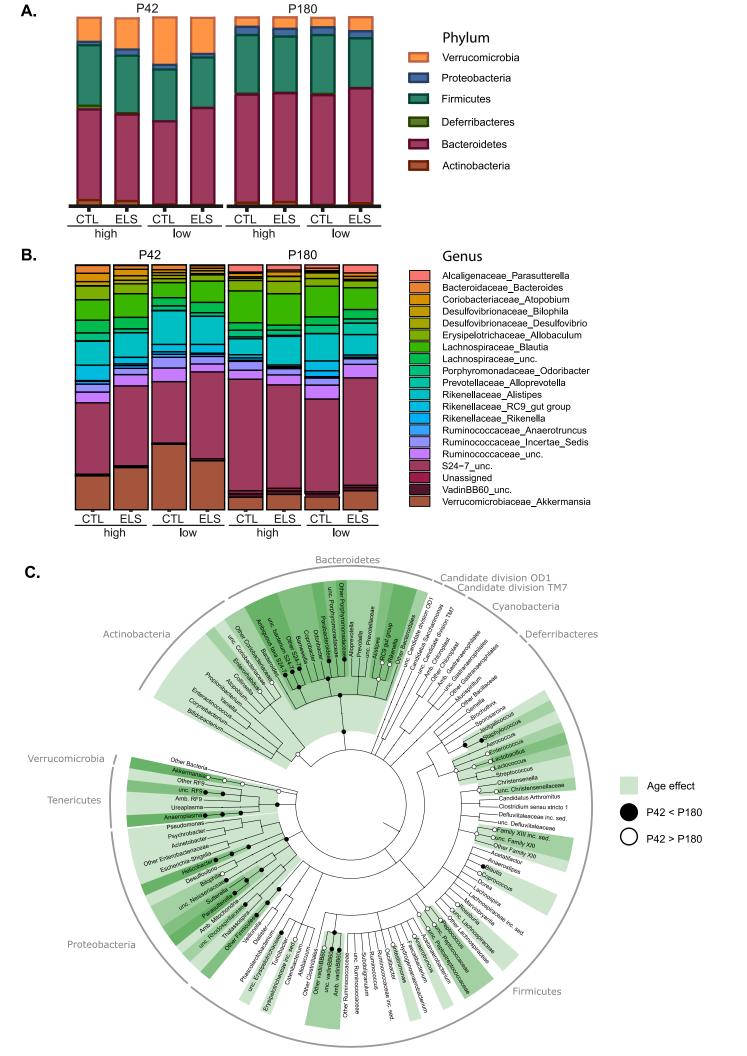


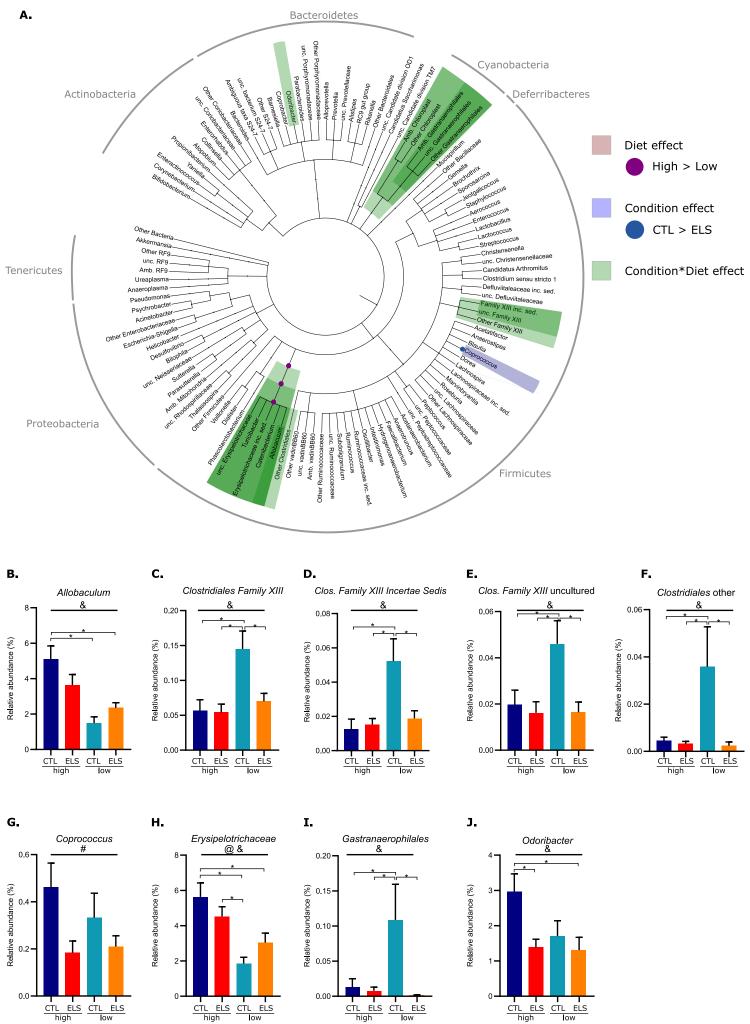




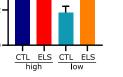
dbRDA1 (6.3%)

Α.

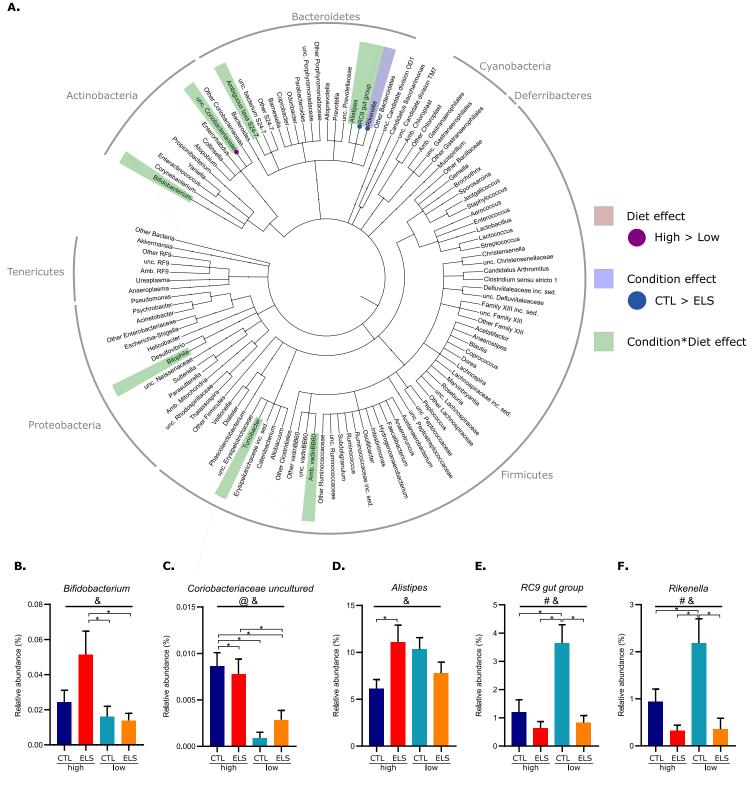




CTL ELS CTL ELS





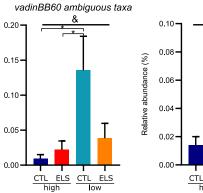


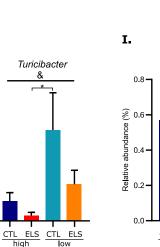


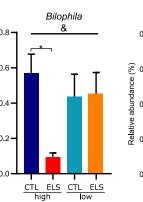
Relative abundance (%)



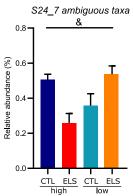


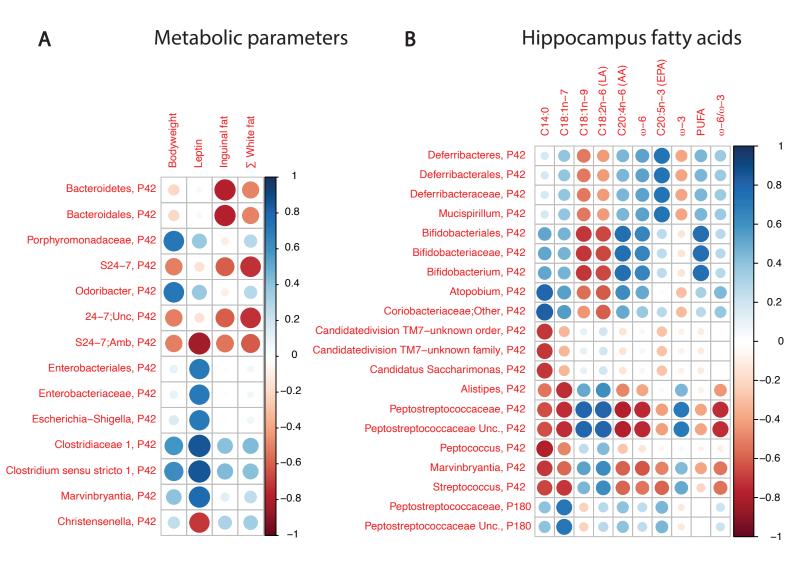






J.





Erythrocytes fatty acids Liver fatty acids C D C20:5n-3 (EPA) C22:5n-6 (DPA C22:gn (DHA) C20:5n-3 (EPA) C18:3n-3 (ALA) C22:5n-3 C22:4n-6 C18:1n-9 C20:1n-9 C22:4n-6 C22:5n-3 C20:1n-9 ALA? -0/9--6/00-3 Ł C16:0 PUFA ဗို 1 Bifidobacteriales, P42 0.8 Lactobacillaceae, P42 0.8 Bifidobacteriaceae, P42 0.6 0.6 Peptostreptococcaceae, P42 0.4 Bifidobacterium, P42 0.4 Lactobacillus, P42 0.2 0.2 Lactobacillaceae, P42 0 Peptostreptococcaceae Unc., P42 0 Peptostreptococcaceae, P42 -0.2 0.2 Mycoplasmatales, P180 Peptostreptococcaceae Unc., P42 -0.4 -0.4 Mycoplasmataceae, P180 -06 -0.6 Family XIII; Other, P42 -0.8 -0.8 Ureaplasma, P180 Lactobacillus, P42 -1

|--|

Bacterial group	Taxonomic level	F-value	p-value	q-value	Litter correctior new F-value
ctinobacteria	Phylum	5,4757	0,0221	0,0487	3,8779
acteroidetes /anobacteria	Phylum	34,4424 3,851	<0,0001 0,0537*	<0,0001	34,5562 NA
roteobacteria	Phylum Phylum	4,6601	0,0343	0,0844 0,0629	2,0967
enericutes	Phylum	6,4105	0,0136	0,0374	2,4779
errucomicrobia	Phylum	23,9499	0,0000	<0,0001	6,3759
oriobacteriia acteroidia	Class Class	4,7412 34,4424	0,0328 <0,0001	0,0802 <0,0001	2,8819 34,5562
andidate division TM7_unc	Class	4,4169	0,0392	0,0862	4,4169
lelainabacteria	Class	3,8439	0,0539*	0,1078*	NA
acilli	Class	17,3144	<0,0001	0,0004	NA
irmicutes_Other	Class	35,0432	<0,0001	<0,0001	NA
letaproteobacteria psilonproteobacteria	Class Class	11,6267 5,2764	0,0011 0,0246	0,0040 0,0677	7,9829 NA
follicutes	Class	6,4105	0,0136	0,0427	2,4779
/errucomicrobiae	Class	23,9499	<0,0001	<0,0001	6,3759
licrococcales	Order	6,3277	0,0142	0,0473	0,5026
Coriobacteriales Jacteroidales	Order Order	4,7412 34,4424	0,0328 <0,0001	0,0895 <0,0001	2,8819 34,5562
andidate division TM7_unc.	Order	4,4169	0,0392	0,0980	NA
actobacillales	Order	18,7414	<0,0001	0,0003	NA
irmicutes_Other	Order	35,0432	0,0001	0,0001	NA
urkholderiales eisseriales	Order Order	11,6324 6,4864	0,0011 0,0131	0,0054 0,0473	7,9858 6,2505
ampylobacterales	Order	5,2764	0,0246	0,0738	3,3948
naeroplasmatales	Order	7,4472	0,0080	0,0344	5,4174
/errucomicrobiales	Order	23,9499	0,0001	0,0001	6,3759
Corynebacteriaceae	Family	4,1423	0,0456	0,0982	NA 0.5026
Vicrococcaceae Coriobacteriaceae	Family Family	6,3277 4,7412	0,0142 0,0328	0,0453 0,0799	0,5026 2,8819
Porphyromonadaceae	Family	7,8001	0,0067	0,0314	2,8815 NA
likenellaceae	Family	5,4204	0,0228	0,0638	5,4204
24-7	Family	39,2163	<0,0001	<0,0001	38,3709
acteroidales_other andidate division TM7_unc.	Family Family	6,2779 4,4169	0,0146 0,0392	0,0453 0,0914	NA NA
astranaerophilales_amb. taxa	Family	4,4169	0,0392	0,0914	1,4402
taphylococcaceae	Family	9,3853	0,0031	0,0167	4,5474
nterococcaceae	Family	4,2443	0,0431	0,0965	NA
actobacillaceae	Family	18,6121	0,0001	0,0004	NA
nristensenellaceae amily XIII	Family Family	30,1204 5,4217	<0,0001 0,0228	<0,0001 0,0638	NA 5,0076
eptococcaceae	Family	26,1085	<0,0001	<0,0001	20,4528
eptostreptococcaceae	Family	7,5608	0,0076	0,0321	15,6048
adinBB60	Family	9,2728	0,0033	0,0167	NA
irmicutes_Other Icaligenaceae	Family Family	35,0432 11,6324	<0,0001 0,0011	<0,0001 0,0076	NA 7,9858
leisseriaceae	Family	6,4864	0,0131	0,0453	6,2505
elicobacteraceae	Family	5,2764	0,0246	0,0656	NA
naeroplasmataceae	Family	7,4472	0,0080	0,0321	5,4174
F9_Amb. Taxa	Family	7,1975	0,0091	0,0340	1,7373
F9_unc. errucomicrobiaceae	Family Family	11,2451 23,9499	0,0013 <0,0001	0,0080 0,0001	NA 6,3759
nteractinococcus	Genus	5,6163	0,0206	0,0555	0,0768
ollinsella	Genus	5,3448	0,0237	0,0625	2,8641
iterorhabdus	Genus	17,137	0,0001	0,0007	21,7296
priobacteriaceae_other prabacteroides	Genus Genus	7,912 14,1533	0,0064 0,0003	0,0246 0,0024	1,11 7,0403
9 gut group	Genus	12,5971	0,0007	0,0024	11,1118
kenella	Genus	4,7196	0,0332	0,0797	5,1315
24-7 Ambiguous_taxa	Genus	6,3066	0,0143	0,0425	8,1212
24-7_unc.	Genus	39,2924	<0,0001	<0,0001	39,2924
24-7_Other acteroidales_other	Genus Genus	23,8128 6,2779	<0,0001 0,0146	0,0001 0,0425	NA NA
andidate division TM7_unc.	Genus	4,4169	0,0392	0,0423	NA
astranaerophilales_amb. taxa	Genus	4,8249	0,0314	0,0770	1,4402
eotgalicoccus	Genus	4,9322	0,0296	0,0743	2,3883
aphylococcus nterococcus	Genus Genus	8,5913	0,0046 0,0431	0,0205	4,7786 NA
actobacillus	Genus	4,2443 18,6121	0,0001	0,0990 0,0005	NA
ctococcus	Genus	8,139	0,0057	0,0246	NA
nristensenellaceae_unc.	Genus	31,6138	<0,0001	<0,0001	30,8904
ncertae Sedis	Genus	13,8341	0,0004	0,0025	11,1466
amily XIII_unc. Iautia	Genus	10,2869	0,0020	0,0099	9,7733
autia oprococcus	Genus Genus	10,0027 7,111	0,0023 0,0095	0,0109 0,0310	0,014 17,8824
oseburia	Genus	14,1223	0,0004	0,0024	27,0365
achnospiraceae_unc.	Genus	7,9302	0,0063	0,0246	NA
eptococcus	Genus	7,9786	0,0062	0,0246	NA
eptococcaceae_unc. eptostreptococcaceae unc.	Genus Genus	24,4036 7,5608	<0,0001 0,0076	0,0001 0,0282	19,6722 15,6048
naerotruncus	Genus	28,9301	<0,0001	<0,0001	44,3953
ntestinimonas	Genus	17,3829	0,0001	0,0007	12,9786
adinBB60_amb. Taxa	Genus	5,7889	0,0188	0,0520	NA
radinBB60_unc.	Genus	34,9198	<0,0001	<0,0001	NA
radinBB60_other ncertae Sedis	Genus Genus	28,1352 13,6205	<0,0001 0,0004	<0,0001 0,0026	NA NA
uricibacter	Genus	7,0612	0,0004	0,0026	2,4219
rysipelotrichaceae_unc.	Genus	6,069	0,0162	0,0461	NA
irmicutes_other	Genus	35,0432	<0,0001	<0,0001	NA
Rhodospirillaceae_unc.	Genus	7,2704	0,0088	0,0306	4,1117
Parasutterella Neisseriaceae_unc.	Genus	11,6324 6 4864	0,0011 0,0131	0,0058 0,0403	7,9858 6,2505
Neisseriaceae_unc. Bilophila	Genus Genus	6,4864 26,445	<0,0131	<0,0403	6,2505 NA
Helicobacter	Genus	5,2764	0,0246	0,0633	NA
Anaeroplasma	Genus	7,4472	0,0080	0,0289	5,4174
IF9_amb. taxa	Genus	7,1975	0,0091	0,0307	1,7373
	Genus	11,2451	0,0013	0,0066	NA

Table 2. Significant condition and diet	ffects on bacterial taxa at severa	al taxonomic levels at P42 ar	nd P180. GLMM p<0.05& q<0.1.
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Bacterial group	Taxonomic level	Age	Effect	F-value	p-value	q-value	Litter correction new F-value	
Bacterial group								
Cyanobacteria	Phylum	P42	Condition * Diet	5.134	0.005	0.050	NA	
Melainabacteria	Class	P42	Condition * Diet	5.134	0.005	0.048	NA	
Gastranaerophilales	Order	P42	Condition * Diet	5.134	0.005	0.068	NA	
Erysipelotrichia	Class	P42	Diet	14.974	0.000	0.009	11.532	
Erysipelotrichia	Class	P42	Condition * Diet	6.277	0.002	0.033	5.057	
Erysipelotrichales	Order	P42	Diet	14.974	0.000	0.009	11.532	
Erysipelotrichales	Order	P42	Condition * Diet	6.277	0.002	0.047	5.057	
Erysipelotrichaceae	Family	P42	Diet	14.974	0.000	0.009	11.532	
Erysipelotrichaceae	Family	P42	Condition * Diet	6.277	0.002	0.044	5.057	
Allobaculum	Genus	P42	Condition * Diet	5.733	0.003	0.033	NA	
Clostridiales FamilyXIII	Family	P42	Condition * Diet	6.331	0.002	0.044	NA	
FamilyXIII IncertaeSedis	Genus	P42	Condition * Diet	6.931	0.001	0.026	NA	
FamilyXIII_unc.	Genus	P42	Condition * Diet	4.381	0.011	0.043	NA	
Clostridiales_Other	Family	P42	Condition * Diet	5.297	0.004	0.061	NA	
Clostridiales_Other	Genus	P42	Condition * Diet	5.297	0.004	0.033	NA	
Coprococcus	Genus	P42	Condition	2.985	0.045	0.148	4.302	
Odoribacter	Genus	P42	Condition * Diet	4.327	0.011	0.043	4.327	
Bifidobacterium	Genus	P180	Condition * Diet	4.027	0.014	0.036	NA	
Coriobacteriaceae_unc.	Genus	P180	Diet	24.924	0.000	0.001	NA	
Coriobacteriaceae_unc.	Genus	P180	Condition * Diet	8.575	0.000	0.002	NA	
Alistipes	Genus	P180	Condition * Diet	2.928	0.046	0.075	NA	
RC9 gut group	Genus	P180	Condition	10.670	0.002	0.074	4.950	
RC9 gut group	Genus	P180	Condition * Diet	10.437	0.000	0.001	6.522	
Rikenella	Genus	P180	Condition	12.487	0.001	0.070	8.791	
S24-7_Amb. taxa	Genus	P180	Condition * Diet	6.454	0.001	0.007	3.467	
VadinBB60_Amb. taxa	Genus	P180	Condition * Diet	4.677	0.007	0.026	NA	
Turicibacter	Genus	P180	Condition * Diet	3.601	0.022	0.043	NA	
Bilophila	Genus	P180	Condition * Diet	4.429	0.009	0.027	NA	