- Scrutiny of human lung infection by SARS-CoV-2 and associated human immune
- 2 responses in humanized mice
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- 21 Running Head: Humanized mouse model of COVID-19
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ABSTRACT

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There is an urgent need for animal models of COVID-19 to study immunopathogenesis and test therapeutic intervenes. In this study we showed that NSG mice engrafted with human lung (HL) tissue (NSG-L) could be infected efficiently by SARS-CoV-2, and that live virus capable of infecting Vero cells was found in the HL grafts and multiple organs from infected NSG-L mice. RNA-seq examination identified a series of differentially expressed genes, which are enriched in viral defense responses, chemotaxis, interferon stimulation, and pulmonary fibrosis between HL grafts from infected and control NSG-L mice. Furthermore, when infecting humanized mice with human immune system (HIS) and autologous HL grafts (HISL mice), the mice had bodyweight loss and hemorrhage and immune cell infiltration in HL grafts, which were not observed in immunodeficient NSG-L mice, indicating the development of anti-viral immune responses in these mice. In support of this possibility, the infected HISL mice showed bodyweight recovery and lack of detectable live virus at the later time. These results demonstrate that NSG-L and HISL mice are susceptible to SARS-CoV-2 infection, offering a useful in vivo model for studying SARS-CoV-2 infection and the associated immune response immunopathology, and testing anti-SARS-CoV-2 therapies.

Introduction

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The globe pandemic of coronavirus disease 2019 (COVID-19) caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection has affected over two hundred million people and caused more than four million deaths worldwide since the end of 2019. The lack of animal models that can be easily used to model SARS-CoV-2 infection and pathogenesis in biosafety level 3/4 facilities is an important drawback factor impeding mechanistic understanding of COVID-19 pathogenesis and test new anti-viral therapies¹. Although mice are the most popular and easily handleable animal model, conventional murine models are not suitable for COVID-19 studies because most SARS-CoV-2 strains cannot use murine angiotensin I converting enzyme 2 (ACE2) to invade mouse cells². Although human ACE2 transgenic mice have been shown susceptible to SARS-CoV-2 infection^{3,4}, this model overlooks other proteins involved, such as transmembrane serine protease 2 (TMPRSS2) and CD147^{5,6}. In addition, profound evolutionary divergences between mice and humans also compromise their value to faithfully replicate viral infection process and the associated disorders⁷. Non-human primates are genetically close to human and can be infected by SARS-CoV-2⁸, however, their wide usage is intensively restrained due to their extreme high cost and the need for complicated operating procedures especially in biosafety level 3/4 facilities. Thus, there is an urgent need for novel animal models that are easy to handle and faithfully mimic human SARS-CoV-2 infection and the associated immune responses.

Immune surveillance plays crucial roles in the control of viral infection and the development of inflammatory syndromes, including pneumonia and cytokine storm in COVID-19 patients⁹. Previous studies of ours and other groups have shown that human immune system (HIS) mice made by co-transplantation of human fetal thymic tissue (under renal capsule) and CD34⁺ fetal liver cells (FLCs, i.v.)^{10,11} could mount antigen specific human T and antibody responses following immunization, viral infections or transplantation¹²⁻¹⁴. Here we sought to extend this model to explore COVID-19 immunopathogenesis by grafting HIS mice with human lung (HL) tissue that is autologous to the human immune system of the HIS mice. We found that the HIS mice with HL xenografts (referred to as HISL mice) could be infected by SARS-CoV-2 virus and develop anti-viral immune responses, offering a convenient and useful *in vivo* model for understanding COVID-19 pathogenesis and testing anti-SARS-CoV-2 interventions.

Results

81 Entry and replication of SARS-CoV-2 and associated transcriptome changes in HL

xenografts in mice

We first determined whether human HL tissue grafted in NOD/SCID IL2rg^{-/-} (NSG) mice can be infected by SARS-CoV-2. Briefly, NSG mice were implanted subcutaneously with HL tissue (referred to as NSG-L mice), and subjected to infection via intra-HL injection of SARS-CoV-2 or PBS (as controls) 6-8 weeks later. Immunohistochemistry (IHC)

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examination confirmed human ACE2 protein expression throughout the HL xenografts (Fig. 1a). Real-time qPCR (RT-qPCR) analysis revealed that SARS-CoV-2 RNA was detected in HL and various mouse tissues including heart, liver, spleen, lung, kidney, brain and intestine at days 1 and 3 following inoculation of 10⁶ TCID₅₀ SARS-CoV-2, but not in NSG mice receiving subcutaneous injection of a similar number of virus (Fig. 1b), demonstrating SARS-CoV-2 infection and expansion in HL xenografts. To confirm this observation, another cohort of NSG-L mice were intra-HL inoculated with SARS-CoV-2 or mock. Again, viral copies were detected in HL at days 3, 5, 8, 14 and 21 after infection (Fig. 1c). Importantly, 13 out of 14 HL homogenate samples (except one at day 21) harvested from SARS-CoV-2-infected NSG-L mice at days 3, 5, 8, 14, and 21 after infection retained the capability to re-infect Vero E6 cells in vitro, demonstrating the existence of live SARS-CoV-2 in HL xenografts (Fig. 1d). However, viral inoculation did not lead to significant bodyweight loss (Fig. 1e) or detectable pathological changes in HL grafts (Fig. 1f) or mouse tissues (Supplementary Fig. 1), likely due to the immunodeficiency of NSG-L mice. This is in line with previous reports that the complications in COVID-19 patients are largely attributable to immune responses driven by SARS-CoV-2 infection¹⁵.

RNA-seq analysis for HL xenografts after SARS-CoV-2 infection

To further understand the insight into SARS-CoV-2 infection induced changes in HL cells, we analyzed the transcriptome by RNA-seq of HL samples from uninfected NSG-L mice

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or infected NSG-L mice 5 and 21 days after SARS-CoV-2 inoculation. For day-5 HL grafts, a total of 4612 human genes were differentially expressed between two samples, of which 2129 genes were significantly upregulated and 2483 genes were downregulated $(\log 2(FoldChange)) > 1 \& padj < 0.05)$ in SARS-CoV-2-infected compared to uninfected HL grafts (Fig. 2a left). For day-21 HL grafts, a total of 6488 human genes were differentially expressed between the two samples, of which 3311 and 3177 genes were significantly upregulated and downregulated (|log2(FoldChange)| > 1 & padj <0.05), respectively in SARS-CoV-2-infected compared to uninfected HL grafts (Fig. 2a right). Many of these differentially expressed genes are associated with viral defense responses, including NLRC5, MICB, APOBEC3D, APOBEC3G, IFI6, ISG15, and IFITM3, which were markedly upregulated in infected HL grafts at days 5 and 21 compared to HL grafts from uninfected NSG-L mice (Fig. 2b). Notability, HL grafts from the infected NSG-L mice showed a great upregulation of viral infection-associated chemokines (e.g., CCL11 and CXCL6 at day 5, and CCL19, CXCL19, CXCL13, CCL18 at day 21; Fig. 2c) and interferon-stimulated genes (ISGs; e.g., IFIT1 and STAT1 at day 5, and ISG15, IFITM1 and IFI27 at day 21; Fig. 2d) compared to those from non-infected NSG-L mice. Furthermore, SARS-CoV-2 infection induced intensive upregulation of genes involved in pulmonary fibrosis at day 5 (e.g. CSF1, FASLG, NLRP1) and day 21 (e.g. IGF2, IL18, MMP9) in infected HL grafts (Fig. 2e), providing a mechanistic explanation for the reported development of lung fibrosis, a long-term and presumably irreversible complication of COVID-19 patients¹⁶. Together, these findings support the

use of NSG-L mice as a convenient and useful *in vivo* platform for modeling human lung infection with SARS-CoV-2 and testing anti-viral therapies.

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Development of antiviral immunity in HISL mice following SARS-CoV-2 infection We next examined SARS-CoV-2 infection in HISL mice with both HL and human immunity. Briefly, HISL mice were constructed by transplantation of HL and thymic tissues and CD34⁺ cells, and subjected to SARS-CoV-2 infection after human immune reconstitution was confirmed by measuring human immune cells in peripheral blood. FACS analysis of PBMCs 14 weeks after humanization detected high levels of human CD45⁺ lymphohematopoietic cells composed of CD3⁺ T cells (including both CD4 and CD8 T cells), CD20⁺ B cells and CD33⁺ myeloid cells in HISL mice (Fig. 3a). Furthermore, human CD45⁺ immune cells including CD4⁺ T cells, CD20⁺ B cells and CD11c⁺ dendritic cells were also detected in spleen and HL grafts from these mice (Fig. 3b). These HISL mice were infected 3 weeks later with SARS-CoV-2 or mock as controls. Unlike NSG-L mice (Fig. 1), HISL mice showed a significant bodyweight loss after intra-HL SARS-CoV-2 infection, which returned to a level comparable to the control HISL mice by 21 days (Fig. 4a). No mortality was observed in HISL mice infected with SARS-CoV-2. Furthermore, HL grafts from HISL mice that received SARS-CoV-2 (but not mock) had hemorrhage at day 5, which was associated with increased infiltration by human CD45⁺ cells, including CD4⁺ T and CD20⁺ B cells (Fig. 4b). Although the levels of human T and B cell infiltration in HL grafts became comparable between the two

groups at day 21, there were still significantly more human CD33⁺ myeloid cells (Supplementary Fig. 2a), including CD11c⁺ cells (Supplementary Fig. 2b), in HL grafts in SARS-CoV-2-infected than mock infected mice, which is in line with previous reports for patients^{17,18}. No significant difference was detected for any human immune cell populations in WBCs, including CD3⁺ T, CD20⁺ B, CD33⁺ myeloid, and CD56⁺ NK cells between SARS-CoV-2- and mock-infected mice at day 21 (Supplementary Fig. 2c). Viral copies were detected by RT-qPCR in all HL samples (except one at day 21) from SARS-CoV-2-infected HISL mice, but not those from the control HISL mice (Fig. 4c). However, in contrast to HL homogenates from infected NSG-L mice (Fig. 1), HL homogenate samples from most infected HISL mice harvested at day 5 (5 out 6) and day 21 (5 out 6) failed to infect Vero E6 cells *in vitro* (Fig. 4d), indicating an efficient elimination of live SARS-CoV-2 by human immune system in these mice.

Discussion

Mouse models are considered more convenient than non-human primates for *in vivo* studies on infectious diseases that are required to be performed in biosafety level 3/4 facilities. Lack of cross reaction between rodent ACE2 and the coronavirus protein S prevents effective invasion of SARS-CoV-2 in mice. To overcome this obstacle, mice with transgenic expression of human ACE2 were recently created and demonstrated to be susceptible to SARS-CoV-2 infection^{3,4}. However, human ACE2-trangenic mice do not express other proteins, such as TMPRSS2 and CD147, which are potentially involved in

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SARS-CoV-2 infection^{5,6}. In this study, we explored SARS-CoV-2 infection in NSG-L and HISL mice. The NSG-L model was created by a simple procedure of HL subcutaneous implantation in NSG mice. We confirmed that the HL grafts in these mice remained lung histology and ACE2 expression and were susceptible to SARS-CoV-2 infection. Furthermore, live virus capable of infecting Vero E6 cells was detected in HL grafts and multiple organs from NSG-L mice infected with SARS-CoV-2, indicating an efficient SARS-CoV-2 replication in these mice. These results demonstrate that NSG-L mice, which can be easily constructed and comfortably used in ABSL-3 laboratories, offer an effective in vivo model for identifying and comparing different viral strains and testing the efficacy of anti-viral drugs including neutralizing antibodies (Fig. 5). HISL mice were constructed by transplantation of HL and thymic tissues and CD34⁺ cells. The HISL mice not only carried HL grafts, but also human immune system that is autologous to the HL, hence these mice developed anti-viral immune responses and complications associated with immunopathogenesis following SARS-CoV-2 infection. Although not capable of modeling upper respiratory or systemic infection/manifestations, as only the HL xenograft is susceptible to SARS-CoV-2 in these mice, this model is still useful as the only currently available model that permits in vivo assessment of human immune responses against SARS-CoV-2 infection. Therefore, HISL mice are a convenient and useful in vivo platform for evaluating the immunopathology of COVID-19 and the efficacy of anti-viral immunotherapies, such as vaccination (Fig. 5).

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Respiratory viral entry and propagation in lung parenchymal cells induce native viral defense responses and associated changes in inflammatory regulating gene expression¹⁹. Dynamic transcriptome alternations in human lung parenchymal cells after SARS-CoV-2 infection remain largely unknown. In this study, we found that there were large numbers of differentially expressed genes between HL grafts from SARS-CoV-2-infected and control NSG-L mice, which were enriched for anti-viral defense responses, and the associated chemokines and interferon stimulated genes. We also identified a marked upregulation of genes involved in pulmonary fibrosis, providing an explanation for lung fibrosis found in COVID-19 patients¹⁶. These data support the use of NSG-L mice as an in vivo model to study the kinetic changes in gene expression profiles caused by interaction between SARS-CoV-2 and human lung parenchymal cells. Immune surveillance not only play a crucial role in viral clearance but is also involved in the immunopathogenesis and complications following viral infection. Unlike the immunodeficient NSG-L mice, SARS-CoV-2 infection in HISL mice induced significant bodyweight loss and HL tissue hemorrhage and inflammatory cell infiltration, demonstrating the development of anti-viral immune responses in these mice. The effectiveness of these anti-vital responses in HISL mice was further confirmed by the absence of live virus by 5 days and bodyweight recovery at a later time. This suggests that the clinical symptoms were caused mainly by inflammation/immune responses, but

- 213 not viral invasion directly, supporting the use of HISL mice to study COVID-19
- 214 pathogenesis and anti-SARS-CoV-2 immunotherapy.

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Methods

Mice and human tissues. NOD-Prkd^{cem26Cd52}Il2rg^{em26Cd22}/Nju (referred to as NSG) mice were purchased from Nanjing Biomedical Research Institute of Nanjing University. All mice were housed in a specific pathogen-free (SPF) microisolator environment and used between 6-8 weeks of age. Discard human fetal samples of gestational age of 17-21 weeks were obtained with informed consent at the First Hospital of Jilin University. Human fetal thymic and lung tissues were cut into small pieces with a diameter of around 1 mm or 5 mm, respectively, and CD34⁺ cells were purified from fetal liver cells (FLCs) by magnetic-activated cell sorting (MACS; with a purity of >90% confirmed by FACS). The human tissues and cells were cryopreserved in liquid nitrogen until use. Protocols involved in the use of human samples and animals were reviewed and approved by the Institutional Review Board and Institutional Animal Care and Use Committee of the First Hospital of Jilin University, and all experiments with SARS-CoV-2 (COVID-19) were performed in biosecurity level 3 laboratory according to the protocols approved by the Changchun Veterinary Research Institute, Chinese Academy of Agricultural Sciences.

NSG-L mouse and HISL humanized mouse model construction. NSG-L mice were

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made by subcutaneous implantation of human fetal lung tissue (approximately 5 mm in diameter). HISL humanized mice were made by implantation of human fetal thymic tissue (around 1 mm in diameter; under the renal capsule) and lung tissue (5 mm in diameter; subcutaneously) in NSG mice that pre-conditioned with 1.75 Gy total body irradiation (TBI; Rad Source RS2000), followed by intravenous injection of 1-2×10⁵ human CD34⁺ FLCs (given 6-8 hours after TBI). Flow cytometric analysis. Mouse PBMCs were prepared using density gradient centrifugation with Histopaque 1077 (Sigma-Aldrich, St. Louis, MO). Human immune cell reconstitution in humanized mice were determined by flow cytometry using following fluorochrome-conjugated antibodies: anti-human CD45, CD3, CD20, CD33, CD4, CD8; anti-mouse CD45 and Ter119 (BD Pharmingen). Examination was performed on a Cytek Aurora (Cytek Biosciences) and data were analyzed using the FlowJo software. Dead cells were excluded from the analysis by gating out propidium iodide retaining cells. SARS-CoV-2 virus preparation titer determination. and SARS-CoV-2 (BetaCoV/Beijing/IME-BJ05-2020) was proliferated in Vero E6 cells, which are maintained in Dulbecco's modified Eagle's medium (DMEM; Invitrogen, Carlsbad, CA, USA) with supplemented 2% fetal bovine serum (FBS; Gibco, Auckland, New Zealand). Viral titers were determined using a standard 50% tissue culture infection dose (TCID₅₀) assay. Mouse experiments. NSG-L or HISL mice were anesthetized with isoflurane and injected with 100 µl of 10⁶ TCID₅₀ of SARS-CoV-2 or PBS into the human lung (HL)

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graft. The mice were followed for bodyweight changes, and euthanized for measuring viral particles and histological changes in HL grafts and tissues at indicated time points. **RNA extraction and RT-qPCR.** Total RNA was extracted from tissues homogenates using the RNeasy Mini Kit (Qiagen, Hilden, Germany), and viral RNA loads were determined by a SARS-CoV-2 RNA detection kit (Shenzhen Puruikang Biotech, China). The viral RNA loads for the target SARS-CoV-2 N gene was normalized to the standard curve obtained by using a plasmid containing the full-length cDNA of the SARS-CoV-2 N gene. The reactions were performed with CFX96 system (BIO-RAD, America) according to the following protocol: 50 °C for 20 min for reverse transcription, followed by 95 °C for 3 min and then 45 cycles of 95 °C for 5 s, 56 °C for 45 s. Results were presented as log10 numbers of genome equivalent copies per gram of sample. Histology. Tissues were embedded in paraffin, and sectioned (2.5 µm) for H&E and immunohistochemistry (IHC) examination. For IHC, tissue sections were firstly stained with monoclonal anti-human CD45 (DAKO, 2B11+PD7/26), CD20 (DAKO, L26), CD4 (ABclonal; ARC0328), or CD11c (Abcam; EP1347Y), ACE2 (Abcam; EPR4435(2)) antibodies, the immunoreactivity with and was detected UltraSensitiveTM Streptavidin-Peroxidase Kit (KIT-9710, Mai Xin, China) according to the manufacturer's protocol. **RNA-seq.** Total RNA was polyA-selected, fragmented and the samples were examined on an Illumina Novaseq6000 machine, yielding an average of 22 million uniquely aligned

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paired-end 150-mer reads per sample. Reads were aligned with STAR RNA-seq aligner (Version 2.7.3a) using the UCSC/hg38 genome assembly and transcript annotation²⁰. Expression levels were calculated as Fragments per Kilobase of transcript per Million reads (FPKM) using Cufflinks software²¹. Differential expression analysis was performed using Cuffdiff (version 2.2.1.Linux x86 64)²¹. In each analysis, genes with thresholds of $|\log 2 \text{ (foldchange)}| > 1$, p adj < 0.05 and a mean FPKM value of more than 5 were tested. "Cluster Profiler" package (Release 3.16.1)²² and "DOSE" package (Release 3.14.0)²³ in R software (version 4.0.2) was used for functional enrichment analysis, and GO biological processes terms at the significant level (q-value < 0.05) were employed. Statistical analysis. Data were analyzed using GraphPad Prism 8 software (San Diego, CA, USA) and presented as mean values \pm SEM. The results were compared statistically using unpaired two-tailed Student's t test and two-way analysis of variance (ANOVA), and a p value of ≤ 0.05 was considered significant. **Data availability** RNA-seq data have been deposited into the National Center for Biotechnology Information (NCBI) Gene Expression Omnibus (GEO) database under accession numbers GSE175900. The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request. References 20 Dobin, A. et al. STAR: ultrafast universal RNA-seq aligner. Bioinformatics 29, 15-21 (2013).

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Author contributions

- Z.H., Q.Z., Y-W. G., S-C.H, Y-G.Y. conceived the study; Z.H., R-R.S., Z-Z.Z., Y-X.W.,
- 358 C.S., J.H. performed the experiments; C.F. contributed to RNA-seq data analysis; Z.H.,
- Q.Z., Y-W.G.,S-C.H. supervised the work; W.L. contributed to the discussion of the
- results; Z.H., R-R.S., Z-Z.Z., C.F. Y-G.Y. analyzed the data and wrote the manuscript; all
- authors edited and approved the manuscript..

Competing interests

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The authors declare that they have no competing financial interests.

Figure legends

Fig.1 SARS-CoV-2 infection of HL xenografts in NSG mice. NSG-L mice were subjected to SARS-CoV-2 infection (10⁶ TCID₅₀ given by intra-HL injection) 6-8 weeks after HL transplantation. Data from two independent experiments are presented, in which NSG mice receiving subcutaneous injection of SARS-CoV-2 (**b**) or NSG-L mice receiving intra-HL injection of PBS (**c-f**) were used as controls, respectively. **a**, Representative IHC images of HL xenografts (up, isotype; down, human ACE2). **b**, Viral copies in the indicated tissues examined by RT-qPCR 1 (n=2 and 1 for HL-infected and control groups, respectively) or 3 (n=1 per group) days after infection. **c-f**, Viral RNA copies examined by RT-qPCR (**c**) and titers measured by Vero E6 cells (**d**) in HL xenografts, and bodyweight changes (**e**) at the indicated time points (n=3 per group); **f**, Representative H&E images of HL xenografts at day 5 after intra-HL injection of PBS (left) or SARS-CoV-2 (right).

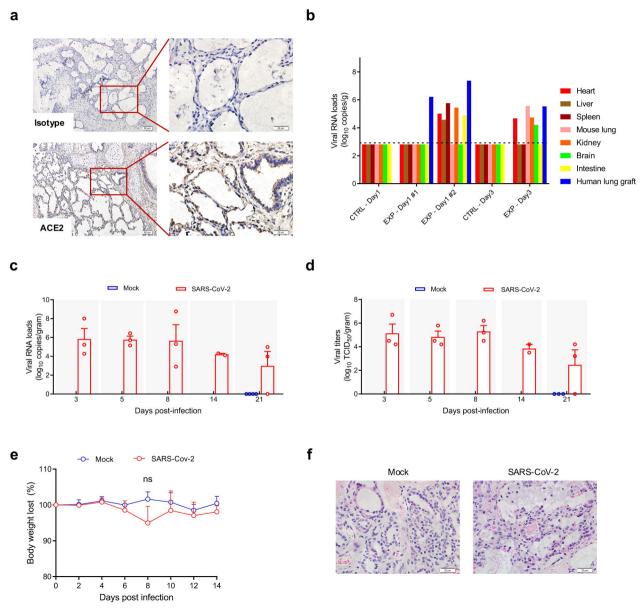
${\bf Fig. 2~RNA-seq~analysis~for~HL~grafts~from~NSG-L~mice~after~SARS-CoV-2~infection.}$

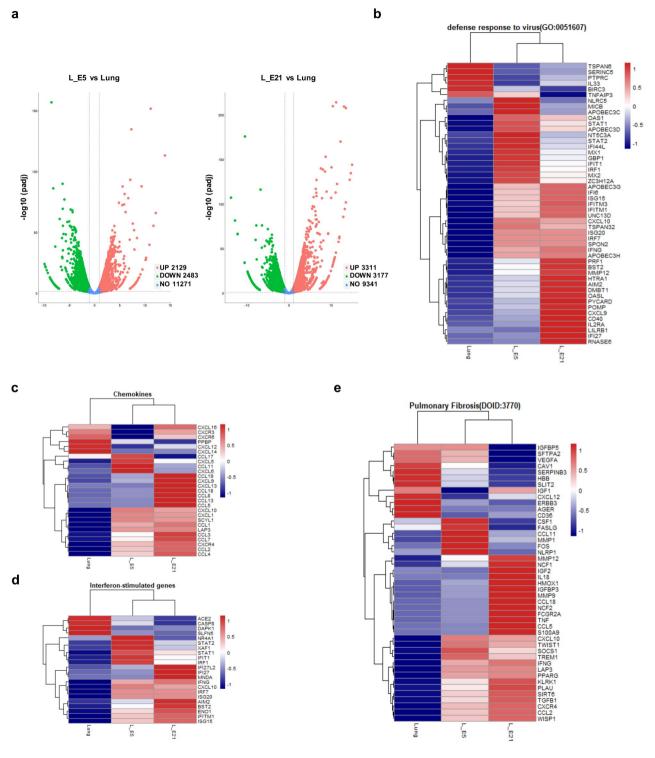
HL grafts injected with 10⁶ TCID₅₀ SARS-CoV-2 virus were harvested from NSG-L mice for RNA-seq examination at day 5 and 21 after infection; Naïve HL graft without infection was used as control. **a**, Volcano plot showing DEGs of HL grafts for SARS-CoV2-infected at day 5 (left) or day 21 (right) and non-infection control from NSG-L mice. The data for all genes are plotted as log2 fold change versus the –log10 of the adjusted p-value. **b-e**, Heatmaps of RNA-seq expression Z-scores computed for

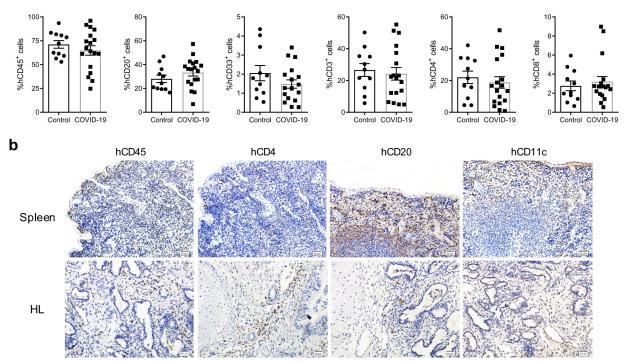
selected genes that are differentially expressed (p adj < 0.05, |log 2 (foldchange)| > 1) 387 between 3 pairwise comparisons (L E5 vs L E21, Lung vs L E5, Lung vs L E21). b, 388 DEGs under GO term "defense response to virus" (BP GO: 0051607); c, Chemokines; d, 389 Interferon-stimulated genes; e, DEGs under DO term "Pulmonary Fibrosis" 390 (DOID:3770). 391 392 Fig.3 Human immune reconstruction in HISL mice. HISL mice were made by 393 co-transplantation of human fetal lung tissue (subcutaneous) together with autologous 394 human fetal thymic tissue (under renal capsule) and CD34⁺ FLCs in NSG mice and used 395 for examination. a, Human immune cell chimerism in PBMCs of HISL mice at week 14. 396 b, IHC images of spleen (up) and HL (down) sections of HISL mice stained for human 397 CD45, CD4, CD20, and CD11c. 398 399 Fig.4 HISL mice are susceptible for SARS-CoV-2. HISL mice were infected at week 17 400 post-humanization with mock or 10⁶ TCID₅₀ SARS-CoV-2 into HL. **a**, Bodyweight 401 changes of HISL mice infected with SARS-CoV-2 (n=6) or mock (n=4). b, 402 Representative H&E and IHC images of HL sections of HISL mice infected with 403 SARS-CoV-2 (n=6) or mock (n=4) at day 5. **c-d**, Mice were euthanized at days 2, 5, and 404 21 post-infection, and SARS-CoV-2 viral copies (c) and viral titers (d) in HL were 405 examined (each symbol represents an individual animal). *P < 0.05. 406

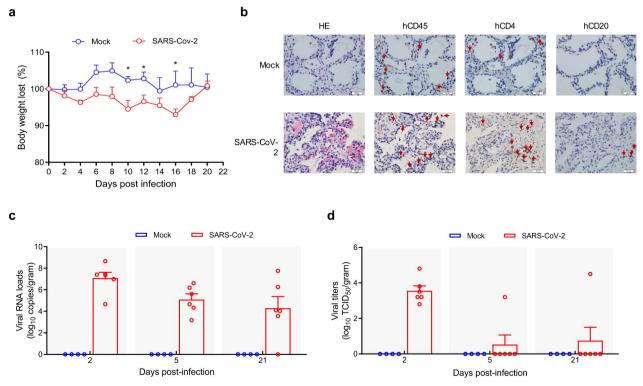
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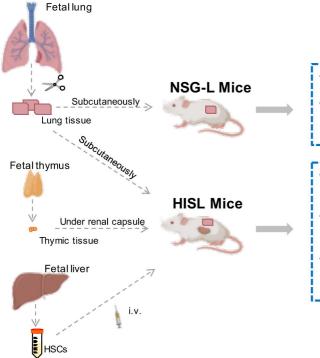
- 408 Fig.5 Schema of NSG-L and HISL mice and their potential use in COVID-19
- 409 studies.











- Easily to prepare and use in ABSL-3 laboratories.
- Effective in identifying and comparing different viral strains and testing anti-viral drugs (e.g., neutralizing antibodies).
- Bearing both HL and human immune system, developing anti-SARS-CoV-2 immune responses.
- Permitting in vivo studies of human immune responses against SARS-CoV-2 infection
 - A useful model for evaluating COVID-19 immunopathology and testing anti-viral immunotherapies (e.g., vaccines).