1 Mitochondrial DNA variants segregate during human preimplantation

2 development into genetically different cell lineages that are

3 maintained postnatally

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26 ABSTRACT

27 Humans present remarkable mitochondrial DNA (mtDNA) variant mosaicism, not only across tissues but even across individual cells within one person. The timing of the first appearance of this 28 29 mosaicism has not yet been established. In this study, we hypothesized it occurs during preimplantation development. To investigate this, we deep-sequenced the mtDNA of 254 oocytes 30 31 from 85 donors, 158 single blastomeres of 25 day-3 embryos, 17 inner cell mass and trophectoderm 32 samples of 7 day-5 blastocysts, 142 bulk DNA and 68 single cells of different adult tissues. We found that day-3 preimplantation embryos already present blastomeres that carry variants unique to that 33 34 cell, showing that the first events of mtDNA mosaicism happen very early in human development. 35 We classified the mtDNA variants based on their recurrence or uniqueness across sibling oocytes and 36 embryos, and between single cells and samples from the same embryos or adult individuals. Variants 37 that recurred across samples had higher heteroplasmic loads and more frequently resulted in 38 synonymous changes or were located in non-coding regions than variants that were unique to one 39 oocyte or single embryonic cell. These differences were maintained through developmental stages, 40 suggesting that the mtDNA mosaicism arising in preimplantation development is maintained into 41 adulthood. Further, the results support a model in which close clustering of mitochondria carrying 42 specific mtDNA variants in the ooplasm leads to asymmetric distribution of these mitochondria 43 throughout the cell divisions of the preimplantation embryo, resulting in the appearance of the first 44 form of mtDNA mosaicism in human development.

45 INTRODUCTION

46 The vast majority of studies in human genetics have been performed on bulk DNA, extracted from 47 peripheral blood or other tissues. In recent years it has become increasingly obvious that the cells of 48 our body are not as genetically homogeneous as previously thought. Next to the already classically 49 well-known cases of cellular mosaicism, such as the variation in the somatic rearrangements of 50 immunoglobulin and T-cell receptor in lymphocytes, a whole new dimension of diversity is just being 51 uncovered owing to the emergence of single cell comprehensive genome analysis¹. The mutations 52 driving somatic mosaicism probably occur at all stages of development, from early preimplantation development, as seen for chromosomal abnormalities², to the ageing individual¹. 53

The mitochondrial DNA (mtDNA) is, in this sense, particularly diverse. It is known for long that a given inherited mtDNA mutation can be present in different loads in different individuals of the same family, and in different tissues of the same individual³, and somatic cellular heterogeneity has been shown in blood cells^{4,5}, neurons, glia⁶, and single muscle fibers⁷. In recent years, the advent of massive parallel sequencing has had a deep impact on the field of mitochondrial genetics. The fact that the relatively small mtDNA can be sequenced at high depth has facilitated the simultaneous detection of all variants within this genome and their individual heteroplasmic loads^{8,9}. This type of deep sequencing work has shown, for instance, that pathogenic mtDNA variants are commonly present in healthy individuals, with a mean load of 2% and cross-generation studies have shown that these variants are heritable^{8–10}.

The composition and heteroplasmic load of the variants in the mtDNA of an individual can vary 64 during development, as it goes through various bottlenecks. The first bottleneck occurs during 65 66 oogenesis, where the few mtDNA copies in the primordial germ cells replicate rapidly, having increased a thousand-fold when reaching the mature oocyte stage. During this process, low-load 67 68 heteroplasmic variants in early primordial germ cells can increase to much higher loads in the late 69 primordial germ cells, where selection mechanisms will eliminate mitochondria with variants affecting their functionality¹¹. This selection process circumvents Muller's ratchet, an evolutionary 70 71 process where deleterious variants can accumulate rapidly over generations in an irreversible 72 manner due to uniparental inheritance and lack of genomic recombination of the mtDNA¹². This first 73 bottleneck is also responsible for the diversity in heteroplasmic loads of the same variant across 74 children of the same mother. The second bottleneck occurs after fertilization, where the mtDNA copy 75 number per cell declines transiently during early embryonic development due to halted replication, 76 which is resumed when the embryonic cells initiate differentiation^{13,14}. Finally, later in development, 77 the mtDNA becomes susceptible to somatic mutagenesis due to errors of the polymerase gamma, its 78 proximity to reactive oxygen species and a very low protection against mutagenesis by repair mechanisms and histones^{15–17}, leading to ageing-related somatic mosaicism (reviewed by van den 79 80 Ameele et al. in 2020¹⁸).

81 Several studies have demonstrated mtDNA mosaicism for both disease and non-disease-causing variants, both at the single-cell level and across tissues of one individual¹⁹⁻²². While some of this 82 variation has been attributed to ageing²⁰, the recurrence of other mosaic variants across multiple 83 cells of the same individual suggests that the variant emerged very early in development, after or 84 85 during the formation of the three germ layers. Other variants appear to be tissue-specific, leading to the suggestion that mtDNA variant composition can be cell-type specific^{21,22}. Overall, these mosaic 86 87 mtDNA variants can be present in one cell at a very high load, while in other cells it is at a very low 88 load or not present at all. These differences across cells of the same individual could be explained 89 through clonal expansion by random genetic drift. Because this process is considered to be relatively 90 slow, the initial event has been proposed to occur early in development, but the exact timing and mechanism remain to be elucidated²³. 91

92 Currently, all the knowledge on the segregation of mtDNA variants during early human development93 is based on the study of inherited pathogenic mtDNA mutations, mostly in the context of

94 preimplantation genetic testing. A significant number of studies have explored the possibility of 95 quantifying pathogenic heteroplasmic variants in polar bodies, single blastomeres biopsied at the 96 cleavage stage or trophectoderm biopsies at the blastocyst stage and have investigated if the results 97 of these biopsies are representative of the rest of the embryo. Work has been published on the segregation of mutations causing mitochondrial diseases such as Leigh syndrome^{24,25}, NARP²⁶, 98 Lieber's disease²⁷ and MERF/MELAS²⁸⁻³¹. With some exceptions³¹, the different groups have found a 99 100 good consistency in heteroplasmic loads between samples of the same embryo, showing that these 101 variants are homogeneously distributed across the mitochondria in the oocyte, and homogeneously 102 partitioned during the early developmental cell divisions. Conversely, there is no knowledge on the 103 appearance and segregation of non-disease causing mtDNA variants during early human 104 development.

105 In this study, we aimed at determining the timing of appearance mosaicism for non-disease 106 associated mtDNA variants during human preimplantation development. We studied to which extent 107 individual embryonic cells differed from each other and identified different types of mtDNA variants 108 depending on their recurrence across samples of the same donor. Comparison of the patterns of 109 mtDNA variants in oocytes, day-3 and day-5 embryos, and adult-stage tissues and single cells 110 revealed that mtDNA mosaicism appears as early as day 3 of human development, and is maintained 111 through development, resulting in genetically diverse cell lineages in the adult.

112 MATERIAL AND METHODS

113 Sample and single-cell collection

114 All buccal (N=59), blood (N=57) and urine (N=26) samples and oocytes (N=254) and embryos on day 3 115 (n=25) or at the blastocyst stage (n=7) were obtained after signed informed consent from the donors 116 at the Center for Medical Genetics and the Brussels IVF Center for Reproductive Medicine of the UZ 117 Brussel (Supplementary table S1). Supernumerary oocytes were donated after oocyte pick-up while 118 preimplantation embryos were donated for research after the legally determined cryostorage period of 5 years passed³². Prior to the start of the study, approval was acquired from the Local Ethical 119 120 Committee of the Vrije Universiteit Brussel and the Universitair Ziekenhuis Brussel, and by the Federal Ethical Committee on Medical and Scientific Research on embryos in vitro. 121

122 Day-3 embryos were warmed using the Vitrification Thaw kit (Vit Kit-Thaw, Irvine Scientific, USA) 123 according to manufacturer's instructions. Subsequently, they were left to recover in 25 μ L droplets of 124 Origio blastocyst medium (Origio, The Netherlands) for 3h in an incubator at 37°C with 89% N₂, 6% 125 CO₂ and 5% O₂. The day-3 embryos and oocytes were freed from their zona pellucida by incubating 126 them in a droplet of pronase (100 mg/100 μ L human tubal fluid) and by gently pipetting them up and

down. The inner cell mass (ICM) and trophectoderm (TE) samples were biopsied from day-5 127 blastocysts that were diagnosed as affected by a monogenic disease after preimplantation genetic 128 testing, as previously described¹⁹. The oocytes, embryos, ICM and TE samples and adult single cells 129 were washed three times in Ca^{2+} - and Mg^{2+} -free medium. The individual blastomeres obtained from 130 cleavage stage embryos dissociated in the Ca²⁺- and Mg²⁺-free medium were washed three additional 131 times before collecting in 2.5 µL ALB (alkaline lysis buffer) as described before³³. The samples were 132 133 kept at -20°C until further processing. The bulk DNA of the somatic tissues was extracted using a kit 134 according to manufacturer's instructions (DNeasy Blood and Tissue, Qiagen).

135 mtDNA enrichment and massive parallel sequencing

136 Before PCR, the single oocytes and blastomeres, ICM/TE biopsies from the day-5 blastocysts and adult cells were incubated at 65°C for 10 min to ensure full lysis of the cells. Long-range PCR³⁴ was 137 138 performed using a primer set to generate amplicons of 13 Kbp (5042f - 1424r). The primer 139 sequences for the primer set were 5'-AGCAGTTCTACCGTACAACC-3' (forward) and 5'-140 ATCCACCTTCGACCCTTAAG-3' (reverse). The amplification was done in a total volume of 50 μ L per 141 sample containing 10 µL of LongAmp buffer, 2 µL of Taq DNA polymerase (LongAmp Taq DNA Polymerase kit, New England Biolabs), 7.5 µL of dNTPs (dNTP set, Illustra[™]), 2 µL of each primer (10 142 143 μ M), 2.5 μ L Tricine (200mM, Sigma-Aldrich) and 21.5 μ L H₂O. The PCR protocol started with an 144 initiation step of 30 sec at 94°C followed by a touchdown of 8 cycles of 15 sec at 94°C, 30 sec at 64°C 145 (-0.4°C per cycle) and 11 min at 61°C, 29-37 cycles were added of 15 sec at 94°C, 30 sec at 61°C and 146 11 min at 65°C and completed with a final elongation step of 11 min at 65°C. Successful amplification 147 was confirmed using agarose gel electrophoresis (1.5%). After PCR purification with AMPure beads (Beckmann Coulter), library preparation as described in Mertens et al.³⁴, was performed using the 148 TruSeq DNA PCR-free Library Preparation kit (Illumina). The amplicons were sheared using a 149 CovarisT^M M220 sonicator (Life Technologies), following instrument specification to generate 150 151 fragments of ± 100 base pairs. The detection of the nucleotide sequence was done on the Illumina 152 NovaSeq6000 platform using the according kit (Illumina).

153 Data analysis and bioinformatics processing

The generated data was aligned to the reference genome (NC_012920.1) with BWA-MEM and uploaded to mtDNA server³⁵ (v1.1.3) which detected the homoplasmic (>98.5% frequency) and heteroplasmic (<98.5% frequency) single nucleotide variants (SNV) as well as the haplogroup and possible contaminations. Insertions and deletions were detected and SNVs were confirmed by Mutect2³⁶ (GATK v3.6 Mutect2). The annotations of the variants were done using MitoWheel and the possible amino-acid changes were identified using MutPred2³⁷. A more detailed protocol of the bioinformatic processing and the validation of the full sequencing setup can be found in our
 previously published work^{19,34,38}.

162 Statistics

163 Statistics were performed using the two-tailed Fisher's exact or the Chi-square test, p-values <0.05

164 were considered significant.

165 **RESULTS**

166 Mitochondrial DNA mosaicism occurs as early as day 3 of human development

We deep-sequenced the mtDNA of 254 oocytes from 85 donors, 158 single blastomeres from 25 day-3 embryos obtained from 9 donors, 17 samples from 7 day-5 blastocysts (7 ICM and 10 TE), 142 adult DNA samples from bulk tissue (59 buccal, 57 blood and 26 urine samples) and 68 single cells from buccal swab (N=37) and urine (N=31) of 3 donors. For the oocytes, we collected at least two samples per donor (either two or more oocytes of the same donor or oocytes and somatic tissues) and for the adult DNA samples we also collected at least two tissues per donor.

- We identified heteroplasmic variants in 58.7% of the oocytes, 86.1% of blastomeres of day-3 embryos, in all of the ICM/TE samples of day-5 blastocysts, 47.9% of the adult bulk tissues and 95.6% of adult single cells (Figure 1a). The average heteroplasmic variant load was under 10% for the oocytes and embryos (oocytes: 7.6 \pm 13.8%, day-3 blastomeres: 6.1 \pm 11.2% and day-5 ICM/TE biopsies: 5.1 \pm 5.5%), 20.1 \pm 27.6% for the adult bulk tissues and 12.1 \pm 13.6% in adult single cells (Figure 1b).
- 179 Further analysis of the variants showed that while some of the variants recurred across samples of 180 the same donor, other variants were unique to one sample. Therefore, in the further downstream 181 analysis of our dataset, we categorized the heteroplasmic variants as "recurrent" when they were present in multiple tissues of one individual, multiple cells of one day-3 embryo, different biopsies of 182 183 a day-5 blastocyst or in multiple oocytes of one donor, and as "unique" when they were present in only one sample. An example of the variant composition of different sample types is shown in figure 184 1c-g. The full datasets can be found in Table S2-S5. We found recurrent mtDNA variants in 26.8% of 185 oocytes, 76.0% of day-3 embryos, 85.7% of day-5 blastocysts, 38.7% of adult bulk DNA samples and 186 187 47.1% of adult single cells. Unique variants were found in 48.0% of oocytes, 92.0% of day 3 embryos, 188 85.7% of day 5 blastocysts, 16.9% of bulk DNA samples and 85.3% single cells.
- Overall, the data revealed considerable mtDNA variation across oocytes of the same donor, showing that germ line mosaicism for non-disease associated mtDNA variants is exceedingly common. In the embryos, we found that somatic mtDNA mosaicism occurs already on day-3 of development and is

192 maintained in day-5 blastocysts. This first type of mosaicism is due to the appearance of unique 193 variants in individual cells of the embryo. At this stage of development variants that recur across cells 194 of the embryo do so consistently at very similar loads. These recurrent variants are the source of a second type of somatic mosaicism that emerges later in development and that is evidenced by the 195 196 results of the adult tissues and single cells. Here, we found that the heteroplasmic load of variants 197 measured in a DNA sample extracted from a given tissue represent the average of the widely variable 198 loads found in the individual cells in that tissue, ranging from a homoplasmic state to absent in some 199 cells (Figure 1f). Finally, a third type of mosaicism is present in the adult single cells, in the form of 200 numerous unique variants that have most likely originated by somatic mutagenesis related to ageing. 201 Interestingly, we have identified four such variants that appear in a tissue-specific manner in 202 different donors: m.215A>G and m.152T>C were found in buccal samples of 7 donors, m.16311T>C in 203 blood and buccal samples of 2 donors and m.72T>C in urine samples of 2 donors.



205 Figure 1. Overview of the heteroplasmic variants found in the different cohorts. a. Percentage of 206 samples with heteroplasmic variants in oocytes, day-3 embryos and day-5 blastocysts, adult bulk 207 tissues and adult single cells. b. The average load and standard deviation of the heteroplasmic 208 variants found in the oocytes, day-3 embryos and day-5 blastocysts, adult bulk tissues and adult 209 single cells. c-e: Examples of variants and their respective load found in oocytes from the same donor 210 (c), blastomeres from the same day-3 embryo (d) and biopsies from the same day-5 blastocysts (e). 211 Variants that recur across cells or samples are shown in black and in red are variants that are unique 212 to one sample. f. Heteroplasmic load of recurrent variants found in the bulk DNA sample (red line) 213 and in the single cells from the same tissue (black dots). g. Variants found in the adult bulk DNA and 214 in 10 single cells of urine and buccal samples that were recurrent across bulk and single cells (black 215 dots for the urine samples and black crosses for the buccal samples) and variants that were unique to 216 one cell (red dots for the urine cells and crosses for the buccal cells).

217 Sibling oocytes carry both recurrent and unique variants that differ in location, type and 218 heteroplasmic load

219 In 26.8% of the oocytes, we found variants that recurred across two or more of the oocytes of the 220 same donor. These variants were evenly distributed between the non-coding and protein-coding 221 regions, and none were detected in the rRNA/tRNA-coding sequences. A non-synonymous change 222 was induced by 16.7% of the variants (Figure 2a). We calculated the mutation rate per base as the 223 number of variants found in each mtDNA locus, divided by the number of sequenced base pairs of 224 that locus. In the oocytes, the highest mutation rate per base was in the hypervariable region (Figure 225 2b). Of recurrent variants in the oocyte, 61.1% had heteroplasmic loads over 20% (Figure 2c), while 226 their load was independent from their location or type of change (Figure 2d).

227 We sequenced somatic tissues (buccal swabs, blood and urine) from a subgroup of 25 oocyte donors. 228 In these, 9 variants were present in at least one somatic tissue and were transmitted to the oocytes 229 (referred to as "transmitted") and 3 variants were only present in the oocytes and not in the somatic 230 tissues (referred to as "germline-specific"). Both types of variants were similarly distributed across 231 non-coding and protein-coding regions with a distribution of 55.6 versus 44.4% for the transmitted 232 variants and 66.7 versus 33.3% for the germline-specific variants. However, the transmitted protein-233 coding variants were exclusively synonymous while the germline-specific protein-coding variants 234 were all non-synonymous (Figure 2e). Of the transmitted variants, 77.8% were present at loads 235 >20%, while this was only 33.3% for the germline-specific variants, although this difference was not 236 significant (Fisher's exact test, p=0.2364, Figure 2f). Lastly, the higher the load in the somatic tissues, 237 the higher the likelihood that the variant would be present in the majority of the oocytes of the 238 donor (correlation R=0.65, p<0.0001), with variants with loads as low as 3% in the somatic tissues

being identified as well in the oocytes. Conversely, the heteroplasmic load of the variant could significantly differ from oocyte to oocyte, in extreme cases going from undetectable levels in one oocyte to homoplasmy in another (Figure 2g).

242 Nearly half (48.0%) of oocytes carried variants that were unique to one oocyte in a cohort, and these 243 were remarkably different in their location and heteroplasmic load from the recurrent variants. Of 244 the unique variants, 16.3% were located in the rRNA/tRNA regions (vs 0% of the recurrent variants, 245 Fisher's exact test, p=0.103, Figure 2a) and 21.1% of the unique protein-coding variants induced a 246 non-synonymous change (vs 16.7% of the recurrent variants, Fisher's exact test, p=1, Figure 2a). On a 247 per-gene base, MT-ND6 had lower mutation rates per base in the unique than in the recurrent 248 variants (Fisher's exact test, p=0.038, Figure 2b). The unique variants had lower heteroplasmic loads 249 than the recurrent ones, with 80.0% of the unique variants having loads below 5% (vs 16.7% of 250 recurrent variants with loads <5%, Chi-square test, p<0.0001, Figure 2c). In the unique variants, we 251 found that the rRNA and tRNA variants were exclusively found at loads <5% (Figure 2h), and that the 252 incidence of non-synonymous protein-coding variants with loads >10% was slightly higher than that 253 of synonymous variants (Fisher's exact test, p=0.043, Figure 2h). 254 Overall, these results show that half of oocytes differ from their siblings within a cohort due to the

254 Overall, these results show that half of oocytes differ from their siblings within a cohort due to the 255 presence of variants unique to them. These unique variants differ from the recurrent variants in their 256 location and load, being more frequently located in the rRNA and tRNA genes, and more often 257 resulting in a non-synonymous change. Conversely, their pathogenic potential may be limited by 258 their low heteroplasmic load, which in most cases was below 5%.



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Figure 2. Sibling oocytes carry both recurrent and unique variants that differ in location, type and heteroplasmic load. a. Distribution of recurrent and unique variants in oocytes based on their location or type (in case of a variant in the protein-coding regions) in the mitochondrial genome (non-coding, protein-coding synonymous, protein-coding non-synonymous or rRNA/tRNA-coding regions). b. Mutation rate per base for the recurrent and unique variants in the oocytes. Variants in the gene *MT-ND6* were more likely to be recurrent (Fisher's exact test, p=0.038). c. Recurrent and unique variants in the oocytes categorized for their variant load. d. Recurrent variants in the oocytes categorized for their load and distribution in the mtDNA. e. Distribution in the mtDNA based on their
location or type of the recurrent variants in the oocytes categorized whether they were present in
the somatic tissues of the donor ("Transmitted") or only present in the oocytes of the donor and not
in the somatic tissues ("Germline-specific"). f. Recurrent transmitted and germline-specific variants in
oocytes categorized for their variant load. g. Example of transmitted variants where the average load
in the somatic tissues is plotted against the load of the same variant in the oocytes of the respective
donor. h. Unique variants in the oocytes categorized for their load and distribution in the mtDNA.

The differences between unique and recurrent variants in oocytes are maintained during preimplantation development

276 Figure 3a shows an example of the variants found in each of the single blastomeres of two sibling 277 embryos. The variants were categorized as recurrent across sibling embryos, recurrent across 278 blastomeres (but not across the siblings) and unique to each blastomere. We reasoned that, further 279 in development, the variants that are recurrent across oocytes of one donor become variants 280 recurring across sibling embryos. Six variants of this type were found in nine embryos from the 4 sets 281 of sibling day-3 embryos, with a similar distribution and heteroplasmic load as the recurrent variants 282 we found in the oocytes. Half were located in the non-coding region and the other half in the 283 protein-coding region, 16.7% being non-synonymous changes, the highest mutation rate per base 284 being in the hypervariable region and 66.7% of variants having a heteroplasmic load over 20% (Figure 285 3b, Figure 3d and Figure 3f).

286 The second type of recurrent variant, which appears in multiple cells of the same day-3 embryo, but 287 not between embryos of the same cohort, is found in 60% of embryos. These variants were located 288 in 61.9% of cases in the non-coding region, 38.1% were in protein-coding sequences, 23.8% inducing 289 non-synonymous changes, and none were found in the rRNA/tRNA regions (Figure 3b). The mutation 290 rate per base was highest for the hypervariable region (Figure 3d). This distribution was maintained 291 in the day-5 blastocysts, with 71.4% of variants in the non-coding region, 28.6% in the protein-coding 292 regions, and none in the rRNA/tRNA, and with the highest mutation rate per base in the 293 hypervariable region (Figure 3c and Figure 3e). On day 3, 9.5% of recurrent variants had loads >20% 294 and 71.4% <5%, of which most were present in the non-coding regions (Figure 3f and Figure 3h). 295 Noticeably, the recurrent protein-coding variants inducing non-synonymous changes were 296 exclusively seen at loads <10%, while synonymous variants often showed loads >10% (Figure 3h). 297 This distribution changed slightly on day 5, with 28.6% of recurrent variants having loads >20% and 298 57.1% <5%, the majority of which located in the non-coding regions (Figure 3g and Figure 3j). 299 Remarkably, in both stages of development, these recurrent variants showed heteroplasmic loads 300 that differed in average 3.5% across cells or biopsies, and maximally in 13.4%. This consistency is in 301 line with previous reports on inherited pathogenic mtDNA variants detected during preimplantation 302 genetic testing³⁹. This shows that during the very early stages of development, these recurrent 303 variants are homogeneously distributed to the daughter cells, suggesting that they were evenly 304 distributed in the cytoplasm of the original oocyte. These variants restricted to one embryo differ 305 from the ones found recurrently across embryos of the same cohort in their heteroplasmic load 306 (71.4% of the recurrent variants across blastomeres have loads <5% vs 66.7% of the recurrent 307 variants across siblings have loads >20%) but not in their location.

- 308 With regards to the unique variants, the difference in location was more prominent in the day-3 309 embryos, where 41.0% of the unique variants were located in the rRNA/tRNA regions as compared to 310 none of the recurrent variants (Fisher's exact test, p<0.0001, Figure 3b). This was also seen when looking at the mutation rate per base, where the mutation rate of the hypervariable region was 311 312 significantly higher in the recurrent variants than in the unique (Fisher's exact test, p<0.0001) and the 313 rRNA regions showed higher rates in the unique variants (Fisher's exact test, p=0.0003, Figure 3d). 314 On day 3, 83.1% of the unique variants and 71.4% of the recurrent variants had a heteroplasmic load 315 <5% (Chi-square test, p<0.0001, Figure 3f). Most of the variants with a load <5% were protein-coding 316 variants with a similar distribution between synonymous and non-synonymous variants (Figure 3i). 317 On day 5 of development, the variants in the hypervariable region were more likely to be recurrent 318 (Fisher's exact test, p=0.0047, Figure 3e) while the unique rRNA/tRNA variants represented only 319 20.0% of the variants (Fisher's exact test, p=0.55, Figure 3c). The heteroplasmic loads were still
- 320 different though not significant due to the limited sample size, with 85.0% of the unique variants and
- 321 57.1% of the recurrent variants having loads <5% (Fisher's exact test, p=0.29, Figure 3g), the majority
- of which located in the protein-coding regions inducing non-synonymous changes (Figure 3k).



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Figure 3. The differences in unique and recurrent variants are further maintained during 324 325 preimplantation development. a. Example of two sibling embryos carrying recurrent variants across 326 siblings (green dots), recurrent variants across blastomeres of the same embryo but not recurring 327 across siblings (black dots) and unique variants that are unique to one blastomere (red symbols). b. Distribution of recurrent across siblings, recurrent across blastomeres and unique variants in day-3 328 embryos based on their location or type. c. Distribution of recurrent and unique variants in day-5 329 330 blastocysts based on their location or type. d. Mutation rate per base for the recurrent across 331 siblings, recurrent across blastomeres and unique variants in the day-3 embryos. Variants in the hypervariable regions were more likely to be recurrent across blastomeres (Fisher's exact test, 332 333 p<0.0001) and variants in the rRNA regions were more likely to be unique (Fisher's exact test, p=0.0003). e. Mutation rate per base for the recurrent and unique variants in the day-5 blastocysts. 334 335 Variants in the hypervariable regions were more likely to be recurrent (Fisher's exact test, p=0.0047). **f.** Recurrent across siblings, recurrent across blastomeres and unique variants in the day-3 embryos categorized for their variant load. **g.** Recurrent and unique variants in the day-5 blastocysts categorized for their variant load. **h.** Variants recurrent across blastomeres in the day-3 embryos categorized for their load and distribution in the mtDNA. **i.** Unique variants in the day-3 embryos categorized for their load and distribution in the mtDNA. **j.** Recurrent variants in the day-5 blastocysts categorized for their load and distribution in the mtDNA. **j.** Recurrent variants in the day-5 blastocysts categorized for their load and distribution in the mtDNA. **i.** Unique variants in the day-5 blastocysts categorized for their load and distribution in the mtDNA.

343 The cells carrying unique mtDNA variants in embryos likely give rise to stable lineages in adult 344 individuals

345 In 38.7% of the bulk adult tissues, we found variants that recurred between at least two samples of 346 the same individual. These variants resembled the recurrent variants found in the oocytes and across 347 sibling embryos, with 45.5% located in the non-coding regions, 48.5% in the protein-coding sequences and only a small proportion in the rRNA/tRNA coding regions (6.1%) (Figure 4a). The 348 349 mutation rate per base was highest in the hypervariable region (Figure 4b), and most frequently had 350 loads >20% (Figure 4c). Further, there were no differences in the type of variants in function of their 351 variant load (Figure 4d). Also, 16.7% of the samples carried unique variants. Of these, 66.7% were 352 found in the non-coding regions while no variants were located in the rRNA/tRNA regions (Figure 4a), 353 and the mutation rate per base was as expected highest in the hypervariable region (Figure 4b). Of 354 the unique variants, 85.2% had loads <5%, which was significantly different compared to 24.2% of 355 the recurrent variants (Fisher's exact test, p<0.0001, Figure 4c), of which 65.2% were located in the non-coding regions (Figure 4e). In sum, the only difference between the recurrent and unique 356 357 variants in the bulk DNA samples was their heteroplasmic load.

358 Next, we studied single cells of two tissues of three individuals. In this part, we set the threshold for 359 variant calling at >5% to ensure a more conservative calling. The main reason for this is the higher 360 number of PCR cycles required to amplify the mtDNA of these cells, which could result in an increase in false positives. Of the adult single cells, 47.1% carried recurrent mtDNA variants (found in at least 361 362 two different cells from one individual, irrespective of the tissue of origin), while unique variants 363 appeared in 85.3% of cells. In total, we identified 19 recurrent variants and 642 unique variants in the 364 68 single cells. Furthermore, not all recurrent variants in the single cells were identified in the bulk 365 samples of the same tissues, as the average of the loads of all cells could drop below the sequencing 366 detection limit.

The location of the recurrent and unique variants in the adult single cells was very similar, with most variants located in coding and tRNA/rRNA loci, and this in contrast with the variants identified in the bulk tissues. Protein-coding variants were more frequent at the single-cell level than in the bulk 370 tissues (recurrent bulk 48.5% vs single-cell 68.4%, Chi-square, p<0.0001, unique bulk 33.3%. vs single-371 cell 83.3%, Chi-square, p<0.0001) reminiscent of the unique variants in the day-3 and day-5 372 blastocysts, suggesting that the cells carrying unique variants in the preimplantation embryos can give rise to stable lineages in terms of mtDNA variants in the adult individual. In the same line, there 373 374 were no differences between the recurrent and unique single-cell variants in mutation rate per base 375 across the different loci (Figure 4g) but these were significantly different to their counterparts in bulk 376 tissues, where the hypervariable region had the highest mutation rate per base. As in the bulk 377 tissues, recurrent variants tended to have higher variant loads than the unique variants, and no 378 differences were found in location according to heteroplasmic load (Figure 4h, Figure 4i, Figure 4j). 379 Finally, we controlled the recurrent and unique single-cell variants for the type of base pair change 380 they induce, and found no differences in the incidence of transitions, transversions or insertions and 381 deletions (Figure S1).





383 Figure 4. The cells carrying unique mtDNA variants in embryos give rise to stable lineages in adult

384 individuals. a. Distribution of recurrent and unique variants in adult bulk tissues based on their

385 location or type. **b.** Mutation rate per base for the recurrent and unique variants in adult bulk tissues. 386 c. Recurrent and unique variants in adult bulk tissues categorized for their variant load. d. Recurrent 387 variants in adult bulk tissues categorized for their load and distribution in the mtDNA. e. Unique 388 variants in adult bulk tissues categorized for their load and distribution in the mtDNA. f. Distribution of recurrent and unique variants in adult single cells based on their location or type. g. Mutation rate 389 390 per base for the recurrent and unique variants in adult single cells. h. Recurrent and unique variants 391 in adult single cells categorized for their variant load. i. Recurrent variants in adult single cells 392 categorized for their load and distribution in the mtDNA. j. Unique variants in adult single cells categorized for their load and distribution in the mtDNA. 393

394 DISCUSSION

In this study we deep-sequenced the mtDNA of a large cohort of human oocytes, blastomeres of day-396 3 embryos, small groups of cells of day-5 blastocysts, and single cells as well as bulk DNA of different 397 somatic adult tissues. We identified three different types of mtDNA variants based on their 398 recurrence or uniqueness across siblings, single cells or samples from the same individuals. These 399 types of variants are differently distributed throughout the regions of the mtDNA and show 400 differences in variant load.

401 In early development, the similarities between the low-load unique variants in the oocytes and the 402 unique variants in the day-3 and day-5 blastocysts on one hand, and between the recurrent variants 403 in the oocytes and recurrent across sibling embryos on the other hand leads us to propose the 404 following model of segregation for each type of variant (illustrated in Figure 5). The recurrent 405 variants across oocytes are the equivalent of the recurrent variants across sibling embryos (Figure 406 5a), while the variants that are recurrent restricted to one embryo are the equivalent of the unique 407 variants in oocytes that are found at higher heteroplasmic loads. We propose that both these 408 variants are homogeneously distributed in mitochondria of the ooplasm, and therefore 409 homogeneously segregated in the blastomeres throughout the cleavages (Figure 5b).

410 Finally, we hypothesize that variants that are unique to a single blastomere would originate from the 411 low-load unique variants in the oocytes (Figure 5c). This can be explained if these variants are found 412 in a low number of mitochondria that cluster together in the ooplasm. It is likely that these low-load unique variants in the oocyte arise postnatally during folliculogenesis due to replication of a 413 subpopulation of mtDNA molecules, as demonstrated in a mouse model, while the high-load unique 414 415 variants were already present in the primordial germ cells after the first bottleneck⁴⁰. This would explain why the low-load unique variants in the oocytes (and unique across blastomeres) are more 416 417 frequently non-synonymous and in rRNA/tRNA loci than the high-load unique variants in oocytes

418 (and recurrent across blastomeres), since the latter were subjected to the selecting effect of the
 419 germline bottleneck¹¹.

- During the first embryonic cleavages, this co-localization would result in an asymmetric distribution
 of mitochondria containing the variant, leading to an embryo that already presents mosaicism as
- 422 early as day 3 of development. This same pattern would continue through to the blastocyst stage,
- 423 where sister cells tend to remain in proximity of each other. These lineages persist throughout
- 424 development, and in the adult individual as supported by the study of Lee et al. in 2012⁴¹.



425

Figure 5. Proposed segregation model of recurrent and unique variants in the preimplantation 426 427 development. The figure shows the development of three oocytes of the same donor, resulting in 428 three sibling individuals. Mitochondria in red (a-c) are carrying variants that are recurrent across 429 sibling oocytes and individuals. The mitochondria in blue (b) carry unique variants at higher loads in 430 the oocyte and are homogenously distributed together with the red mitochondria in the ooplasm. 431 They remain in a similar distribution during development and become the variants found as unique in 432 one individual, but present in all their tissues. The mitochondria in green (c) carry unique variants at 433 lower loads and cluster in the ooplasm. These mitochondria will remain in close proximity to each 434 other during the cleavage stages and will be present in only one blastomere. This cell will then give 435 rise to a lineage of cells -that in the adult individual- manifests as a subpopulation of rare cells 436 carrying this same variant, potentially across different germ layers. This figure was created with 437 BioRender.

It is plausible that this type of segregation of mtDNA variants is a common event during the cleavagestages of mammalian development. Work on mice and Rhesus monkeys have shown that this type of segregation indeed occurs in the preimplantation period of these two models^{41,42}. Also, work on human embryos has shown that asymmetrical mitochondrial distribution can result in a proportion of
 blastomeres with a reduced mitochondrial pool⁴³, which could contribute to the fixing of mtDNA
 variants in the clonally derived blastomeres.

Despite having identified the unique variants throughout development, it is noteworthy that there is a decline in unique rRNA and tRNA variants from day 3 to day 5 of development. This suggests the existence of selection mechanisms during preimplantation development that filter out pathogenic variants. This selection has been observed later in the mouse development, where deleterious variants present in the oocyte and in the embryo were found to be selected against by unknown mechanisms occurring postimplantation and postnatally⁴⁴.

450 Next to possible selection mechanisms, the somatic bottleneck can cause a diverse population of 451 cells harboring different mtDNA heteroplasmic variants and at different loads to exist. We saw that 452 the variant load in adult single cells varies widely amongst cells of the same tissue. The number of 453 cells harboring variants at higher loads could exceed a certain tissue threshold and could increase the 454 risk of pathologies⁴⁵. This was already described in muscle fibers⁴⁶ and the heart⁴⁷.

Furthermore, our study confirmed the presence of tissue-specific variants. These variants were located in the non-coding regions and were in close proximity to regions that regulate the mtDNA replication. This is in line with the suggestion of Samuels et al.²² that these variants could have a beneficial effect on the mtDNA replication in the given tissue. For instance, variant m.72T>C was already described by two other research groups in liver and kidney tissue^{21,22}.

In conclusion, our work is the first to comprehensively describe mtDNA mosaicism in early human development and identifies a subgroup of low-load variants that may give rise to stable lineages of genetically diverse cells in the adult. We propose that these lineages appear due to asymmetrical distribution of mitochondria carrying mtDNA variants in the oocyte, which possibly appeared during folliculogenesis. Finally, future research will give us more insight on the mechanisms behind the asymmetrical distribution of variants in the oocyte and on the potential implications of this type of mosaicism in health and disease.

467 SUPPLEMENTAL DATA

468 **Table S1. Overview of sample characteristics included in this study.**

	Sample size
Oocytes	254
Donors	
Oocyte donors	44.5% (113)
ART Patients	55.5% (141)

Maturation stage	
M1	20.1% (51)
GV	37.4% (95)
M2	22.4% (57)
Unknown	20.1% (51)
Embryos	
Day-3 embryos	25
Donor couples	9
Blastomeres	158
Average number of	6.3
blastomeres per embryo	
Sibling embryos/pairs	22 of 6 couples
Day-5 blastocysts	7
Donor couples	6
Inner Cell Mass	7
Trophectoderm	10
Adult bulk tissues	
Donors	60
Age	18-55
Buccal	59
Blood	57
Urine	26
Adult single cells	
Donors	3
Age donor 1	26
Age donor 2	27
Age donor 3	55
Buccal	37
Urine	31

Table S2. Example of the variant composition in multiple oocytes from one donor.

Oocyte donor 17					Оос	yte				
Variant	1	2	3	4	5	6	7	8	9	10
m.11812A>G, MT-ND4	67.4	96.5	100.0	92.3	79.3	73.8	32.2	42.2	100.0	100.0

m.15616C>T, MT-CYB	33.9	3.6	0.0	7.7	19.8	21.3	68.0	63.1	0.0	0.0
m.204T>C, HV	0.0	0.0	2.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0
m.948T>C, MT-RNR1	0.0	0.0	0.0	0.0	0.0	2.8	0.0	0.0.	0.0	0.0
m.152T>C, HV	0.0	0.0	0.0	0.0	0.0	0.0	6.7	0.0	0.0	0.0
m.15119G>A, MT-CYB	0.0	0.0	0.0	0.0	0.0	0.0.	2.3	0.0	0.0	0.0
m.16069G>A, HV	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3.4	0.0
m.1010A>G, MT-RNR1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.2	0.0

471

Table S3. Example of the variant composition in multiple blastomeres from one day-3 embryo.

Day-3 Embryo 50	Blastomere					
Variant	1	2	3	4	5	6
m.16288T>C, HV	13.5	15.5	12.1	10.0	11.3	11.5
m.14914A>G, MT-CYB	14.2	15.3	11.8	10.0	11.2	12.3
m.204T>C, HV	2.1	2.9	2.5	2.9	2.0	2.9
m.808InsCG, MT-RNR1	16.8	0.0	0.0	0.0	0.0	0.0
m.873G>A, MT-RNR1	14.2	0.0	0.0	0.0	0.0	0.0
m.995A>G, MT-RNR1	0.0	0.0	0.0	0.0	0.0	3.6

Table S4. Example of the variant composition in multiple biopsies from one blastocyst.

Day-5 Embryo 3			
Variant	Inner Cell Mass	Trophectoderm 1	Trophectoderm 2
m.12831C>T, MT-ND5	23.1	27.8	22.2
m.1097G>A, MT-RNR1	12.0	0.0	0.0
m.6372T>C, MT-CO1	4.9	0.0	0.0
m.6123A>G, MT-CO1	2.4	0.0	0.0
m.6080A>G, MT-CO1	2.4	0.0	0.0
m.5606C>T, MT-TA	2.0	1.10	0.0
m.9672C>T, MT-CO3	0.0	2.7	0.0
m.8810C>T, MT-ATP6	0.0	2.1	0.0
m.5890C>T, MT-TY	0.0	0.0	5.2

474

Table S5. Example of the variant composition in multiple tissues from one individual.

Adult Bulk Tissue			
Oocyte donor 10			
Variant	Buccal	Blood	Urine

m.203G>A, HV	37.4	5.3	28.43
m.215A>G, HV	3.2	0.0	0
m.9525G>A, MT-CO3	1.5	4.0	2.16

476

477 Figure S1. Number of transitions, transversions and insertions and deletions (indel), categorized for

478 **recurrent and unique, found in adult single cells.** No differences were found in the different cohorts.



479

480 DECLARATION OF INTERESTS

481 The authors declare no competing interests.

482 WEB RESOURCES

- 483 mtDNA server https://mitoverse.i-med.ac.at/index.html#!run/mtdna-server%40v2.0.0
- 484 MitoWheel <u>http://www.mitowheel.org/mitowheel.html</u>
- 485 MutPred2 <u>http://mutpred.mutdb.org/</u>
- 486 BioRender <u>https://biorender.com/</u>

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492 DATA AND CODE AVAILABILITY

The dataset supporting the current study has not been deposited in a public repository because the participants who donated their genetic material did not agree to share their personal sequencing information when they signed informed consent. However, researchers can access the data from the corresponding author on request for further legal use. The data will be formatted in an anonymized

- 497 Microsoft Excel file where only the type of heteroplasmic variant, the variant load, the tissue of origin
- 498 and if the variant was recurrent across samples will be available.

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