1   Reference-free cell-type deconvolution of multi-cellular pixel-resolution spatially resolved

2   transcriptomics data

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20   **Abstract**

21   Recent technological advancements have enabled spatially resolved transcriptomic profiling but

22   at multi-cellular pixel resolution, thereby hindering the identification of cell-type-specific spatial

23   patterns and gene expression variation. To address this challenge, we developed STdeconvolve

24   as a reference-free approach to deconvolve underlying cell-types comprising such multi-cellular

25   pixel resolution spatial transcriptomics (ST) datasets. Using simulated as well as real ST datasets

26   from diverse spatial transcriptomics technologies comprising a variety of spatial resolutions such

27   as Spatial Transcriptomics, 10X Visium, DBiT-seq, and Slide-seq, we show that STdeconvolve

28   can effectively recover cell-type transcriptional profiles and their proportional representation

29   within pixels without reliance on external single-cell transcriptomics references. STdeconvolve

30   provides comparable performance to existing reference-based methods when suitable single-cell

31   references are available, as well as potentially superior performance when suitable single-cell

32   references are not available. STdeconvolve is available as an open-source R software package

33   with the source code available at https://github.com/JEFworks-Lab/STdeconvolve.

34

Introduction

Delineating the spatial organization of transcriptionally distinct cell-types within tissues is critical for understanding the cellular basis of tissue function[1]. Recent technologies have enabled spatial transcriptomic (ST) profiling within tissues at multi-cellular pixel-resolution[2]. As such, these ST measurements represent cell mixtures that may comprise multiple cell-types. This lack of single-cell resolution hinders the characterization of cell-type specific spatial organization and gene expression variation.

To address this challenge, several reference-based, supervised and semi-supervised deconvolution approaches have recently been developed to predict the proportion of cell-types within ST pixels. Of these, SPOTlight[3] uses cell-type marker genes derived from a single-cell RNA-sequencing (scRNA-seq) reference to seed a non-negative matrix factorization. RCTD[4] uses the cell-type specific mean expression of marker genes derived from a scRNA-seq reference to build a probabilistic model of the contribution of each cell-type to the observed gene counts in each pixel. SpatialDWLS[5] uses cell-type signature genes derived from a scRNA-seq reference to first enrich for cell-types likely to be in each pixel, then applies a dampened weighted least squares approach to infer the cell-type composition. DSTG[6] uses synthetic pseudo-mixtures of scRNA-seq references to train a semi-supervised graph-based convolutional network. As such, these approaches rely on the availability of a suitable single-cell reference, which may present limitations if such a reference does not exist due to budgetary, technical[7], or biological limitations[8]. While the rise of scRNA-seq references through atlasing efforts such as the BRAIN Initiative Cell Census Network (BICCN)[9], the Human BioMolecular Atlas Program (HuBMAP)[10], and Human Cell Atlas[11] may help alleviate such limitations particularly for healthy tissues, processing independent tissue samples or different sections of the same tissue

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58   may still result in systematically different gene expression quantifications due to batch effects as

59   well as inter- and intra-sample heterogeneity. Additionally, difficulties dissociating and capturing

60   certain cell-types via single-cell sequencing may result in missing or inconsistent cell-types

61   between scRNA-seq references and ST datasets[12,13]. Further, scRNA-seq references and ST

62   datasets may be affected by different perturbations manifesting as distinct transcriptional

63   differences affecting reference-based deconvolution accuracy and subsequent biological

64   interpretations. As such, a reference-free deconvolution approach provides an alternative strategy

65   for deconvolving cell-types when an appropriate reference is not available.

66   Here, we developed STdeconvolve (available at https://github.com/JEFworks-

67   Lab/STdeconvolve and as Supplementary Software) as a reference-free, unsupervised approach

68   for deconvolving multi-cellular pixel resolution ST data (Figure 1). STdeconvolve builds on

69   latent Dirichlet allocation (LDA), a generative statistical model commonly used in natural

70   language processing for discovering latent topics in collections of documents. In the context of

71   natural language processing, given a count matrix of words in documents, LDA infers the

72   distribution of words for each topic and the distribution of topics in each document. In the

73   context of ST data, given a count matrix of gene expression in multi-cellular ST pixels,

74   STdeconvolve applies LDA to infer the putative transcriptional profile for each cell-type and the

75   proportional representation of each cell-type in each multi-cellular ST pixel (Methods). While

76   LDA has previously been applied in the context of deconvolving cell-types in bulk RNA-seq

77   data[14], STdeconvolve leverages several unique features of ST data that make this application of

78   LDA particularly amenable (Supplementary Note 1). Briefly, these include i) the limited number

79   of cells and cell-types represented in each ST pixel, ii) the limited impact of batch effects on the

80   measured gene expression across pixels, iii) the large number of pixels compared to cell-types,

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81   and iv) the likely heterogeneity of cell-type proportional distribution across pixels in tissues. To

82   improve the application of LDA, where latent cell-types are characterized by co-expressed and

83   ideally non-overlapping groups of genes, STdeconvolve feature selects for such genes likely to

84   be informative of latent cell-types. Specifically, STdeconvolve selects for significantly

85   overdispersed genes, or genes with higher-than-expected expression variance across pixels[15]

86   (Methods). In addition, as the application of LDA requires the number of transcriptionally

87   distinct cell-types, K, to be set *a priori*, STdeconvolve provides several data-driven metrics to

88   guide the estimation of an appropriate K (Methods, Supplementary Note 2).

89

90   **Results**

91   **STdeconvolve accurately recovers cell-type proportions and transcriptional profiles in**

92   **simulated ST data**

93   As a proof of concept, we first evaluated the performance of STdeconvolve in recovering

94   the proportional representations of cell-types and their transcriptional profiles using simulated

95   ST data. We simulated ST data by aggregating the gene expression of cells from single-cell

96   resolution multiplex error-robust fluorescence *in situ* hybridization (MERFISH) data of the

97   mouse medial pre-optic area (MPOA)[16] within spatially contiguous pixels. Previously,

98   MERFISH was previously applied to map the spatial distribution of 135 select genes within

99   MPOA brain tissue. These select 135 genes were chosen to distinguish between major non-

100   neuronal cell-types as well as neuronal subtypes. Imaging-based cell segmentation was

101   performed and the counts of genes per cell were quantified to achieve single-cell resolution

102   spatially resolved transcriptomic profiling. Subsequent transcriptional clustering analysis on the

103   single-cell resolution gene expression measurements identified 9 major cell-types, including

104   excitatory and inhibitory neurons. Further clustering found that these excitatory and inhibitory

105   neurons could be subdivided into 69 finer neuronal sub-types.

106   To simulate multi-cellular pixel-resolution ST data, we aggregated the single-cell

107   resolution MERFISH data into 100 μm^2 pixels (Figure 2A, Supplementary Figure S1A-B,

108   Methods). Given the already limited 135 gene panel chosen to distinguish between cell-types,

109   additional feature selection for this dataset was not necessary (Supplementary Note 3). Applying

110   STdeconvolve, we identified K=9 cell-types and deconvolved their proportional representation

111   and transcriptional profiles in each simulated pixel (Figure 2B, Supplementary Figure S1C, S2A,

112   Supplementary Methods). To infer the identities of the deconvolved cell-types for benchmarking

113   purposes, we matched their deconvolved transcriptional profiles with the transcriptional profiles

114   of ground truth cell-types by testing for enrichment of ground truth cell-type specific marker

115   genes (Methods, Supplementary Figure S2B). We observed strong correlations between the

116   transcriptional profiles of each deconvolved cell-type and matched ground truth cell-type across

117   genes (Figure 2C). Likewise, we observed strong correlations between the proportions of each

118   deconvolved cell-type and matched ground truth cell-type across simulated pixels (Figure 2D).

119   We further quantified this performance using the root-mean-square-error (RMSE) of the

120   deconvolved cell-type proportions compared to ground truth across simulated pixels (Methods,

121   Figure 2E). In this manner, STdeconvolve can accurately recover the proportional representation

122   and transcriptional profiles of major cell-types.

123

124   **STdeconvolve achieves competitive performance to reference-based, supervised**

125   **deconvolution approaches**

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126   We next sought to compare the performance of STdeconvolve to existing reference-

127 based, supervised and semi-supervised deconvolution approaches SPOTlight, RCTD,

128 spatialDWLS, and DTSG using our simulated 100 μm^2 resolution ST data of the MPOA. As

129 described previously, these approaches require a single-cell transcriptomics reference for

130 deconvolution. As an ideal single-cell transcriptomics reference, we used the original single-cell

131 MERFISH data that was used to construct the simulated ST data (Supplementary Figure S3A,

132 Supplementary Methods). We again quantified the performance of each approach using the

133 RMSE of the deconvolved cell-type proportions compared to ground truth across simulated

134 pixels. DSTG was unable to deconvolve distinct cell-types in the data and was omitted from

135 further comparison (Supplementary Figure S3A). In general, we find the performance of

136 STdeconvolve to be comparable to these reference-based deconvolution approaches when such

137 an ideal single-cell transcriptomics reference is used (Figure 2E-F).

138   One potential limitation of such existing reference-based deconvolution approaches is

139 their reliance on a suitable single-cell transcriptomics reference. We thus sought to evaluate the

140 performance of these reference-based deconvolution approaches when a suitable single-cell

141 reference is not available. To this end, we removed excitatory and inhibitory neuronal cell-types

142 to simulate a less suitable single-cell transcriptomics reference (Supplementary Methods). We

143 then deconvolved the simulated ST data of the MPOA using each reference-based deconvolution

144 approach with this new reference and computed the RMSE across pixels. Because STdeconvolve

145 does not use a reference, its performance does not change. However, the performance for all

146 reference-based deconvolution approaches resulted in a significantly higher RMSE (Diebold-

147 Mariano p-value $< 2.2 \times 10^{-16}$) than STdeconvolve (Figure 2G). Likewise, pixels previously

148 comprised of neurons were now erroneously predicted by reference-based deconvolution

149 approaches to be comprised primarily of immature oligodendrocytes (Supplementary Figure

150 S3B). In addition, we evaluated the performance of each reference-based deconvolution

151 approach after removing rarer ependymal cells from the single-cell transcriptomics reference.

152 Again, given this less suitable single-cell transcriptomics reference, pixels previously comprised

153 of ependymal cells were now erroneously predicted by reference-based deconvolution

154 approaches to be comprised primarily of astrocytes (Supplementary Figure S3C). Thus, the

155 performance of reference-based deconvolution approaches is sensitive to differences in cell-type

156 composition between the ST data and the single-cell transcriptomics reference used.

157 Likewise, such an ideal single-cell transcriptomics reference that optimally matches the

158 cell-type composition and measurement sensitivities of the ST data to be deconvolved may not

159 be available. Therefore, this ideal MERFISH MPOA single-cell transcriptomics reference likely

160 provides an upper bound on performance for reference-based deconvolution approaches. To

161 provide a more realistic evaluation of performance for reference-based deconvolution

162 approaches, we sought to deconvolve our simulated ST data of the MPOA using a scRNA-seq

163 reference from a mouse brain atlasing effort[17]. Again, as a reference-free deconvolution

164 approach, the performance of STdeconvolve does not change. However, again, the performance

165 for all reference-dependent methods resulted in a significantly higher RMSE (Diebold-Mariano

166 p-value $< 2.2 \times 10^{-16}$) than STdeconvolve (Figure 2H, Supplementary Methods). Thus,

167 STdeconvolve achieves comparable performance to reference-based, supervised deconvolution

168 approaches when an ideal single-cell transcriptomics reference is used, and potentially better

169 performance when an ideal single-cell transcriptomics reference is not used.

170

171 **STdeconvolve recovers perturbation specific gene expression profiles**

172   Though reference-based deconvolution approaches may accurately recover cell-type

173   proportions in ST data, they currently do not deconvolve cell-type specific gene expression

174   profiles. Nonetheless, perturbations may induce cell-type-specific transcriptional changes in ST

175   data that would not be identifiable by current reference-based deconvolution approaches unless

176   perturbation-matched single-cell transcriptomics references are used. While the availability of

177   scRNA-seq references grows due to single-cell atlasing initiatives, these datasets primarily

178   represent collections of healthy tissues[9-11,18,19]. As such, there is a particular scarcity of suitable

179   scRNA-seq references available for reference-based deconvolution of ST data in the context of

180   disease and other perturbations.

181   In contrast to current reference-based deconvolution approaches, STdeconvolve can

182   estimate cell-type transcriptional profiles in a manner that is not constrained by the expression

183   profiles of specific cell-types defined in single-cell transcriptomics references. We therefore

184   sought to explore the potential of STdeconvolve in detecting these perturbation-driven cell-type-

185   specific gene expression changes using simulated ST data from mixtures of single cells assayed

186   by scRNA-seq (Figure 3A, Supplementary Methods). Briefly, we took advantage of scRNA-seq

187   data previously collected from mammary tissues of aged and young mice[20]. Previous

188   transcriptional clustering analysis revealed a subpopulation of macrophages with age-associated

189   gene expression changes. Specifically, aged macrophages upregulated *Cd274* and *Clec4d*, and

190   downregulated *Coro1a* compared to young macrophages. Therefore, we simulated ST data of

191   aged tissue using mixtures of aged macrophages and other luminal cells and ST data of young

192   tissue using mixtures of young macrophages and other luminal cells (Figure 3B). We then sought

193   to evaluate the ability of STdeconvolve to recover these age-associated gene expression changes

194   in macrophages (Supplementary Methods). Applying STdeconvolve using *K*=2 cell-types to the

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195   simulated ST data of both aged and young tissue, we found that the deconvolved transcriptional

196   profiles were highly correlated with the matched ground truth gene expression profiles from

197   scRNA-seq in all cases (Supplementary Figure S4A-B). Further, when we compared the

198   deconvolved transcriptional profiles of aged versus young macrophages, we were able to identify

199   upregulated genes included *Cd274* and *Clec4d*, and downregulated genes included *Coro1a*,

200   consistent with the original study (Figure 3C). Thus, STdeconvolve can potentially recover

201   perturbation-driven cell-type-specific gene expression changes in ST data.

202

203   **Deconvolution provides distinct insights compared to clustering analysis**

204   Generally, we note that deconvolution of multi-cellular pixel resolution ST data can

205   provide distinct insights from clustering analysis. To demonstrate this, we again simulated ST

206   data using mixtures of single cells assayed by scRNA-seq (Supplementary Methods).

207   Specifically, we simulated ST pixels comprised of mixtures of either luminal cells and pericytes

208   or pericytes and macrophages (Figure 3D). Applying clustering analysis to these ST pixels, we

209   identified 2 clusters corresponding to either mixtures of luminal cells and pericytes or mixtures

210   of pericytes and macrophages (Figure 3E). In contrast, applying STdeconvolve with K=3, we

211   were able to recover the proportional representations of luminal cells, pericytes, and

212   macrophages as well as their original cell-type specific transcriptional profiles (Figure 3F).

213   Such differences between deconvolution and clustering analysis extends to resolution

214   enhancing clustering approaches such as BayesSpace[21]. Briefly, BayesSpace utilizes a spatial

215   prior that encourages spatially neighboring pixels to cluster into the same transcriptional cluster.

216   Enhanced resolution clustering is obtained after subdividing each pixel and modeling the

217   expression profiles of the subpixels as additional latent parameters estimated in the Bayesian

218   model. Applying BayesSpace with 3 clusters to our simulated ST data, we obtained 3 spatially

219   discrete clusters corresponding to different mixtures of luminal cells and pericytes and mixtures

220   of pericytes and macrophages (Figure 3G, Supplementary Methods). Compared to

221   STdeconvolve, both regular transcriptional clustering and resolution enhanced clustering with

222   BayesSpace exhibited significantly higher RMSE (Diebold-Mariano p-value $< 2.2 \times 10^{-16}$)

223   (Figure 3H).

224   To further demonstrate the difference between deconvolution and clustering analysis for

225   ST data, we again simulated ST data using a single-cell resolution MERFISH dataset of a

226   coronal section of the mouse brain[22]. We analyzed the single-cell resolution transcriptional

227   profiles to identify 20 transcriptionally distinct cell-types and again simulated multi-cellular

228   pixel-resolution ST data by aggregating the single cells into 100 μm^2 pixels (Figure 3I,

229   Supplementary Figure S5A-B, Supplementary Methods). The organization of cell-types within

230   the mouse brain is highly complex with many regions including the thalamus at the central

231   region of this coronal section being composed of mixtures of multiple transcriptionally distinct

232   cell-types. We thus sought to evaluate whether STdeconvolve could better recover the

233   proportional representation of cell-types compared to resolution enhanced clustering with

234   BayesSpace. Applying both STdeconvolve and BayesSpace, we generally recover the cell-type

235   pixel proportions and visually recapitulate the spatial organization of cell-types within various

236   brain structures (Figure 3J-K, Supplementary Methods). However, focusing in on the central

237   region of the coronal section encompassing the thalamus, we indeed saw a visual difference

238   between the spatial organization of cell-types recovered by deconvolution via STdeconvolve

239   compared to resolution enhanced clustering via BayesSpace (Figure 3J-K inset). Quantifying

240   performance, BayesSpace exhibited significantly higher RMSE compared to STdeconvolve

241 (Diebold-Mariano p-value $< 2.2 \times 10^{-16}$) as a whole (Supplementary Fig S5C, though more

242 discernably in the thalamus region (Figure 3L). Taken together, deconvolution approaches such

243 as STdeconvolve can provide distinct results from clustering and resolution enhanced clustering

244 approaches when applied to multi-cellular pixel resolution data.

245

246 **STdeconvolve characterizes the spatial organization of transcriptionally distinct cell-types**

247 **in real ST data**

248 Having demonstrated the capacity of STdeconvolve to recover cell-type proportions and

249 transcriptional profiles in simulated ST data, we next sought to evaluate the performance of

250 STdeconvolve by analyzing real 100 μm^2 resolution ST data of the mouse main olfactory bulb

251 (MOB)[23]. The MOB consists of multiple bilaterally symmetric and transcriptionally distinct cell

252 layers due to topographically organized sensory inputs[24]. While previous clustering analysis of

253 ST data of the MOB revealed coarse spatial organization of coarse cell layers, finer structures

254 such as the rostral migratory stream (RMS) could not be readily observed (Supplementary Figure

255 S6A-B). We applied STdeconvolve to identify K=12 cell-types (Figure 4A, Supplementary

256 Figure S6C, Supplementary Methods) that either overlapped with or further split coarse cell

257 layers previously identified from clustering analysis (Supplementary Figure S6D). In particular,

258 deconvolved cell-type X7 overlapped with the granule cell layer previously identified from

259 clustering analysis and was spatially placed where the RMS is expected[25] (Figure 4B).

260 Upregulated genes in its deconvolved transcriptional profile, including *Nrep*, *Sox11,* and *Dcx*, are

261 known to be associated with neuronal differentiation and upregulated in neuronal precursor cells

262 within the RMS[26] (Figure 4C, Supplementary Figure S6E). Higher resolution ISH staining of

263 these genes further demarcates a region within the granule cell layer where the RMS is

264   expected[19] (Figure 4D). This suggests that deconvolved cell-type X7 may correspond to the

265   neuronal precursor cell-type within the RMS unidentified from clustering analysis.

266   To further evaluate the biological reproducibility of deconvolved cell-types, we applied

267   STdeconvolve independently to 3 additional biological replicates of ST data of the MOB

268   (Supplementary Methods). In each biological replicate, STdeconvolve consistently identified

269   approximately 12 cell-types (Supplementary Figure S7A). Transcriptional profiles between

270   deconvolved cell-types were also highly correlated across biological replicates (Supplementary

271   Figure S7B-D). This suggests that STdeconvolve can reliably deconvolve consistent cell-types,

272   even across biological replicates.

273   As noted previously using simulated ST data, the performance of reference-based

274   deconvolution approaches is sensitive to differences in cell-type composition between the single-

275   cell transcriptomics reference and the ST data to be deconvolved. To demonstrate this with real

276   ST data, we first compared STdeconvolve and reference-based deconvolution approaches using

277   an appropriate MOB scRNA-seq reference[27] (Supplementary Methods). We found strong

278   correlations between cell-type proportions estimated by STdeconvolve and other reference-based

279   deconvolution approaches with a high degree of correspondence among all evaluated methods

280   (Supplementary Figure S8A-B). Notably, the proportion and transcriptional profile of

281   deconvolved cell-type X8 identified by STdeconvolve to be enriched in the olfactory nerve layer

282   correlated strongly with the proportion of olfactory ensheathing cells (OECs) identified by the

283   reference-based deconvolution approaches.

284   Next, to simulate a less suitable scRNA-seq reference, we removed OECs from the MOB

285   scRNA-seq reference and again evaluated the performance of reference-based deconvolution

286   approaches given this new scRNA-seq reference without OECs (Supplementary Methods).

13

287   Again, as a reference-free deconvolution approach, the results of STdeconvolve do not change.

288   However, for some reference-based deconvolution approaches, given this new reference without

289   OECs, pixels in the olfactory nerve layer previously comprised of OECs were now predicted to

290   be comprised of N2 cells (Supplementary Figure S9A). Although we do not know the ground

291   truth cell-type composition of this olfactory nerve layer, we have reasons to believe that this

292   placement of N2 cells is erroneous. First, when a scRNA-seq reference with OECs was used,

293   reference-based deconvolution approaches generally estimated N2 cells to be relatively rare.

294   However, when a scRNA-seq reference without OECs was used, reference-based deconvolution

295   approaches substantially increased their estimated abundance of N2 cells (Supplementary Figure

296   S9B). Second, while the transcriptional profiles of OECs and N2 cells are highly correlated

297   (Supplementary Figure S9C), the two cell-types exhibit significant transcriptionally differences.

298   For example, top differentially upregulated genes in OECs are highly expressed in the olfactory

299   nerve layer (Supplementary Figure S8C) whereas top differentially upregulated genes in N2 cells

300   are not well detected in the olfactory nerve layer (Supplementary Figure S9D). This lack of

301   detection of N2 cell marker genes within the olfactory nerve layer coupled with the rarity of N2

302   cells in the original reference-based deconvolution with OECs suggests that the placement of N2

303   cells in the olfactory nerve layer by reference-based deconvolution approaches when using a

304   reference without OECs is erroneous.

305   Further, a single-cell transcriptomics reference may not always exist for the same tissue

306   from which ST data was generated, prompting the use of a reference from a related but

307   inherently different tissue source. To evaluate the potential effect of using a single-cell

308   transcriptomics reference from a different tissue source on reference-based deconvolution

309   approaches, we sought to deconvolve the MOB ST data using the scRNA-seq reference from the

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310   mouse brain described previously. Given this mouse brain reference, pixels in the olfactory nerve

311   layer previously comprised of OECs were now predicted to be comprised of vascular

312   leptomeningeal cells (VLMC) (Supplementary Figure S10A). Again, although we do not know

313   the ground truth cell-type composition of this olfactory nerve layer, top differentially upregulated

314   genes in VLMCs are not well detected in the MOB (Supplementary Figure S10B) and are

315   therefore likely not truly present. Taken together, all this suggests that reference-based

316   deconvolution approaches are sensitive to the cell-types represented in the single-cell

317   transcriptomics reference that is used, which may lead to inaccurate results and spurious cell-

318   type assignments when a suitable reference is not available.

319

320   **STdeconvolve is applicable across diverse ST dataset resolutions and technologies**

321   We anticipate that continual technological improvements will enhance the resolution of

322   ST data. Already, ST technologies such as Visium (10X Genomics), Slide-seq[28], and DBiT-seq[29]

323   have achieved resolution that can range from 50 μm^2 to 10 μm^2. Therefore, we sought to

324   evaluate the performance of STdeconvolve on higher resolution ST data using both simulated as

325   well as real ST data from higher resolution ST technologies including Visium, Slide-seq, and

326   DBiT-seq.

327   First, to simulate higher resolution ST data, we again aggregated single-cell resolution

328   MERFISH data of the MPOA into 50, 20, and 10 μm^2 resolution pixels. Applying

329   STdeconvolve, we observed similarly strong correlations between the deconvolved cell-type

330   transcriptional profiles and proportions with the ground truth (Supplementary Figure S11A-D).

331   Although the number of cells in each multi-cellular pixel did decrease as the resolution of the

332   pixel increased as expected, we note that even higher resolution pixels may still contain multiple

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333   cells representing multiple cell-types (Supplementary Figure S11E-F). Thus, deconvolution may

334   still be applicable to higher resolution ST data and STdeconvolve can still accurately deconvolve

335   cell-types within these higher resolution multi-cellular pixels.

336   Encouraged by STdeconvolve's ability to recover cell-types in simulated high-resolution

337   ST data, we then applied STdeconvolve to real high-resolution multi-cellular ST data from

338   several different technologies. First, we applied STdeconvolve to 50 μm^2 resolution ST data of a

339   coronal section of the mouse brain from 10X Visium[30]. Briefly, for 10XVisium, mRNAs from

340   tissue sections are captured onto an array of DNA barcoded spots, resulting in RNA-sequencing

341   measurements with gridded 2D spatial positional information. We applied STdeconvolve to

342   identify K=20 cell-types that exhibit spatially distinct patterns that demarcate known brain

343   structures such as the isocortex and fiber tracts (Figure 4E, Supplementary Figure S12,

344   Supplementary Methods).

345   We next applied STdeconvolve to 25 μm^2 resolution ST data of the lower body of the

346   E11 mouse embryo from DBiT-seq. Briefly, for DBiT-seq, parallel microfluidic channels are

347   used to deliver DNA barcodes to the surface of a tissue to enable direct barcoding of mRNAs *in*

348   *situ*, resulting in RNA-sequencing measurements in a 2D mosaic of spatial pixels. Previously, the

349   authors identified 13 transcriptionally and spatially distinct features in the E11 mouse embryo

350   including the atrium, ventricle, liver, and blood vessels containing erythrocyte coagulation.

351   Applying STdeconvolve with K=13, we identify deconvolved cell-types that corresponded with

352   similar spatially distinct features in agreement with the original findings (Figure 4F,

353   Supplementary Figure S13A, Supplementary Methods). Moreover, the top genes in the

354   deconvolved cell-type specific transcriptional profiles contained the expected marker genes of

355   the matching features, such as *Myh6* for the atrium, *Myh7* for the ventricle, *Apoa2* for the liver,

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356   and *Hba.a2* for the blood vessels containing erythrocyte coagulation in agreement with the

357   original findings (Supplementary Figure S13B).

358   Finally, we applied STdeconvolve to 10 μm^2 resolution ST data of the mouse cerebellum

359   from Slide-seq. Briefly, for Slide-seq, mRNAs from tissue sections are captured onto densely

360   packed barcoded beads, resulting in RNA-sequencing measurements with 2D spatial positional

361   information. Previously, RCTD was also applied to this Slide-seq dataset with a matched Drop-

362   seq scRNA-seq reference of the mouse cerebellum[31] to identify beads representing a distinct

363   layers of Purkinje neurons and Bergmann glia. Applying STdeconvolve, we identified K=14 cell-

364   types (Figure 4G, Supplementary Methods) whose transcriptional profiles correlated strongly

365   with cell-types from the scRNA-seq dataset of the mouse cerebellum (Supplementary Figure

366   S14A). In particular, we found that the deconvolved transcriptional profiles of cell-type X4 and

367   cell-type X2 correlated strongly with the transcriptional profiles of Purkinje neurons and

368   Bergmann glia. Likewise, the deconvolved proportional representation of cell-type X4 and cell-

369   type X2 also agreed significantly (Fisher's Exact p-value $< 2.2 \times 10^{-16}$) with the predicted

370   proportions of Purkinje neuron and Bergmann glia from RCTD (Supplementary Figure S14B-C).

371   Taken together, these results indicate that STdeconvolve can be applicable to a range of multi-

372   cellular resolution ST technologies.

373   As the resolution of ST data improves, the number of spatially resolved pixels and cell-

374   types represented in the data will presumably also increase. We therefore sought to evaluate the

375   scalability of STdeconvolve in anticipation of these increasingly larger datasets. To this end, we

376   benchmarked the runtime and total memory usage by STdeconvolve when deconvolving varying

377   numbers of cell-types using varying numbers of genes across varying numbers of pixels

378   (Methods). We found that both the runtime and memory usage by STdeconvolve increased

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379   linearly with the number of pixels and genes in the input dataset (Supplementary Figure S15A)

380   and is comparable to existing reference-based deconvolution methods when applied to current

381   ST datasets[5]. Likewise, runtime scales with the number of deconvolved cell-types K in the input

382   dataset though memory usage remains stable (Supplementary Figure S15B). To enhance runtime

383   efficiency, STdeconvolve has built in parallelization. In this manner, we anticipate that

384   STdeconvolve will be amenable to larger ST data.

385

STdeconvolve identifies immune infiltrates in breast cancer

387   Finally, to demonstrate the potential of an unsupervised, reference-free deconvolution

388   approach, we applied STdeconvolve to 100 μm^2 resolution ST data of 4 breast cancer sections[32].

389   Here, a matched scRNA-seq reference was not available and using a scRNA-seq reference from

390   another breast cancer sample may be inappropriate due to potential inter-tumoral heterogeneity[33].

391   Transcriptional clustering of the ST pixels previously identified 3 transcriptionally distinct

392   clusters that corresponded to 3 histological regions of the tissue: ductal carcinoma *in situ* (DCIS),

393   invasive ductal carcinoma (IDC), and non-malignant[32] (Figure 5A, Supplementary Figure 16A-

394   B). However, the tumor microenvironment is a complex milieu of many additional cell-types[34].

395   We thus applied STdeconvolve to identify potential additional cell-types and interrogate their

396   spatial organization, resulting in K=15 identified cell-types (Figure 5B, Supplementary Figure

397   S16C, Online Methods). Of these, deconvolved cell-types X3 and X13 pixel proportions

398   corresponded spatially with pixels annotated as the non-malignant and DCIS regions,

399   respectively (Supplementary Figure S16D). Likewise, the deconvolved expression profiles for

400   X3 and X13 included *KRT1*, a keratin gene specifically expressed in mammary myoepithelial

401   cells[35], and *PRSS23*, a serine protease associated with proliferation of breast cancer cells[36],

18

402 respectively, consistent with the non-malignant and DCIS annotations (Supplementary Figure

403 S17). Interestingly, the deconvolved expression profile for cell-type X15 included immune genes

404 such as *CD74* and *CXCL10* (Figure 5C-E, Supplementary Figure S18). Gene set enrichment

405 analysis also suggested that genes in the deconvolved expression profile for cell-type X15 was

406 significantly enriched in immune processes such as T cell activation (Supplementary Table S1,

407 Online Methods). This suggests that deconvolved cell-type X15 may correspond to immune

408 infiltrates. Further, we find a significant the number of pixels with a high proportion of

409 deconvolved cell-type X15 corresponding to IDC regions (Fisher's exact p-value = 0.001257)

410 based on previous clustering and pathology annotations. In contrast, we do not see a significant

411 number of pixels with a high proportion of deconvolved cell-type X15 corresponding to DCIS

412 regions (Fisher's exact p-value = 0.5625). This is consistent with previous observations that

413 when comparing pure DCIS and IDC, infiltration of immune cells was significantly higher in

414 IDC to pure DCIS[37,38].

415   The spatial organization of immune cells within tumors has been previously implicated to

416 be relevant in breast cancer prognosis[39]. In particular, whether immune cells are infiltrated or

417 excluded from the tumor is associated with tumor microenvironments that stratify patient

418 outcomes[40]. To evaluate whether STdeconvolve may be able to distinguish infiltrated versus

419 excluded spatial organization of immune cells in tumors, we simulated ST data representing

420 infiltrated and excluded spatial organizations using mixtures of single cells assayed by scRNA-

421 seq (Figure 5F, Online Methods). In both the simulated infiltrated and excluded cases, we find

422 that STdeconvolve can effectively recover the cell-type transcriptional profiles (Figure 5G) and

423 enable the quantification of immune infiltration to help distinguish between infiltrated versus

424 excluded spatial organization of immune cells (Figure 5H). Therefore, we anticipate that

425   STdeconvolve may be able to assist in deconvolving cell-types in heterogeneous cancer tissues

426   to recover potentially clinically interesting spatial organizational patterns.

427

428   **<u>Discussion</u>**

429   Multi-cellular pixel-resolution ST technologies have enabled high-throughput

430   transcriptomic profiling of small mixtures of cells within tissues but accurate identification of the

431   underlying cell-types within each pixel is critical for elucidating cell-type specific spatial

432   organizational patterns and gene expression variation. Although several deconvolution methods

433   have already been developed to address this challenge, they currently rely on suitable single-cell

434   transcriptomics references. As we have shown, this reliance on single-cell transcriptomics

435   references constrains the spatial mapping of cell-types to those in the reference, which may

436   present limitations if there are missing cell-types, mismatched cell-types, perturbations, and

437   batch effect differences between the single-cell transcriptomics reference and ST data to be

438   deconvolved. Here, we have presented STdeconvolve, a reference-free computational approach

439   to deconvolve cell-type proportions and their transcriptional profiles in multi-cellular pixel

440   resolution ST data. We have demonstrated that STdeconvolve can accurately recover underlying

441   cell-type proportions and their transcriptional profiles across a range of different ST technologies

442   and resolutions. STdeconvolve further provides competitive performance to reference-based

443   deconvolution approaches when an ideal single-cell transcriptomics reference is available and

444   potentially better performance in more realistic circumstances where such an ideal reference is

445   not available. Additionally, we showed the advantage of deconvolution over clustering-based

446   analysis methods to interrogate heterogeneous mixtures of cell-types. Likewise, using simulated

447   ST data of aged-perturbed tissues, we showed that STdeconvolve can recover perturbation-

20

448   driven cell-type-specific gene expression changes. Finally, we applied STdeconvolve to identify

449   putative immune infiltration in real and simulated breast cancer ST data.

450   Though we have shown that STdeconvolve can effectively recover cell-type proportions

451   and transcriptional profiles in simulated and real ST data, its use of LDA modeling relies on

452   several underlying assumptions, which may present limitations when these assumptions are not

453   satisfied. Notably, the performance of LDA in accurately deconvolving cell-types depends on the

454   size of the dataset with respect to the number of pixels and the number of genes[41]. As such,

455   deconvolution accuracy generally decreases for ST data containing fewer than 10 pixels

456   (Supplementary Figure S19). While we have generally found the number of pixels in most ST

457   datasets to be well beyond 10 pixels after quality control filtering, the application of ST to profile

458   tissue slivers or other thin structures covering only a few pixels may present challenges to

459   deconvolution by STdeconvolve. Further, LDA modeling attempts to identify tightly occurring,

460   and ideally non-overlapping groups of genes in the pixels as cell-types. In this manner, if genes

461   do not exhibit variability across pixels due to a homogeneous or uniform proportional

462   representation of cell-types across pixels (Supplementary Figure S20), STdeconvolve may fail to

463   deconvolve distinct cell-types. Likewise, if the gene expression in the ST data is too sparse with

464   high rates of stochastic drop-outs[42], then the LDA model may struggle to identify distinct groups

465   of co-expressed genes and as such STdeconvolve may also struggle to deconvolve distinct cell-

466   types as well. Still, when such failures happen, STdeconvolve will indicate to users when distinct

467   cell-types are not detected.

468   Although we have demonstrated the applicability of STdeconvolve to high resolution

469   multi-cellular pixel resolution ST data, as the resolution of ST data continues to increase, sub-

470   cellular pixel-resolution ST technologies will also become more accessible. Already, a number

21

471   sub-cellular pixel-resolution ST technologies have emerged[43-47]. As the capture efficiency at this

472   resolution and likewise the biological questions of interest may differ substantially from multi-

473   cellular ST data, we anticipate that new methods specifically suited for sub-cellular resolution ST

474   data will be needed. Thus, STdeconvolve may not be best suited to analysis of such sub-cellular

475   resolution ST data. Still, as we have noted previously, even as the resolution of ST data

476   increases, some pixels may still contain multiple cells representing multiple cell-types,

477   suggesting that deconvolution may still be necessary. Likewise, the number of cells present in a

478   pixel ultimately will depend on cell size, which can vary depending on the organism, tissue,

479   and/or disease state being profiled. Ultimately, we believe that there will be a need to balance

480   between resolution and throughput of ST technologies depending on the biological question of

481   interest. The potentially larger tissue regions able to be covered by multi-cellular pixel resolution

482   ST data may still be of interest and thus still require deconvolution. We anticipate that

483   STdeconvolve will be applicable to data from a variety of current and future ST technologies as

484   well as potentially inferred ST data[48] to reveal cell-type specific spatial organizational patterns

485   and transcriptional changes. In general, we foresee that reference-free deconvolution approaches

486   such as STdeconvolve will contribute to the interrogation of the spatial relationships between

487   transcriptionally distinct cell-types in heterogeneous tissues.

488

489   **Methods**

490

491   **STdeconvolve Overview**

492   STdeconvolve uses latent Dirichlet allocation (LDA)[49], a generative probabilistic model, to

493   deconvolve the latent cell-types contained within multi-cellular pixels of spatially resolved

494   transcriptome (ST) measurements. In this context, each pixel is defined as a mixture of K cell-

495   types represented as a multinomial distribution of cell-type probabilities (θ), and each cell-type

496   is defined as a probability distribution over the genes (β) present in the ST dataset.

497

498   _LDA Modeling_

499   The ST dataset is represented as a $D \times N$ matrix of discrete gene expression counts for each

500   pixel d and gene n. The total number of unique molecules, or total gene expression, in a given

501   pixel d is M_d.

502

503   As a generative probabilistic model, the LDA model generates a set of new pixels as follows:

504

505   For each pixel d:

506   a. draw a cell-type distribution $\theta_d \sim Dir(\alpha)$, where θ_d is a multinomial distribution of

507   length K drawn from a uniform Dirichlet distribution with scaling parameter α.

508   b. for each observed molecule m in M_d:

509   i.   draw cell-type assignment $z_{d,m} \sim mult(\theta_d)$

510   ii. draw a gene $w_{d,m} \sim mult(\beta_{z_{d,m}})$

511

23

512   The central goal is to identify the posterior distribution of the latent parameters given the input

513   data, where for each pixel d:

514

$$p(\theta_d, \mathbf{z} \mid \mathbf{w}, \alpha, \beta) = \frac{p(\theta_d, \mathbf{z}, \mathbf{w} \mid \alpha, \beta)}{p(\mathbf{w} \mid \alpha, \beta)}$$

515   where $\mathbf{z}$ is a vector of M_d cell-types assigned to each unique molecule in pixel d, and $\mathbf{w}$ is the

516   vector of M_d genes assigned to each unique molecule for pixel d. A variational expectation-

517   maximization approach is used to estimate the values of the latent parameters[49,50]. By default, β

518   is initialized with 0 for all cell-types and genes, and α as 50/K.

519

520   The resulting estimated θ and β matrices represent the deconvolved proportions of cell-types in

521   each pixel and the gene expression profiles for each cell-type, scaled to a library size of 1. β

522   represents a $K \times N$ gene-probability (*i.e.*, expression) matrix for each cell-type k and each gene

523   n with each row summing to 1. The β matrix can be multiplied by a scaling factor of one million

524   to be more like conventional counts-per-million expression values for interpretability. θ

525   represents a $D \times K$ pixel-cell-type proportion matrix for each pixel d and each cell-type k. LDA

526   modeling in STdeconvolve is implemented through the `topicmodels` R package[50].

527

528   Of note, LDA assumes for each cell-type that there is a group of genes highly co-expressed with

529   high probability. Therefore, STdeconvolve uses feature selection for genes more likely to be

530   highly co-expressed within cell-types, which can improve cell-type deconvolution.

531

532   *Selection of genes for LDA model*

533   Latent cell-types are best discovered by LDA modeling if cell-type specific marker genes

534   are included in the input ST data while genes whose expression is shared across cell-types are

24

535   excluded. Therefore, to filter for genes that are more likely to be specifically expressed in

536   particular cell-types to improve cell-type deconvolution by LDA, STdeconvolve first removes

537   genes that are not detected in a sufficient number of pixels. By default, genes detected in less

538   than 5% of pixels are removed. Likewise, STdeconvolve also removes genes that are expressed

539   in all pixels. By default, genes detected in 100% of pixels are removed. STdeconvolve then

540   selects for significantly overdispersed genes, or genes with higher-than-expected expression

541   variance across pixels, as a means to detect transcriptionally distinct cell-types[15]. We assume that

542   the proportion of cell-types will vary across pixels and thus differences in their cell-type-specific

543   transcriptional profiles manifest as overdispersed genes across pixels in the dataset.

544   If there are too many genes included in the input ST data, LDA may also struggle to

545   identify non-overlapping clusters composed of distinct combinations of co-expressed genes. In

546   these circumstances, users may modulate the number of informative genes included in the input

547   matrix to ensure LDA convergence. By default, only the top 1000 most overdispersed genes are

548   retained in the input ST data.

549   Additional gene filtering or cell-type specific marker genes to include in the input ST

550   data may also be augmented by the user.

551

552   *Selection of LDA model with optimal number of cell-types*

553   The number of cell-types K in the LDA model must be chosen *a-priori*. To determine the

554   optimal number of cell-types K to choose for a given dataset, we fit a set of LDA models using

555   different values for K over a user defined range of positive integers greater than 1. We then

556   compute the perplexity of each fitted model:

557
$$Perplexity(D) = \exp\left\{-\frac{\log(p(D))}{\sum_{d=1}^{D}\sum_{n=1}^{N} c_{d,n}}\right\}$$

558   Where $p(D)$ is the likelihood of the dataset and $c_{d,n}$ is the gene count, or expression level, of

559   gene n in pixel d. We can interpret $p(D)$ as the posterior likelihood of the dataset conditional on

560   the cell-type assignments using the final estimated θ and β. The lower the perplexity, the better

561   the model represents the real dataset. Thus, the trend between choice of K and the respective

562   model perplexity can then serve as a guide. By default, the perplexity is computed by comparing

563    $p(D)$ to the entire input dataset used to estimate θ and β.

564   In addition, STdeconvolve also reports the trend between K and the number of

565   deconvolved cell-types with mean pixel proportions < 5% (as default). We chose this default

566   threshold based on the difficulty of STdeconvolve and reference-based deconvolution

567   approaches to deconvolve cell-types at low proportions, (i.e., "rare" cell-types) (Supplementary

568   Note 2). We note that as K is increased for fitted LDA models, the number of such "rare" cell-

569   types generally increases. Such rare deconvolved cell-types are often distinguished by fewer

570   distinct transcriptional patterns in the data and may represent non-relevant or spurious

571   subdivisions of primary cell-types. We can use this metric to help set an upper bound on K.

572   Generally, perplexity decreases and the number of "rare" deconvolved cell-types

573   increases as K increases. Given these model perplexities and number of "rare" deconvolved cell-

574   types for each tested K, the optimal K can then be determined by choosing the maximum K with

575   the lowest perplexity while minimizing number of "rare" deconvolved cell-types. To further

576   guide the choice of K, an inflection point ("knee") is derived from the maximum second

577   derivative of the plotted K versus perplexity plot and K versus number of "rare" deconvolved

578   cell-types.

579   Still, for a given K, the fitted LDA model may fail to identify distinct cell-types e.g., the

580   distribution of cell-type proportions in each pixel is uniform. In such a situation, the Dirichlet

26

581   distribution shape parameter α of the LDA model will be >= 1 and STdeconvolve will indicate to

582   the user that the fitted LDA model for a particular K has an α above this threshold by greying out

583   these *Ks* in the trend plot.

584   Ultimately, the choice of *K* is left up to the user and can be chosen taking into

585   consideration prior knowledge of the biological system.

586

587   **Simulating ST data from single-cell resolution spatially resolved MERFISH data**

588   MERFISH data of the mouse medial preoptic area (MPOA) was obtained from the original

589   publication[16]. Normalized gene expression values were converted back to counts by dividing by

590   1000 and multiplying by each cell's absolute volume. Datasets for an untreated female animal

591   (FN7, datasets 171021_FN7_2_M22_M26 and 171023_FN7_1_M22_M26) containing counts

592   for 135 genes assayed by MERFISH were used. Genes with non-count expression intensities

593   assayed by sequential FISH were omitted. Counts of blank control measurements were also

594   removed. Cells were previously annotated as being one of 9 major cell-types (astrocyte,

595   endothelial, microglia, immature or mature oligodendrocyte, ependymal, pericyte, inhibitory

596   neuron, excitatory neuron). Cells originally annotated as "ambiguous" were removed from the

597   dataset to ensure the ground truth was composed of cells with distinguishable cell-types. Because

598   certain cell-types may be enriched in specific regions of the MPOA, we combined 12 tissue

599   sections across the anterior and posterior regions to ensure that all expected cell-types would be

600   well represented in the final simulated ST dataset. After filtering, the final dataset contained

601   59651 cells representing 9 total cell-types and counts for the 135 genes.

602   To simulate a multi-cellular pixel resolution ST dataset from such single-cell resolution

603   spatially resolved MERFISH data, we generated a grid of squares, each square with an area of

604   100 μm^2. Each square was considered a simulated pixel and the gene counts of cells whose x-y

605   centroid was located within the coordinates of a square pixel were summed together. A grid of

606   square pixels was generated for each of the 12 tissue sections separately and the simulated pixels

607   for all 12 tissue sections were subsequently combined into a single ST dataset. For a given tissue

608   section, the bottom edge of the grid was the lowest y-coordinate of the cell centroids and the left

609   edge of the grid was the lowest x-coordinate. Square boundaries were then drawn from each of

610   these edges in 100 μm^2 increments until the position of the farthest increment from the origin

611   was greater than the highest respective cell centroid coordinate. After generating the grid, square

612   pixels whose edges formed one of the outside edges of the grid were discarded in order to

613   remove simulated pixels, which by virtue of their placement, encompassed space outside of the

614   actual tissue sample. The retained pixels covered 49142 out of the original 59651 cells in the 12

615   tissue sections. This resulted in a simulated ST dataset with 3072 pixels by 135 genes. We used

616   the original cell-type labels of each cell to compute the ground truth proportions in each

617   simulated pixel. Likewise, to generate the ground truth transcriptional profiles of each cell-type,

618   we averaged the gene counts for cells of the same cell-type from the original 59651 cells and

619   normalized the resulting gene count matrix to sum to 1 for each cell-type. To simulate pixels of

620   50, 20, and 10 μm^2, an identical approach was taken using the same cells except those square

621   boundaries were drawn from each edge in 50, 20, or 10 μm^2 increments.

622

623   **Annotation and matching of deconvolved and ground truth cell-types**

624   Each deconvolved cell-type was first matched with the ground truth cell-type that had the highest

625   Pearson's correlation between their transcriptional profiles. This was done by computing the

28

626   Pearson's correlation between every combination of deconvolved and ground truth cell-type

627   transcriptional profiles.

628   The assignment of deconvolved cell-types to ground truth cell-types was confirmed by

629   testing for enrichment of differentially upregulated genes of the ground truth cell-types in the

630   deconvolved cell-type transcriptional profiles. To determine the differentially upregulated genes

631   of the ground truth cell-types, ground truth transcriptional profiles were converted to counts per

632   thousand and low expressed genes, defined as those with average expression values less than 5,

633   were removed. For each ground truth cell-type, the $\log_2$ fold-change of each remaining gene with

634   respect to the average expression across the other ground-truth cell-types was computed.

635   Differentially upregulated genes were those with $\log_2$ fold-change > 1. We performed rank-based

636   gene set enrichment analysis of the ground truth upregulated gene sets in each deconvolved cell-

637   type transcriptional profile using the `liger` R package[51]. A match to a ground truth cell-type was

638   confirmed and assigned if the ground truth gene set had the lowest gene set enrichment adjusted

639   *p*-value that was at least < 0.05, followed by the highest positive edge score[52], and then highest

640   positive enrichment score to break ties.

641

642   **Deconvolution of additional simulated and real ST data**

643   Deconvolution of simulated and real ST data using STdeconvolve in addition to deconvolution

644   of simulated and real ST data using supervised and semi-supervised reference-based

645   deconvolution approaches with various single-cell transcriptomics references is further detailed

646   in Supplementary Methods.

647

648   **Comparison of deconvolution approaches**

29

649   How each supervised and semi-supervised deconvolution approach was run is further detailed in

650   the Supplementary Methods. To compare the performance between deconvolution methods, the

651   root mean squared error (RMSE) was computed for each pixel between the deconvolved and

652   matched ground truth cell-type proportions for each pixel in the ST dataset:

653
$$RMSE = \sqrt{\frac{\sum_{k=1}^{K}(\hat{y}_k - y_k)^2}{K}}$$

654   where K is the number of cell-types, $\hat{y}_k$ is the predicted cell-type proportion for the cell-type k,

655   and y_k is the ground truth cell-type proportion for the cell-type k. To assess whether the

656   distribution of pixel RMSEs was significantly lower for STdeconvolve compared to other

657   methods, a one-sided Diebold-Mariano Test[53] was used.

658

659   **Runtime and memory evaluation**

660   Using the Visium dataset described in Supplementary Methods *`Deconvolution of 10X Visium*

661   *data with STdeconvolve`*, we generated an input ST dataset of 2702 pixels and feature selected

662   for the top 1000 most significant overdispersed genes. Runtime of STdeconvolve was measured

663   on randomly drawn subsets of input data. Five subsets are drawn with 2702 pixels and 50, 100,

664   200, 400, and 1000 genes, respectively. Another five subsets are drawn with the 1000 top

665   overdispersed genes and 50, 100, 200, 400, and 1000 pixels, respectively. All subsets are

666   deconvolved with cell type number (K) between 4 and 20 as input parameters. Runtime was

667   measured using the R package `microbenchmark`[54] (v1.4-7). Memory usage of STdeconvolve

668   was measured using a similar sub-setting procedure and the R package `profmem`[55] (v0.6.0).

669   Total memory allocation was measured, which provides an upper bound for peak memory usage.

670   Runtime and memory analyses were run on a machine with i7-6600U 2.60GHz CPU with 8GM

671   of RAM.

672

673   **Availability of Code**

674   STdeconvolve is available as an open-source R software package[56] with the source code

675   available in the Supplemental Material and on GitHub at https://github.com/JEFworks-

676   Lab/STdeconvolve. Additional documentation and tutorials are available at

677   https://jef.works/STdeconvolve/

678

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682

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683   **References**

684

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816   **Figure Legends**
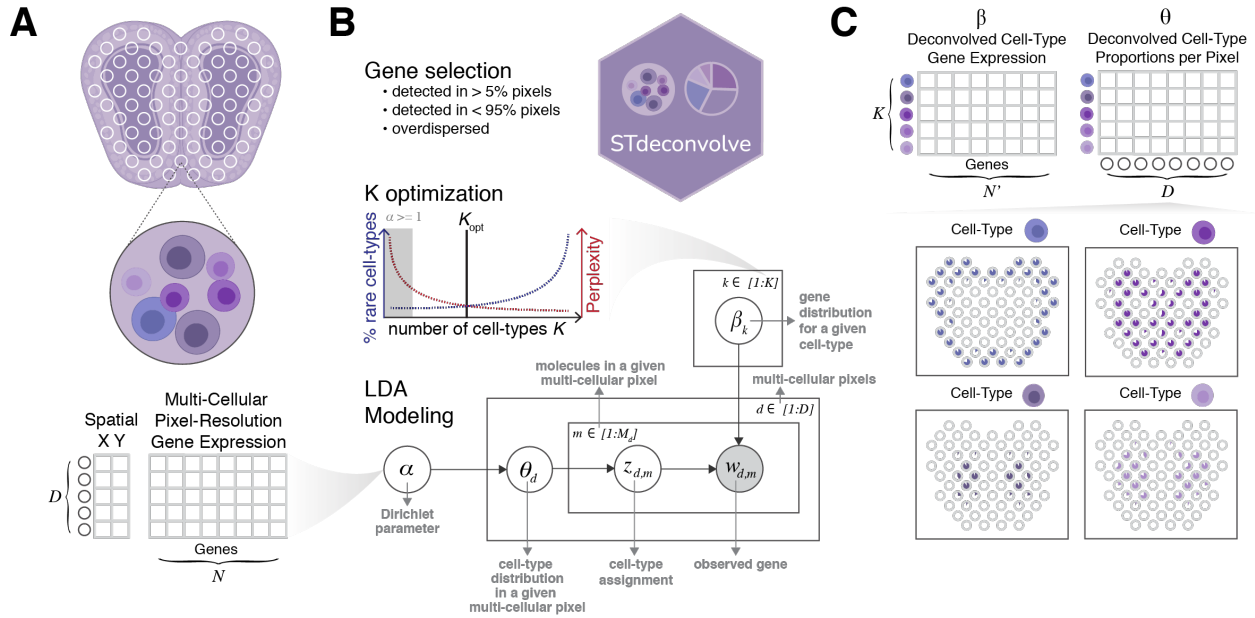
817

818   **Figure 1. Overview of STdeconvolve.** A) STdeconvolve takes as input a spatial transcriptomics

819   (ST) gene counts matrix of D pixels (rows) by N genes (columns). A matrix of spatial

820   coordinates for each of the D pixel can also be used for visualization. B) STdeconvolve first

821   feature selects genes for deconvolution, such as genes with counts in more than 5% and less than

822   95% of the pixels, and overdispersed across the pixels. STdeconvolve then guides the selection

823   of the optimal number of cell-types to be deconvolved, K. STdeconvolve finally applies LDA

824   modeling. A graph representation of LDA modeling and the parameters to be learned is shown.

825   Shaded circle indicates observed variables and clear circles indicate latent variables. C)

826   STdeconvolve outputs two matrices: (1) β, the deconvolved transcriptional profile matrix of K

827   cell-types over N' feature selected genes, and (2) θ, the proportions of K cell-types across the D

828   pixels. The proportion of deconvolved cell-types can then be visualized across the pixels.

829
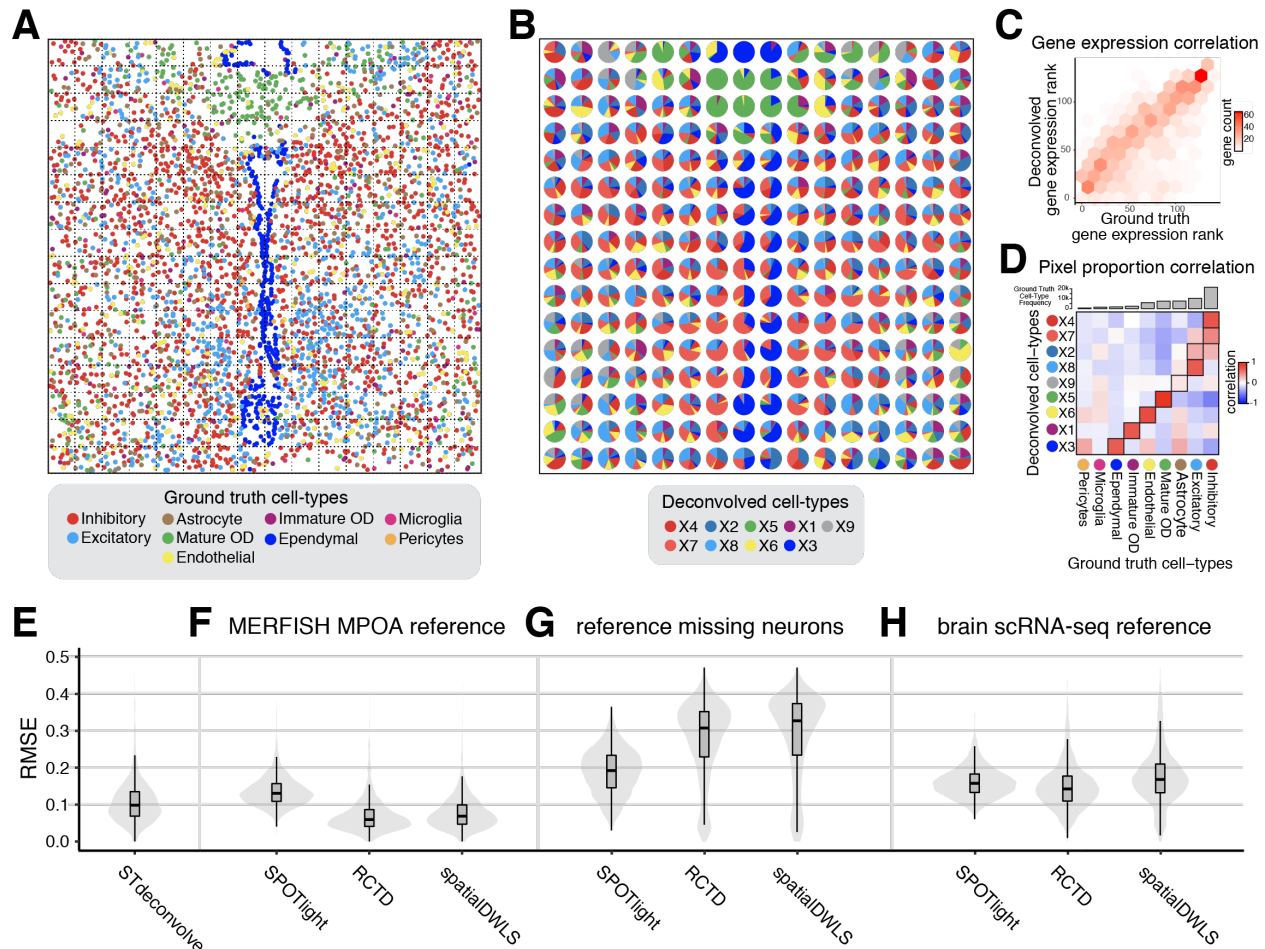
Figure 2. Deconvolution of simulated ST data. A) Ground truth single-cell resolution

MERFISH data of one section of the MPOA partitioned into 100 μm^2 pixels (black dashed

squares). Each dot is a single cell colored by its ground truth cell-type label. B) Proportions of

deconvolved cell-types from STdeconvolve represented as pie charts for each simulated pixel. C)

The ranking of each gene based on its expression level in the deconvolved cell-type

transcriptional profiles compared to its gene rank in the matched ground truth cell-type

transcriptional profiles. C) Heatmap of Pearson's correlations between the deconvolved cell-type

proportions and ground truth cell-types proportions across simulated pixels. D) Ground truth

cell-types are ordered by their frequencies in the ground truth dataset. Matched deconvolved and

ground truth cell-types are boxed. E) Root-mean-square-error (RMSE) of the deconvolved cell-

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842   type proportions compared to ground truth for STdeconvolve, F) for supervised deconvolution

843   approaches using the ideal single cell transcriptomics MERFISH MPOA reference, G) for

844   supervised deconvolution approaches using the single cell transcriptomics MERFISH MPOA

845   reference with missing neurons, and H) for supervised deconvolution approaches using a brain

846   single-cell RNA-seq reference.

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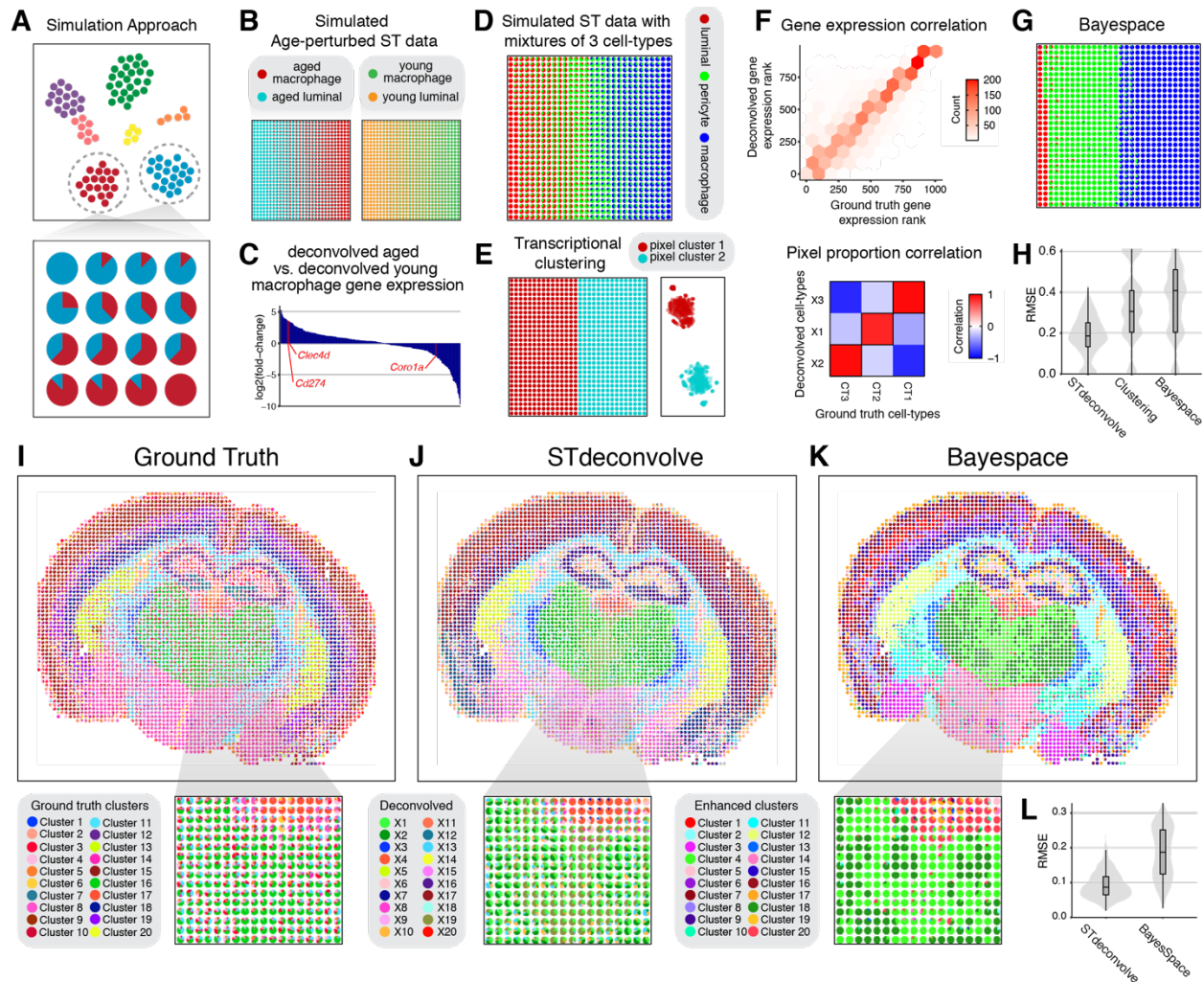
Figure 3. Comparing clustering versus deconvolution analysis for ST data. A) Overview of simulation approach. Starting from a single-cell RNA-seq clustering result visualized as a 2D tSNE embedding with cells colored by cell-type (top), gene expression counts from cells are combined to simulate cell-type mixtures, with the proportions of cell-types are represented as pie charts for each arbitrary spatial pixel (bottom). B) Simulated ST datasets of aged and young tissues using mixtures of aged macrophages with aged luminal cells and young macrophages with young luminal cells respectively represented as pie charts for each simulated ST pixel. C) Bar chart of $\log_2$ fold-change for deconvolved aged macrophage versus deconvolved young macrophage gene expression. Select genes are highlighted in red. D) Simulated ST dataset with 3

858   cell-types represented as pie charts for each simulated ST pixel. E) Clustering analysis results of

859   simulated ST dataset with 3 cell-types. Pie chart proportional representation (left) and tSNE

860   representation (right). F) Deconvolution results for the simulated ST dataset with 3 cell-types by

861   STdeconvolve. The ranking of each gene based on its expression level in the deconvolved-cell-

862   type transcriptional profiles compared to its gene rank in the matched ground truth cell-type

863   transcriptional profiles (top). Heatmap of Pearson's correlations between the deconvolved cell-

864   types proportions and ground truth cell-types proportions across simulated pixels (bottom). G)

865   BayesSpace enhanced resolution clustering results for the simulated ST dataset with 3 cell-types

866   represented as pie charts. H) Root-mean-square-error (RMSE) of the deconvolved cell-type

867   proportions compared to ground truth for the simulated ST dataset with 3 cell-types. I) Ground

868   truth cell-type proportions derived from single-cell resolution MERFISH data of the mouse brain

869   partitioned into 100 μm^2 pixels. J) Deconvolved cell-type proportions for the mouse brain by

870   STdeconvolve. K) Enhanced resolution clustering for the mouse brain by BayesSpace. Inset

871   highlights an interior region corresponding approximately to the thalamus. L) Root-mean-square-

872   error (RMSE) of the deconvolved cell-type proportions compared to single-cell clustering for the

873   MERFISH mouse brain data for the inset interior region corresponding approximately to the

874   thalamus.

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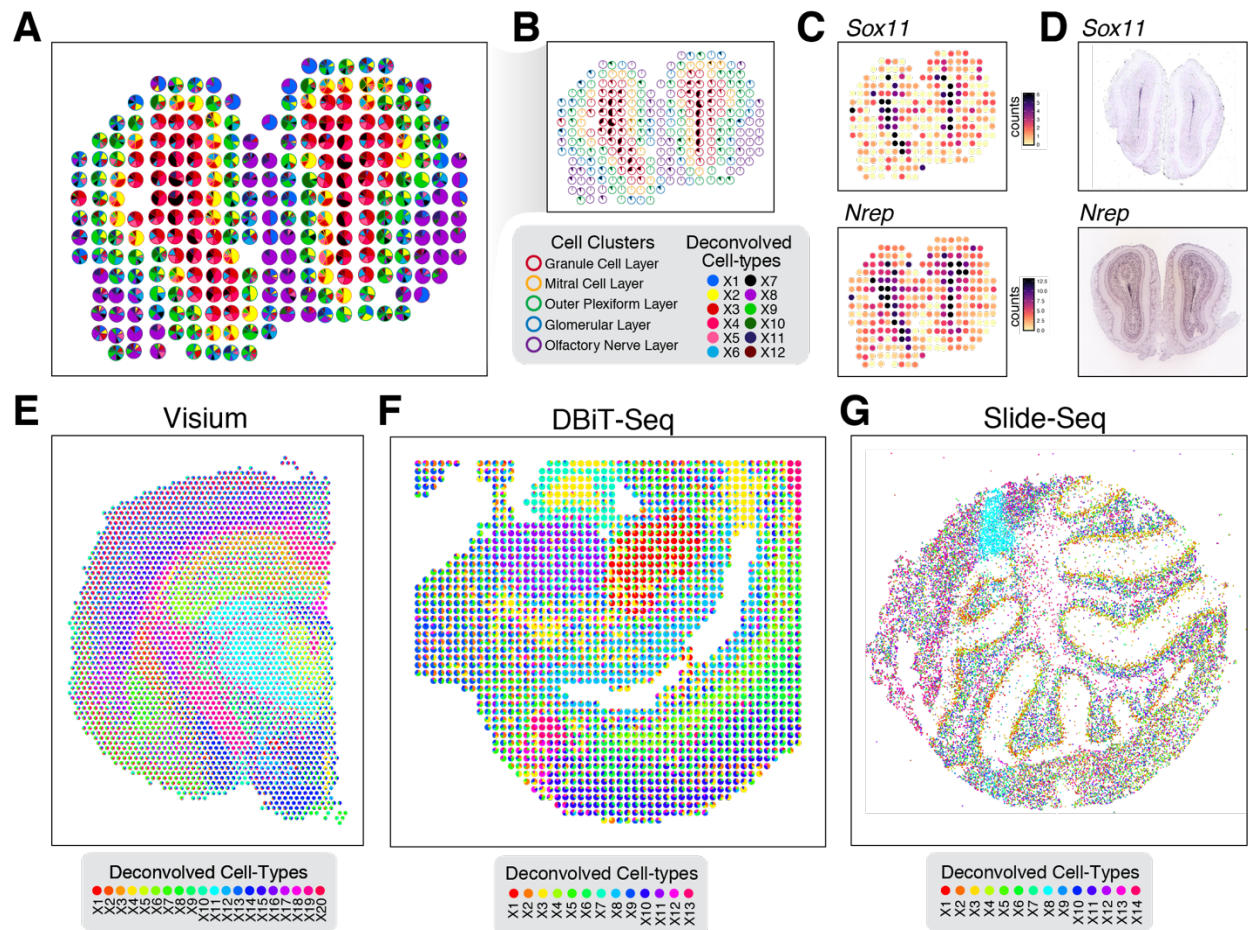
Figure 4. Deconvolution of ST data of varying resolution from multiple technologies by STdeconvolve. A) Deconvolved cell-type proportions for ST data of the MOB, represented as pie charts for each ST pixel. Pixels are outlined with colors based on the pixel transcriptional cluster assignment corresponding to MOB coarse cell layers. B) Highlight of deconvolved cell-type X7. Pixel proportion of deconvolved cell-type X7 are indicated as black slices in pie charts. Pixels are outlined with colors as in A). C) Gene counts in each pixel of the MOB ST dataset for deconvolved cell-type X7's select top marker genes *Sox11* and *Nrep*. D) Corresponding ISH images for *Sox11* and *Nrep* from the Allen Brain Atlas[19]. E) Deconvolved cell-type proportions for Visium data of the mouse brain. F) Deconvolved cell-type proportions for DBiT-seq data of

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886   the lower body of an E11 mouse embryo. G) Deconvolved cell-type proportions for Slide-seq

887   data of the mouse cerebellum.

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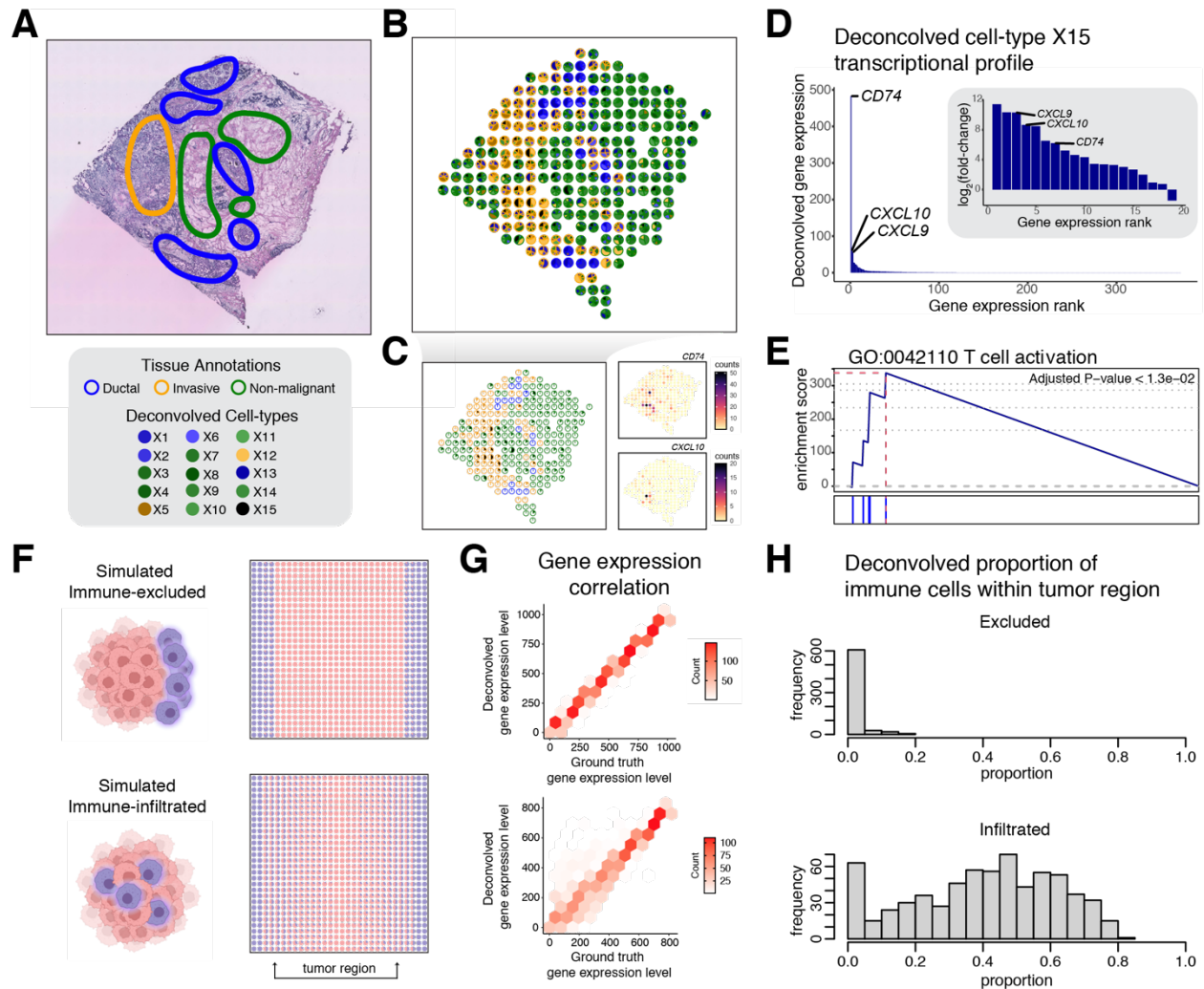
Figure 5. STdeconvolve characterizes the spatial organization of immune cells in real and simulated breast cancer ST data. A) An H&E-stained image of the breast cancer tissue with pathological annotations adapted from Yoosuf et al.[32]. B) Deconvolved cell-type pixel proportions for ST data of a breast cancer tissue section, represented as pie charts. Pixels are outlined with colors based on the pixel transcriptional cluster assignment corresponding to 3 pathological annotations. C) Highlight of deconvolved cell-type X15. Pixel proportion of deconvolved cell-type X15 are indicated as black slices in pie charts. Pixels are outlined with colors as in B). Select genes corresponding cell-type X15's select top marker genes are shown.

898   D) Barplot of the deconvolved transcriptional profile of cell-type X15 ordered by magnitude.

899   Inset represents the $\log_2$ fold-change of the deconvolved transcriptional profile of cell-type X15

900   with respect to the mean expression of the other 14 deconvolved cell-type transcriptional

901   profiles. Select highly expressed and high fold-change genes are labeled. E) Gene set enrichment

902   plot for significantly enriched GO term "T cell activation" for deconvolved cell-type X15. F)

903   Simulated ST datasets of an immune-excluded tumor sample (top) and immune-infiltrated tumor

904   sample (bottom) using mixtures of single cells represented as pie charts for each simulated ST

905   pixel. G) Deconvolution results for the simulated ST data by STdeconvolve. The ranking of each

906   gene based on its expression level in the deconvolved-cell-type transcriptional profiles compared

907   to its gene rank in the matched ground truth cell-type transcriptional profiles for the simulated

908   immune-excluded tumor sample (top) and immune-infiltrated tumor sample (bottom). H)

909   Histogram of the deconvolved proportion of immune cells in the tumor region defined in (F) for

910   the simulated immune-excluded tumor sample (top) and immune-infiltrated tumor sample

911   (bottom).

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