1 State-dependent network interactions differentially gate sensory input

- 2 at the motor and command neuron level in *Caenorhabditis elegans*
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25 Abstract

26 Neural responses are influenced by both external stimuli and internal network states. While 27 network states have been linked to behavioral and stimulus states, little is known about how 28 sensory inputs are filtered by whole-brain activity to affect motor and command neurons. Here, 29 we recorded whole-brain activity of Caenorhabditis elegans experiencing bacterial food stimuli, 30 and modeled how sensory inputs affect motor and command neurons in a network state-31 dependent manner. First, we classified active neurons into six functional clusters: two sensory 32 neuron clusters (ON, OFF), and four motor/command neuron clusters (AVA, RME, SMDD, 33 SMDV). Using encoding models, we found that ON and OFF sensory neurons that respond to 34 onset and removal of bacteria, respectively, employ different adaptation strategies. Next, we used 35 decoding models to show that bacterial onset and removal differentially drive AVA and RME 36 cluster activity. To explore state-dependent effects on AVA and RME clusters, we developed a 37 model that identified network states and fitted submodels for each state to predict how each of 38 the six functional clusters drive AVA and RME cluster activity. We also identified network states in 39 which AVA and RME clusters were either largely unperturbed by or receptive to bacterial sensory 40 input. Furthermore, this model allowed us to disentangle the state-dependent contributions of 41 stimulus timescales and bacterial content to neural activity. Collectively, we present an 42 interpretable approach for modeling network dynamics that goes beyond implication of neurons in 43 particular states, and moves toward explicitly dissecting how neural populations work together to 44 produce state dependence.

45 Significance Statement

46 A major function of the brain is to transform sensory information into behavior. As the first 47 receiver of sensory input, sensory neuron activity is often most correlated with stimulus features. 48 However, this high-fidelity representation of sensory input becomes diluted as it travels to 49 downstream neurons, where sensory information is integrated with network activity. By the time 50 sensory information reaches motor neurons, it is often difficult to dissociate the influence of 51 sensory input from the influence of network activity. Here, we describe a method that is fully 52 interpretable such that we can show how neural populations on a whole-brain scale interact to 53 produce network states. From there, we can attribute motor neuron activity to network history and 54 sensory input. 55

56 Main Text

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58 Introduction

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60 Constant stimuli can have variable influences on neural responses and behavior. On the neural 61 population level, this variability becomes more pronounced as sensory information is transformed 62 by downstream neurons. As the initial receiver of sensory information, sensory neurons exhibit 63 activity that is the most correlated with stimulus features, but are susceptible to sensor errors that 64 can propagate to behavior (1, 2). At the perception level, sensory input can be modulated by 65 attention (3, 4), cognitive load (5), perceptual learning (6, 7), internal noise (8, 9), and internally 66 generated coordinated activity (10). At the motor coordination level, gating of sensory input has 67 been observed occurring in phase with behaviors such as locomotion (11, 12) and active 68 whisking (13). However, little is known about how neural populations interact on a global scale to 69 produce network states that modulate how sensory input is gated at the motor neuron level. 70 To disentangle the influences of stimuli and internal network state on downstream neural 71 activity, precise stimulus control and whole-brain imaging are both needed to accurately account 72 for experienced stimuli and global network states. Despite advances in modern imaging 73 technology, most studies are limited to imaging small subsets of a brain's total activity (14–16). 74 This problem is alleviated by studying simpler animals like zebrafish, fly larvae, and adult C.

75 *elegans* where neural activity at single-cell resolution can be monitored across the entire brain

76 (17-20). With only 302 neurons, 189 of which are located in the head, the nematode C. elegans 77 is ideally suited for whole-brain functional imaging studies. C. elegans whole-brain activity can be 78 monitored both in restrained and freely moving animals (20-22). Moreover, whole-brain imaging 79 of restrained animals in a microfluidic chip (20) allows for precise, fast, and complex stimuli 80 presentations, thereby enabling investigations of stimulus-evoked whole-brain global dynamics 81 underlying sensory coding (23, 24), motor states (25), and physiological states (26, 27). While 82 these studies demonstrate the utility of whole-brain imaging and provide insights into the 83 nematode nervous system, modeling of global network activity has largely focused on identifying 84 which neurons and activity trends underlie particular behavioral or sensory states, but often fall 85 short of explaining how populations work together to influence neural activity.

86 Here, we imaged the calcium activity of the entire C. elegans head while we presented it 87 with rapidly fluctuating sequences of bacterial food stimuli and control buffer. We chose bacterial 88 food instead of single-compound odorants in order to study a complex stimulus that is 89 immediately relevant to C. elegans. We correlated activity of individual neurons to show that 90 active neurons can be divided into six functional clusters groups. We then used encoding and 91 decoding models to characterize basic properties of how stimuli drive sensory neurons and 92 motor/command neurons. Finally, we built a hybrid model to identify network states and build 93 submodels to explicitly show how sensory and motor populations drive motor/command neuron 94 activity in each state. This model allowed us to identify network states in which motor/command 95 neurons were either unresponsive or responsive to sensory input. Furthermore, we revealed how 96 stimulus features and sensory context were differentially gated in a state-dependent fashion. 97

98 Results

99

100 Food-stimulated whole-brain activity reveals six functional cell clusters

101 We used an automated microfluidic system (28) to simultaneously image calcium activity in C. 102 elegans head neurons and present the animal's nose with pulse-based stimulus sequences that 103 rapidly fluctuated between liquid flows of bacterial food stimulus (from channel 1) and control 104 buffer (from channel 2) (Fig. 1A, see Materials and Methods: Stimulus delivery). We refer to this 105 as the bacteria↔buffer stimulus sequence. To control for artifacts intrinsic to the microfluidic 106 setup, we also imaged activity while *C. elegans* was presented with a control buffer↔buffer 107 stimulus sequence that fluctuated between two chemically identical buffer flows. This microfluidic 108 system was previously used to show that individual chemosensory neurons detect and respond to 109 bacteria (29, 30). To monitor whole-brain activity (see Materials and Methods: Whole-brain 110 imaging), we used a strain that expressed a genetically-encoded nuclear-localized calcium 111 indicator (GCaMP5K) (25,31). This strain was previously used to obtain whole-brain activity from 112 restrained C. elegans during controlled delivery of stimuli (20, 25). In addition to this primary 113 strain, we also recorded calcium activity (GCaMP6s) (32) from a strain that expressed NeuroPAL 114 (24), which labels all C. elegans neurons with an invariant multicolor fluorescence map and 115 allows for unambiguous identification of neurons (Fig. 1B-D). We used this as a supplementary 116 strain to confirm cell identity associated with activity patterns found in the primary strain.

117 We found that active neurons could be divided into six functional clusters based on 118 correlated changes in GCaMP fluorescence: two sensory neuron clusters (ON and OFF) and four 119 motor/command neuron clusters (AVA, RME, SMDD, SMDV) (Fig. 1E-H). We first identified 120 primary sensory neurons by looking for neurons that were either positively correlated (ON cells) 121 or negatively correlated (OFF cells) with bacteria pulse onset during bacteria↔buffer stimulus 122 sequences. Specifically, for each neuron, we first subtracted the changes in fluorescence induced 123 by bacteria removals from the changes in fluorescence induced by bacteria onsets, and then 124 ranked each neuron according to this score (see Materials and Methods: ON and OFF sensory 125 neuron classification). The neurons with the highest and lowest scores were then checked for 126 additional criteria before being classified as ON cells and OFF cells, respectively. ON cells were 127 categorized as those neurons that obviously and immediately increased activity upon all bacteria 128 onsets, and immediately decreased upon bacteria removals (Fig. 11). Conversely, OFF cells were 129 classified as neurons that decreased activity upon bacteria onsets and increased upon bacteria

130 removals (Fig. 11). OFF cells were additionally required to rapidly decrease activity in response to 131 initial bacterial onsets, dropping well below their pre-stimulus baseline, indicating that these 132 sensory neurons are inhibited by bacteria rather than activated by the control buffer flow (Fig. 11). 133 This OFF cell behavior of being inhibited by a stimulus was absent in the control buffer↔buffer 134 stimulus sequences. Instead, we found only ON cells that were activated by either the onset of 135 the channel 1 buffer (ON-1 cells) or the onset of channel 2 buffer (ON-2 cells) (Fig. S1). 136 Additionally, we identified fewer sensory neurons in animals presented with buffer↔buffer 137 stimulus sequences (Fig. S1A) than with bacteria \leftrightarrow buffer stimulus sequences (Fig. 1F,G). This 138 suggests that additional sensory neurons respond during bacteria \leftrightarrow buffer stimulus sequences. 139 compared to buffer↔buffer stimulus sequences. Therefore, bacteria↔buffer stimulus sequences 140 activate ON and OFF sensory neurons that respond to bacteria onset and removal, respectively, 141 rather than to bacteria onset and buffer onset.

142 The vast majority of neurons did not respond immediately to stimulus changes (Fig. 1F-143 H). Across these neurons, we observed two pairs of stereotypical activity patterns: a pair of 144 clusters that were anti-correlated and bistable (Fig. 1H, red and blue), and a pair of clusters that 145 were anti-correlated and moderately fast (Fig. 1H, purple and yellow). Using the NeuroPAL-146 GCaMP6s strain to identify neurons, we found that RME motor neurons and AVE command 147 neurons were anti-correlated and bistable (Fig. 1J), consistent with previous findings (25). We 148 also found that SMDD and SMDV neurons were anti-correlated and exhibited moderately fast 149 dynamics (Fig. 1K). These 4 representative motor and command neurons are associated with 150 forward locomotion (RME), reverse locomotion (AVA), dorsal turning (SMDD), and ventral turning 151 (SMDV) in C. elegans (25, 34-37). Using AVA, RME, SMDD, and SMDV as representative 152 neurons, we sorted non-sensory neurons into clusters based on how their activity correlated with these four representative neurons (Fig. 1I–K). Many of the low noise neuronal traces strongly 153 154 correlated (>85%) with either the identified RME motor neurons or AVA command neurons (Fig. 155 1F,G). A number of other calcium traces appeared as distorted, noisy versions of AVA or RME 156 (Fig. S2), and the counts shown in the Fig. 1F,G are likely an underestimate of the true number of 157 cells that are highly correlated with AVA and RME. In contrast, SMDV and SMDD were often the 158 sole members of their eponymous clusters, usually with no other neurons that strongly correlated 159 with these neurons (Fig. 1F,G). AVA, and RME were previously shown to have strong positional 160 stereotypy, and thus, after identifying these neurons with NeuroPAL, their activity signature and 161 stereotyped location made them easy to identify in the absence of NeuroPAL. SMDV and SMDD 162 neurons were also readily identified by their previously reported distinctive activity signature (37). 163 Therefore, we were able to subsequently identify all four motor/command neuron clusters in non-164 NeuroPAL-GCaMP5K animals without a coinciding NeuroPAL map (Fig. 1F,G).

165 These six cell clusters appeared in every animal, and we could not identify any other cell 166 cluster that appeared consistently across all animals exposed to bacteria ↔ buffer stimulus 167 sequences. We also observed similar clustering of active neurons in animals exposed to 168 buffer↔buffer stimulus sequences (Fig. S1A). Putative cells not appearing in one of the 169 aforementioned six cell clusters typically resembled noise or noisier versions of the activity 170 patterns exhibited by the six cell clusters. To reduce dimensionality of the dataset with little loss of 171 information (due to high correlation), we averaged across the activity traces of all neurons within 172 a cluster (1I–K). Hence, all subsequent modeling used cluster-averaged representations to 173 simplify model structure.

174

Bacteria addition and removal differentially drive activity of sensory, AVA, and RME clusters

To analyze how ON and OFF sensory neurons differ in their responses beyond the single-pulse timescale, we built encoding models to predict how sensory neuron activity adapts to repeated

stimuli presentations (Fig. 2A, see Materials and Methods: Encoding model). Both ON and OFF
 cells are driven away from baseline activity (in opposite directions) upon bacteria onset and return

181 to baseline upon bacteria removal. ON and OFF cells habituate to repeated bacteria

presentations such that the change (increase for ON, decreases for OFF) in fluorescence from

183 baseline is smaller in subsequent bacteria pulses (Fig. 1I). Here, we explored which timescale of

adaptation best described ON and OFF cells: 1) perfect adaptation, in which neurons cease
responding despite persistent stimulation, 2) imperfectly adapting adaptation, in which neurons
attenuate but do not fully terminate their responses, and 3) non-adaptation, in which neural
responses are unaffected by recent stimulus history.

To examine the timescales of adaptation in ON and OFF cells, we used a cascade model that was previously used to describe adaptation to odors in *C. elegans* sensory neurons (38). This model is built on a cascade of simple ordinary differential equation (ODE) models of the form:

$$dx_i/dt = \tau * ([input] - x_i(t))$$
$$X(t) = x_1(t) - x_2(t)$$

193 where τ is the time constant that controls how fast a linear temporal filter responds to stimulus 194 *[input]*. The temporal filter describes how the recent history of the stimulus contributes to the 195 current value of inferred calcium level of the cell, X(t). With τ constrained to be positive, X(t) is 196 guaranteed to exhibit perfect adaptation. That is, when encountering a step-change stimulus, 197 X(t) will briefly change activity before terminating the response and returning to its baseline level. 198 This model performed well for uncorrelated stimulus patterns but struggled considerably on 199 correlated patterns (38). Kato and colleagues supposed these issues could be mediated by 200 including more than two of the simple ODE filters. In other words, the authors hypothesized that 201 C. elegans sensory cells adapt at more than one timescale. To test this hypothesis, as well as to 202 ascertain whether the perfect adaptation assumption is justified, we simplified and generalized 203 this model:

$$\frac{dx_i}{dt} = \tau \cdot ([input] - x_i(t))$$
$$X(t) = \sum_{i=1}^{n} a_i x_i(t)$$
$$g(t) = (x^p \circledast f_g)(t)$$

where x(t) is the inferred calcium level of the cell and is calculated as the sum of the temporal filters (ODE model solutions), f_g is the GCaMP filter, and p is a positive value required for the GCaMP transformation. This model learns the coefficients τ and a_i for an arbitrary number of ODE basis function, and thus can learn adaptation on multiple timescales. Moreover, this model can test the effects of perfect and imperfect adaptation on model fit by toggling the coefficient constraint, such that perfect adaptation entails the following constraint:

$$\sum a_i = 0$$

212 This model formulation produced consistent and robust fits to C. elegans sensory 213 neurons and allowed us to test the effects of different model complexities. In this approach, each 214 sensory neuron's fluorescence trace was divided into three contiguous blocks. We used a 3-fold 215 cross validation approach (i.e., two of the blocks are used to fit the model, while the third is used 216 for testing) to assess model performance as a function of the number of basis filters and the type 217 of adaptation. For ON cells, all adapting models significantly outperformed the non-adapting 218 model according to a hierarchical bootstrap (p < 0.05 with Bonferroni correction, Fig. 2B, S3A). A 219 perfectly adapting model with one primary filter and one adapting filter performed best, matching 220 previous findings (38). Similarly, OFF cell adapting models outperformed the non-adapting model 221 (Fig. 2C, S3B). However, unlike ON cells, OFF cells were best described by an imperfectly 222 adapting model with one primary filter and one adapting filter, while the perfectly adapting model 223 was the worst-performing model (not significantly better than non-adapting model) (Fig. 2C, S3B). 224 It is possible that OFF sensory cells perfectly adapt over a longer timescale, but the fast 225 adaptation relevant to this study is imperfect in OFF cells. Using more than two basis functions

(one primary filter and one adapting filter) hurt cross-validation performance (data not shown).
 While previous studies hypothesized that more than two cascade equations were required to
 model adaptation on multiple timescales (38), here we found that the type of adaptation and not
 the number of cascade equations to be more important for modeling OFF sensory neurons.

230 We also compared the timescales of ON and OFF temporal filters. For both ON cells and 231 OFF cells, primary filters are fast and follow the fluctuation of stimuli, while the adapting filters 232 reflect the slower timescale of adaptation. While ON and OFF cells displayed similar timescales in 233 their primary filters, OFF cells have faster adapting filters (higher τ and lower time-to-half-peak) 234 than ON cells (Fig. 2D,E). Thus, the OFF cell rebound was fast relative to ON cell habituation. 235 Moreover, the OFF cell rebound was weak compared to ON cell habituation. In the bestperforming OFF cell model, the adapting filter coefficient was typically smaller in magnitude than 236 237 the primary filter coefficient (Fig. 2E). Thus, the OFF cell adapting filter does little to temper 238 stimulus inhibition of OFF cells. Instead, it seems to be designed to produce fast rebounds to 239 bacteria removal.

240 Adaptation appears to have different goals in ON and OFF cells. ON cells obey a fairly 241 straightforward perfect adaptation law that can be explained by calcium depletion. OFF cells, on 242 the other hand, exhibit an imperfect, fast rebound strategy. This latter strategy will not efficiently 243 encode stimulus across large concentration scales. It will, however, maintain a higher dynamic 244 range for constrained concentrations scales. We surmise that ON sensory cells may be designed 245 to work across larger concentration scales, engaging specific OFF cells for specific concentration 246 ranges. Overall, we show that ON and OFF sensory neurons have different adaptation kinetics to 247 rapidly fluctuating bacterial stimulus sequences.

To determine how different phases of stimulus presentation affects motor neurons, we 248 249 next built decoding models to predict stimulus state from motor/command cluster activity (see 250 Materials and Methods: Decoding model). In bacteria↔buffer stimulus sequences, bacteria 251 pulses are effectuated by directing bacteria flow from channel 1 over the C. elegans nose (Fig. 252 2F), while buffer pulses result when buffer from channel 2 flows over the nose and displaces the 253 bacterial flow (Fig. 2G). Since C. elegans is positioned asymmetrically in the microfluidic chip 254 relative to the two channels (channel 1 is slightly closer to the nose), we also analyzed animals 255 presented with buffer↔buffer stimulus sequences to control for mechanosensory responses to 256 differences in flow properties between the two channels (Fig. 2H).

Unlike sensory neuron clusters, shifts in motor/command neuron cluster activity do not reliably coincide with stimulus transitions (Fig. 1H–K). Therefore, we used multinomial logistic regression (MLR) to predict the probabilities of a particular stimulus state given motor neuron cluster activity inputs. MLR (39) is a robust classification model that, when combined with class balancing, has a very natural null model: prediction from worm identity only. Here, linear predictions about stimulus state are generated by linearly combining a set of weights with explanatory variables of a given observation:

$$P(\mathbf{S}_i|X(t) = softmax(\sum_{n=1}^k \beta_{i,k} X_k(t))$$

266 267 where $S_i(t)$ is the stimulus class *i* at time window *i*. The *k*-dimensional X(t) captures motor neuron cluster activity at time window t along with worm identity information. $\beta_{i,k}$ is made up of 268 269 the coefficients for stimulus state *i*, which are regularized using an L1 norm and learned using 270 gradient ascents, 16-second time windows of motor/command neuron cluster activity data were 271 divided into thirds (5.33 seconds each). To predict the stimulus state in the middle subwindow (t-272 2.67s to t+2.67s, with t as the halfway point of the prediction window), motor/command neuron cluster activity data from the first subwindow (t-8s to t-2.67s) and the last subwindow (t+2.67s to 273 274 t+8s) were used for the decoding task (see Materials and Methods: Decoding model).

We used this model to decode neural activity inputs from AVA, RME, SMDD, and SMDV
 clusters (Fig. 2I). Worm identity was also considered to capture variability across animals. The
 decoding model predicted four stimulus states for bacteria↔buffer stimulus sequences:
 prolonged bacteria, prolonged buffer, bacteria-to-buffer transition, and buffer-to-bacteria transition

279 (Fig. 2I). Importantly, initial onsets and removals of bacteria are included in transition states, but 280 not in prolonged states. For buffer↔buffer stimulus sequences, corresponding states based on 281 channel activation were predicted. In predicting stimulus states associated with bacteria↔buffer 282 stimulus sequences, decoding from the activity of the RME and AVA cluster pair improved 283 performance over decoding from identity alone in both non-NeuroPAL–GCaMP5K (99.3% of 284 bootstraps, Fig. 2J) and NeuroPAL-GCaMP6s (99.4% of bootstraps, Fig. S4A) strains. In 285 contrast, decoding from the activity of the SMDD and SMDV cluster pair did not perform better 286 than the null model (Fig. S4B). Moreover, RME and AVA cluster activity could also be used to 287 predict stimulus state in buffer↔buffer stimulus sequences, outperforming prediction from worm 288 identity alone (Fig. S4C).

289 The decoding model produced linear temporal filters that described how stimulus states 290 contributed to motor/command neuron cluster activity. Temporal filters for buffer↔buffer stimulus 291 sequences revealed that flow from both buffer channels had similar effects on motor/command 292 cluster activity. Prolonged buffer from either channel was associated with similar transient 293 increases in both AVA and RME cluster activity (Fig. 2K,L). Both types of buffer-to-buffer 294 transitions (channel 1 \rightarrow channel 2, channel 2 \rightarrow channel 1) reduced AVA cluster activity while 295 RME cluster activity remained near baseline (Fig. 2M,N). In contrast, temporal filters for 296 bacteria↔buffer stimulus sequences indicated that bacteria and buffer differentially drove AVA 297 and RME cluster activity. Prolonged bacteria was associated with sustained increase in RME 298 cluster activity and strong decrease in AVA cluster activity (Fig. 20), while prolonged buffer was 299 associated with sustained inhibition of RME cluster activity and low AVA cluster activity (Fig. 2P). 300 The bacteria-to-buffer transition induced a slow increase in RME cluster activity, while AVA 301 cluster activity remained near baseline (Fig. 2Q). The temporal filter predicting bacteria-to-buffer transition from RME cluster activity (Fig. 2Q) resembled a diminished version of the temporal filter 302 303 predicting prolonged bacteria (Fig. 20), suggesting that bacteria removal did not immediately 304 alter RME activity. The converse buffer-to-bacteria transition is associated with rapid peak in AVA 305 cluster activity and near-baseline RME cluster activity (Fig. 2R). The temporal filter for predicting 306 buffer-to-bacteria transition from AVA cluster activity (Fig. 2R) is higher in magnitude than the 307 temporal filter predicting prolonged buffer from AVA cluster activity (Fig. 2P), suggesting that 308 bacteria onset has an immediate effect on the AVA cluster. Bacteria⇔buffer decoding models 309 were also remarkably similar across non-NeuroPAL–GCaMP5K and NeuroPAL–GCaMP6s 310 strains (Fig. S4D–G). Altogether, these results suggest that bacteria presentation biases the AVA-RME cluster pair towards RME cluster activation (associated with forward locomotion), 311 312 while bacteria removal biases the cluster pair towards AVA cluster activation (associated with 313 reverse locomotion). Furthermore, motor/command responses to buffer differ depending on 314 whether the overall sensory context also includes bacterial stimuli.

315

316 Identification of interpretable network states that vary in sensory gating properties

317 Based on our previous decoding results that AVA and RME motor/command clusters are 318 influenced by sensory input, we next investigated how global network activity and sensory input 319 drive AVA and RME cluster activity under different network states. We created a hierarchical 320 model that used a soft decision tree (SDT) gating model (40) to identify relevant network states, 321 and then we fitted MLR forecasting submodels for each network state to predict AVA and RME 322 cluster activity (see Materials and Methods: SDT-MLR model). This combination of models, 323 which we refer to as the SDT-MLR model, overcomes the limitation of using a single linear model 324 to describe motor neuron cluster activity. For instance, members of the AVA cluster are bistable: 325 they have upper and lower stable states (boundedness). Also, activity in these cells appears to 326 have momentum: when one of these cells begins a transition between its stable states, it will tend 327 to complete that transition. A single, linear model is unable to describe both momentum and 328 boundedness. An appropriate model should learn the positive correlation between future AVA 329 cluster rise and past AVA cluster increases to capture momentum. At the same time, this positive 330 correlation should weaken and become negative as AVA nears its upper bound as these cells do 331 not rise above that limit. Here, we employed multiple MLR models to capture nonlinear dynamics, 332 including features like momentum and boundedness. We then used an SDT gating model to route 333 in recent network activity (AVA, RME, SMDD, SMDV clusters) to different network states. Each of 334 these network states is associated with a different MLR model. In so doing, the SDT parceled the 335 space of network trajectories into subspaces in which network evolution can be approximated by 336 linear, probabilistic models. This parcellation and linearization strategy is similar to what has been 337 previously used (41). In our SDT–MLR modeling, there were $M \times N$ MLR submodels, where M is 338 the number of models being compared and N is the number of states parceled by the SDT. If the 339 stimulus effect depends on network history, then we expect that the stimulus filters will differ 340 across MLR submodels. As an end-to-end interpretable distillation of a neural network, the SDT is 341 capable of learning complex, non-linear features. Moreover, since both the SDT and MLR 342 submodels are differentiable, they can be simultaneously fit using gradient-based optimization 343 methods.

344 We started by comparing forecasting models that predict AVA and RME cluster activity 345 from recent network and sensory neuron activity, with models that predict from network history 346 alone. This allowed us to assess whether sensory input from bacteria↔buffer stimuli were more 347 relevant in some network states compared to others. Using recent network history inputs from 348 AVA, RME, SMDD, and SMDV clusters, the full SDT-MLR model was fitted to a hyperparameter 349 set for predicting RME and AVA calcium change (rise and fall). This model generated a tree with 350 three levels, consisting of top level filters, along with right and left subtree filters (Fig. S5A), and 351 four network states that produced the best results (Fig. 3A, S5B,C, see Materials and Methods: 352 SDT–MLR model). State 1 and 4 were transient states, with state 1 associated with AVA cluster 353 peaks, and state 4 associated with RME cluster peaks (Fig. 3A). In contrast, state 2 and 3 were 354 persistent states (Fig. 3A). State 2 was characterized by high AVA, low RME, low SMDV, and 355 high SMDD cluster activities, while state 3 exhibited the opposite (Fig. 3A). After network states 356 were identified, the SDT was then frozen, and the MLR submodels were fitted to the rest of the 357 data in an out-of-bootstrap cross-validation strategy to assess feature variability (42).

358 In the out-of-bootstrap cross-validation, inclusion of ON and OFF sensory neuron activity 359 improved overall model performance (summed across states) in >95% of bootstraps. However, 360 when considering model performance for individual network states, inclusion of sensory activity 361 was only useful in states 3 and 4, but provided little predictive value in states 1 and 2 (Fig. 3B), 362 despite the variance in stimulus sequence being similar across all states. Both sensory-363 responsive states (states 3 and 4) are characterized by low AVA and high RME cluster activities, 364 both of which are associated with forward locomotion (Fig. 3B). Conversely, the sensory-365 unresponsive states (states 1 and 2) display the opposite activity trends with high AVA and low 366 RME cluster activities, which are associated with reverse locomotion (Fig. 3B). This suggests that 367 sensory input is gated more heavily during reverse locomotion than during forward locomotion, 368 and that forward locomotion is a sensory-responsive behavior.

369 For each state, the SDT-MLR model generated linear temporal filters that predicted how 370 recent network history from AVA, RME, SMDV, and SMDD clusters affected the probability of 371 AVA and RME activity trends. In general, temporal filters were similar across predicted neural 372 activity associated with forward locomotion (AVA fall, RME rise; Fig. 3C, middle two rows), as well 373 as across activity associated with reverse locomotion (AVA rise, RME fall; Fig. 3C, top and 374 bottom rows). Additionally, temporal filters were similar across the sensory-unresponsive states 375 (state 1 and 2), except that recent SMDV history had a diminished effect on forward-associated 376 activity in state 2. Linear filters across the sensory-responsive states (state 3 and 4) resembled 377 each other, except for how network history drove AVA fall in state 4. Notably, RME cluster history 378 drove AVA and RME cluster activity in general in sensory-unresponsive states, but had a neutral 379 or suppressive effect in sensory-responsive states. Some state-invariant trends included the 380 suppressive effect of SMDD history on AVA rise, as well as the suppressive effect of AVA on 381 RME rise (Fig. 3C). Here, we describe some of the general trends of how network history 382 influenced AVA and RME cluster activity in a state-dependent fashion, but Fig. 3C can be readily 383 interpreted to understand in detail how each motor/command cluster affected AVA and RME 384 cluster activity in each state.

Next, we looked at how sensory input influences particular AVA and RME cluster activity trends under different network states. As expected from Figure 3B, temporal filters predicting AVA 387 and RME cluster activity from ON and OFF sensory activity were generally flat in states 1 and 2, 388 indicating that sensory information was broadly suppressed from motor/command activity in these 389 states (Fig. 3D). In contrast, states 3 and 4 exhibited more temporal filters in which sensory 390 activity either increased or decreased the probability of AVA and RME activity trends (Fig. 3D). 391 The largest effects of sensory input are on reverse-associated motor/command activity (AVA rise, 392 RME fall), which is suppressed by ON activity and elevated by OFF activity (Fig. 3D). Within 393 reverse-associated activity, OFF activity has a greater influence on AVA rise, while ON activity 394 has a greater influence on RME fall (Fig. 3D). Additionally, ON sensory input drives RME rise in 395 state 3 (Fig. 3D), suggesting that forward locomotion that characterizes state 3 can be maintained 396 with ON activation of RME and OFF suppression of AVA. Overall, we show that SDT-MLR 397 models can be used to identify relevant network states, characterize how those states are 398 generated by network history, and delineate the state-dependent effects of stimuli on 399 motor/command neuron activity.

400

401 Effect of stimulus timescales and sensory context on AVA and RME clusters

402 To further deconstruct sensory influences on AVA and RME cluster activity, we divided 403 bacteria ↔ buffer stimulus sequences into stimulus patterns with either low- or high-variance pulse protocols, and fitted separate SDT-MLR models using corresponding neural activity (Fig. S6, S7). 404 405 The main difference between low- and high-variance protocols is that alternating stimulus blocks 406 consist of a single long pulse in the former, and composed of multiple short pulses in the latter 407 (see Materials and Methods: Division of stimulus sequences). In general, high-variance pulses 408 generally had more effect on AVA and RME cluster activity than low-variance pulses (Fig. 4A). To 409 compare the overall effect of each network state on sensory gating, we summed the absolute values of magnitudes from all temporal filters within a state (Fig. 4A, last row). While high- and 410 411 low-variance stimulus pulses had similar overall effects in state 4, high-variance pulses had more 412 than twice as much influence as low-variance pulses in state 3 (Fig. 4A, last row). Particularly in 413 state 4. low-variance pulses can result in uneven influence from ON and OFF neurons, such as 414 greater ON influence on RME fall and greater OFF influence on AVA rise (Fig. 4A). While state 1 415 and 2 were initially deemed to be broadly unresponsive to sensory input (Fig. 3B), enriching the 416 model with a subset of pulse lengths revealed some sensory influence in state that was 417 previously masked when all timescales were considered. For example, in state 1, high-variance-418 pulse ON activity promoted AVA fall, while high-variance-pulse OFF activity suppressed AVA fall 419 (Fig. 4A). These opposite effects likely canceled each other out when all stimulus pulse lengths 420 were considered, thereby resulting in a sensory-unresponsive model prediction (Fig. 3D). 421 Furthermore, segregation of stimuli by low- or high-variance pulses revealed more sensory influence on forward locomotion in states 3 and 4 (Fig. 4A) that was previously undetected in the 422 423 all-pulse SDT-MLR model (Fig. 3D). Thus, the SDT-MLR model can be used to also detect 424 feature-specific effects of stimuli on motor/command neuron activity.

425 We next explored the ability of SDT-MLR models to differentiate sensory contexts by 426 comparing buffer ↔ buffer and bacteria ↔ buffer stimulus sequences. Since high-variance pulses 427 were shown to have greater overall effect (Fig. 4A), we compared only high-variance pulses from 428 buffer↔buffer and bacteria↔buffer stimulus sequences. In the SDT–MLR model for 429 buffer↔buffer stimulus sequences (Fig. S8), inclusion of buffer↔buffer sensory activity improved 430 overall performance only for state 4 (Fig. S8A). Compared to bacteria↔buffer sensory input, 431 temporal filters predicting AVA and RME cluster activity from buffer↔buffer sensory input typically 432 displayed slower timescales (Fig. S8C). Based on the sum of absolute magnitudes of all temporal 433 filters within a state, buffer⇔buffer stimuli generally had more influence than bacteria⇔buffer 434 stimuli in states 1 and 2, and less influence in state 3 (Fig. 4B). While the state-agnostic decoding 435 model found no difference between channel 1 and channel 2 buffer flows in how they drove AVA 436 and RME cluster activity (Fig. 2K–L), the SDT–MLR model found that the channel 1 buffer had a greater effect on AVA and RME cluster activity than the channel 2 buffer in states 2, 3, and 4 (Fig. 437 438 4B, gray). This bias may be due to channel 1 being closer to the *C. elegans* nose, as previously 439 surmised. However, this bias disappeared when bacteria was included in the sensory context 440 (Fig. 4B, cyan). Therefore, while channel 2 is chemically the same for both buffer ↔ buffer and

441 bacteria↔buffer stimulus sequences, the effect that the channel 2 buffer had on AVA and RME
442 cluster activity changed depending on whether the greater sensory context involves switching
443 between chemically identical buffers, or switching between bacterial stimuli and buffer.

- 444
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446 Discussion

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448 Here, we demonstrate that the SDT–MLR model can identify interpretable global network states 449 that underlie differential gating of sensory input at the motor and command neuron level. First, we 450 used state-agnostic encoding and decoding models to confirm that bacteria onset and removal 451 differ in how they drive activity of sensory neurons, AVA, and RME clusters. Using the SDT-MLR 452 model, we identified two sensory-unresponsive network states (states 1 and 2) and two sensory-453 responsive network states (states 3 and 4) in the bacteria↔buffer sensory context. For each 454 network state, we explicitly characterized how the history of each of the four functionally defined 455 motor/command neural populations (AVA, RME, SMDD, SMDV) drive AVA and RME cluster 456 activity to produce each network state. Finally, we used the SDT-MLR model to identify how 457 sensory input in general, as well as stimulus features and sensory context in particular, influence 458 AVA and RME cluster activity.

459 The encoding model showed that sensory neural responses to the addition of bacterial 460 stimuli (ON) and its removal (OFF) can be modeled by two linear ordinary differential equations 461 using one fast and one slow filter. Linearity in sensory neurons is observed in both vertebrates 462 and invertebrate photoreceptors (43), rat trigeminal neurons (44), and primate vestibular neurons 463 (45), implying that this might be a common phenomenon. We also show that ON sensory neurons 464 perfectly adapt to the bacterial stimulus, consistent with previous studies (38), while OFF neurons 465 adapt imperfectly. Given that our analysis included all sensory neurons responding to bacterial 466 stimuli, we suggest that this might be a general principle of C. elegans sensory neurons. Similar 467 differences in adaptation in ON and OFF neurons are also observed in single-cell 468 electrophysiological recordings from vertebrate photoreceptors and olfactory sensory neurons 469 (46). Moreover, these results also provide some hints about the encoding strategies of these two 470 sensory-neuron classes. We suggest that ON neurons encode stimulus over a larger dynamic 471 range compared to OFF neurons. Consistently, we have previously shown that AWA sensory 472 neurons (ON) have a larger dynamic range compared to AWC sensory neurons (OFF) in 473 detecting benzaldehyde (47). Moreover, studies in the vertebrate retina have shown that the 474 dynamic range of the ON pathway is much greater than that of the OFF pathway, likely due to a 475 selective effect of pre-synaptic inhibition on the ON, but not OFF, bipolar cells (48), confirming the 476 validity of our hypothesis.

477 The decoding model informed which motor/command neural populations were targets of 478 the sensory input, and also served as a state-agnostic model to compare with the state-479 dependent SDT-MLR model. Both models were used to assess how sensory input affects AVA 480 and RME cluster activity. While the decoding model found no difference in effect between either 481 of the buffer channels in buffer↔buffer stimulus sequences, the SDT–MLR model revealed that 482 buffer↔buffer sensory input does indeed have a channel bias that is more pronounced in some 483 states than others. This suggests that the SDT-MLR model is more sensitive to sensory effect 484 compared to the decoding model. This sensitivity is further amplified by subdividing sensory 485 effects by state, channel, sensory neuron classification (ON/OFF), prediction class (AVA rise, 486 AVA fall, RME rise, RME fall), and stimulus features (high-variance pulses, low-variance pulses). 487 This granular approach allowed us to identify specific sensory effects that were obscured in more 488 general analyses. We found that high-variance and low-variance pulses had similar influences in 489 one state, but sensory input from low-variance pulses were gated more heavily in another state. 490 Additionally, we revealed that state-dependent gating of buffer stimulus is dependent on whether 491 the greater sensory context involves switching between bacteria and buffer, or between buffer 492 and buffer.

The SDT–MLR model differs in both goal and interpretability from recent studies that described network states in *C. elegans* whole-brain activity (25, 27, 37, 49). In these studies, 495 whole-brain activity is analyzed with the purpose of understanding the temporal dynamics of 496 neuronal populations in terms of how the network state evolves over time. Often, probabilities of 497 network state transitions are related to corresponding stimulus or behavioral transitions. A 498 dimensionality reduction technique called principal component analysis (PCA) (50) is used to 499 quantify brain-wide correlations that reflect signals shared by clusters of neurons. These shared 500 signals are referred to as temporal principal components (PCs). A key operation of PCA-based 501 analyses is to transform whole-brain activity to new axes defined by the top PCs that explain the 502 most variance in data. However, the meaningful linear axes that originally described the data are 503 lost in the process. While PCA-based analyses are useful for distinguishing between network 504 states and their transition between each other, these networks states and transitions are 505 described in terms of PCs, which can be difficult to interpret. Nonetheless, PC weights can still be 506 used to identify relevant neurons and activity trends associated with each state (25, 27, 37, 49). 507 In our study, we are less concerned with the probabilities of transitioning between states, and 508 more interested in the within-state conditional probabilities of individual neuronal populations 509 interacting with each other. Instead of using PCA, our model preserves interpretability by using 510 soft decision trees, such that network states and network interactions are always described by the 511 identities of neuronal populations and their corresponding activity patterns. Rather than 512 supplanting PCA-based analyses, our SDT-MLR model serves as a complementary method for 513 focusing on the network interactions within individual network states rather than the temporal 514 dynamics that connect those network states.

515 Interestingly, the sensory-responsive states identified by the SDT-MLR are characterized 516 by neural activity that has been previously shown to be associated with forward locomotion, while 517 the sensory-unresponsive states are characterized by neural activity associated with reverse 518 locomotion. This is consistent with previous reports showing that inhibition of sensory input 519 occurs at particular phases of the locomotory cycle (11, 12). Inhibition of sensory input during 520 movement may serve to distinguish between external stimuli and self-generated stimuli (51), 521 which is accomplished by integrating sensory inputs with motor inputs (corollary discharge) (52). 522 Since typical C. elegans locomotion consists primarily of forward locomotion punctuated by 523 transient reversals (53), one possibility is that sensation of stimuli is suppressed during reversals 524 to temporarily pause processing of stimulus flows until a stable locomotion state is restored. It is 525 important to note that our study was conducted with C. elegans trapped in an immobilized 526 position in a microfluidic chip, and therefore behavioral associations were inferred purely from 527 motor and command neuron activity. Moreover, a recent study showed that the set of neurons 528 correlated with AVA differs depending on whether C. elegans is immobilized or freely moving 529 (49). Thus, our association of AVA and RME clusters activity with forward and reverse locomotion 530 is tentative and should be confirmed in freely moving animals. However, while imaging freely 531 moving C. elegans would provide rich behavioral information that can be added to the SDT-MLR 532 model, complex and precise stimuli presentation can be difficult to achieve when the stimulus 533 target is mobile. There are some efforts to study sensation in freely moving animals (22, 54), and 534 a reasonable balance of behavioral and sensory information richness may be achieved with a 535 microfluidic chip that allows semi-restricted locomotion and somewhat fast waves of liquid stimuli 536 (55).

537 Overall, we present an approach for understanding how sensory information filters 538 through whole-brain network interactions to affect downstream motor and command neurons in a 539 state-dependent manner. Currently, there is an epistemological bias towards identifying network 540 states that correspond with a particular stimulus or motor state. In contrast, there has been less 541 focus on network states that are defined by altered network interactions. Our computational 542 approaches provide a method for investigating network mechanisms at the level of pairwise 543 interactions between neuronal populations. While our study only looked at the network 544 mechanisms underlying sensorimotor integration, this model can be leveraged to also understand 545 how network inputs are integrated at any network level. More broadly, we suggest that our 546 approach of combining soft decision trees with multinomial logistic regression can be used to 547 identify relationships, not only in neural networks, but also in cellular signaling pathways, 548 transcription factor networks, and between other complex biological or physical entities.

549

550 Materials and Methods

551 552 Whole-brain imaging

We used two transgenic strains that expressed GCaMP. The primary strain (ZIM294) expressed 553 554 GCaMP5K in the nuclei of all neurons (mzmEx199 [Punc-31::NLSGCaMP5K: Punc-122::GFP]). 555 To identify neurons associated with activity patterns observed in ZIM294, we used a strain (OH15500) that expressed GCaMP6s and NeuroPAL (otls669[NeuroPAL];otls672[Panneuronal 556 557 GCaMP6s1). Cells were identified according to the map described by Yemini and colleagues (24). 558 We monitored changes in GCaMP fluorescence using a Zeiss LSM 880 with Airyscan. Acquisition 559 was done in 2 micron z-steps. In 'Fast' mode, the Airyscan images the entire head of the adult 560 worm at about 1.5 volumes per second. Worms were typically imaged for approximately ten 561 minutes. We then used piecewise rigid registration to remove motion artefacts (56) and non-562 negative matrix factorization to isolate individual neurons and extract their fluorescence values 563 (33). Out of a total 189 neurons in the head, our approach identified 50-100 neurons per animal.

564 565 Stimulus delivery

566 Day 1 adult animals were washed in M9 and loading into in a microfluidic device that trapped the 567 worm body while exposing only the nose to stimulus flows (28). Animals were also treated with 568 1.5 mMol of the paralytic tetramisole hydrochloride to suppress most perceivable worm movement. The movement of untreated worms proved too difficult to motion correct. We 569 570 delivered precise patterns of fluctuating bacteria and M9 buffer liquid flows using a custom 571 designed Arduino device to send pulses to a valve controller. The bacteria solution was prepared 572 as a 1:1 resuspension of a bacterial culture (OD₆₀₀ = 0.4) in M9 buffer as previously described (29). The controller determines whether bacteria or buffer is routed to the nose of the trapped 573 574 worm or away from the worm. Worms were exposed to binary patterns of bacteria and buffer. A 575 number of different stimulus protocols are used in this study. In the base protocol, the trial is 576 divided up into pulse blocks of ~15 seconds. The pattern is constructed using transition 577 probabilities: p(switch on | off) = 0.2 and p(switch off | on) = 0.4. In the faster protocols, the same 578 switch probabilities are used but the pulse blocks have length ~1.5 seconds. The patterned 579 protocols are effectively the same as the base protocol. The only difference is that their 'stimulus 580 on blocks' are composed of multiple pulses.

581

582 GCaMP filters

The GCaMP filter g(x) is modeled as a difference of exponentials with parameters matching those of Chen and colleagues (32). This procedure is complicated by the volumetric nature of the imaging data. Consider two sensory neurons with identical calcium dynamics; they respond to stimulus with the same timescale. Neuron A is in imaging slice 0, while neuron B is in slice 7.

587 These two neurons will have the same calcium timings relative to the stimulus. However, Neuron

588 B will appear to have faster response kinetics since it is acquired over half a second later (relative 589 to stimulus onset/removal) compared to neuron A. Thus, the slice in which the neuron appears

590 needs to be considered in the creation of its GCaMP filter:

$$g_f(t) = \int_{t_1}^{t_2} g(x) dx$$
$$t_1 = t + \frac{z}{n_z}$$
$$t_2 = t + \frac{z+1}{n_z}$$

592 where g(x) refers to the difference of exponentials and n_z refers to the number of z slices. The 593 normalized g(t) filter is applied via a linear convolution to transition between calcium and GCaMP 594 dynamics.

595

596 **ON and OFF sensory neuron classification**

597 Sensory neurons were classified as either ON or OFF for neuronal activity collected during

598 bacteria↔buffer stimulus sequences. The change in normalized fluorescence over a series of ≥

599 10 stimulus pulses (all trials have at least a few of these). Both the first 10 volumes into a bacteria

600 pulse and the first 10 volumes into a buffer pulse (following bacterial removal) were considered.

601 The following metric was then calculated:

$$rank = \left(\sum_{i}^{P} (f(t_i + 10) - f(t_i))\right) - \left(\sum_{j}^{Q} (f(t_j + 10) - f(t_j))\right)$$

603 where f is normalized fluorescence, t is pulse onset, P is the number of bacteria pulses, and Q is 604 the number of buffer pulses. The cells with the highest ranks considered as potential ON cells, 605 and the cells with the lowest ranks were considered as potential OFF cells. Rank cutoffs were 606 selected manually for each trial. ON cells were categorized as those neurons that obviously and immediately increased activity upon bacteria onsets, and immediately decreased upon bacteria 607 608 removals (Fig. 11). In contrast, OFF cells were classified as neurons that decreased activity upon 609 bacteria onsets and increased upon bacteria removals. For both ON and OFF cells, baseline (low 610 variance) activity occurred when the stimulus of interest was absent (during buffer pulses). This 611 distinguishes OFF-bacteria sensory neurons from hypothetical ON-buffer sensory neurons, for 612 which baseline activity would occur during bacteria pulses.

614 Encoding model

The encoding model predicted ON and OFF sensory neuron activity from stimulus features. The
core primary sensory model consists of three parts: (1) a set of cascade basis functions, (2)
coefficients for the basis functions, and (3) a GCaMP transformation.

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613

$$\frac{dx_i}{dt} = \tau \cdot ([input] - x_i(t))$$
$$X(t) = \sum_{i=1}^{n} a_i x_i(t)$$
$$g(t) = (x^p \circledast f_g)(t)$$

620

621 where x(t) is the inferred calcium level of the cell and is calculated as the sum of the temporal 622 filters (ODE model solutions), f_g is the GCaMP filter, and p is a positive value required for the 623 GCaMP transformation. The fitting procedure learns values for the time constant τ and the basis 624 coefficients a_i . This model uses the solutions to these ordinary differential equations $x_i(t)$ as 625 basis functions. For a given model instantiation, each equation is assigned a value for τ . After the 626 ordinary differential equations are solved analytically, the model can be linearized, allowing for 627 robust estimates of the *a* coefficients (see Methods). We then used a random search strategy to 628 obtain estimates of τ . Moreover, by toggling the coefficient constraint, we can test whether perfect 629 adaptation is necessary to predict sensory neuron activity. This constraint yields perfect adaptation as all the ordinary differential equation basis functions saturate at the same value 630 631 ([*input*]).

632 We used a random search strategy to find the correct set of basis functions. The strategy 633 chooses N basis time constants for an N cascade model and an initial estimate of p power. It 634 solves the basis equations analytically for a given stimulus pattern and produces an initial 635 estimate for the coefficients by fitting to a linearized approximation of the neuron's calcium trace. 636 In this approximation, the raw sensory neuron fluorescence trace is deconvolved using the 637 Richardson-Lucy method (57) and taken to the (1/p) power. The initial estimate for the 638 coefficients is the solution to the resulting linear regression equation. Finally, this system fits the 639 full model (free variables consist of basis coefficients and p power) is fit to the raw fluorescence 640 trace using gradient descent. We then repeat this process for a large number of random searches 641 to define the basis functions.

643 Decoding model

644 The decoding model predicted stimulus states activity from stimulus features. For both the 645 decoding and prediction analyses, we split the data into contiguous blocks of ~10 second (16 646 volume) duration. Within each block, subwindows were created in a rolling fashion. For instance, 647 for an N volume prediction window, there are 16-N legal, overlapping subwindows within each 648 block. It should be noted that there is no overlap between prediction windows of adjacent blocks. 649 Test/train sampling is done at the block level, guaranteeing no train/test prediction overlap. This 650 system captures sharp transitions while measuring targets over multiple time bins, thereby 651 limiting noise in the targets. We chose a length of 16 volumes because this captures the entirety 652 of motor neuron event initiation (for all motor neuron classes). This causes bootstrap sampling to 653 be performed at the event level, stopping a small, handful of events from dominating model 654 outcomes. We did not test other blockstrap sizes.

655 We used Gaussian basis functions for both decoding and prediction tasks. This involves 656 filtering network history and stimulus data through these basis functions before being fed into 657 decoding and prediction models. We chose specific gaussians (parametrized by mean and 658 variance) by hand-tuning model performance on the hyperparameter set.

659 Given the choice of 16 volume blocks and 8 volume time windows, there are 16-8=8 660 prediction windows within each time block. Unlike the prediction task, the decoding task centers 661 the prediction windows relative to the input data. For instance, in one decoding task, RME/AVA 662 cell cluster data from t+4-8 to t+4+8 volumes is used to predict whether the stimulus is 663 on/off/altered from t to t+8 volumes. The unit of 8 volumes was chosen because many of the 664 pulse protocols use 10 volume pulses as a base unit. Thus, using 8 volumes guarantees a fair 665 number of samples of the on stimulus class. We did not test other volume lengths.

In the decoding analyses, we predict five classes of stimulus patterns from network
activity (58). Prediction from worm identity alone serves as the null model for all decoding
analyses. In order to ensure best performance for the null model, we balanced the classes within
each worm. For each worm, if there are N occurrences of class A in the training set, there are N
occurrences of class A in the test set. We ensured this by randomly removing prediction windows.

672 SDT-MLR model

673 We used different L1 norms for each of the multinomial logistic regression input classes: 674 AVA/RME/SMDV/SMDD terms, ON/OFF cell terms, and worm identity terms. The worm identity 675 terms essentially make the forecasting models into a random-intercept model (59). Initial 676 experiments found no benefit in random-intercept style models. The number of network states 677 and number/shape of gaussian filters were additional hyperparameters. A combination of hand-678 turning and grid search were used on the hyperparameter set to find good regularizers. This 679 hyperparameter set was also used for the soft decision tree, which could also be thought of as an 680 additional hyperparameter in this study. These hyperparameters were frozen on the out-of-681 bootstrap analysis (42).

682 In the forecasting task, we predicted changes in GCaMP fluorescence from time t to t+T1 683 using network history from t-T2 to t and sensory neuron activity from t-T2+T1 to t+T1. We used a 684 length of twenty-four volumes for T2 for all prediction analyses. We treated the length of T1 as an 685 additional hyperparameter. As in the decoding analysis, these prediction windows are contained 686 inside larger data blocks. We performed train/test sampling at the block level. We chose sixteen 687 seconds (24 volumes) for T1 in all models, as 16 seconds is sufficient to capture the majority of 688 command neuron events.

RME and AVA activation and inactivation is clearly probabilistic. We used a multinomial
 logistic regression (MLR) as the base model for motor-neuron activity prediction. In order to use
 MLR, we discretized GCaMP fluorescence activity in every prediction window. This is done by
 subtracting the average GCaMP fluorescence over the prediction window by the GCaMP
 fluorescence level at the beginning of that window.

694 RME and AVA neurons have non-linear calcium dynamics. Two of these features are 695 boundedness and momentum. Members of the AVA cluster exhibit boundedness: their activity is 696 limited to a range between their upper and lower stable states. Also, activity in these neurons 697 appears to have momentum: when one of these neurons begins a transition between the stable 698 states, it will tend to complete that transition. A single, linear model is unable to describe both 699 momentum and boundedness. It must learn the positive correlation between a future AVA rise 700 and past AVA activity to capture momentum. However, this positive correlation should weaken 701 and become negative as AVA nears its upper bound, since AVA activity does not rise above this 702 bounded limit. Thus, a gating model is required to capture the change in this positive correlation.

703 Here, we employ multinomial logistic regression (MLR) models to capture nonlinear 704 dynamics, allowing us to capture features like momentum and boundedness. A gating model is 705 used to divide the space of network histories into subspaces. Each of these different subspaces 706 is associated with a different MLR model. This approach with two submodels can simultaneously 707 model both momentum and boundedness in AVA. In one theoretical solution, one MLR submodel 708 is only active when the AVA cell cluster is near its lower bound, while another is active when AVA 709 near its upper bound. The first submodel learns a positive correlation between a past AVA 710 increase in activity and future AVA rise (momentum), while the second learns a weak positive or 711 negative correlation (boundedness).

712 We use a hybrid model combing soft decision trees and multinomial logistic regression 713 (SDT-MLR) method to divide the network trajectory space into different subspaces. We assumed 714 that GCaMP fluorescence changes in each of these subspaces can be well-described by an 715 MLR. A soft decision tree is a form of oblique decision tree that is end-to-end differentiable (40). 716 Each branch of the soft decision tree is a different logistic regression model on the same input 717 vector, which outputs a left vs right probability. These left and right probabilities are multiplied by the predicted class probabilities of the corresponding left and right subtrees. In this manner, soft 718 719 decision trees are essentially hierarchical filters that can be learned through gradient descent. 720 The outputs of the soft decision tree weigh the different MLR models. For instance, a soft 721 decision tree with depth 2 and width 2 will have 4 output states. Each of these output states are 722 associated with a different MLR. All of these MLRs are trained against the entire dataset. 723 However, the data points are weighted by the particular soft decision tree output leaf. Hence, 724 different MLR models will focus on different subsets of the data.

725 We found that averaging predictions across SDT-MLR models improves cross-validation 726 performance. This averaging is done at two levels. First, within a SDT-MLR model, the 727 predictions of each MLR are weighted by the soft decision tree and averaged. Second, these 728 averaged predictions are further averaged across several SDT-MLR models. Here, twenty-five 729 SDT-MLR models were fit separately to the hyperparameter set. The best hybrid model was 730 chosen for analysis on the cross-validation set. For training on the cross-validation set, the Soft 731 Trees were frozen; only the MLRs were trained. Hence, training is convex (weighted multinomial logistic regression) on the cross-validation set. Freezing the soft decision during cross-validation 732 733 allows us to easily align model data across bootstraps. This, in turn, gives us information on the 734 variance of different features of the MLR models.

In our exploration of the hyperparameter set, we found that SDT–MLR models tend to converge on bad solutions if not regularized. These bad solutions are characterized by poor training and test set performance as well as state imbalance. The Soft Tree assigns most data points to one of its submodels, while its other MLR submodels are trained on very small subsets of the data resulting in state imbalance. We solved this issue by maximizing entropy regularizer H(X):

$$V_k(X) = \frac{1}{N} \sum_{i}^{N} S_k(X_i)$$
$$H(X) = \sum_{k} V_k(X) \log\left(\frac{1}{V_k(X)}\right)$$

where X_i is the i^{th} input data point in the minibatch. S_k is the probability assigned to the kth state/submodel by the soft decision tree. Thus, V_k is the average probability of state k across the minibatch. Therefore, H(X) is high when all states are equally represented in the minibatch. It

should be noted that this regularizer does not directly penalize high state probabilities.

746 Division of stimulus sequences

747 To compare the effect of short versus long stimulus pulses in the SDT-MLR model, complete 748 stimulus sequences were divided into low-variance (long pulses) and high-variance (short pulses) 749 stimulus patterns. Low-variance stimulus patterns were constructed by dividing the trial into pulse 750 blocks of ~ 15 seconds, with the transition probabilities p(switch on | off) = 0.2 and p(switch off | 751 on) = 0.4. High-variance stimulus patterns were also constructed by dividing the trial into bacteria 752 or buffer blocks with the same transition probabilities as for low-variance stimulus patterns. The 753 difference between low- and high- variance stimulus patterns is that the bacteria block consists of 754 a series of sub-pulses rather than a single constant pulse. These sub-pulses are ~3 seconds 755 bacteria and ~3 seconds buffer.

756

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758

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919 Figure 1. Food-stimulated whole-brain activity reveals six functional clusters. (A) Stimulus 920 presentation and imaging setup. A computer precisely controls delivery of a stimulus sequence 921 that alternates between variable-length pulses of two liquid flows: bacterial food stimulus (gold) 922 and control buffer (light blue). This stimulus sequence is presented to the nose of a C. elegans 923 animal that is restrained in a microfluidic chip. Volumes of the C. elegans head are acquired and 924 subsequently processed to acquire calcium traces. (B-D) Identification of neurons in the 925 NeuroPAL–GCaMP6s strain. Some photobleaching occurred due to acquisition after calcium 926 imaging (see Methods: Cell Identification). (B) RME motor neuron and AVA command neuron. (C) 927 SMDD motor neuron. (D) SMDV motor neuron. (E) Neurons and their associated direction of 928 locomotion. (F–G) Number of neurons in each functional cluster for all animals, based on > 85% 929 correlation with representative neurons. (F) non-NeuroPAL-GCaMP5K animals. (G) NeuroPAL-GCaMP6s animals. (H) Calcium activity of low-noise active neurons for a single worm. Gray 930 931 shading represents bacterial stimulus pulse duration. (I-K) Calcium activity averaged across all 932 traces within a functional cluster. Colors of average calcium traces corresponds with colors of 933 individual traces in (H). (I) OFF and ON clusters. (J) AVA and RME clusters. (K) SMDD and 934 SMDV clusters. 935



939 Figure 2. Bacteria onset and removal differentially drive activity of sensory, AVA, and RME 940 clusters. (A) Stimulus sequence features are fed into an encoding model (see Materials and 941 Methods: Encoding model) to predict sensory cluster activity. (B-C) Representative examples of 942 sensory neurons raw traces compared with model predictions. (B) ON cell. (C) OFF cell. (D-E) 943 Time-to-half-peak distributions for the best performing (D) ON and (E) OFF cell adapting models. 944 (F–H) Stimulus states (left, highlighted in gray) and their corresponding flow configurations 945 relative to the C. elegans nose. (F) Bacteria from channel 1 flows over nose. (G) Buffer from 946 channel 2 flows over nose. (H) As a control, buffer emanates from both channel 1 and channel 2. 947 (I) AVA and RME cluster activity, as well as worm identity, is fed into a decoding model (see 948 Materials and Methods: Decoding model) to predict different stimulus states (highlighted in gray). 949 In the null model, only worm identity is used. (J) Out-of-bootstrap cross-validation performance of the full decoding model that includes AVA and RME cluster activity. (K–R) Temporal filters 950 951 predicting stimulus states from AVA and RME cluster activity for buffer↔buffer stimulus 952 sequences (K-N) and bacteria \leftrightarrow buffer sequences (O–R). Gray shading represents the prediction 953 time window (with 0 s as the halfway point of the prediction window), such that preceding time 954 represents baseline activity and subsequent time represents delayed effects. Median bootstrap 955 temporal filters are plotted, with graded shading indicating 50%, 75%, and 90% of bootstraps. 956 957



961 Figure 3. Identification of interpretable network states that vary in sensory gating properties. (A)

962 The top four network states identified by the soft decision tree gating model portion of the SDT-

963 MLR forecasting model (see Fig. S5, Materials and Methods: SDT-MLR model). Cyan shading

964 represents the time windows for which a particular state's probability exceeds 0.75. (B–C)

965 Temporal filters predicting rise and fall of AVA and RME cluster activity from (B) recent history of 966 AVA, RME, SMDV, and SMDD cluster motor/command activity; and (C) ON and OFF cell cluster

967 sensory activity. (B–C) Median bootstrap temporal filters are plotted, with graded shading

968 indicating 50%, 75%, and 90% of bootstraps. (D) Difference in out-of-bootstrap cross-validation

performance between models that included both network history and sensory activity and models

970 that that only included network history.



974 Figure 4. Effect of stimulus timescales and sensory context on AVA and RME clusters. (A-B) 975 Maximum magnitudes of temporal filters predicting rise and fall of AVA and RME activity from 976 sensory neuron clusters. Maximum magnitudes are calculated from t-12s to t-0s relative to the 977 start of the prediction window (t). Sums of absolute values of magnitudes measure the overall 978 sensory influence within a state. (A) Comparison of all stimulus pulse lengths, only high-variance 979 pulses, and only low-variance pulses. (B) Comparison of buffer↔buffer and bacteria↔buffer 980 stimulus sequences. Only high-variance pulses were compared. Channel 1 and 2 are the same 981 as ON and OFF, respectively, for bacteria⇔buffer stimulus sequences, as seen in (A). 982



984

Figure S1. Characteristics of functional clusters in animals presented with buffer↔buffer stimulus
 sequences. (A) Number of neurons in each functional cluster. (B) Example traces of ON-1 (green)
 sensory neurons that respond to buffer from channel 1, and of ON-2 (orange) sensory neurons
 that respond to buffer from channel 2.



991

992 Figure S2. High-noise neuronal traces omitted from clusters still exhibit similarity to cluster 993 activity. Non-sensory neurons that did not exhibit strong correlation (>85%) with AVA, RME

activity. Non-sensory neurons that did not exhibit strong correlation (>85%) with AVA, RME,
 SMDD, or SMDV were excluded from clusters. Examples of excluded high-noise cells that
 resemble the AVA cluster are shown.



1000 Figure S3. Encoding model performance. Performance comparison of (A) ON and (B) OFF cell

1001 models with varied levels of adaptation, as measured by the change in MSE (mean squared

1002 error) from the null model to the full model. Boxplots represent the distribution of MSE differences 1003 across hierarchical bootstraps.



Figure S4. AVA and RME activity from both NeuroPAL–GCaMP6s and non-NeuroPAL– 1005 1006 GCaMP5K strains are similarly driven by bacterial stimuli. (A-C) Out-of-bootstrap cross-1007 validation model performance for the full decoding model that includes (A) AVA and RME cluster activity from the NeuroPal-GCaMP6s strain during bacteria↔buffer stimulus sequences, (B) 1008 1009 SMDD and SMDV cluster activity from the non-NeuroPal-GCaMP5K strain during 1010 bacteria↔buffer stimulus sequences, and (C) AVA and RME cluster activity from the non-1011 NeuroPal-GCaMP5K strain during buffer↔buffer stimulus sequences.(D–G) Temporal filters 1012 predicting stimulus states from AVA and RME cluster activity, for NeuroPAL–GCaMP6s (left) and non-NeuroPAL-GCaMP5K (right) strains. Gray shading represents prediction time windows, 1013 1014 such that preceding time represents baseline activity and subsequent time represents delayed 1015 effects. Median bootstrap linear filters are plotted, with graded shading indicating 50%, 75%, and 1016 90% of bootstraps. (B) prolonged bacteria, (C) prolonged buffer, (D) bacteria-to-buffer transition, 1017 and (E) buffer-to-bacteria transition.



1020

Figure S5. Tree organization of SDT-MLR model. (A) Hierarchical filters divide the space of network trajectories into different linearizable subspaces. (B) The top four network states identified by the soft decision tree gating model portion of the SDT-MLR forecasting model (same as Fig. 3A). Cyan shading represents the time windows for which a particular state's probability exceeds 0.75. (C) Time was binned into windows, from which state-maximizing windows, in which 1026 p(state) \approx 1, were selected to be used as input for MLR submodels.



1029

Figure S6. SDT-MLR model with only high-variance pulses from bacteria↔buffer stimulus
sequence presentation. (A) Difference in out-of-bootstrap cross-validation performance between
models that included both network history and sensory activity and models that that only included
network history. (B–C) Temporal filters predicting rise and fall of AVA and RME cluster activity
from (B) recent history of AVA, RME, SMDV, and SMDD cluster activity; and (C) ON and OFF cell
cluster sensory activity. (B–C) Median bootstrap temporal filters are plotted, with graded shading
indicating 50%, 75%, and 90% of bootstraps.



Figure S7. SDT-MLR model with only low-variance pulses from bacteria↔buffer stimulus
 sequence presentation. (A) Difference in out-of-bootstrap cross-validation performance between
 models that included both network history and sensory activity and models that that only included
 network history. (B–C) Temporal filters predicting rise and fall of AVA and RME cluster activity
 from (B) recent history of AVA, RME, SMDV, and SMDD cluster activity; and (C) ON and OFF cell
 cluster sensory activity. (B–C) Median bootstrap linear filters are plotted, with graded shading
 indicating 50%, 75%, and 90% of bootstraps.





Figure S8. SDT-MLR model for buffer ↔ buffer stimulus sequence presentation, high-variance
pulses only. (A) Difference in out-of-bootstrap cross-validation performance between models that
included both network history and sensory activity and models that that only included network
history. (B–C) Temporal filters predicting rise and fall of AVA and RME cluster activity from (B)
recent history of AVA, RME, SMDV, and SMDD cluster activity; and (C) ON and OFF cell cluster
sensory activity. (B–C) Median bootstrap temporal filters are plotted, with graded shading
indicating 50%, 75%, and 90% of bootstraps.