

# 1 Extensive gene duplication in 2 Arabidopsis revealed by pseudo- 3 heterozygosity

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## 6 Abstract

7 **Background:** It is becoming apparent that genomes harbor massive amounts of structural  
8 variation, and that this variation has largely gone undetected for technical reasons. In addition to  
9 being inherently interesting, structural variation can cause artifacts when short-read sequencing  
10 data are mapped to a reference genome. In particular, spurious SNPs (that do not show  
11 Mendelian segregation) may result from mapping of reads to duplicated regions. Recalling SNP  
12 using the raw reads of the 1001 Arabidopsis Genomes Project we identified 3.3 million  
13 heterozygous SNPs (44% of total). Given that *Arabidopsis thaliana* (*A. thaliana*) is highly selfing,  
14 we hypothesized that these SNPs reflected cryptic copy number variation, and investigated  
15 them further.

16 **Results:** While genuine heterozygosity should occur in tracts within individuals, heterozygosity  
17 at a particular locus is instead shared across individuals in a manner that strongly suggests it  
18 reflects segregating duplications rather than actual heterozygosity. Focusing on pseudo-  
19 heterozygosity in annotated genes, we used GWAS to map the position of the duplicates,  
20 identifying 2500 putatively duplicated genes. The results were validated using *de novo* genome  
21 assemblies from six lines. Specific examples included an annotated gene and nearby  
22 transposon that, in fact, transpose together.

23 **Conclusions:** Our study confirms that most heterozygous SNPs calls in *A. thaliana* are  
24 artifacts, and suggest that great caution is needed when analysing SNP data from short-read  
25 sequencing. The finding that 10% of annotated genes are copy-number variables, and the

26 realization that neither gene- nor transposon-annotation necessarily tells us what is actually  
27 mobile in the genome suggest that future analyses based on independently assembled  
28 genomes will be very informative.

29 **Keywords:** structural variation, gene duplication, GWAS, SNP calling

## 30 Introduction

31 With the sequencing of genomes becoming routine, it is evident that, besides single nucleotide  
32 polymorphisms (SNPs), structural variants (SVs) play a major role in genome variation (Alkan,  
33 Coe, and Eichler 2011). There are many kinds of SVs, e.g., indels, inversions, and  
34 transpositions. Of particular interest from a functional point of view is gene duplication, leading  
35 to copy number variation (CNV).

36 Before Next-Generation Sequencing (NGS) was available, genome-wide detection of  
37 CNVs was achieved using DNA-microarrays. These methods had severe weaknesses, leading  
38 to low resolution and problems detecting novel and rare mutations. (Carter 2007; Snijders et al.  
39 2001). With the development of NGS, our ability to detect CNVs increased dramatically, using  
40 tools based on split reads, sequencing coverage, or even *de novo* assembly (Shendure and Ji  
41 2008; Zhao et al. 2013). In mammals, many examples of CNVs with a major phenotypic effect  
42 have been found (Gonzalez et al. 2005; Perry et al. 2007; Handsaker et al. 2011). One example  
43 is the duplication of MWS/MLS associated with better trichromatic color vision (Miyahara et al.  
44 1998).

45 While early investigation of CNV focused on mammals, several subsequent studies have  
46 looked at plant genomes. In *Brassica rapa*, gene CNV has been shown to be involved in  
47 morphological variation (Lin et al. 2014) and an analysis of the poplar pan genome revealed at  
48 least 3000 genes affected by CNV (Pinosio et al. 2016). It has also been shown that variable  
49 regions in the rice genome are enriched in genes related to defence to biotic stress. (Yao et al.  
50 2015). More recently, the first chromosome-level assemblies of seven accessions of *A. thaliana*  
51 based on long-read sequencing were released (Jiao and Schneeberger 2019), demonstrating  
52 that a large proportion of the genome is structurally variable. Similar studies have also been  
53 carried out in maize (C. Li et al. 2020; Hufford et al. 2021), tomato (Alonge et al. 2020), rice  
54 (Zhou et al. 2020) and soybean (Y. Liu et al. 2020). These approaches are likely to provide a  
55 more comprehensive picture than short-read sequencing, but are also far more expensive.

56 In 2016, the 1001 Genomes Consortium released short-read sequencing data and SNP  
57 calls for 1135 *A. thaliana* accessions (1001 Genomes Consortium 2016). Several groups have  
58 used these data to identify large numbers of structural variants using split reads (Göktay,  
59 Fulgione, and Hancock 2020; Zmienko et al. 2020; D.-X. Liu et al. 2021). Here we approach this  
60 from a different angle. Our starting point is the startling observation that recalling SNPs using  
61 the raw reads of the 1001 Genomes data set we identified 3.3 million (44% of total) putatively  
62 heterozygous SNPs. In a highly selfing organism, this is obviously highly implausible, and these  
63 SNPs were flagged as spurious, presumably products of cryptic CNV, which can generate  
64 “pseudo-SNPs” (Ranade et al. 2001) when sequencing reads from non-identical duplicates are  
65 (mis-)mapped to a reference genome that does not contain the duplication. Note that allelic SNP  
66 differences are expected to exist *ab initio* in the population, leading to instant pseudo-  
67 heterozygosity as soon as the duplicated copy recombines away from its template. In this paper  
68 we return to these putative pseudo-SNPs and show that they are indeed largely due to  
69 duplications, the position of which can be precisely mapped using GWAS. Our approach is  
70 broadly applicable, and we demonstrate that it can reveal interesting biology.

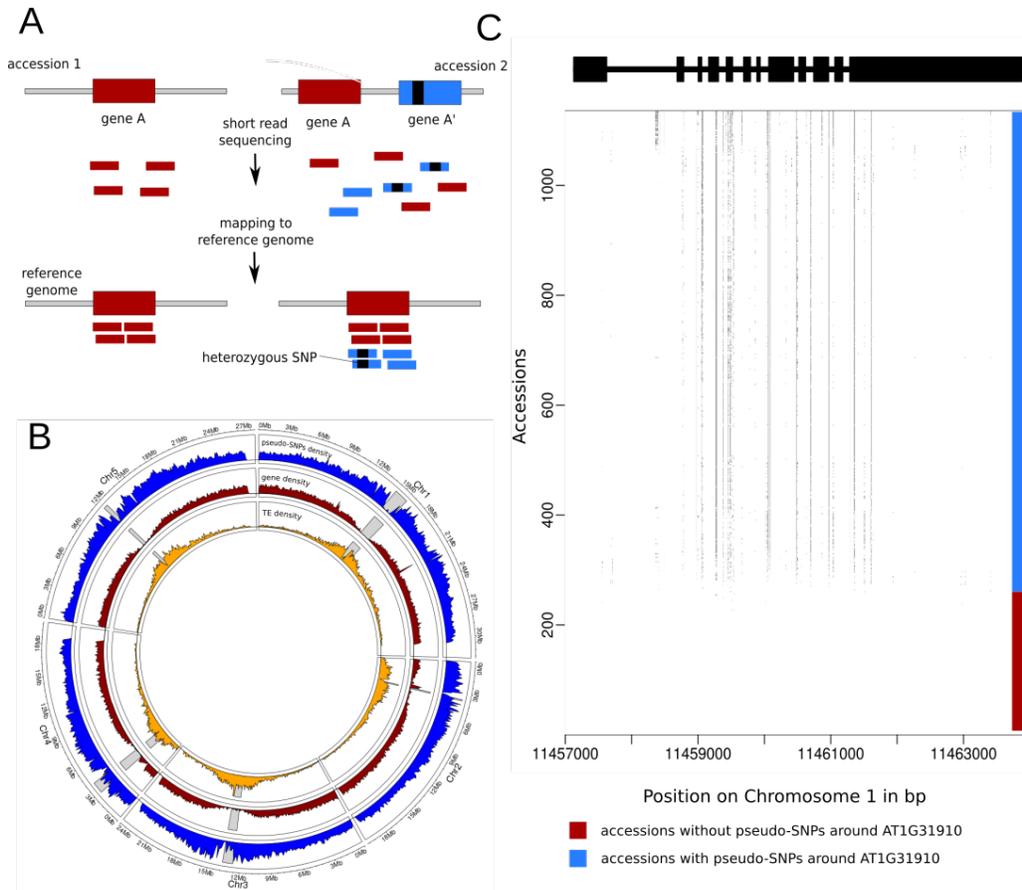
## 71 Analysis

### 72 Massive pseudo-heterozygosity in the 1001 Genomes data

73 Given that *A. thaliana* is highly selfing, a large fraction (44%) of heterozygous SNPs is  
74 inherently implausible. Two other lines of evidence support the conclusion that they are  
75 spurious. First, genuine residual heterozygosity would appear as large genomic tracts of  
76 heterozygosity in individuals with recent outcrossing in their ancestry. Being simply a product of  
77 recombination and Mendelian segregation, which tracts remain heterozygous is random, and  
78 there is no reason two individuals would share tracts unless they are very closely related. The  
79 observed pattern is completely the opposite. While a small number of individuals do show signs  
80 of recent outcrossing, this is quite rare (as expected given the low rate of outcrossing in this  
81 species, and the fact that the sequenced individuals were selected to be completely inbred).

82 Instead we find that the same SNP are often heterozygous in multiple individuals.  
83 Although the population frequency of heterozygosity at a given SNP is typically low  
84 (**Supplemental Figure 1**), over a million heterozygous SNPs are shared by at least 5  
85 accessions, and a closer look at the pattern of putative heterozygosity usually reveals short

84 tracts of shared heterozygosity that would be vanishingly unlikely under residual heterozygosity,  
85 but would be expected if the tract represents a shared duplication, and heterozygosity is in fact  
86 pseudo-heterozygosity due to mis-mapped reads (**Figure 1**).



87 **Figure 1:** Pseudo-heterozygosity in the 1001 Genomes dataset. (A) Cartoon illustrating how a duplication  
88 can generate pseudo-SNPs when mapping to a reference genome that does not contain the duplication.  
89 (B) Genomic density of transposons, genes, and shared heterozygous SNPs. (C) The pattern of putative  
90 heterozygosity around AT1G31910 for the 1057 accessions. Dots in the plot represent putative  
91 heterozygosity.

92 Furthermore, the density of shared heterozygous SNPs is considerably higher around  
93 the centromeres (**Figure 1**), which is again not expected under random residual heterozygosity,  
94 but is rather reminiscent of the pattern observed for transposons, where it is interpreted as the  
95 result of selection removing insertions from euchromatic regions, leading to a build-up of  
96 common (shared) transposon insertions near centromere (Quadrana et al. 2016). As we shall

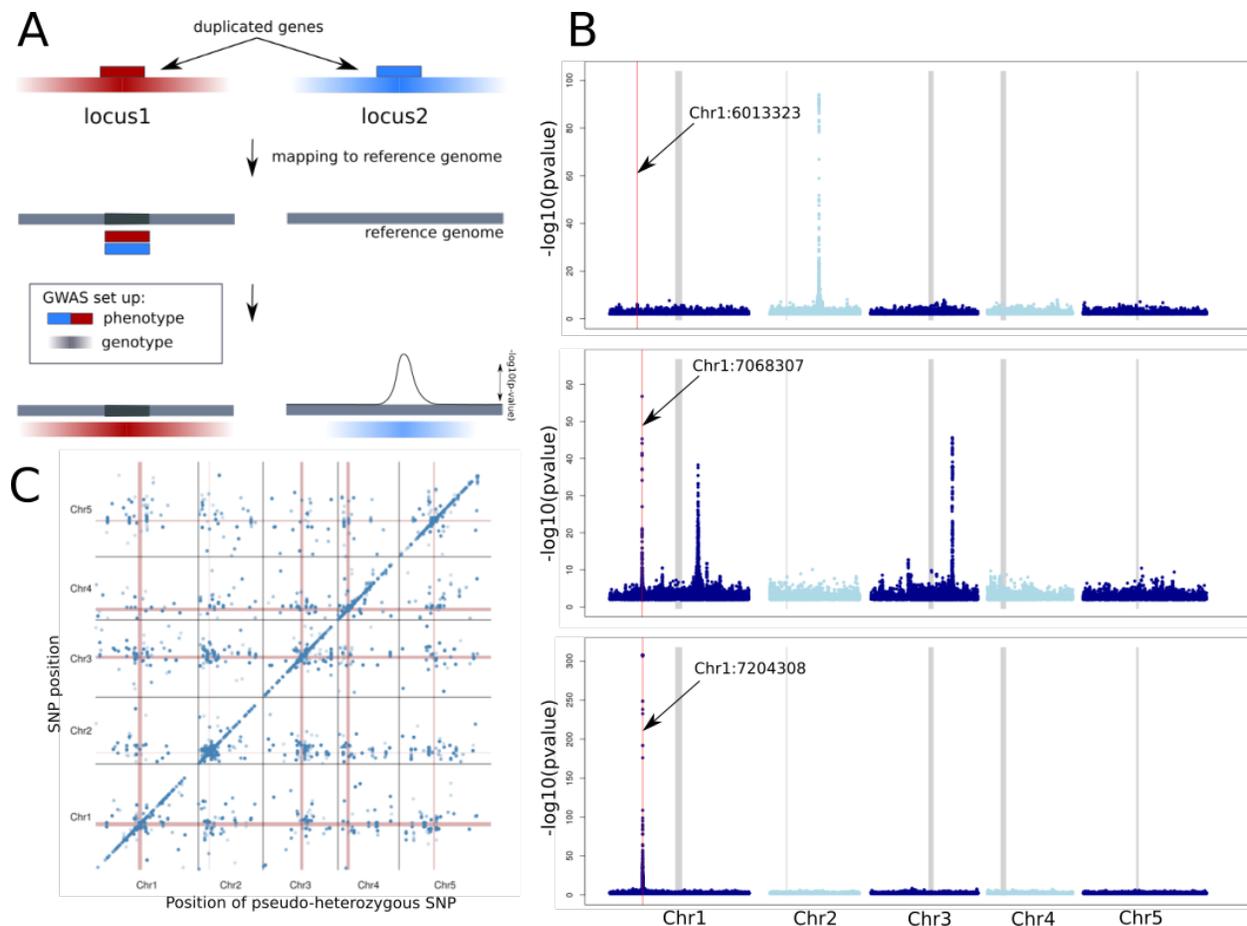
97 see below, it is likely that transposons play an important role in generating cryptic duplications  
98 leading to pseudo-heterozygosity.

99         Despite the evidence for selection against these putative duplications, we found 2570  
100 genes containing pseudo-SNPs segregating at 5% or more in the population (**Supplemental**  
101 **Figure 2**). Gene-ontology analysis of these genes reveals an enrichment for biological  
102 processes involved in response to UV-B, bacteria or fungi (**Supplemental Figure 3**). In the  
103 following sections, we investigate these putatively duplicated genes further.

## 104 Mapping common duplications using genome-wide association

105 If heterozygosity is caused by the presence of cryptic duplications in non-reference genomes, it  
106 should be possible to map the latter using GWAS and heterozygosity as a “phenotype”  
107 (Imprialou et al 2017). We did this for each of a total of 26647 SNPs exhibiting heterozygosity  
108 within the genes described above.

109         Of the 2570 genes that showed evidence of duplication, 2511 contained at least one  
110 major association (using significance threshold of  $p < 10^{-20}$ ; see Methods). For 708 genes, the  
111 association was more than 50 kb away from the pseudo-SNP used to define the phenotype, and  
112 for 175 it was within 50 kb. We will refer to these as *trans*- and *cis*-associations, respectively.  
113 The majority of genes, 1628, had both *cis*- and *trans*-associations (**Figure 2**).



114 **Figure 2:** GWAS of putative duplications A: Schematic representation of the principle of how GWAS can  
115 be used to detect the position of the duplicated genes based on linkage disequilibrium (LD). As  
116 phenotype, heterozygosity at the position of interest is coded as 1 (present) or 0 (absent). As genotype,  
117 the SNPs matrix of the 1001 genome dataset was used (with heterozygous SNPs filtered out). Color  
118 gradients represent the strength of LD around the two loci. In this example the reference genome does  
119 not contain locus2. (B) GWAS results for three different genes with evidence of duplication. The grey  
120 boxes represent the centromere of each chromosome. The red lines indicate the position of the pseudo-  
121 SNP used for each GWAS and the thick grey lines indicate the centromeres. The top plot shows a *trans*-  
122 association, the bottom a *cis*-association, and the middle shows a case with both (*cis* plus two *trans*). The  
123 precise coordinates in base-pair on chromosome 1 are 6013323, 7068307 and 7204308. (C) All 26647  
124 GWAS results summary.

125 To validate these results we assembled 6 non-reference genomes *de novo* using long-  
126 read PacBio sequencing. The GWAS hits tells us where we should expect to find the duplication  
127 (the cause of pseudo-heterozygosity) using coordinates from the reference genome. We  
128 identified the homologous region of each non-reference genome, then used BLAST to search

129 for evidence of duplication. Of the 398 genes predicted to have a duplication present in at least  
130 one of the 6 non-reference genomes, 235 (60%) were found to do so. The distribution of  
131 fragment sizes detected suggests that we capture a mixture of gene fragments and full genes  
132 (**Supplemental Figure 4**).

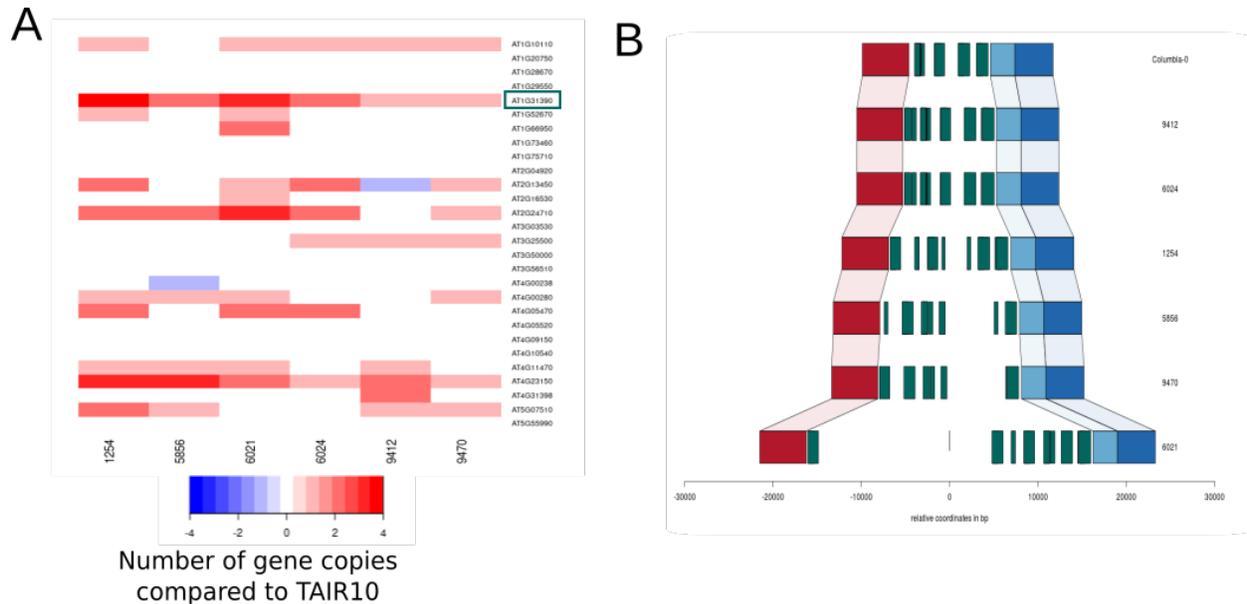
## 133 Rare duplications

134 The GWAS approach has no power to detect rare duplications, which is why we restricted the  
135 analysis above to pseudo-heterozygous SNPs seen in five or more individuals. Yet most are  
136 rarer: 40% are seen only in a single individual, and 16% are seen in two. As it turns out, many of  
137 these appear to be associated with more common duplications. Restricting ourselves to genes  
138 only, 11.4% of the singleton pseudo-heterozygous SNPs are found in the 2570 genes already  
139 identified using common duplications, a significant excess ( $p = 2.481877e-109$ ). For doubletons,  
140 the percentage is 11.1% ( $p = 1.882515e-139$ ). Whether they are caused by the same  
141 duplications, or reflect additional ones present at lower frequency is impossible to say. To  
142 confirm duplications more directly, we simply took the reads generating the singleton and  
143 doubleton pseudo-heterozygotes, and compared the result of mapping them to the reference  
144 genome, and to the right genome (from the same inbred line). A consequence of the reads  
145 mapping at different locations is that the mapping coverage around the pseudo-SNPs will be  
146 decreased when mapping to the PacBio genomes. As expected a high proportion of the SNPs  
147 tested have lower coverage when mapping to the PacBio genomes (**Supplemental Figure 5-6**).  
148 On top of the decrease in coverage we could also detect reads mapping to multiple locations as  
149 well as the disappearance of the Pseudo-SNPs. Overall, 41.5% of the doubletons tag regions  
150 that map in more regions in the PacBio genomes compared to the reference genome  
151 (**Supplemental Figure 5-6, 7**).

## 152 Local duplications

153 If duplications arise via tandem duplications, they will not give rise to pseudo-SNPs until the  
154 copies have diverged via mutations. This is in contrast to unlinked copies, which will lead to  
155 pseudo-SNPs due to standing allelic variation as soon as recombination has separated copy  
156 from original. We should thus expect the approach taken here to be biased against detecting  
157 local duplications. Nonetheless, GWAS revealed 175 genes with evidence only for a *cis*  
158 duplication. 28 of these were predicted to be present in at least one of the 6 PacBio assemblies,  
159 and 16 could be confirmed to have local variation of copy number compare to the reference.

160 (Figure 4A).



161 **Figure 3:** Confirmation of tandem duplications. (A) The distribution of estimated copy number (based on  
162 sequencing coverage) across 6 PacBio genomes for 28 genes predicted to be involved in tandem  
163 duplications based on the analyses of this paper. (B) The duplication pattern observed in these genomes  
164 for one such gene, AT1G31390. Each color represents gene annotation from TAIR10. Multiple rectangles  
165 of the same copies denote multiple copies of the same gene.

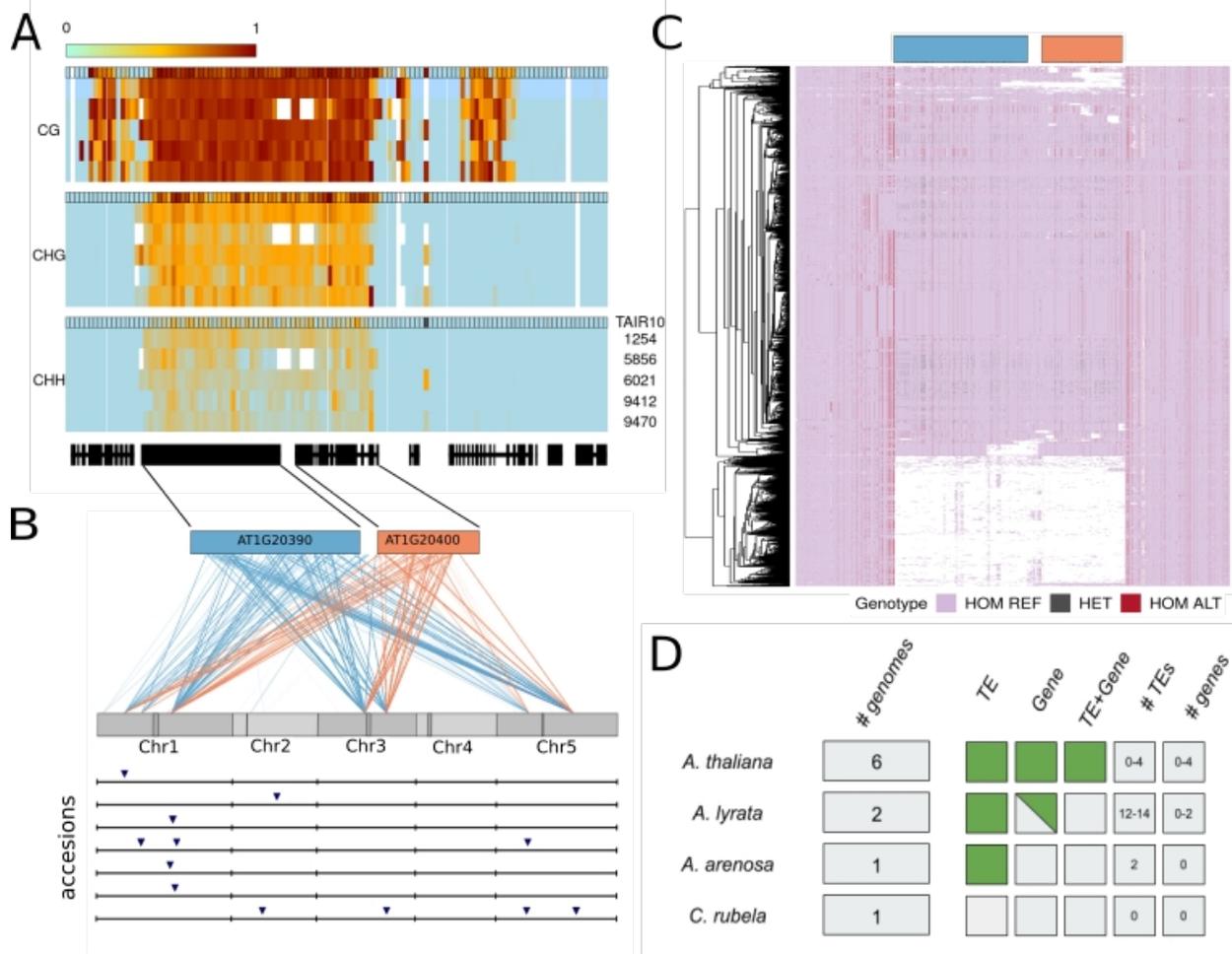
166 The local structure of the duplications can be complex. An example is provided by the  
167 gene AT1G31390, annotated as a member of MATH/TRAF-domain genes, and which appears  
168 to be present in 4 tandem copies in the reference genome, but which is highly variable between  
169 accessions, with one of our accessions carrying at least 6 copies (Figure 4B). However, there  
170 are no copies elsewhere in any of the new genomes (Supplemental Figure 8).

## 171 Transposon-driven duplications

172 Transposons are thought to play a major role in gene duplications, moving genes or gene  
173 fragments around the genome (Woodhouse, Pedersen, and Freeling 2010). While confirming  
174 the *trans* duplications in the PacBio genomes, we found a beautiful example of this process.  
175 The gene AT1G20400 (annotated to encode a myosin heavy chain like protein, confirmed by  
176 expression data) was predicted to have multiple *trans*-duplications. The 944 bp coding region  
177 contains 125 putatively heterozygous SNPs with striking haplotype structure clearly suggesting  
178 structural variation (Figure 4C). We were able to identify the duplication predicted by GWAS in  
179 the 6 PacBio genomes (Figure 4). Three of the newly assembled genomes have only one copy

180 of the gene, just like the reference genome, but one accession has 2 copies, and two have 4  
181 copies. However, none of the 6 new genomes has a copy in the same place as in the reference  
182 genome (**Supplemental Figure 9**).

183 In the reference genome, AT1G20400 is closely linked to AT1G203090, which is  
184 annotated as a Gypsy transposable element. This element also contains many pseudo-SNPs,  
185 and GWAS revealed duplication sites overlapping those for AT1G20400 (**Figure 3B**). This  
186 suggested that the putative gene and putative Gypsy element transpose together, i.e. that both  
187 are misannotated, and that the whole construct is effectively a large transposable element.  
188 Further analysis of the PacBio genomes confirmed that AT1G20400 and AT1G20390 were  
189 always found together, and we were also able to find conserved Long Terminal Repeat  
190 sequences flanking the whole construct, as would be expected for a retrotransposon  
191 (**Supplementary Figure 10-11**). Available bisulfite sequencing data (Kawakatsu et al. 2016)  
192 showed that the whole region is heavily methylated, as expected for a transposon (**Figure 3**).  
193 We tried mapping the bisulfite reads to the appropriate genome for the respective accessions,  
194 but the coverage was too low and noisy to observe a difference in methylation between the  
195 multiple insertions (**Supplemental Figure 12**).



196 **Figure 4:** A Gypsy element and a gene transpose together. (A) Methylation levels on regions containing  
 197 AT1G20390 and AT1G20400 for 6 accessions, calculated in 200 bp windows after mapping reads to the  
 198 TAIR10 reference genome (annotation shown in black). (B) GWAS results for the putatively heterozygous  
 199 SNPs in AT1G20390 and AT1G20400. Each line represents the link between the position of the pseudo-  
 200 SNP (upper side) and a GWAS hit position in the genome (lower side). The color corresponds to the  
 201 location of the original heterozygous SNPs (phenotype), Blue for the gypsy element (AT1G20390) and  
 202 orange for the myosin heavy chain-like gene (AT1G20400). The lower part shows the presence of the  
 203 new transposable element in the 6 PacBio genomes. (C) Genotype around the AT1G20400 region for the  
 204 1001 genomes data set: Three different genotypes are shown, Homozygote reference (HOM REF),  
 205 Heterozygot (HET) and Homozygote Alternative (HOM ALT). White represents a lack of coverage. (D)  
 206 Presence of the gene and the transposon in related species. Green represents the presence of the TE,  
 207 gene or both in each species.

208 Having located precise insertions in the new genomes, we attempted to find them using short-  
 209 read data in the 1001 Genomes dataset. Except for one insertion that was shared by 60% of  
 210 accessions, the rest were found in less than 20% of accessions, suggesting that this new

211 element has no fixed insertions in the genome (**Supplemental Figure 13**). We looked for the  
212 element of interest in the genomes of *A. lyrata* (two genomes), *A. suecica* (Burns et al. 2021),  
213 and the outgroup *Capsella rubella* (Slotte et al. 2013). The gene and the Gypsy element were  
214 only found together in *A. thaliana* (including the *A. thaliana* sub-genome of the allopolyploid *A.*  
215 *suecica*). The Gypsy element alone is present in the other *Arabidopsis* species, and the gene  
216 alone is present in *A. lyrata*, but only in one of two genomes. In *Capsella rubella* neither the  
217 transposon nor the gene could be detected (**Supplemental Figure 14**). The transposon and  
218 gene are specific to *Arabidopsis* while their co-transposition is specific to *A. thaliana*, suggesting  
219 that these “jumps” occurred recently evolutionary speaking since divergence of *A. thaliana* with  
220 the other *Arabidopsis* species.

## 221 Discussion

222 A duplication can lead to pseudo-SNPs when SNPs are identified by mapping short reads to a  
223 reference genome that does not contain the duplication. Typically pseudo-SNPs have to be  
224 identified using non-Mendelian segregation patterns in families or crosses, but in inbred lines  
225 they can be identified solely by their presence. The overwhelming majority of the 3.3 million  
226 heterozygous SNPs (44% of total) identified by our SNP-calling of the 1001 Genomes Project  
227 (1001 Genomes Consortium 2016) data are likely to be pseudo-SNPs. Assuming this, we used  
228 (pseudo-)heterozygosity as a “phenotype”, and tried to map its cause, i.e. the duplication, using  
229 a simple but powerful GWAS approach. Focusing on annotated genes, we find that over 2500  
230 (roughly 10% of total) harbor pseudo-SNPs and show evidence of duplication. Using 6  
231 independent long-read assemblies, we were able to confirm 60% of these duplications, using  
232 conservative criteria (see Methods). Most of the remaining duplications are located in  
233 pericentromeric regions where SNP-calling has lower quality, and which are difficult to assemble  
234 even with long-read (**Supplemental Figure 15**).

235 These numbers nearly certainly underestimate the true extent of duplication. While  
236 unlinked *trans*-duplications are fairly likely to give rise to pseudo-SNPs, local *cis*-duplications will  
237 only do so once sufficient time has passed for substantial sequence divergence to occur. As for  
238 the GWAS approach, it lacks statistical power to detect rare duplications, and can be misled by  
239 allelic heterogeneity (due to multiple independent duplications). Finally, duplications are just a  
240 subset of structural variants, and it is therefore not surprising that other short-read approaches  
241 to detect such variants have identified many more (Zmienko et al. 2020; D.-X. Liu et al. 2021;  
242 Göktay, Fulgione, and Hancock 2020).

243 Pseudo-SNPs is not the only problem with relying on a reference genome. Our analysis  
244 uncovered a striking example of the potential importance of the “mobileome” in shaping genome  
245 diversity: we show that an annotated gene and an annotated transposon are both part of a  
246 much large mobile element, and the insertion in the reference genome is missing from most  
247 other accessions. When short reads from another accession are mapped to this “gene” using  
248 the reference genome, you are neither mapping to a gene, nor to the position you think.  
249 Time (and more independently assembled genomes) will tell how significant this problem is, but  
250 the potential for artifactual results is clearly substantial. It is also important to realize that the  
251 artefactual nature of the 44% heterozygous SNPs was only apparent because we are working  
252 with inbred lines. In human genetics, SNP-calling relies heavily on family trios, but in  
253 outcrossing organisms where this is not possible, there is great cause for concern. The  
254 increasing ease and ability to sequence more and more complex genomes, such as projects  
255 associated with the 1001G+ and Tree of Life, will allow population analyses to avoid the use of  
256 a single reference genome and reveal new mechanisms of gene duplication and structural  
257 variants such as those reported here.

## 258 Methods

### 259 Genome assemblies

#### 260 Long-read sequencing of six *A. thaliana*

261 We sequenced six Swedish *A. thaliana* lines part of the 1001 genomes collection ((1001  
262 Genomes Consortium 2016)), ecotype ids 1254, 5856, 6021, 6024, 9412 and 9470. Plants  
263 were grown in the growth chamber at 21 C in long day settings for 3 weeks and dark-treated for  
264 24-48 hours before being collected. DNA was extracted from ~20 g of frozen whole seedling  
265 material following a high molecular weight DNA extraction protocol adapted for plant tissue  
266 (Cristina Barragan et al. 2021). All six genomes were sequenced with PacBio, 6021 with PacBio  
267 RSII technology, while the rest were sequenced with Sequel. Accession 9412 had two rounds of  
268 Sequel sequencing and 6024 was additionally sequenced with Nanopore (4.1 Gbp sequenced,  
269 376 K reads with N50 18.7 Kb), all data was used in the assemblies.

## 270 MinION sequencing of two *A. lyrata*

271 We sequenced two North American *A. lyrata* accessions, 11B02 and 11B21. Both individuals  
272 come from the 11B population of *A. lyrata*, which is self compatible and situated in Missouri  
273 (Griffin and Willi 2014) (GPS coordinates 38° 28' 07.1" N; 90° 42' 34.3" W) . Plants were bulked  
274 for 1 generation in the lab and DNA was extracted from ~20g of 3 week old seedlings, grown at  
275 21°C and dark treated for 3 days prior to tissue collection. DNA was extracted using a modified  
276 protocol for high molecular weight DNA extraction from plant tissue. DNA quality was assessed  
277 with a Qubit fluorometer and a Nanodrop analysis. We used a Spot-ON Flow Cell FLO-  
278 MIN106D R9 Version with a ligation sequencing kit SQK-LSK109. Bases were called using  
279 guppy (<https://nanoporetech.com/community>) (version 3.2.6). The final output of MinION  
280 sequencing for 11B02 was 13,67 Gbp in 763,800 reads and an N50 of 31,15 Kb. The final  
281 output of MinION sequencing for 11B21 was 17.55 Gb, 1.11 M reads with an N50 of 33.26 Kb.

## 282 Genome assembly, polishing and scaffolding

283 The six *A. thaliana* genomes (ecotype ids 1254, 5856, 6021, 6024, 9412 and 9470) were  
284 assembled using Canu (v 1.7.1) ((Koren et al. 2017)) with default settings, except for  
285 genomeSize. Previous estimates of flow cytometry were used for this parameter (Long et al.  
286 2013) when available or 170m was used. The values were 170m, 178m, 135m, 170m, 170m  
287 and 170m, respectively. The assemblies were corrected with two rounds of arrow (PacBio's  
288 SMRT Link software release 5.0.0.6792) and one of Pilon (Walker et al. 2014). For arrow, the  
289 respective long reads were used and for Pilon the 1001 genomes DNA sequencing data, plus  
290 PCR-free Illumina 150bp data that was generated for accessions 6024 and 9412; lines 5856,  
291 6021, 9470 had available PCR-free data (250bp reads generated by David Jaffe, Broad  
292 Institute). This resulted in 125.6Mb, 124.3Mb, 124.5Mb, 124.7Mb, 127.1Mb and 128Mb  
293 assembled bases, respectively; contained in 99, 436, 178, 99, 109 and 124 contigs,  
294 respectively. The polished contigs were ordered and scaffolded with respect to the Col-0  
295 reference genome, using RaGOO (Alonge et al. 2019).

296 We assembled the genome of the two *A. lyrata* accessions 11B02 and 11B21 using  
297 Canu (Koren et al. 2017) (v 1.8) with default settings and a genome size set to 200Mb. The  
298 genome of 11B02 is contained in 498 contigs and the genome of 11B02 in 265 contigs. The  
299 contig assemblies were polished using Racon (Vaser et al. 2017) (v 1.4) and ONT long reads  
300 were mapped using nglmr (Sedlazeck et al. 2018) (v 0.2.7). Assemblies were further polished

300 by mapping PCR-free Illumina 150bp short reads (~100X for 11B02 and ~88X for 11B21) to the  
301 long read corrected assemblies. Short read correction of assembly errors was carried out using  
302 Pilon (Walker et al. 2014) (v1.23). Contigs were scaffolded into pseudo-chromosomes using  
303 RaGOO (Alonge et al. 2019) and by using the error corrected long reads from Canu and the *A.*  
304 *lyrata* reference genome (Hu et al. 2011) and the *A. arenosa* subgenome of *A. suecica* (Burns  
305 et al. 2021) as a guide followed by manual inspection of regions. The assembly size for 11B02  
306 was 213Mb and 11B21 was 202Mb. Genome size was estimated using findGSE (Sun et al.  
307 2018) with a resulting estimated genome size of ~256Mb for 11B02 and ~237Mb for 11B21.

### 308 Heterozygous SNPs calling / extraction

309 We downloaded short-read data for 1,057 accessions from the 1001 Genomes Project (1001  
310 Genomes Consortium 2016). Raw paired-end reads were processed with cutadapt (v1.9)  
311 (Martin 2011) to remove 3' adapters, and to trim 5'-ends with quality 15 and 3'-ends with quality  
312 10 or N-endings. All reads were aligned to the *A. thaliana* TAIR10 reference genome  
313 (Arabidopsis Genome Initiative 2000) with BWA-MEM (v0.7.8) (H. Li 2013), and both Samtools  
314 (v0.1.18) and Sambamba (v0.6.3) were used for various file format conversions, sorting and  
315 indexing (H. Li et al. 2009; Tarasov et al. 2015), while duplicated reads were marked by  
316 Markduplicates from Picard (v1.101; <http://broadinstitute.github.io/picard/>). Further steps were  
317 carried out with GATK (v3.4) functions (Van der Auwera et al. 2013; DePristo et al. 2011). Local  
318 realignment around indels were done with 'RealignerTargetCreator' and 'IndelRealigner', and  
319 base recalibration with 'BaseRecalibrator' by providing known indels and SNPS from The 1001  
320 Genomes Consortium (1001 Genomes Consortium 2016). Genetic variants were called with  
321 'HaplotypeCaller' in individual samples followed by joint genotyping of a single cohort with  
322 'GenotypeGVCFs'. An initial SNP filtering was done following the variant quality score  
323 recalibration (VQSR) protocol. Briefly, a subset of ~181,000 high quality SNPs from the RegMap  
324 panel (Horton et al. 2012) were used as the training set for VariantRecalibrator with a priori  
325 probability of 15 and four maximum Gaussian distributions. Finally, only bi-allelic SNPs within at  
326 a sensitivity tranche level of 99.5 were kept, for a total of 7,311,237 SNPs.

## 327 SNPs filtering

328 From the raw VCF files only SNPs positions containing heterozygous labels have been  
329 extracted using GATK VariantFiltration. From the 3.3 millions of heterozygous SNPs extracted  
330 from the 1001 genome dataset, two filtering steps have been applied. First only SNPs with a  
331 frequency of at least 5% of the population have been kept. From those, only the one inside of  
332 annotated coding regions from the TAIR10 annotation have been used. After those filtering  
333 steps a core set of 26647 SNPs have been used for further analysis. (**see Supplemental**  
334 **Figure 2**) Genes name and features containing those Pseudo-SNPs have been extracted from  
335 the TAIR10 annotation.

## 336 GWAS

337 The presence and absence of all pseudo-SNP from the core set (coded as 1 and 0 respectively)  
338 have been used as a phenotype to run GWAS. As a genotype the matrix published by the 1001  
339 genome consortium containing 10 Millions SNPs has been used (1001 Genomes Consortium  
340 2016). To run all the GWAS, the pygwas package (<https://github.com/timeu/PyGWAS>) with the  
341 amm (accelerated mixed model) option has been used. The raw output containing all SNPs has  
342 been filtered, removing all SNPs with a minor allele frequency below 0.05 and/ or a  $-\log_{10}(p$ -  
343 value) below 4.

344 For each GWAS performed, the p-value as well as the position have been used to call  
345 the peaks using the fourier transform function in R (filterFFT) combine with the peak detection  
346 function (peakDetection), from the package NucleR 3.13, to automatically retrieve the position of  
347 each peak across the genome. From each peak the Highest SNPs within a region of +/- 10kb  
348 around the peak center have been used. (Example presented in **Supplemental Figure 16**)  
349 Using all 26647 SNPs a summary table was generated with each pseudo-hete SNPs and each  
350 GWAS peak detected (**Supplemental Data**). This matrix was then used to generate **Figure 2C**,  
351 applying thresholds of  $-\log_{10}(pvalue)$  of 20 and minor allele frequency of 0.1.

## 352 Confirmation of GWAS results

353 To confirm the different insertions detected a combination of blast and synteny was used on the  
354 denovo assembled genomes. Only the insertions that segregate in the 6 new genomes have  
355 been used (398). For each gene considered the corresponding sequence from the TAIR10

356 annotation got blast to each genomes. As genomes are not annotated, a blast of the genes  
357 around the GWAS peak have been used to find the corresponding region in each genome, **see**  
358 **Supplemental Figure 4**. The presence of a blast results within 20kb of the peak position have  
359 been then used to confirm the GWAS results.

## 360 Gene ontology

361 Out of the 2570 genes detected to be duplicated, 2396 have a gene ontology annotation.  
362 PLAZA.4 (Van Bel et al. 2018) has been used to perform a gene enrichment analysis using the  
363 full genome as background. Data has been then retrieved and plotted using R.

## 364 Coverage and Methylation analysis

365 Bisulfite reads for the accessions were taken from 1001 methylomes (Kawakatsu et al. 2016).  
366 Reads were mapped to PacBio genomes using an nf-core pipeline  
367 (<https://github.com/rbpisupati/methylseq>). The weighted methylation levels are then later  
368 calculated on windows of 200bp using custom python scripts (Schultz et al. 2012).

369 The sequencing coverage for each accession has been extracted using the function  
370 bamCoverage (windows size of 50bp) from the program DeepTools (Ramírez et al. 2016). The  
371 Bigwig files generated are then processed in R using the package rtracklayer. An overall  
372 comparison between the mean sequencing coverage and the number of pseudo-SNPs detected  
373 show that no correlation is observed. (**Supplemental Figure 18**)

## 374 Multiple sequence alignment

375 For each insertion of the AT1G20390-AT1G20400 (Transposon-GENE) fragment, a fasta file  
376 including 2kb on each side of the fragment was extracted, from each corresponding denovo  
377 assembled genomes, using the getfasta function from bedtools (Quinlan and Hall 2010).  
378 Multiple alignment was performed using KALIGN (Madeira et al. 2019). Visualisation and  
379 comparison was done using Jalview 2 (Waterhouse et al. 2009).

## 380 Structural variation analysis

381 To control the structure of the region around duplicated genes, the sequence from 3 genes up

382 and down from the gene of interest have been extracted. Each sequence has then been blasted  
383 to each of the pacbio and the position of each blast results have been retrieved. The NCBI  
384 BLAST (Altschul et al. 1990) have been used with a percentage of identity threshold of 70% and  
385 all other parameters as default. From each blast results fragments with at least 50% of the input  
386 sequence length have been selected and plotted using R.

### 387 Frequency of the insertions in the 1001 genomes dataset

388 The same sequences used for the multiple alignment have been used to confirm presence or  
389 absence of each insertion in the 1001 genomes dataset. We used each of those sequences as  
390 reference to map short reads using minimap 2 (H. Li 2018). For each insertion, only reads  
391 having both pair mapping in the regions have been selected. An insertion has been validated as  
392 present in an accession if at least 3 pairs of reads are spanning the insertion border. (**see**  
393 **Supplemental Figure 10**). For each insertion the frequency across the 1001 genome has been  
394 extracted and presented in **Supplemental Figure 10**.

### 395 Multiple species comparison

396 Similarly as for the *A. thaliana* genomes, we used the *Capsella Rubella* and *A.arenosa*  
397 published genomes (Slotte et al. 2013; Burns et al. 2021). In the case of *A. arenosa* we used  
398 the subgenome of *A. suecica*. We blasted the TE-GENE fragments, extracted from the TAIR10  
399 annotation, using the NCBI program as above. For *A.lyrata* two newly assembled genomes  
400 were assembled using MinION sequencing. The presence of the transposon or the GENE  
401 alone, the two together (full fragment) and the number of insertions have been extracted and  
402 summed up in **Figure 4D**.

### 403 Singleton analysis:

404 From the 4.4 millions pseudo-hete SNPs, 1 millions singletons and 0.3 millions doubletons  
405 pseudo-hete SNPs have been extracted. First, based on their positions we overlapped those  
406 SNPs with the list of detected duplicated genes and compared with a thousand randomly  
407 generated distribution of genes across the genome. We found that a highly significant 11%  
408 overlap with genes detected to be duplicated.

409 For each Singleton segregating in the 6 Pacbio accessions the reads overlapping the

410 Pseudo-SNP have been extracted and re-mapped to the corresponding PacBio. The position,  
411 the coverage as well and the number of SNPs detected have been extracted from the bam files.

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## 414 Authors' contributions

415 BJ and MN developed the project. BJ performed all analyses. LMS and RB assembled the  
416 *A.thaliana* and *A.lyrata* genomes, respectively. FR generated the SNP matrix. BJ and MN wrote  
417 the manuscript, with input from all authors.

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## 422 Availability of data and materials

423 All genome assemblies and raw reads were deposited under the BioProject ID: PRJNA779205.  
424 Scripts used are available under Github link: <https://github.com/benjj212/duplication-paper.git>.  
425 The full GWAS matrix is available at <https://doi.org/10.5281/zenodo.5702395>

## 426 Ethics approval and consent to participate

427 Not applicable.

## 428 Competing interests

429 The authors declare no competing interests.

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