1	Mouse and Human Antibodies that Bind HLA-E-Leader Peptide Complexes and Enhance
2	NK Cell Cytotoxicity
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25 ABSTRACT (155 words)

26 HLA-E is a non-classical class Ib molecule that has limited polymorphism and binds HLA 27 class la leader peptides (VL9). HLA-E-VL9 complexes interact with the natural killer (NK) cell 28 receptors NKG2A-C/CD94 and regulate NK cell-mediated cytotoxicity. Here we isolated a 29 murine IgM antibody 3H4, that specifically recognized HLA-E-VL9 bound complexes and 30 enhanced killing of HLA-E-VL9-expressing cells by an NKG2A⁺ NK cell line. Structural analysis 31 revealed how 3H4 prevents CD94/NKG2A docking on HLA-E-VL9 by binding with an 32 overlapping footprint. Upon in vitro maturation, an affinity-optimized 3H4 IgG showed enhanced 33 NK killing of HLA-E-VL9-expressing cells. Remarkably, HLA-E-VL9-specific IgM autoantibodies 34 with similar specificity and functions to 3H4 were subsequently isolated from naïve B cells of 35 cytomegalovirus (CMV)-negative, healthy male human donors. Thus, a repertoire of germline low affinity HLA-E-VL9-reactive antibodies are present in both naïve human and murine B cell 36 repertoires. These antibodies can enhance NK cell cytotoxicity and therefore have potential for 37 38 therapeutic modulation of NK cell function.

40 INTRODUCTION

Natural killer (NK) cells play critical roles in immune surveillance by discriminating normal 41 42 from altered cells, and function by killing non-self malignant or pathogen-infected cells and by 43 producing inflammatory cytokines (Chiossone et al., 2018; Raulet, 2006; Yokoyama and Kim, 2006). Specific recognition of abnormal cells by NK cells relies on a series of activating and 44 inhibitory receptors, including the killer immunoglobulin-like receptor (KIR) family in humans and 45 NKG2/CD94 heterodimeric receptors (Andre et al., 2018; Chiossone et al., 2018). NK cell 46 47 inhibitory receptors ligate human lymphocyte antigen (HLA) or major histocompatibility complex (MHC) class I molecules expressed on healthy cells as self. Conversely, cells lacking MHC 48 49 class I are recognized by NK cells as "missing-self" and are sensitive to NK cell-mediated killing 50 (Ljunggren and Karre, 1985, 1990). In humans, KIRs recognize specific classical HLA class la 51 molecules (Colonna and Samaridis, 1995; Karlhofer et al., 1992; Pende et al., 2019), whereas 52 the inhibitory NKG2A/CD94 heterodimeric receptor interacts with the non-classical HLA class lb 53 molecule HLA-E and is balanced by an activating receptor NKG2C/CD94 (Braud et al., 1997; 54 Braud et al., 1998; Brooks et al., 1997). While KIR expression is heterogeneous, NKG2A/CD94 is expressed on ~40% of human NK cells (Andre et al., 1999; Mahapatra et al., 2017; Pende et 55 56 al., 2019). Unlike classical HLA class I molecules, HLA-E has limited polymorphism with only 57 two expressed variants, HLA-E*01:01 and HLA-E*01:03, that differ only in residue 107, which is 58 outside the peptide-binding groove (Kraemer et al., 2014). The NKG2A/CD94/HLA-E pathway is 59 an important immune checkpoint and has recently become a focus for NK cell-based immunotherapeutic strategies (Andre et al., 2018; Hu et al., 2019; Kim et al., 2019; Souza-60 Fonseca-Guimaraes et al., 2019; van Hall et al., 2019). A subset of CD8+ T cells also express 61 62 NKG2A/CD94, and inhibition of the NKG2A/CD94 - HLA-E interaction has similar application in 63 CD8+ T cell-based immunotherapy (Andre et al., 2018; van Montfoort et al., 2018). HLA-E engages with NKG2A/CD94 via a restricted subset of peptides VMAPRT(L/V) 64 65 (V/L/I/F)L (designated VL9) that derive from the leader sequence of HLA-A, -C, -G and a third of

66	HLA-B molecules (Braud et al., 1997; Braud et al., 1998; Lee et al., 1998a; Lee et al., 1998b).
67	HLA-E binds VL9 peptides stabilizing its surface expression (Braud et al., 1997; Braud et al.,
68	1998). This indicates that HLA-Ia expression is not perturbed by a pathogenic process and
69	initiates recognition by NKG2A/CD94 or NKG2C/CD94 on NK cells. The binding affinity of HLA-
70	E-VL9 peptide complexes for NKG2A/CD94 is greater than that for NKG2C/CD94, so that the
71	inhibitory signal dominates to suppress aberrant NK cell-mediated cytotoxicity and cytokine
72	production (Aldrich et al., 1994; Braud et al., 1998; Kaiser et al., 2008; Llano et al., 1998; Rolle
73	et al., 2018). As a secondary function, HLA-E and its murine and rhesus macaque homologs are
74	capable of binding to a range of other host- and pathogen-derived peptides, including heat-
75	shock protein 60 (Hsp60)-derived peptides (Michaelsson et al., 2002), Mycobacterium
76	tuberculosis (Mtb) peptides (Joosten et al., 2010; van Meijgaarden et al., 2015), and simian
77	immunodeficiency virus (SIV) Gag peptides (<u>Hansen et al., 2016;</u> <u>Walters et al., 2018).</u>
78	However, the VL9 peptide binds HLA-E with higher affinity and dominates the peptidome
79	(McMurtrey et al., 2017). Only HLA-E-VL9 can engage CD94/NKG2A to protect cells from NK
80	cell cytotoxicity (Kraemer et al., 2015; Michaelsson et al., 2002; Sensi et al., 2009). Hence,
81	leader sequence VL9 peptides are essential not only for stabilizing HLA-E surface expression
82	but also for mediating HLA-E/NKG2A/CD94 regulation of NK cell self-recognition.
83	Natural autoantibodies are a specific class of antibodies that recognize self molecules and
84	populate the B cell germline repertoire in healthy individuals. These antibodies mostly comprise
85	non-mutated IgM isotypes and can participate in diverse immune functions ranging from
86	immune defense and regulation to immune pathology. Autoantibodies that recognize both
87	classical HLA-Ia (Alberu et al., 2007; Morales-Buenrostro et al., 2008) and HLA-E heavy chains
88	(Ravindranath et al., 2010a; Ravindranath et al., 2010b) have been reported previously. In
89	certain instances these antibodies have been implicated as contributors to allograft damage in
90	non-alloimmunised individuals (Hickey et al., 2016; McKenna et al., 2000). However, very little is
91	unknown beyond this, especially in relation to their frequencies, specificities and functions.

92	Here, we focused on HLA-E, and initially isolated a murine IgM monoclonal antibody (mAb)
93	3H4 that bound specifically to HLA-E-VL9 on target cells and enhanced NK cytotoxicity
94	mediated by an NKG2A+ NK cell line. Crystallographic analysis showed that 3H4 and
95	CD94/NKG2A cannot simultaneously bind to HLA-E-VL9 and that the heavy chain CDR3
96	residues at the 3H4-HLA-E-VL9 binding interface were germline-encoded. While 3H4 mAb
97	enhanced NK cytotoxicity as an IgM, the IgG form of the antibody did not. However,
98	mutagenized 3H4 IgG variants with enhanced HLA-E-VL9 binding affinity blocked NKG2A
99	mediated inhibition of NK cells. We subsequently screened healthy humans blood donors and
100	identified HLA-E-VL9-reactive, near-germline IgMs autoantibodies from human naïve B cell
101	repertoires. Some of these antibodies also enhanced NK cell killing as IgG subtypes. Thus, we
102	identified a group of near germline HLA-E-VL9-targeting antibodies in mice and male CMV
103	seronegative humans that have the potential to regulate NK cell function.
104	
105	RESULTS
106	Isolation of murine HLA-E-VL9-specific mAb 3H4
107	With the original intention of raising monoclonal antibodies to the HIV-1 Gag peptide
108	RMYSPTSIL (RL9HIV) an HIV-1 Gag epitope previously described (Hansen et al., 2016), we
109	immunized human HLA-B27/ β 2-microglobulin (β 2M) transgenic mice (<u>Taurog et al., 1990</u>)
110	(Figure. S1a-b) with 293T cells transfected with surface-expressed single-chain HLA-E-RL9HIV

111 complexes (<u>Yu et al., 2002</u>) (*Figure. S1c-d*). We produced hybridomas, and screened culture

supernatants for binding on a panel of 293T cells transfected with either single-chain HLA-E-

113 RL9HIV peptide complexes, or with single-chain HLA-E-VL9 peptide complexes as a control.

- 114 Unexpectedly, we isolated a subset of antibodies that specifically reacted with HLA-E-VL9
- peptide, the most potent of which was the IgM mAb 3H4. Unlike the well-characterized pan-
- 116 HLA-E mAb 3D12 (Marin et al., 2003), 3H4 reacted specifically with HLA-E-VL9 (VMAPRTLVL)
- and not with control, non-VL9 HLA-E-peptide complexes (*Figure. 1a*). Mab 3H4 also bound to

VL9 peptide-pulsed HLA-class I negative K562 cells transfected with HLA-E (Lampen et al.,
2013) (*Figure. 1b*) and also to soluble HLA-E refolded with synthetic VL9 peptide in both ELISA
(*Figure. 1c*) and surface plasmon resonance (SPR) assays (*Figure. 1d*). SPR measurents
showed that the 1:1 dissociation constants (*K*_Ds) of IgM 3H4 and human IgG1 backbone 3H4
for soluble HLA-E-VL9 were 8.1 and 49.8 μM, respectively (*Figure. S1e*).
Sequence analysis of 3H4 mAb revealed 1.04% heavy chain variable region (V_H) and

1242.51% light chain viable region (V_L) mutations (*Table S1*). We isolated 3 additional HLA-E-VL9125mouse mAbs from two additional immunization studies in mice (see Methods), and each of the

four HLA-E-VL9 antibodies were minimally mutated IgMs (mean VH and VL mutations, 1.21%
and 2.87%, respectively (*Table S1*). Negative stain electron microscopy showed that the 3H4

128 IgM hybridoma antibody was predominantly pentameric with a small proportion of hexamers

129 (*Figure. S1f-g*). In addition, 3H4 was not autoreactive in anti-nuclear antibody or clinical

130 autoantibody assays (*Figure. S1h-i*).

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132 3H4 IgM recognized the α1/α2 domain of HLA-E and N-terminus of the VL9 peptide

133 To map the epitope on the HLA-E-VL9 complex recognized by 3H4, we tested 3H4 binding 134 to VL9 peptide presented by HLA-E, the rhesus ortholog Mamu-E, as well as two HLA-E/Mamu-135 E hybrids – one with HLA-E α 1/Mamu-E α 2 (H α 1/M α 2), the other with Mamu-E α 1/HLA-E α 2 136 $(M\alpha 1/H\alpha 2)$. 3H4 did not bind to Mamu-E/VL9 or H $\alpha 1/M\alpha 2$ -VL9, and its staining of cells 137 expressing Ma1/Ha2-VL9 was weak (*Figure. 1e*), suggesting that 3H4 recognition involved 138 interaction with both $\alpha 1$ and $\alpha 2$ domains of HLA-E, and the epitope on $\alpha 2$ might be partially conserved between human and rhesus. 3H4 also did not cross-react with mouse ortholog Qa-1b 139 (Figure. S1i). Moreover, VL9 mutations indicated that position 1 (P1) of the peptide was 140 141 important for 3H4 binding (*Figure. 1f*), with strong antibody recognition of VL9 peptide P1 142 variants with alanine, cysteine, isoleucine, serine, threonine, weak binding to histidine and 143 proline substitutions, but no interaction with arginine, glutamate, glycine, lysine, methionine,

asparagine, tryptophan, tyrosine or phenylalanine (*Figure. 1g and S1k*). These data suggested that mAb 3H4 made contacts with both the HLA-E $\alpha 1/\alpha 2$ domain and the amino-terminal end of the VL9 peptide.

147

148 Co-complex crystal structure of a 3H4 Fab bound to HLA-E-VL9

149 A co-complex structure of 3H4 Fab bound to HLA-E-VL9, which packed in the C2 space 150 group and diffracted to 1.8 Å (Table S2a), was obtained. One of the two copies present in the 151 asymmetric unit is discussed here. 3H4 docked onto the N-terminal region of the HLA-E-152 peptide-binding groove making contacts with both the heavy chain α -helices in addition to VL9 153 peptide residues 1-4 (*Figure. 2a-b*). The 3H4-HLA-E interface mainly comprised electrostatic 154 interactions and was dominated by the 3H4 VH chain which created a total buried surface area 155 (BSA) of 1109.4 Å² and formed ten hydrogen bonds (H-bonds) and three salt bridges with HLA-E α1-helix residues and one H-bond with T163 of the HLA-E α2-helix. The smaller 3H4 VL 156 chain-HLA-E interface buried 522.8 Å² and involved only three inter-molecular H-bonds and 157 158 three salt bridges (Tables S2d-h). Superposition of the 3H4-HLA-E-VL9 co-complex with a published HLA-E-bound CD94/NKG2A structure (Kaiser et al., 2008; Petrie et al., 2008) 159 160 revealed steric clashes between the VH and VL domains of 3H4 and the CD94 and NKG2A 161 subdomains, respectively (*Figure. 2c-d*). Moreover, seven HLA-E heavy chain residues (α 1 162 helix positions 58, 59, 62, 63 and α2 helix positions 162, 163 and 167) are shared 3H4-HLA-E 163 and CD94/NKG2A-HLA-E footprints (Figure. 2E-F). Consequently, simultaneous docking of 164 these two HLA-E binding partners, 3H4 and NKG2A/CD94, would likely be disallowed. All four 3H4-derived residues that interfaced with the VL9 peptide (Y97, S100, S100A and 165 166 Y100B) resided within the VH CDR3 D-junction and were germline-encoded. This 3H4-VL9 167 interface was characterized by weak Van der Waals and hydrophobic contacts, for example, 168 Y100B (3H4) and V1 or P4 (VL9) (*Figure. 2g*). Further, positioning of the Y100B (3H4) side 169 chain directly above V1 (VL9) in part explained preference for small side chains at this peptide

170 position and the dramatic reductions in 3H4 binding to VL9 variants with larger H or F residues 171 at position 1 (Figure. 1g). Unique shape complementarity also featured at this interface with the 172 side chains of S100 and S100A (3H4) wrapping around the cyclic side chain of P4 (VL9). 173 The germline-encoded VH CDR3 D-junction residues that formed the 3H4-VL9 interface 174 (Y97, S100, S100A and Y100B), also mediated key HLA-E heavy chain contacts. The surface loop residues A93-V102 swept across the HLA-E-peptide-binding groove forming H-bonds with 175 176 both the α 1 and α 2 helices; HLA-E α 2 helix T163 formed an H-bond with S100 (3H4), and HLA-177 E α1-helix R62 formed two H-bonds with the Y100B (3H4) mainchain and an additional H-bond 178 with the main chain of S100A (3H4) (*Figure. 2h*). Y100B (3H4) was involved in multiple polar pi 179 stacking interactions. Not only was the Y100B side chain sandwiched between R62 and W167 180 of the HLA-E α 1 and α 2 helices, respectively, R62 (HLA-E α 1) was also positioned between the 181 aromatic rings of the VH CDR3 domain Y100B and W100D residues.

Key contacts outside the germline-encoded CDR3 D-junction region were also formed at 182 183 the 3H4 VH- and VL-HLA-E interfaces. For 3H4 HC, the VH CDR2 region (residues I51-T57) 184 was positioned above the HLA-E α1-helix where numerous inter-molecular H-bonds were 185 formed involving VH CDR2 residues G56 and N54 in addition to D50, Q61 and K64 of the VH 186 framework region (*Figure. 2h*). Critically, HLA-E α1-helix R65 residue formed four H-bonds with 187 the 3H4 VH and also mediated polar pi stacking interactions with W100D of the VH CDR3 loop. 188 For 3H4 LC, D92 and E93 of the VL CDR3 loop H-bond with K170 of the HLA-E α2-helix and 189 N30 of the VL CDR1 loop formed an H-bond with the α 2-helix residue, E166, of HLA-E (*Figure*. 190 2i). Notably, the four key interfacing residues of the 3H4 VH CDR3 D-junction (Y97, S100, 191 S100A and Y100B) were germline-encoded (*Figure. 2i*).

192

193 **3H4 IgM enhanced NK cell cytotoxicity against HLA-E-VL9-expressing target cells**

194 Given the suppressive role of the HLA-E-VL9/NKG2A/CD94 pathway in NK cell function, we 195 tested whether the binding of mAb 3H4 to HLA-E-VL9 could enhance NK cell killing of target

196 cells (Figure. 3a). An NKG2A/CD94-positive, CD16/CD32/CD64-negative human NK cell line, 197 NK92 (Figure. S2a-b), exhibited significantly increased cytotoxicity against HLA-E-VL9-198 transfected 293T cells (Figure. 3b; P<0.0001, mixed effects models) but not against non-HLA-199 E-expressing 293T cells (*Figure. 3c*) in the presence of 3H4 IgM compared to an isotype 200 control IgM. In addition, we tested a combination of 3H4 with the NKG2A specific murine 201 antibody, Z199. While Z199 alone enhanced NK killing against HLA-E-VL9 expressing cells, no 202 additional elevation of killing was observed with the combination of mAbs 3H4 and Z199 203 (Figure. 3d-e), suggesting that killing enhancement was maximal with either 3H4 or Z199 alone. 204 These data demonstrated that HLA-E-VL9-specific IgM mAb 3H4 could enhance the killing 205 capacity of NKG2A+ NK cells in vitro by binding to HLA-E-VL9 on target cells. 206 The majority of multimeric IgM is restricted to serum and lymph and does not penetrate well 207 into tissues (Sathe and Cusick, 2021). Therefore, we constructed a recombinant 3H4 IgG in a human IgG1 backbone and tested it for ability to enhance NK92 cell killing of HLA-E-VL9 target 208 209 cells. In contrast to 3H4 IgM, 3H4 IgG could not mediate enhancement of NK cell killing (Figure. **S3a**). Thus, either the affinity of the 3H4 Fab on an IgG was too low (K_D = 49.8 µM; *Figure.* 210 211 S1e), or a multimeric antibody is needed for for efficient blocking of HLA-E-VL9 binding to 212 NKG2A/CD94 to enhance of NK killing.

213 To distinguish between the need for higher affinity versus multimerization of the IgM 214 antibody for enhanced NK killing activity, we developed and analyzed 3H4 antibody libraries 215 using high-throughput screening on the surface of yeast (Figure. 4a). A library was built that 216 contained ~1.1 million 3H4 scFv variants with amino acid diversity at sites that were determined 217 by structural analysis to interact with HLA-E-VL9 (Figure. 4b). Seventeen total residues located 218 in the CDR loops of 3H4 were randomized in groups of four based on their proximity, and all 219 possible combinations of amino acids were sampled at these sites (*Figure. S3b*). The resulting 220 3H4 scFv library was transformed into yeast and screened for three rounds by fluorescence-221 activated cell sorting (FACS) for binding to fluorescently labeled HLA-E-VL9 tetramer (Figure.

222 4c). Eleven 3H4 variants were selected for experimental characterization as recombinant 223 human IgGs from the highly represented clones remaining in the library upon the final selection 224 round. These novel Abs (3H4 Gv1 to 3H4 Gv12) were mutated at positions 97-100 of the CDR 225 H3 loop. Compared to the original 3H4 mAb, the optimized antibodies predominantly contained 226 small amino acids at positions 97 and 98, a polar amino acid at position 99, and a large 227 aromatic at position 100 that is closest to the HLA-E-VL9 (Figure. 4d). 228 We next expressed all eleven 3H4 Gv antibodies recombinantnly as human IgGs, and 229 confirmed that they had higher binding than wild-type 3H4 IgG on cell surface expressed HLA-230 E-VL9 (Figure. 4e and S3c). Two 3H4 variants, 3H4 G3v and 3H4 G6v, were selected for 231 affinity and functional analysis. SPR measurents showed that the 1:1 dissociation constants 232 $(K_{\rm DS})$ of the selected 3H4 variants for soluble HLA-E-VL9 were markedly improved compared to that of wild-type 3H4 that had a K_D of 49.8 µM. 3H4 G3v showed the tightest HLA-E-VL9 233 binding, with a K_D of 220 nM, representing a ~226-fold improvement in affinity over the WT mAb 234 235 (Figure. 4f). In the NK cytotoxicity assay, the optimized 3H4 mAbs enhanced NK-92 cell killing 236 of HLA-E-VL9-transfected 293T cells at concentrations of 10 µg/ml and 1 µg/ml to levels

comparable to those observed for 3H4 IgM (*Figure. 4g and S3d*). Therefore, the higher affinity
of affinity-optimized 3H4 IgG for HLA-E-VL9 could compensate for the need for avidity effect of
3H4 IgM multimers to mediate *in vitro* NK enhancement.

240

Isolation of near-germline HLA-E-VL9-specific antibodies from CMV-negative, healthy humans

We next asked if similar HLA-E-VL9 antibodies were present in the naïve B cell receptor (BCR) repertoire in humans and whether they could enhance NK killing of target cells. Using HLA-E-VL9 tetramers as probes, we identified B cells expressing HLA-E-VL9-specific B cell receptors (BCRs) in four male, cytomegalovirus (CMV) seronegative human donors (*Figure. 5a and S4a, Table S3*). We isolated 56 HLA-E-VL9-specific antibodies that reacted with HLA-E-

248 VL9 complexes but not control HLA-E-peptide complexes (Figure. 5b and S4b-c, Table S4); all 249 were IgM (Table S4). By performing more in-depth analysis of the binding profiles of four 250 representative HLA-E-VL9 antibodies - CA123, CA133, CA143 and CA147, we found that these 251 antibodies exhibited differential cross-reactivities with rhesus Mamu-E-VL9 or mouse Qa-1-VL9 252 complexes (Figure. S4d) in addition to distinct binding specificities to VL9 peptide variants (*Figure. S4e*). The apparent affinities (K_D) of CA123 and CA147 on a human IgG1 backbone to 253 254 soluble HLA-E-VL9 were 3.8 and 25.0 µM, respectively (Figure. S4f). Human HLA-E-VL9 255 antibodies CA147 and CA123 tested in functional NK killing assays as a recombinant human 256 IgG1. CA147 enhanced NK-92 cell cytotoxicity to HLA-E-VL9-expressing target cells (Figure. 257 5c), whereas CA123 had no enhancing effect (Figure. S4g-h), suggesting that NK killing-258 enhancement function of the HLA-E-VL9 antibodies was determined by factors beyond binding 259 affinity. In the four humans, the percentages of HLA-E-VL9-specific B cells in pan-B cells (CD3⁻ 260 CD235⁻CD14⁻CD16⁻CD19⁺) were 0.0009%-0.0023% (mean of 0.0014%) (*Figure. 5d*). HLA-E-261 VL9-specific B cells were IqD⁺IqM^{+/-} B cells, in which four cell subsets were observed (*Figure*. 262 5e) – CD10⁻CD27⁻CD38^{+/-} naïve B cells (71.4%), CD10⁺CD27⁻CD38⁺⁺ immature or newly formed 263 264 B cells (Giltiay et al., 2019) (10.7%), and CD10⁻CD27⁺CD38⁻ non-class-switched memory cells, 265 demonstrating that BCRs targeting HLA-E-VL9 peptide existed in the naïve B cell repertoire of

266 healthy humans.

267

268 V_H/V_L gene usage of HLA-E-VL9-specific antibodies

To characterize the human antibody gene usage of HLA-E-VL9 antibodies, we analyzed the paired heavy chain and light chain gene sequences of 56 human HLA-E-VL9 antibodies, and found 1 multiple-member clone containing 6 antibodies in donor LP021 (Kepler et al., 2014) (*Table S4*). Next, we compared the 51 HLA-E-VL9-specific B cell clones with a reference human antibody repertoire (DeKosky et al., 2015). Over 45% of the heavy chain variable region

274 (V_H) genes were VH3-21 or VH3-11 in HLA-E-VL9 antibodies, whereas less than 7% of the 275 control B cells used these two genes (Figure. 5f, Table S4). HLA-E-VL9 antibody light chain 276 variable regions (V_{k}/V_{λ}) also were skewed and preferentially utilized IGKV3-15, IGKV1-39 and 277 IGKV3-11 genes compared to controls (Figure. 5g, Table S4). No J chain gene usage 278 preference was observed (Figure. S5a-d). Moreover, HLA-E-VL9 antibodies showed a trend to 279 have shorter heavy chain complementarity determining region 3 (CDR3) lengths than reference 280 antibodies (Figure. 5h), while no difference was observed for light chain CDR3 (Figure. 5i). 281 Given that HLA-E-VL9 antibodies were IgMs derived primarily from naïve or immature B cells, 282 we compared the mutation frequencies of the 51 clones with a reference human antibody 283 repertoire containing both naïve and antigen-experienced antibodies (DeKosky et al., 2016). 284 Both HLA-E-VL9 antibody heavy and light chain variable region genes exhibited low somatic 285 mutation rates that were similar to naïve B cell controls (Figure. 5j-k). Thus, human HLA-E-286 VL9-specific antibodies were IgM, minimally mutated and displayed skewed usage of V_H and 287 V_{κ}/V_{λ} genes.

288

289 DISCUSSION

290 In this study, we have isolated and characterized antibodies reactive with HLA-E-VL9 291 peptide complexes, and found these antibodies were derived from the naïve IgM B cell BCR 292 repertoire in mice as well as in human blood donors. We selected HCMV negative donors to 293 avoid possible cross reacting antibody responses to the VL9 sequence present in the signal 294 peptide of the UL40 protein, and male donors to exclude anti-HLA antibodies made in 295 pregnancy. Somatic mutations of these antibodies were minimal, and the affinities of these 296 antibodies for HLA-E-VL9 were low. The lack of class-switching in HLA-E-VL9-specific 297 antibodies may reflect self-tolerance of CD4 T cells and a lack of T cell help for affinity 298 maturation of these antibodies. While the mouse antibodies were selected in the setting of HLA-299 E-unrelated peptide immunizations, they were minimally mutated IgM antibodies, as were the

300 antibodies isolated from human CMV-negative, healthy males. Structural analysis of the HLA-E-301 VL9-3H4 Fab co-complex revealed that the murine 3H4 heavy chain made key contacts with 302 HLA-E and the VL9 peptide using germline-encoded residues in the CDR-H3 (D) region. 303 However, 3H4 is a mouse antibody that reacted with human HLA-E-VL9. The HLA-E equivalent 304 in C57BL/6xSJL mice is Qa1b which presents a similar class la signal peptide AMAPRTLLL but 305 3H4 did not bind to this HLA-E-peptide complex. Therefore, it remains unclear how 3H4 and 306 possibly the other HLA-E-VL9-specific IgM antibodies were genetically selected as germlines or 307 induced with minimal mutation in the setting of HLA-E-unrelated peptide immunizations. In 308 contrast, the human antibodies such as CA147 that bound to human HLA-E-VL9 were auto-309 antibodies with near germline sequences that exist in some, possibly many, individuals. Autoantibodies to HLA-Ia (Alberu et al., 2007; Morales-Buenrostro et al., 2008) and HLA-E 310 heavy chains (Ravindranath et al., 2010a; Ravindranath et al., 2010b) have been detected in 311 312 non-alloimmunized males, and can contribute to allograft damage (Hickey et al., 2016; 313 McKenna et al., 2000). It has been suggested that the HLA-E antibodies in non-alloimmunized 314 humans could be elicited by autoantigens derived from soluble HLA-E heavy chains that 315 become immunogenic without the β2M subunit, or viral/bacterial agents cross-reactive with HLAs (Alberu et al., 2007; Hickey et al., 2016; Ravindranath et al., 2010a; Ravindranath et al., 316 317 2010b). It is of interest that human cytomegalovirus (CMV), which encodes the VL9 sequence VMAPRTLIL in the leader sequence of its UL40 gene. This peptide is processed in a TAP 318 319 independent manner and presented bound to HLA-E at the cell surface to inhibit NK cell killing 320 and evade innate immune responses (Tomasec et al., 2000). This has not been reported to elicit antibody responses, but HLA-E-UL40 peptide-specific T cells have been described when 321 322 the limited polymorphism in the HLA A, B and C sequences mismatches that of the virally-323 encoded VL9 peptide sufficiently to overcome self-tolerance (Sullivan et al., 2015). However, 324 the subjects in our study were all HCMV seronegative and male. The possibility that germline

encoded anti-HLA-E-VL9 antibodies play a physiological role in regulating NK cell function in
 several species needs further exploration.

327 Harnessing NK cells to attack tumor cells has emerged as an attractive strategy for cancer 328 immunotherapies (Guillerey et al., 2016; Lowry and Zehring, 2017). A promising target for 329 therapeutic immune-modulation of NK cell functions is the inhibitory NKG2A/CD94-HLA-E-VL9 330 interaction. Monalizumab, the first-in-class monoclonal antibody checkpoint inhibitor targeting 331 NKG2A, enhances anti-tumor immunity by activating cytotoxic activities of effector CD8+ T cells 332 and NK cells (Andre et al., 2018; Creelan and Antonia, 2019; van Hall et al., 2019). In our study, co-complex structural analysis revealed steric clashes between the 3H4 Fab and the NK 333 334 inhibitory receptor NKG2A/CD94 when docked onto HLA-E-VL9, showing how 3H4 IgM can 335 enhance NKG2A+ NK cell killing. Both mouse 3H4 IgM, the affinity-optimized 3H4 IgG, and the recombinant IgG1 form of human CA147 enhanced the cytotoxicity of an NKG2A+ human NK 336 337 cell line NK92, which is a safe and established cell line that has been used for adoptive 338 immunotherapy in phase I clinical trials (Klingemann et al., 2016). Thus, HLA-E-VL9-targeting 339 antibodies 3H4 and CA147 could have therapeutic potential as NK checkpoint inhibitors. 340 **METHODS** 341

342 Detailed methods are provided in the supplemental online material.

343

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375 DECLARATION OF INTERESTS

D.L., S.B., M.L.A., G.M.G., A.J.M. and B.F.H. have patents submitted on antibodies and
select methods in this paper.

378

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- 540
- 541

542 FIGURES







545 complex.

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546 a, 3H4 bound HLA-E-VL9 single chain trimer (SCT)-transfected 293T cells. All SCT
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- 547 constructs express EGFP to indicate transfection efficiency. Transfected cells were stained with
- 548 test antibody and then an Alexa fluor 555 (AF555)-anti-mouse Ig(H+L) secondary antibody. A

549 control mouse IgM TE4 was used as a negative control. Anti-pan-HLA-E antibody 3D12 was

used as a positive control. Representative data from one of five independent experiments are

551 shown.

552 **b, 3H4 bound VL9 peptide pulsed K562-HLA-E cells.** RL9HIV, RL9SIV, Mtb44 peptides

served as peptide controls. TE4 and 3D12 were used as antibody controls. Peptide-pulsed cells

were stained with test antibody and then an Alexa fluor 647 (AF647)-anti-mouse Ig(H+L)

secondary antibody. Mean fluorescence intensity (MFI) of each sample is shown.

556 Representative data from one of three independent experiments are shown.

557 c-d, 3H4 specifically bound to soluble HLA-E-VL9 complexes as measured by ELISA and

558 **SPR**. **c**, ELISA plates were coated with 3H4 or control IgM TE4 in serial dilution, blocked, and

559 incubated with C-trap-stabilized HLA-E-VL9, HLA-E-RL9HIV, HLA-E-RL9SIV antigens. After

560 washing, antigen binding was detected by adding HRP-conjugated anti-human β 2M antibody. **d**,

561 For SPR, biotinylated HLA-E-peptide complexes (HLA-E-VL9, HLA-E-RL9SIV, HLA-E-RL9HIV

and mock control) were bound to the immobilized streptavidin. Antibody 3H4 and control TE4

were flowed over sensor chips and antibody binding was monitored in real-time. Representativedata from one of two independent experiments are shown.

565 e, **3H4 recognized the \alpha2 domain of HLA-E**. Flow cytometry analysis of 3H4 and 2M2 (a

566 control β 2M mAb) binding to 293T cells transfected with VL9 presented by HLA-E, Mamu-E, and

567 two HLA-E/Mamu-E hybrids - one with HLA-E α 1/Mamu-E α 2 (H α 1/M α 2), the other with Mamu-

568 E α 1/HLA-E α 2 (M α 1/H α 2) (green). Transfected cells were stained with test antibody and then

an AF647-anti-mouse Ig(H+L) secondary antibody. Isotype control stained cells were used as

570 negative controls (grey filled histograms). Representative data from one of three independent571 experiments are shown.

572 **f, 3H4 recognized position 1 (P1) of the VL9 peptide.** 3H4 and 2M2 (a control β2M mAb)
573 staining of 293T cells transfected with HLA-E-VL9 (VMAPRTLLL) or HLA-E-VL9 with a mutation

at P1 (valine to arginine; RMAPRTLLL) (blue), and with HLA-E-RL9HIV (RMYSPTSIL) or HLA-

- 575 E-RL9HIV with a mutation at P1 (arginine to valine; VMYSPTSIL) (red). Transfected cells were
- stained with test antibody and then an AF647-anti-mouse Ig(H+L) secondary antibody. Isotype
- 577 control stained cells were used as negative controls (grey filled histograms). Representative
- 578 data from one of three independent experiments are shown.
- 579 g, 3H4 recognized peptides with variants in P1. 293T cells were transfected with HLA-E
- 580 SCTs with VL9 peptides with single amino acid mutations at P1, then stained with 3H4 antibody
- 581 followed by AF647 conjugated anti-mouse IgG(H+L) secondary antibody. Cells were gated for
- 582 EGFP positive subsets. MFI of 3H4 staining on wildtype VL9 peptide was set as 100%, and the
- 583 percentages for binding to mutants calculated as (MFI of 3H4 binding on each P1 variant) / (MFI
- 584 of 3H4 binding on wildtype VL9) x 100%.



587 Figure. 2 3H4 Fab-HLA-E-VL9 co-complex structural visualization.

588 **a-b, 3H4 Fab-HLA-E docking angles.** HLA-E heavy chain and β2M light chain: grey; VL9

589 peptide: lime green; 3H4 heavy chain: light purple; 3H4 light chain: teal.

590 c-d, Superposition of 3H4 Fab and CD94/NKG2A docking sites on HLA-E. The HLA-E

591 complex and 3H4 Fab are color-coded according to A and B. CD94: orange; NKG2A: marine592 blue.

593 e, Aerial view of the HLA-E-VL9 peptide binding groove surface. Non-interfacing HLA-E

residues: light grey; non-interfacing peptide residues: lime green; VL9 peptide residues involved

595 in the 3H4 interface: marine blue. Interfacing HLA-E residues that contact 3H4 heavy and light

596 chain: orange and teal, respectively; interfacing HLA-E residues that contact both 3H4 heavy

597 and light chains: violet. Residue positions are numbered on the HLA-E surface view.

598 f, Aerial view of the overlapping 3H4 and CD94/NKG2A footprints on the HLA-E peptide

599 **binding groove.** VL9 peptide residues involved in both the 3H4 and CD94/NKG2A interfaces:

600 marine blue; HLA-E heavy chain residues involved in both interfaces: violet. Peptide and HLA-E

heavy chain residues involved exclusively in the CD94/NKG2A interface: teal and orange,

602 respectively.

603 g, Binding interface of 3H4 HC/VL9 peptide. Interfacing residues (Y97, S100, S100A and

404 Y100B of the VH CDR3 loop and V1, M2, P4 and R5 of the VL9 peptide) are shown in ball and

stick-form with non-interfacing residues in cartoon form. VL9 peptide: lime green; HLA-E heavy

606 chain: grey; 3H4 heavy chain: light purple.

607 h-i, Binding interfaces of 3H4 HC/HLA-E heavy chain (h) and 3H4 LC/HLA-E HC (i).

Interfacing residues are displayed in ball-and-stick form, non-interfacing residues are displayedin cartoon form and hydrogen bonds as dashed lines.

510 **j, Key interfacing residues within the germline-encoded D-junction.** 3H4 heavy chain VH

611 sequence were in purple and the CDR1/2/3 regions shaded grey. Germline-encoded residues

612 within the VH CDR3 D-junction are denoted. The 4 key interfacing residues (Y97, S100, S100A

- and Y100B) within this germline-encoded D-junction that make contacts both the HLA-E heavy
- chain and VL9 peptide are highlighted magenta in the sequence and illustrated as magenta
- sticks in the PyMol visualization. HLA-E heavy chain: grey; VL9 peptide: green; hydrogen
- bonds: magenta dashed lines; residues of the 3H4 heavy chain that are not germline-encoded
- 617 key interfacing residues: light purple.



- Figure. 3 MAb 3H4 enhanced the cytotoxicity of the NKG2A+ NK cell line NK-92 against
- 621 HLA-E-VL9 expressing 293T cells.

- 622 a, Schematic illustrating the hypothesis. Blockade of the inhibitory NKG2A/CD94/HLA-E
- 623 pathway with anti-HLA-E-VL9 antibody (3H4) and/or anti-NKG2A antibody (Z199) could
- 624 enhance target cell lysis by NK cells.
- 625 b-c, NK cell cytotoxicity against 3H4 IgM-treated target cells as assessed by ⁵¹Cr release
- 626 **assay.** Antibody was incubated with HLA-E-VL9 transfected 293T cells (b) and untransfected
- 627 293T cells (c) at final concentration of 10 μg/ml or 3 μg/ml, and NK92 cells were added into the

- 628 mixture as effector cells at effector: target (E:T) ratios of 20:1 and 6:1. Mouse IgM MM-30 was
- 629 used as an isotype controls. Dots represent the mean values of triplicate wells in eight
- 630 independent experiments. Statistical analysis was performed using mixed effects models.
- Asterisks show the statistical significance between indicated groups: ns, not significant,
- 632 *P<0.05, **P<0.01, ***P<0.001, ****P<0.0001.
- d-e, NK cell cytotoxicity in the presence of anti-NKG2A mouse IgG Z199 in combination
- 634 with TE4 control- or 3H4- treated target cells as assessed by ⁵¹Cr release assay. Antibody
- 635 combinations of Z199 + IgM control (d) or Z199 + 3H4 (e) were incubated with HLA-E-VL9
- transfected 293T cells and untransfected 293T cells at a final concentration of 10 μg/ml, and
- 637 NK92 cells were added into the mixture as effector cells. Dots represent the mean values of
- 638 triplicate wells in three independent experiments.



Figure. 4 Affinity maturation of HLA-E-VL9-specific antibody 3H4 on human IgG1

- 642 backbone.
- 643 a, Schematic illustration of the affinity maturation strategy. Libraries of 3H4 mAb variants
- 644 were transformed into *S. cerevisiae* and displayed on the surface of yeast cells as single-chain
- 645 fragment variable (scFv). APC-conjugated HLA-E-VL9 tetramers were used for FACS sorting.
- 646 b, Sites at the 3H4/HLA-E-VL9 interface where sequence optimization by library
- 647 screening provideed the most significant affinity gains. 3H4: *purple*; HLA-E: *green*; VL9
- 648 peptide: orange.
- 649 c, Enrichement of HLA-E-VL9+ library clones after three rounds of selection by
- 650 **fluorescence-activated cell sorting (FACS).** The yeast cells containing the scFv libraries were
- 651 sorted sequentially for binding to decreasing concentrations of fluorescently labeled HLA-E-VL-9
- 652 (50 μg/ml, *top*; 10 μg/ml, *middle*; or 0.6 μg/ml *bottom*).
- 653 d, Mutations at positions 97-100 in the eleven 3H4 variants chosen for additional
- 654 characterization upon library screening.

655 e, Binding of 3H4 Gwt and optimized variants to HLA-E-VL9 or HLA-E-Mtb44 transfected

- 656 **293T cells.** Representative flow cytometry data from one of three independent experiments are657 shown.
- 658 f, SPR sensorgrams showing binding kinetics of 3H4 Gwt and optimized variants. Rate
- 659 constants (k_a , k_d) and dissociation constant K_D were determined by curve fitting analysis of SPR
- data with a 1:1 binding model. Binding data are shown as colored lines, and the best fits of a 1:1
- binding model are shown as black lines. Representative data from one of two independent
- 662 experiments are shown.
- 663 g, Enhanced NK-92 cell cytotoxicity by optimized IgG 3H4 Gv3 and 3H4 Gv6 on HLA-E-
- VL9 transfected 293T cells and untransfected 293T cells, in compare with IgG 3H4 Gwt.

Dots represent the mean values of triplicate wells in four or five independent ⁵¹Cr release
 assays.

- 667 Statistical analysis was performed using mixed effects models. Asterisks show the statistical
- significance between indicated groups: ns, not significant, *P<0.05, **P<0.01, ***P<0.001,
- 669 ****P<0.0001.





Figure. 5 HLA-E-VL9-specific antibodies isolated from the B cell pool of healthy humans.



674 were first isolated by negative selection from human leukapheresis PBMCs. A three-color

675 sorting strategy was used to sort single B cells that were positive for HLA-E-VL9 and negative 676 for HLA-E-RL9HIV or HLA-E-RL9SIV. Flow cytometry data showing the sorting of HLA-E-VL9 677 double positive, HLA-E-RL9HIV negative, HLA-E-RL9SIV negative B cells in PBMCs from four 678 donors (LP021, LP030, LP059 and LP060) are shown. Variable regions of antibody heavy and 679 light chain genes were isolated from the sorted B cells by PCR, and cloned into an expression 680 backbone with a human IgG1 constant region. Antibodies were produced by transient 681 transfection in 293i cells, and antibody binding specificities were analyzed by surface staining of 682 transfected 293T cells and high throughput screening (HTS) flow cytometry. 683 b, Binding specificities of the HLA-E-VL9-specific antibodies (n=56) from four donors 684 shown as a heatmap. The compensated MFIs of HLA-E-VL9-specific antibody staining on 685 HLA-E-VL9, HLA-E-RL9HIV, or HLA-E-RL9SIV transfected 293T cells at a concentration of 1 µg/ml were shown. Representative data from one of two independent experiments are shown. 686 c, NK cell cytotoxicity against CA147 IgG-treated target cells as assessed by ⁵¹Cr release 687 688 assay. Human antibody CA147 was incubated with HLA-E-VL9 transfected 293T cells and 689 untransfected 293T cells at final concentration of 10 µg/ml or 3 µg/ml, and NK92 cells were 690 added into the mixture as effector cells at effector: target (E:T) ratio of 20:1 and 6:1. Human 691 antibody A32 was used as the isotype control. Dots represent the mean values of triplicate wells 692 in five independent experiments. Statistical analysis was performed using mixed effects models. 693 Asterisks show the statistical significance between indicated groups: ns, not significant, *P<0.05, **P<0.01, ***P<0.001, ****P<0.0001. 694 695 d, Percentage of HLA-E-VL9-specific B cells in CD19⁺ pan-B cells in four donors.

e, Phenotypes of HLA-E-VL9-specific B cells (*n*=56) shown as heatmap. Expression of
markers in each single B cell were determined from index sorting data and are shown as MFIs
after compensation. Compensated MFIs below zero were set as zero. Each row indicates one
single cell. The rows were clustered by K-means Clustering in R. Four subsets were observed:
CD10⁻CD27⁻CD38^{+/-} naïve B cells, CD10⁺CD27⁻CD38⁺⁺ transitional B cells, CD10⁻CD27⁺CD38⁻⁻

non-class-switched memory B cells, and CD10⁻CD27⁺CD38⁺ plasmablast cells. Detailed

- information for each single cell and antibody is shown in *Table S4*.
- **f-g**, **Antibody gene usages. f**, Heavy chain viable (V_H) region gene usage shown as a bar chart
- 704 (left) and pie chart (right). The top five V_H genes found in HLA-E-VL9-specific antibodies are
- colored in the pie charts. **g**, Kappa chain variable (V_{κ}) and lambda chain variable (V_{λ}) region
- gene usage shown as a bar chart (left) and pie chart (right). The top five V_{κ}/V_{λ} genes found in
- 707 HLA-E-VL9-specific antibodies are colored in the pie charts. Reference VH-VL repertoires
- (n=198,148) from three healthy human donors from a previous study (*DeKosky, Nat Med 2015*)
- were used as a control. The chi-square test of independence was performed to test for an
- association between indicated gene usage and repertoire/antibody type in panels A-B. ****,
- 711 p<0.0001; *, 0.01<p<0.05.
- 712 h-i, Comparison of heavy chain (h) and light chain (i) CDR3 (CDR-H3) length. HLA-E-VL9
- antibody CDR-H3 length was compared with the reference (<u>DeKosky et al., 2015</u>) human
- 714 antibody CDR-H3 length.
- j-k, Violin plots showing the mutation rates of heavy chains (j) and light chains (k). HLA-
- 716 E-VL9 antibody sequences (E-VL9) were compared with reference sequences from naïve and
- 717 antigen-experienced (Ag-Exp) antibody repertoires (n=13,780 and 34,692, respectively).
- 718
- 719

1	Supplementary Materials for
2	Murine and Human Antibodies that Bind HLA-E-Leader Peptide Complexes and
3	Enhance NK Cell Cytotoxicity
4	
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29 This PDF file includes:

- 30 Materials and Methods
- 31 References
- 32 Supplementary Figure. S1 to S4
- **Other Supplementary Material for this manuscript includes the following:**
- 34 Supplementary Table S1-S4
- 35
- 36
- 37 MATERIALS AND METHODS
- 38 Cell Lines

39 K562-E cells (K562 cells stably expressing HLA-E) and K562-E/UL49.5 cells (with a 40 TAP-inhibitor UL49.5) are kindly provided by Dr. Thorbald van Hall from Leiden University 41 (Lampen et al., 2013). All the other cells used in this study are from ATCC. 293T cells (ATCC CRL-3216) were maintained in Dulbecco's Modified Eagle's Medium (DMEM; Gibco, 42 Catalog# 10564) supplemented with 10% fetal bovine serum (FBS; Gibco, Catalog# 43 10099141) and 1% penicillin/streptomycin (Gibco, Catalog# 10378016). K562 cells (ATCC 44 45 CCL-243), K562-E cells and K562-E/UL49.5 cells were cultured in Iscove's Modified Dulbecco's Medium (IMDM; Hyclone, Catalog# SH30228.01) supplemented with 10% FBS. 46 Jurkat, DU-4475 and U-937 cells were cultured in RPMI-1640 medium (Gibco, Catalog# 47 48 72400) supplemented with 10% FBS. SiHa cells were cultured in Minimum Essential 49 Medium (MEM; Gibco, Catalog# 11095080) supplemented with 10% FBS. The NK-92 50 human cell line (ATCC CRL-2407) was cultured in Alpha Minimum Essential medium (α-51 MEM; Gibco, Catalog# 12561072) supplemented with 2 mM L-glutamine, 0.2 mM inositol, 52 0.1 mM 2-mercaptoethanol, 0.02 mM folic acid, 100 U/ml recombinant IL-2 (Biolegend, 53 Catalog# 589108), 12.5% horse serum (Gibco, Catalog# 16050122) and 12.5% FBS. All the 54 cells were maintained at 37°C, 5% CO2 in humidified incubators.

- 55
- 56 Animals

57 Transgenic mice carrying human β 2-microglobulin (β 2m) and HLA-B*27:05 genes were 58 obtained from Jackson lab (B6.Cq-Tq(B2M,HLA-B*27:05)56-3Trg/DcrJ; stock# 003428). 59 Hemizygous mice were used in this experiment, as this strain is homozygous lethal. For 60 hemizygous mice genotyping, peripheral blood lymphocytes (PBLs) were isolated and 61 stained using mouse CD45 antibody (Biolegend, Catalog# 103122), human HLA class I 62 antibody (Biolegend, Catalog# 311406) and human β2m antibody (Biolegend, Catalog# 63 316312). All animal experiments were conducted with approved protocols from the Duke 64 University Institutional Animal Care and Use Committee.

65

66 Human Subjects

67 Human leukapheresis frozen vials were collected by the External Quality Assurance

68 Program Oversight Laboratory (EQAPOL) (Sanchez et al., 2014a; Sanchez et al., 2014b).

69 Samples from four male donors were used in this study. *Table S5* shows the clinical

characteristics of the individuals studied. All experiments that related to human subjects was

carried out with the informed consent of trial participants and in compliance with Institutional

72 Review Board protocols approved by Duke University Medical Center.

73

74 Peptide synthesis

75 The VL9 peptide (VMAPRTVLL) was synthesized to >85% purity via Fmoc (9-

76 fluorenylmethoxy carbonyl) chemistry by Genscript USA and reconstituted to 200mM in

77 DMSO.

78

79 HLA-E-peptide protein refolding and purification

80 β2-microglobulin, previously purified from inclusion bodies in a Urea-MES buffer, was

81 added to a refolding buffer to achieve a final concentration of 2 μ M. The refold buffer

comprised 100 mM Tris pH8.0, 400 mM L-arginine monohydrochloride, 2 mM EDTA, 5 mM

reduced glutathione and 0.5 mM oxidized Glutathione and was prepared in MiliQ water. A 20

84 μM concentration of VL9 peptide (VMAPRTVLL), previously reconstituted to 200 mM in

85 DMSO, was added to the refolding buffer followed by HLA-E*0103 heavy chain, which was 86 pulsed into the refold to a final concentration of 1 µM. Once the refold had incubated for 72 87 hours at 4 °C it was filtered through a 1.0 µm cellular nitrate membrane and concentrated in 88 the VivaFlow 50R and VivaSpin Turbo Ultrafiltration centrifugal systems with 10 kDa 89 molecular weight cut-offs. The concentrated samples were injected onto a Superdex S75 90 16/60 column and refolded protein eluted according to size into phosphate buffered saline 91 (PBS). Eluted protein complexes were validated by non-reducing SDS-PAGE 92 electrophoresis on NuPAGE 12% Bis-Tris protein gels and further concentrated via VivaSpin Turbo Ultrafiltration centrifugal device to 1.1 mg/mL. 93 94 95 HLA-E-peptide biotinylation and tetramer generation 96 HLA-E-peptide samples requiring biotinylation were subsequently buffered exchanged 97 on Sephadex G-25 PD10 columns (GE Healthcare, UK) into 10mM Tris buffer using 98 commercially available BirA enzyme (Avidity, USA) following the manufacturer's instructions. Following overnight biotinylation, protein samples were subsequently 99 100 purified into 20mM Tris pH8,100mM NaCl buffer or PBS on a HiLoad 16/600 Superdex 75pg

101 column using an AKTA size exclusion fast protein liquid chromatography (FPLC) system.

Correctly folded β2m-HLA-E*01:03-peptide complexes were subsequently concentrated to 2
 mg/mL and snap frozen.

HLA-E*01:03 tetramers were generated via conjugation to various fluorescent labels
including Extravidin-PE (Sigma), Streptavidin-bound APC (Biolegend, San Diego) or BV421
(Biolegend, San Diego) at a Molar ratio of 4:1 as previously described (Braud et al., 1998).

107

108 Immunization in HLA-B27/β2m Transgenic Mice

109 HLA-B27/ β 2m transgenic mice (*n*=23) were intramuscularly (i.m.) immunized with

pooled HLA-E-RL9HIV complex (12.5 µg/animal) and HLA-E-RL9SIV complex (12.5

 μ g/animal) adjuvanted with STR8S-C (Moody et al., 2014) at weeks 0, 2, 4, 6, 12 and 16.

112 MAb 3H4 was isolated from this study. In another experiment, HLA-B27/β2m transgenic

113	mice ($n = 10$) were i.p. immunized with either HLA-E-RL9HIV single chain trimer (SCT)
114	transfected 293T cells (2x10 ⁶ cells/animal) or HLA-E-RL9SIV SCT transfected 293T cells
115	(2x10 ⁶ cells/animal) at weeks 0, 2, 4, 6, 17 and 19. MAb 13F11 was isolated from this study.
116	In the third experiment, HLA-B27/ β 2m transgenic mice (<i>n</i> =10) were i.m. immunized with
117	HLA-E-VL9 complex (25 μ g/animal) adjuvanted with STR8S-C at Week 0, 2 and 4, following
118	by intraperitoneally (i.p.) immunization with HLA-E-VL9 SCT transfected 293T cells ($2x10^{6}$
119	cells/animal) at Week 14, 16 and 18. MAb 10C10 and 2D6 were isolated from this study.
120	Serum titers were monitored by ELISA Mice with high binding antibody titers were selected
121	for the subsequent spleen cell fusion and B cell sorting experiments.
122	
123	Hybridoma Cell Line Generation and Monoclonal Antibody Production
124	Mice were boosted with the indicated priming antigen 3 days prior to fusion. Spleen cells
125	were harvested and fused with NS0 murine myeloma cells using PEG1500 to generate
126	hybridomas. After 2 weeks, supernatant of hybridoma clones were collected and screened
127	by flow cytometry-based high throughput screening (HTS). Specifically, we tested for
128	antibodies differentially binding 293T cells transiently transfected with plasmid DNA
129	expressing single chain peptide-HLA-E-ß2m trimers so that they expressed HLA-E-RL9HIV,
130	HLA-E-RL9SIV or HLA-E-VL9 at the cell surface. Hybridomas cells that secreted HLA-E-VL9
131	antibodies were cloned by limiting dilution for at least 5 rounds until the phenotypes of all
132	limiting dilution wells are identical. IgG mAbs were purified by protein G affinity
133	chromatography, while IgM mAbs were purified by ammonium sulfate precipitation and by
134	Superose 6 column size-exclusion chromatography in AKTA Fast Protein Liquid
135	Chromatography (FPLC) system. The VH and VL sequences of mAbs were amplified from
136	hybridoma cell RNA using primers reported previously (Tian et al., 2016; von Boehmer et al.,
137	2016).
138	

139 Cell Surface Staining and High-Throughput Screening (HTS)

140 HLA-E SCT constructs encoding HLA-E-VL9, HLA-E-RL9HIV, or HLA-E-RL9SIV were 141 transfected into 293T cells using GeneJuice transfection reagent (Novagen, Catalog# 142 70967). For epitope mapping experiment, a panel of HLA-E-VL9 SCT constructs with single 143 amino acid mutations were transfected into 293T cells using the same method. Cells were 144 dissociated with 0.1% EDTA at 48 hours post-transfection and stained with a Fixable Near-145 IR Dead Cell Stain Kit (Thermo Fisher, Catalog# L34976). After washing, primary antibodies 146 (supernatant from hybridoma cells, supernatant from transfected cells, or purified antibodies) 147 were added and incubated with cells for 1 hour at 4°C, following by staining with 1:1000 148 diluted secondary antibodies for 30 mins at 4°C. For mouse primary antibodies, we used 149 Alexa Fluor 555 (AF555) conjugated goat anti-mouse IgG (H+L) (Thermo Fisher, Catalog# A32727) or Alexa Fluor 647 (AF647) conjugated goat anti-mouse IgG (H+L) (Thermo Fisher, 150 151 Catalog# A32728) as secondary antibodies; for human primary antibodies, we used AF555 152 conjugated goat anti-human IgG (H+L) (Thermo Fisher, Catalog# A-21433) or AF647 conjugated goat anti-human IgG (H+L) (Thermo Fisher, Catalog# A-21445) as secondary 153 154 antibodies. Cells were then washed 3 times and resuspended in fixation buffer (1% 155 formaldehyde in PBS, pH7.4). Data were acquired on a BD LSR II flow cytometer and 156 analyzed using FlowJo version 10.

157

158 **3H4 Fab production**

A humanized version of the 3H4 antibody (3H4-hulgG1) was digested to produce Fab fragments using the Pierce Fab Preparation kit (ThermoFisher SCIENTIFIC). 3H4 Fabretrieved sample was further purified by size exclusion on a Superdex S75 16/60 column and eluted into PBS buffer. Following concentration to 1.1mg/mL and SDS-PAGE gel-based validation, 3H4 Fab purified material was incubated for 1 hours on ice with freshly purified HLA-E-VL9. The combined 3H4:Fab-HLA-E-VL9 sample was concentrated to 7.5mg/mL prior to crystallographic set-up.

166

167 Crystallization screening

168 Crystals were grown via sitting drop vapour-diffusion at 20 °C in a 200nL drop with a 1:1 169 protein to reservoir ratio (Walter et al., 2005). The 3H4 Fab-HLA-E(VL9) co-complex 170 crystallized in 20% PEG 8000, 0.1 M Na HEPES at pH 7, in the ProPlex sparse matrix 171 screen. Crystals were cryo-preserved in 25% glycerol and diffraction data were collected at 172 the I03 beamline of Diamond Light Source.

173

174 Crystallographic analysis

Two copies of the co-complex structure of 3H4 Fab bound to HLA-E-VL9 were present in the asymmetric unit, a single copy constituted the focus of further discussion since rootmean-square deviation (RMSD) calculations from Cα-atom pairwise alignment of the two copies indicated minimal repositioning of interfacing residues at the HLA-E-3H4 binding site (*Table S2B-F*). Additionally, pairwise alignment with the previously published non-receptorbound HLA-E coordinates (PDB ID: 1MHE) (O'Callaghan et al., 1998) revealed minimal structural changes in HLA-E upon 3H4 engagement (*Table S2C*).

182 Diffraction data were merged and indexed in xia2 dials (Winter et al., 2018). Outer shell reflections were excluded from further analysis to ensure the $CC_{1/2}$ value exceeded the 183 184 minimum threshold (>0.5) in each shell (Karplus and Diederichs, 2012). Sequential molecular replacement was carried out in MolRep of the CCP4i suite using molecule one of 185 186 the previously published Mtb44-bound HLA-E structure with the peptide coordinates deleted 187 (PDB ID: 6GH4) and one molecule of the previously published anti-APP-tag Fab structure 188 (PDB ID: 6HGU) as phasing models (Vagin and Teplyakov, 2010; Winn et al., 2011). Rigid 189 body and retrained refinement were subsequently carried out by Phenix.refine (Afonine et 190 al., 2012) in between manual model building in Coot (Emsley et al., 2010). Model geometry 191 was validated by MolProbity (Chen et al., 2010) and structural interpretation was conducted 192 using the PyMOL Molecular Graphics System, version 2.0 (Schrödinger, LLC) in addition to 193 the PDBePISA (Krissinel and Henrick, 2007) and PDBeFOLD (Krissinel and Henrick, 2004) 194 servers.

195

196 Antigen-Specific Single B Cell Sorting

197 HLA-E-VL9-specific human B cells were sorted in flow cytometry using a three-color 198 sorting technique. Briefly, the stabilized HLA-E- β 2M-peptide complexes were made as 199 tetramers and conjugated with different fluorophores. Human pan-B cells, including naïve 200 and memory B cells, were isolated from PBMCs of healthy donors using human pan-B cell 201 enrichment kit (STEMCELL, Catalog# 19554). The isolated pan-B cells were then stained 202 with IgM PerCp-Cy5.5 (Clone# G20-127, BD Biosciences, Catalog# 561285), IgD FITC 203 (Clone# IA6-2, BD Biosciences, Catalog# 555778), CD3 PE-Cy5 (Clone# HIT3a, BD 204 Biosciences, Catalog# 555341), CD235a PE-Cv5 (Clone# GA-R2, BD Biosciences, 205 Catalog# 559944), CD10 PE-CF594 (Clone# HI10A, BD Biosciences, Catalog# 562396), 206 CD27 PE-Cy7 (Clone# O323, eBioscience, Catalog# 25-0279), CD16 BV570 (Clone# 3G8, 207 Biolegend, Catalog# 302035), CD14 BV605 (Clone# M5E2, Biolegend, Catalog# 301834), 208 CD38 APC-AF700 (Clone# LS198-4-2, Beckman Coulter, Catalog# B23489), CD19 APC-209 Cy7 (Clone# LJ25C1, BD Biosciences, Catalog# 561743) and tetramers at 2 µg/million cells 210 (including BV421-conjugated HLA-E-VL9 tetramer, PE-conjugated HLA-E-VL9 tetramer, 211 APC-conjugated HLA-E-RL9SIV tetramer and APC-conjugated HLA-E-RL9HIV tetramer). 212 The cells were then stained with a Fixable Aqua Dead Cell Stain Kit (Invitrogen, Catalog# 213 L34957). HLA-E-VL9-specific B cells were sorted in BD FACSAria II flow cytometer (BD Biosciences) for viable CD3^{neg}/ CD14^{neg}/CD16^{neg}/CD235a^{neg}/CD19^{pos}/ HLA-E-VL9^{double-pos}/ 214 HLA-E-RL9HIV^{neg}/HLA-E-RL9SIV^{neg} subset as single cells in 96-well plates. 215

216

217 PCR Amplification of Human Antibody Genes

The $V_H D_H J_H$ and $V_L J_L$ genes were amplified by RT-PCR from the flow cytometry-sorted single B cells using the methods as described previously (Liao et al., 2009; Wrammert et al., 2008) with modification. Primer details were listed in Tables S2. The PCR-amplified genes were then purified and sequenced with 10 µM forward and reverse primers. Sequences were analyzed by using the human library in Clonalyst for the VDJ arrangements of the immunoglobulin *IGHV*, *IGKV*, and *IGLV* sequences and mutation frequencies (Kepler et al.,

224 2014). Clonal relatedness of $V_H D_H J_H$ and $V_L J_L$ sequences was determined as previously

described (Liao et al., 2013).

226

227 Expression of $V_H D_H J_H$ and $V_L J_L$ as Full-Length IgG Recombinant mAbs

228 Transient transfection of recombinant mAbs was performed as previously described

229 (Liao et al., 2009). Briefly, purified PCR products were used for overlapping PCR to generate

230 linear human antibody expression cassettes. The expression cassettes were transfected into

231 293i cells using ExpiFectamine (Thermo Fisher Scientific, Catalog# A14525). The

232 supernatant samples containing recombinant antibodies were used for cell surface staining

and HTS assay to measure the binding reactivities.

The selected human antibody genes were then synthesized and cloned (GenScript) in a

human IgG1 backbone with 4A mutations (Saunders, 2019). Recombinant IgG mAbs were

then produced in HEK293i suspension cells by transfection with ExpiFectamine and purified

237 using Protein A resin. The purified mAbs were run in SDS-PAGE for Coomassie blue

238 staining and western blot. Antibodies with aggregation were further purified in AKTA FPLC

239 system using a Superdex 200 size-exclusion column.

240

241 Surface Plasmon Resonance (SPR)

Surface plasmon resonance assays were performed on a BIAcore 3000 instrument, and 242 243 data analysis was performed with BIAevaluation 3.0 software as previously described (Liao 244 et al., 2006). Purified mAbs flowed over CM5 sensor chips at concentrations of 100 µg/ml, 245 and antibody binding was monitored in real-time at 25°C with a continuous flow of PBS at 30 246 µl/min. For SPR affinity measurements, antibody binding to HLA-E-VL9 complex protein was 247 performed using a BIAcore S200 instrument (Cytiva, formerly GE Healthcare, DHVI BIA Core Facility, Durham, NC) in HBS-EP+ 1x running buffer. The antibodies were first 248 captured onto CM5 sensor chip to a level of ~9000 RU. The HLA-E-VL9 soluble proteins 249 250 were injected over the captured antibodies at a flow rate of 30uL/min. After dissociation, the 251 antibodies were regenerated using a 30 second pulse of Glycine pH2.0. Results were

analyzed using the Biacore S200 Evaluation software (Cytiva). Subsequent curve fitting
analyses were performed using a 1:1 Langmuir model with a local Rmax. The reported
binding curves are representative of two data sets.

255

256 ELISA

257 Direct binding ELISAs were conducted in 384-well ELISA plates coated with 2 µg/ml of C-trap-stabilized HLA-E-VL9, C-trap-stabilized HLA-E-RL9HIV or C-trap-stabilized HLA-E-258 259 RL9SIV in 0.1 M sodium bicarbonate overnight at 4°C. Plates were washed with PBS + 260 0.05% Tween 20 and blocked with 3% BSA in PBS at room temperature for 1 h. MAb 261 samples were incubated for 1 h in 3-fold serial dilutions starting at 100 µg/ml, followed by washing with PBS-0.05% Tween 20. HRP-conjugated goat anti-human IgG secondarv Ab 262 263 (SouthernBiotech, catalog# 2040-05) was diluted to 1: 10,000 in 1% BSA in PBS-0.05% 264 Tween 20 and incubated at room temperature for 1 h. For sandwich ELISA, 384-well ELISA plates were coated with HLA-E-VL9 antibodies in a 3-fold dilution starting from 100 µg/mL in 265 266 0.1 M sodium bicarbonate overnight at 4°C. Plates were washed with PBS + 0.05% Tween 267 20 and blocked with 3% BSA in PBS at room temperature for 1 h. C-trap-stabilized HLA-E-268 VL9, C-trap-stabilized HLA-E-RL9HIV, C-trap-stabilized HLA-E-RL9SIV, or diluent control 269 were then added at 2 µg/mL and incubated at room temperature for 1 h. After washing, 270 HRP-conjugated anti-human β 2M antibody (Biolegend, catalog# 280303) were added at 0.2 271 µg/mL and incubated at room temperature for 1 h. These plates were washed for 4 times 272 and developed with tetramethylbenzidine substrate (SureBlue Reserve). The reaction was 273 stopped with 1 M HCI, and optical density at 450 nm (OD₄₅₀) was determined. 274

271

275 Antibody Poly-Reactivity Assays

All mAbs isolated from mice and human were tested for ELISA binding to nine

277 autoantigens - Sjogren's syndrome antigen A (SSA), Sjogren's syndrome antigen (SSB),

278 Smith antigen (Sm), ribonucleoprotein (RNP), scleroderma 70 (Scl-70), Jo-1 antigen,

279 double-stranded DNA (dsDNA), centromere B (Cent B), and histone as previously described

280	(Han et al., 2017; Liao et al., 2011). Indirect immunofluorescence assay of mAbs binding to
281	HEp-2 cells (Inverness Medical Professional Diagnostics, Princeton, NJ) was performed as
282	previously described (Haynes et al., 2005; Liao et al., 2011). MAbs 2F5 (Yang et al., 2013)
283	and 17B (Moore and Sodroski, 1996) were used as positive and negative controls,
284	respectively. All antibodies were screened in two independent experiments.
285	
286	Negative Stain Electron Microscopy of IgM antibodies
287	FPLC purified IgM antibodies were diluted to 0.08 mg/ml in HEPES-buffered saline (pH
288	7.4) + 5% glycerol, and stained with 2% uranyl formate. Images were obtained with a Philips
289	EM420 electron microscope at 82,000 magnification and processed in Relion 3.0.
290	
291	Peptide-Pulsing in K562-E Cells
292	K562-E cells and K562-E/UL49.5 cells were resuspended with fresh IMDM media with
293	10% FBS at 2x10 ⁶ cells/ml. Peptides were added into cell suspension at a final
294	concentration of 100 $\mu M.$ The cell/peptide mixtures were incubated at 26°C with 5% CO2 for
295	20-22 hours and were transferred to 37° C for 2 hours with 5% CO ₂ before use. In the
296	following mAb staining experiment, medium with 100 μM peptides was used to maintain
297	peptide concentration.
298	
299	NK Cell Cytotoxicity Assay
300	NK Cell Cytotoxicity was measured by ⁵¹ Cr release assay. A NKG2A-positive,
301	CD16/CD32/CD64-negative NK-92 cells were used as effector cells in our study.
302	Transfected or untransfected 293T cells were used as target cells. Target cells were
303	counted, washed, resuspended in R10 at 1×10^7 cell/ml, and labeled with Na ₂ ⁵¹ CrO ₄ at 250
304	μ Ci/ml for 2 hours at 37°C. After washing three times using R10, cells were mixed with the

testing antibody and effector cells in a final effector to target (E:T) ratio of 20:1 and 6:1 in

- triplicate wells in a flexible 96 well round bottom plates (PerkinElmer, Catalog# 1450-401).
- 307 The plates were inserted in flexible 96-well plate cassettes (PerkinElmer, Catalog# 1450-

308 101), sealed and incubated at 37°C for 4 hours. After the incubation, cells were pelleted by 309 centrifugation, and from the top of the well, add 25 ul of supernatant to a rigid 96 well 310 isoplates (PerkinElmer, Catalog#1450-514) containing 150 ul of Ultima Gold LSC Cocktail 311 (Sigma, Catalog# L8286). The plates were inserted in rigid 96-well plate cassettes 312 (PerkinElmer, Catalog# 1450-105), sealed and counted on Perkin Elmer Microbeta Triux 1450 counter. ⁵¹Cr labeled target cells without effector cells were set as a spontaneous 313 release control, and ⁵¹Cr labeled target cells mixed with detergent (2% Triton X-100) were 314 315 used as a maximum release control. The percentages of specific lysis were calculated with the formulation: The Percentages of Specific Lysis (⁵¹Cr Release %) = [(Experimental 316 317 Release – Spontaneous Release)/ (Maximum Release – Spontaneous Release)] x 100.

318

319 Development and screening of scFv libraries on the surface of yeast

A library was built that contained ~1.1 million 3H4 scFv variants with amino acid 320 321 diversity at sites that were determined by structural analysis to interact with HLA-E-VL9. 322 Seventeen residues (Figure. S3) located in the CDR loops of 3H4 were randomized in 323 groups of four based on their proximity and all the possible combinations of amino acids 324 were sampled at these sites. Library DNA was synthesized on a BioXP 3250 (Codex) 325 system and amplified with High Fidelity Phusion polymerase (New England Biolabs). PCR 326 products were gel extracted (Qiagen Gel Extraction kit) to select full length genes as per the 327 manufacturer's protocol. 3H4 scFv variants were displayed in library format on the surface of 328 yeast as previously described (Benatuil et al., 2010; Chao et al., 2006). Briefly, S. cerevisiae 329 EBY100 cells were transformed by electroporation with a 3:1 ratio of 12 µg scFv library DNA 330 and 4 µg pCTcon2 plasmid digested with BamHI, Sall, NheI (New England Biolabs). The 331 size of the transformed library, determined by serial dilution on selective plates, was 5x10⁷ 332 individual colonies. Yeast Libraries were grown in SDCAA media (Teknova) supplemented with pen-strep at 30°C and 225 rpm. 80% of the sequences recovered from the transformed 333 334 libraries were confirmed to contain full length, in-frame genes by Sanger sequencing (Genewiz). scFv expression on the surface of yeast was induced by culturing the libraries in 335

336 SGCAA (Teknova) media at a density of 1x10⁷ cells/mL for 24-36 hours. Cells were washed 337 twice in ice cold PBSA (0.01M sodium phosphate, pH 7.4, 0.137M sodium chloride, 1g/L 338 bovine serum albumin) and labeled with APC conjugated HLA-E-VL9 tetramer and 1:100 339 anti-c-myc:FITC (ICL) and incubated for 1 hour at 4C. Initial selection was conducted with 340 50mg/mL labeling concentration of HLA-E-VL9 tetramer; the second round of selection was 341 done at 0.6mg/mL tetramer. Cells were washed twice with PBSA after incubation with the 342 fluorescently labeled probes and sorted on a BD FACS-DiVa. Double positive cells for APC 343 and FITC were collected and expanded in SDCAA media supplemented with pen-strep 344 before successive rounds of enrichment. FACS data was analyzed with Flowjo v10.7 345 software (Becton, Dickinson & Company). All clones selected by FACS were expanded, and their DNA was extracted (Zymo Research) for analysis by Sanger sequencing (Genewiz). 346 scFv encoding plasmids were recovered from yeast cultures by yeast miniprep with the 347 348 Zymoprep yeast plasmid miniprep II kit (Zymo Research). Isolated DNA was transformed into NEB5α strain of *E. coli* (New England Biolabs) and the DNA of individual bacterial 349 350 colonies was isolated (Wizard Plus SV Minipreps, Promega) and analyzed by Sanger sequencing (Genewiz). 351

352

353 Statistics Analysis

354 Data were plotted using Prism GraphPad 8.0 or visualized using the ComplexHeatmap R package. SAS 9.4 (SAS Institute, Cary, NC) was used to perform the statistical analysis 355 with a p-value < 0.05 considered significant. For ⁵¹Cr release assays, mixed effects models 356 357 were used to make comparisons of antibody to control using a random intercept for the 358 triplicates run within each experiment and fixed effects of E:T ratio, type (antibody or 359 control), and the interaction of E:T ratio by type. For human antibody gene usage 360 analysis, chi-square test of independence was used to compare differences between 361 groups. 362

363

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- 460

462 SUPPLEMENTAL FIGURES



464 Figure. S1 Isolation and characterization of monoclonal antibody 3H4.

465 **a-b**, Expression of human HLA-B27 and β2M in peripheral blood lymphocytes (PBLs)

466 **of the transgenic (TG) mice.** HLA-B27/β2M TG mice were used to minimize the induction

467 of antibodies to HLA class I and β2M. Mouse PBLs from TG mice and littermate control were

468 isolated and stained with anti-mouse CD45, anti-human HLA class I (A/B/C) and anti-human

469 β 2m antibodies. Representative data (a) and the percentages of human HLA-B27⁺ β 2M⁺ cells

470 in CD45⁺ PBLs from TG mice (n=6) and control mice (n=6) (b) were shown.

- 471 c, Schematic diagram of the immunization, splenocyte fusion and hybridoma
- 472 screening strategy. HLA-B27/β2M TG mice (n=10) were immunized with cell surface-

473 expressing HLA-E-RL9 peptide (a peptide derived from HIV-1; denoted RL9HIV hereafter)

474 single-chain trimer (SCT)-transfected 293T cells (indicated by red arrows). After

immunizations, spleen cells were harvested from the selected mouse and the fusion was

476 performed using NS0 cells to generate hybridoma cells. Supernatants from the hybridoma

477 cell candidates were screened for differential binding by surface staining on HLA-E-VL9,

478 HLA-E-RL9HIV or HLA-E-RL9SIV transfected 293T cells. Hybridomas producing antibodies

479 specific for HLA-E-VL9 but not others were selected for cloning and downstream analysis.

480 Monoclonal cells were cloned for at least five rounds.

481 d, Serum antibody binding ELISA. Serum antibodies to HLA-E-VL9, HLA-E-RL9SIV, HLA-

482 E-RL9HIV complexes were quantified by ELISA and shown as log AUC (area under curve).

483 Antigens used for immunizations and ELISA assays are all cysteine (C)-trap stabilized. Each

484 curve represents one animal, and the curve for animal that we used for splenocyte fusion485 are shown in red.

e, Affinity of 3H4 binding to soluble HLA-E-VL9 complex. 3H4 as a mouse IgM or as a
recombinant human IgG1 were immobilized on CM5 sensor chips and soluble HLA-E-VL9
complex protein at the indicated concentrations was flowed over antibody immobilized
sensor chips. Binding data are shown as black lines, and the best fits of a 1:1 binding model
are shown as colored lines. Rate constants (k_a, k_d) and dissociation constant K_D were
measured following curve fitting analysis.

492 f, Purification of 3H4 by FPLC using Superose 6 size exclusion column. The arrowed

493 peak was collected and analyzed by negative staining.

494 g, Representative class average images of 3H4 negative stain electron microscopy
495 (NSEM).

496 h, Binding of 3H4 expressed in a human backbone G1.4A to a panel of autoantigens

497 **by AtheNA assays.** HIV-1 gp41 antibody 4E10 was set as a positive control, and a Flu

498 antibody Ab82 was used as a negative control. The dotted lines indicate the cutoff values

 $499 \geq 100$ luminance units used to denote positivity.

500 i, Binding of 3H4 in human backbone G1.4A to HEp-2 epithelial cells in indirect

501 **immunofluorescence staining assays.** HIV-1 gp41 antibody 2F5 was set as a positive

502 control, and HIV-1 gp120 antibody 17B was used as a negative control. Antibody staining

503 concentration was 50 µg/ml, and data were collected at 40x objective for 8 seconds. Data

504 are representative from one of two independent experiments.

505 j, 3H4 does not cross-react with mouse Qa-1b-peptide complex. 293T cells were

transfected with HLA-E-VL9 (VMAPRTLLL), HLA-E-AL9 (AMAPRTLLL), mouse Qa-1b-VL9,

507 or mouse Qa-1b-AL9. Transfected cells were stained with 3H4 antibody or an anti- β 2M

508 control antibody 2M2 followed by AF647 conjugated anti-mouse IgG(H+L) secondary

antibody. Data are representative from one of three independent experiments.

510 k, 3H4 recognizes peptides with variants in P1. 293T cells were transfected with HLA-E

511 SCTs with VL9 peptides with single amino acid mutations at P1, then stained with 3H4

antibody or an anti- β 2M control antibody 2M2 followed by AF647 conjugated anti-mouse

513 IgG(H+L) secondary antibody (dark blue). Cells were gated for EGFP positive subsets.

514 Isotype control stained cells were used as a negative control (grey filled histograms), and the

515 wildtype VL9 peptide was a positive control (pale blue filled histograms). Data are

516 representative from one of three independent experiments.



518

519 Figure. S2 Phenotypic analysis of NK-92 cells.

a, NKG2A and CD94 expression in NK-92 cell line detected by flow cytometry. NK-92 520 521 cells were stained with PE-CD94 antibody or FITC-NKG2A antibody and analyzed in flow 522 cytometer. A PE-isotype and FITC-isotype antibodies were used as negative controls. b, Fc receptors CD16, CD32 and CD64 expression in NK-92 cell line detected by flow 523 cytometry. NK-92 cells were stained with BV650-CD16 antibody, APC-CD32 antibody, or 524 525 BV421-CD64 antibody and analyzed in flow cytometer. Peripheral blood mononuclear cells 526 (PBMCs) were used as positive controls. Dot plots overlay of antibody stained cells (red) 527 and unstained control cells (grey) were shown. NK-92 cells were negative for CD16, CD32 or CD64, while a subset of PBMC cells were positive for each antibody. Data from a single 528 529 antibody phenotype experiment.



531

532 Figure. S3 Affinity optimization of 3H4 lgG.

a, NK cell cytotoxicity against wild-type 3H4 IgG-treated target cells measured by ⁵¹Cr 533 534 release assay. Unoptimized, wild-type 3H4 on a human IgG1 backbone (3H4 Gwt) was 535 incubated with HLA-E-VL9 transfected 293T cells and untransfected 293T cells at final 536 concentration of 10 µg/ml, 1 µg/ml, or 0.1 µg/ml, and NK92 cells were added into the mixture 537 as effector cells at effector: target (E:T) ratios of 20:1 and 6:1. Human IgG1 CH65 was used as an isotype controls. Dots represent the mean values of triplicate wells in four or five 538 539 independent experiments. Asterisks show the statistical significance between indicated 540 groups: ns, not significant.

541 b, **3H4 residues optimized for affinity improvements by library screening.** Seven

- 542 different libraries were designed that simultaneously sampled group of 4 amino acids in the
- 543 CDR loops of 3H4 that interact with HLA-E-VL-9 by structural analysis. *Top*: Structural
- 544 mapping of the amino acids (*spheres*) sampled together in the different libraries. Residues
- shown in the same color were randomized together. HLA-E: green; VL-9: orange; Bottom:
- 546 Amino acid sequence of the seven 3H4 libraries, with randomized residues marked with 'X'
- 547 and colored as in the structural panels above.
- 548 c, Binding of wild-type 3H4 and variants on transfected 293T cells. Wild-type 3H4 and
- 549 variants were titrated on HLA-E-VL9-transfected or untranfected 293T cells. Mean
- 550 fluorescent intensity (MFI) from one of three independent experiments were shown.
- 551 d, Enhanced NK-92 cell cytotoxicity by optimized IgG 3H4 Gv5 and 3H4 Gv7 on HLA-
- 552 E-VL9 transfected 293T cells and untransfected 293T cells, in compare with IgG 3H4
- 553 **Gwt.** Dots represent the mean values of triplicate wells in four or five independent ⁵¹Cr
- release assays. Statistical analysis was performed using mixed effects models. Asterisks
- show the statistical significance between indicated groups: ns, not significant, *P<0.05,
- 556 **P<0.01, ***P<0.001, ****P<0.0001.
- 557





564 c, Gating strategy of the single cell sorting for HLA-E-VL9-specific B cells from a

565 **Cytomegalovirus (CMV)-negative, male human.** Human B cells were first enriched from

566 PBMCs by pan-B cell negative selection magnetic beads. The enriched cells were stained

567 and gated on viable/CD14^{neg}/CD16^{neg}/CD3^{neg}/CD235a^{neg}/CD19^{pos}/HLA-E-VL9^{pos}/HLA-E-

568 RL9HIV^{neg}/ HLA-E-RL9SIV^{neg} subset as shown. Cells were single-cell sorted into 96-well

569 plates for the downstream PCR cloning. Representative data from one of the four donors

570 were shown.

571 d-e, Flow cytometry titration of purified HLA-E-VL9-specific mAbs isolated from a

572 **CMV-negative, male human.** Antibodies recovered from sorted B cells were constructed in

573 human IgG1 backbones and used for staining titration on both C-trap-stabilized and

574 unstabilized HLA-E-VL9, HLA-E-RL9SIV, HLA-E-RL9HIV transfected 293T cells. EGFP

575 expression indicates transfection efficiency. Transfected cells were stained with testing

576 antibodies at the concentration of 2 µg/ml, followed by secondary antibody AF555-anti-

577 human IgG staining. (d) Staining data of a representative antibody CA147 and a negative

578 control antibody CA136. (e) Summary of the MFI of antibody binding data shown as bar

579 chart. Data are representative from one of two independent experiments.

580 f, Cross-reactivities of human HLA-E-VL9 antibodies with rhesus Mamu-E-VL9 and

581 mouse Qa-1b-VL9 complex. 293T cells were transfected with HLA-E-VL9, Mamu-E-VL9,

582 two HLA-E/Mamu-E hybrids [HLA-E α 1/Mamu-E α 2 (H α 1/M α 2) and Mamu-E α 1/HLA-E α 2

583 (Mα1/Hα2)], and Qa-1b-VL9. Transfected cells were stained with human antibodies CA123,

584 CA133, CA143, and CA147, followed by AF647 conjugated anti-mouse IgG(H+L) secondary

antibody. Data are representative from one of three independent experiments.

586 g, Mapping of representative HLA-E-VL9-specific mAbs CA123, CA133, CA143 and

587 CA147 on 293T cells transfected with HLA-E-VL9 peptide variants. 293T cells were

transfected with HLA-E SCTs with VL9 peptides with single amino acid mutations at P1, then

stained with human antibodies CA123, CA133, CA143, and CA147, followed by AF647

590 conjugated anti-mouse IgG(H+L) secondary antibody (dark blue). Cells were gated for EGFP

591 positive subsets. MFI of the indicated antibody staining on wildtype VL9 peptide was set as

592 100%, and the percentages equals to (MFI of binding on each P1 variant) / (MFI of binding
593 on wildtype VL9) x 100%.

594 h, Affinity measurements of human HLA-E-VL9 antibodies binding to soluble HLA-E-

- 595 VL9 complex. Human antibodies CA123 or CA147 on human IgG1 backbone was
- 596 immobilized on CM5 sensor chips and soluble HLA-E-VL9 complex protein at the indicated
- 597 concentrations was flowed over the antibody immobilized sensor chips. Rate constants (k_a ,
- 598 k_d) and dissociation constant K_D were measured following curve fitting analysis.

599 i-j, NK cell cytotoxicity against CA123 lgG-treated target cells as assessed by ⁵¹Cr

- 600 release assay. Human antibody CA123 was incubated with HLA-E-VL9 transfected 293T
- 601 cells (i) and untransfected 293T cells (j) at final concentration of 30 μg/ml, 10 μg/ml or 3
- 602 μg/ml, and NK92 cells were added into the mixture as effector cells at effector: target (E:T)
- ratio of 20:1 and 6:1. Human antibody A32 were used as the isotype control. Dots represent
- 604 the mean values of triplicate wells in five independent experiments. Statistical analysis was
- 605 performed using mixed effects models.
- 606





608 Figure. S5 J chain sequence analysis of HLA-E-VL9-specific antibodies (n=51).

- 609 Reference VH-VL repertoires (n=198,148) from three healthy humans from a previous study
- 610 (DeKosky et al., 2015) was used as a control.
- 611 **a-b**, Heavy chain (J_H) gene usage shown as bar chart (a) and pie chart (b).
- 612 **c-d**, Kappa chain (J_k) and lambda chain (J_L) gene usage shown as bar chart (c) and pie
- 613 chart (d).
- 614

615 SUPPLEMENTAL TABLES

616

Table S1. Gene usage and mutation rate of four HLA-E-VL9-specific mAbs isolated
 from immunized transgenic mice.

619

Table S2. Crystallographic data for the 3H4 Fab and VL9-bound HLA-E co-complex

621 structure.

622 **a, Crystallographic data collection and refinement statistics.** AS: Ammonium sulphate.

623 § r.m.s.d.: root mean square deviation from ideal geometry. Statistics for outer shell

624 indicated in parentheses. AU: asymmetric unit. Rfree equals the R-factor against 5% of the

625 data removed prior to refinement.

626 **b-f**, Inter-chain RMSD and inter-molecular interfaces in the 3H4-HLA-E-VL9 structure.

b, Table detailing the total buried surface area of the interface in Å² between the 3H4 VH, VL

and the VL9-bound HLA-E complex. **c**, Table of RMSD (root mean square deviation) in Å

629 between chains of the 3H4-HLA-E-VL9 co-complex structure. Two copies of the 3H4 Fab-

630 HLA-E-VL9 co-complex were present in the asymmetric unit and thus RMSD between

631 chains related by non-crystallographic symmetry was calculated via Cα atom pairwise

alignment on the PDBePISA server. Average Cα atom RMSD following pairwise alignment is

also reported for the HLA-E heavy chain (HC) of 1MHE (Chain A), a previously published

634 non-receptor-bound VL9-loaded HLA-E complex, and the HLA-E HC from the 3H4-HLA-E-

635 VL9 structure reported here (Chain A). d, Table listing residues involved in the interface

636 between the 3H4 VH and the VL9 peptide. e, Table of interacting residues of the 3H4 VH

and HLA-E HC interface. f, Table of interacting residues of the 3H4 VL and HLA-E HC

638 interface.

639 g-h, Hydrogen bonding and salt bridges in the 3H4-HLA-E-VL9 structure. Table of

640 hydrogen bonds and salt bridges formed between the 3H4 heavy chain (HC) and the HLA-E

641 HC (g) and the 3H4 light chain and the HLA-E HC (h).

642 Hydrogen bonding cut-offs according to the PDBePISA default criteria. 3H4 chain numbering

643 is according to the Kabat scheme whereby alternate insertion codes (letters after the residue

- 644 *number*) are added to variable length regions of the antibody sequence. 3H4 residues within
- 645 the CDRs are shaded green and labelled 'CDR1/2/3'. The position of HLA-E HC residues
- 646 either on the α1 or α2 helix is also noted. Amino acid atom abbreviations: C mainchain
- 647 Carbon atom, O mainchain Oxygen atom, N mainchain Nitrogen atom, CA α-Carbon
- 648 atom, CB β-Carbon atom, CD δ-Carbon atom, CE ε -Carbon atom, CG γ -Carbon atom,
- 649 CH η-Carbon atom,, CZ ζ-Carbon atom, OD δ-Oxygen atom, OE ε -Oxygen atom, OG -
- 650 γ-Oxygen atom, OH η-Oxygen atom, ND δ-Nitrogen atom, NE ε -Nitrogen atom, NH η-
- 651 Nitrogen atom, NZ ζ -Nitrogen atom.
- 652
- **Table S3. Information of human subjects used in this study.**
- 654
- 655 Table S4. HLA-E-VL9-specific antibodies isolated from human. Index sorting MFI,
- 656 immunogenetics information, and transfected 293T cell staining MFI of the 60 HLA-E-VL9-
- 657 specific antibodies isolated from single B cell sorting were shown. The original isotypes of all
- the antibodies were IgMs. Antibodies from the same clonal family were highlighted in yellow.
- 659