

1 **Characterization of the immune resistance of SARS-CoV-2 Mu variant and the**
2 **immunity induced by Mu infection**

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18 **Abstract**

19 We have revealed that the SARS-CoV-2 Mu variant is highly resistant to COVID-19
20 convalescent sera and vaccine sera.¹ However, it remains unclear how the immune
21 resistance of the Mu variant is determined. Also, although the Mu variant is highly
22 resistant to the sera obtained from COVID-19 convalescent during early pandemic
23 (i.e., infected with prototypic virus) and vaccinated individuals (i.e., immunized
24 based on prototypic virus), it was unaddressed how the convalescent sera from
25 Mu-infected individuals function. In this study, we revealed that the two mutations in
26 the spike protein of Mu variant, YY144-145TSN and E484K, are responsible for the
27 potent immune resistance of Mu variant. Additionally, we showed that the
28 convalescent sera obtained from the Mu-infected individuals can be broadly
29 antiviral against the Mu variant as well as other SARS-CoV-2 variants of
30 concern/interest. Our findings suggest that developing novel vaccines based on the
31 Mu variant can be more effective against a broad range of SARS-CoV-2 variants.

32 Text

33 As of October 2021, the WHO defined four variants of concern and two variants of
34 interest.² Mu variant represents the most recently recognized variant of interest and
35 has spread mainly in some South American countries such as Colombia and
36 Ecuador.² We have recently revealed that the Mu variant shows a pronounced
37 resistance to antibodies elicited by natural severe acute respiratory syndrome
38 coronavirus 2 (SARS-CoV-2) infection and the BNT162b2 vaccine.¹ The majority of
39 Mu variants harbor the T95I and YY144-145TSN mutations in the N-terminal
40 domain; the R346K, E484K, and N501Y mutations in the receptor-binding domain;
41 and the D614G, P681H, and D950N mutations in other regions of the spike protein
42 (**Fig. 1A**). However, it remains unclear which mutations determine the pronounced
43 resistance of Mu variant to antiviral sera. To address this, we generated a series of
44 pseudoviruses that harbors the spike protein of the D614G-bearing B.1 lineage
45 virus (parental virus) bearing with each mutation in the Mu variant. Virus
46 neutralization assay was performed with the use of serum samples obtained from
47 15 coronavirus disease 19 convalescents who were infected early in the pandemic
48 (April 2020) (**Table S1**) and 14 persons who had received the BNT162b2 vaccine
49 (**Table S2**). As shown in **Fig. 1B** (top), two mutations, YY144-145TSN and E484K,
50 conferred the resistance to antibodies induced by natural SARS-CoV-2 infection
51 and vaccination. To verify the effect of these two mutations on the neutralization
52 resistance, we next generated a series of Mu-based pseudoviruses that lose
53 respective mutations. Consistent with the gain-of-function experiments based on
54 the parental virus (**Fig. 1B**, top), the loss-of-function experiments showed that the
55 spike proteins of Mu variant reverting YY144-145TSN or E484K mutations loses the
56 neutralization resistance (**Fig. 1B**, bottom). Since the Mu pseudovirus derivative
57 that loses both YY144-145TSN and E484K mutations almost completely lost the
58 neutralization resistance (**Fig. 1B**, bottom), our data suggest that the pronounced
59 resistance of Mu variant against neutralizing antibodies is attributed to these two
60 mutations.

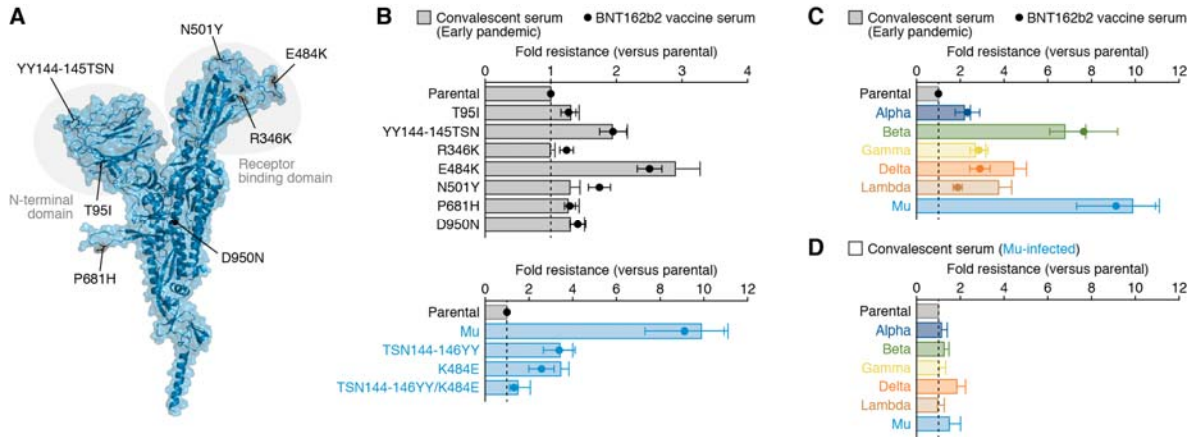
61 We next assessed the immunological spectrum of the serum samples
62 obtained from the convalescents who had infected with Mu variant (**Table S3**).
63 Although the Mu variant was more than 9 times resistant to the sera induced by
64 natural SARS-CoV-2 infection during early pandemic and vaccination, which is
65 consistent with our recent report (**Fig. 1C**),¹ the Mu variant did not exhibit resistance
66 to the sera induced by Mu infection (**Fig. 1D**). Notably, the sera induced by Mu
67 infection exhibited broad antiviral effect against various variants of concern/interest
68 (**Fig. 1D**). Altogether, our findings suggest that the use of Mu sequence can be a
69 good strategy for the next-generation vaccine development.

70 **References**

- 71 1. Uriu K, Kimura I, Shirakawa K, et al. Neutralization of the SARS-CoV-2 Mu
72 variant by convalescent and vaccine serum. *N Engl J Med* 2021; **in press**.
- 73 2. WHO. “Tracking SARS-CoV-2 variants”.
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76 **Figure**
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80 **Figure 1. Characterization of the immune resistance of the Mu variant.**

81 Panel A shows the position of the mutations in Mu variant. Cartoon and surface
82 models are overlaid. The mutations in Mu variant are indicated. The structure of
83 N-terminal domain is shown in **Fig. S1** in the Supplementary Appendix.

84 Panels B to D show the results of virus neutralization assays. Neutralization assays
85 were performed with the use of pseudoviruses harboring the SARS-CoV-2 spike
86 proteins of parental virus (the B.1 lineage virus, which harbors the D614G
87 mutation)-based derivatives (Panel B, top), the spike proteins of Mu-based
88 derivatives (Panel B, bottom), or the spike proteins of the Alpha, Beta, Gamma,
89 Delta, Lambda or Mu variants (Panels C and D). Serum samples were obtained
90 from 15 convalescent persons who had infected with SARS-CoV-2 in the early
91 pandemic, 14 persons who had received the BNT162b2 vaccine, and 4
92 convalescent persons who had infected with SARS-CoV-2 Mu variant.

93 In Panels B and C, the heights of the bars (serum samples obtained from the
94 convalescent persons who had infected with SARS-CoV-2 in the early pandemic)
95 and the circles (serum samples obtained from the persons who had received the
96 BNT162b2 vaccine) indicate the average difference in neutralization resistance of
97 the indicated variants as compared with that of the parental virus.

98 In Panel D, the heights of the bars (serum samples obtained from the convalescent
99 persons who had infected with Mu variant) indicate the average difference in
100 neutralization resistance of the indicated variants as compared with that of the
101 parental virus. The error bars indicate standard error of the mean. The vertical
102 dashed lines indicate value 1.

103 The assay of each serum sample was performed in triplicate to determine the 50%
104 neutralization titer. The raw data of the 50% neutralization titer are summarized in

105 **Fig. S2** and **Tables S1-S3** in the Supplementary Appendix. The information
106 regarding the convalescent donors (sex, age, and dates of testing and sampling)
107 and vaccinated donors (sex, age, and dates of second vaccination and sampling) of
108 serum samples are summarized in **Tables S1-S3** in the Supplementary Appendix.