

1 **Optimizing bulk segregant analysis of drug resistance using *Plasmodium falciparum* genetic**
2 **crosses conducted in humanized mice**

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31

32 **Abstract**

33 *Background:* Classical genetic crosses in malaria parasites involve isolation, genotyping, and
34 phenotyping of multiple progeny parasites, which is time consuming and laborious. Bulk segregant
35 analysis (BSA) offers a powerful and efficient alternative to identify loci underlying complex traits
36 in the human malaria parasite, *Plasmodium falciparum*.

37 *Methods:* We have used BSA, which combines genetic crosses using humanized mice with pooled
38 sequencing of progeny populations to measure changes in allele frequency following selection
39 with antimalarial drugs. We used dihydroartemisinin (DHA) drug selection in two genetic crosses
40 (*Mal31*×*KH004* and *NF54*×*NHP1337*). We specifically investigated how synchronization,
41 cryopreservation, and the drug selection regimen of progeny pools impacted the success of BSA
42 experiments.

43 *Findings:* We detected a strong and repeatable quantitative trait locus (QTL) at chr13 *kelch13* locus
44 in both crosses, but did not detect QTLs at ferredoxin (*fd*), the apicoplast ribosomal protein S10
45 (*arps10*), multidrug resistance protein 2 (*mdr2*). QTLs were detected using synchronized, but not
46 unsynchronized pools, consistent with the stage-specific action of DHA. We also successfully
47 applied BSA to cryopreserved progeny pools.

48 *Interpretation:* Our results provide proof-of-principal of the utility of BSA for rapid, robust genetic
49 mapping of drug resistance loci. Use of cryopreserved progeny pools expands the utility of BSA
50 because we can conduct experiments using archived progeny pools from previous genetic crosses.
51 BSA provides a powerful approach that complements traditional QTL methods for investigating
52 the genetic architecture of resistance to antimalarials, and to reveal new or accessory loci
53 contributing to artemisinin resistance.

54 *Funding:* National Institutes of Health (NIH); Wellcome trust.

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56 Key words: Linkage analysis; Artemisinin; dihydroartemisinin; cryopreservation; kelch13;

57

Research in context

Evidence before this study

Genetic crosses have been immensely successful for determining the genetic basis of drug resistance in malaria parasites, but require laborious cloning, characterization of drug resistance and genome-wide genotyping of individual progeny. This is a major limitation given that genetic crosses can now be conducted efficiently using humanized mice, rather than chimpanzees. Bulk segregant analysis (BSA) provides an attractive alternative approach because (i) large numbers of uncloned recombinant progeny can be analyzed, increasing statistical power (ii) phenotyping is not required, because we identify QTLs by treatment of progeny bulks, and identifying genome regions that show skews in allele frequency after treatment (iii) genome sequencing of bulk samples provides a rapid, accurate readout of genome-wide allele frequencies. This approach has been effectively leveraged in yeast, rodent malaria and several model organisms.

Added value of this study

Here we validate and optimize this approach for *P. falciparum* genetic crosses, focusing on resistance to dihydroartemisin (DHA) a central component of the first-line antimalarial combination. Mutations in *kelch13* are known to confer resistance to DHA, but several additional candidate loci have also been suggested to contribute. Our results confirm involvement of *kelch13*, but did not identify linkage with other putative candidate loci. We optimized methodology, showing that synchronization is critical, and that BSA can be successfully applied to cryopreserved progeny pools.

Implications of all the available evidence

BSA combined with recent advances in rapidly generating genetic crosses provides a powerful approach to investigate the genetic basis of drug resistance in *P. falciparum*.

60 1. Introduction

61 Identifying genetic changes conferring drug resistance helps understand the resistance
62 mechanisms and track the spread of resistance alleles in infectious disease-causing organisms,
63 including malaria parasites. For malaria parasites, both association mapping and linkage analysis
64 approaches have been used to understand the genetic mechanisms underlying drug resistance ¹.
65 For example, the chloroquine resistance transporter (*crt*) conferring chloroquine (CQ) resistance
66 in the malaria parasite *Plasmodium falciparum* was initially located on chromosome 7 through
67 linkage analysis ^{2,3} and further identified by fine mapping ⁴. Genome-wide association studies
68 (GWAS) have also been applied to map genes associated with resistance to antimalarials ⁵ as well
69 as additional loci arising on genetic backgrounds that carry resistance-associated alleles ⁶.
70 However, both linkage mapping and association studies have their limitations: linkage mapping
71 requires laborious isolation, genotyping, and phenotyping of multiple progeny parasites; GWAS
72 requires months or years of sample collection.

73
74 Bulk segregant analysis (BSA), a complementary alternative to traditional linkage methods, can
75 provide a simple and rapid approach to identify loci that contribute to complex traits without the
76 need to phenotype and genotype individual progeny. Instead, using pooled sequencing of progeny
77 populations, BSA measures changes in allele frequency following the application of different
78 selection pressures (e.g., by drugs). The BSA approach is simple; by using the complete pool of
79 unique recombinants for analysis it requires less labor and cost and has the potential for increased
80 statistical power over traditional linkage mapping ⁷. Nevertheless, BSA cannot directly examine
81 epistatic interactions or measure phenotypes that are not amenable to selection in bulk. The BSA
82 approach was initially developed to study diseases in human and plants, in which DNA from
83 individuals with different phenotypes were pooled and genotyped to identify loci that were
84 enriched for different alleles in each pool ⁸. BSA has also been extensively used with genetic
85 crosses of rodent malaria parasites (where it is termed linkage group selection (LGS)) to map genes
86 determining blood stage multiplication rate, virulence, and immunity in *Plasmodium yoelii* ⁹, as
87 well as mutations conferring ART resistance and strain-specific immunity in *Plasmodium*
88 *chabaudi* ^{10,11}. BSA has also been used in yeast ⁷, *C. elegans* ¹², *Eimeria tenella* ¹³, and the human
89 blood fluke *Schistosoma mansoni* ¹⁴. We have previously applied BSA to the human malaria
90 parasite *P. falciparum* to identify genes that impact parasite fitness throughout the intra-

91 erythrocytic stages of the lifecycle¹⁵ and polymorphisms in nutrient acquisition/metabolism
92 pathways¹⁶.

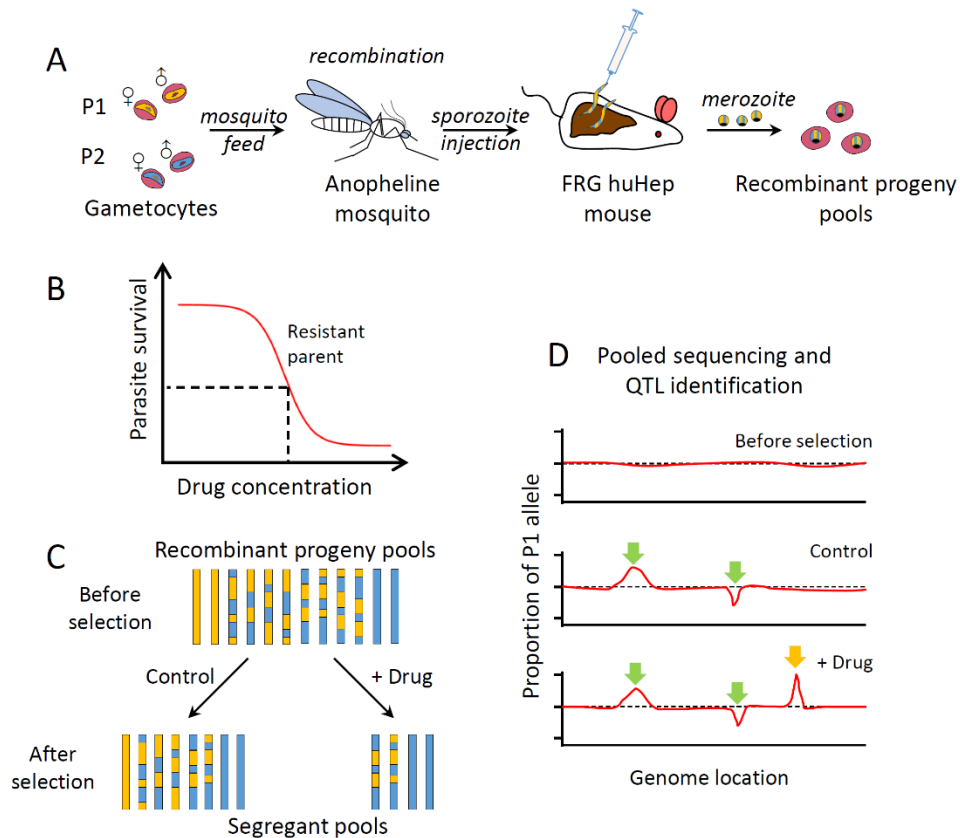
93 Artemisinin combination therapies (ACTs) are the current first-line malaria treatments in most
94 countries for the most lethal human malaria parasite, *P. falciparum*. Resistance to artemisinin
95 (ART) is mediated by mutations in *kelch13*, a gene required for parasite endocytosis¹⁷. Studies in
96 Southeast Asia uncovered the independent emergence of *kelch13* resistance-associated alleles and
97 their rapid spread^{18,19}. Furthermore, recent studies have also detected an increased allele frequency
98 of *kelch13* mutations in clinically artemisinin-resistant *P. falciparum* in Africa²⁰. Nonsynonymous
99 polymorphisms in ferredoxin (*fd*), the apicoplast ribosomal protein S10 (*arps10*), multidrug
100 resistance protein 2 (*mdr2*), and *crt* have also been identified and show strong associations with
101 artemisinin resistance⁶, while (iv) *in vitro* selection has revealed other loci, such as *pfcoronin*, that
102 can modulate ART-R²¹.

103 We designed this study to optimize aspects of the BSA approach for the identification of drug
104 resistance-associated loci in malaria parasites (**Figure 1**), using dihydroartemisinin (DHA), the
105 active metabolite of artemisinin, as our test drug. We chose DHA because (i) artemisinin is one of
106 the most widely used antimalarial drugs, (ii) *kelch13* is a known resistance locus, providing a good
107 control for our methods, (iii) identification of additional loci involved in drug resistance would be
108 of great interest, and (iv) this drug is stage specific, targeting early rings, providing additional
109 challenges for BSA. We aimed to answer three main questions. First, for stage-specific drugs such
110 as DHA, can we detect QTLs in unsynchronized parasite pools, or is prior synchronization required?
111 This is important because synchronization may reduce the size of progeny pools, and for successful
112 BSA it is critical to have progeny pools containing large numbers of recombinants. Second, how
113 can we determine the optimal drug dose regimen to maximize signal? Third, can we use
114 cryopreserved progeny pools for BSA experiments? This would greatly enhance the utility of BSA
115 by allowing experiments to be conducted long after genetic crosses have been conducted, using
116 archived progeny pools. However, as with synchronization, we were concerned that
117 cryopreservation would reduce the diversity of progeny pools limiting our ability to detect QTLs.

118 We performed DHA BSA in two genetic crosses between ART-S African and ART-R Southeast
119 Asian parasites (*Mal31* × *KH004* and *NF54* × *NHP1337*). These two crosses are of particular interest
120 because SNPs in the *kelch13* locus, as well as SNPs in each of the four loci associated with ART-

121 R in Miotto et al ⁶ genome wide association study (WGAS) are segregating in both crosses. We
122 detected strong and repeatable QTL at the *kelch13* locus on chromosome 13 in both crosses, but
123 found no evidence for involvement of other loci. Chr 13 QTLs were detected only in synchronized
124 parasites. We also show that the application of eRRSA, a modification of the ring stage survival
125 assay, allows for a rational determination of optimal drug dose for use in BSA. Finally, we
126 successfully applied BSA with cryopreserved progeny pools.

127



128

129 **Figure 1. The principles of bulk segregant analysis with human malaria parasites.** (A) Generation
130 of recombinant progeny pools using *Anopheles stephensi* mosquitoes and FRG huHep mice. (B)
131 Determination of drug concentration for bulk segregant analysis (BSA). A standard approach is to
132 measure the IC₅₀ and IC₉₀ of the resistant parent, however, in this study, we used eRRSA to
133 determine a discerning drug concentration for selection since classical IC₅₀ and IC₉₀ measurements
134 using DHA do not correlate with clinical parasite clearance rate. (C) Apply selection with drug of
135 choice, each bar indicates a representative recombinant progeny. (D) Genomic sequencing

136 measures changes in allele frequency of segregant pools. Green arrows indicate allele frequency
137 changes detected from both pools with and without drug treatment, which are thus not related to
138 drug resistance, while the orange arrow indicates a locus conferring drug resistance.

139

140 **2. Methods**

141 *2.1. Ethics approval and consent to participate*

142 The study was performed in strict accordance with the recommendations in the Guide for the
143 Care and Use of Laboratory Animals of the National Institutes of Health (NIH), USA. To this end,
144 the Seattle Children's Research Institute (SCRI) has an Assurance from the Public Health Service
145 (PHS) through the Office of Laboratory Animal Welfare (OLAW) for work approved by its
146 Institutional Animal Care and Use Committee (IACUC). All of the work carried out in this study
147 was specifically reviewed and approved by the SCRI IACUC.

148

149 *2.2. Preparation of the genetic crosses*

150 We generated the crosses using FRG NOD huHep mice with human chimeric livers and *A.*
151 *stephensi* mosquitoes as described by Vaughan *et al.*²². Two genetic crosses were generated for
152 this study - Mal31×KH004 and NF54×NHP1337. Three individual recombinant pools were
153 generated for each cross, by using different cages of infected mosquitoes. To start each cross,
154 gametocytes from both parental parasite strains were diluted to 0.5% gametocytemia in a human
155 serum erythrocyte mix, to generate infectious blood meals (IBMs). IBMs from each parent were
156 mixed at equal proportions and fed to three cages of mosquitos (150 per cage).

157 We examined the mosquito infection rate and oocyst number per infected mosquito 7-10 days post-
158 feeding. Fifteen mosquitoes were randomly picked from each cage and dissected under microscopy.
159 Sporozoites were isolated from infected mosquito salivary glands and 2-4 million sporozoites from
160 each cage of mosquitoes were injected into three FRG huHep mice (one cage per mouse),
161 intravenously. To allow the liver stage-to-blood stage transition, mice are infused with human
162 erythrocytes six and seven days after sporozoite injection. Four hours after the second infusion,
163 the mice are euthanized and exsanguinated to isolate the circulating ring stage *P. falciparum*-
164 infected human erythrocytes. The parasites from each mouse constitute the initial recombinant

165 pools of recombinant progeny for genetic mapping experiments. We maintained the initial pools
166 in AlbuMAX supplemented RPMI media; we genome sequenced aliquots from each pool to check
167 allele frequencies from both parents. Two recombinant pools from each cross were used in this
168 study for DHA BSA experiments.

169 *2.3. Cryopreservation of progeny bulks*

170 We expanded progeny bulks by growing in AlbuMAX supplemented RPMI for 7 days after the
171 mouse bleeds. When cultures were 3% parasitemia and at least 70% ring-stage, progeny bulk
172 stocks were cryopreserved in glycerolyte. Each 0.5ml cryopreserved stock contained ~100 μ l
173 packed red cells. We thawed and cultured parasites for 9 days prior to set-up in BSA experiments.

174 *2.4. Measuring DHA resistance level using an eRRSA method*

175 Cryopreserved stocks of the KH004 parent and the Mal31 \times KH004 uncloned bulk recombinant
176 progeny pool and were thawed and grown in complete media (CM) [RPMI 1640 with L-glutamine
177 (Gibco, Life Technologies), 50 mg/L hypoxanthine (Calbiochem, Sigma-Aldrich), 25 mM HEPES
178 (Corning, VWR), 0.5% Albumax II (Gibco, Life Technologies), 10ug/ml gentamycin (Gibco, Life
179 Technologies), 0.225% sodium bicarbonate (Corning, VWR)] at 5% hematocrit in O⁺ red blood
180 cells (RBC) (Biochemed Services, Winchester, VA). Cultures were grown in separate flasks and
181 maintained at constant pH, 7.0-7.5, temperature, 37°C, and atmosphere, 5% CO₂/5% O₂/90% N₂.
182 Cultures were kept below 2% parasitemia with media changes every 48 h. Parasites were
183 synchronized to late stage schizonts using a single 70% Percoll density gradient as previously
184 described²³. Four hours post-synchronization, parasitemia and stage were quantified using flow
185 cytometry. 80 μ l of culture and a 5% hematocrit RBC control were stained with SYBR Green I
186 and SYTO 61 and measured on a Guava easyCyte HT (Luminex Co.). 50,000 events were recorded
187 to determine relative parasitemia and stage. When at least 70% of parasites were in the ring-stage,
188 the culture was diluted to 2% hematocrit and 0.5% parasitemia and parasites were aliquoted into a
189 96-well plate. Ten DHA concentrations were tested with a 2-fold dilution series starting with 2800
190 nM DHA. eRRSA assay set-up and qPCR amplification was done as previously described in²³ to
191 calculate a fold change value between the untreated and treated sample for three biological
192 replicates for each parasite.

193 *2.5. DHA BSA and sample collection*

194 Two recombinant pools from each cross (Mal31×KH004 or NF54×NHP1337) were selected
195 and used for DHA BSA experiments. For each pool of recombinants, one sample was taken from
196 the original progeny pool and was not synchronized (unsynchronized control), while three other
197 samples were taken from the original progeny pool and synchronized at 0 h, 18 h, and 36 h (**Figure**
198 **3A**). We used a single 70% Percoll density gradient for synchronization (as described above) and
199 allowed parasites to reinvade for four hours after synchronization to ring stages before determining
200 parasitemia and stage via flow cytometry and setting up the DHA BSA experiment. All progeny
201 pools (0 h, 18 h, and 36 h synchronized and unsynchronized control) were treated with DMSO
202 (control), 50 nM DHA, or 100 nM and maintained in 48-well plates. After 6 hours, infected RBCs
203 were washed three times with RPMI to remove any residual DHA or DMSO and then resuspended
204 with new culture media to allow surviving parasites to grow out. Samples were collected right
205 before drug treatment (day 0) and 5 days later when there was enough material for DNA extraction.
206

207 *2.6. Library preparation and sequencing*

208 We used Qiagen DNA mini kit to extract and purify the genomic DNA, and Quant-iT™
209 PicoGreen® Assay (Invitrogen) to quantify the amount of DNA.
210 For samples with less than 50ng DNA obtained, whole genome amplification (WGA) was
211 performed before NGS library preparation. WGA reactions were performed following Nair et al
212 ²⁴. Each 25 µl reaction contained at least 5ng of *Plasmodium* DNA, 1× BSA (New England
213 Biolabs), 1 mM dNTPs (New England Biolabs), 3.5 µM of Phi29 Random Hexamer Primer, 1×
214 Phi29 reaction buffer (New England Biolabs), and 15 units of Phi29 polymerase (New England
215 Biolabs). We used a PCR machine (SimpliAmp, Applied Biosystems) programmed to run a
216 “stepdown” protocol: 35 °C for 10 min, 34 °C for 10 min, 33 °C for 10 min, 32 °C for 10 min,
217 31 °C for 10 min, 30 °C for 6 h then heating at 65 °C for 10 min to inactivate the enzymes prior to
218 cooling to 4 °C. Samples were cleaned with AMPure XP Beads (Beckman Coulter) at a 1:1 ratio.
219 We constructed next generation sequencing (NGS) libraries using 50-100 ng DNA or WGA
220 product following the KAPA HyperPlus Kit protocol with 3-cycle of PCR. All libraries were
221 sequenced at 150bp pair-end using Illumina Novaseq S4 or Hiseq X sequencers. We sequenced all
222 bulk samples to a minimum coverage of 100×.

223

224 *2.7. Mapping and genotyping*

225 We individually mapped whole-genome sequencing reads for each library against the *P.*
226 *falciparum* 3D7 reference genome (PlasmoDB, release32) using the alignment algorithm BWA
227 mem (<http://bio-bwa.sourceforge.net/>) under the default parameters. The resulting alignments
228 were then converted to SAM format, sorted to BAM format, and deduplicated using picard tools
229 v2.0.1 (<http://broadinstitute.github.io/picard/>). We used Genome Analysis Toolkit GATK v3.7
230 (<https://software.broadinstitute.org/gatk/>) to recalibrate the base quality score based on a set of
231 verified known variants²⁵.

232 After alignment, we excluded the highly variable genome regions (subtelomeric repeats,
233 hypervariable regions and centromeres) and only performed genotype calling in the 21 Mb core
234 genome (defined in²²). We called variants for each sample using HaplotypeCaller, and calls from
235 every 100 samples were merged using CombineGVCFs with default parameters. Variants were
236 further called at all sample-level using GenotypeGVCFs, with parameters: --max_alternate_alleles
237 6 --variant_index_type LINEAR --variant_index_parameter 128000 --sample_ploidy 2 -nt 20. We
238 further filtered the variants calls by calculating the recalibrated variant quality scores (VQSR) of
239 genotypes from parental parasites. Loci with VQSR less than 1 or not distinguishable between two
240 parents were removed from further analysis.

241 The variants in VCF format were annotated for predicted functional effect on genes and
242 proteins using snpEff v4.3 (<https://pcingola.github.io/SnpEff/>) with 3D7 (PlasmoDB, release32)
243 as the reference.

244

245 2.8. Bulk segregant analysis

246 Only single-nucleotide polymorphism (SNP) loci with coverage > 30× that differed between the
247 two parents were used for bulk segregant analysis. We counted reads with genotypes of each parent
248 and calculated allele frequencies at each variable locus. Allele frequencies of one of the parents
249 (NF54 or Mal31 in this study) were plotted across the genome, and outliers were removed
250 following Hampel's rule²⁶ with a window size of 100 loci. We performed the BSA analyses using
251 the R package QTLseqr²⁷. Extreme-QTLs were defined when FDRs (Benjamini-Hochberg
252 adjusted p-values) were less than 0.01²⁸. Once a QTL was detected, we calculated and approximate
253 95% confidence interval using Li's method²⁹ to localize causative genes.

254

255 3. Results

256 3.1. Genetic crosses

257 We employed *Anopheles stephensi* mosquitoes and human liver-chimeric FRG huHep mice as
 258 described in Vaughan *et al*²², to generate two unique genetic crosses (Mal31×KH004 and
 259 NF54×NHP1337) for this study (**Figure 1A, Table 1**).

260

261 **Table 1. Segregating variation in two genetic crosses.** In addition to a known ART-R
 262 associated mutation in *kelch13*, four variants (underlined amino acid changes) showing strong
 263 associations in a genome wide association analysis⁶ are also segregating in these crosses.

Gene name	Gene ID	Cross 1		Cross 2	
		Cambodia KH004	Malawi Mal31	Thailand NHP1337	Africa NF54
<i>kelch13</i>	PF3D7_13437000	C580Y	WT	C580Y	WT
<i>fd</i>	PF3D7_1318100	<u>D193Y</u>	WT	<u>D193Y</u>	WT
<i>arps10</i>	PF3D7_1460900	<u>V127M</u> ,D128H	WT	<u>V127M</u> ,D128H	WT
<i>mdr2</i>	PF3D7_1447900	<u>T484I</u> ,F423Y,G299D,S208N	I492V,S208N	<u>T484I</u> ,F423Y,G299D,S208N	WT
<i>crt</i>	PF3D7_0709000	DD2 ¹ +G367C	WT	DD2 ¹	WT

1. Alleles carry same amino acid mutations as the Dd2 parasite line (M74I, N75E, K76T, A220S, Q271E, N326S, I356T, R371I) when compared to reference (3D7).

264

265 *Mal31*×*KH004*: *Mal31* is a *kelch13* wild-type parasite isolated from a patient in Malawi in 2016,
 266 *KH004* is a *kelch13* mutant parasite carrying the common C580Y mutation and was isolated from
 267 Western Cambodia in 2016. There are 14,455 core-genome SNPs (defined in²⁵) distinguishing
 268 these two parental parasites. The gametocytes from both parental parasites were mixed in a ~50:50
 269 ratio to infect approximately 450 mosquitoes. We generated four independent recombinant pools
 270 from this cross by using independent groups of 100 mosquitoes for the isolation of sporozoites and
 271 four individual FRG huHep mice that were infected with the sporozoites. Recombinants are
 272 generated after gametes fuse to form zygotes in the mosquito midgut (**Figure 1A**). Mitotic division
 273 of the four meiotic products ultimately leads to the generation of thousands of haploid sporozoites
 274 within each oocyst. The oocyst prevalence from the cross ranged from 71-91%, with an average
 275 of 10 oocysts per mosquito. The estimated number of recombinants for each pool were 4,000 (10
 276 oocysts × 4 recombinants × 100 mosquitoes). The initial allele frequencies of *Mal31* were 0.75-
 277 0.79 for bulk pools directly from mice; the deviation from the expected even representation of the
 278 two parental alleles indicates the existence of *Mal31* selfed progeny generated by fusion of male

279 and female gametes of Mal31. Thus, the expected unique recombinants per pool will be $\leq 4,000$
280 for this cross. Two recombinant progeny pools were randomly selected for use in this study. For
281 these two pools, the genome-wide allele frequencies shifted to 0.53 and 0.55 respectively after 15
282 days of *in vitro* culture prior to DHA BSA experiments (**Figure S1**). The changes to a more even
283 representation of the two parental genomes suggests selection against selfed progeny during *in*
284 *vitro* blood culture, which we have detected previously in a different cross ¹⁵.

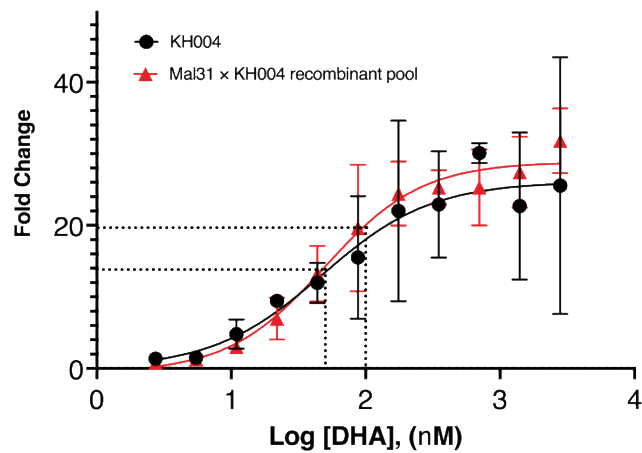
285 *NF54* × *NHP1337*: *NF54* is parasite of African origin, and *NHP1337* is a C580Y *kelch13* mutant
286 parasite isolated from the Thai-Myanmar border in 2011 and has been used previously in a genetic
287 cross ³⁰. There are 17,318 SNPs distinguishing the two parental parasites. The gametocytes from
288 both parental parasites were mixed in a ~50:50 ratio to feed about 450 mosquitoes (150 per cage,
289 three cages). We generated two independent recombinant pools for this cross by using different
290 sets of mosquitoes. The oocyst prevalence for this cross was 90% (range: 80-100%), with an
291 average burden of 25 oocysts per mosquito midgut (range: 14-40). The estimated number of
292 recombinants for each pool used were 10,000 (25 oocysts × 4 recombinants × 100 mosquitoes).
293 The allele frequencies of *NF54* were 0.41 and 0.46 for bulk pools before DHA BSA experiments
294 (**Figure S2**).

295

296 3.2. Determining DHA concentrations for BSA experiments

297 Maximal inhibitory concentrations, such as IC_{50} and IC_{90} would typically be used to determine
298 the concentration of drugs used for BSA experiments (**Figure 1B**). However, for DHA, IC_{50} and
299 IC_{90} values are not correlated with patient clearance half-life, the key clinical resistance readout ³¹.
300 In this study, we used an assay we developed, eRRSA, to measure the DHA resistance level of the
301 resistant parent KH004 and one of our recombinant progeny pools from the Mal31 × KH004 cross.
302 eRRSA relies on parasite growth fold change [$2^{(\text{average } ct \text{ drug treated} - \text{average } ct \text{ control})}$] to quantify parasite
303 resistance to DHA and this read out is strongly correlated to patient clearance half-life ²³. We
304 generated DHA eRRSA dose-response curves, i.e., one eRRSA for each of 10 different drug
305 concentrations (**Figure 2**) to identify concentrations of DHA that would kill some but not all of
306 the sensitive parasites. The eRRSA dose response curves for resistant and pooled progeny were
307 not significantly different ($F_{4,68} = 1.518$, $p = 0.207$). We chose 50 nM and 100 nM for our DHA
308 BSA experiment: 50 nM and 100 nM correspond to an eRRSA fold change of 14 (46.1% parasite
309 survival for KH004 and 52.7% parasite survival for the Mal31 × KH004 recombinant pool) and a

310 fold change of 20 (32.4% parasite survival for KH004 and 34.1% parasite survival for the Mal31
311 × KH004 recombinant pool), respectively.



312

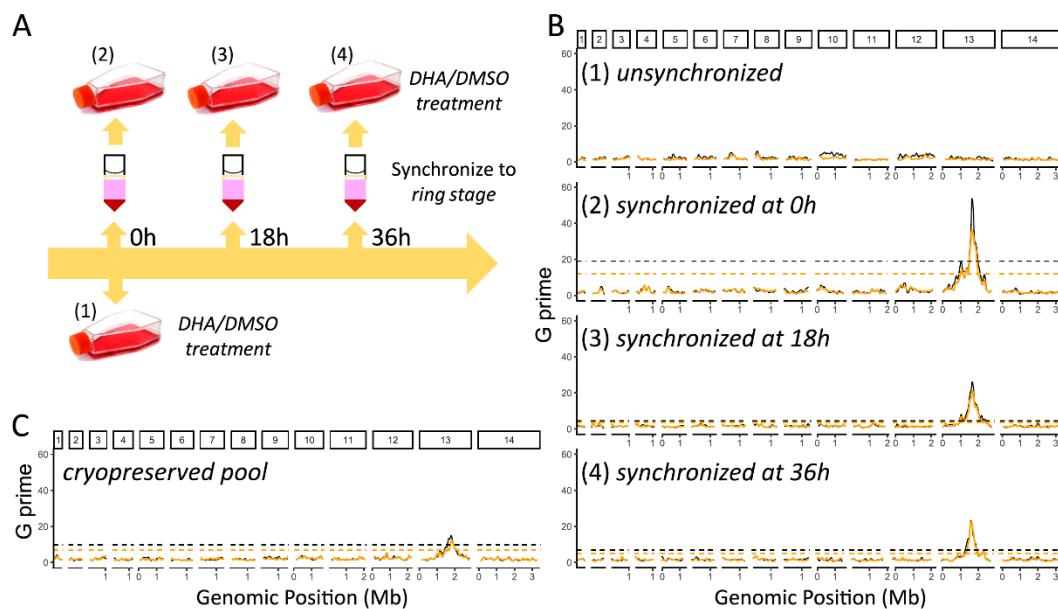
313 **Figure 2. eRRSA dose-response curves for the DHA resistant parent KH004 and a Mal31 ×**
314 **KH004 recombinant progeny pool.** Synchronized ring-stage parasites were treated with 10 DHA
315 concentrations (in a two-fold dilution series starting at 2,800 nM) for 6 hours. Higher fold-change
316 indicates increased parasite death in drug treated versus untreated parasites using our eRRSA
317 method. Both the KH004 parent (artemisinin-resistant) and the Mal31×KH004 recombinant
318 progeny pool were tested using three biological replicates to determine the optimal DHA
319 concentration for bulk segregant DHA selection. Dashed lines indicate 50 nM and 100 nM were
320 chosen as discerning doses for treatment of bulk populations to selectively enrich for resistant
321 parasites.

322 3.3. BSA with pooled progeny from the Mal31×KH004 cross

323 Malaria parasites show different tolerance levels to artemisinin drugs at different lifecycle stages
324 (ring, trophozoite or schizont) ³². To remove the influence of parasites from different stages and
325 maximize the power of DHA BSA, we synchronized samples from the recombinant progeny pools.
326 This was done at three time points (0, 18 or 36 h) prior to DHA BSA, and we also included an
327 unsynchronized control (**Figure 3A**). High depth Illumina sequence (>100×) profiles of these four
328 progeny pools revealed no significant differences (**Figure S3**).

329 We compared the Mal31 allele frequency from segregant pools with and without DHA treatment
330 using genome-wide G' ²⁸ to measure the significance level of detected QTLs (**Figure 1 C&D**).
331 For each detected QTL (FDR < 0.01), we calculated 95% confidence intervals to define the QTL
332 boundaries (**Table S1**). For pools without synchronization, we were not able to detect any
333 significant QTL. For pools that were synchronized, we identified a single strong QTL on
334 chromosome 13 at the *kelch13* locus (**Figure 3, Figure S4**). The largest allele frequency difference
335 between control and DHA treated parasites was detected from pools synchronized at 0 h. We did
336 not detect significant differences between 50nM and 100nM DHA treatments.

337



338

339 **Figure 3. DHA bulk segregant analysis (BSA) on bulk progeny from the Mal31 x KH001 cross.**

340 (A) Synchronization of parasites at the ring stage: (1) pools without synchronization; (2-4) pools
341 synchronized at 0h, 18h and 36h, respectively. (B) DHA BSA analyses of pools synchronized at
342 the different time points outlined in (A). (C) DHA BSA with a cryopreserved recombinant pool
343 synchronized at 0h. Orange and black lines are G' prime values comparing allele frequency of 50
344 nM or 100nM DHA treated pools with control pools; orange (50nM) and black (100nM) dashed
345 lines are corresponding significance thresholds (FDR = 0.01).

346

347 *3.4. BSA with cryopreserved pooled progeny from the Mal31×KH004 cross*

348 Generation of recombinant progeny pools for each BSA experiment requires *in vitro* culture of
349 parasites, maintaining *Anopheline* mosquitoes, and infection of FRG huHep mice, and is extremely
350 labor intensive and costly. An alternative strategy is to use cryopreserved progeny pools for BSA
351 experiments. We compared allele frequencies of a Mal31×KH004 progeny pool before and after
352 cryopreservation. In the absence of drug treatment, the allele frequency across the genome
353 remained at the same level in progeny pools before and after synchronization. We observed a
354 modest divergence in allele frequency at chromosome 2 and 14, with increased frequency of Mal31
355 alleles, which may indicate a reduction in parasite population diversity (**Figure S3 B**). We applied
356 DHA BSA to this cryopreserved pool (**Figure 3C**) and compared results with BSA before
357 cryopreservation (**Figure 3B, panel 2**). We detected a strong QTL at the *kelch13* locus, although
358 the G prime values were approximately five-fold less and the 95% CIs were approximately ten
359 times larger as compared to the results from the original BSA analysis.

360 *3.5. BSA with NF54×NHP1337 cross*

361 Based on previously published eRRSA values for NHP1337²³, we also applied DHA BSA to
362 pooled progeny from the NF54×NHP1337 cross using the same methodology and DHA
363 concentrations as described for the Mal31×KH004 cross. As with the Mal31×KH004 cross, no
364 significant difference in allele frequency was detected from pools with and without
365 synchronization prior to BSA (**Figure S2**). Similarly, we detected a strong QTL at the *kelch13*
366 locus from both biological replicates after synchronization, but not in the unsynchronized control
367 (**Figure S5**). A second smaller QTL peak on chr 14 was detected in one of the two biological
368 replicates.

369

370 **4. Discussion**

371 Given the success of BSA approaches for identification of drug resistance loci in rodent malaria
372³³⁻³⁵, our aim was to develop a BSA method to identify drug resistance loci in *P. falciparum* to
373 better exploit our capacity to conduct *P. falciparum* genetic crosses in humanized mice³⁶. To
374 develop our approach, we chose DHA, the active metabolite of artemisinin, as a test drug. This

375 drug shows highly stage specific drug action, targeting young rings³⁷. Furthermore, there is a well-
376 known locus (*kelch13*) underlying ART-resistance that provides a valuable positive control for
377 assessing and optimizing our methods¹⁷. We specifically aimed to explore the impact of (i) parasite
378 synchronization, (ii) parasite cryopreservation, and (iii) to develop strategies to choose optimal
379 drug selection regimens for BSA. The power of BSA is strongly dependent on the number of
380 recombinant parasites present in progeny pools. Therefore, methods for BSA with malaria
381 parasites should aim to minimize time in culture prior to selection, and avoid possible procedures
382 that may reduce the diversity of recombinant pools.

383 *4.1. Synchronization influences BSA success*

384 Antimalarial drugs show a spectrum of specificity for different parasite asexual blood stages
385 (rings, trophozoites, schizonts). At one end of the spectrum, drugs such as chloroquine kill all of
386 these stages and show minimal stage specificity. At the other extreme, drugs such as DHA,
387 cycloheximide, and trichostatin³⁸, or newly developed drugs like BCH070³⁹, show strong
388 specificity for early rings, while drugs such as lumefantrine (peak activity: 16-40h), mefloquine
389 (16-40h) and piperazine (12-36 h) do not kill early rings and show specificity for trophozoites⁴⁰.
390 Importantly, we determined that synchronization is essential for a successful DHA BSA: with
391 synchronization, we were able to clearly detect the known *kelch13* peak and without
392 synchronization we detected no peaks (Figure 3B). Synchronizing parasites to early ring-stage
393 allowed us to specifically target ring-stage resistance; the presence of other parasite stages in the
394 culture is an additional variable that thus limits the success of selection. We suggest that
395 synchronization should be used for BSA experiments with drugs like DHA that are active against
396 early rings, and for drugs where no stage specificity information is available.

397 Our experiments with Mal31×KH004 also involved differently synchronized parasite pools (0,
398 18 or 36 h) prior to BSA. Selection on all three pools resulted in a strong significant QTL peak at
399 *kelch13*. Notably, the strongest QTL localizing to *kelch13* was in the population synchronized at
400 0h and reduced in magnitude at 18h and 36h. This is likely due to the developmental stage
401 differences between the recombinant progeny pools corresponding to these timepoints. According
402 to flow cytometry of the progeny pool synchronized at 0h, the population was 91% ring stage; at
403 18h 89% of the synchronized population was ring stage, and at 36h 65% of the synchronized
404 population was ring stage, and the unsynchronized population was 68% ring stage. We suspect

405 that the high proportion of ring-stages in the 0 h synchronized progeny pool maintained higher
406 diversity than the 18h and 36h synchronizations, which resulted in a stronger QTL. The lack of a
407 detectable QTL in unsynchronized cultures, most likely results from presence of late stage
408 parasites (late trophozoites and schizonts) which are not killed by DHA, but contain more DNA
409 than rings and therefore swamp out signal from rings in the BSA experiments.

410 *4.2. Cryopreserved recombinant progeny pools can be used for drug BSA experiments*

411 *P. falciparum* asexual stage culture can be maintained and manipulated indefinitely *in vitro* or
412 cryopreserved for future study. Once bulk recombinant progeny pools are isolated from the FRG
413 huHep mouse, they can be directly used in BSA experiments, and they also can be cryopreserved.
414 Cryopreservation allows for multiple BSA experiments to be conducted using the same
415 recombinant progeny pool at a later date. However, only ring stage parasites survive the freeze and
416 thaw process. Due to the asynchrony over time of the clones in the bulk recombinant progeny
417 pools recovered from FRG huHep mice, the diversity of the population is likely to be diminished
418 during the process of cryopreservation, thawing, and parasite regrowth. Encouragingly, these BSA
419 experiments reveal the same QTL before and after cryopreservation (Figure 3C), confirming that
420 sufficient genetic variation is maintained in cryopreserved stocks of recombinant progeny pools to
421 be used for BSA experiments. However, we note that the chromosome 13 QTL peak detected,
422 while strongly significant, is smaller than detected prior to cryopreservation, suggesting some loss
423 of recombinants within pools. Methods that maximize size and diversity of progeny pools can
424 increase the success of BSA experiments. These include ensuring that bulk progeny are
425 cryopreserved when rings predominate, and that culture time between parasite recovery from
426 cryopreservation and BSA is minimized. We also note that we are able to conduct BSA
427 experiments using cryopreserved progeny pools from several biological replicates of each cross,
428 providing replication and added confidence in the QTL peaks detected.

429

430 *4.3. Determining drug concentrations for BSA selection experiments*

431 The goal of a selective drug BSA is to kill only the sensitive parasites in the sample exposed to
432 drug. Therefore, the drug concentration chosen for selection is very important. If the dose is too
433 high, few parasites in the treated pool will survive, while if the dose is too low many sensitive

434 parasites will survive, and one will not see enrichment of alleles and QTLs resulting from drug
435 selection. In yeast BSA experiments, particular strong selection (recovers <1% of the total progeny)
436 has been used as a rule of thumb to select progeny showing extreme phenotypes⁷. This works well
437 for yeast, where recombinant pools can contain >10⁶ recombinants, but in our experience with *P.*
438 *falciparum*, the use of such stringent drug doses for BSA can result in poor recovery of parasites
439 in the treated pools.

440 To choose drug concentrations for BSA, we measured drug response in the resistant parent and
441 one of our recombinant progeny pools. Traditional IC₅₀ measures work poorly with short half-life
442 drugs such as DHA, so we examined dose response using a modified ring stage assay (eRRSA;
443 Figure 2)²³ which examines parasite survival following a short pulse of drug exposure, to generate
444 dose response curves. We observed a slight shift in the eRRSA dose-response curve between the
445 resistant parasite, KH004, and the Mal31 × KH004 recombinant progeny pool, although the two
446 curves were not significantly different. We chose two DHA doses at 50 nM and 100 nM for our
447 DHA BSA experiment to kill some but not all the sensitive parasites. Given the high value of stock
448 samples of bulk progeny and the time and cost of the BSA experiment, it is important to conduct
449 these initial experiments to enhance the chance of successful selections. Encouragingly, we found
450 that both DHA doses revealed the same QTLs with near equivalent results with this small dosing
451 concentration range of DHA in the Mal31×KH004 cross. The 100nM dose (32.4% parasite
452 survival for KH004 and 34.1% parasite survival for the Mal31 × KH004 recombinant pool)
453 revealed slightly higher QTL peaks and having two doses provided technical replication. Use of
454 dose response data from uncloned progeny pools could be helpful when progeny drug responses
455 fall outside the range of the parents (transgressive segregation)⁴¹ or in cases where there is no prior
456 information about progeny drug responses. A practical alternative that should work when the
457 genetic architecture is simple and parent phenotypes are significantly different is to measure drug
458 responses in parental parasites, and choose two or three drug doses bracketing the IC₅₀ (of
459 equivalent metric) of the most resistant parent. We anticipate that a use of a well-chosen range of
460 selection doses could reveal aspects of the genetic architecture that would not be revealed by a
461 single dose.

462

463 *4.4. Genetic architecture of DHA resistance*

464 The primary goal of this project was to develop methodology for drug BSA experiments with
465 *P. falciparum*. However, these crosses also provide information on the genetic architecture of
466 artemisinin resistance. Four lines of evidence suggest that loci other than *kelch13* may contribute
467 to resistance: (i) GWAS studies have identified several loci (*ferredoxin*, *apicoplast ribosomal*
468 *protein S10*, *multidrug resistance protein 2* and *crt*) as well as *kelch13* to be associated with
469 artemisinin resistance⁶; (ii) parasites showing slow clearance from artemisinin-treated patients but
470 lacking mutations in *kelch13* have been described⁴²; and (iii) longitudinal analyses have shown
471 that other loci show parallel changes in allele frequency over time⁴³, suggesting that other loci
472 may also contribute to slow clearance phenotypes; (iv) *in vitro* selection has revealed other loci,
473 such as *pfcoronin*, that can modulate ART-R²¹.

474 The two crosses analyzed here show a single QTL indicating that *kelch13* is the largest genetic
475 signal of this phenotype. We observed smaller signals meeting significance threshold on
476 chromosomes 14 for some replicates (Fig .S5). However, as these reach significance in only some
477 replicates, and are also seen in control (untreated samples), they most likely reflect loci underlying
478 differences in growth rate, rather than try resistance QTLs. This illustrates a further advantage of
479 BSA: by conducting replicate BSA experiments using independent pools of progeny we can
480 minimize detection of false positive QTLs.

481 Importantly, these crosses allow us to interrogate candidate loci. Both genetic crosses analyzed
482 have segregating SNPs in *ferredoxin*, *apicoplast ribosomal protein S10*, *multidrug resistance*
483 *protein 2* and *crt*. Interestingly, while these loci show strong association with slow clearance in a
484 GWAS, they were proposed to provide permissive background mutations on which ART-R
485 mutations could arise⁶, perhaps through impacts on fitness. In our BSA experiments, we sampled
486 parasite pools at several time points after drug exposure. This allows detection of QTLs underlying
487 both drug resistance and fitness. We did not detect QTLs at any of these four loci, suggesting that
488 these SNPs do not play a role in ART-R, or contribute to fitness of ART-R parasites. These results
489 are consistent with results from CRISPR/Cas9 editing of *ferredoxin* and *mdr2* SNPs⁴⁴, where no
490 impact of these SNPs on ART-R or fitness was found.

491 Undertaking more complex BSA analyses using an increased range of drug concentrations may
492 help uncover further loci that play a role in resistance. In addition, further crosses may identify
493 additional loci underlying artemisinin resistance. For example, for 20 years *crt* has been considered

494 to be the primary determinant of CQ resistance. However, in parallel work on CQ-resistance we
495 have identified a prominent chromosome 6 QTL that acts in concert with *crt* to determine CQ
496 resistance in some crosses⁴⁵. Our ability to conduct genetic crosses in FRG huHep mice provides
497 a direct approach to identify suspected non-*kelch13* mechanisms of artemisinin resistance using
498 parasites isolated from patients⁴⁶.

499

500 *4.5. BSA is broadly applicable to selectable phenotypic traits*

501 We have demonstrated that BSA is a powerful approach in determining genes related to drug
502 resistance (this study) in *P. falciparum*, paralleling findings from other organisms⁴⁷⁻⁵⁰. In other
503 work we have used BSA to examine the impact of culture conditions (serum or AlbuMAX)¹⁶, and
504 identifying genome regions that impact parasite fitness¹⁵. We anticipate that BSA can also be
505 explored to study any phenotypic trait that can be measured by selection. For example, we can
506 examine the selection on parasite populations at different temperatures, which simulate one of the
507 malaria symptoms – fever⁵¹. We can control the pH of culture media, which can be changed in
508 patients with severe malaria due to acidosis⁵². We can also study the selection of human immunity
509 on progeny population by culturing parasites with serum from recovered patients or people that
510 have never had malaria, as was done with mouse malaria⁵³. BSA can also be used to study parasite-
511 host interactions. Malaria parasites invade human red blood cells (RBCs) by a series of interactions
512 between host and parasite surface proteins⁵⁴. Hence, we can use different blood cell populations
513 to examine genes underlying parasite invasion phenotypes. Furthermore, Anopheline mosquitoes
514 are the intermediate host between parasite and human and the distribution of mosquitoes varies at
515 different geographical locations. For example, *Anopheles gambiae* mosquitoes are common
516 vectors in Africa, while *Anopheles dirus* and *Anopheles minimus* are the dominant vectors in Asia
517⁵⁵. Hence we can infect different mosquitoes with recombinant progeny populations to examine
518 the selection at the mosquito stage, as pioneered by Molina-Cruz et al⁵⁶.

519

520 *4.6. Technical considerations & caveats*

521 Statistical power and numbers of recombinants. The power of BSA is limited by the number of
522 unique recombinant progeny in a pool. To maximize the number of recombinants, we can increase
523 the number of mosquitoes we use for sporozoite isolation per cross, thereby increasing the number
524 of unique recombinants. In addition, we are not limited to one mouse per cross and then can thus
525 increase mouse numbers and infect each mouse with unique sporozoite pools, providing biological
526 replicates. Cryopreservation of large volumes of recombinant after the transition to *in vitro* culture
527 is also important and upon thawing, multiple independent pools can be analyzed with downstream
528 BSA. Selfed progeny are another limitation for the statistical power of BSA. We have found large
529 numbers of inbred progeny generated by mating between male and female gametes from the same
530 parent, in some crosses^{15,57}. However, selfed progeny are selectively removed during asexual
531 growth¹⁵. Selfed progeny could also be removed by carrying out crosses with parental strains that
532 only produce either functional male or female gametes, to ensure that all progeny are recombinant.
533 For example, treatment with aphidicolin blocks male gamete production⁵⁸.

534 Assessing the numbers of recombinants. We have been using the number of oocysts and
535 sequences from cloned progeny to estimate the maximum number of recombinants in a
536 recombinant pool. Measuring the number of recombinant progeny and the proportion of inbreeding
537 in a pool before BSA could be particularly useful for future crosses. This can be done by laborious
538 cloning from the same pools³⁰. Alternatively, single cell genome sequencing⁵⁹ can be employed
539 to analyze the diversity of the recombinant pool. Thus, rapid genotyping of sporozoites or the
540 progeny pool could provide details on the dynamics of BSA experiments, which will then allow
541 for the further optimization approaches⁶⁰.

542 Balancing the experiment time and culture volume. BSA can be used to examine the impact of
543 multiple different selections in parallel. However, if multiple experiments are conducted at the
544 same time, large numbers of parasites are needed, which requires a longer culture time. Population
545 diversity decreases during *in vitro* culture due to parasite competition¹⁵. A solution to this is to
546 use smaller culture volumes for BSA experiments without compromising genetic diversity. We
547 have used 3 ml (6-well plate, in^{15,16}) and 500 μ l culture (48-well plate, in this study) at 1%
548 parasitemia for our previous experiments, which both allow us to collect enough material for
549 sequencing and keep diversity of the bulk. However, we were not able to sample efficiently using
550 smaller culture volumes such as 100 μ l from 96-well plate.

551

552 **5. Data Availability**

553 All data needed to evaluate the conclusions in the paper are present in the paper and/or the
554 Supplementary Materials. All raw sequencing data have been submitted to the NABI Sequence
555 Read Archive (SRA, <https://www.ncbi.nlm.nih.gov/sra>) under the project number of
556 PRJNA524855. Additional data related to this paper may be requested from the authors.

557

558 **6. Acknowledgments**

559 This work was supported by National Institutes of Health (NIH) program project grant P01
560 AI127338 (to MF) and by NIH grant R37 AI048071 (to TJCA). Work at Texas Biomedical
561 Research Institute was conducted in facilities constructed with support from Research Facilities
562 Improvement Program grant C06 RR013556 from the National Center for Research Resources.
563 SMRU is part of the Mahidol Oxford University Research Unit supported by the Wellcome Trust
564 of Great Britain. The parental line, Mal31, used in the *Mal31* × *KH004* cross was sampled from a
565 Malawian patient in 2016 as part of a cross-sectional study funded by the Wellcome Trust of Great
566 Britain (Grant no. 099992/Z/12/Z to SCN). We thank the patients who provided parasites used in
567 this work.

568

569 **Declaration of interests**

570 We declare no competing interests.

571

572 **Contributors**

573 TJCA, MTF and AMV conceived the project. KVB, XL and SK conceptualized and planned
574 the study. SN, FN, MD, RT, TP, DL provided and characterized malaria parasites from infected
575 patients from which parental parasites were chosen. SK, BAA and MTH prepared all the genetic
576 crosses. KVB, LAC and DAS prepared BSA samples and DHA drug assay experiment. XL
577 interpreted and visualized the data and performed formal analysis. ED and AR were involved in
578 next generation sequencing library preparations. KVB, XL, SK, AMV, MTF and TJCA wrote the
579 original manuscript. All authors read and approved the final manuscript.

580

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715

716 **Figure legends**

717 **Figure 1. The principles of bulk segregant analysis with human malaria parasites.** (A) Generation
718 of recombinant progeny pools using *Anopheles stephensi* mosquitoes and FRG huHep mice. (B)
719 Determination of drug concentration for bulk segregant analysis (BSA). A standard approach is to
720 measure the IC₅₀ and IC₉₀ of the resistant parent, however, in this study, we used eRRSA to
721 determine a discerning drug concentration for selection since classical IC₅₀ and IC₉₀ measurements
722 using DHA do not correlate with clinical parasite clearance rate. (C) Apply selection with drug of
723 choice, each bar indicates a representative recombinant progeny. (D) Genomic sequencing
724 measures changes in allele frequency of segregant pools. Green arrows indicate allele frequency
725 changes detected from both pools with and without drug treatment, which are thus not related to
726 drug resistance, while the orange arrow indicates a locus conferring drug resistance.

727 **Figure 2. eRRSA dose-response curves for the DHA resistant parent KH004 and a Mal31 ×**
728 **KH004 recombinant progeny pool.** Synchronized ring-stage parasites were treated with 10 DHA
729 concentrations (in a two-fold dilution series starting at 2,800 nM) for 6 hours. Higher fold-change
730 indicates increased parasite death in drug treated versus untreated parasites using our eRRSA
731 method. Both the KH004 parent (artemisinin-resistant) and the Mal31×KH004 recombinant
732 progeny pool were tested using three biological replicates to determine the optimal DHA
733 concentration for bulk segregant DHA selection. Dashed lines indicate 50 nM and 100 nM were
734 chosen as discerning doses for treatment of bulk populations to selectively enrich for resistant
735 parasites.

736 **Figure 3. DHA bulk segregant analysis (BSA) on bulk progeny from the Mal31×KH001 cross.**
737 (A) Synchronization of parasites at the ring stage: (1) pools without synchronization; (2-4) pools
738 synchronized at 0h, 18h and 36h, respectively. (B) DHA BSA analyses of pools synchronized at
739 the different time points outlined in (A). (C) DHA BSA with a cryopreserved recombinant pool
740 synchronized at 0h. Orange and black lines are G prime values comparing allele frequency of 50
741 nM or 100nM DHA treated pools with control pools; orange (50nM) and black (100nM) dashed
742 lines are corresponding significance thresholds (FDR = 0.01).

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