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# 1 Contrasting adaptation and optimization of stomatal traits across

# 2 communities at continental-scale

- 3 **Running title:** Stomatal trait distributions
- 4
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#### 16 Abstract

The maximum stomatal conductance (g), a major anatomical constraint on plant productivity, 17 is a function of the stomatal area fraction (f) and stomatal space-use efficiency (e). However, f18 and g have been considered as equivalents, with e rarely considered, and their adaptation to 19 the environment and their regulation of ecosystem productivity are unclear. Here, we analyzed 20 21 the community-weighted mean, variance, skewness, and kurtosis of stomatal traits from 22 tropical to cold-temperature forests. The variance of g and f was higher for arid sites, 23 indicating greater functional niche differentiation, whereas that for e was lower, indicating 24 convergence in efficiency. Besides, when other stomatal trait distributions remained 25 unchanged, increasing kurtosis but decreasing skewness of g would improve ecosystem productivity, and f showed the opposite patterns. These findings highlight how the relative 26 27 importance and equivalence of inter-related traits can differ at community scale.

28

Keywords: stomata; community-weighted method; community, adaptation; ecosystem
 productivity.

31

# 33 Introduction

34	Stomata are micropores on the leaf surface that regulate the exchange of water vapor and $CO_2$
35	between plants and the atmosphere (Edwards et al., 1998; HetheringtonandWoodward, 2003).
36	Indeed, the evolution of stomata was necessary for plants to colonize terrestrial ecosystems
37	and the diversification of stomatal traits enables plants to inhabit a wide range of
38	environments (Haworth et al., 2011; Raven, 2002). The numbers of stomatal pores, and their
39	area and depth determine the maximum stomatal conductance $(g)$ , which represent an
40	anatomical constraint on the maximum rates of diffusion of carbon and water, and thereby
41	their fluxes in given environments. Indeed, given that there is a close relationship between $g$
42	and field-measured stomatal conductance (McElwain et al., 2016; Murray et al., 2019;
43	XiongandFlexas, 2020), and $g$ has been used to predict water vapor and CO <sub>2</sub> fluxes
44	(FranksandBeerling, 2009; McElwain et al., 2016; SackandBuckley, 2016). In turn, g can be
45	considered a product of the fraction of leaf epidermal space that is allocated to the stomata
46	(the stomatal area fraction; $f$ ) and the stomatal space-use efficiency ( $e$ ), which is a function of
47	stomatal size (see Methods). The $f$ is more properly an index of the combined costs associated
48	with the construction, operation and maintenance of the stomata, but it is often taken as a
49	proxy for $g$ (HollandandRichardson, 2009; Liu et al., 2018; Sack et al., 2003), especially as $g$
50	and $f$ are theoretically and empirically correlated with each other (de Boer et al., 2016;
51	FranksandBeerling, 2009). Yet the relative importance and the equivalence of these traits have
52	not been tested at a large scale.
53	The importance of $g$ and its determinants is especially critical for the understanding of
54	the adaptation of diverse species of communities across gradients of aridity. A rich literature

55	shows contrasting trait values enables co-occurring species to exploit different resources, or
56	the same resources on contrasting spatial or temporal scales (Gross et al., 2017; Hooper, 1998;
57	Hooper et al., 2005), resulting in species-variation in tolerances of scarcity, e.g., drought
58	(Grossiord, 2020), as well as facilitation (Callaway, 1995) and "selection effects", i.e,.
59	differential contribution to the community-weighted trait values (Loreau, 2000). Indeed, traits
60	that contribute to resource partitioning, such as root stratification (Schwendenmann et al.,
61	2015) or differential stomatal regulation (West et al., 2012) can contribute not only to the
62	mechanisms by which plants tolerate drought but also can improve species-specific soil
63	moisture status by reducing competition for water among species. As a composite stomatal
64	trait, $g$ is coordinated with other plant hydraulic traits (Sack et al., 2003). Generally, a higher $g$
65	should benefit species under selection for high productivity or competition (SackandBuckley,
66	2016; Taylor et al., 2012), and thus, in communities with high water availability, we expected
67	narrower functional niche differentiation of $g$ than in communities of drier regions. Indeed,
68	because plants can tolerate drought by maintaining low rates of water uptake and productivity
69	as soils dry, i.e., "tolerance" and/or by achieving their growth primarily when water is
70	available, i.e., "avoidance" (Grubb, 1998; HetheringtonandWoodward, 2003), we
71	hypothesized that $g$ values, which influence water uptake and productivity, would tend to be
72	more variable in communities of drier regions. Notably, as $g$ depends on $f$ and $e$ , where $g$ is a
73	proxy for the benefit of assimilated carbon, and $f$ represents the cost of stomatal construction,
74	maintenance and spatial allocation (de Boer et al., 2016), therefore $e$ , which is $g/f$ , is a
75	benefit-cost ratio, i.e,. the maximum amount of CO <sub>2</sub> that can diffuse through a unit of
76	stomatal area per unit time. We thus hypothesized that that variability of $e$ within communities

77 would be strongly constrained under water scarcity.

78	Stomatal traits may be a model for plant traits that are important in determining
79	ecosystem functions, as this role of traits has become a priority topic in ecological research
80	(Reichstein et al., 2014). The effect of species' traits aggregated at ecosystem scale is typically
81	quantified using to the mass ratio hypothesis or the niche complementarity hypothesis.
82	According to the mass ratio hypothesis the extent to which the trait of a given species affects
83	ecosystem properties depends on its relative contribution to the total community biomass
84	(Garnier et al., 2004), and many studies found correlations between ecosystem functions and
85	community-weighted mean (CWM) values of plant traits (Garnier et al., 2004; Griffin-Nolan
86	et al., 2018; MuscarellaandUriarte, 2016). According to the niche complementarity hypothesis,
87	resource niches may be used more completely when a community is functionally more
88	diverse (SchumacherandRoscher, 2009), and many studies reported that ecosystem function
89	can be predicted by niche complementarity of traits, as quantified using community-weighted
90	variance, skewness, or kurtosis of trait values (Gross et al., 2017; Le Bagousse-Pinguet et al.,
91	2017; Liu et al., 2020; Mensah et al., 2020; Zhang et al., 2019). Indeed, the global vegetation
92	models predict ecosystem production based on the mean values of traits. It is still a missing
93	picture that how trait distributions influence the prediction. Although stomatal traits are
94	expected to influence ecosystem productivity given their essential role in controlling leaf
95	water and CO <sub>2</sub> fluxes (HetheringtonandWoodward, 2003; Wang et al., 2015), no studies have
96	tested the relative importance of the distributions of stomatal traits (including
97	community-weighted mean, variance, skewness, and kurtosis) in predicting ecosystem
98	productivity across communities. We hypothesized a strong importance of these community

99 distribution metrics for g and potentially for its components, f and e, for regulating ecosystem100 productivity at community scale.

101	We analyzed the community-weighted mean, variance, skewness, and kurtosis and
102	relationships among these statistical moments, for $g, f$ and $e$ for 800 plant species from nine
103	sites along a climatic gradient. We hypothesized that the community-weighted variance in $g$
104	would increase with aridity, due to variability of $f$ , rather than $e$ . We also hypothesized that
105	functional niche differentiation of $g$ would be stronger for communities at higher aridity, and
106	tested whether trait assembly of stomata followed the general assembly rule for maximization
107	of trait diversity previously reported for drylands globally using specific leaf area and
108	maximum plant height (Gross et al., 2017). We also hypothesized that stomatal distributions
109	would predict differences in productivity across ecosystems.
110	

111 **Results** 

#### 112 Relationships between stomatal trait moments and climate

113 Stomatal traits were closely related to temperature, precipitation, and climatic aridity 114 (Fig. 1). Overall, the relationships of community-weighted trait means and variances with 115 climate variables were stronger than those of community-weighted skewness and kurtosis, 116 and the aridity index was a stronger predictor of stomatal traits than temperature and 117 precipitation. The community-weighted means and variances of g and f were strongly 118 positively associated with climatic aridity whereas those of e were negatively associated with 119 climatic aridity (Fig. 2).

120 The correlations between community-weighted variance and kurtosis were also tested

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- 122 correlated; such correlations were not observed with *e*. At drier sites, *g* generally showed
- 123 larger variance with lower kurtosis, whereas communities of wetter sites generally had
- smaller variance with a wide range of kurtosis.
- 125

#### 126 Skewness-kurtosis relationships (SKR) and random expectations

127 In most cases, the distributions of stomatal traits differed substantially from normality (Fig. 3).

128 The community-weighted skewness<sup>2</sup> and kurtosis of these three stomatal traits were strongly

129 positively related. The skewness and kurtosis values generated by the null model were located

130 within the constraint triangle imposed by the inequality Kurtosis  $\geq$  Skewness<sup>2</sup> + 1. The

131 observed empirical skewness-kurtosis relationships (SKR) for g deviated strongly from the

132 predictions of the two null models, with the slopes ( $\beta$ ) were higher and intercepts ( $\alpha$ ) lower

than would be expected by chance, based on Monte Carlo analyses (Table S6). The observed

134 kurtosis values for both g and f were significantly closer than expected by chance to the lower

boundary of the mathematical constraint triangle. In other words, after controlling for the

degree of skewness of g and f, observed kurtosis within communities was minimal.

137 Skewness-kurtosis relationships for *e* did not differ statistically from those generated by the

- two null models; thus, the D of e was not smaller than expected (Fig. 3).
- 139 Although the skewness-kurtosis relationships of g and f cannot be explained by chance,
- the D of g and f was also influenced by climate. Specifically, drier communities had lower D
- 141 values for g and f, while for e the D values showed no climatic trends (Fig. 4).
- 142

#### 143 Stomatal trait moments and ecosystem productivity

- 144 The distributions of stomatal traits regulated ecosystem productivity (Fig. 5). The amount of
- 145 variance in ecosystem productivity explained by community-weighted skewness and kurtosis
- 146 was greater than that explained by community-weighted mean and variance.
- 147 Community-weighted skewness and kurtosis of g and f played different roles in optimizing
- 148 ecosystem productivity: If the other independent variables were fixed, increasing the
- skewness of f but decreasing that of g, and increasing the kurtosis of g but decreasing that of f
- 150 would improve ecosystem productivity (Fig. 6). Further, ecosystem productivity increased
- 151 across communities positively with the mean of e.
- 152 Overall stomatal traits explained up to 66% of the total variation observed in ecosystem
- 153 productivity, which was greater than that explained by the distributions of stomatal traits
- 154 generated by the two null models (Fig. S2).
- 155

156 Discussion

#### 157 Maximum stomatal conductance (g) increases with climatic aridity at continental scale

158 The linkage of g with low water availability has remained controversial. Indeed, plants

159 may adapt to dry conditions with a low g that may enable sustained low rates of gas exchange

under extended periods of lower water supply, with increased  $CO_2$  gain relative to water loss,

- 161 i.e., higher water use efficiency (Franks et al., 2015). However, some studies have proposed a
- 162 higher g and stomatal conductance can confer an advantage for plants in arid climates,
- 163 enabling greater rates of photosynthesis in the shorter "pulses" when water is available
- 164 (Grubb, 1998; Scoffoni et al., 2011; Wang et al., 2017), and thus "avoiding" drought with

165	opportunistic rapid growth during short periods of water availability. One of the major novel
166	findings of this study was that the community-weighted mean value of $g$ was positively
167	related to climatic aridity across the continent, and thus that pulse-driven "avoidance" is the
168	dominant trend for adaptation of communities with low water availability. Our findings
169	extend to continental scale the hypothesis that plants and communities adapted to arid
170	climates would generally maintain a low stomatal conductance, but given their high
171	maximum stomatal conductance, can sharply increase stomatal conductance during pulses of
172	rainfall availability to maximize growth (Grubb, 1998). This hypothesis is also consistent with
173	reports that species with higher $g$ tend to show greater sensitivity to changes in the external
174	environment (Haworth et al., 2018; Siddiq et al., 2017).
175	
176	Greater functional niche differentiation of $g$ under higher climatic aridity
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186 maximized within forest plant communities, as previously demonstrated for global drylands in

187	analyses of specific leaf area and maximum height (Gross et al., 2017). Further, the D values
188	of $g$ was lower for drier communities, suggesting that this assembly rule applies more
189	strongly with increasing aridity. Similarly to root stratification (Oram et al., 2018), diversity in
190	g and associated stomatal regulation strategies might improve species-specific soil moisture
191	status (West et al., 2012) and increase species partitioning water resources in space and/or
192	time, thus increasing overall water utilization (Naeem et al., 1994). We observed a negative
193	relationship between community-weighted variance and kurtosis of $g$ (Fig. S1); communities
194	characterized by low variance and low kurtosis values were only observed in the wetter
195	regions, indicating that community assembly process of $g$ was more strictly constrained under
196	lower water availability. The strong patterns linking the stomatal traits of communities with
197	climate at continental scale highlights the importance of these traits across the background of
198	other structural and physiological adaptations to aridity, including specialized xylem anatomy,
199	plant allometry, rooting strategy, dormancy and the ability to recover after dieback (Grossiord,
200	2020).

## 202 Limited variability of stomatal space-use efficiency (e) under water scarcity

203 Stomatal space-use efficiency (*e*) was first defined in this study, and, by contrast with *g* 

- and f, community-weighted mean values of e were not statistically constrained by climatic
- aridity, supporting theory that this efficiency should be generally maximized
- (FranksandBeerling, 2009). For g and f, the overall negative correlation community-weighted
- 207 mean trait values with aridity was consistent with the expected trends based on adaptation
- 208 (GarnierandNavas, 2012; Garnier et al., 2004; Grime, 1998). Likewise, given that

209	community-weighted mean values of $e$ were highly conservative, the narrow
210	community-weighted variance of $e$ would reflect adaptation in which co-occurring species
211	tend to converge in $e$ to a narrow range of optimal values. Our results supported the
212	hypothesis that the variability of $e$ was especially strongly constrained under arid climates,
213	consistent with the expectation of greater cost-effectiveness of investment in stomata under
214	lower water availability than under high water availability, where selection would likely be
215	weaker.
216	
217	Coordinated adaptation of $g$ and $f$ across a climatic gradient
218	For both $g$ and $f$ , the community-weighted mean and variance increased with the climatic
219	aridity, whereas D decreased, and the trait diversity was maximized. Thus, the distributions of
220	g and $f$ were synchronous in adapting to the environment. Given that $g$ is determined as the
221	product of $f$ and $e$ , and that variation in $g$ was primarily caused by $f$ rather than $e$ , it is clear

- that the shifts in stomatal area fraction are more typical for the adaptation and assembly of g
- than shifts in *e*, which remains constrained. As *e* is inversely proportional to stomatal size (see
- 224 Supplementary Note 1 for detailed information), its constraint is consistent with previous
- studies reporting that stomatal size is less variable than stomatal density or f (Beaulieu et al.,
- 226 2008; Jordan et al., 2015; XiongandFlexas, 2020).

### 228 Contrasting roles of g and f in optimizing ecosystem productivity

- 229 Selection for higher g (the benefit) involves a trade-off to minimize f (the cost) (de Boer et al.,
- 230 2016), and such cost-benefit relationship is also involved in how stomatal traits regulate

231	ecosystem productivity. Decreasing the skewness of $g$ and increasing the skewness of $f$ meant
232	that species with high $g$ and/or low $f$ values were more dominant within communities; thus,
233	the optimization of stomata on ecosystem productivity was economical through decreasing the
234	skewness of $g$ and increasing the skewness of $f$ . A previous study also argued that high
235	kurtosis in leaf traits indicated strong trait optimization (Umaña et al., 2021). Here, the high
236	kurtosis of $g$ meant that co-occurring species of $g$ were convergent toward an optimal value.
237	Nevertheless, the high kurtosis and lower skewness of $g$ coupled with lower kurtosis and
238	higher skewness of $f$ would result in improved $e$ , i.e., the benefit-cost ratio (de Boer et al.,
239	2016), which was positively correlated with ecosystem productivity. Therefore, contrasting
240	regulations of $g$ and $f$ on ecosystem productivity were associated with stomatal cost-benefit
241	relationship.
242	
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cold-temperate brown soils with high organic matter content to tropical red soils with loworganic matter content.

255

#### 256 Sample collection and analysis

The field survey was conducted in July–August 2013, the peak period of growth for all 257 258 species. Sampling plots were located within well-protected national nature reserves with 259 relatively continuous vegetation, which is representative of the given forests. Three or four 260 experimental plots (30 m  $\times$  40 m) located least 100 m apart were established in each site. 261 Geographical information (latitude, longitude, and altitude), plant species composition, and 262 community structure were recorded for each plot. The number, height, diameter at breast height (DBH) of trees, basal stem diameter of shrubs, and aboveground live-biomass of all 263 264 herbs were measured (He et al., 2018). 265 Leaves were collected from trees, shrubs, and herbs within the plots. For each species, more than 20 mature leaves were collected from the top of the canopy of four healthy 266 267 individuals and mixed as a composite sample. The leaves were collected from trees using 268 long-handle shears or handpicked by climbing the trees. About half of the leaves were placed 269 in sealed plastic bags, immediately stored in a box with ice, and others were used to measure 270 leaf morphological traits (Li et al., 2018). 271 After sampling, leaf size was measured using a scanner (Cano Scan LIDE 100, Japan) 272 and Photoshop CS software (Adobe, United States). These leaves were subsequently dried to 273 constant mass in an oven before measuring leaf dry mass, and specific leaf area as the ratio of 274 leaf area to leaf dry mass. Eight to ten leaves from the pooled sample were cut into small

pieces  $(1.0 \times 0.5 \text{ cm})$  along the main vein and were fixed in 75% alcohol: formalin: glacial acetic acid: glycerin (90:5:5:5).

277	Stomatal traits were imaged using a scanning electron microscope (S-3400N, Hitachi,
278	Japan), using the same leaf samples as previously studied for stomatal density, size and
279	stomatal area fraction (Liu et al., 2018). Three small pieces were selected from the pooled
280	sample, and each replicate was photographed twice on the lower surface at different positions.
281	Given our use of scanning electron microscopy and investigation of a large number of species
282	across communities, the labor and expense did not allow measurements of the upper
283	epidermis, and we focused on the lower epidermis (Liu et al., 2019). The herbaceous species
284	in closed forests typically have more stomata on their adaxial surfaces, whereas trees and
285	shrubs tend to have few or no stomata on the adaxial surface (Muir, 2015; Muir, 2018). Thus,
286	sampling only the lower epidermis results in some uncertainty, but the community level
287	findings are expected to be robust.
288	The number of stomata in each photograph was recorded, and stomatal density (SD) was
289	calculated as the number of stomata per unit area (Liu et al., 2018). In each photograph, five
290	typical stomata were selected to measure stomatal length (SL), stomatal pore length (PL), and
291	stomatal width (SW) by using MIPS (Optical Instrument Co., Ltd., Chongqing, China). We
292	used the above stomatal traits to calculate $f$ and $g$ (FranksandFarquhar, 2001).

$$f = \frac{\pi}{4} \cdot \text{SD} \cdot \text{SW} \cdot \text{SL}$$
$$g = \text{SD} \cdot \left(\frac{D_w}{v}\right) \cdot \frac{a_{\text{max}}}{l + 0.5 \cdot (\pi \cdot a_{\text{max}})^{0.5}}$$

where  $D_w$  is the diffusivity of water in air, v is the molar volume of water vapor,  $a_{max}$  is the maximum pore area (estimated as the area of the ellipse with major axis PL and minor axis

295	0.5PL), and $l$ is the depth of the stomatal pore, which was approximated as guard cell width.
296	We then calculated $e$ as the ratio of $g$ to $f$ . Notably, $e$ depends inversely on stomatal size,
297	because smaller stomata, having shorter depths, are more efficient for transport for a given
298	pore area (FranksandFarquhar, 2006); the mathematical relationships of $e$ to stomatal size is
299	presented in Supplementary Note 1.

#### 301 Stomatal trait moments of plant communities

302 To scale up traits to the community scale, and given that stomatal traits were normalized by

leaf area, we used the total leaf area of each species in the plot to weight species trait values,

and then calculated the distributions of stomatal traits. The total leaf biomass of each

305 individual tree and shrub was calculated using species-specific allometric regressions based

306 on measured values of height, diameter at breast height (DBH) or basal stem diameter, and

then the leaf biomass of each species within plots was calculated. Species-specific allometric

regressions were obtained from the Chinese Ecosystem Research Network (Wang et al., 2015).

309 The leaf biomass of herbs was measured using the harvest method. The total leaf area of each

species was calculated as the product of total leaf biomass and specific leaf area.

311 Community-weighted mean, variance, skewness, and kurtosis were calculated as follows

312 (Gross et al., 2017; Wieczynski et al., 2019):

$$Mean = \sum_{i=1}^{n} p_i \operatorname{Trait}_i$$

$$Variance = \sum_{i=1}^{n} p_i (\operatorname{Trait}_i - \operatorname{Mean})^2$$

$$Skewness = \sum_{i=1}^{n} \frac{p_i (\operatorname{Trait}_i - \operatorname{Mean})^3}{\operatorname{Variance}^{\frac{3}{2}}}$$

Kurtosis = 
$$\sum_{1}^{n} \frac{p_i (\text{Trait}_i - \text{Mean})^4}{\text{Variance}^2}$$

where *n* is the species richness,  $p_i$  is the proportion of leaf area of  $i^{th}$  plant species in a specific community, and Trait<sub>i</sub> represents stomatal traits (*g*, *f*, or *e*) of the  $i^{th}$  plant species.

The community trait variance, skewness, and kurtosis provide information beyond the community weighted mean, which can over-emphasize the role of dominant species (Enquist et al., 2015). Specifically, the community variance in a given traits represents the functional divergence, skewness the extent of asymmetric distribution of traits, and kurtosis the functional evenness, with a high kurtosis indicating strong trait optimization (Umaña et al., 2021). Skewness and kurtosis are mathematically related, according to skewness-kurtosis relationships (SKR):

Kurtosis 
$$\geq$$
 Skewness<sup>2</sup> + 1

Thus, for a given skewness, there is a minimum kurtosis. Here, we calculated the distance between the observed kurtosis and minimum kurtosis (D):

$$D = Kurtosis - (Skewness^2 + 1)$$

324

322

D signifies the extent to which functional diversity is maximized, with a D = 0 representing the strongest possible maximization of functional diversity (Gross et al., 2017).

326

#### 327 Climate data and ecosystem productivity

328

Mean annual temperature and precipitation (MAT and MAP, respectively) were derived from 329

the Resource and Environment Data Cloud Platform (<u>http://www.resdc.cn/</u>). Then, the de Martonne aridity index (de Martonne, 1926) was calculated the ratio of MAP and MAT+10.

To facilitate the interpretation of results, we calculated the climatic aridity index for each site 332

as:

$$CI = 100 - \frac{MAP}{MAT + 10}$$

so all the CI values were positive, and higher values of this aridity level indicate drier conditions.

335

333

In these forests, gross primary productivity and net primary productivity were strongly 336 correlated with each other across sites (Li et al., 2020); here, we focused on gross primary 337 productivity (GPP). The average GPP data from 2000 to 2015 (Li et al., 2020) were obtained 338 from the Numerical Terradynamic Simulation Group 339 (http://www.ntsg.umt.edu/project/modis/mod17.php). This dataset was derived from a widely 340 used Moderate Resolution Imaging Spectroradiometer product, and was calculated using the 341 C5 MOD17 algorithm with data validation from flux towers (Li et al., 2020; ZhaoandRunning, 342 2010; Zhao et al., 2005).

343

### 344 Data analysis

345

We calculated statistical moments for stomatal traits, including mean, variance, skewness, and kurtosis, for each of the 32 plant community plots. We tested whether to consider plots independently, rather than as nested within sites, for calculating community scale moments by comparing fixed effects models (*lm* function in R) and mixed effects models (*lmer* function from R package *lme4*). The fixed model considered plots as independent, and the mixed effects models, considered plots as a random factor nested within each site. Akaike information criterion (AIC) represented the support of the model by data, with the model

352	
353	having a lower AIC value more likely to underlie the data (BurnhamandAnderson, 2004). The
354	AIC values of fixed and mixed effects models were compared, with differences greater than 2
355	considered decisive in selecting one model over another, representing a >100 times higher
356	likelihood that the data were generated by that model. For 12 of the 13 relationships of traits
357	with climate or ecosystem productivity tested in this study, the fixed effects model was
358	selected (Table S1-S5). Thus, in our analyses, we considered each plot as a sample plant
359	community.
360	Spearman rank correlation was used to test relationships between stomatal trait moments
361	and climate variables. Ordinary least square regression was used to quantify relationships
362	between statistical moments of stomatal traits, including the relationship between skewness <sup>2</sup>
363	and kurtosis, and relationship between variance and kurtosis. To explore whether climatic
364	aridity mediated the relationships between variance and kurtosis, plant communities were
365	classified into wet and dry communities (threshold CI=40), and scatter diagrams of variance
	and kurtosis were plotted.
366	Focusing on the distance to the minimal kurtosis (D) enables resolution of variation
367	across communities in trait evenness (Gross et al., 2021), and a test of the hypothesis that
368	functional niche differentiation of $g$ would be greater in drier communities, by determining
369	the correlation between the distance to the minimal kurtosis (D) and climatic aridity. To
370	clarify whether trait assembly of stomata would maximize stomatal trait diversity, we tested
371	whether observed skewness-kurtosis relationships (SKRs) differed from random expectations,
372	which can reveal the signature of niche differentiation in shaping ecological communities

(Gross et al., 2021). We constructed two null models, and predictions from each null model

374	were derived from 2000 randomizations. In the first null model, we randomized the stomatal
375	traits across all species, using the function "richness" in the R package PICANTE (Kembel et
376	al., 2010). In the second null model, we shuffled stomatal traits across species occurring in
377	each community, using the function "independentswap" in the R package PICANTE. These
378	two null models have been the most common for analyzing community assembly, with the
379	second null model more specific in its implication. The first null model allows tests for
380	maximizing trait diversity locally, relative to a scenario of random selection of species from
381	the regional pool. The second null model allows tests for maximizing trait diversity locally
382	relative to a scenario of random selection of species from local pools. Stomatal trait moments
383	were then calculated for each of the 2000 randomizations, for each of the null models used.
384	Then, we assessed whether the observed SKR significantly differed from SKR <sub>random</sub> .Monte
385	Carlo analysis was used to test whether the observed SKRs differed from random expectations.
386	We compared the observed slope $\beta$ and intercept $\alpha$ ( $\beta_{obs}$ and $\alpha_{obs}$ , respectively) of the SKR
387	with those generated by null models ( $\beta_{random}$ and $\alpha_{random}$ , respectively). Three Pseudo P values
388	were calculated: P ( $\beta   \alpha$ ), the frequency of $\beta_{obs} > \beta_{random}$ within subset $\alpha_{obs} < \alpha_{random}$ ; P ( $\alpha   \beta$ ), the
389	frequency of $\alpha_{obs} > \alpha_{random}$ within subset $\beta_{obs} < \beta_{random}$ ; and P ( $\beta \cap \alpha$ ), the frequency of $\alpha_{obs} < \beta_{random}$
390	$\alpha_{random}$ within subset $\beta_{obs} < \beta_{random}$ . Further, we compared the observed distance to the minimal
391	kurtosis ( $D_{obs}$ ) with that generated by null models ( $D_{random}$ ). P(D) is the frequency of $D_{obs}$ <
392	D <sub>random</sub> .
393	A multiple regression model was used to assess the potential influence of stomatal trait
394	moments on ecosystem productivity, and quadratic terms of stomatal trait moments were also

395 considered as potential drivers of non-linear effects of these variables on ecosystem

productivity. All variables, including ecosystem productivity and stomatal trait moments, were standardized (Z-scores) before analysis. We first used the "stepAIC" function (MASS package in R) to exclude less important predictors, then the "dredge" function (MuMIn package in R) was used to select the best models. Finally, the relative effect of each stomatal trait moment on ecosystem productivity was calculated as its absolute parameter compared with the sum of

all the absolute parameters in the model.

402 Standardized effect sizes (SES) were used to assess the non-random influence of

stomatal traits on ecosystem productivity. SES was calculated as (Bruelheide et al., 2018)

SES = 
$$\frac{Adj. r_{obs}^2 - mean(Adj. r_{null}^2)}{s. d. (Adj. r_{null}^2)}$$

404 where  $Adj. r_{obs}^2$  is the observed influence of stomatal traits on ecosystem productivity,

405 Adj.  $r_{null}^2$  is the influence of stomatal traits on ecosystem productivity of random

- 406 communities generated from a null model, mean represents the average value, and s.d. is
- 407 the standard deviation.
- 408 Data analyses and visualization were performed using R (<u>http://www.R-project.org/</u>).
- 409 Statistical significance was set at the 0.05 level.
- 410

#### 411 Author contribution

- 412 N.H. planned and designed the research; C.L. and Y.L. conducted fieldwork and collected
- data; C.L., L.S. and Y.L. analyzed data and wrote the manuscript; L.S. and C.L. revised the
  manuscript.
- 415
- 416

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- 421

# 422 Data accessibility

- 423 The data that support the findings of this study are available from the corresponding author
- 424 upon reasonable request.
- 425

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574 Fig. 1 Stomatal trait moments are broadly related to climatic aridity.

575 CWM, community-weighted mean; CWV, community-weighted variance; CWS,

576 community-weighted skewness; CWK, community-weighted kurtosis

577 MAT, mean annual temperature; MAP, mean annual precipitation; CI, climatic aridity index;

578 Spearman rank correlation coefficients are shown in the panels.

579 Fan-shaped areas are proportional to the absolute Spearman rank correlation coefficients;

negative correlations are drawn with a counterclockwise fan and positive correlations with a

581 clockwise fan. The strength of negative correlation increases from white to red, and the

strength of positive correlation increases from white to blue.

583

584 ns, no significance at the 0.05 level; \*, p < 0.05; \*\*, p < 0.01; \*\*\*, p < 0.001.

585







590 Fig. 2 Relationships between the community-weighted variance of stomatal traits and

#### 591 climatic aridity

- 592 Variance, community-weighted variance.
- 593 *g*, maximum stomatal conductance; *f*, stomata area fraction; *e*, stomatal space-use efficiency.
- 594 The blue lines are fitted using linear regression, and shaded areas indicate the 95% confidence
- 595 interval.
- 596





599 Fig. 3 Observed skewness-kurtosis relationships (SKR) and deviation from null

600 expectations.

601 Skewness, community-weighted skewness; Kurtosis, community-weighted kurtosis.

g, maximum stomatal conductance; f, stomatal area fraction; e, stomatal space-use efficiency. The red dots in the left panels represent the observed skewness and kurtosis values; blue dots in the left panels represent the skewness and kurtosis values of simulated random

605 communities. The orange line represents y = x + 1.

Red/blue dots in the right panels represent the observed/random slope ( $\alpha$ ) and intercept ( $\beta$ ) of the SKRs. We indicate the conditional pseudo P values from null model 'richness' for the slope  $\beta$ , P( $\beta \mid \alpha$ ), the y-intercept  $\alpha$ , P( $\alpha \mid \beta$ ), the whole model, P( $\beta \cap \alpha$ ) and the distance to the lower boundary, P(D) (see Table S6 for details). bioRxiv preprint doi: https://doi.org/10.1101/2021.11.30.470674; this version posted December 1, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



610

Fig. 4 Relationships between the distance to the lower boundary (D) and climatic aridity.

612 *g*, maximum stomatal conductance; *f*, stomatal area fraction; *e*, stomatal space-use efficiency.

The blue lines were fitted using linear regression and the shaded areas indicate the 95% confidence interval.

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Mean, community-weighted mean; Variance, community-weighted variance; Skewness,
community-weighted skewness; Kurtosis, community-weighted kurtosis.

623 *g*, maximum stomatal conductance; *f*, stomatal area fraction; *e*, stomatal space-use efficiency.

Average parameter estimates (standardized regression coefficients) of model predictors, associated 95% confidence intervals, and relative importance of each factor, expressed as the percentage of explained variance.

The adjusted  $r^2$  of the averaged model and the *p* value of each predictor are given as: \*, *p* < 0.05; \*\*, *p* < 0.01. Colored labels in the right highlighted the different effects of *g* and *f* on ecosystem productivity.

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Mean, variance, and trait diversity

of f increased for drier communities

Mean, variance, and trait diversity of g increased for drier communities



Decreasing the skewness of g and increasing that of *f* resulted in higher ecosystem productivity.



Increasing the kurtosis of g and decreasing that of f resulted in higher ecosystem productivity.



Variance of e decreased for drier communities



Increasing the mean value of e resulted in higher ecosystem productivity.

#### Fig. 6 Conceptual diagrams of how stomatal trait distributions adapt drought stress and 632

#### 633 regulate ecosystem productivity.

- g, maximum stomatal conductance, shown by orange; f, stomatal area fraction, shown by blue; 634
- e, stomatal space-use efficiency, shown by green. 635
- 636