Distributed Feedforward and Feedback Processing across Perisylvian Cortex Supports Human Speech

Ran Wang^{1*} Xupeng Chen¹

Amirhossein Khalilian-Gourtani ¹	Leyao Yu ^{2,3}
---	-------------------------

Patricia Dugan ² Daniel Friedman ²	Werner Dovle ⁴
--	---------------------------

Orrin Devinsky² Yao Wang¹ Adeen Flinker^{2,3*}

Affiliation

¹ Electrical and Computer Engineering Department, New York University, Brooklyn, NY, USA.

 2 Neurology Department, New York University, New York, NY, USA.

³ Biomedical Engineering Department, New York University, Brooklyn, NY, USA.

⁴ Neurosurgery Department, New York University, New York, NY, USA.

* Corresponding Authors: rw1691@nyu.edu; adeen.flinker@nyu.edu

bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

Abstract

Speech production is a complex human function requiring continuous feedforward commands together with reafferent feedback processing. These processes are carried out by distinct frontal and posterior cortical networks, but the degree and timing of their recruitment and dynamics remain unknown. We present a novel deep learning architecture that translates neural signals recorded directly from cortex to an interpretable representational space that can reconstruct speech. We leverage state-ofthe-art learnt decoding networks to disentangle feedforward vs. feedback processing. Unlike prevailing models, we find a mixed cortical architec-10 ture in which frontal and temporal networks each process both feedfor-11 ward and feedback information in tandem. We elucidate the timing of 12 feedforward and feedback related processing by quantifying the derived 13 receptive fields. Our approach provides evidence for a surprisingly mixed 14 cortical architecture of speech circuitry together with decoding advances 15 that have important implications for neural prosthetics. 16

17 **1 INTRODUCTION**

1

The central sulcus divides the human frontal from the posterior temporal, pari-18 etal, and occipital neocortices [34]. Traditionally, this divide separates high 19 order planning and motor execution from sensation. Feedforward execution lies 20 in the frontal cortices in contrast to feedback sensory processing across posterior 21 cortices for the various sensory modalities (e.g., auditory, visual, somatosensory, 22 etc.) [17]. Higher order capacities such as working memory, cognitive control, 23 and decision making are often viewed as initiated by frontal cortices with direct 24 influence on sensory cortices [19, 38, 44]. 25

Human higher order cognitive functions include planning and executing complex speech sequences that carry semantic and linguistic meaning [7,29]. Speech

²⁸ production is a complex human motor behavior requiring precise coordination of ²⁹ multiple oral, laryngeal and respiratory muscles [42]. These finely tuned motor ³⁰ actions then produce reafferent feedback in the auditory, tactile, and proprio-³¹ ceptive domains as we process our own speech.

The dynamic influence of feedforward commands on sensory feedback is a 32 hallmark of sensory motor systems across the animal kingdom [10]. For exam-33 ple, motor neurons in cricket both drive the generation of chirping sounds as 34 well as inhibit the auditory system to filter out the loud noise produced by its 35 wings [49]. Similarly, auditory neurons in the marmoset monkey are suppressed during vocalization to provide increased sensitivity to vocal feedback [50]. Pre-37 vailing models in human speech motor control propose a feedforward system 38 that predicts and generates actions and a feedback system responding to the 39 vocal auditory and somatosensory effects [22, 23, 26–28, 30]. There is a consensus that the two systems are anatomically separated, with the feedforward 41 system mainly supported by ventral frontal cortices, while posterior cortices 42 support feedback processing. During feedback processing, frontal cortices need 43 to update new actions dynamically, and it remains unclear which subregions 44 are involved in this process. Moreover, the exact timing of feedforward and 45 feedback engagement across the cortex remains unknown. 46

A growing literature has leveraged unique human electrocorticographic (ECoG) 47 recordings from patients undergoing neurosurgical procedures to obtain a com-48 bined spatial and temporal resolution critical for investigating speech produc-49 tion. Studies have detailed the signatures of feedforward speech planning [16] 50 and organization of execution [5,8] in frontal cortices as well as the subsequent 51 auditory feedback architecture in temporal cortices [15,20,21]. To date, evidence 52 of feedback processing has mainly focused on artificially altering the acoustic 53 feedback to create a mismatch in the perceived pitch, providing evidence for en-54

hanced responses in posterior cortices as well as frontal cortex (i.e., ventral sen-55 sorimotor cortex) [6]. However, the acoustic perturbation also causes speakers 56 to compensate and change their produced pitch, leading to motor enhancement 57 confounded with the feedback. Recently, the unprecedented signal-to-noise ratio 58 offered by ECoG recordings has ushered deep neural network approaches to de-59 code speech represented in auditory accurately [1,3,46,47] and sensorimotor [4]60 cortices. Nevertheless, these approaches have not been able to disentangle feed-61 forward and feedback contributions during speech production as the motor and 62 sensory responses co-occur. 63

We directly disentangle feedback and feedforward processing during speech 64 production by applying a novel deep learning architecture on human neurosurgi-65 cal recordings to decode speech (Figure 1). Our approach decodes interpretable 66 speech parameters from cortical signals, which drives a rule-based differentiable 67 speech synthesizer. By learning neural network architectures which apply either 68 casual (predicting using only the past), anticausal (predicting using the future 69 feedback), or both (noncausal), spatial-temporal convolutions, we are able to 70 analyze the overall feedforward and feedback contributions, respectively, as well 71 as to elucidate the temporal receptive fields of recruited cortical regions. In 72 contrast to current models that separate feedback and feedforward cortical net-73 works, our analyses reveal a surprisingly mixed architecture of feedback and 74 feedforward processing both in frontal and temporal cortices while achieving 75 speech decoding performance on-par or better than previously reported. 76

$_{77}$ 2 **RESULTS**

⁷⁸ We report speech decoding of ECoG data obtained from five participants that
⁷⁹ took part in a battery of speech production tasks: Auditory Repetition (AR),
⁸⁰ Auditory Naming (AN), Sentence Completion (SC), Word Reading (WR) and

Picture Naming (PN). These were designed to elicit the same set of spoken words across tasks while varying the stimulus modality [41] and provided 50 repeated unique words (400-800 total trials per participant) all of which were analyzed locked to the onset of speech production. We start with an overview of our speech decoding approach.

⁸⁶ 2.1 Speech Decoding Approach

ECoG Decoder. The decoder maps the ECoG signals to a set of speech 87 parameters (describing both the voiced and unvoiced components) which 88 are then synthesized to speech spectrograms (Figure 1). The ECoG de-80 coder architecture is based on recent advances in convolutional neural 90 networks leveraging the ResNet approach [24]. We construct a modified 91 ResNet model with nine layers that treat the cortical input as a spatiotem-92 poral three-dimensional tensor (two dimensions for the electrode array and 93 one for time, see Methods for details). The decoder is trained such that its 94 output parameters match the reference parameters derived from a speech 95 encoder (which is learnt separately in an unsupervised manner). Further-96 more, our approach ensures that the speech spectrogram derived from 97 these parameters and constructed by the speech synthesizer matches with 98 the actual speech spectrogram. We use this approach to be more data-99 efficient and allow us to train on a small set of samples for each patient. 100

• Speech Parameters. Our speech representation is motivated by the vocoders used for low-bit-rate speech compression dating back to the 1980s. We model speech signals as a mixture of voiced and unvoiced components, with the voiced component described by a source-filter model (dynamically filtered harmonic signals) [13] and the unvoiced component generated by white noise broadband filtering. In addition to the mixing parameter, our representation includes speech formant information (frequency, bandwidth, etc.) and loudness (i.e., the energy of speech). See
Methods Figure 6 for details.

• Synthesizer. We use a set of signal processing equations (such as har-110 monic oscillation, noise generation, filtering, etc.) to synthesize the spec-111 trogram from our proposed speech parameters. We can train the ECoG 112 decoder with a limited amount of training data by limiting the number 113 of speech parameters and using differentiable signal processing equations. 114 It is noteworthy that the equations we use are differentiable (see Dif-115 ferentiable Speech Synthesizer in Extended Data A.1), which allows for 116 backpropagation from the spectrogram to the actual learning of the de-117 coder. 118

• Speech Encoder. The speech encoder is pre-trained using an indepen-119 dent unsupervised approach before the ECoG decoder training. The en-120 coder is trained to generate a set of speech parameters from a given spec-121 trogram, from which the aforementioned speech synthesizer can reproduce 122 the spectrogram. This pre-trained encoder generates reference speech pa-123 rameters from actual speech signals used for the training of the ECoG 124 decoder. The unsupervised process can be easily used to train the speech 125 encoder from any set of speech signals, including patient-specific speech 126 (see details in the Method section 4.4 and Extended Data A.1). Impor-127 tantly, this process constrains the speech parameter space to optimize the 128 training of our ECoG decoder, and the parameters can directly drive a 129 speech synthesizer based on differential equations. 130

We trained three separate models using the proposed pipeline, varying in the causality of the temporal convolution used in the ECoG decoder. The causal model uses only past (up to the current) neural signals to produce the current bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

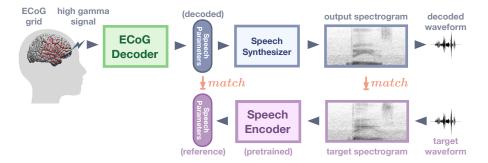


Figure 1: The overall structure of the decoding pipeline. ECoG amplitude signals are extracted in the high gamma range (i.e., 70-150 Hz). The ECoG Decoder translates neural signals from the electrode array to a set of speech parameters. This parameter representation is used to drive a speech synthesizer which creates a spectrogram (and associated waveform). During the training of the ECoG decoder, the speech parameters are matched to a reference derived by a speech encoder pre-trained using an unsupervised approach (without costly manual annotations). This approach constrains the learnt speech parameters and provides naturalistic decoded speeches.

speech sample, which reflects feedforward processes. The anticausal model only 134 uses current and future neural signals, reflecting feedback processes. And finally, 135 the non-causal model uses both the past, current, and future neural signals, 136 which are typically used in previous literature and confounds feedforward and 137 feedback processing. The causal and anticausal models allow us to directly assess 138 and tease apart the feedforward and feedback contributions of different cortical 139 regions. It is important to recognize that only causal models are appropriate 140 for real-time speech prosthetic applications. 141

¹⁴² 2.2 Speech decoding performance

We first demonstrate that our approach produces accurate speech decoding with detailed acoustic features. The model's decoded spectrogram preserves the spectro-temporal structure of the original speech. It reconstructs both vowels, consonants (Figure 2a) as well as the overall spectral energy distribution

(Extended Data Figure E1). These acoustic details result in a reconstruction 147 that preserves the speakers' timbre (see Supplementary Video) and leads to 148 naturalistic voice decoding. Our model's speech parameters which include loud-149 ness, formant frequency, and the mixing parameter (i.e., the relative weighting 150 between voiced and unvoiced components), are decoded accurately with the 151 correct temporal alignment of each word onset and offset (Figure 2b, c). The 152 overall accuracy of the fundamental frequency (i.e., pitch), the first two modeled 153 formants (i.e., F1, F2), and the transition between voiced and unvoiced sounds 154 are a major driving force for accurate speech decoding as well as naturalistic 155 reconstruction that mimics the patient's voice. 156

In order to evaluate the performance and quality of speech, we used sev-157 eral objective metrics, including the correlation coefficient (CC) between the 158 decoded spectrogram and actual produced speech [2, 3, 25], an objective mea-159 sure for speech intelligibility known as the Short-Time Objective Intelligibility 160 (STOI) [3, 45], and a measure of spectral distortion, Mel-cepstral distortion 161 (MCD) [4,35]. Across all participants and metrics, our neural decoding re-162 sults performed well above chance (Figure 2d in grey; estimated using shuffled 163 data, see Methods section 4.6) and approached an upper bound of performance 164 based on the unsupervised autoencoder (i.e., speech-to-speech) which did not 165 use neural data. The performance range across metrics, and our participants 166 were equal to and often better than the current literature [2-4, 25]. Critically, 167 all these models represent the non-causal case (Figure 2d) that uses data both 168 from the past (feedforward) and the future (feedback), as is currently a common 169 practice [1–4, 37] except a nominal few models [25]. 170

In order to directly assess the performance of the causal (predicting using only the past) and anticausal (predicting using the future feedback) models and compare them with the non-causal (using past and future) model, which

is standard in the field, we trained three separate models varying the tempo-174 ral convolution direction. Our results (Figure 2e) show a slight decrease in 175 performance with the causal model. However, it performs close to the other 176 models while providing a causal interpretation, which only uses past signals to 177 predict future speech. This is encouraging, as it suggests that, with additional 178 improvement in the decoder design and training, it is possible to design practi-179 cally applicable neuroprosthetic speech synthesizers. Also, comparable perfor-180 mance between causal, anticausal and non-causal approaches indicates a similar 181 amount of information contained by feedforward and feedback signals. Both 182 causal and anticausal models are appropriate for feedforward-feedback analysis 183 and comparison. 184

¹⁸⁵ 2.3 Feedforward and feedback cortical contributions to ¹⁸⁶ speech production

To elucidate the feedforward and feedback contribution of different cortical re-187 gions to speech production, we examined the relative contribution of each elec-188 trode to decoding speech in our models. We derived the relative contribution 189 by quantifying how the input signal at a particular electrode affects the over-190 all accuracy (measured by the CC) of the reconstructed speech in the causal 191 and anticausal models, respectively (see Methods 4.5). In both the causal and 192 anticausal models, peri-sylvian electrodes were important for speech decoding; 193 however, there was a surprising recruitment of frontal regions when decoding 194 speech based on the feedback (anticausal model, Figure 3b) as well as recruit-195 ment of temporal sites when decoding speech based on the feedforward signals 196 (causal model, Figure 3c). We only show significant contributions that are 197 above a threshold derived from the shuffled model (depicted in Figure 3d). In 198 order to quantify the prevalence of feedforward or feedback processing, we di-199

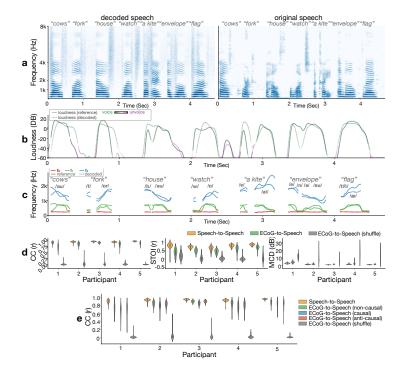


Figure 2: Comparison of original and decoded speech produced by the model. (a) Spectrograms of decoded (left) and original (right) speech exemplar words. (b) Decoded loudness parameter with the voiced (mostly vowel) or unvoiced (mostly consonant) mixing parameter color-coded over the loudness curves. The same color spread and amplitude trend between decoded (dashed) and reference (solid) curves reflect accurate decoding of voice and unvoiced phonemes with correct energy and temporal alignment. (c) Frequencies of the first two formants (F1, F2) and the pitch (F0). The matching between decoded (dashed) curves and reference (solid) curves in both frequencies during each phoneme and the overall temporal dynamic leads to intelligible and naturalistic decoding of voiced sounds. (d) Evaluation of the decoded speech quality in objective metrics. The correlation coefficient of spectrograms (CC, left), short-time objective intelligibility (STOI, middle), and Mel cepstral distortion (MCD, right) are used for the evaluation. Note that lower MCD values represent better performance. Both the reconstructed speech from the speech auto-encoder (yellow) and the speech decoded by the ECoG decoder (green) are reported. Additionally, the performance of a model trained on shuffled data (trained by matching the decoded spectrogram from the neural signal in a given duration to a randomly selected segment of spectrograms during the entire recording session) is also reported as a control. (e) Comparison of the CC metric among noncausal (green), causal (blue), and anticausal (red) models. Compared to the shuffled model (the same shuffled model as in Figure 2d), the comparable performance across noncausal, causal, and anticausal models demonstrates sufficient information for decoding speech from both feedforward and feedback signals during speech production.

rectly contrasted the two and projected the results onto the cortex (Figure 3e). 200 To ascertain regions that contribute significantly more to feedback or feedfor-201 ward processing, we conducted a region of interest analysis, based on within-202 subject anatomical labels of each electrode (see Methods section 4.3), testing for 203 an increase in causal or anticausal contributions across trials (non-parametric 204 paired Wilcoxon test; Figure 3f). We found a surprisingly mixed distribution of 205 causal and anticausal contributions within both temporal and frontal cortices. 206 A majority of temporal cortex were predominantly anticausal, including caudal 207 superior temporal gyrus (STG; Wilcoxon sign rank, P=1.607E-15, Z=9.6234) 208 and portions of middle temporal gyrus (MTG; rostral MTG: Wilcoxon sign 209 rank test P=2.5108E-04, Z=4.9359, and middle MTG: Wilcoxon sign rank test 210 P=1.5257E-13, Z=9.0185) as well as supramarginal cortex (Wilcoxon sign rank 211 test P=1.1144E-04, Z=5.3919), implicating it in processing the auditory feed-212 back signals for speech production. However, there was also a significant causal 213 contribution in rostral STG (Wilcoxon sign rank test P=0.0332, Z=-2.9628). 214 Similarly, the majority of sensorimotor cortex was predominantly casual, impli-215 cating it in processing the motor speech commands including ventral precentral 216 (Wilcoxon sign rank, P=4.9511E-08, Z=-7.1409) and postcentral gyri (Wilcoxon 217 sign rank, P=6.419E-04, Z=-4.9612). However, the dorsal division of precen-218 tral gyrus was equally causal and anticausal (Wilcoxon sign rank, P=0.4349, 219 Z=0.6525), implicating it in processing both feedforward and feedback informa-220 tion equally. Within the inferior frontal cortex, we found a striking division of 221 function wherein pars opercularis was significantly causal (Wilcoxon sign rank 222 test, P=8.0693E-15, Z=-9.6185) while pars triangularis was significantly an-223 ticausal (Wilcoxon sign rank test, P=2.6715E-06, Z=6.3518). Overall, these 224 findings provide evidence for a mixed feedforward and feedback processing of 225 speech commands and their reafference across temporal and frontal cortices, in 226

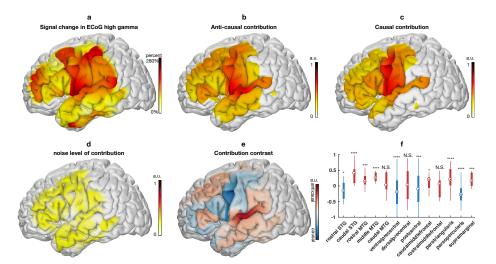


Figure 3: (a) averaged signal of input ECoG projected on the standardized MNI anatomical map. The colors reflect the percentage change of high gamma compared to the baseline level during the pre-stimulus baseline period. (b) shows the anticausal contribution of different cortical locations (red indicates higher contribution), while (c) illustrates the causal contribution. (d) noise level of the contribution analysis evaluated by the contributions from the shuffled model. Contributions below noise level are not shown in (b) and (c). (e) the contrast obtained by taking the difference of the anticausal and causal contribution maps (red means higher anticausal contribution, while blue means higher causal contribution). The boxplots (f) show the average difference in each cortical region (*: P-value<0.05, **: P-value<0.01, ***: P-value<0.001, ****: P-value<0.001).

²²⁷ contrast to a dichotomous view.

228 2.4 Temporal dynamics and receptive fields of speech pro duction

Speech production includes articulatory planning and executing the motor commands, processes that recruit distinct regions of frontal cortex [16]. However, their exact temporal receptive fields remain poorly understood. Earlier, we examined the causal and anticausal cortical contributions during speech articulation. Next, we examine articulatory planning and articulation of speech production stages and derive the related temporal receptive fields across the cortex. We leverage the receptive fields to test how cortical regions contribute differently to speech decoding with time and how frontal cortex dynamics change when feedback is introduced (after articulation starts). Both feedforward and feedback information is processed in tandem.

We employed a similar occlusion approach to derive the temporal receptive 240 fields as in the previous section. However, we quantified how the input signal 241 at a particular electrode affects the accuracy of the reconstructed speech across 242 varying delays (see Methods section 4.7). This approach allowed us to quan-243 tify the contribution of a specific electrode in the model as a function of delay 244 relative to speech decoding, similarly to classical temporal receptive fields (i.e., 245 TRF). We conducted this analysis for both causal and anticausal models during 246 two epochs – one prior to production (-512ms ~ 0 ms; Figure 4a) and the other 247 during production, which included both causal and anticausal components (0ms 248 \sim 512ms; Figure 4b, c). The projection of all the temporal receptive fields onto 249 the cortex, which were significantly above a threshold derived from the shuffled 250 model, are plotted in Figure 4 as a function of delay. We found an increased 251 frontal and MTG contribution prior to production (Figure 4a) compared with 252 during production (Figure 4b). These processes are likely related to articulatory 253 planning and lexical retrieval prior to speech production. During production, 254 there was a prominent sharpening of ventral precentral gyrus receptive fields 255 marked by a significant increase in contribution compared with pre-production 256 (Wilcoxon sign rank test, P=8.3979E-05, Z=5.4203). While a majority of pre-257 frontal regions engaged prior to production, there was a significant decrease in 258 contribution across pars triangularis (Wilcoxon sign rank test, P=1.8493E-32, 259 Z=-13.6074), middle frontal gyri (MFG; Wilcoxon sign rank test, P=3.9177E-09, 260 Z=-7.6103 for caudal and P=4.1581E-04, Z=-4.8311 for rostral) except for pars 261

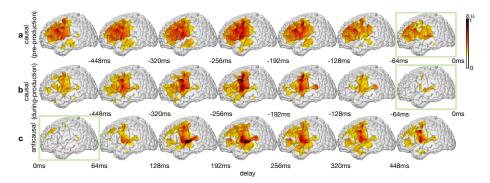


Figure 4: Spatial-temporal receptive fields based on decoding contribution. The contribution to decoding the current speech from cortical neural responses with certain temporal delays. (a) and (b) are the feedforward spatial-temporal receptive fields derived from the causal model by evaluating the contribution of past (negative delays) neural signals during a period before production onset (a) and after onset (b). (c) represents the feedback spatial-temporal receptive fields derived from the anticausal models that evaluate the contribution of future (positive delays) neural signals during feedback after articulation. Contributions below significance (pi0.05) representing the noise level are clipped and not shown in the plots.

opercularis (Wilcoxon sing rank test, P=0.4819, Z=0.2066). Similarly, to our 262 previous results (Figure 3e,f), during production, we found a significant increase 263 in anticausal contribution for caudal STG (Wilcoxon sign rank test, P=2.6789E-264 17, Z=9.6711), pars triangularis (Wilcoxon sign rank test, P=0.0162, Z=3.9003) 265 and caudal MFG (Wilcoxon sign rank test, P=0.0045, Z=3.9862) compared with 266 causal contributions. This confirms the anatomical-functional division of the in-267 ferior and middle frontal gyri as well as caudal (Wilcoxon sign rank test, P =268 2.6789E-17, Z = 9.6711) and rostral separation of STG (Wilcoxon sign rank 269 test, p = 0.0343, Z = -2.9457). 270

Next, we conducted a region of interest analysis, based on within-subject anatomical labels of each electrode, in order to derive the temporal receptive curves per region (Figure 5). This approach provides critical insight as to the temporal tuning and peak recruitment of various regions to feedforward processing prior to (Figure 5a) and during production (Figure 5b) as well as feedback

processing (Figure 5c). We found a shift in receptive field tuning for the two 276 subdivisions of precentral gyrus. Prior to production, dorsal and ventral pre-277 central gyri were not significantly different from each other (Wilcoxon sign rank 278 test, P=0.454, Z=-0.36103), and had close peak times (-196ms, -192ms prior 279 to speech for ventral and dorsal precentral gyri, respectively). However, dur-280 ing production, these dynamics shifted and we found a significant decrease in 281 dorsal precentral causal contribution (Wilcoxon sign rank test, P=4.7575E-05, 282 Z=-5.6272) accompanied by a temporal separation of peaks (-208ms, -184ms) 283 for ventral and dorsal precentral gyri, respectively; Figure 5a,b). Within the 284 inferior frontal gyrus, we found pars opercularis was recruited similarly both 285 prior to production and during production for feedforward processing (Wilcoxon 286 sign rank test, P=0.5922, Z=1.7462) at a peak delay of -248ms and -280ms, 287 respectively. During production, pars triangularis had a selective increase in 288 recruitment for anticausal compared with causal contributions (Wilcoxon sign 289 rank test, P=0.0162, Z=3.9003), implicating it in increased feedback processing 290 (Figure 4c, Extended Data Tables 2, 3). The anticausal receptive fields during 291 production provide evidence for feedback processing most strongly contributed 292 by caudal STG, with the earliest peak in contributions seen in dorsal precentral 293 gyrus (144 ms) and caudal STG (168 ms) followed by parietal (supramarginal 294 184ms, postcentral 192ms) and ventral precentral (280 ms) gyri (Extended Data 295 Table 3). These findings suggest a preferential recruitment of prefrontal cortices 296 in feedforward processing prior to production followed by a shift in dynamics 297 during production when feedforward and feedback signals are jointly processed 298 with anatomical divisions of labor. 299

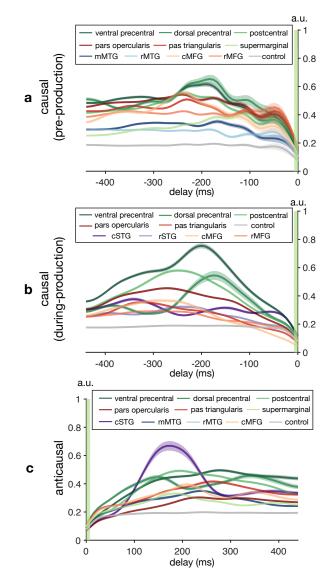


Figure 5: The temporal receptive field across anatomical regions. The contribution to decoding the current speech from cortical neural responses with certain temporal delays. (a) and (b) are the feedforward temporal receptive fields derived from the causal model by evaluating the contribution of past (negative delays) neural signals during a period before production onset (a) and after onset (b). (c) represents the feedback temporal receptive fields derived from the anticausal models that evaluate the contribution of future (positive delays) neural signals during feedback after articulation. The temporal propagation of the shuffled model estimates the noise level dynamics (grey curves in plots). Only regions significantly above noise level (Wilcoxon sign rank test on across-time averaged data, P < 0.05) are reported.

300 3 DISCUSSION

Our study leverages a novel deep learning approach together with neurosurgical 301 recordings and, to our knowledge, is the first to dissociate direct feedforward and 302 feedback cortical contributions during speech production. Our neural network 303 architecture achieves state-of-the-art decoding of speech production, by tapping 304 an interpretable compact speech representation and can be altered to focus on 305 causal, anticausal and non-causal decoding. Our analyses of the cortical contri-306 butions driving the performance of these models reveal a mixed distribution of 307 feedforward and feedback processing during speech production. This was promi-308 nent in inferior, middle frontal, and superior temporal gyri which exhibited an 309 anatomical division between feedforward and feedback processing. Lastly, we 310 show a change in the temporal dynamics of frontal recruitment during speech 311 planning through production, characterized by a shift of inferior frontal and pre-312 central gyri recruitment, processing both feedforward and feedback information 313 at different time points and spatial locations. 314

A growing number of studies have leveraged deep neural networks for cor-315 tical speech decoding. Convolutional neural networks (CNN) [1, 3, 46, 47] and 316 recurrent neural networks (RNN) [4] have mapped ECoG signals into speech and 317 text [37]. However, our approach diverges from these studies. *Firstly*, we develop 318 a novel differentiable speech synthesizer that can generate natural speech from a 319 compact set of interpretable speech parameters based on several signal process-320 ing equations. This rule-based synthesizer allows for unsupervised pre-training 321 of meaningful encoded representations (reference speech parameters), as well as 322 reduces the capacity of the entire model and increases training data efficiency. 323 Our approach provides a direct mapping to a patient's voice. It eliminates the 324 need for labeled articulatory data that maps speech to articulatory dynamics as 325 proposed by Anumanchipalli et al. [4]. Secondly, our compact speech representa-326

tion leverages an interpretable decomposition of speech into voiced and unvoiced 327 components. This decomposition is biologically necessary, has been reported in 328 neural representations across frontal and temporal cortices [8, 31] and stands 329 in contrast to other traditional speech synthesizing approaches [13, 14]. Lastly, 330 the speech neural decoding models to date mostly employ non-causal operations. 331 Since such decoders require both past and future information for decoding, they 332 are not applicable for real-time speech prosthetic application. Further, mixed 333 operations hinder disentangling feedforward and feedback cortical contributions. 334 In addition to providing a causal model which directly translates to practical 335 speech prosthetics, our approach provides one of the first reports that can disso-336 ciate feedforward and feedback cortical contributions during speech production. 337

During speech production, we process feedforward and feedback signals in 338 tandem. It was previously impossible to disentangle the two. Attempts have 339 focused on experimental manipulations which change the feedback by shifting 340 frequency [6] or time [39]. However, these manipulations change the cortical dy-341 namics and introduce other cognitive processes due to hearing one's own voice 342 altered as well as induced motor compensation. We applied convolution filters 343 with different causality to directly train models to disentangle feedforward (i.e., 344 causal models) and feedback (i.e., anticausal models) contributions of cortical 345 regions. Feedforward and feedback processes are critical for driving articulatory 346 vocal tract movement. The feedforward pathway generates an initial articula-347 tory command and predicts sensory (auditory and somatosensory) targets; the 348 feedback pathway compares the targets with the perceived sensory feedback 349 and updates subsequent feedforward commands. The exact mapping between 350 anatomical regions and their contribution to specific functional roles differ across 351 speech motor control models ([23], [30]). Further, these findings have been de-352 veloped based mostly on non-invasive studies which have low temporal (e.g., 353

fMRI) or spatial resolution (e.g., M/EEG). Our high spatio-temporal resolution
ECoG data together with advanced deep neural networks provides a fine-grained
mapping of the cortical feedforward and feedback speech networks.

Consistent with the predominant speech motor control models, our results 357 showed a dominant feedforward process in the ventral motor and pars opercu-358 laris of the inferior frontal gyrus, while posterior superior temporal and supra-359 marginal gyri in the parietal lobe showed feedback. However, in contrast to these 360 models, we found that cortices in the frontal lobe, including pars triangularis 361 and caudal middle frontal, are predominantly feedback in nature, while ros-362 tral STG appears feedforward. This feedback processing across frontal cortices 363 became even stronger when we limited our analyses to the speech production 36 epoch (Figure 4c, Extended Data Table 3). Additionally, most gyri (inferior 365 frontal, caudal middle frontal, superior temporal, precentral, and postcentral 366 cortices, see Extended Data Table 2) had both feedforward and feedback con-367 tributions above the noise level derived from the shuffled model, suggesting the 368 feedforward and feedback processing can mix in these regions. 369

Our results highlight the anticausal feedback signature exhibited by senso-370 rimotor and frontal cortices. While this goes against the canonical model of 371 the frontal cortex in an action-perception loop [18], our findings complement 372 a growing body of evidence showing specific responses in the frontal cortex to 373 auditory stimuli during perception. Cheung et al. [9] found distinct auditory 374 receptive fields as well as robust passive listening responses in ventral precentral 375 gyrus. Similarly, the dorsal division of precentral gyrus has recently been im-376 plicated in processing auditory feedback of altered speech as well as responding 377 robustly during passive listening [39]. However, this begs the question as to why 378 the speech motor cortex is processing auditory information. Our feedback con-379 tribution analysis suggests that the auditory processing is specifically leveraged 380

for anticausal processing of the reafferent signals during production. Indeed, our results show that dorsal precentral gyrus decreases feedforward processing while engaged in actual speech production (Figure 5b) and is recruited for feedback at an early time point together with temporal cortices (Figure 5c). Under this view, the auditory frontal responses seen during passive listening may constitute a representation dedicated to feedback processing when speech is produced.

To summarize, we provided a new approach to decode speech production and 387 interrogate the recalcitrant problem of mixed feedforward and feedback process-388 ing during speech production. We were able to leverage feedforward processing 389 only in causal models to drive neural speech prosthetics (as opposed to the lit-390 erature using non-causal processing [1-4, 37]) as well as provide insights into 391 the underpinning cortical drivers. Our results suggest a mixed cortical archi-392 tecture in frontal and temporal cortices that dynamically shifts and processes 393 both feedforward and feedback signals across the cortex in contrast to previous 394 views associating feedforward or feedback processing of speech with primarily 395 anterior and posterior cortices, respectively. 396

³⁹⁷ 4 METHODS

³⁹⁸ 4.1 Participants and experiments

The brain activity data were obtained from five patients, including three female and two male native English speakers, undergoing treatment for refractory Epilepsy at NYU Langone hospital, with implanted electrocorticographic (ECoG) subdural electrode grids. All experimental procedures were approved by the NYU Grossman School of Medicine Institutional Review Board. Patients were provided written and oral consent at least one week prior to surgery by a research team member after separate consultation with the clinical care ⁴⁰⁶ provider. The subjects were instructed to complete five tasks to pronounce the
⁴⁰⁷ target words in response to certain auditory or visual stimuli. The five tasks
⁴⁰⁸ were:

- Auditory Repetition (AR, i.e., to repeat the auditory words).
- Auditory Naming (AN, i.e., name a word based on an auditory presented
 definition sentence).
- Sentence Completion (SC, i.e., complete the last word of an auditorily
 presented sentence).
- Visual Reading (VR, i.e., read aloud visually presented word in written form).
- Picture Naming (PN, i.e., naming a word based on a visually presented
 color line drawing).

Each task contained the same 50 unique target words while varying stimulus 418 modalities (auditory, visual, etc.). Each word appeared once in the AN and SC 419 tasks and twice in the other tasks. For Participants 1-3, the five tasks included 420 400 trials of the produced words and the corresponding ECoG recordings. The 421 produced speech in each trial has an average duration of 500 ms. We repeated 422 the same five tasks twice for Participant 4 and collected data from 800 trials. 423 For Participant 5, we collected 800 trials by repeating the tasks twice, and we 424 also ran an additional AR task (200 trials) which provided 1000 trials in total. 425

426 4.2 Data collection and preprocessing

A microphone recorded the subject's speech during the tasks and was synchronized to the clinical Neuroworks Quantum Amplifier (Natus Biomedical, Appleton, WI), which records ECoG. The recordings sampled peri-sylvian cortex,

including STG, IFG, pre-central, and postcentral gyri. The ECoG implanted 430 array included standard 64 clinical 8×8 macro contacts (10 mm spacing) as well 431 as 64 additional interspersed smaller electrodes (1 mm) between the macro con-432 tacts (providing 10 mm center-to-center spacing between macro contacts and 5 433 mm center-to-center spacing between micro/macro contacts, PMT corporation, 434 Chanassen, MN). This FDA-approved array was manufactured for the study, 435 and a member of the research team explained to patients that the additional 436 contacts were for research purposes during consent. The ECoG arrays were im-437 planted on the left hemisphere in all participants' brains and placement location 438 was solely dictated by clinical care. We trained separate sets of decoding mod-439 els for each participant. We randomly selected 50 out of all trials from the five 440 tasks for testing and used the remaining data for training. The results reported 441 are for testing data. 442

Each electrode sampled ECoG signals at 2048 Hz, which was decimated 443 to 512 Hz prior to processing. After rejecting electrodes with artifacts (i.e., 444 line noise, poor contact with cortex, and high amplitude shifts), we subtracted 445 a common average reference (across all valid electrodes and time) from each 446 individual electrode. Electrodes with inter-ictal and epileptiform activity were 447 removed from the analysis (note that the large number of temporal electrodes 448 were removed from patients 4 and 5 for this reason). We then extracted the 449 envelope of the high gamma (70-150 Hz) component from the raw signal with 450 the Hilbert transform and further downsampled it to 125 Hz. The signal of 451 each electrode over the silent baseline of 250 ms before the stimulus was used as 452 the reference signal, and each electrode's signal was normalized to the reference 453 mean and variance (i.e., z-score). 454

455 4.3 Electrode localization

Electrode localization in subject space, as well as MNI space, was based on 456 coregistering a preoperative (no electrodes) and postoperative (with electrodes) 457 structural MRI (in some cases, a postoperative CT was employed depending 458 on clinical requirements) using a rigid-body transformation. Electrodes were 459 then projected to the surface of the cortex (preoperative segmented surface) to 460 correct for edema-induced shifts following previous procedures [48] (registration 461 to MNI space was based on a non-linear DARTEL algorithm). Based on the 462 subject's preoperative MRI, the automated FreeSurfer segmentation (Destrieux) 463 is used for labeling within subject anatomical locations of electrodes. 464

465 4.4 Speech decoding framework

The backbone of our neural decoding framework is constructed by an ECoG 466 decoder and a speech synthesizer (Figure 6a or Figure 1). During testing, from 467 the high gamma components of the ECoG signal, the decoder generates a set 468 of speech parameters that drive a differentiable speech synthesizer to gener-469 ate speech spectrograms (and corresponding waveforms by the Griffin-Lim al-470 gorithm). Besides being trained to work with the speech synthesizer to out-471 put spectrograms matching the target spectrograms, the ECoG decoder is also 472 trained to match its output with a set of reference speech parameters. This ref-473 erence matching training strategy provides a more direct gradient to the ECoG 474 decoder such that it converges faster and is less prone to overfitting. 475

The reference speech parameters are derived from a pre-trained speech encoder. During pre-training, the speech encoder and the speech synthesizer fulfill an auto-encoding task (i.e., mapping the input spectrogram to the speech parameters and back to the spectrogram) (Figure 6b). When such speechto-speech reconstruction is accurate, the parameters generated by the speech encoder should provide physically meaningful speech parameters. Since the pre-training is unsupervised and the subject speech audio data is easy to collect, obtaining the reference speech parameters is straightforward. Note that the speech-to-speech autoencoder and the reference parameters are only used for the training of the ECoG decoder. Once the ECoG decoder is trained, the trained decoder and the speech synthesizer can be used to convert ECoG signals to speech without the need for reference parameters.

⁴⁸⁸ More details of the structure of the speech synthesizer (Figure 6e), ECoG ⁴⁸⁹ decoder (Figure 6c), Speech encoder (Figure 6d), and loss can be found in ⁴⁹⁰ Extended Data A.1.3.

491 4.5 Revealing delay-dependent contribution of different 492 cortical regions from the trained ECoG to speech model

Before formally defining the various contribution scores, we introduce the following notations: $A_{ref}[s]$: the reference spectrogram over a time duration S centered at time s, i.e., from s - S/2 to s + S/2, derived by the speech-to-speech autoencoder. $A_{intact}[s]$: the model output with "intact" input (i.e, all ECoG signals are used). $A_{occlude}^{i}[s|t]$: the model output at time duration centered at s when the *i*th ECoG electrode signal in the time duration centered at t from $t - \frac{T}{2}$ to $t + \frac{T}{2}$ is occluded. $r(\cdot, \cdot)$: correlation coefficient between two signals. We define the contribution of *i*th electrode in time duration centered at t to the output over duration centered at s by the reduction in the correlation coefficient between the output signal with the reference signal over the duration s when the ith electrode signal in duration t is occluded. Specifically:

$$C^{i}[s,t] = Mean\{r(A_{ref}[s], A_{intact}[s]) - r(A_{ref}[s], A_{occlude}^{i}[s|t])\}$$

493 where $Mean\{\cdot\}$ denotes averaging across all testing samples.

bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

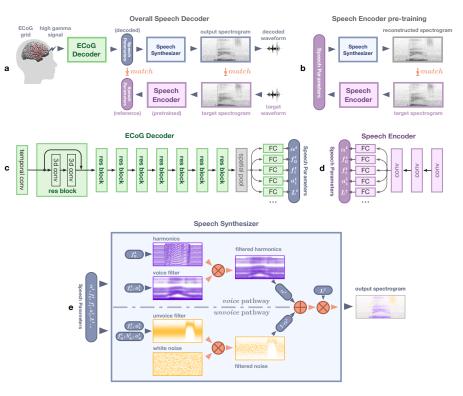


Figure 6: Structure of the decoding framework. (a) The overall network architecture (same as in Figure 1, repeated here for ease of understanding of the architecture). (b) The auto-encoder used to pretrain the speech encoder. The speech encoder is trained to generate proper speech parameters that can reconstruct input spectrograms through the speech synthesizer. (c) The ECoG decoder is a modified spatio-temporal residual network. After an initial temporal convolutional layer and eight residual blocks (constructed by three-dimensional convolution layers), multiple subnetworks (using one or two fully connected layers) generate speech parameters separately. (d) The speech encoder in (b) has three convolutional layers followed by the same multi-head output structure as in (c). (e) Illustrates the processes within the speech synthesizer. The harmonics (in voice pathway) and white noise (unvoice pathway) are generated and filtered (multiplication in spectrogram domain) by voice and unvoice filters, respectively. The filtered results are then weighted averaged according to the mixing parameter and then amplified by the loudness parameter.

To generate the contribution map, we first determine the contribution of 494 each electrode (with a corresponding location in the MNI coordinate), which is 495 then diffused into the surrounding area in the same anatomical region using a 496 Gaussian kernel. Since our ECoG grid has hybrid density, to remove the effect 497 of non-uniform density on the diffused result, we normalize the result of each 498 region by the local grid density. The results shown in Figures 3,4, and 5 are 499 obtained by averaging the contribution maps obtained for all test samples for 500 all participants. 501

⁵⁰² 4.6 Visualizing spatial contribution map

The contribution of the entire signal at the i-th electrode to the entire output 503 signal, C^i , is obtained by using the method in Section 4.5 with S and T cov-504 ering the entire input and output signal duration. The causal and anticausal 505 contribution plots in Figure 3 are generated by applying such analysis to the 506 learned anticausal model (Figure 3b) and causal model (Figure 3c), respectively. 507 The contrast of the anticausal and causal contribution (Figure 3e) for each is 508 the difference between the causal and anticausal contribution map. The noise 500 level for the contribution analysis (Figure 3d) is generated from the shuffled 510 model using non-causal processing (the shuffled model is trained on an artificial 511 dataset with temporal misaligned input-output, and hence models of different 512 causality are equivalent). To generate per region feedback-feedforward box plot 513 (Figure 3f), we calculate the contrast contributions averaged over electrodes of 514 the same within-subject anatomical labels corresponding to each region. 515

The contrast of the anticausal and causal contribution (as is shown in Figure 3e) of electrode i is defined as

$$\tilde{\mathbf{C}}^{i}_{contrast} = \tilde{\mathbf{C}}^{i}_{anticausal} - \tilde{\mathbf{C}}^{i}_{causal}$$

In order to examine electrode polarization to anticausal or causal contribution, we calculate the normalized version of anticausal and causal contribution contrast:

$$\tilde{\mathbf{C}}^{i}_{polar} = \frac{\tilde{\mathbf{C}}^{i}_{anticausal} - \tilde{\mathbf{C}}^{i}_{causal}}{\tilde{\mathbf{C}}^{i}_{anticausal} + \tilde{\mathbf{C}}^{i}_{causal}}$$

⁵¹⁶ By normalizing the contrast of anticausal and causal contribution, \tilde{C}^{i}_{polar} em-⁵¹⁷ phasize the angle of contribution directing towards anticausal or causal, rather ⁵¹⁸ than their contrast. This is what is visualized in Figure E2 a,b in Extended ⁵¹⁹ Data (only for those electrodes with either anticausal contribution attribute ⁵²⁰ ($\tilde{C}^{i}_{anticausal}$) or causal contribution attribute (\tilde{C}^{i}_{causal}) above noise level deter-⁵²¹ mined by the shuffled model). This is what is shown in Extended Data Figure ⁵²² E2.

⁵²³ 4.7 Visualizing spatial-temporal contribution receptive field

When evaluating the contribution over a finite duration we use small temporal scope S = T = 64ms. To Evaluate the contribution of an electrode signal to the output with various delay, denoted by τ , we average $C^i[s, s + \tau]$ for all s in a certain duration leading to

$$\tilde{\mathbf{C}}^{i}(\tau) = \frac{1}{s_{1} - s_{0}} \sum_{s=s_{0}}^{s_{1}} \mathbf{C}^{i}[s, s + \tau]$$

Here we assume the effect of delay is independent of actual output time s. When $\tau \leq 0$, $\tilde{C}^{i}_{causal}(\tau)$ reveals the causal contribution of electrode i to the output (Figure 4 a,b). To investigate pre-production contribution, we restrict $s + \tau$ and s to be no later than the onset of production (vise-versa for duringproduction analysis). When $\tau \geq 0$ the $\tilde{C}^{i}_{anticausal}(\tau)$ reveals the anticausal contribution (Figure 4c). This is how the results in Figure 4 were generated, where the causal (resp. anticausal) contribution is derived from the causal (resp. ⁵³¹ anticausal) model.

4.7.1 Visualizing per region temporal contribution receptive field

Similar to the per region plot in Figure 3f, to generate a temporal contribution 533 curve for each region (Figure 5), we average the spatial-temporal receptive field 534 data (Figure 4) over to the same within-subject anatomical region labels. The 535 control curve is generated by applying the same method for the shuffled model 536 (grey curves in Figure 4). We omit those curves that are not significantly above 537 noise level by Wilcoxon sign rank testing between averaged (over time) region 538 contribution curves and the averaged (over time) noise level curve (see Extended 539 Data Table 2). 540

541 References

- [1] Hassan Akbari, Bahar Khalighinejad, Jose L Herrero, Ashesh D Mehta,
 and Nima Mesgarani. Towards reconstructing intelligible speech from the
 human auditory cortex. Scientific reports, 9(1):874, 2019.
- [2] Miguel Angrick, Christian Herff, Garett Johnson, Jerry Shih, Dean
 Krusienski, and Tanja Schultz. Interpretation of convolutional neural
 networks for speech spectrogram regression from intracranial recordings.
 Neurocomputing, 342:145–151, 2019.
- [3] Miguel Angrick, Christian Herff, Emily Mugler, Matthew C Tate, Marc W
 Slutzky, Dean J Krusienski, and Tanja Schultz. Speech synthesis from
 ecog using densely connected 3d convolutional neural networks. Journal of
 <u>neural engineering</u>, 16(3):036019, 2019.

- [4] Gopala K Anumanchipalli, Josh Chartier, and Edward F Chang. Speech
 synthesis from neural decoding of spoken sentences. Nature, 568(7753):493–
- ⁵⁵⁵ 498, 2019.
- ⁵⁵⁶ [5] Kristofer E Bouchard, Nima Mesgarani, Keith Johnson, and Edward F
 ⁵⁵⁷ Chang. Functional organization of human sensorimotor cortex for speech
 ⁵⁵⁸ articulation. <u>Nature</u>, 495(7441):327–332, 2013.
- [6] Edward F Chang, Caroline A Niziolek, Robert T Knight, Srikantan S Nagarajan, and John F Houde. Human cortical sensorimotor network underlying feedback control of vocal pitch. <u>Proceedings of the National Academy</u>
 of Sciences, 110(7):2653-2658, 2013.
- ⁵⁶³ [7] Edward F Chang, Kunal P Raygor, and Mitchel S Berger. Contemporary
 ⁵⁶⁴ model of language organization: an overview for neurosurgeons. Journal of
 ⁵⁶⁵ neurosurgery, 122(2):250-261, 2015.
- Josh Chartier, Gopala K Anumanchipalli, Keith Johnson, and Edward F
 Chang. Encoding of articulatory kinematic trajectories in human speech
 sensorimotor cortex. Neuron, 98(5):1042–1054, 2018.
- [9] Connie Cheung, Liberty S Hamilton, Keith Johnson, and Edward F Chang.
 The auditory representation of speech sounds in human motor cortex. <u>Elife</u>,
 5:e12577, 2016.
- ⁵⁷² [10] Trinity B Crapse and Marc A Sommer. Corollary discharge across the ⁵⁷³ animal kingdom. Nature Reviews Neuroscience, 9(8):587–600, 2008.
- [11] Li Deng and Douglas O'Shaughnessy. <u>Speech processing: a dynamic and</u>
 optimization-oriented approach. CRC Press, 2018.

- ⁵⁷⁶ [12] Jesse Engel, Lamtharn Hantrakul, Chenjie Gu, and Adam Roberts. DDSP:
- ⁵⁷⁷ Differentiable digital signal processing. <u>arXiv preprint arXiv:2001.04643</u>,
 ⁵⁷⁸ 2020.
- ⁵⁷⁹ [13] James L Flanagan. <u>Speech analysis synthesis and perception</u>, volume 3.
 ⁵⁸⁰ Springer Science & Business Media, 2013.
- [14] Mario Fleischer, Silke Pinkert, Willy Mattheus, Alexander Mainka, and
 Dirk Mürbe. Formant frequencies and bandwidths of the vocal tract transfer function are affected by the mechanical impedance of the vocal tract
 wall. Biomechanics and modeling in mechanobiology, 14(4):719–733, 2015.
- [15] Adeen Flinker, Edward F Chang, Heidi E Kirsch, Nicholas M Barbaro,
 Nathan E Crone, and Robert T Knight. Single-trial speech suppression of
 auditory cortex activity in humans. Journal of Neuroscience, 30(49):16643–
 16650, 2010.
- [16] Adeen Flinker, Anna Korzeniewska, Avgusta Y Shestyuk, Piotr J
 Franaszczuk, Nina F Dronkers, Robert T Knight, and Nathan E Crone.
 Redefining the role of broca's area in speech. <u>Proceedings of the National</u>
 Academy of Sciences, 112(9):2871–2875, 2015.
- ⁵⁹³ [17] Joaquin M Fuster. The prefrontal cortex—an update: time is of the essence.
 ⁵⁹⁴ <u>Neuron</u>, 30(2):319–333, 2001.
- ⁵⁹⁵ [18] Joaquin M Fuster. Upper processing stages of the perception-action cycle.
 ⁵⁹⁶ <u>Trends in cognitive sciences</u>, 8(4):143–145, 2004.
- ⁵⁹⁷ [19] Joaquín M Fuster. The prefrontal cortex in the neurology clinic. <u>Handbook</u>
 ⁵⁹⁸ of clinical neurology, 163:3–15, 2019.
- Jeremy DW Greenlee, Roozbeh Behroozmand, Charles R Larson, Adam W
 Jackson, Fangxiang Chen, Daniel R Hansen, Hiroyuki Oya, Hiroto

Kawasaki, and Matthew A Howard III. Sensory-motor interactions for
vocal pitch monitoring in non-primary human auditory cortex. <u>PloS one</u>,
8(4):e60783, 2013.

- [21] Jeremy DW Greenlee, Adam W Jackson, Fangxiang Chen, Charles R
 Larson, Hiroyuki Oya, Hiroto Kawasaki, Haiming Chen, and Matthew A
 Howard III. Human auditory cortical activation during self-vocalization.
 PloS one, 6(3):e14744, 2011.
- [22] Frank H Guenther. A neural network model of speech acquisition and motor
 equivalent speech production. Biological cybernetics, 72(1):43–53, 1994.

⁶¹⁰ [23] Frank H Guenther. Neural control of speech. Mit Press, 2016.

- [24] Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual
 learning for image recognition. In <u>Proceedings of the IEEE conference on</u>
 <u>computer vision and pattern recognition</u>, pages 770–778, 2016.
- [25] Christian Herff, Lorenz Diener, Miguel Angrick, Emily Mugler, Matthew C
 Tate, Matthew A Goldrick, Dean J Krusienski, Marc W Slutzky, and
 Tanja Schultz. Generating natural, intelligible speech from brain activity
 in motor, premotor, and inferior frontal cortices. <u>Frontiers in neuroscience</u>,
 13:1267, 2019.
- ⁶¹⁹ [26] Gregory Hickok. Computational neuroanatomy of speech production.
 Nature reviews neuroscience, 13(2):135–145, 2012.

[27] Gregory Hickok. The cortical organization of speech processing: Feed back control and predictive coding the context of a dual-stream model.
 Journal of Communication Disorders, 45(6):393–402, 2012. 21st Annual
 NIDCD-Sponsored ASHA Research Symposium (2011):Neuroplasticity in
 the Mature Brain.

- 626 [28] Gregory Hickok. The architecture of speech production and the role of
- the phoneme in speech processing. <u>Language</u>, Cognition and Neuroscience,
- $_{628}$ 29(1):2–20, 2014.
- [29] Gregory Hickok and David Poeppel. The cortical organization of speech
 processing. Nature Reviews Neuroscience, 8(5):393, 2007.
- [30] John F Houde and Srikantan S Nagarajan. Speech production as state
 feedback control. Frontiers in human neuroscience, 5:82, 2011.
- [31] Colin Humphries, Merav Sabri, Kimberly Lewis, and Einat Liebenthal.
- Hierarchical organization of speech perception in human auditory cortex.
 Frontiers in neuroscience, 8:406, 2014.
- [32] Eric J Hunter, Jan G Švec, and Ingo R Titze. Comparison of the produced
 and perceived voice range profiles in untrained and trained classical singers.
 Journal of Voice, 20(4):513–526, 2006.
- [33] Jintao Jiang, Marcia Chen, and Abeer Alwan. On the perception of voicing
 in syllable-initial plosives in noise. <u>The Journal of the Acoustical Society</u>
 of America, 119(2):1092–1105, 2006.
- [34] Eric R Kandel, James H Schwartz, Thomas M Jessell, Steven Siegelbaum,
 A James Hudspeth, and Sarah Mack. <u>Principles of neural science</u>, volume 4.
- ⁶⁴⁴ McGraw-hill New York, 2000.
- [35] John Kominek, Tanja Schultz, and Alan W Black. Synthesizer voice quality
 of new languages calibrated with mean mel cepstral distortion. In <u>Spoken</u>
 Languages Technologies for Under-Resourced Languages, 2008.
- [36] Sergey Korolev, Amir Safiullin, Mikhail Belyaev, and Yulia Dodonova.
 Residual and plain convolutional neural networks for 3d brain mri classifi-

bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

cation. In 2017 IEEE 14th international symposium on biomedical imaging

- (ISBI 2017), pages 835–838. IEEE, 2017).
- ⁶⁵² [37] Joseph G Makin, David A Moses, and Edward F Chang. Machine transla-
- tion of cortical activity to text with an encoder–decoder framework. <u>Nature</u>
 Neuroscience, 23(4):575–582, 2020.
- [38] Brian T Miller and Mark D'Esposito. Searching for "the top" in top-down
 control. Neuron, 48(4):535–538, 2005.
- [39] Muge Ozker, Werner Doyle, Orrin Devinsky, and Adeen Flinker. Cortical
 network underlying speech production during delayed auditory feedback.
 bioRxiv, 2021.
- [40] Ramprasaath R Selvaraju, Michael Cogswell, Abhishek Das, Ramakrishna
 Vedantam, Devi Parikh, and Dhruv Batra. Grad-cam: Visual explanations
 from deep networks via gradient-based localization. In <u>Proceedings of the</u>
 IEEE international conference on computer vision, pages 618–626, 2017.
- [41] Jennifer Shum, Lora Fanda, Patricia Dugan, Werner K Doyle, Orrin Devin sky, and Adeen Flinker. Neural correlates of sign language production re vealed by electrocorticography. Neurology, 95(21):e2880–e2889, 2020.
- [42] Kristina Simonyan, Hermann Ackermann, Edward F Chang, and Jeremy D
 Greenlee. New developments in understanding the complexity of human
 speech production. Journal of Neuroscience, 36(45):11440–11448, 2016.
- [43] Daniel Smilkov, Nikhil Thorat, Been Kim, Fernanda Viégas, and Martin
 Wattenberg. Smoothgrad: removing noise by adding noise. <u>arXiv preprint</u>
 arXiv:1706.03825, 2017.
- [44] Donald T Stuss and Robert T Knight. <u>Principles of frontal lobe function</u>.
 Oxford University Press, 2013.

- ⁶⁷⁵ [45] Cees H Taal, Richard C Hendriks, Richard Heusdens, and Jesper Jensen.
- A short-time objective intelligibility measure for time-frequency weighted
- noisy speech. In <u>2010 IEEE international conference on acoustics</u>, speech
- and signal processing, pages 4214–4217. IEEE, 2010.
- [46] Ran Wang, Xupeng Chen, Amirhossein Khalilian-Gourtani, Zhaoxi Chen,
 Leyao Yu, Adeen Flinker, and Yao Wang. Stimulus speech decoding from
 human cortex with generative adversarial network transfer learning. In
 2020 IEEE 17th International Symposium on Biomedical Imaging (ISBI),
 pages 390–394. IEEE, 2020.
- [47] Ran Wang, Yao Wang, and Adeen Flinker. Reconstructing speech stim uli from human auditory cortex activity using a WaveNet approach. In
 <u>2018 IEEE Signal Processing in Medicine and Biology Symposium (SPMB)</u>,
 pages 1–6. IEEE, 2018.
- [48] Andrew I Yang, Xiuyuan Wang, Werner K Doyle, Eric Halgren, Chad
 Carlson, Thomas L Belcher, Sydney S Cash, Orrin Devinsky, and Thomas
 Thesen. Localization of dense intracranial electrode arrays using magnetic
 resonance imaging. Neuroimage, 63(1):157–165, 2012.
- [49] James F.A. Poulet and Berthold Hedwig. The cellular basis of a corollary
 discharge. Science, 311: 518–522, 2006.
- [50] Eliades, Steven J., and Xiaoqin Wang. Neural substrates of vocalization
 feedback monitoring in primate auditory cortex. <u>Nature</u>, 453(7198):1102 1106, 2008.

⁶⁹⁷ 5 Acknowledgements

We would like to thank Robert Knight and Sasha Devore for providing helpful comments on the manuscript. This work was supported by the National Science Foundation under Grant No. IIS-1912286 (Y.W. and A.F.) and National Institute of Health R01NS109367 (to A.F.).

702 6 Author contributions

R.W. conceived and implemented the decoding algorithm and interpreted the 703 model with assistance from Y.W. and A.F.; X.C participated in the data pro-704 cessing and performance evaluation; A.K.G participated in the data processing 705 and visualization; L.Y. participated in data acquisition, preprocessing, and vi-706 sualization; P.D. and D.F. provided clinical care; W.D. provided neurosurgical 707 clinical care; O.D. assisted in patient care and consent; Y.W. led the research 708 project and advised from engineering perspective; A.F. co-led the project with 709 Y.W., participated in all data acquisition, and advised from neuroscience per-710 spective; R.W. and A.F. co-wrote the manuscript with input from all authors. 711

712 7 Competing interests

713 The authors declare no competing financial interests.

714 A Extended Data

715 A.1 Additional Decoding Framework Details

716 A.1.1 Differentiable speech synthesizer

In a traditional vocoder, speech is generated by switching between voiced and 717 unvoiced content. Each content comes from an autoregressive system driven by 718 a certain excitation signal that is either a harmonic signal or a white noise sig-719 nal [11]. Inspired by such a process, we construct our speech synthesizer shown 720 in Fig. 6. It consists of two pathways. The voice pathway generates a voiced 721 component by driving a harmonic excitation with time-varying fundamental fre-722 quency (i.e., pitch) f_0^t through a voice filter consisting of N formant filters, each 723 described by a center frequency f_i^t and an amplitude a_i^t , i = 1, 2, ..., N. Note 724 that we parameterize the bandwidth b_i^t as a function of the center frequency f_i^t , 725 as discussed later. The *unvoice pathway* generates an unvoiced component by 726 driving a white noise through an unvoice filter described as a center frequency 727 f_n^t , bandwidth b_n^t and amplitude a_n^t (in addition to the N formant filters for 728 the voice pathway). These two components are adaptively combined with a 729 time-varying mixing factor α^t , controlling the relative contribution between 730 voiced sounds (for sonorant phonemes including vowels and nasals) and un-731 voiced sounds (for voiceless plosives and fricatives such as /p/, /s/). The voiced 732 plosives and fricatives (such as /b/, /z/) can be generated as a combination of 733 voiced and unvoiced components. Finally, the combined signal is amplified by a 734 loudness parameter L_t . In our study, we used N = 6 formants. The synthesizer 735 is driven by a total of 18 time-varying speech parameters, including the fun-736 damental (or pitch) frequency f_0^t , the mixing factor between the two pathways 737 α^t , the 12 parameters for the voice filter (f_i^t, a_i^t) and the three parameters for 738 the unvoice filter f_n^t , b_n^t , a_n^t , and the loudness L^t . Given the parameter values

at each time sample, the synthesizer can generate a spectrogram sample. The 740 spectrogram is a differentiable function of the speech parameters so that we can 741 back-propagate the gradient of the training loss in terms of the predicted spec-742 trogram to the speech parameters, which can then be backpropagated to either 743 the speech encoder or the ECoG decoder parameters. Specifically, let the $V^{t}(f)$ 744 represent the spectrogram of the voicing component, $U^{t}(f)$ that of the unvoicing 745 component, and $\alpha^t \in [0,1]$ the mixing factor. The combined spectrogram can 746 be written as $S^t(f) = \alpha^t V^t(f) + (1 - \alpha^t) U^t(f)$. Finally, the synthesized speech 747 spectrogram is $\tilde{S}^t(f) = L^t S^t(f)$, where L^t is the loudness that modulates the 748 signal cross time. 749

Formant filters in the voice pathway The filter in the voice pathway 750 consists of multiple formant filters, corresponding to the multiple formants as-751 sociated with vowels. The formant filter shape over frequency, which is related 752 to the resonance property of the vocal tract, is closely related to the timbre 753 of speakers' voice [32]. We have found that a predefined analytic form such as 754 generalized Gaussian cannot cover all feasible filter shapes. Instead, we learn 755 speaker-dependent prototype filter for each formant based on the speaker's 756 natural speech. We represent the prototype filter $(G_i(f))$ for the *i*-th formant 757 as a piecewise linear function, linearly interpolated from $g^{i}[m], m = 1...M$, the 758 amplitudes of the filter at M uniformly sampled frequencies up to f_{max} . We 759 restrict the resulting filter $G_i(f)$ to be unimodal (with a single peak of value 760 1) by properly constraining g[m]. Given g[m], m = 1...M, the peak frequency 761 f_i^{proto} and the half-power bandwidth b_i^{proto} can be determined. The actual for-762 mant filter at any time can be written as a shifted and scaled version of $G_i(f)$. 763 Specifically, at time t, given an amplitude (a_i^t) , a center frequency (f_i^t) , and a 764

bandwidth (b_i^t) , the *i*-th formant filter is given by

$$F_i^t(f) = a_i^t \cdot G_i\left(\frac{b_i^{proto}}{b_i^t} \cdot (f - f_i^t) + f_i^{proto}\right)$$
(1)

Then the filter for the voice pathway with N formant filters can be written 766 as $F_{\rm h}^t(f) = \sum_{i=1}^N F_i^t(f)$. We learn the parameters g[m], m = 1...M for $G_i(f)$ 767 during the unsupervised pre-training of the speech encoder, which does not 768 require neural data. Fitting such a prototype filter is not data-hungry even 769 with a relatively large M. We used M = 20 in our experiment. Although two 770 formants (N=2) have been shown to suffice for intelligible reconstruction [7], 771 we use N=6 in our experiments for more accurate synthesis. We denote the 772 parameter set for the voice filter at time t by $\mathcal{S}^t = \{(f_i^t, a_i^t, b_i^t) | i \in \{1, \cdots, N\}\}.$ 773 As explained later, the bandwidth b_i^t parameters are not independent speech 774 parameters, rather functions of the center frequencies f_i^t . 775

Unvoice filter For the unvoice pathway, we add a broadband filter described 776 by $\{(f_{\hat{n}}^t, a_{\hat{n}}^t, b_{\hat{n}}^t)\}$. The shape of this filter $F_{\hat{n}}^t(f)$ follows equation (1) but with 777 the filter coefficients $(\alpha_i^t, f_i^t, b_i^t)$ replaced by $(\alpha_{\hat{n}}^t, f_{\hat{n}}^t, b_{\hat{n}}^t)$. The bandwidth is 778 constrained to satisfy $b_{\hat{n}}^t > 2000$ Hz, following the broadband nature of obstruent 779 phonemes. We also keep the multiple formant filters in the voice filter described 780 by S^t . This is motivated by the fact that human beings differentiate consonants 78 with similar sounds such as /p/ and /d/, not only by the immediate burst 782 of these sounds, but also the development of the following formant frequency 783 until the next vowel [33]. To encode such formant transitions, we use the same 784 formant filter parameters for modeling the narrow passbands in both the voiced 785 component and the unvoiced component. The parameter set for the unvoiced 786 component is thus $T^t = S^t \cup \{(f_{\hat{n}}^t, a_{\hat{n}}^t, b_{\hat{n}}^t)\}$. The overall filter for the unvoice 787 pathway is: $F_n^t(f) = F_{\hat{n}}^t(f) + \sum_{i=1}^N F_i^t(f).$ 788

To further reduce the parameter space dimension, we model the bandwidth b_i^t of a formant filter as a piecewise linear function of the center frequency f_i^t . We assume

$$b_i^t = \begin{cases} a(f_i^t - f_\theta) + b_0, & \text{if } f_i^t > f_\theta \\ b_0, & \text{otherwise} \end{cases}$$

where threshold frequency f_{θ} , slope a, and baseline bandwidth b_0 are three parameters that can be learnt during unsupervised pre-training, shared among all formant filters.

Harmonic excitation In the voice pathway, the voice filter is applied on 795 the harmonic excitation. This pathway models the human production of vowels 796 and nasals, which results from the voice excited by the vocal cord shaped by the 797 vocal tract. The excitation is constructed by sinusoidal harmonic oscillations 798 with a time varying fundamental frequency f_0^t . Inspired by the formulation 799 in [12], we define the harmonic excitation h^t as: $h^t = \sum_{k=1}^{K} h_k^t$, where K is the 800 total number of harmonics (K=80 in our experiment). Assuming the initial 801 phase is 0, each harmonic resonance h_k^t at time step t has an instant phase that 802 is the accumulation of resonance frequency in the past. Specifically, the k-th 803 resonance at time step t is $h_k^t = \sin(2\pi \sum_{\tau=0}^t f_k^{(\tau)})$, where $f_k^{(t)} = k f_0^{(t)}$. Denoting 804 the spectrogram of h^t as $H^t(f)$, the spectrogram of the voice component is the 805 multiplication of $H^t(f)$ and the voice filter, i.e., $V^t(f) = H^t(f) \circ F_{\rm h}^t(f)$. 806

Noise excitation The unvoice pathway models consonants like plosives and fricatives, where the vocal tract and human mouth filter the airflow through the mouth. It follows a similar process as in the harmonic counterpart. The major difference is that the excitation being filtered becomes stationary white Gaussian distributed noise $\hat{n}(t) \sim \mathcal{N}(0, 1)$, with a corresponding spectrogram $N^{t}(f)$. The filtered noise spectrogram (i.e., the unvoice component) is $U^{t}(f) =$ 813 $N^t(f) \circ F_n^t(f)$.

814 A.1.2 ECoG decoder and speech encoder

The ECoG decoder is constructed by a three-dimensional ResNet that treats 815 time-varying signals on an ECoG grid array as spatiotemporal three-dimensional 816 tensors (width \times height \times time duration). As is depicted in Figure 6c, after an 817 initial temporal convolutional layer (with 128 feature map filters and a kernel 818 size of $1 \times 1 \times 9(72ms)$), the signal passes through eight residual blocks. Each 819 block contains two three-dimensional convolutional layers (with 128 feature map 820 filters, each has kernel size of $3 \times 3 \times 5(40ms)$). The output of the residual blocks 821 creates a shared latent representation consisting of 128 feature maps (each is a 822 one-dimensional temporal signal by average pooling the two spatial dimensions), 823 which is then fed into different output heads (each applies each consists of one or 824 two fully connected layers acting on the 128 features at the same time point) to 825 generate speech parameters. The overall temporal receptive field for generating 826 one speech parameter sample is 73 temporal samples of 584 ms. 827

The speech encoder network architecture we choose is as simple as possible 828 to demonstrate the effectiveness of the speech synthesizer design. In the exper-829 iment, we use three layers of temporal convolution (we treat the frequency axis 830 of the spectrogram as the feature dimension) to generate a latent representa-831 tion (Figure 6d). Each convolutional layer has 128 feature maps and a temporal 832 kernel size of 3 frames (24ms). To output the speech parameter, we apply the 833 same multi-head structure to the latent representation as in the last layer of the 834 ECoG decoder. 835

836 A.1.3 Loss and training hyper-parameters

The speech encoder is trained with a weighted average of the mixed spectral loss and the parameter loss. The mixed spectral loss [12] is defined as:

$$L_{MSS}(\tilde{S}^t(f), S^t(f)) = L_{\text{lin}}(\tilde{S}^t(f), S^t(f)) + L_{\text{mel}}(\tilde{S}^t(f), S^t(f)),$$

837 in which,

$$L_{\rm lin}(x, y) = \|x - y\|_1 + \|\log x - \log y\|_1$$
$$L_{\rm mel}(x, y) = \|x_{\rm mel} - y_{\rm mel}\|_1 + \|\log x_{\rm mel} - \log y_{\rm mel}\|_1$$

where $S^t(f)$ and $\tilde{S}^t(f)$ denote the ground truth and reconstructed spectrograms, respectively, subscript lin means that the frequency is in the linear scale while the subscript *mel* means the frequency is in the mel scale. In our experiments, we use 256 frequency samples (ranging from 0-8000 Hz) for both linear scale and mel scale speech sepctrograms.

Let's denote the *j*-th reconstructed speech parameter as \tilde{P}_j^t and its reference P_j^t , the overall training loss for the ECoG decoder becomes:

$$L = L_{\text{spectrogram}} + L_{\text{speechparameters}}$$
$$= \lambda_0 L_{\text{MSS}}(\tilde{S}^t(f), S^t(f)) + \sum_j \lambda_j \left(\left\| \tilde{P}_j^t - P_j^t \right\|_2^2 \right)$$

where λ_j balance the contribution from different loss terms since they have different physical meanings and scales.

Both the speech encoder and ECoG decoder are fitted by Adam optimizer with hyper-parameters: $lr = 10^{-3}$, $\beta_1 = 0.9$, $\beta_2 = 0.999$. We train an individual ECoG decoder and speech encoder per patient. The pre-training of the speech encoder and the training of the ECoG decoder share the same training/testing

849 set partition.

B Additional Figures and Tables

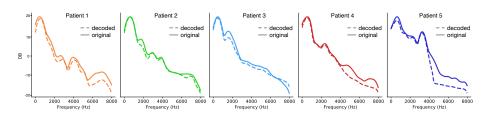


Figure E1: The spectral energy distribution of the decoded and original speech for five patients. Visualized by averaging the broadband spectrograms magnitude across time of all test samples.

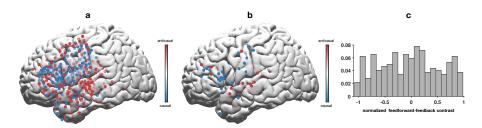


Figure E2: Normalized contrast of feedforward vs. feedback contribution. (a) electrode level feedforward-feedback contribution contrast, normalized by the sum of feedforward and feedback contribution magnitude. (b) electrodes with large feedforward-feedback polarity with the normalized contrast magnitude>0.9. (c) The histogram of the normalized contrast. Positive bins correspond to anticausal polarization.

bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

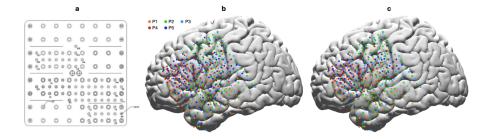


Figure E3: Electrodes array and implant location of all five patients (P1-P5) in our experiments. (a) The 128 electrodes on the hybrid density ECoG array. (b) All electrodes on cortex (MNI). (c) All electrodes with usable data. Only data from these electrodes are used to train the ECoG decoder models.

bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

Anatomical region	n	Z
Anatonneai region	р	2
rSTG	0.0332	-2.9628
cSTG	1.607 E- 15	9.6234
rMTG	2.5108E-04	4.9359
mMTG	1.5257E-13	9.0185
cMTG	0.2269	1.5656
ventralprecentral	4.9511E-8	-7.1409
dorsalprecentral	0.4349	0.6525
postcentral	6.419E-04	-4.9612
cMFG	0.0248	3.1417
rMFG	0.1988	1.7202
parstriangularis	2.6715E-06	6.3518
parsopercularis	8.0693E-15	-9.6185
supramarginal	1.1144E-04	5.3919

Table 1: Statistics of data in Figure 3f. The P-value and Z-value are reported for Wilcoxon sign rank test between feedback and feedforward contributions across all electrodes and test trials within each anatomical region. The Z-value represents the rank based test statistic with positive values reflecting anticausal contributions and negative values reflecting causal contributions.

	Causal pre	pre	Causal during	uring	Anticausa	usal
Anatomical region	p-value	z-value	p-value	z-value	p-value	z-value
cSTG	0.7226	0.075	5.6745 E-10	8.4078	1.2168E-22	10.9874
rSTG	0.4942	-0.0510	1.2703E-07	6.8284	0.1557	1.9149
mMTG	5.3721E-06	6.2146	0.3689	0.2741	1.8216E-28	12.1658
cMTG	0.1671	1.0126	0.231	-0.501	0.4781	-0.3012
rMTG	5.1253E-19	10.1547	0.1293	2.1082	1.4923 E-10	8.2051
ventralprecentral	$1.7845 \text{E}{-58}$	16.2047	$3.0286 ext{E-77}$	17.5451	2.2394 E-60	17.1839
dorsalprecentral	2.9083E-12	8.0932	$8.9452 \text{E}{-}04$	4.4590	1.4512 E-09	7.9235
postcentral	3.67853E-91	21.4986	9.34051E-104	22.1393	6.9834E-34	14.0134
supramarginal	2.2905 E-06	6.7810	0.5924	-0.2945	$1.8542 \text{E}{-}07$	6.9384
parsopercularis	3.9368E-76	19.0572	3.843E-72	18.5329	3.083E-04	5.3823
parstriangularis	7.2744E-77	19.5782	5.8573E-31	13.9374	2.0273E-37	14.4676
rMFG	2.3846E-27	12.2940	2.0371E-07	7.8460	0.3643	0.3823
cMFG	4.0274E-26	11.0042	2.83632E-07	6.9027	9.02834E-19	9.1881

across time to perform the Wilcoxon sign rank test. The red marked regions in the table are highlighted to show no significance (P-value>0.05) and are omitted when plotting the curves in Figure 5 as described (Method - Revealing delay-dependent value represents the rank based test statistic with positive values reflecting larger real contributions compared with shuffled contributions. This is shown for the causal model (pre-production period), causal model (during production period), and anticausal model, respectively. Curves of each individual electrode and test trial are considered one sample, and are averaged Table 2: Statistics of data in Figure 4 and 5. Per anatomical region P-value and Z-value are reported for Wilcoxon sign rank test between the each regions' contribution and the shuffled model's contribution (control curves in Figure 5. The Zcontribution of different cortical regions from the trained ECoG to speech model - Visualizing per region temporal contribution receptive field).

	Causal vs. Anticausal		Causal during vs pre	
Anatomical region	P-value	Z-value	P-value	Z-value
cSTG	2.6789E-17	9.6711	4.718E-04	3.696
rSTG	0.0343	-2.9457	6.2075E-04	4.7427
mMTG	3.2252E-13	9.0928	4.5863E-04	-4.0475
cMTG	0.3930	1.0021	0.2718	-1.1957
rMTG	1.8511E-04	5.1625	1.0173E-10	-8.9283
ventralprecentral	2.8012E-15	-10.0562	8.2757E-05	5.0475
dorsalprecentral	0.6492	0.2967	5.5615E-04	-3.4394
postcentral	3.0581E-08	-6.1286	0.3037	1.7462
supramarginal	1.9928E-07	6.0301	4.8257E-06	-6.0274
parsopercularis	8.6228E-18	-10.0274	0.5922	0.1582
parstriangularis	0.0162	3.9003	3.2532E-32	-12.4583
rMFG	0.0021	-4.9475	2.5714E-04	-5.0131
cMFG	0.0045	3.9862	3.0747E-09	-7.0652

Table 3: Statistics of data in Figure 4 and 5. Per anatomical region P-value and Z-value are reported for Wilcoxon sign rank test between the causal (during production period) model and the anticausal model (The positive/negative Zvalues represent the direction of the contribution where positive values denote anticausal greater than causal), as well as the causal model between duringand pre- epochs (The positive/negative Z-values represent the direction of the contribution where positive values denote during production greater than preproduction). Curves of each individual electrode and test trial are considered as one sample, and are averaged across the time epoch to perform the Wilcoxon sign rank test. The red marked regions in the table are highlighted to denote no significance (P-value>0.05).

bioRxiv preprint doi: https://doi.org/10.1101/2021.12.06.471521; this version posted December 7, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

Anatomical region	Causal (pre)	Causal (during)	Anticausal
cSTG	_	-352	168
rSTG	-	-256	-
mMTG	-176	-	240
cMTG	-	-	-
rMTG	-192	-	312
ventralprecentral	-196	-208	280
dorsalprecentral	-192	-184	144
postcentral	-248	-256	192
supramarginal	-120	-	184
parsopercularis	-248	-280	232
parstriangularis	-240	-336	264
rMFG	-248	-304	-
cMFG	-248	-304	208

Table 4: Peak time of each anatomical region curves in Figure 5 a,b,c. Each column reports the peak time of the temporal receptive field curves for the causal model (pre-production), causal model (during production), and anticausal model, respectively. The peak of each region is calculated based on the averaged curve (averaged across trials and electrodes within the region).