

1 Disruption of natural killer cell homing as a biomarker in persons  
2 aging with or without HIV

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15

16

17 Running title: NK cells in PWH

18 Title character count: 16

19 Abstract word count: 200

20 Text character count: 19965

21 Reference count: 59

22 Figure/table count: 5

23

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33 Keywords:

34 Funding acknowledgement: This research was supported by National Institutes of

35 Health (NIH) grants: R01AI120828, R01AI143457, R01AI161010 (to R.K.R.). We also

36 acknowledge support from the CVVR Flow Cytometry and Harvard University Center for

37 AIDS Research Advanced Laboratory Technologies Core (P30 AI060354).

38

39

40 **Abstract**

41 Natural killer (NK) cells are critical modulators of HIV transmission and disease. While  
42 recent evidence suggests a loss of NK cell cytotoxicity during aging, a compound  
43 analysis of NK cell biology and aging in persons with HIV (PWH) is lacking. We set out  
44 to perform one of the first large comprehensive analyses of people aging with and  
45 without HIV to determine NK phenotypic changes during aging and how these changes  
46 are modulated while aging with HIV. Utilizing high-dimensional polychromatic flow  
47 cytometry we analyzed 30 immune-related proteins spanning broad functions such as  
48 trafficking, activation/inhibition, NK specific receptors, and memory/checkpoint receptors  
49 on peripheral NK cells from health donors, PWH with viral suppression, and viremic  
50 PWH. NK cell phenotypes are dynamic across the age span but are significantly altered  
51 in HIV and ART and with co-factors such as CMV. Specifically, NK cells in healthy  
52 aging show increasing levels of  $\alpha 4\beta 7$  and decreasing CCR7 expression during aging, a  
53 phenomenon nearly perfectly reversed in PWH. These HIV-associated trafficking  
54 changes could be in part due to NK cell recruitment to HIV reservoir formation in  
55 lymphoid tissue or failed mucosal signaling in the HIV-infected gut, but regardless  
56 appear to be tight biomarkers of age-related NK cell changes.

57

## 58 **Introduction**

59 Advances in combination antiretroviral drug therapy (cART) and early intervention led to  
60 HIV becoming a chronic illness and an increase in life expectancy of people with HIV  
61 (PWH)(1-3). Consequently, the population of PWH are aging, with those over the age of  
62 50 accounting for over 20% of PWH worldwide(4) and 51% in the United States(5).  
63 However, the necessity of long-term cART poses a potentially serious problem as PWH  
64 age as its impact on the immune system is still not completely understood(6). Chronic  
65 inflammation is suggested to be a leading factor of morbidity during aging(7) and PWH  
66 exhibit this chronic inflammation despite long-term viral suppression(7). Furthermore,  
67 aging PWH are at higher risk of age-related comorbidities, such as cardiovascular  
68 disease, and polypharmacy is common, which can lead to potential drug-drug  
69 interactions(8). The progression and burden of age-related comorbid conditions and  
70 multimorbidity in people aging with HIV (PAWH) differs proportionally in several ways to  
71 the general uninfected population, however the mechanisms and impact of viral directed  
72 immune responses is still not completely understood.

73 Natural killer (NK) cells, potent innate immune cells important in viral and tumor  
74 surveillance and immunoregulation, have been shown to play a critical role in HIV(9).  
75 Specific killer immunoglobulin-like receptors (KIRs) and human leukocyte antigen (HLA)  
76 combinations have been shown to be highly effective at control and protection from HIV  
77 infection(10,11). Additionally, it has been shown that high NK cell functional capacity is  
78 closely associated with inhibited HIV transmission(12,13). Interestingly, in non-human  
79 primate models of simian Immunodeficiency virus (SIV), NK cells are also shown to be  
80 highly plastic and undergo large shifts in trafficking to lymph nodes and/or gut mucosal

81 sites(14-16). However, NK cells have also been shown to become exhausted during  
82 chronic HIV infection with typical signs being increased frequency of CD56<sup>dim</sup> CD16<sup>+</sup> NK  
83 cells but a decrease in functional responses(17) and a loss of Siglec-7 expression(18).  
84 Furthermore, aging has also been characterized by chronic low-grade inflammation,  
85 alterations and dysfunction in adaptive immune responses, and changes in innate  
86 immune cells(19,20). NK cells in aging show similar dysfunctions that are seen in  
87 chronic HIV infection, namely an increase in CD56<sup>dim</sup> CD16<sup>+</sup> proportions but lower  
88 functional capacity(21-23) which results in an increased risk of infection(24). In addition  
89 to an increase in raw numbers of NK cells with age they also have reduced proliferative  
90 potential(21), decreased surface expression of the activating receptors NKp30 and  
91 NKp46(21), modulated KIR expression(21), loss of Siglec-7 and Siglec-9  
92 expression(25), an accumulation of senescent cells that may be a result of age-related  
93 decline in NK cytotoxicity(26-28), and age-related trafficking changes that are directly  
94 responsible for increased susceptibility to certain pathogens(29).

95       To understand mechanisms underlying the interactions between NK cells, aging  
96 and HIV control, we examined NK cell phenotypic changes in PWH either on effective  
97 ART or untreated across a broad age span. We developed a high-dimensional flow  
98 cytometry comprehensive panel to measure 30 immune-parameters consisting of  
99 trafficking markers, NK cell receptors, activating/inhibitory receptors, and senescent cell  
100 markers. By defining HIV and aging specific NK cell perturbations, this will allow for the  
101 development of novel approaches to limit or reverse innate immune dysfunction, alter  
102 trajectories of co-morbidities and improve clinical outcomes in PAWH.

103

## 104 **Results**

### 105 **Study design and cohort demographics**

106 For our analysis we utilized a cohort of 135 samples collected by the Hawai'i Aging with  
107 HIV-1 Cohort (HAHC) at University of Hawai'i, comprised of healthy donors (HD; n =  
108 49), PWH on treatment (cART; n = 61), and PWH off treatment (Viremic PWH; n = 25).  
109 The three groups had similar age ranges with HD having a median age of 48.01 years  
110 (32.48 – 73.48), cART having a median age of 52.99 years (26.67 – 73.34), and Viremic  
111 PWH having a median age of 42.29 years (22.28 – 78.07) (Supplemental Table 1). Sex  
112 proportion, duration of known infection, viral load, and other clinical information can be  
113 found in Supplemental Table 1. High-dimensional polychromatic flow cytometry was  
114 utilized for this study and used to quantify surface protein expression of a broad array of  
115 receptors. The panel designed covered proteins that broadly fell into (i) trafficking  
116 receptors, (ii) activation/inhibition receptors, (iii) adaptive/memory markers, and (iv)  
117 immune exhaustion markers (Supplemental Table 2).

118

### 119 **NK cells in aging healthy donors show paucity of receptor repertoires**

120 To determine the impact of HIV on NK cells during aging, we first examined HIV  
121 uninfected donors (HD) in two age stratifications (under the age of 45; and over the age  
122 of 50) to establish a baseline “aged phenotype” of NK cells in the absence of known HIV  
123 infection. The median age of HD was 48.01 years old with a range of 32.48 – 73.48  
124 years old. We focused our analyses on the dominant blood phenotype of cytotoxic  
125 CD56<sup>dim</sup> CD16<sup>+</sup> NK cells. Representative gating strategies for each group are shown in  
126 Supplemental Figure 1. Generalized Linear Modeling (GLM) with bootstrap resampling

127 was employed to examine the log-odds of protein expression being a predictor of age  
128 group (Figure 1A). GLM analysis results indicated that CD127 (IL-7R $\alpha$ ) increase with  
129 aging is a significant predictor of aging in HD ( $p = 0.021$ ; Figure 1A). In contrast, lower  
130 CD8 $\alpha$  ( $p = 0.021$ ) and CCR7 ( $p = 0.042$ ) expression are significant predictors of  
131 younger people (Figure 1A) while KIR3DL1S1 showed a trend towards younger  
132 individuals ( $p = 0.063$ ; Figure 1A). Interestingly, CCR7 was a significant predictor ( $p =$   
133  $0.042$ ) and negatively correlated with age ( $R = -0.5219$ ,  $p = 0.0005$ ; Supplemental  
134 Figure 2D), while the gut-homing marker  $\alpha 4\beta 7$  directly correlated with age ( $R = 0.4057$ ,  
135  $p = 0.0085$ ; Supplemental Figure 2D) but was not a predictor of age in the GLM analysis  
136 ( $p = 0.978$ ; Figure 1A). This surprising finding suggested that changes in NK cell  
137 homing repertoires may be associated with age. We also sought to utilize  
138 dimensionality reduction methods to examine the high-dimensional data on a 2-  
139 dimensional projection to interrogate whether the two age groups cluster independently.  
140 We first used Multi-Dimensional Scaling (MDS) and found that both age groups largely  
141 overlapped, highlighting that NK cells in aging remain phenotypically consistent during  
142 aging (Figure 1B). Barnes-Hut implementation of t-Distributed Stochastic Neighbor  
143 Embedding (bh-SNE) analysis further indicated that the two age groups largely overlap  
144 with minimal distinct clusters (Figure 1C). Relative expression overlaying of bh-SNE  
145 maps shows that the predictors identified previously, CD127, CD8 $\alpha$ , and CCR7, largely  
146 do not show distinct clustering except for CCR7 which show a clearly distinct NK subset  
147 expressing high levels (Figure 1C; CD127 Top Right, CD8 $\alpha$  Bottom Left, and CCR7  
148 Bottom Right). We finally examined the significant predictors from the GLM analysis  
149 using violin plots (Figure 1D) to visualize expression levels between the two age groups

150 and find that expression levels are consistent with their predictions with CD127 showing  
151 higher expression in aging while CD8 $\alpha$ , CCR7, and KIR3DL1S1 showing decreased  
152 expression in the younger cohort. Importantly, each of these clusters and groupings  
153 supported the GLM and standard correlative analyses indicating roles for CD8 $\alpha$  ,  
154 CD127, and changes in homing for NK cells during aging.

155

156 **NK cell aging phenotypes in PWH diverge in people over 50 compared to**  
157 **uninfected controls**

158 Next, we sought to determine the impact of HIV infection on the NK cell aging  
159 phenotype seen in HD. We examined two groups of PWH: those that were either on  
160 cART or not on treatment (Viremic) at the time of sampling (Supplemental Table 1).  
161 Using the same GLM with bootstrap resampling as before, we first examined PWH  
162 compared to HD for those under the age of 45. Surprisingly, there were few significant  
163 predictors of HIV status in this age group (Figure 2), with only PD-1 and HLA-DR being  
164 significant when HD were compared to cART ( $p = 0.021$  and  $p = 0.021$ , respectively;  
165 Figure 2A). When comparing HD and Viremic under the age of 45, NKG2C and  $\alpha\beta 7$   
166 were potential predictors of HIV ( $p = 0.084$  and  $p = 0.084$ , respectively; Figure 2B)  
167 status while PD-1 was a predictor of the HD group ( $p = 0.042$ ; Figure 2B). Interestingly,  
168  $\alpha\beta 7$  had a significant negative correlation with age in both the cART (Supplemental  
169 Figure 3D) and Viremic groups (Supplemental Figure 4D), which is inverse to the  
170 correlation seen in HD aging (Supplemental Figure 2D), again suggesting that aging  
171 with HIV causes a shift in NK cell trafficking. In contrast to the under 45 group, many  
172 proteins emerged as significant predictors of both HIV groups for the over 50 group. For



173 PWH on cART over the age of 50, CD2, CCR7, and  $\alpha 4\beta 7$  emerged as significant  
174 predictors compared to HD ( $p = 0.014$ ,  $p = 0.014$ , and  $p = 0.031$ , respectively; Figure  
175 2C). These predictors were consistent in the Viremic group ( $p = 0.021$ ,  $p = 0.021$ ,  $p =$   
176  $0.021$ , respectively; Figure 2D), in addition the proteins CCR5, CD85j, CCR7, and HLA-  
177 DR were also potential predictors of Viremic PWH compared to HD ( $p = 0.0945$ ,  $p =$   
178  $0.021$ ,  $p = 0.021$ , and  $p = 0.084$ , respectively; Figure 2D). All together these results  
179 again strongly indicate NK cell trafficking is modulated in HIV and aging, as shown by a  
180 decreased expression of the gut-homing marker  $\alpha 4\beta 7$  and increased expression of the  
181 lymph node homing marker CCR7. Broadly this change could be considered a reversal  
182 of the expected healthy aging homing repertoire of NK cells.

183

#### 184 **HIV viral load and duration of known infection predicts NK cell repertoires in** 185 **persons aging with HIV**

186 NK cell changes in functional capacity, phenotype, exhaustion, and trafficking have  
187 been previously shown to correlate with viral load(30-34). Due to these previously  
188 described correlations, we next aimed to evaluate potential correlates among HIV viral  
189 load and observed NK phenotypes in the Viremic group, independent of age.  
190 Interestingly, viral load did not correlate with NK cell frequency (Figure 3A),  
191 activation/checkpoint markers (Figure 3B), nor NK cell receptors (Figure 3C).  
192 Surprisingly, only CCR7 significantly correlated with viral load ( $R = 0.4804$ ,  $p = 0.0275$ ;  
193 Figure 3D). None of the other trafficking markers showed significant correlations;  
194 however,  $\alpha 4\beta 7$  did show a positive trend with viral load ( $R = 0.3758$ ,  $p = 0.0932$ ; Figure

195 3D). These analyses reinforce the fact that even independent of aging, HIV infection  
196 and ongoing virus replication influences NK cell homing and potential tissue localization.

197         Given the duration of HIV infection may play a role in altered NK cell phenotype  
198 in PWH, we performed correlation analysis between NK cell markers and duration of  
199 known infection for PWH with or without cART (Figure 4). Unexpectedly, a significant  
200 positive correlation with duration of known infection and frequency of NK cells in PWH  
201 on cART was observed (Figure 4A). Interestingly, only 2B4 showed a positive trend with  
202 duration of infection among Viremic PWH ( $R = 0.3918$ ,  $p = 0.0790$ ; Figure 4B). NK  
203 specific receptors did not correlate with duration of infection, except for NKp46 in the  
204 cART group showing a weak negative correlation (Figure 4C), and correlations between  
205 duration of known infection and NK trafficking were not observed (Figure 4D).  
206 Collectively, these data suggest duration of infection could influence the NK cell  
207 repertoire, but rather age and viremia are predictors of the HIV-associated trafficking  
208 change.

209

### 210 **Elevated CMV IgG titers is linked to higher expression of several NK cell aging** 211 **phenotypes in those over 50**

212 NK cells are known to undergo phenotypic and functional changes following CMV  
213 infection, mainly through the expansion of an adaptive NK pool that are CD57<sup>+</sup> NKG2C<sup>+</sup>  
214 (35-37). To determine if age-related changes observed are due to CMV infection we  
215 evaluated plasma anti-CMV IgG antibody titers in all cohort groups. Consistent with  
216 known data on CMV and HIV co-infections(38), CMV antibody titers were elevated in  
217 PWH compared to HD (Supplemental Table 1). The CMV+ HD over 50 years of age had

218 higher proportions of NK cells expressing CD57, NKG2C, CD127, PD-1, and CD85j  
219 (Figure 5A), which could represent an expanded adaptive NK subset previously  
220 described by our group and others(35-37,39). Interestingly, we did not see a significant  
221 impact of CMV on  $\alpha 4\beta 7$  or CCR7 in HD (Figure 5B-5D). However, increased CCR5  
222 expression is observed with CMV titers in both HD age groups (Figure 5E) and  
223 positively correlates with age (Supplemental Figure 5D). Overall, these data suggest  
224 that CMV is a modulator of adaptive NK cells and other NK cell receptors, but changes  
225 in PWH are largely dominated by age and HIV status (Supplemental Figure 8).  
226 Specifically, HIV and age drive the CCR7/ $\alpha 4\beta 7$  trafficking change independent of CMV  
227 (Supplemental Figures 3,4 6-8). Even with the limited data within range we do see a  
228 significant negative correlation in the cART group between CXCR6 expression and  
229 CMV IgG titer ( $R = -0.3721$ ,  $p = 0.0072$ ; Supplemental Figure 6D). However, we do not  
230 see the same correlation in the Viremic group for CXCR6 ( $R = 0.3404$ ,  $p = 0.1311$ ;  
231 Supplemental Figure 7D). Chord plots are consistent with these findings as well,  
232 showing that it appears that HIV is the main driver of NK phenotypic changes in both the  
233 cART and Viremic groups (Supplemental Figure 8).

234

## 235 **Discussion**

236 Delineating meaningful changes in NK cell profiles during aging and in PWH has  
237 remained poorly understood, limiting a complete understanding of the cellular changes  
238 provoked following infection that may result in subsequent secondary disease states.  
239 To help minimize this gap we used 28-color polychromatic cytometry to robustly  
240 characterize cell surface changes in the NK cell repertoire of healthy persons and  
241 persons aging with HIV on and off cART. Indeed, we found one of the tightest  
242 predictors of how the global NK cell repertoire changes during healthy aging can be  
243 predicted solely by increasing  $\alpha 4\beta 7$  expression. Furthermore, we find a total reversal of  
244 this phenomenon in PWH.

245 Aging has been found to increase the CD56<sup>dim</sup> CD16<sup>+</sup> of NK cells while  
246 decreasing functional capacity and even further contributing to systemic  
247 inflammation(24). Our data demonstrated a well conserved aging NK phenotypic profile  
248 consisting of NK cell specific, activation and altered checkpoint specific receptors.  
249 Interestingly, a highly distinctive trafficking pattern was identified with NK cells in aging  
250 consisting of decreased lymph node homing via downregulation of CCR7, in conjunction  
251 with increased gut mucosae homing via upregulated  $\alpha 4\beta 7$ . Previous reports have  
252 identified age-associated dysfunction in the gut integrity resulting in microbial  
253 translocation and macrophage dysfunction(40). Our data could suggest that increased  
254 recruitment of NK cells to the gut mucosae could be one mechanism for maintaining gut  
255 homeostasis during aging. Further investigation into the cytolytic capabilities of  
256 trafficked NK cells to the gut mucosae can provide more robust insights into the  
257 identified trafficking repertoire.

258 Introduction of cART therapy has dramatically lengthened the lifespan of PWH,  
259 resulting in a novel cohort crucial to understanding HIV pathogenesis. One of the most  
260 interesting findings in this study was the modulation of NK cell trafficking pattern with  
261 HIV infection. Regardless of cART treatment, NK cells from PWH showed a significant  
262 decrease in  $\alpha 4\beta 7$  expression with a trend towards increased CCR7, potentially  
263 indicating a reduction of NK cell trafficking to the gut, but instead mobilizing to the lymph  
264 nodes, perhaps due to lymphoid follicles being reservoirs for both HIV and SIV(41).  
265 Despite the effectiveness of cART, HIV can survive in follicular helper T cells(42-44),  
266 remaining undetected by immunoregulatory activation. Using non-human primate  
267 models, previous reports have compared the follicular regions in chronically infected  
268 SIV pathogenic and non-pathogenic hosts and saw an increase of NK cell recruitment in  
269 elite controllers(15,45). It is possible that in PWH, NK cells are mobilizing to the lymph  
270 nodes in attempt to clear residual HIV infected T cells.

271 Another component of our study was to compare putative biomarkers for aging  
272 NK cells in infected and uninfected individuals under 45 or over 50. Surprisingly, we  
273 found that younger persons had NK cell repertoires that are relatively similar regardless  
274 of HIV status, but noticeable disparities became clearly apparent in those over the age  
275 of 50. CD2, CCR7, and  $\alpha 4\beta 7$  were significant predictors compared to healthy donors  
276 for PWH on cART, with the addition of CCR5 and CD85j for untreated PWH. Our data  
277 suggests that neither aging nor HIV alone severely impacts the NK cell repertoire, but  
278 perhaps rather a combination of the two does. Aging and HIV are associated with a  
279 variety of similar cellular perturbations relating to function, proliferation, and  
280 exhaustion(23). A comparison of elderly HIV-infected individuals to healthy donors in

281 the same age cohort found increased rates of hypertension, hypertriglyceridemia, and  
282 other disorders in HIV-infected individuals(46). It is possible that a combination of the  
283 two have a synergistic and significant effect on the NK cell phenotypic profile.  
284 Altogether, these data highlight the age-associated NK cell phenotypic changes in HIV-  
285 infected adults and their potential clinical implications.

286 In line with previous research, we identified NK cells from CMV infected aged adults  
287 to have higher expression of CD57 and NKG2C(35,36,47), a unique subset of adaptive  
288 NK cells primed by the virus. Although we found age-related NK cell phenotypic  
289 changes also associated with CMV status, there was minimal impact of CMV infection  
290 specifically on NK trafficking receptor expression in healthy donors. Specifically, there  
291 was no significant difference between CMV+ and CMV- aged individuals for NK cell  
292  $\alpha 4\beta 7$ , CCR7, or CXCR6. These data suggest that age-related changes in the NK cell  
293 repertoire do occur due to CMV infection but are largely independent from those  
294 induced by HIV.

295 Importantly, we acknowledge several limitations in our study which were  
296 predominantly focused on limited treatment information and sample quality issues. Due  
297 to the age or other limitations of some samples, we were unable to obtain CMV viral  
298 shedding from cryopreserved urine samples. In addition, treatment history, specific  
299 antiretroviral drugs used, and any potential interruptions in treatment were not known for  
300 all participants. Additionally, as many study participants were on ART regimens that are  
301 no longer common care, the impact of other drugs on NK cells and chronic inflammation  
302 will need to be further examined.

303           Altogether, we provide an aging NK cell phenotypic profile, delineate the  
304 modulations induced by HIV infection, and highlight the combined dysfunctional  
305 properties of HIV and aging. To our knowledge, this is the first study of NK cell  
306 phenotypes in aging PWH in both treated and untreated aged cohorts. NK cells have  
307 been linked to the control and disease progression of HIV making it imperative to  
308 understand the natural trafficking patterns of these cells and the subsequent  
309 modulations following HIV infection. Further research will be needed to evaluate the  
310 functional capabilities of aged NK cells in PWH on and off cART and the consequences  
311 of these changes.

312

313

## 314 **Materials and Methods**

### 315 **Flow cytometry**

316 Flow cytometry staining of PBMCs was performed using a 28-color surface phenotype  
317 panel (Supplemental Table 2). Briefly, cryopreserved PBMCs were rapidly thawed at 37  
318 °C and immediately transferred to complete media pre-warmed to 37 °C. After  
319 centrifugation, PBMCs were incubated for 30 minutes at 4 °C in Blue Live/Dead  
320 (Invitrogen, Carlsbad, CA). PBMCs were then washed and surface stained for 20  
321 minutes at room temperature. Samples were then stained immediately after with  
322 Streptavidin-BUV395 as a secondary antibody for biotinylated CD159c for 15 minutes at  
323 RT. PBMCs were washed once more and fixed with 2% paraformaldehyde. All  
324 acquisitions were carried out on a FACS Symphony cytometer (BD Biosciences) and  
325 analyzed with FlowJo v10.7.1 (BD Biosciences). The gating strategy used to define NK  
326 cells was as follows: Live, Lineage<sup>-</sup> (CD19<sup>-</sup> CD14<sup>-</sup> CD4<sup>-</sup> CD3<sup>-</sup>) CD56<sup>dim</sup> CD16<sup>+</sup>.

327

### 328 **CMV antibody titer quantification**

329 Matched cryopreserved plasma samples were used for quantification of Human  
330 Cytomegalovirus IgG and IgM antibody titers (Quest Diagnostics; order code 6732;  
331 Marlborough, MA, USA).

332

### 333 **HIV viral load quantification**

334 Plasma viral loads were assessed using Amplicor HIV-1 Monitor Ultrasensitive Assay  
335 (Roche Molecular System, Branchburg, NJ).

336



### 337 **Multidimensional analyses**

338 Multidimensional scaling (MDS)(48) was performed using the CytoGLMM R package as  
339 described by package documentation(49) using median summarized expression values  
340 for each sample. Uniform Manifold Approximation and Projection (UMAP)(50) was  
341 performed with a beta version of the CytoDRAV(51) application that implements the  
342 uwot v0.1.10 R package(52). Input data were transformed using the inverse hyperbolic  
343 sine function with a cofactor of 5. Default parameters were used and results visualized  
344 with the ggplot2 R package(53).

345

### 346 **Statistical analysis**

347 Spearman correlation analysis was performed in Prism v9.0 (GraphPad Software).  
348 Generalized linear modeling (GLM) with bootstrapping was performed with the  
349 CytoGLMM R package(54) with R v3.6.3(55). GLM with bootstrapping was performed  
350 according to previously published studies(56,57). Briefly, compensated FCS files of  
351 CD56<sup>dim</sup> CD16<sup>+</sup> NK cells were exported from FlowJo and loaded into R. Data were  
352 transformed using the hyperbolic sine transformation with a cofactor equal to 5 and a  
353 random sampling of 2000 cells from each sample, or all cells if the sample contained  
354 fewer than 2000 cells, was performed. The *cytoglm* function of the CytoGLMM package  
355 was used to perform 1000 iterations of bootstrap resampling with replacement followed  
356 by logistic regression. Results are reported as log-odds of a given marker predicting  
357 binary group assignment (HD and ART; HD and PWH; HD under 45 and HD over 50).  
358 Corrections for multiple testing were performed using the Benjamini-Hochberg method  
359 for controlling false discovery rate(58). P-values reported are BH adjusted p-values. An

360 adjusted p-value cutoff of 0.05 was used to determine significance. Median  
361 fluorescence intensities (MFI) were exported from FlowJo for comparing marker  
362 expression between groups. These data were not transformed prior to statistical  
363 analysis. Mann-Whitney *U*-tests were used to compare MFI levels between groups.

364

### 365 **Study approval**

366 Cryopreserved human peripheral blood mononuclear cells (PBMCs) were obtained from  
367 the Hawai'i Aging with HIV-1 Cohort (HAHC) at University of Hawaii. Details of the  
368 HAHC study enrollment and clinical characterization were previously published(59) and  
369 approved by the University of Hawai'i Institutional Review Board. All participants signed  
370 institutional review board–approved informed consent forms prior to participation. CD4  
371 lymphocyte counts were obtained in real-time by standard technique from a local CAP  
372 certified reference laboratory. Demographic and clinical details of study participants are  
373 provided in Supplemental Table 1.

374

375

## 376 **Acknowledgements**

377 This research was supported by National Institutes of Health (NIH) grants:  
378 R01AI120828, R01AI143457, R01AI161010 (to R.K.R.). We also acknowledge support  
379 from the CVVR Flow Cytometry and Harvard University Center for AIDS Research  
380 Advanced Laboratory Technologies Core (P30 AI060354). The authors thank Michelle  
381 Lifton for technical assistance and panel design in flow cytometry.

382

## 383 **Conflicts of interest**

384 All authors report no financial conflicts of interest.

385

## 386 **Author Contributions**

387 L.C.N. and R.K.R. designed the study. K.W.K., S.V.S., O.A.L., T.A.P., M.J.C., M.M.,  
388 and S.B. performed the experiments and analyzed data. K.W.K. performed the  
389 bioinformatic analyses. C.M.S. provided samples critical to the study. All authors  
390 contributed to the writing of the manuscript.

391

392

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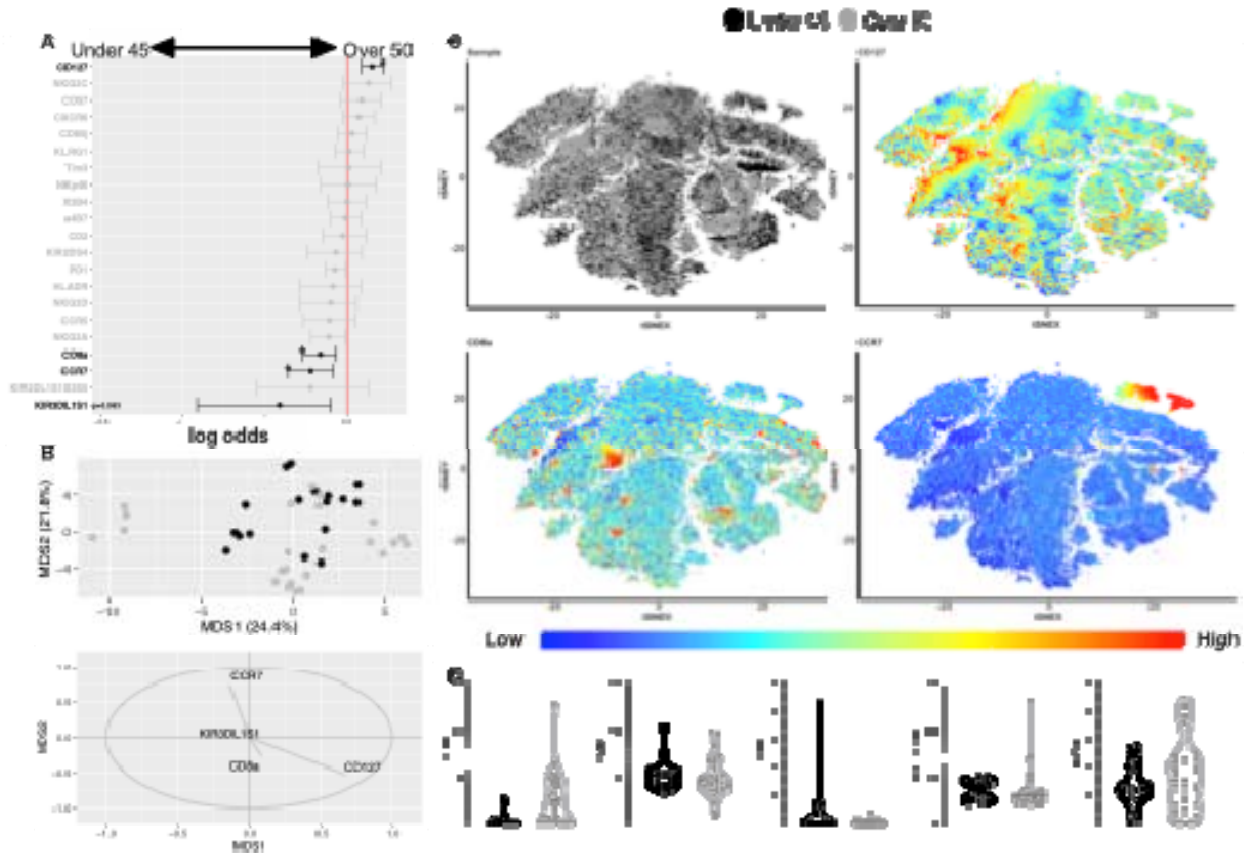
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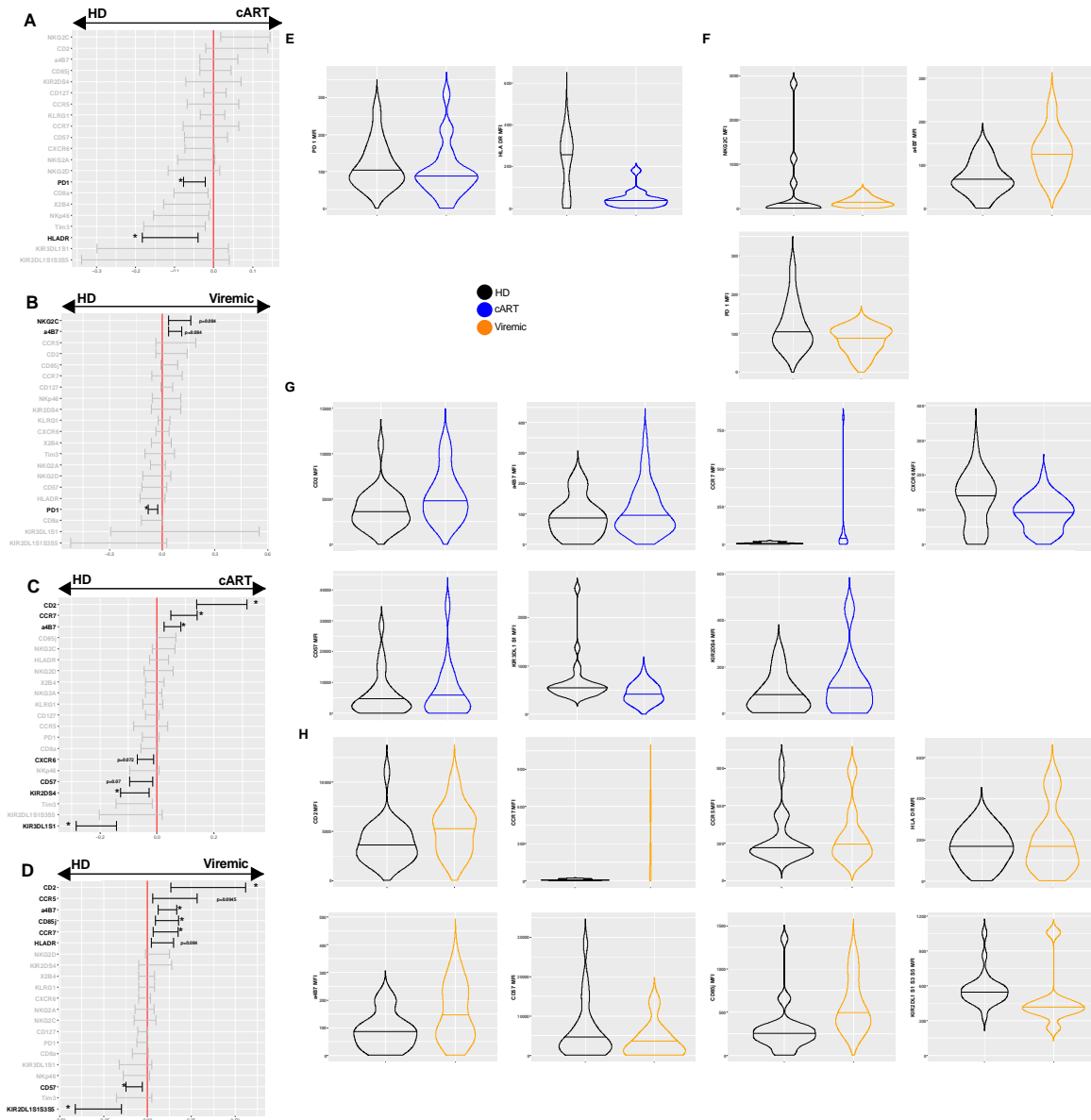
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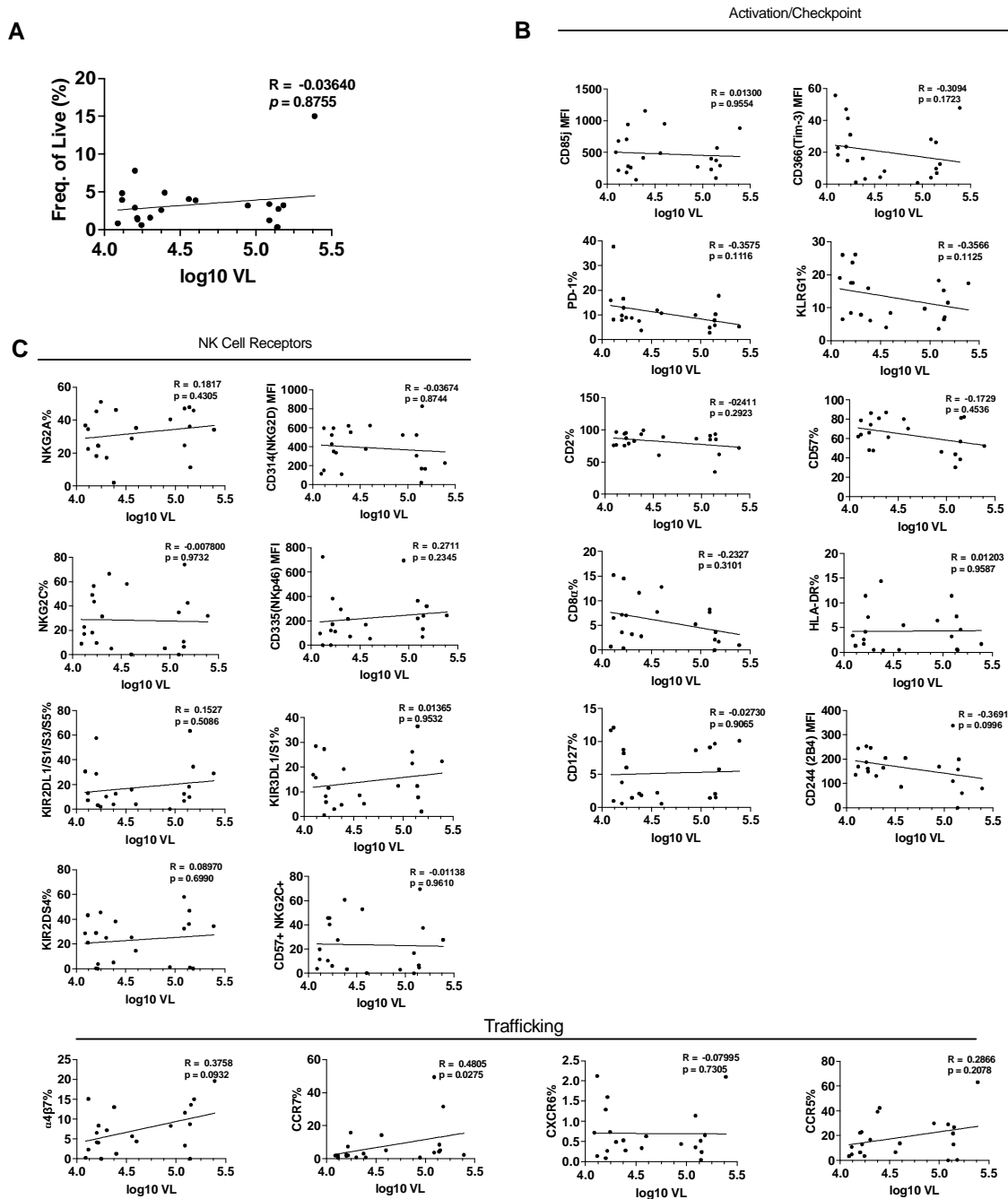
555  
556 **Figure 1.** Analysis of aging on CD56<sup>dim</sup> CD16<sup>+</sup> NK cells. (A) Generalized linear model  
557 (GLM) with bootstrap resampling was performed to determine significant predictors of  
558 age group in HD. (B) Multidimensional scaling (MDS) was performed to determine  
559 clustering in dimensionality reduced projection. Black circles represent HD under the  
560 age of 45 and grey circle represent HD over the age of 50. MDS loadings are displayed  
561 for the significant predictors from A. (C) t-Distributed Stochastic Neighbor Embedding (t-  
562 SNE) was performed using sampling approach from A. Relative marker expression  
563 overlays use colorimetric scale from low (blue) to high (red) relative expression of the  
564 protein. (D) Violin plots of median fluorescence intensity (MFI) for significant predictors  
565 of age group. \*BH adjusted  $p < 0.05$

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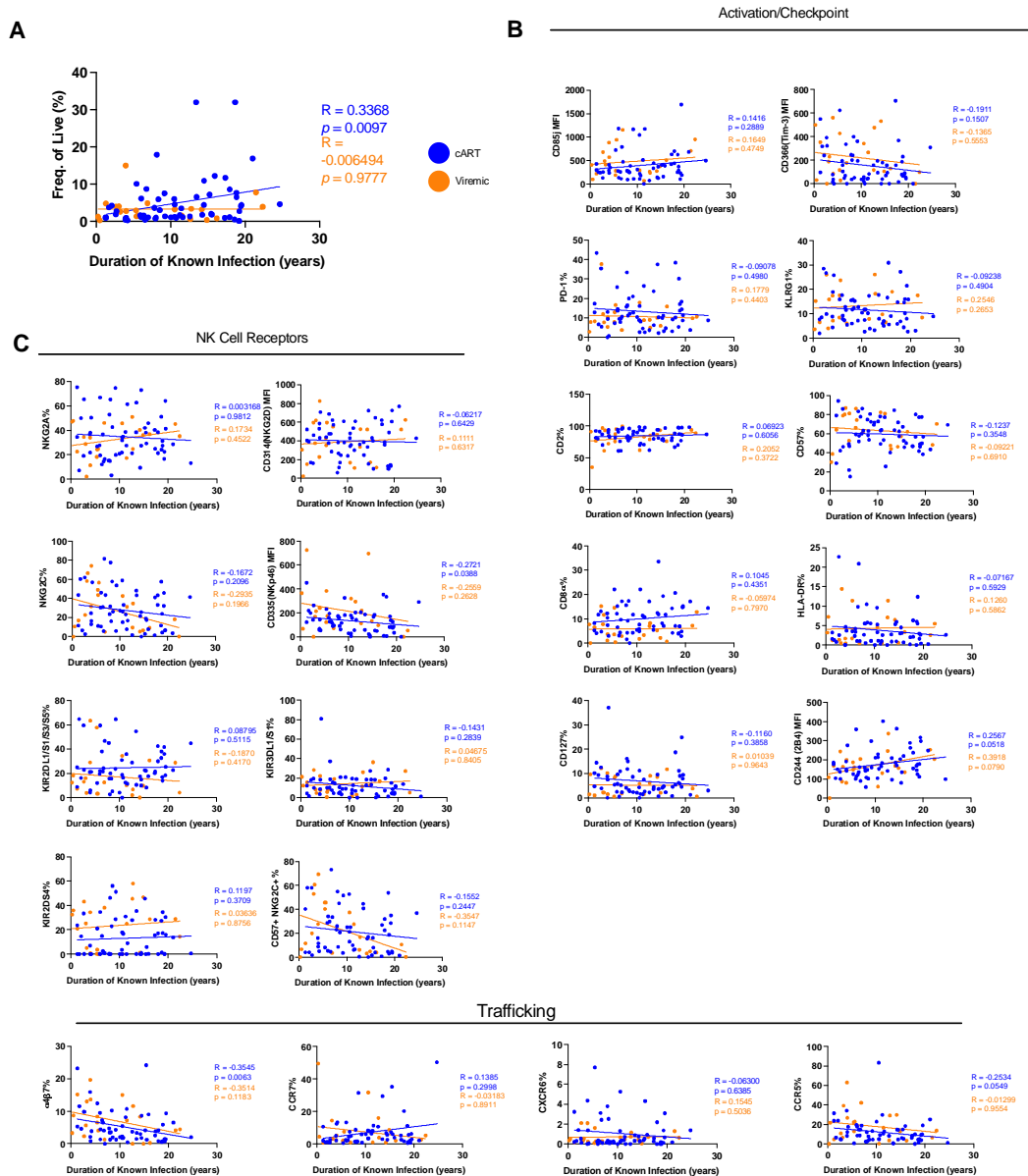
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568 **Figure 2.** GLM with bootstrap resampling was used to determine predictors of (A) HD  
 569 and cART under the age of 45; (B) HD and Viremic PWH under the age of 45; (C) HD  
 570 and cART over the age of 50; and (D) HD and Viremic PWH over the age of 50. Violin  
 571 plots show expression levels of highlighted markers. (E) HD and cART under 45. (F) HD  
 572 and Viremic PWH under 45. (G) HD and cART over 50. (H) HD and Viremic PWH over  
 573 50. Black circles represent HD, blue circles represent cART, and orange circles  
 574 represent Viremic PWH. \*BH adjusted  $p < 0.05$



575  
 576 **Figure 3.** Correlation analysis of HIV viral load and protein expression levels on  
 577 CD56<sup>dim</sup> CD16<sup>+</sup> NK cells in PWH. (A) CD56<sup>dim</sup> CD16<sup>+</sup> NK cell frequency of live  
 578 lymphocytes. (B) Activation and/or checkpoint receptors. (C) NK cell receptors including  
 579 NCRs and NKG2 family receptors. (D) Trafficking receptors including mucosae-homing

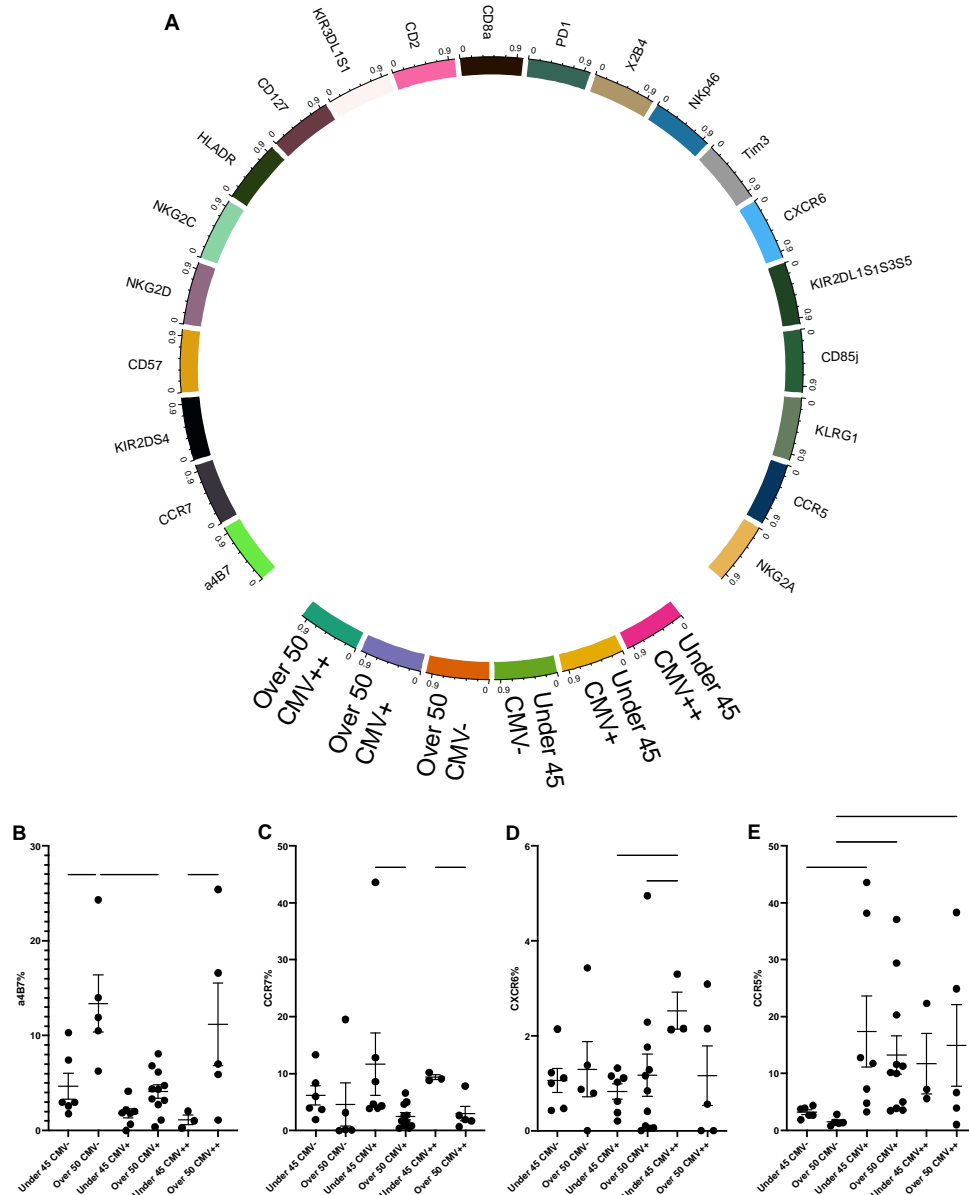
580 marker  $\alpha 4\beta 7$  and lymph-node homing marker CCR7. Spearman R and  $p$ -values noted  
581 on each graph.



582

583 **Figure 4.** Correlation analysis of duration of known infection in PWH and NK protein  
 584 expression. (A) CD56<sup>dim</sup> CD16<sup>+</sup> NK cell frequency of live lymphocytes. (B) Activation  
 585 and/or checkpoint receptors. (C) NK cell receptors including NCRs and NKG2 family  
 586 receptors. (D) Trafficking receptors including mucosae-homing marker  $\alpha 4\beta 7$  and lymph-  
 587 node homing marker CCR7. Blue circles, lines, and text represent results of cART.  
 588 Orange circles, lines, and text represent results of Viremic PWH. Spearman correlation  
 589 was used for determining correlation and significance.





590

591 **Figure 5.** Analysis of NK protein expression based on age and CMV status. (A) Chord  
592 plot showing proportion of median fluorescence intensity for all markers in HD,  
593 delineated by age group and CMV titer. Expression levels of trafficking markers in HD  
594 based on CMV titer comparing the expression of  $\alpha 4\beta 7$  (B), CCR7 (C), CXCR6 (D), and  
595 CCR5 (E). \*  $p < 0.05$ ; \*\*  $p < 0.01$ . Kruskal-Wallis test followed by Benjamini Hochberg  
596 FDR correction for multiple testing.