

Magnetic Stimulation Allows Focal Activation of the Mouse Cochlea

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1 **ABSTRACT**

2 Cochlear implants (CIs) strive to restore hearing to those with severe to profound hearing
3 loss by artificially stimulating the auditory nerve. While most CI users can understand speech in
4 a quiet environment, hearing that utilizes complex neural coding (e.g., appreciating music) has
5 proved elusive, probably because of the inability of CIs to create narrow regions of spectral
6 activation. Several novel approaches have recently shown promise for improving spatial
7 selectivity, but substantial design differences from conventional CIs will necessitate much
8 additional safety testing before clinical viability is established. Outside the cochlea, magnetic
9 stimulation from small coils (micro-coils) has been shown to confine activation more narrowly
10 than that from conventional micro-electrodes, raising the possibility that coil-based stimulation
11 of the cochlea could improve the spectral resolution of CIs. To explore this, we delivered
12 magnetic stimulation from micro-coils to multiple locations of the cochlea and measured the
13 spread of activation utilizing a multi-electrode array inserted into the inferior colliculus;
14 responses to magnetic stimulation were compared to analogous experiments with conventional
15 micro-electrodes as well as to the responses to auditory monotonies. Encouragingly, the extent of
16 activation with micro-coils was ~60% narrower than that from electric stimulation and largely
17 similar to the spread arising from acoustic stimulation. The dynamic range of coils was more
18 than three times larger than that of electrodes, further supporting a smaller spread of activation.
19 While much additional testing is required, these results support the notion that coil-based CIs can
20 produce a larger number of independent spectral channels and may therefore improve functional
21 performance. Further, because coil-based devices are structurally similar to existing CIs, fewer
22 impediments to clinical translational are likely to arise.

23 INTRODUCTION

24 More than 430 million people worldwide, ~5% of the world's population, live with
25 disabling hearing loss, making it the most common sensory deficit(1). The World Health
26 Organization (WHO) estimates that this number will grow to 700 million by 2050(1). There are
27 significant associations between hearing impairment and reduced quality of life, increased risk
28 for dementia, and/or an inability to function independently(2). In the most common type of
29 hearing loss, called sensorineural hearing loss (SNHL), there is often a loss of the sensory hair
30 cells that transduce sound-induced vibrations within the cochlea into neural activity; this loss
31 precludes the use of sound-amplifying hearing aids as a potential treatment. Instead, a cochlear
32 implant (CI) can be implanted to electrically stimulate spiral ganglion neurons (SGNs), the
33 neurons downstream from hair cells. CIs are generally effective for enabling speech
34 comprehension, but typically to only about 65% of normal(3-6). In addition, there is a significant
35 reduction in CI performance when background noise levels are high and most CI users also
36 cannot appreciate music(7, 8). Thus, despite the unquestionable benefit provided by existing
37 devices, there is room for improvement.

38 The limitations in performance are thought to arise largely from the small number of
39 independent spectral channels created by CIs. In contrast to the large number of independent
40 channels arising from the ~30,000 SGNs in the intact human cochlea, CIs produce as few as 8-10
41 independent spectral channels(7, 9-11). This is smaller than the number of stimulating electrodes
42 in most existing devices(7, 9). The discrepancy is thought to arise from several intrinsic
43 limitations associated with electric stimulation of the cochlea. For example, the high conductivity
44 of the perilymph within the scala tympani leads to an expansive spread of current in the
45 longitudinal direction (along the tonotopic axis). In addition, the high electrical resistance of the

46 bony wall separating the scala tympani from targeted SGNs within the organ of Corti
47 necessitates an increase in the amplitude of stimulation that results in an even wider spread of
48 activation. Excessive spread from individual electrodes can result in overlap of fields from
49 neighboring electrodes, thereby reducing spectral specificity.

50 Several novel approaches are under consideration to increase the number of independent
51 channels created by CIs. For example, the genetic insertion of light-sensitive ion channels into
52 SGNs allows their activation to be controlled by light instead of electric fields, resulting in
53 narrower channels(12, 13). Another approach uses electrode arrays that penetrate directly into
54 the auditory nerve (14-16); the reduced separation between electrodes and targeted neurons,
55 along with the elimination of the high resistance barrier, enables better control of activation of
56 the central axons of SGNs. While considerable progress has been made with both approaches,
57 the use of genetic manipulations and/or new surgical techniques raise a number of important
58 safety concerns that will need to be addressed prior to large-scale clinical implementation.

59 Recent studies have shown that magnetic stimulation from small, implantable coils,
60 referred to as micro-coils, can effectively drive neurons of the CNS while confining activation to
61 a narrow region around each coil(17-20). A coil-based approach is potentially attractive for CIs
62 because magnetic fields are highly permeable to most biological materials, e.g., the bony walls of
63 the scala tympani, and thus activation of SGN processes would not require the same increase in
64 stimulation amplitude required for electric stimulation. Further, the spread of magnetic fields in
65 the scala tympani is less sensitive to the high conductivity of perilymph, further helping to
66 confine activation. While the strength of the fields induced by micro-coils is small,
67 computational studies suggest that the spatial gradient of the resulting fields is suprathreshold
68 (17-22), and simulations specific to the cochlea suggest a multi-turn spiral coil should produce

69 fields strong enough to activate SGNs (23). It is not clear however whether spiral coil designs are
70 best for use in a high-count, multi-coil array designed for the cochlea, as they might reduce the
71 flexibility of the implant and thus could increase the risk for iatrogenic trauma during insertion.
72 Instead, simple bends in micro-wires, recently shown to be effective for the activation of CNS
73 neurons (17-20), may offer an attractive alternative to multi-turn spiral coil designs because they
74 allow coils sizes to be minimized, and thus the flexibility and overall structure of coil-based CIs
75 can be made to match existing implants, thereby reducing a barrier to implementation.

76 Here, we investigate the ability of magnetic stimulation from bent-wire micro-coils to
77 drive the auditory pathway. We evaluated the efficacy of stimulation and the resulting spectral
78 spread of activation by recording with a multi-electrode array positioned along the tonotopic axis
79 of the inferior colliculus (IC), an auditory nucleus downstream from the cochlea. We show that
80 bent-wire micro-coils can indeed drive auditory circuits effectively and further, that the resulting
81 activation from single micro-coils is significantly narrower than that from traditional electrodes,
82 i.e., approaching the relatively narrow spread produced by acoustic stimuli. Control experiments
83 verified that responses from coils were indeed magnetic in origin and that they did not arise from
84 activation of hair cells. Taken together, our results suggest that further investigation of coil-based
85 CIs is warranted as they may produce a larger number of independent spectral channels than
86 electrode-based CIs and thus could lead to improved clinical outcomes.

87 **RESULTS**

88

89 **Responses to acoustic stimulation in hearing animals**

90 A 16-channel recording array was implanted along the tonotopic axis of the contralateral
91 inferior colliculus (IC) in anesthetized mice and used to measure responses to (ipsilateral)
92 acoustic, electric and magnetic stimulation of the cochlea (Figs. 1A and B; MATERIALS AND
93 METHODS). Acoustic stimuli consisted of a series of single frequencies ranging from 8 to 48
94 kHz, chosen to cover much of the tonotopic range represented in the central division of the
95 mouse IC(24). Multi-unit activity (MUA) recorded from each of the sites in the IC was
96 quantified by analog representation, and the cumulative discrimination index, d' (d-prime), was
97 calculated to construct spatial tuning curves (STCs; MATERIALS AND METHODS). Typical
98 STCs of the responses to acoustic stimulation were narrow; examples for 8, 16, and 32 kHz are
99 shown in Figs. 1D-F, respectively. At d' equal to 1, the channels with the lowest threshold ('best'
100 site, BS) were 12, 9, and 5, respectively (indicated by white stars), which is consistent with the
101 known tonotopic organization of the mouse IC(24). The data for all animals and frequencies
102 tested also show this tonotopic organization (Fig. 1G).

103 Consistent with previous studies, increases in supra-threshold sound pressure levels
104 (SPL) typically led to increases in the magnitude of the IC response before saturating at higher
105 intensity levels (Fig. 1H). The dynamic range (DR), defined as the range of stimulus amplitudes
106 for which response strength was between 10% and 90% of the maximum response at BS,
107 averaged 25.96 ± 9.17 dB, consistent with previous reports in mice(25-27). To facilitate
108 comparison of DRs across experiments, especially subsequent responses to magnetic and electric
109 stimulation, the stimulus amplitude that elicited 50% of the maximum response was normalized
110 to a level of 0 dB and the plots of response magnitude vs. stimulus level for individual animals

111 were overlaid (Fig. 1H inset, gray lines). This provides a visual representation of DRs across a
112 given modality; the solid red line is the best-fit sigmoidal curve to all data points, and the dotted
113 lines indicate the 10- and 90-% levels, providing a measure of the DR for the visual overlay of
114 the inset.

115

116 **Robust activation of the auditory system by magnetic stimulation**

117 After recording responses to acoustic stimulation, the cochlea was surgically exposed and
118 lesioned to prevent the possibility of acoustic responses arising from magnetic or electric
119 stimulation. For lesioning, distilled water was injected through the round window membrane (see
120 MATERIALS AND METHODS) to induce an osmotic shock to the hair cells(28). Recordings of
121 auditory brainstem responses (ABRs)(29, 30) in mice following intracochlear water instillation
122 demonstrated minimal or no responses up to 75 dB SPL or higher (Fig. 2B).

123 Following confirmation of severe to profound sensorineural hearing loss on ABR testing,
124 we measured IC responses to both magnetic or electric stimulation, delivered to both basal and
125 apical cochlear. Prior to capturing IC responses, ABRs were recorded each time a coil or
126 electrode was inserted (or reinserted) into the cochlea (see MATERIALS AND METHODS) to
127 provide a relatively quick validation that a given surgical procedure had not damaged the early
128 auditory pathways or the implant itself. ABRs to electric stimulation (eABRs; Fig. 2D) were
129 generally similar to those reported previously in mice(31) and other laboratory animals(32), with
130 multiple peaks occurring within the first few milliseconds following stimulus onset. ABR
131 waveforms to magnetic stimulation (mABR; Fig. 2C) also consisted of a number of peaks,
132 although the amplitudes of the early and later peaks were about the same. The overall appearance
133 of mABRs was closer to that of acoustically evoked ABRs (aABRs) vs. eABRs, although we did

134 not attempt to quantify this observation. Regardless, the generation of robust ABRs to magnetic
135 stimulation strongly suggested that micro-coils can indeed drive the early auditory pathways, and
136 therefore, we proceeded to collect responses from the IC.

137 Consistent with the presence of robust mABRs, magnetic stimulation also elicited robust
138 neural activity in the IC (Fig. 3A and B). The typical raw response to each modality is shown in
139 Supplementary Fig. 1. Responses to magnetic stimulation were consistent with the tonotopic
140 organization of the cochlea. Responses to stimulation of the basal turn were strong in the ventral
141 portion of the IC, the region known to process high frequencies, with little or no responses
142 observed outside this region (Fig. 3A). Across animals ($n = 6$), the average characteristic
143 frequency for BSs (extrapolated from Fig. 1G) in response to micro-coil based stimulation of the
144 basal turn was 37.36 ± 4.00 kHz. In contrast, magnetic stimulation of the apical turn elicited only
145 a narrow portion in the dorsal portion of the IC (Fig. 3B), known to process lower sound
146 frequencies. Averaging across the population, the characteristic frequency of the BSs for
147 magnetic stimulation of the apical turn was 8.44 ± 6.58 kHz.

148 In contrast to the relatively narrow spectral spread of IC activity arising from magnetic
149 stimulation, the spread from electric stimulation was considerably wider (Figs. 3C and D),
150 consistent with findings in previous studies(14, 33-36). Nevertheless, BSs again showed
151 evidence of tonotopic organization, i.e., stimulation of the basal turn was centered in the ventral
152 portion of the IC while stimulation of the apex resulted in activation centered in the dorsal
153 portion.

154 **Spatially confined responses elicited by magnetic stimulation**

155 To quantify the spread of excitation across modalities, we measured the width of the $d' =$
156 1 trace (i.e., the distance between the ventral-most and dorsal-most electrodes exhibiting a supra-
157 threshold response) at the stimulus amplitude for which the BS reached d' levels of 2 and 4 (the
158 red arrow in Fig. 3B illustrates a sample calculation for a d' level of 2) (see MATERIALS AND
159 METHODS). The spatial spread, i.e., the distance between the dorsal-most and ventral-most
160 responding electrodes, was then converted into a spectral spread, i.e., the width of the
161 corresponding frequency bands, derived from Fig. 1G (Figs. 4A and B).

162 In all cases, i.e., for both basal and apical stimulation locations and both d' levels
163 (moderate and high discrimination levels), the spread of activation from electric stimulation was
164 wider than that from acoustic stimulation ($p < 0.05$; Fig. 4A and B). The spread from electric
165 stimulation was also significantly wider than that from magnetic stimulation for all stimulation
166 conditions, except for the specific case of apical stimulation at $d' = 2$. When the spread of
167 magnetic stimulation was compared to that from auditory stimuli, there were no statistical
168 differences for stimulation of the basal turn (both moderate and high discrimination levels) ($p >$
169 0.05) and the apical turn for the moderate discrimination level ($d' = 2$). The spread from
170 magnetic stimulation was significantly wider than that from auditory stimulation only for apical
171 stimulation and only for the high discrimination level ($p = 0.031$).

172 The number of peak ‘tips’ observed in the STCs differed across the stimulus modalities
173 (Fig. 4C). For example, 8 of the 9 STC profiles generated in response to electric stimulation
174 exhibited two or more tips leading to an average of 2.33 per profile. In contrast, 7 of the 9 STC
175 profiles for magnetic stimulation had only a single peak tip, with the remaining two profiles
176 showing double tips (average of 1.22). All profiles for auditory stimulation had a single peak

177 only. The number of tips for electric stimulation was statistically higher than that from magnetic
178 stimulation ($p = 0.007$) or from acoustic stimulation ($p < 0.001$). The difference between the
179 number of tips for magnetic vs. acoustic stimulation was not statistically significant ($p > 0.05$).
180 Taken together, these results indicate that the spread of activation was significantly narrower for
181 magnetic vs. electric stimulation, regardless of the location at which stimulation was delivered.
182 While much additional testing is required, the narrower spectral spread from magnetic
183 stimulation suggests the possibility that a coil-based CI may create narrower and more
184 independent spectral channels, thus offering the potential for improved performance of an
185 implant.

186

187 **Larger dynamic range with magnetic stimulation**

188 The rate-level functions to magnetic and electric stimulation (measured at the BS) are
189 shown in Fig. 5A and B, respectively. The average DR across the population was smaller for
190 magnetic stimulation (10.05 ± 4.18 dB V, $n = 6$; basal stimulation, measured at the BS) than for
191 acoustic stimulation (25.96 ± 9.17 dB SPL; 32 kHz tone; $p < 0.001$) but larger than that for
192 electric stimulation (3.24 ± 0.99 dB mA; $p = 0.0031$) (Fig. 5D), suggesting better discrimination
193 resolution for stimulus intensity. The differences in DR did not arise from different neuronal
194 response levels as the maximum response evoked by magnetic stimulation was comparable to
195 that evoked by acoustic or electric stimulation, i.e., no statistically significant differences
196 between any pair of modalities (Fig. 5C). Note that in some experiments with magnetic
197 stimulation, response rates did not saturate, even at the maximum stimulation levels tested here
198 (Fig. 5A), suggesting that the DRs reported here may be underestimated.

199 **Responses to micromagnetic stimulation in hair cell ablated animals.**

200 As a final control experiment, we confirmed that magnetic and electric responses were
201 similar in animals with chronically lesioned hair cells. These experiments used gentamicin to
202 cause complete loss of inner and outer hair cells in the basal half of the cochlea (Fig. 6A)(37).
203 After 10 days, hearing was evaluated with acoustic ABR and distortion product otoacoustic
204 emissions (DPOAEs). DPOAEs were absent and ABR thresholds were beyond the range of the
205 acoustic system or markedly increased (> 75 dB SPL, data not shown); small amounts of residual
206 ABR can sometimes arise from acoustic cross-talk to the contralateral unlesioned ear(38). From
207 these gentamicin-treated animals ($n = 2$), responses to electric and magnetic stimulation in the
208 basal turn remained robust (Fig. 6B). Immunostaining in the basal turn at 32 kHz for hair cells
209 (Myo7a, white) and actin-containing supporting structures (Phalloidin, red) showed no remaining
210 inner and outer hair cells (Fig. 6A), eliminating the possibility that observed responses to
211 magnetic or electric stimulation arose from inadvertent activation of hair cells.

212 **DISCUSSION**

213 We used a combination of ABR measurements and multiunit recordings to demonstrate
214 that magnetic stimulation, delivered from a bent-wire micro-coil inserted into the cochlea, can
215 effectively drive the auditory pathways. Magnetic stimulation evoked multi-peaked ABRs,
216 suggesting that coil-based stimulation was indeed capable of activating SGN processes that, in
217 turn, led to activation of central nuclei in the auditory pathway. Multi-electrode recordings
218 obtained in the IC showed that responses were robust, narrowly confined, and tonotopically
219 organized. The responses from basal and apical micro-coil locations were narrow and showed
220 little or no overlap between them, whereas responses from electric stimulation showed
221 considerable overlap for stimulation at the same locations. The number of peak tips observed in
222 the ST profiles generated from the IC recordings was also significantly lower for magnetic
223 stimulation than for electric stimulation. suggesting the spread to encompass additional cochlear
224 turns was less with magnetic stimulation. Taken together, the results strongly suggest that coil-
225 based activation of the cochlea is more narrowly confined than that of electric stimulation.

226 A number of control experiments and experimental safeguards were used to verify that
227 observed responses arose from magnetic stimulation of SGNs and not from other factors. First,
228 the impedance from the coil to ground was monitored before and after each experiment and
229 remained consistently above 200 M Ω , eliminating the possibility that observed responses arose
230 from direct electrical activation, e.g., from leakage of the stimulus current into the surrounding
231 perilymph. Second, the DC resistance across the coil leads was also monitored regularly and it
232 too remained stable ($\sim 10 \Omega$), eliminating the possibility that a broken coil might be activating
233 neurons capacitively. Third, measurements of the temperature change produced by coils were
234 previously shown to be less than 1° C(17, 20), greatly reducing the possibility that observed

235 responses arose from some type of temperature shock. The fourth set of control experiments
236 arose from concerns that observed responses could be mediated through activation of hair cells,
237 e.g., micro-movements of the coil during the delivery of stimulus current could result in
238 transmission of a pressure wave through the scala tympani(39). To eliminate this possibility, we
239 injected DI water into the cochlea after completing the measurements of auditory responses. The
240 resulting osmotic shock led to loss of responses to subsequent auditory stimuli, even at SPL
241 levels of 75 dB, strongly suggesting a loss of hair cell functionality. To provide even stronger
242 assurance, we injected gentamicin into the cochlea in a subset of animals; robust responses to
243 magnetic and electrical stimulation persisted in these animals but not to acoustic stimulation.
244 Post-mortem immunochemical staining of the cochlea revealed a complete absence of hair cells,
245 eliminating the possibility that responses arising from micro-coils were mediated through some
246 type of magneto-acoustic effect.

247

248 **Activation from magnetic stimulation is spatially confined**

249 Previous studies have reported that the spread of current from electrodes inserted into the
250 scala tympani recruits SGNs across spatially extensive regions, thereby limiting the spectral
251 specificity of artificial sound encoding(14, 33-36). For example, a study in cat(14) compared the
252 spectral spread arising from acoustic stimulation to that from monopolar and bipolar electric
253 stimulation by similarly recording neural activity across the tonotopic axis of the IC. Although
254 the spectral spread from bipolar electric stimulation was significantly narrower than that from
255 monopolar stimulation in that study, both were substantially wider than that from acoustic
256 stimulation (8.23- and 4.25-fold, respectively). Another study in mice(40) reported that spatial
257 tuning curves (STCs) for electric stimulation were 3-4 times broader than those for pure tones.

258 Thus, the wide spread of activation from electric stimulation measured here (3.14 times larger
259 than that from monotonies) is in good agreement with previous reports. Computational models
260 that explore the reasons for the lack of confinement with electric stimulation find that the high
261 conductivity of the perilymph in the scala tympani causes significant spread of the electric field
262 arising from each electrode(41-44). In addition, because the SGN processes targeted by
263 stimulation are situated on the other side of the high-resistance bony wall of the scala tympani, it
264 is necessary to employ stronger stimulus amplitudes, thereby exacerbating the spread.

265 In contrast to the electric fields arising from electrodes, the spread of activation from
266 coils measured here was significantly narrower. While our experiments do not identify the
267 reasons for the lower spread, magnetic stimulation is known to have some intrinsic advantages
268 over electrodes and electric stimulation. For example, the physics underlying the generation and
269 spread of magnetic fields (Maxwell's equations) ensures that the induced electric fields are
270 confined to tight regions around the magnetic flux. In addition, the magnetic fields induced by
271 the flow of electric current through coils are highly permeable to all biological materials and thus
272 are relatively impervious to bone and other high-resistance materials within the cochlear
273 environment. As a result, magnetic fields pass readily through the high-resistance bony wall of
274 the scala tympani, without the need to increase stimulation amplitudes (thus, limiting additional
275 spread). Although magnetic fields are not thought to activate neurons directly, time-varying
276 magnetic fields induce electric fields, and therefore magnetic fields 'carry' the electric field
277 across the walls of the scala tympani where they can induce activation of SGN processes.

278 **Improved dynamic range with magnetic stimulation over electric stimulation**

279 In addition to the low spectral resolution associated with electric stimulation, the dynamic
280 range for encoding sound intensity is also limited. For example, the dynamic range for listeners
281 with normal hearing is approximately 120 dB while the dynamic range for CI users is typically
282 restricted to 10–20 dB(45). The small dynamic range for electric stimulation is related in part to
283 the wide spread of activation(46). SGN populations with similar characteristic frequencies
284 encode sound intensity together which allows a wider dynamic range to be perceived at
285 downstream auditory circuits(46-48); simultaneous activation of all such fibers with electric
286 stimulation compresses the DR. The relatively small dynamic range for electric stimulation
287 results in the need for amplitude compression of the acoustic signal with CIs(45). The practical
288 implications of this compression are potential decreases in speech recognition(49, 50),
289 particularly in the presence of increased background noise(51), as well as reductions in sound
290 quality(52, 53).

291 Our measurements suggest that the dynamic range for magnetic stimulation from micro-
292 coils was approximately 3 times greater than that for electrical stimulation. It is likely that the
293 gradual recruitment of SGNs with magnetic stimulation contributed to the wider dynamic range.
294 Further, the responses to magnetic stimulation were not saturated at the peak stimulus amplitudes
295 we used here, suggesting the DR values reported here may be underestimated. The expanded
296 DRs for magnetic stimulation provide additional support for the potential of micro-coil-based
297 CIs to enhance the quality of CI-induced hearing.

298 **Future efforts and limitations of micro-coil stimulation of the cochlea**

299 The ability to create narrow spectral channels with micro-coils, even in the tiny cochlea
300 of the mouse, is encouraging as it suggests that coil-based stimulation has the potential to create
301 a larger number of independent spectral channels in clinical use. Further, the relatively simple
302 and compact bent-wire coils used here raise the possibility that CIs can be manufactured that are
303 structurally similar to existing devices. This too is encouraging because such an approach raises
304 fewer short- and long-term safety concerns. While much additional development and testing is
305 needed, we believe that these findings clearly suggest that further investigation of coil-based
306 stimulation of the cochlea is warranted.

307 Despite the encouraging results in this first assessment of coil-based stimulation of the
308 cochlea, several key elements of micro-coil design and performance will need to be optimized
309 before they can be considered for human trials. For example, the amplitude of the electric current
310 that flowed through the coil was typically quite large, ~770 mA at threshold, raising concerns
311 about power consumption and battery life. While the relatively low impedance of micro-coils
312 (typically ~10 Ω) helps to reduce the $I^2 \times R$ power consumption of coil-based devices and thus
313 compensates somewhat for the high current levels, supplied electric energy for a single magnetic
314 ‘pulse’ (52 μ J) is still considerably higher than that for a single electrical biphasic pulse (245 nJ
315 – based on 700 μ A and 10 k Ω impedance). It is likely that power consumption in future micro-
316 coil devices can be significantly improved using a number of changes that are relatively
317 straightforward to implement. For example, the coil design used here was identical to that used
318 for stimulation of cortex; tailoring the coil design to optimize SGN activation could potentially
319 reduce power consumption by an order of magnitude or more(19, 54). In addition, switching
320 from the platinum-iridium wires used here to higher conductivity materials such as silver or gold

321 could reduce power consumption in half. Finally, the incorporation of magnetic cores into the
322 coil could potentially reduce thresholds by several orders of magnitude. Importantly, future
323 testing will need to take place in animal models whose cochleae better resemble those of
324 humans. Larger size scala tympani associated with such animals will likely require stronger
325 activation thresholds to compensate for the increased distance to targeted neurons, although they
326 will also allow larger wire sizes, possibly offsetting the difference. The high stimulus amplitudes
327 associated with coils also raise concerns about electrical safety, although it is important to
328 remember that the flow of electrical current through the coil is electrically isolated from the
329 surrounding tissue. Advanced control circuits can be incorporated into future designs to further
330 minimize the potential for tissue damage. Even without the electrical concerns, the high current
331 levels required to activate SGNs may produce temperature changes that could exceed safe limits;
332 these too will need to be evaluated prior to chronic use of coil-based implants.

333 Finally, it will also be necessary to build and test devices that incorporate multiple coils
334 to ensure that they can indeed be reliably developed and safely implanted as well as to determine
335 the minimum separation for which coils remain independent. The ability to match the structure
336 and mechanical properties of coil-based CIs will be highly beneficial as it will allow existing
337 fabrication techniques and surgical procedures to be harnessed, thereby facilitating the transition
338 into clinical practice.

339 **MATERIALS AND METHODS**

340 **Animal preparation**

341 All procedures were approved by the Institutional Animal Care and Use Committee of
342 Massachusetts Eye and Ear, and carried out in accordance with the NIH Guide for the Care and
343 Use of Laboratory Animals. CBA/CaJ mice were purchased from Jackson Laboratories or bred
344 in house. Mice of either sex aged 6 – 16 weeks were used in the experiments. Experiments were
345 conducted in an acoustically and electrically isolated walk-in chamber kept at 32 – 36 °C. Mice
346 were anesthetized for the duration of experiments with ketamine (100 mg/kg) and xylazine (10
347 mg/kg). Animals' anesthesia level and heart rate were regularly monitored, and one third of the
348 initial ketamine/xylazine dose (i.e. 33 mg/kg and 3 mg/kg, respectively) was given as needed.

349 The left cochlea was accessed surgically. A postauricular incision was made and the
350 underlying tissue and musculature were dissected to expose the bulla. A bullotomy was
351 performed by carefully rotating a 28 G needle and enlarging the hole with fine forceps to expose
352 the round window. The left pinna with skin and tissue extending into the external auditory canal
353 was cut and removed to expose the tympanic membrane. To access the contralateral inferior
354 colliculus (IC), the postauricular incision was extended to over midline, and a craniotomy was
355 made just caudal to the temporo-parietal suture and to the contralateral side of the midline with a
356 scalpel.

357 **Stimulation**

358 All stimuli were generated using LabVIEW and MATLAB software controlling custom-
359 made system based on National Instruments 24-bits digital input/output boards.

360 *Acoustic stimulation*

361 A custom acoustic system coupled to a probe tube was inserted into the external ear canal
362 close to the tympanic membrane, with two miniature earphones (CDMG150 008- 03A, CUI)
363 serving as sound sources. Acoustic stimuli were pure tone pips of 5 ms duration.

364 *Magnetic and electric stimulation*

365 Magnetic stimulation was presented using custom-made micro-coils (MicroProbes,
366 Gaithersburg, MD), which are highly similar to ones used in previous studies for stimulation of
367 other, non-cochlear regions of the CNS(17, 20). The coil was fabricated by bending a 25 μm -
368 diameter platinum-iridium into a U-shape (Fig. 1C); The length of the coil was 3 mm and the
369 width was 175 μm . The direct current (DC) resistance of the coil was in the range of 8-10 Ω . The
370 coil wire was coated with 5- μm -thick parylene for electrical insulation. Coils were tested before
371 and after each experiment to ensure that there was no inadvertent leak of electric current from the
372 coil to the cochlea. To this end, each coil was submerged in NaCl solution (0.9%) and the
373 electric resistance between one of the coil's terminal ends and an electrode immersed in the
374 solution was measured; resistances above 200 M Ω were considered sufficient for insulation. At
375 least three individual coils with an identical design were tested. To deliver magnetic stimulation,
376 a micro-coil was inserted through the round window, and responses to a range of stimulation
377 parameters delivered to the basal turn were captured. After completion of experiments at the
378 basal turn of the cochlea, a cochleostomy was performed near the apex, allowing analogous
379 experiments to be performed at the apical turn as well. The stimulus was generated by a function
380 generator based on National Instruments 24-bits digital input/output boards and amplified by a
381 voltage amplifier with a gain of 9 V/V and a bandwidth of 70 kHz (PB717X, Pyramid Inc.
382 Brooklyn, NY, USA). The voltage amplifier was powered by a commercial battery (LC-R1233P,
383 Panasonic Corp., Newark, NJ, USA). The stimulus waveform was a positive-going ramp with a

384 rise time of 25 μ s. The fall time was set to 0 μ s but was limited by the sampling rate of the
385 hardware (100 kHz). The amplitude of the waveform from the function generator was 0 V to 1.7
386 V. The output of the amplifier was 0 V to 15.3 V. The peak levels of magnetic stimulation are
387 limited by Joule heating of the small wires that comprise the micro-coils and the resulting
388 potential to induced failure. At a given stimulus strength, the waveform was presented a
389 minimum of 39 times with a pulse rate of 25 pulses/s.

390 Once completed, the coil was removed and replaced with a micro-electrode so that an
391 analogous series of electric stimulation experiments could be performed at the same location.
392 Electric stimulation was delivered in a monopolar configuration. The stimulating electrode was a
393 conical platinum-iridium tip with a resistance of 10 k Ω , a height of \sim 125 μ m and a base diameter
394 of 30 μ m (Microprobes for Life Science, Gaithersburg, MD, USA; PI2PT30.01 A10). An EMG
395 needle was inserted into the neck muscle to serve as a return electrode. At least three individual
396 electrodes with an identical design were tested. Like micro-coils, the stimulating electrodes were
397 inserted through the round window (for basal stimulation) or via cochleostomy in the apical turn
398 for intracochlear stimulation. Electric stimuli were also controlled by the same function
399 generator used for magnetic stimulation. Stimulus waveforms were rectangular biphasic pulses
400 with phase duration of 25 μ s and no inter-phase interval. Stimulation amplitudes ranged from 0
401 μ A to 1,000 μ A. The stimulus for each amplitude was repeated at least 39 times with a pulse rate
402 of 25 pulses/s.

403 Magnetic stimulation responses were assayed first in some animals, whereas electric
404 stimulation responses were assayed first in other animals. The same placements were used for
405 either the micro-coils or the electrodes. We terminated the experiments once the elicited ABR or
406 IC responses to magnetic stimulation were no longer robust. A complete set of basal and apical

407 stimulations were tested in 2 animals, a complete set of only basal stimulation was tested in 4
408 additional animals, and a complete set of only apical stimulation was tested in 1 additional
409 animal.

410 **Data acquisition and analysis**

411 *DPOAE and ABR*

412 DPOAE and ABR were recorded as previously described(55). A custom acoustic system
413 was inserted into the external ear canal close to the tympanic membrane. DPOAEs were
414 measured as ear canal pressure in response to two tones presented into the ear canal (f_1 and f_2 ,
415 with $f_2 / f_1 = 1.2$ and f_1 being 10 dB above f_2) at half-octave steps from $f_2 = 5.66 - 45.25$ kHz, and
416 in 5 dB intensity increments from 10 to 80 dB SPL. DPOAE thresholds were defined as the f_2
417 intensity required to generate a DP response of 10 dB SPL over noise floor. ABR responses to 5
418 ms tone pips were measured between subdermal electrodes (adjacent to the ipsilateral incision, at
419 the vertex, and near the tail), amplified 10,000 times and filtered through a 0.3–3.0 kHz band-
420 pass filter. For each frequency, the sound level starting below the threshold was increased in 5
421 dB-steps and 512 responses. ABR thresholds were defined as the lowest level at which a
422 repeatable waveform could be visually detected.

423 *Inferior Colliculus recordings*

424 Neural activity in the IC was recorded using a 16-channel, single-shank electrode array
425 ($177 \mu\text{m}^2/\text{site}$, center-to-center electrode spacing of $50 \mu\text{m}$; NeuroNexus Technologies, Ann
426 Arbor, MI; A1x16-3mm-50-177). Recordings were collected at a sampling rate of 25 kHz and
427 analyzed offline using custom-written MATLAB scripts. While multi-unit activities (MUAs)
428 were evident in all channels (Fig. S1), distinguishable action potentials could be detected from
429 only a few channels, typically less than 3. Thus, data analysis was based on MUAs, which were

430 quantified by an analog representation of multi-unit activity (aMUA). aMUA reflects the voltage
431 signal power within the frequency range occupied by action potentials (56-60). This approach is
432 advantageous over the more traditional measure of MUA based on thresholding and spike-
433 detection since aMUAs are not biased by free parameters (e.g., threshold levels), and provide a
434 high signal to noise ratio(59). aMUA was measured as follows: (1) To extract MUA, the raw
435 recordings were band-pass filtered between 325 and 6000 Hz (Butterworth IIR); During this
436 process, low-frequency local field potentials and high-frequency electric noise signals were
437 removed (Fig. S1A). (2) the recorded signals prior to 2 ms after stimulus onset were excluded
438 from subsequent analyses due to stimulus artifact (Fig. S1B). (3) The extracted MUA signal was
439 then rectified to take the absolute value of response magnitude over time. In addition, to reduce
440 aliasing artifact, the processed signal was next low pass filtered at 475 Hz (Butterworth IIR), and
441 downsampled from 25 kHz to 12 kHz (Fig. S1C). (4) The area under the curve for the time
442 period 2 – 15 ms following stimulus onset was then calculated.

443 *d-prime analysis*

444 To quantify the change in neural responses to stimulus intensity, the discrimination index,
445 d' (d-prime) was calculated by comparing aMUAs across successive pairs of stimulus levels in
446 each electrode(14, 61). Based on aMUAs to a given stimulus level and those to the next higher
447 level, a d' value with unequal variance was calculated as

$$448 \quad d' = |\mu_a - \mu_b|/\sigma_{rms}$$

449 , where μ and σ_{rms} are the mean aMUA and common standard deviation, respectively(62, 63).

450 The d' values were then accumulated up to each stimulus intensity to calculate the cumulative d' .

451 *Construction of spatial tuning curve (STC)*

452 STCs were used to compare spectral spreads from acoustic, magnetic and electric
453 stimulation. Based on the cumulative d' values, an $n \times m$ matrix was constructed, where n
454 corresponded to stimulus intensity and m to the electrode number. Iso- d' -contour-lines were
455 derived by interpolating the matrix using MATLAB software. In all STCs (Fig. 1D-F, Fig. 3A-
456 D), contours for cumulative d' levels of 1, 2, and 4 are shown. The stimulation threshold was
457 selected as the cumulative d' value of 1, and the best site (BS) was determined from the minima
458 of the $d' = 1$ iso-contour. From the acoustic stimulation, the relationship between tone frequency
459 and BS was plotted and used to interpolate the characteristic frequency of each electrode.

460 The spatial spread of the response was calculated as the distance between the ventral-
461 most and dorsal-most electrodes exhibiting a supra-threshold response ($d' > 1$). Using the
462 characteristic frequency of each electrode obtained from the acoustic stimulation, the spatial
463 spread could then be converted to the spectral spread of cochlear excitation, i.e., the width of the
464 corresponding frequency bands.

465 The spread of excitation was also evaluated by measuring the number of peaks observed
466 in the ST profiles. The number of peaks was measured by the number of isolated electrode
467 groups exhibiting a supra-threshold response ($d' > 1$) at the stimulus intensity that elicited a
468 cumulative d' value of 2.

469 *Statistical evaluation*

470 All data were presented as the mean \pm standard deviation. A student t-test was performed
471 to determine whether the difference between data was significant.

472 **Deafening**

473 After recording acoustic responses, animals were acutely deafened by gently infusing 5
474 μ l of distilled water through the round window to cause osmotic stress(28). Successful deafening

475 was confirmed 10 minutes after injection by re-measuring auditory brainstem response (ABR) to
476 acoustic stimuli – a sharp increase in ABR thresholds (≥ 75 dB SPL) or complete elimination of
477 the waveform was considered evidence that hair cells were no longer functioning. Small amounts
478 of residual ABR were attributed to acoustic cross-over to the contralateral, non-deafened ear
479 (Harrison et al, 2013).

480 To chronically deafen animals through lesions of hair cells, we used the ototoxic
481 aminoglycoside antibiotic gentamicin. The round window niche was exposed in anesthetized
482 animals, and a small piece of gelfoam sponge soaked in 200 μ g gentamicin in distilled water was
483 applied to the round window membrane(37). The skin was closed with sutures. The animal
484 received post-surgical analgesia with meloxicam (2 mg/kg) and buprenorphine (0.05 mg/kg).
485 Ten days after this procedure, the absence (or near absence) of ABR and DPOAE responses were
486 used to confirm successful deafening; these animals were then used for electric and magnetic
487 stimulation experiments.

488 **Cochlear whole mounts and confocal fluorescence immunohistochemistry**

489 After completion of stimulation procedures, deeply anesthetized animals were
490 intracardially perfused with 4% paraformaldehyde (PFA), both cochleae were extracted and
491 processed as previously described(55). PFA was gently perfused through the round and oval
492 windows. Cochleae were post-fixed for 2 hours in 4% PFA and decalcified in 0.12 M
493 (ethylenediaminetetraacetic acid) EDTA for 72 hours. The decalcified spiraling cochleae were
494 microdissected into 4 – 6 pieces, blocked with 5% normal horse serum (NHS) and 0.3% Triton
495 X-100 (TX-100) in PBS for 30 min at room temperature, and immunostained to label hair cells
496 overnight at room temperature with rabbit anti-myosin 7A (1:200, #25-6790 Proteus
497 Biosciences, Ramona, CA) diluted in 1% normal horse serum with 0.3% TX. After washing in
498 PBS, cochlear pieces were incubated with Alexa Fluor 488-conjugated goat anti-rabbit antibody

499 (#A-11008) and Alexa Fluor 647-conjugated phalloidin (#A22287) at 1:200 (Invitrogen,
500 Carlsbad, CA) for 90 min. A cochlear frequency map was created by applying a custom ImageJ
501 plug-in ([https://www.masseyeandear.org/research/otolaryngology/eaton-peabody-](https://www.masseyeandear.org/research/otolaryngology/eaton-peabody-laboratories/histology-core)
502 [laboratories/histology-core](https://www.masseyeandear.org/research/otolaryngology/eaton-peabody-laboratories/histology-core)) to images acquired at low magnification (10x objective) on a
503 fluorescent microscope (E800, Nikon, Melville, NY). Cochlear whole-mounts were subsequently
504 imaged with a confocal microscope (SP8, Leica, Wetzlar, Germany) using a 63x glycerol-
505 immersion objective (1.3 N.A) at the 32 kHz cochlear frequency region.

Supplementary Materials

Fig. S1. IC responses to acoustic, magnetic and electric stimulation

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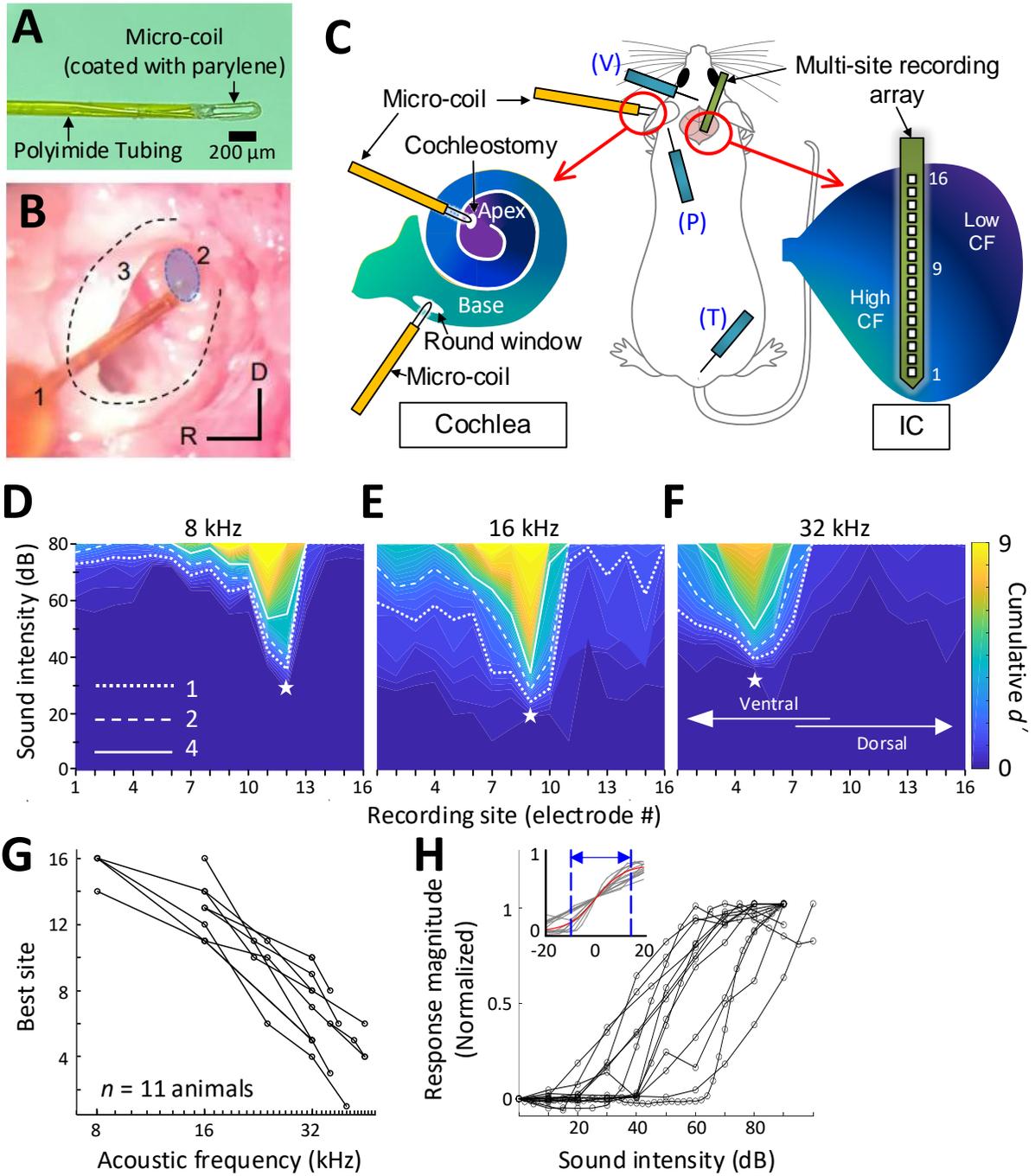


Fig. 1. Response to acoustic stimulation measured in the inferior colliculus (IC)

(A) Photograph of the tip of the micro-coil used in experiments. **(B)** Photograph of the microcoil (1) inserted through the round window of the left cochlea into the basal turn (2, shaded blue). The stapedial artery (3) is visible. The outline of the cochlea is approximated by dashed lines. Axes: R: rostral, D: Dorsal. **(C)** Schematic of the experimental setup depicting the microcoil (orange) inserted into the cochlea (basal and apical turns), the multi-site recording array (green) inserted across the tonotopic axis of the inferior colliculus, and placement of three sub-dermal recording electrodes (blue) into the vertex (V), pinna (P), and tail (T). **(D-F)** Typical spatial tuning curves (STC) of the IC responses to acoustic stimulation (8, 16, and 32 kHz, respectively) recorded from the 16-channel probe positioned in the IC. Response magnitude was quantified with d-prime analysis (see METHODS). The recording site number (x-axis) increases from the IC's ventral to the dorsal end (low to high characteristic frequency). The recording electrode with the lowest threshold (best site, BS) is marked with a white star. Dotted, dashed and solid lines correspond to cumulative d' levels of 1, 2, and 4. **(G)** BS for acoustic stimulation from 8 – 48 kHz; lines connect all data from single animals ($n = 11$). This mapping is used to assign a “characteristic frequency” to each electrode. **(H)** Rate-level functions at BS to 32 kHz normalized to peak rate; individual lines are averaged response from individual animals. Inset plots the same data but normalized such that 50% of the amplitude level that elicited the peak response was assigned the level of 0 dB; the solid red line shows the best-fit sigmoidal curve to all data points.

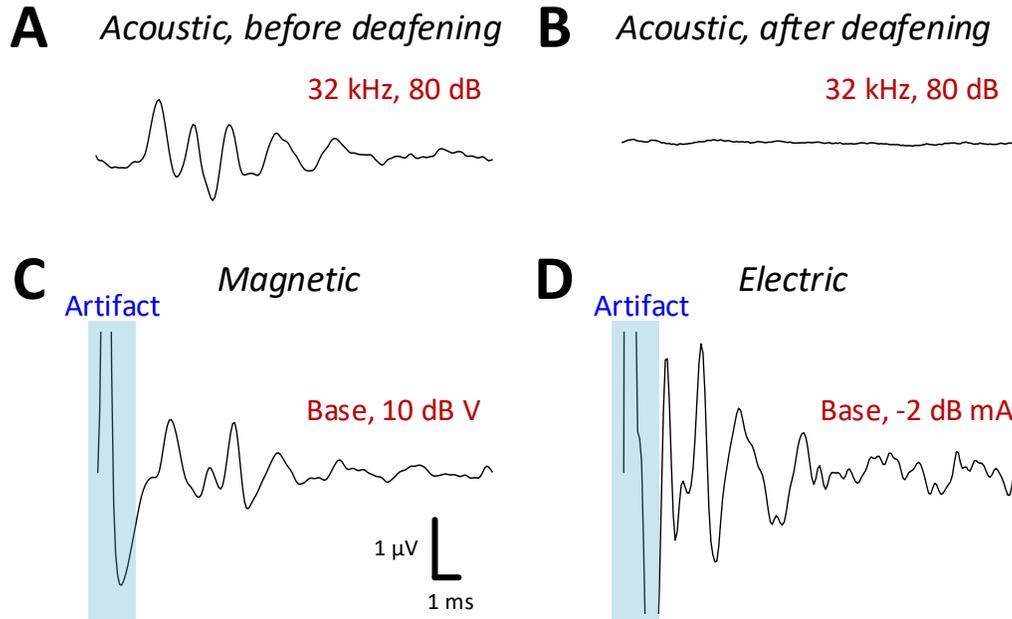


Fig. 2. Auditory brainstem responses (ABRs) in response to acoustic, magnetic and electric stimulation. (A and B) ABR responses to a 32 kHz monotone; A: control, B: after DI water was injected into the cochlear through the round window. (C and D) ABRs from magnetic (C) and electric (D) stimulation (post-deafening). The blue shaded regions identify the portion of the recording obscured by the stimulus artifact.

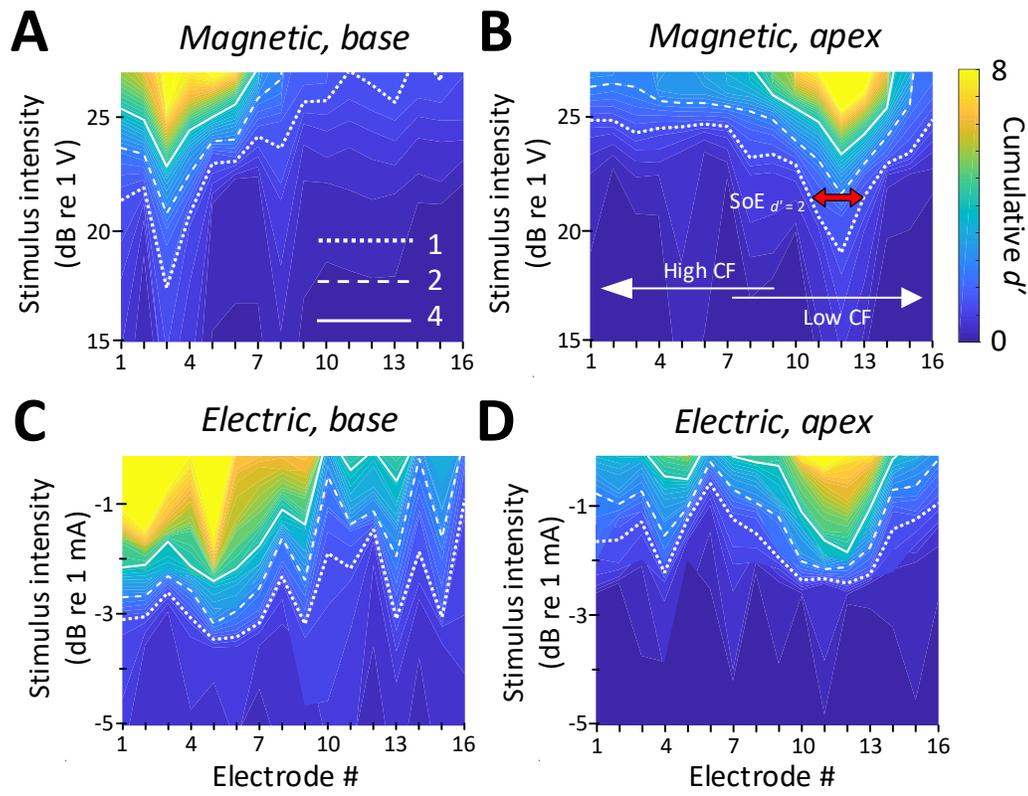


Fig. 3. STCs in response to magnetic and electric stimulation. (A and B) Spatial tuning curves (STCs) in response to magnetic and electric stimulation delivered to the basal turn of the cochlea (aMUA signals quantified with d' -analysis – see text). Dotted, dashed and solid lines are contours for cumulative d' -values of 1, 2, and 4. **(C and D)** STCs in response to magnetic and electric stimulation of the apical turn. The color bar on the right side of panel B applies to all panels. The red arrow in panel B indicates the spread of excitation (SoE – see text).

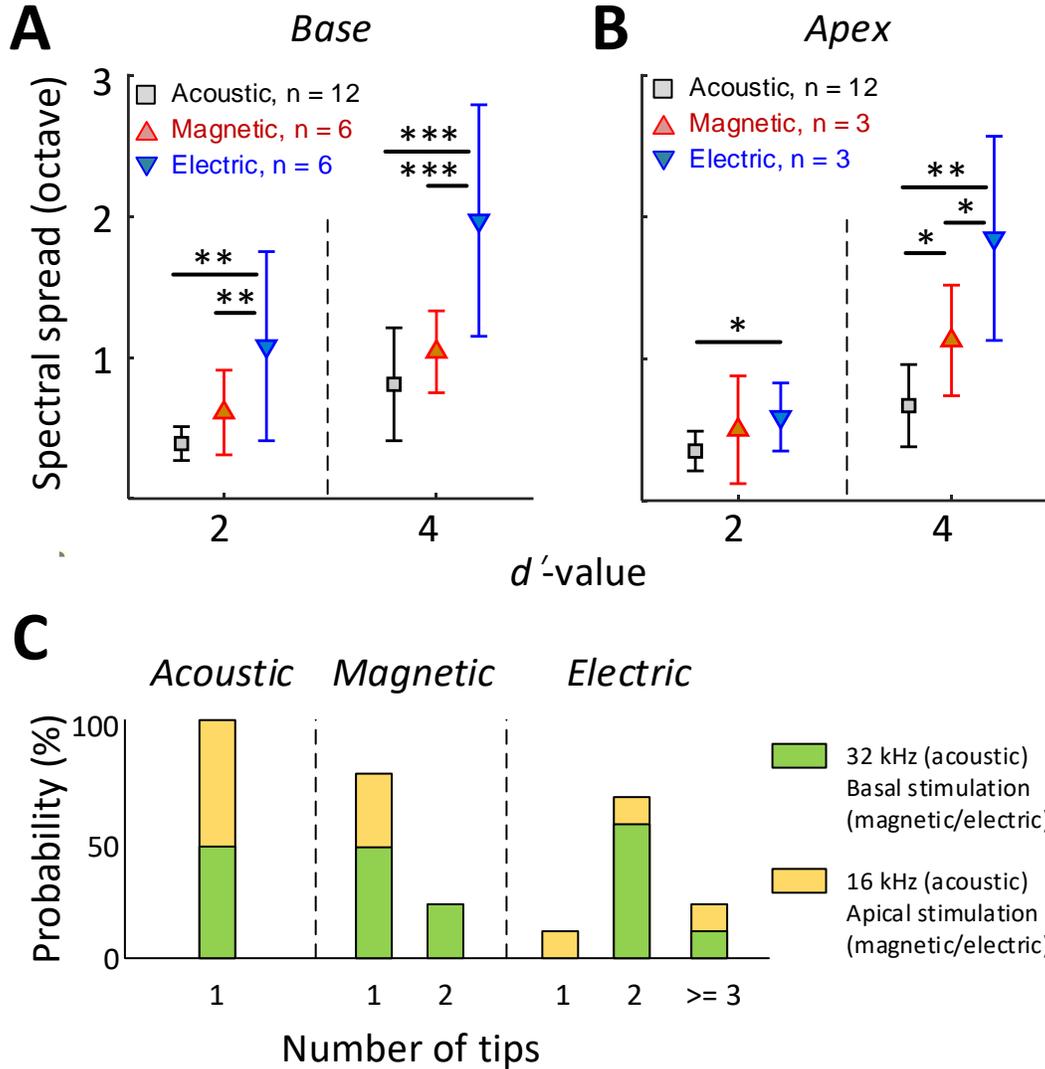


Fig. 4. The spread of activation is narrower for magnetic vs. electric stimulation. (A and B) Mean \pm SD for the spread of excitation by acoustic, magnetic, and electric stimulation delivered to the basal (A) or apical (B) turn of the cochlea (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$) (C) The number of tips in the STCs for each stimulus modality (see text).

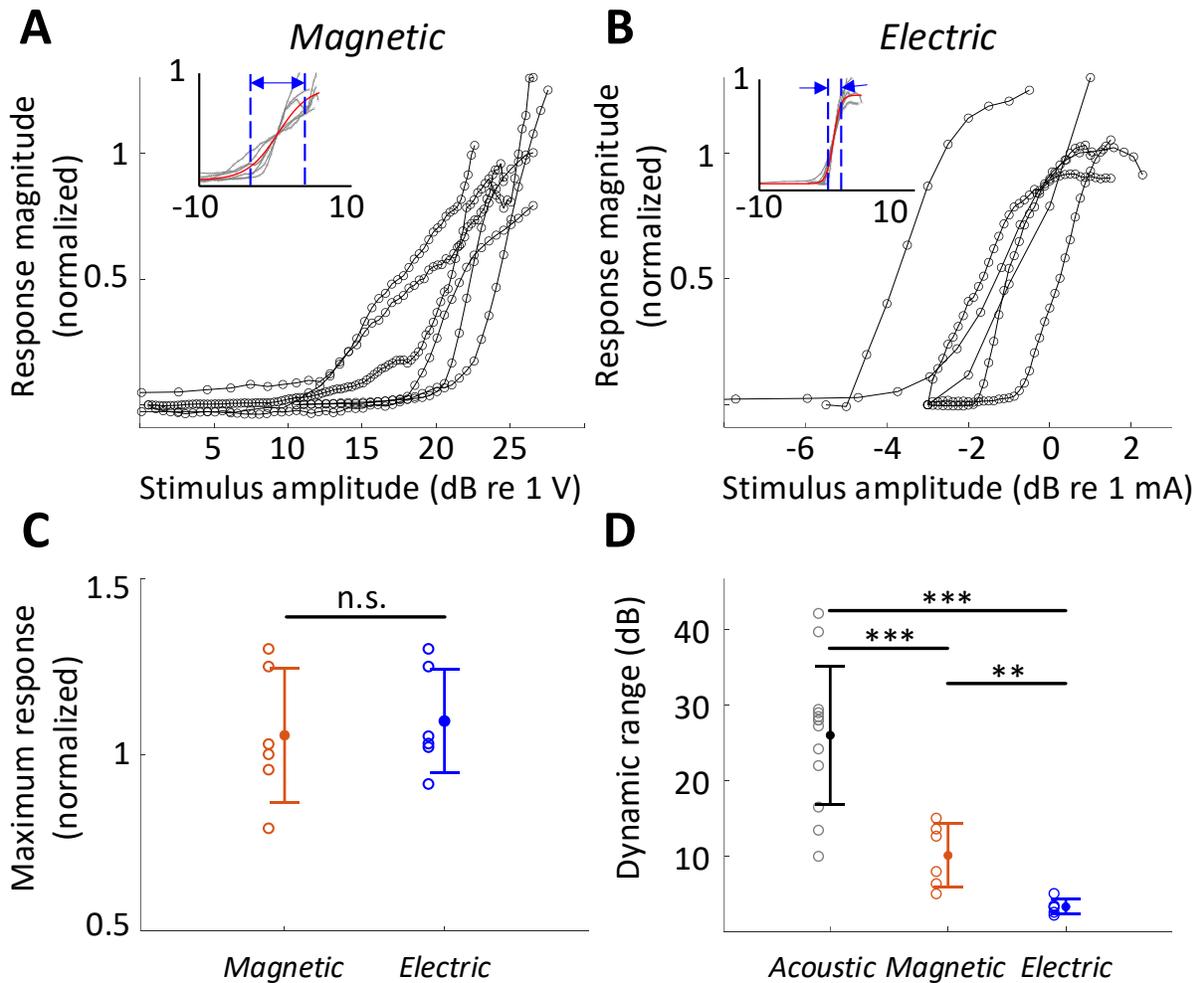


Fig. 5. The dynamic range for magnetic stimulation is wider than that for electric stimulation. (A and B) Normalized responses rates as a function of stimulus intensity for magnetic (A), and electric (B) stimulation (basal turn). Each line is the averaged response curve from one animal. Insets show the same data normalized such that 50% of the peak response was assigned the level of 0 dB; the red line shows the best-fit curve to all raw data points. **(C)** Individual points are the distribution of the maximum response rates to magnetic and electric stimulation. Vertical lines show mean \pm SD. Each response rate was normalized by the maximum response to acoustic stimulation obtained from the same animal. **(D)** Individual points are the distribution of dynamic ranges for each mode of stimulation. Vertical lines show mean \pm SD (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

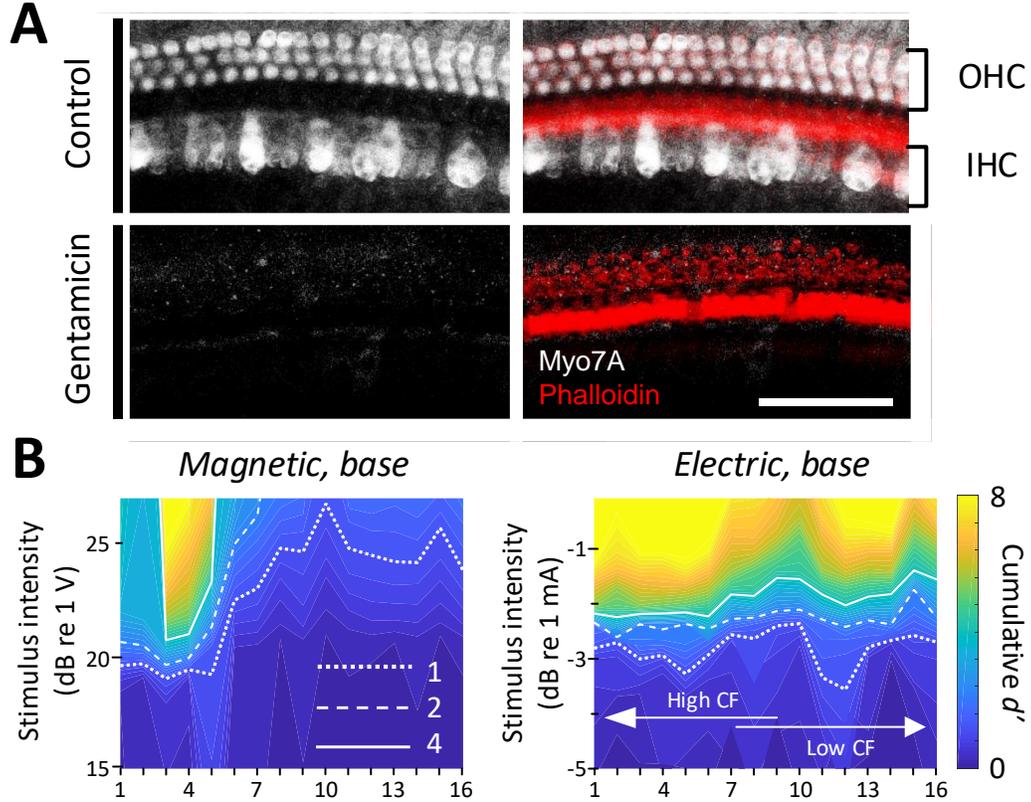


Fig. 6. Responses in chronically deafened ears.

(A) Gentamicin caused complete loss of inner and outer hair cells (white, Myo7A). Supporting structures of the organ of Corti were stained with Phalloidin (red). Scale bar: 50 μ m. **(B)** STCs of the IC responses to magnetic and electric stimulation at the base measured in a gentamicin-treated mouse. Dotted, dashed, and solid lines are contours for cumulative d' -values of 1, 2, and 4, respectively.

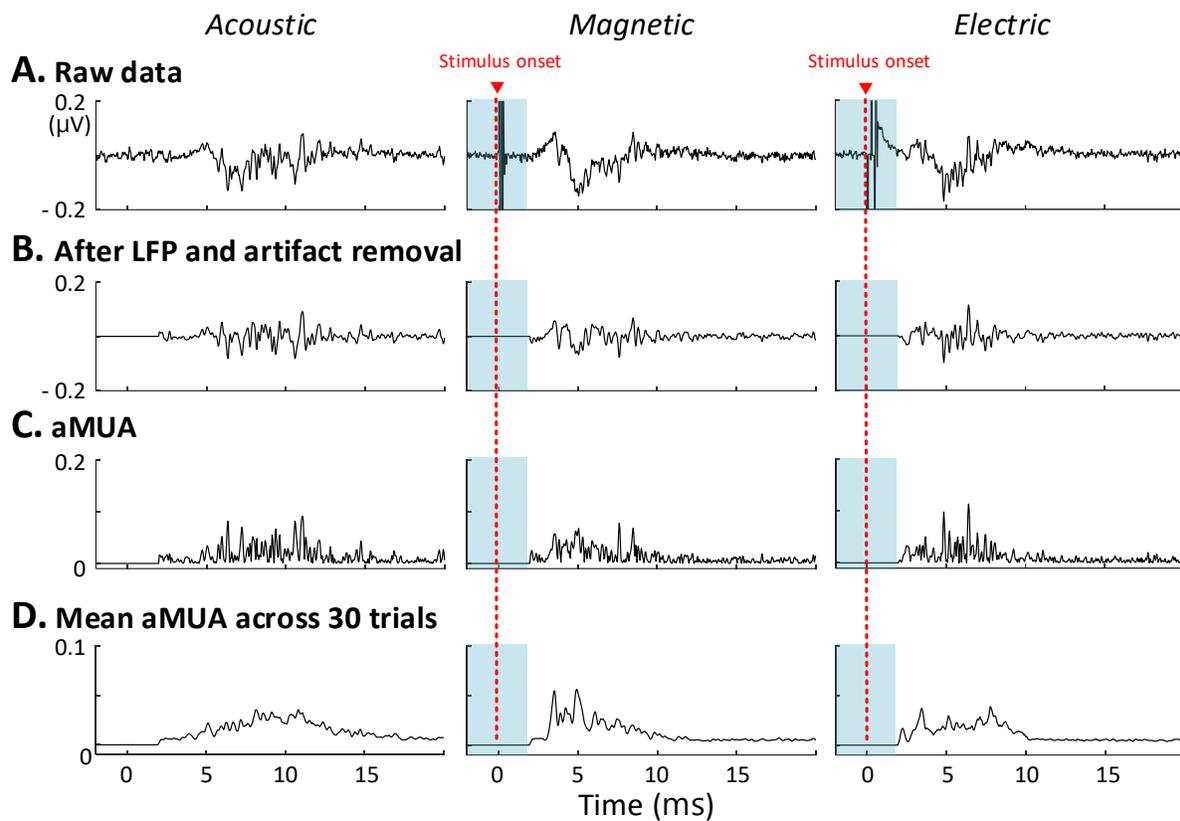


Fig. S1. IC responses to acoustic, magnetic and electric stimulation

(A) Representative raw recordings of single traces of the neural activity evoked by each stimulation modality were captured by the same recording electrode (positioned in IC). **(B)** Local field potential were removed from the raw trace by band-pass filtering. Stimulus artifact was removed prior to 2 ms after stimulus onset from the magnetic and electric stimulation responses by setting the response to zero. **(C)** Analog representation of multi-unit activity (aMUA; see Methods) **(D)** Mean aMUA across 30 trials