Influenza A virus reassortment in mammals gives rise to genetically distinct within-host sub-populations

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Summary

Influenza A virus (IAV) genetic exchange through reassortment has the potential to accelerate viral evolution and has played a critical role in the generation of multiple pandemic strains. For reassortment to occur, distinct viruses must co-infect the same cell. The spatio-temporal dynamics of viral dissemination within an infected host therefore define opportunity for reassortment. Here, we used wild type and synonymously barcoded variant viruses of a pandemic

H1N1 strain to examine the within-host viral dynamics that govern reassortment in guinea pigs, ferrets and swine. The first two species are well-established models of human influenza, while swine are a natural host and a frequent conduit for cross-species transmission and reassortment. Our results show reassortment to be pervasive in all three hosts but less frequent in swine than in ferrets and guinea pigs. In ferrets, tissue-specific differences in the opportunity for reassortment are also evident, with more reassortants detected in the nasal tract than the lower respiratory tract. While temporal trends in viral diversity are limited, spatial patterns are clear, with heterogeneity in the viral genotypes detected at distinct anatomical sites revealing extensive compartmentalization of reassortment and replication. Our data indicate that the dynamics of viral replication in mammals allow diversification through reassortment but that the spatial compartmentalization of variants likely shapes their evolution and onward transmission.

Introduction

Influenza A viruses (IAVs) have a broad host range, with the greatest diversity in wild bird species and established lineages circulating in poultry, swine, humans and other mammalian hosts^{1,2}. Host range for a given lineage is restricted by species barriers to infection, but occasional spillover events can result in sustained transmission, seeding new lineages^{3,4}. Establishment of novel IAVs in humans is the source of influenza pandemics and has major ramifications for public health and the economy^{5,6}. Reassortment of gene segments between IAVs adapted to distinct host species can give rise to chimeric viruses with enhanced potential for cross-species transfer⁷. Prominent examples include the reassortant strains that gave rise to the 1957, 1968 and 2009 influenza pandemics^{8,9}.

Among non-human mammalian species, swine are of particular interest. Transmission of IAV between swine and humans is relatively common, due not only to an extensive interface in agricultural settings, but also to similarities between pigs and humans in the host factors that support viral replication^{10,11}. Swine hosts are also somewhat susceptible to infection with avian IAV, creating the opportunity for co-infection and reassortment of viruses that are typically separated by host species barriers¹². For this reason, swine are often referred to as mixing vessels for IAV reassortment^{13,14}. The role of swine in the 2009 influenza pandemic⁹, and the abundant

reassortment that characterizes IAV in swine¹⁵⁻¹⁹, offer ample support for this designation. Although the central position of swine in IAV ecology and evolution is well understood^{10,13,16}, the within-host viral dynamics that govern reassortment in this host are less well characterized. We have previously reported that IAV reassortment occurs frequently *in vivo*, although frequency varies with virus strain and host species²⁰⁻²⁴. Here, we used three mammalian systems to evaluate how viral population structure within the host shapes genotypic diversity generated through reassortment. The hosts examined comprise guinea pigs, ferrets and pigs. While pigs are an important natural host, guinea pigs and ferrets are well-characterized models for human IAV²⁵⁻²⁷. To monitor reassortment in the absence of selection pressures, we coinfecting each animal with wild type and synonymously barcoded variant viruses of a pandemic H1N1 (pH1N1) strain background²⁸. We find that co-infection at the cellular level is extensive and reassortant viruses are consistently observed in both upper and lower respiratory tracts throughout the course of infection. In ferrets, markedly greater viral genotypic diversity is generated in the upper respiratory tract compared to the lower tract. Viral populations at these two sites are furthermore highly dissimilar genetically, revealing strong spatial compartmentalization. Diversity generated through reassortment in swine is generally lower than in guinea pigs and ferrets and, as in ferrets, viral diversity in swine is characterized by extensive spatial compartmentalization. Taken together, our data reveal spatial structure within the mammalian respiratory tract to be a major factor defining the extent of IAV diversity engendered through reassortment.

Results

Viral genotypic diversity generated through reassortment in the mammalian nasal tract

To evaluate the viral genotypic diversity generated via reassortment, guinea pigs, ferrets and pigs were coinfecting with well-matched parental viruses of the influenza A/Netherlands/602/2009 (NL09; pH1N1) background. Termed wild type (WT) and variant (VAR), these coinfecting viruses differ by a single synonymous mutation introduced into each gene segment of the VAR virus and distinct epitope tags encoded in the hemagglutinin open reading frame of each virus. Following determination of the 50% infectious dose (ID₅₀) of the virus mixture in ferrets and utilizing prior

ID₅₀ results in guinea pigs²⁹, reassortment in these species was analyzed at two doses (1×10^2 and 1×10^5 ID₅₀). In pigs, a single dose of 2×10^6 PFU was used.

To monitor reassortment in the upper respiratory tract, nasal samples were collected longitudinally, and the genotypes of clonal isolates derived from each sample were determined. The parental origin of each of the eight segments was defined, allowing identification of all 254 possible reassortant genotypes and both parental genotypes. Here, a genotype is defined as a constellation of eight gene segments and any nucleotide diversity present is not considered. Reassortment was detected in all samples from all species tested (Supp Fig 1). To characterize the viral diversity generated through reassortment, we calculated the frequency of unique genotypes, frequency of parental genotypes, richness and Shannon-Weiner diversity for each sample (Fig 1).

In all three host species, the same reassortant virus is rarely detected across multiple time points (Supp Fig 1), suggesting genotypic loss due to stochastic processes. Parental genotypes (WT or VAR), however, are consistently detected in the nasal samples of each animal in at least two time points, (Fig 1B, F, J, M). Since 21 viral isolates were genotyped from each sample, richness has a maximum value of 21 in our dataset. Richness is often high in guinea pigs and ferrets, with values ranging from 4 to 18, while the range in pigs falls between 3 and 10 (Fig 1C, G, K, N). Trends in diversity correspond to those of richness (Fig 1D, H, L, O). Comparison across all time points shows significantly higher richness and diversity in guinea pigs and ferrets than in pigs (Fig 1N, O; $p < 0.01$, ANOVA). Taken together, these results reveal that the mammalian respiratory tract presents an environment with high potential for the generation of viral diversity via reassortment.

Figure 1: Viral genotypic diversity generated through reassortment in the mammalian nasal tract. Results from guinea pigs are shown in panels A–D, ferrets in panels E–H and swine in panels I–L. Stacked plots (A, E, I) show frequencies of unique genotypes detected in one representative animal over time. Blue and orange represent the WT and VAR parental genotypes, respectively. Frequency of both parental genotypes combined (B, F, J), richness (C, G, K) and Shannon-Weiner diversity (D, H, L) are each plotted as a function of time. Guinea pigs and ferrets inoculated at high dose (1×10^5 ID₅₀) and low dose (1×10^2 ID₅₀) are indicated with dashed and solid lines, respectively. The distribution of parental genotype frequencies (M), richness (N) and diversity (O) across all time points in each species is shown with violin plots. Differences in richness and diversity between swine and guinea pigs and between swine and ferrets were significant ($p < 0.01$,

ANOVA). Parental genotype frequencies differed significantly between swine and guinea pigs ($p=0.003$, ANOVA), and were not significant between swine and ferrets (ANOVA).

Viral diversity generated through reassortment is greater in the ferret nasal tract than in the lungs. In mammalian hosts, IAV replication can be distributed throughout the respiratory tract. Using the ferret model, we compared the extent of viral diversity arising through reassortment in upper and lower respiratory tracts. Nasal turbinates and lung tissues were collected on days 1, 2, 3 or 4 from ferrets inoculated with 1×10^2 or 1×10^5 ferret ID₅₀. Genotyping of viral isolates revealed that the number of unique genotypes detected is routinely higher in nasal turbinates compared to lung at both doses (Fig 2A–C). Similarly, Shannon-Weiner diversity in nasal turbinates is typically higher than in the lung (Fig 2D). These differences in both richness and diversity are significant (Fig 2E, F) ($p=8.6 \times 10^{-5}$ and $p=0.0014$, respectively; paired t-test). We hypothesized that the distinct outcomes of co-infection in the upper and lower respiratory tracts were indicative of little viral dispersal between the two sites. To test this hypothesis, we modeled free mixing between the lung and nose by computationally shuffling intact genotypes between the two tissues. We then compared our observed data to the resultant simulated dataset (Fig 2C, D). This approach revealed that richness and diversity seen in the lungs is low relative to that expected for free mixing, with observed values typically falling below the 5th percentile of simulated results. In contrast, richness and diversity observed in nasal turbinates is typically above the mean. This analysis suggests that spread of virus between upper and lower respiratory locations occurs relatively rarely, such that abundant diversity generated through reassortment in the nasal tract does not seed a similarly diverse population in the lungs.

Figure 2: Viral diversity generated through reassortment is greater in the ferret nasal turbinates than in the lungs. Pie charts show frequencies of unique genotypes detected in the nasal turbinates (NT) and lung of ferrets inoculated with 1×10^2 FID₅₀ (A) or 1×10^5 FID₅₀ (B), with the blue and orange sections representing WT and VAR parental genotypes, respectively. Richness (C) and

diversity (D) are plotted, with observed results (colored points) overlaid on the distribution of simulated data (grey violins). Dashed lines denote the 95th and 5th percentiles. The distribution of richness (E) and diversity (F) in NT and lungs of all individuals is shown with violin plots. Differences between NT and lungs were significant by both measures ($p < 0.01$, paired t-test).

Reassortant viral populations in the ferret upper and lower respiratory tracts are distinct.

Examination of the specific genotypes identified in the nasal turbinates and lung within a given ferret revealed almost no overlap (Fig 2A, B). To more rigorously assess this apparent dissimilarity of viral populations, we calculated normalized beta diversity (β_n) between pairs of samples³⁰. This dissimilarity metric has a range between 0 and 1, with a beta value of 0 indicating that two samples are identical in their genotype composition and frequencies, and a beta value of 1 indicating no genotypic overlap. In all ferrets, high beta diversities of >0.5 are seen between nasal turbinate and lung samples of the same animal (Fig 3A). Indeed, beta diversity values calculated between pairs of samples within and between ferrets indicate that the nasal and lung viral populations within an individual are typically as distinct as populations replicating in different animals (Fig 3A). To test whether a lack of viral dispersal between anatomical sites could account for this observation, we compared the observed beta diversity to the distribution of beta diversity values obtained from the computational simulation of free mixing outlined above. For most ferrets, observed beta diversity falls above the 95th percentile of the distribution (Fig 3B). Thus, the highly distinct viral populations seen in nasal and lung tissues are inconsistent with free mixing between these sites. These data further support the notion that virus replicating within the ferret upper and lower respiratory tracts form distinct compartments, with reassortment occurring independently at the two sites.

To visualize the spatial distribution of coinfecting viruses within ferret tissues, the tagged HA proteins of WT and VAR origin were stained within lung and nasal tissue sections (Fig 3C). This analysis revealed that microscopic fields that are positive for viral antigen tend to show a high density of infected cells at both tissue sites. Strikingly, most infected cells are positive for both WT and VAR antigens, indicating high levels of viral co-infection within the host, consistent with the robust reassortment observed.

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178 **Figure 3: Reassortant viral populations in the ferret upper and lower respiratory tracts are**

179 **distinct.** Heat map showing normalized beta diversity of viral populations in ferret lung and nasal

turbinates (NT) (A). The inset shows a representative comparison between the tissues of ferrets F23 and F21, to indicate the position of NT and lung within the matrix. Normalized beta diversity is plotted in (B) with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile. (C) Immunohistochemistry images of ferret NT and lung sections stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets of both NT and lung sections are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells.

Local diversity arising through reassortment is modest within the swine respiratory tract.

Analysis of nasal swabs showed limited genotypic diversity in the swine nasal tract. We expanded this investigation of reassortment in pigs by sampling the nasal tract and each of the seven lung lobes of groups of three pigs on day 3 or 5 post-inoculation. Viral titers in the nasal tract of these animals on day 3 were insufficient to support analysis of reassortment. Genotype frequencies observed from the remaining sites were plotted and overlaid on a schematic of a pig lung (Fig 4A-F). Although one or more reassortants are detected in all but three of the 45 samples, the viral populations tend to carry high proportions of parental virus. At a minority of sites, a specific reassortant is predominant. Richness is less than 10 for most samples (Fig 4G) and Shannon-Weiner diversities less than 1.0 are common (Fig 4H). Thus, overall, the diversity generated through reassortment throughout the swine respiratory tract is moderate. To evaluate the extent to which a lack of viral mixing across the sampled locations limits richness and diversity, we compared the observed results to those expected if viruses mix freely throughout the respiratory tract (Fig 4G, H). To simulate free mixing, we randomly sampled intact viral genotypes from all those detected within a given pig. The resultant richness and Shannon-Weiner diversity values show wide distributions. However, much of the observed data lies below the 5th percentile of these distributions, suggesting that pockets of low richness and diversity persist locally due to an

absence of mixing across anatomical sites. In sum, local genotypic diversity generated via reassortment is modest throughout the swine respiratory tract.

Figure 4: Local diversity arising through reassortment is modest within the swine respiratory tract. Pie charts showing frequencies of unique genotypes present within each lung lobe of a given

pig on day 3 (A-C) and the nasal tract and each lung lobe of a given pig on day 5 (D-F) with blue and orange sections representing WT and VAR parental genotypes, respectively. The tissue sites are abbreviated as NA-Nasal; LA-Left Apical; RA-Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate. Simulated richness (G) and diversity (H) are plotted, with observed results (colored points) overlaid on the distribution of simulated data (grey violins). Dashed lines denote the 95th and 5th percentiles.

Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. Examination of the reassortant viral genotypes identified in swine tissues reveals little to no overlap across locations within an individual animal (Fig 4A-F). We again used beta diversity to assess the similarity of viral populations in a pairwise and quantitative manner. As seen in ferrets, beta values in pigs were typically high (Fig 5A and Supp Fig 2). Indeed, viral populations sampled from within a pig were as distinct from one another as those sampled from different pigs (Fig 5A). To test whether a lack of viral dispersal between anatomical sites could account for this observation, we compared the beta diversity observed for a pair of locations within a pig to the distribution of beta diversity values obtained from a simulation of free mixing between those locations (Fig 5B and Supp Fig 3). For most pairs of tissues, the observed data are at the high extreme of this distribution, falling within the 95th percentile of the simulated results. Thus, spatial compartmentalization within the swine respiratory tract is extensive.

To visualize the spatial distribution of co-infecting viruses within swine tissues, the tagged HA proteins of WT and VAR origin were stained (Fig 5C and Supp Fig 4). As in ferrets, a high density of infected cells is visible in regions positive for viral antigen and most infected cells are positive for both WT and VAR viruses. Thus, the high levels of viral co-infection observed in ferrets extends to swine.

Figure 5: Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. Heat map showing normalized beta diversity of viral populations in swine lung and nasal tract (A). The inset shows a representative comparison between the tissues of pigs P3 and P6, to indicate the position of each tissue within the matrix. The tissue sites are abbreviated

as NA-Nasal; LA- Left Apical; RA- Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate. Normalized beta diversity between the left apical and left cardiac lobes is plotted (B) with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile. (C) Representative immunohistochemistry images of swine left apical lung section stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets of the lung are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells.

Discussion

By monitoring reassortment between highly similar co-infecting viruses, we can detect the diversity generated through genetic exchange in the absence of selection. Consequently, our experiments reveal the extent to which co-infection at the level of the whole host creates opportunity for reassortment within the host. Abundant opportunity is apparent in the nasal tracts of guinea pigs and ferrets. However, the viral diversity generated through reassortment is more limited in the lungs of ferrets and all tissues sampled in pigs. In addition to relatively little opportunity for reassortment in these tissues, spatial constraints on mixing within the respiratory tract yield localized sub-populations with diminished genotypic diversity. Thus, despite the extensive reassortment seen among swine IAV at a population level, our results reveal only moderate viral diversity generated through reassortment in pigs. Results from guinea pigs and ferrets suggest that opportunity for within-host IAV genetic exchange may be greater in other natural mammalian hosts.

Given our use of well-matched parental viruses, we can assume that the differences in viral genotypic diversity seen between species and between tissues within a species are not driven by natural selection. Instead, features of viral dynamics in these different environments likely shape the frequency of reassortment and the extent to which reassortants are amplified once formed. For example, the efficiency of viral replication, spatial arrangement of target cells, the extent to

which permissive cells are interspersed with non-permissive cells, physical barriers to viral spread and the effectiveness innate responses to infection are all likely to vary with species and across different tissues within a species³¹⁻³³.

Although variation in the diversity generated through reassortment is apparent, visualization of viral antigen in infected tissues indicated that cellular co-infection is abundant at all sites examined. Cellular co-infection has previously been reported to be common *in vivo*³⁴ and is expected owing to the high potential for singular genomes to result in abortive infection^{35,36} and the potential for direct cell-to-cell spread of viral genetic material³⁷. Nevertheless, the juxtaposition of this result with a predominance of parental-type viruses in many samples is counter-intuitive. While the images of fixed tissue show a snapshot of viral HA protein expression in defined cells at a given time point, viral samples draw from the population of viruses that have accumulated at a particular site over the course of infection. Thus, the prevalence of parental genotypes may reflect their production early after inoculation, when cellular co-infection is less likely to occur^{22,34}. In addition, HA staining does not reveal the relative dosage of WT and VAR genomes in a cell or indicate the genotype(s) of the seven non-HA segments. Both factors would strongly modulate the proportion of progeny genomes from a co-infected cell that are reassortant.

The spatial separation of viral sub-populations within an individual has important evolutionary implications. First, reassortment among genetically distinct viruses is less likely to occur when viral dispersal is localized, since all viruses produced from a singly infected progenitor cell will be genetically similar²³. Conversely, well-mixed viral populations are more likely to produce reassortants that differ from their parents in biologically meaningful ways. Compartmentalization of variants may be a contributing factor to the lack of reassortment reported in experimentally infected humans³⁸. Second, spatial structure impacts the extent to which a viral population within a host is shaped by selection versus stochastic processes³⁹. Spatial barriers create subpopulations, each of which can have a small population size. As such, genetic drift dominates within each subpopulation, with variant frequencies changing over time largely due to chance. This means that both lower and higher fitness variants have the potential to rise to high frequencies within subpopulations. As a result, spatial compartmentalization of populations has

the immediate effect of reducing the efficiency of selection. While the strength of selection to purge low fitness variants is weakened at the level of individual subpopulations, the propagation of slightly deleterious mutants allows a broader exploration of sequence space across the entire viral population that is distributed within the host. This may, in rare cases, lead to the discovery of higher fitness variants that might not evolve as readily in less structured within-host populations.

Compartmentalization of viral replication is also expected to shape evolution occurring between hosts. As has been seen in ferrets, transmitted virus is likely to comprise a sub-sample of one specific anatomical location^{23,40,41}. In the context of spatial heterogeneity, this sub-sample will not be representative of the viral population present in the whole host. Thus, within-host spatial structure is expected to contribute to stochastic effects acting between hosts: the transmitted virus will be the virus that was in the right place at the right time, and may not be the most fit genotype present within a host^{23,41-43}.

Certain limitations in our experimental design are important to consider. Our approach of co-inoculating with well-matched parental strains is designed to maximize potential to detect reassortment. In addition, the gene constellation of the 2009 pandemic H1N1 virus, used in this study, has shown a marked predisposition for reassortment⁴⁴⁻⁴⁶. However, natural co-infections will include a wide range of scenarios in terms of relative timing, route and dose of infection and phenotypes of co-infecting strains. Each of these factors will influence the frequency of reassortment^{21-23,47}. In evaluating the extent of overlap between viral genotypes in different anatomical locations, it is important to note that parental viruses are likely seeded throughout the respiratory tract upon inoculation; thus, only reassortant genotypes are informative in assessing the extent of mixing across sites. In addition, if variants are rare (<5%), they would fall below the limit of detection of our assay.

IAV reassortment within cells is efficient, such that 256 distinct genotypes are readily formed in a single co-infected cell⁴⁸. However, within a host, the high potential for reassortment to generate diversity is subject to complex dynamics that define the likelihood of cellular co-infection and the extent to which novel reassortants are propagated. While our data reveal extensive co-infection *in vivo* and consistent formation of reassortants, species and tissue

differences in the extent of reassortment are apparent. Spatial constraints on the dissemination of novel genotypes add to this complexity and are likely to be a major factor in within-host viral evolutionary dynamics.

Author Contributions

KG contributed to the conception of work, experimental design, data acquisition and analysis, interpretation of data; AB contributed to data analysis, model development and interpretation of data; LMF, GG, SC, CJC, BS, YL, LW, TK, YL and IM contributed to data acquisition; WM, JAR and DRP contributed to conception of the work, experimental design, data analysis and interpretation; KK contributed to conception of the work, data analysis and interpretation; ACL contributed to conception of work, experimental design and data analysis and interpretation. All authors contributed to the writing of the manuscript.

Conflict of interest statement

The JAR laboratory received support from Tonix Pharmaceuticals, Xing Technologies and Zoetis, outside of the reported work. JAR is inventor on patents and patent applications on the use of antivirals and vaccines for the treatment and prevention of virus infections, owned by Kansas State University, KS.

Methods

Cells and cell culture media. Madin–Darby canine kidney (MDCK) cells, a gift from Dr. Robert Webster, St Jude Children’s Research Hospital, Memphis, TN to D.R.P were used for all experiments. A seed stock of MDCK cells at passage 23 was subsequently amplified and maintained in Minimal Essential Medium (Gibco) supplemented with 10% fetal bovine serum (FBS; Atlanta Biologicals) and Normocin (Invivogen). 293T cells (ATCC, CRL-3216) were maintained in Dulbecco’s Minimal Essential Medium (Gibco) supplemented with 10% FBS and PS. All cells were cultured at 37°C and 5% CO₂ in a humidified incubator. The cell lines were not authenticated. All cell lines were tested monthly for mycoplasma contamination while in use. The

medium for the culture of IAV in MDCK cells (virus medium) was prepared by supplementing the basal medium for the relevant cell type with 4.3% BSA and Normocin.

Viruses. Viruses used in this study were derived from influenza A/Netherlands/602/2009 (H1N1) virus (NL09) and were generated by reverse genetics⁴⁹⁻⁵¹. In brief, 293T cells transfected with reverse-genetics plasmids 16–24 h previously were co-cultured with MDCK cells at 37°C for 40–48 h. Recovered virus was propagated in MDCK cells at a low multiplicity of infection to generate working stocks. Titration of stocks and experimental samples was carried out by plaque assay in MDCK cells. Silent mutations were introduced into each segment of the VAR virus by site-directed mutagenesis of reverse genetics plasmids. The specific changes introduced into the VAR virus were reported previously^{24,28}. NL09 VAR virus was engineered to contain a 6XHIS epitope tag plus a GGGG linker at the amino (N) terminus of the HA protein following the signal peptide. NL09 WT virus carries an HA epitope tag plus a GGGG linker inserted at the N terminus of the HA protein²⁸. A 1:1 mixture of NL09 WT and VAR viruses was prepared using methods described previously²⁴.

Animal models and reassortment *in vivo*. All the animal experiments were conducted in accordance with the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The studies were conducted under animal biosafety level 2 containment and approved by the IACUC of Emory University (DAR-2002738-ELMNTS-A) for guinea pig (*Cavia porcellus*), the IACUC of the University of Georgia (AUP A2015 06-026-Y3-A5) for ferret (*Mustela putorius furo*) and the IACUC of Kansas State University (protocol #4120) for swine (*Sus scrofa*). The animals were humanely euthanized following guidelines approved by the American Veterinary Medical Association.

Female, Hartley strain guinea pigs weighing 250–350 g were obtained from Charles River Laboratories and housed by Emory University Department of Animal Resources. Before intranasal inoculation and nasal washing, the guinea pigs were anaesthetized with 30 mg kg⁻¹ ketamine and 4 mg kg⁻¹ xylazine by intramuscular injection. The GPID₅₀ of the NL09 virus was previously determined to be 1x10¹ PFU²⁹. To evaluate reassortment kinetics in guinea pigs, groups of six animals were infected with 1x10³ PFU (1x10² ID₅₀) or 1x10⁶ PFU (1x10⁵ ID₅₀) of the NL09 WT/VAR

virus mixtures. Virus inoculum was given intranasally in a 300 µl volume of PBS. Nasal washes were performed on days 1–6 post-inoculation and titered by plaque assay. Viral genotyping was performed on samples collected on days 1, 2 and 3 or 4 for each guinea pig. Day 3 was used for animals receiving the higher dose since virus is cleared rapidly in this system and shedding has ceased by day 4.

Female ferrets, 20-weeks-old, from Triple F Farms (Gillett, PA) were used. All ferrets were seronegative by anti-nucleoprotein (anti-NP) influenza virus enzyme-linked immunosorbent assay, Swine Influenza Virus Ab Test, (IDEXX, Westbrook, ME) prior to infection. Five days prior to experimentation, ferrets were sedated, and a subcutaneous transponder (Bio Medic Data Systems, Seaford, Delaware) was implanted to identify each animal and provide temperature readings. Anesthetics were applied via intramuscular injection with ketamine (20 mg kg⁻¹) and xylazine (1 mg kg⁻¹). Infections were performed via intranasal inoculation of 1 mL of virus diluted in PBS. Ferret nasal washes were carried out as follows. Ferrets were anesthetized and 1 ml of PBS administered to the nose was used to induce sneezing. Expelled fluid was collected into Petri dishes and samples were collected in an additional volume of 1 mL PBS. Infected ferrets were monitored daily for clinical signs, temperature and weight loss. Ferrets were euthanized by intravenous injection of 1 ml of Beuthanasia-D diluted 1:1 with DI water (Merck, Madison, NJ). For determination of ferret ID₅₀, six groups of four ferrets each were inoculated with increasing doses of the NL09 WT/VAR virus mixture (1x10^{0.1} PFU, 1x10⁰ PFU, 1x10¹ PFU, 1x10² PFU, 1x10³ PFU and 1x10⁴ PFU). Nasal washes were collected daily for up to 6 days and titrated for viral shedding by plaque assay. The ferret ID₅₀ was determined based on results obtained at day 2 and found to be equivalent to 3.2 x 10² PFU.

For analysis of reassortment frequency and detection of viral antigen in tissues, ferrets were inoculated with 3.2 x 10⁴ PFU (1x10² ID₅₀) or 3.2 x 10⁷ PFU (1x10⁵ ID₅₀). After infections, nasal washes were collected daily for up to 6 days and titrated by plaque assay. Viral genotyping was performed on samples collected on days 1, 3 and 5 for each ferret. Tissue sections collected for virology were disrupted in 1 mL of sterile PBS using the TissueLyser LT (Qiagen, Germantown, MD) at 30 Hz for 5 min twice, in microcentrifuge tubes with 3 mm Tungsten Carbide Beads (Qiagen, St. Louis, MO). Supernatants were clarified by centrifugation and frozen at -80 °C until

viral titration. For histology, tissues were submerged in 10% buffered formalin (Sigma Aldrich, St. Louis, MO) and stored at room temperature until evaluation.

The pig study was conducted at the Large Animal Research Center (a biosafety level 2+ facility) at Kansas State University in accordance with the Guide for the Care and Use of Agricultural Animals in Research and Teaching of the U.S. Department of Agriculture. To determine virus reassortment and viral antigen in tissues, eighteen 4-week-old influenza H1 and H3 subtype virus- and porcine reproductive and respiratory syndrome virus-seronegative gender-mixed crossbred pigs were randomly allocated into groups. Each pig was inoculated with 2×10^6 PFU of NL09 WT/VAR mixture through both intranasal and intratracheal routes (10^6 PFU was administered in a 1 ml volume by each of these two routes) under anaesthesia as described previously⁵². Clinical signs for all experimental pigs were monitored daily throughout the experiment. Nasal swabs were collected at 1, 3, 5 and 7 days post infection from each pig. Three infected pigs were euthanized at 3-, 5-, and 7-days post infection. During necropsy, nasal turbinate, trachea and lung tissues from 7 lobes collected from each pig were frozen at -80°C for virus isolation and fixed in 10% buffered formalin for IHC examination.

Quantification of reassortment. Reassortment frequencies were evaluated by genotyping 21 clonal viral isolates per sample as described previously⁴⁸. This analysis was applied to guinea pig nasal washes, ferret nasal washes, swine nasal swabs, ferret tissue homogenates and swine tissue homogenates. Time points to be examined were chosen based on positivity in all animals in a treatment group. Thus, samples from days 1, 2, 3 or 4 were evaluated from guinea pigs, while samples from days 1, 3 and 5 were evaluated for ferrets and swine. The genotypes of virus isolates detected in all samples analysed are reported in Supplementary Table 1.

Briefly, plaque assays were performed on MDCK cells in 10 cm dishes to isolate virus clones. Serological pipettes (1 ml) were used to collect agar plugs into 160 µl PBS. Using a ZR-96 viral RNA kit (Zymo), RNA was extracted from the agar plugs and eluted in 40 µl nuclease-free water (Invitrogen). Reverse transcription was performed using Maxima reverse transcriptase (RT; ThermoFisher) according to the manufacturer's protocol. The resulting cDNA was diluted 1:4 in nuclease-free water and each cDNA was combined with segment-specific primers^{24,28} designed

to amplify a region of approximately 100 base pairs. The amplicon for each segment contains the site of the single nucleotide change in the VAR virus. Quantitative PCR was performed with Precision Melt Supermix (Bio-Rad) using a CFX384 Touch Real-Time PCR Detection System (Bio-Rad). Template amplification was followed by high-resolution melt analysis to differentiate the WT and VAR amplicons⁵³. Precision Melt Analysis software (Bio-Rad) was used to determine the parental origin of each gene segment based on the melting properties of the cDNA amplicons relative to WT and VAR controls. Each plaque was assigned a genotype based on the combination of WT and VAR genome segments, with two variants on each of eight segments allowing for 256 potential genotypes. Occasionally, a gene segment cannot be typed with confidence and is recorded as neither WT nor VAR. Viral isolates with ambiguous gene segments were excluded from our subsequent analyses.

Immunohistochemistry and imaging. Tissue samples from nasal turbinates of ferrets, the right caudal lung lobe of ferrets and all seven lung lobes of swine were fixed in 10% neutral buffered formalin for at least 24 h before being embedded in paraffin. Nasal turbinates were decalcified prior to being embedded in paraffin. Sections from all the tissues were cut and slides were prepared. The tissues were deparaffinized by warming the slides at 60°C on a slide warmer for 45 min followed by immersion in xylenes (Sigma) for 25 min. The slides were then immersed in 100% ethanol for 10 min, 95% ethanol for 10 min and 70% ethanol for 5 min. The slides were then washed by placing in deionized water for 1 h. Antigen retrieval was performed by steaming the slides in 10 mM citric acid, pH 6.0 for 45 min, followed by washing in tap water and 1X PBS (Corning) for 5 min. The WT and VAR viruses were detected in the tissues using a mouse anti HA Alexa Fluor 488 (Invitrogen catalogue number A-21287; clone 16B12) and mouse anti His Alexa Fluor 555 (Invitrogen catalogue number MA1-135-A555; clone 4E3D10H2/E3) while epithelial cell borders were stained using rabbit anti Na⁺K⁺ ATPase Alexa Fluor 647 (Abcam catalogue number 198367; clone EP1845Y) at 4°C overnight. Slides were washed three times in 1X PBS (Corning) and once in deionized water to remove excess antibody. The slides were mounted onto glass coverslips using ProLong Diamond Anti Fade mounting media (ThermoFisher). The images were acquired using an Olympus FV1000 Confocal Microscope at 60x magnification under an oil

immersion objective. The specificity of the antibodies was confirmed by infecting MDCK cells with either the NL09 WT, NL09 VAR or both viruses for 24 h. The cells were fixed using 4% paraformaldehyde (Alfa Aesar) and stained for HA and His tags using the antibodies as described above (Supp Fig 5).

Software

Figures were generated using Python 3⁵⁴ and the packages matplotlib⁵⁵, NumPy⁵⁶, pandas⁵⁷ and seaborn⁵⁸. Simulations were conducted in Python 3.

Analysis of genotype frequencies, richness and diversity

Here a viral genotype is defined as a unique combination of the eight IAV segments, where each segment is derived from either the variant or wild type parental virus; therefore, there are 2⁸ possible unique genotypes, with two parental genotypes and 254 reassortant genotypes. For any given sample, the frequency of each unique genotype can be calculated by dividing the number of appearances each unique genotype has in the sample by the total number of clonal isolates obtained for that sample.

Understanding the distribution of unique genotypes involves using both unweighted and weighted genotype frequency statistics. Genotype richness (S) does not incorporate genotype frequency and is given by the number of unique genotypes in a sample. Given our sample size of 21 plaque isolates, genotype richness, or the number of distinct genotypes detected in a sample, can range from a minimum of 1 (a single genotype is detected 21 times) to a maximum of 21 (21 unique genotypes are detected).

Diversity was measured using the Shannon-Weiner index (H), which considers both richness and evenness in the frequency with which genotypes are detected. In our dataset, diversity can range from 0 to 3.04. Shannon-Wiener diversity was calculated as:

$$H = - \sum_{i=1}^S (p_i * \ln p_i) \sum_{i=1}^S (p_i \times \ln (p_i))$$

where S is genotype richness and p_i is the frequency of unique genotype i in the sample (6).

To evaluate the extent to which the spatial dynamics of viral reassortment and propagation shape the overall richness and diversity in a host, we sought to compare the observed richness and diversity at each anatomical site to that which would be expected if virus moves freely among anatomical locations. Thus, to simulate free mixing within the host, we randomly shuffled observed viral genotypes among all sites in a given animal. The average richness and Shannon-Wiener index of the simulated viral populations at each site were then calculated. The 5th and 95th percentiles for the simulated distribution of each animal were calculated and compared to the observed richness and diversity for each of the anatomical sites. If a site's observed richness and diversity fell below the 5th percentile or above the 95th percentile, then a barrier to the influx or efflux of reassortant genotypes from or to the other sites is suggested.

Analysis of beta diversity

The dissimilarity between populations can be measured by beta diversity. For this study, we evaluated beta diversity from a richness perspective, focusing on dissimilarity in the unique genotypes detected and excluding consideration of their frequency. This approach was used to de-emphasize the effects of WT and VAR parental genotypes, which were likely seeded into all anatomical locations at the time of inoculation. We calculate the beta diversity by treating the viral genotypes in two lobes as two distinct populations:

$$\beta = \frac{S_{1+2}}{\frac{1}{2}(S_1 + S_2)}$$

where S_{1+2} is the richness of a hypothetical population composed of pooling the viral genotypes of the two lobes while $\frac{1}{2}(S_1 + S_2)$ represents the mean richness of the lobes (7). The beta diversity of a single comparison can be normalized so that it ranges from zero to one:

$$BD' = \frac{BD - 1}{BD_{max} - 1} \beta_n = \frac{\beta - 1}{\beta_{max} - 1}$$

where $BD_{max}\beta_{max}$ is the beta diversity calculated by assuming that there are no viral genotypes shared by both lobes (7). A $BD'\beta_n$ closer to one indicates that the lobes' viral populations are more dissimilar while a $BD'\beta_n$ closer to zero suggests that the lobes have similar unique viral

genotypes and overall viral richness. A $BD' \beta_n$ of zero occurs when all unique genotypes present in one lobe are also present in the other.

To simulate free mixing between two lobes, we randomly shuffled the genotypes between each of the 28 pairwise combinations among pig tissues and the single ferret lung-NT combination and computed the $BD' \beta_n$ for each comparison. Free mixing for all combinations was simulated 1000 times. We reasoned that if compartmentalization was present in the observed dataset, then the dissimilarity values would fall at the high end of the simulated distribution (>95th percentile).

Statistical measures

Percentiles were calculated using the percentileofscore method from the SciPy package⁵⁷. Paired t-tests and ANOVA tests were performed using the ttest_rel method and the f_oneway method respectively from the SciPy Package⁵⁷.

Data availability

Raw data are included as Source Data and in Supplementary Table 1.

Code availability

Code used for data analysis and simulations is available at:

<https://github.com/maxbagga/Influenza-A-virus-reassortment-in-mammals-gives-rise-to-spatially-distinct-sub-populations>

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Figure Legends

Figure 1: Viral genotypic diversity generated through reassortment in the mammalian nasal tract. Results from guinea pigs are shown in panels A–D, ferrets in panels E–H and swine in panels I–L. Stacked plots (A, E, I) show frequencies of unique genotypes detected in one representative animal over time. Blue and orange represent the WT and VAR parental genotypes, respectively. Frequency of both parental genotypes combined (B, F, J), richness (C, G, K) and Shannon-Weiner diversity (D, H, L) are each plotted as a function of time. Guinea pigs and ferrets inoculated at high dose (1×10^5 ID₅₀) and low dose (1×10^2 ID₅₀) are indicated with dashed and solid lines, respectively. The distribution of parental genotype frequencies (M), richness (N) and diversity (O) across all time points in each species is shown with violin plots. Differences in richness and diversity between swine and guinea pigs and between swine and ferrets were significant ($p < 0.01$, ANOVA). Parental genotype frequencies differed significantly between swine and guinea pigs ($p = 0.003$, ANOVA), and were not significant between swine and ferrets (ANOVA).

Figure 2: Viral diversity generated through reassortment is greater in the ferret nasal turbinates than in the lungs. Pie charts show frequencies of unique genotypes detected in the nasal

turbinates (NT) and lung of ferrets inoculated with 1×10^2 FID₅₀ (A) or 1×10^5 FID₅₀ (B), with the blue and orange sections representing WT and VAR parental genotypes, respectively. Richness (C) and diversity (D) are plotted, with observed results (colored points) overlaid on the distribution of simulated data (grey violins). Dashed lines denote the 95th and 5th percentiles. The distribution of richness (E) and diversity (F) in NT and lungs of all individuals is shown with violin plots. Differences between NT and lungs were significant by both measures ($p < 0.01$, paired t-test).

Figure 3: Reassortant viral populations in the ferret upper and lower respiratory tracts are distinct. Heat map showing normalized beta diversity of viral populations in ferret lung and nasal turbinates (NT) (A). The inset shows a representative comparison between the tissues of ferrets F23 and F21, to indicate the position of NT and lung within the matrix. Normalized beta diversity is plotted in (B) with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile. (C) Immunohistochemistry images of ferret NT and lung sections stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets of both NT and lung sections are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells.

Figure 4: Local diversity arising through reassortment is modest within the swine respiratory tract. Pie charts showing frequencies of unique genotypes present within each lung lobe of a given pig on day 3 (A-C) and the nasal tract and each lung lobe of a given pig on day 5 (D-F) with blue and orange sections representing WT and VAR parental genotypes, respectively. The tissue sites are abbreviated as NA-Nasal; LA-Left Apical; RA-Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate. Simulated richness (G) and diversity (H) are plotted, with observed results (colored points) overlaid on the distribution of simulated data (grey violins). Dashed lines denote the 95th and 5th percentiles.

Figure 5: Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. Heat map showing normalized beta diversity of viral populations in swine lung and nasal tract (A). The inset shows a representative comparison between the tissues of pigs P3 and P6, to indicate the position of each tissue within the matrix. The tissue sites are abbreviated as NA-Nasal; LA- Left Apical; RA- Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate. Normalized beta diversity between the left apical and left cardiac lobes is plotted (B) with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile. (C) Representative immunohistochemistry images of swine left apical lung section stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets of the lung are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells.

Supplementary Figure Legends

Supp Fig 1. Viral genotypic diversity generated through reassortment in the mammalian nasal tract. Stacked plots showing frequencies of unique genotypes detected in guinea pigs (A), ferrets (B) or swine (C) over time. The sections in blue and orange represent the WT and VAR parental genotypes, respectively.

Supp Fig 2. Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. Heat maps showing beta diversity of viral populations in the lung lobes and nasal tract of individual pigs. Pig ID number is indicated above each matrix. The tissue sites are abbreviated as NA-Nasal; LA- Left Apical; RA- Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate.

Supp Fig 3. Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. Normalized beta diversity between each lung lobe and nasal tract (A) and between lung lobes (B) are plotted with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile.

Supp Fig 4. Coinfection is common in the swine respiratory tract. Immunohistochemistry images of lung tissue sections stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. The lung lobe sampled is indicated at the left. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells.

Supp Fig 5. Validation of the antibodies used for immunohistochemistry. Immunofluorescence images of MDCK cells stained for WT (green) and VAR (red) viruses at 24 h post infection. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell.

Supp Table 1. Genotype tables. Viral genotypes detected in guinea pigs, ferrets and swine are included. Each table corresponds to a biological sample and each row in each table corresponds to a single viral isolate. The columns show the eight viral gene segments. Blue color blocks indicate WT genes while red color blocks indicate VAR genes. White blocks indicate that an ambiguous result was obtained for that gene segment; genotypes with missing information were excluded from quantitative analyses.