1	Single-cell RNA Sequencing of Pediatric Ependymoma Unravels
2	Subclonal Heterogeneity Associated with Patient Survival
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1 Abstract

Ependymoma (EPN) is a malignant glial tumor occurring throughout central nervous 2 system which commonly presents in children. Although recent studies have characterized 3 4 EPN samples at both the bulk and single-cell level, intra-tumoral heterogeneity across 5 subclones remains a confounding factor which impedes understanding of EPN biology. In 6 this study, we generated a high-resolution single-cell dataset of pediatric ependymoma with 7 a particular focus on the comparison of subclone differences within tumors, and show upregulation of cilium-associated genes in more highly differentiated subclone populations. 8 9 As a proxy to traditional pseudotime analysis, we applied a novel trajectory scoring method to reveal cellular compositions associated with poor survival outcomes across primary and 10 relapsed patients. Furthermore, we identified putative cell-cell communication features 11 12 between relapsed and primary samples and show upregulation of pathways associated with immune cell crosstalk. Our results reveal both inter- and intratumoral gene expression 13 profiles and tumor differentiation and provide a framework for studying transcriptomic 14 15 signatures of individual subclones in ependymoma at single-cell resolution.

1 Introduction

Ependymomas (EPNs) are primary tumors of the central nervous system that commonly 2 3 present in childhood. Although the diagnosis and stratification of EPN patients have been facilitated by identification of nine EPN molecular groups from genome-wide DNA 4 methylation studies ^{1,2}, EPN patients display a high prevalence of relapse and recurrence 5 typically results in much poorer outcomes ³. Between molecular groups, posterior fossa 6 group A (PFA) EPN and supratentorial (ST) EPN with C11orf95-RELA-fusions have been 7 reported to show worse prognoses than PF group B, ST-EPN with YAP1-fusions and spinal 8 EPNs ^{1,4}. With the advent of high-throughput single-cell RNA sequencing technologies, 9 recent studies have provided resources for understanding the molecular landscape of EPN, 10 11 revealing a cellular hierarchy in these tumor cells characterized by an undifferentiated progenitor population which transitions into distinct cell lineages including neuronal 12 precursor-like, glial progenitor-like and ependymal-like cells ^{5,6}. However, these studies 13 focused on characterizing EPN from different major molecular groups and anatomical 14 locations, but did not reveal the differences in molecular signatures between subclones 15 within individual tumors. 16

To date, cellular heterogeneity has typically been viewed as a consequence of hyperproliferation and genomic instability that can give rise to intra-tumoral subclones during tumor progression ⁷. In the context of EPN, previous studies have revealed potential signaling pathways involved in driving the expansion of therapy-resistant EPN subclones, which contribute to tumor relapse and disease progression ⁸. These findings suggest that unravelling subclone-to-subclone variability at single-cell resolution could shed light on the molecular mechanisms underpinning EPN pathogenesis.

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In particular, mutant genotypes can grant selective advantage on specific cellular 1 subclones, leading to their outgrowth and allowing them to establish dominance in different 2 types of tissue environments ⁷. To date, cellular heterogeneity has been viewed as a 3 consequence of hyperproliferation and genomic instability that can give rise to intra-4 tumoral subclones during tumor progression ⁷. For example, genomic instability associated 5 6 with intra-tumoral heterogeneity can manifest in the form of extensive subclonal evolution 7 demonstrated to be correlated with higher risk of recurrence or death in non-small-cell lung cancer ⁹. Indeed, the emergence of subclonal diversity is a fundamental characteristic of 8 9 intra-tumoral heterogeneity and has been found to be significantly associated with patient survival across diverse cancer types in a pan-cancer study, including lower-grade glioma 10 and glioblastoma multiforme ¹⁰. Interestingly, subclones of glioblastoma were shown to 11 display remarkable heterogeneity of drug resistance wherein characteristics of coexisting 12 subclones could be linked to distinct drug sensitivity profiles, hinting at the therapeutic 13 14 potential of targeted treatments for tumor subclones associated with differential survival outcomes¹¹. 15

16 To interrogate subclonal heterogeneity within tumor populations in pediatric EPN, we 17 performed single-cell RNA-seq on EPN samples across PF-A and ST regions. Using a deconvolution approach provided by inferCNV to compare subclones in a single PF-A 18 19 sample as a proof-of-concept, we identified a subclone-specific cilia-associated program 20 within an individual PF-A EPN sample. We further incorporated a trajectory score analysis 21 to predict correlations between survival outcomes in EPN and molecular characteristics, as 22 well as primary and recurrent tumor populations. Finally, we identified cell-cell 23 communication features between relapsed and primary EPN samples and show

- 1 upregulation of pathways associated with immune cell crosstalk. Our results reveal gene
- 2 expression profiles associated with subclonal variability, providing a framework for studies
- 3 on transcriptomic signatures of brain tumor subclones.

4 **Results**

5 Single-cell transcriptomic profiling reveals stemness signature differences between

6 *intratumoral subclones in PF-EPN*

To characterize intratumoral heterogeneity in human EPN, we performed single-cell RNA 7 8 sequencing on four EPN patients with the 10x Genomics platform and profiled the 9 transcriptome of 35,102 qualified cells with an average of 3,472 genes per cell (Supplementary Table 1). The cells with high percentage (>12%) of mitochondrial genes 10 11 and low number (<1500) of genes, and those regarded as doublets were removed from the dataset for subsequent analysis (Supplementary Fig. 1). We first performed copy number 12 variation (CNV) analysis to distinguish neoplastic cells from non-malignant (NM) cells, 13 14 and identified putative subpopulations of malignant tumor cells with high CNV in each 15 tumor samples (Fig. 1a). Moreover, we applied the approach to score the differentiation state of malignant tumor cells using a panel of differentiation-associated genes, which 16 17 revealed that CNV-inferred neoplastic cell populations were in a less differentiated state 18 (Fig. 1b).

After subsetting malignant cells from our single-cell dataset, we further examined the presence of different subclones within individual EPN samples. Notably, the inference of subclonal CNV events uncovered the presence of two putative subclones within the PFA-EPN sample GTE009, based on the hierarchical clustering of inferCNV matrix (Fig.

1c-d, and Supplementary Fig. 2). Subsequently, the differentially expressed genes (DEGs) 1 of various cell types, included neural stem cell (NSC), neuron (NEU), radial glial cell 2 (RGC), oligodendrocyte precursor cell (OPC), oligodendrocyte (OD), astrocyte (AS), 3 ependymocyte (EpC), endothelial cells (EC), microglia (Mic), and T cells (TC) from 4 human and rodent embryonic and postnatal cortex scRNA-seq data (Supplementary Table 5 2), were enriched as signatures to classify cell types in our tumor samples 12-17 (Fig. 1e; 6 7 See Methods). We further applied signature enrichment (SE) analysis and reversed-SE (rSE) for the accuracy of cell-type classification (Supplementary Fig. 3 and Supplementary Fig. 8 9 4), which was supported by correlation analysis (Supplementary Fig. 5). Similar to previously published EPN single-cell datasets ^{5,6}, our analysis identified NSC-, EpC-, 10 NEU-, RGC-, OPC-, OD- and AS-like cells in malignant populations, as well as 11 endogenous NEUs, ECs, Mic and other cells (Fig. 1f and Supplementary Fig. 4). Although 12 both subclones in GTE009 sample encompassed the same malignant cell types, cell-type 13 14 composition analysis of these subclones interestingly showed a lower proportion of NSClike cells in subclone 1 (Fig. 1f-g) with a corresponding increase in EpC-like cells. 15 Moreover, Gene Ontology (GO) analysis revealed enrichment of cilium-related terms 16 17 based on the DEGs in cells from subclone 1 (Fig. 1h-i) consistent with studies highlighting the role of cilium-related genes in disease processes linked to tumorigenesis ^{18,19}, 18 19 suggesting that molecular characteristics correlated with EPN pathogenesis may be 20 associated with intratumoral subclonal heterogeneity.

Upregulation of cilium-associated genes is associated with CNV amplification in highly differentiated EPN subpopulations

23 In spite of transcriptomic and CNV differences between intratumoral subclones, RNA

1 velocity analysis revealed a classic molecular trajectory originating from NSC-like cells to EpC-like cells similar to previously published single-cell EPN datasets ^{5,6} (Fig. 2a-c). 2 Given the marked differences in cell states between populations from separate intratumoral 3 subclones, we further examined the expression level of differentially expressed cilium 4 5 related genes and their corresponding CNV score (Supplementary Table 3). We found that 6 cilium-related genes possessed both higher expression and genome amplification in cells 7 from the more highly differentiated subclone 1 compared to subclone 2, suggesting a correlation between CNV amplification and genes associated with more differentiated cell 8 9 states; for example, the expression level of the cilium-related gene DYNC2H1, which has been implicated in the formation of hypothalamic hamartoma²⁰, was both upregulated and 10 amplified in chromosome 11 of subclone 1 (Fig. 2d-f). Indeed, other genes associated with 11 cilium-related terms in GO analysis²¹ were found to be more highly expressed in subclone 12 1 compared to subclone 2 (Fig. 2g). The marker genes of EpC-like cells in subclone 1 13 14 compared to that of subclone 2 (EpC-Sub1) may be indicative of more mature cellular populations, which was consistent with an inverse correlation with the undifferentiated 15 score (r = -0.65) in EpC-like cells (Fig. 2h-i). These findings suggest that CNV 16 17 amplification of EpC-related genes is correlated with differentiation of malignant cells, manifesting as alterations in cell composition within intratumoral subclones while 18 19 maintaining cardinal features of EPN tumorigenesis. This may be relevant in the context 20 of diagnosing malignant EPN samples, as previous findings have shown direct evidence for the roles of CNV-amplified genes in preventing differentiation, inhibiting cell death, 21 and promoting tumor growth, which were in turn correlated with poor patient outcomes ²². 22

1 Trajectory score analysis identifies cellular compositions associated with worse survival

2 *outcomes in EPN*

To further analyze the cellular subpopulations in subclone 1 and 2, we performed GO 3 analysis on the DEGs between subclones 1 and 2 in NSC-like and EpC-like cells, 4 respectively. We detected high expression of cell-cycle-related genes in NSC-like cells 5 from subclone 2, as well as enrichment of cilium-related genes in EpC-like cells in 6 7 subclone 1 (Fig. 3a). Given that previous studies have demonstrated that high expression 8 of NSC-like cells and low expression of EpC-like cells are correlated with poor patient survival, and vice versa ^{5,6}, we hypothesized that performing a combinatorial analysis 9 10 integrating information from both subclone and cell-types may help in predicting EPN patient outcomes. 11

12 To provide a numerical representation of molecular trajectory information at the 13 single-cell level, we developed a trajectory score for downstream analyses (Fig. 3b): the 14 normalized average expression of undifferentiated-NSC (NSC-like cells in the subclone 2; NSC-Sub2) markers was subtracted by differentiated-EpC (EpC-Sub1) markers. The 15 trajectory score was presented in tSNE plot (Fig. 3c), which resembled the trajectory 16 17 analysis (see Fig. 2b). The trajectory score allows for identification of differentiation state at the single-cell level which complements molecular trajectory analysis in merged samples. 18 Notably, well-characterized stemness-associated markers ^{10,23} such as *FTL*, *LGALS1*, 19 20 MEG3, MEST, TUBB, TMSB4X, and STMN1 were found in the DEGs of trajectory-high group compared to trajectory-low group (Supplementary Table 4), while cilium-related 21 terms were enriched in the trajectory-low group compared to trajectory-high group 22 (Supplementary Fig. 6a). 23

1 Based on the hypothesis that trajectory score was directly correlated with survival outcome and could be easily used to predict the prognosis of EPNs by its simple calculation 2 3 method, we applied this scoring methodology to two published EPN scRNA-seq datasets (33 EPN patients in total), and show that our results are consistent with the respective 4 5 survival outcomes reported in these studies based the respective cell-type composition of individual samples ^{5,6} (Fig. 3d). On the contrary, application of the aforementioned 6 7 undifferentiated score led to relatively more inconsistent results (Supplementary Fig. 6b), 8 suggesting that trajectory score analysis could be a useful tool to investigate EPN prognosis. 9 Indeed, samples with a high trajectory score were found to have correspondingly poorer survival outcomes in published PF-EPN samples and PF/ST-EPN ^{5,6} (Supplementary Fig. 10 6c), although the comparison did not reach significant difference due to the small sample 11 size. Likewise, a higher percentage of recurrent patients compared to primary patients had 12 higher trajectory score (Supplementary Fig. 6d), supporting the association between EPN 13 14 relapse state and overall survival outcomes of this disease.

15 Cell compositions correlated with poor prognosis in EPN recurrent patients are revealed

16 by trajectory score analysis

Relapse rates for EPN can be as high as one third of patients ³, and relapse is known to lead to significantly worse survival outcomes based on published data ^{5,6} (Fig. 4a). To determine transcriptomic signatures at the single-cell level associated with poor survival outcomes in EPN relapse, we compared the cell-type composition in 36 EPN patients ^{5,6} and revealed a significant difference with higher percentage of NSC-like cells in relapse patients (Fig. 4b). Similarly, we found a significantly higher trajectory score in recurrent NSC-like cells compared to primary NSC-like cells, and a similar trend was observed for EpC-like cells

(Fig. 4c). Given the association between high stemness and poor survival outcome found 1 in relapse patients based on the trajectory score, we further performed GO analysis on the 2 DEGs between NSC-like cells in primary and recurrent patients and revealed enrichment 3 of cilium- and immune-related terms (Fig. 4d). This suggests that NSC-like cells from 4 recurrent patients were not only in a more immature cell state, but also that there is a 5 6 likelihood of extensive immune cell crosstalk within these cellular populations, consistent with previous findings reporting the association between cell-cell communication in 7 immune cells and tumor progression 24,25 . 8

9 *Relapsed EPN show upregulation of distinct signaling pathways associated with immune*10 *cell crosstalk*

11 Based on studies demonstrating the role of tumor-infiltrating NM cells such as Mic in brain tumor ^{24,25}, we performed crosstalk analysis to investigate cell-cell interactions between 12 13 the different cell-types profiled. Although there has been increasing interest in examining 14 communication patterns between cell populations using scRNA-seq, crosstalk analysis on 15 intracranial EPN samples has not been extensively studied given the relatively lower number of tumor-infiltrating NM cells profiled in previous datasets ^{5,6}. To investigate cell-16 17 cell interactions in EPN, we first examined the expression of ligand-receptor pairs in different cell-types across four EPN samples (Fig. 5a) and identified the presence of 18 numerous crosstalk events (Fig. 5b, Supplementary Fig. 7a and Supplementary Table 5). 19 20 For example, we observed strong outcoming events from NSC-like cells towards other cells (Supplementary Fig. 7b). Moreover, we uncovered the overlap in simulated spatial 21 22 3D position between NSC-like cells and Mic (Fig. 5c and Supplementary Fig. 7c). Interestingly, these events that had higher expression in recurrent samples than that in 23

primary samples in 36 EPN patients (Fig. 5d) shown interaction between NSC-like cells 1 and Mic (see Supplementary Fig. 7d-e), including MK pathway that promoted brain tumor 2 growth ^{24,25} and *EGFR* pathway that inhibited glioblastoma invasion via pharmacological 3 inhibition of EGFR²⁶. For example, MDK (ligand) and NCL (receptor) were highly 4 expressed in the tumor microenvironment (TME) of recurrent samples (Fig. 5e), consistent 5 6 with previous studies implicating the roles of this ligand-receptor pair in tumorigenesis ^{27,28} and the MK-deficiency reduced tissue infiltration of microglia²⁹. To further elucidate 7 signaling pathways involved in crosstalk between normal and malignant cells, the inferred 8 9 gene regulatory networks also revealed multiple pathways shared in crosstalk (see Supplementary Results and Supplementary Fig. 8). Taken together, crosstalk analysis on 10 35,102 individual cells in conjunction with validation using 36 EPN patients revealed 11 elevated cell-cell interactions between malignant cells and tumor-infiltrating NM cells, 12 such as between NSC-like cells and Mic, consistent with studies demonstrating a key role 13 14 of the central nervous system TME in the pathogenesis of EPN.

15 **Discussion**

The increasing accessibility of scRNA-seq technologies has accelerated our understanding 16 of cellular function in health and disease. Here, we generated a high-resolution EPN single-17 cell dataset with a particular focus on the comparison of subclone differences within tumor 18 populations. Our analysis on four EPN samples profiled 35,102 single-cell transcriptomes 19 and uncovered 17 major cell types including NSC-like, EpC-like and microglia populations 20 that are present across different EPN groups. We further reveal differences in cell 21 proportions within highly differentiated populations within tumor subclone cells by 22 23 integrating CNV pattern analysis with single-transcriptome data in this study and

previously published datasets. Additionally, differential gene expression analysis also
 identified gene programs associated with tumor subclones as well as survival outcomes.

3 Treatment of heterogeneous tumors such as EPN can favor selection of resistant subclones given that different subclones respond differently to intrinsic and extrinsic 4 signaling cues. EPN relapses after surgical resection and treatment of EPN are common 5 6 and have poor outcomes, and recent findings have demonstrated that administration of 7 radiation and chemotherapy can lead to a significant increase in EPN mutational burden in conjunction with changes to the tumor subclonal architecture, without eliminating the 8 original founding clone⁸. In this study, we report the presence of subclones within a single 9 EPN tumor sample characterized by molecular signatures reflecting different stages of 10 cellular differentiation. This suggests that in addition to stemness signature gradients 11 between tumors ^{5,6}, intratumoral heterogeneity can also be uncovered within individual 12 samples containing multiple subclones. Importantly, further interrogation of the subclones 13 14 identified from CNV analysis also showed that EPN subpopulations which were more 15 differentiated exhibited an increase in cilium-associated genes. For example, in the sample 16 GTE009, 6% of 494 DEGs from EpC-like cells compared to the other malignant tumor 17 cells were found to be overlapped with genes related to cilium assembly, organization, and movement such as PIFO, ZMYND10, DNAH9, TEKT1, DYNLL1, SPEF1, MNS1, DNAAF1, 18 19 *IQCG*, *SPAG16*, *FOXJ1*. Indeed, ciliary signaling is known to be a mediator of paracellular 20 signals controlling cancer metastatic processes and responses to therapy, and mutations 21 leading to defects or structural abnormalities in cilia have been shown to be directly correlated with cancer pathogenesis¹⁸. Given that ependymal cells in EPN are multiciliated 22 23 cells ¹⁹, it is plausible that changes in ciliation of EPN subpopulations and/or cells of the

tumor TME during EPN development can contribute to disparities in outcomes within
 tumors of the same molecular group.

To complement classical pseudotime molecular trajectory methodologies ⁷¹, we 3 applied a curated trajectory score to our single-cell dataset to integrate EPN subclone and 4 cell-type information in our analysis and found that higher trajectory scores were found in 5 6 patients with EPN samples exhibiting elevated stemness signatures (FTL, LGALS1, MEG3, *MEST*, *TUBB*, *TMSB4X*, *STMN1*^{10,23}), which is also associated with worse prognoses. This 7 trajectory score analysis allows for a numerical representation of EPN trajectory stages at 8 9 the single-cell level to facilitate comparison with datasets of interest. Moreover, our analysis takes into consideration both cell-types and stemness signatures and can be easily 10 applied to other transcriptomic datasets of interest to quantify the relative correlation 11 degree between survival outcomes and stemness signatures across different samples. As a 12 proof-of-concept, we performed validation of our dataset with previously published results 13 14 on EPN samples (derived from 36 patients in total), and indeed demonstrated that this analysis reveals consistent trends in EPN survival outcomes. In addition to using trajectory 15 score analysis to examine the association between EPN stemness signatures and survival 16 17 outcomes, we further applied this method to compare differences in survival outcomes between primary and recurrent EPN samples. Previous findings have identified an 18 19 enrichment of undifferentiated programs (NSC-like) in recurrent PF-EPN relative to 20 primary PF-EPN samples from comparing three matched samples at the single-cell level ⁵. 21 Here, we find that this is consistent across the single-cell transcriptome of 36 published 22 EPN samples, as trajectory score analysis shows a clear significant difference in cell-type 23 composition between recurrent and primary EPN samples with a higher percentage of NSC-like cells in recurrent EPN. Further analysis of subpopulations within recurrent and
primary samples revealed that recurrent samples also show higher trajectory score in NSClike cells and in EpC-like cells compare to the corresponding cell types in primary samples.
These findings suggest that trajectory score analysis can uncover multiple types of
association in EPN samples in a quantifiable form, such as the correlation between
stemness and tumor occurrence with patient mortality.

7 In EPN and other brain cancers, there is increasing evidence that the brain TME functions as a key regulator of cancer progression in brain malignancies ³⁰. Hence, we 8 9 performed cell-cell communication analysis on our EPN single-cell transcriptomes to assess the crosstalk between different cell types in EPN, given that the TME contains non-10 cancerous cell types such as pericytes, endothelial cells, and immune cells in addition to 11 cancer cells. We revealed putative interactions between malignant cells and tumor-12 infiltrating NM cells, such as enrichment in interactions between NSC-like cells and 13 14 microglia. For example, the MK pathway, which has been implicated in brain tumor pathogenesis ^{24,25}, was not only found to be a significantly upregulated in this study's 15 16 dataset, but also showed higher expression in recurrent samples compared to primary 17 samples based on 36 published EPN single-cell transcriptomes. Indeed, MK deficiency has been shown to reduce tissue infiltration of microglia, leading to reduced 18 neuroinflammation and apoptosis²⁹. Given that inflammatory cross-talk with immune cells 19 20 has previously been shown to play a key role in driving tumor growth in the EPN microenvironment³¹. These findings suggest that immune cell crosstalk analysis may serve 21 22 as a useful resource for identification of candidate genes for future in vitro and in vivo 23 validation studies.

In summary, we report a curated EPN atlas focusing on comparison of intra-tumoral 1 heterogeneity in this study. We use an integrative analysis approach to show both changes 2 in cell-type composition and cell-type-specific gene expression associated with different 3 tumor groups and subclones. Moreover, we also apply a novel trajectory scoring method 4 as a parallel tool to traditional molecular trajectory analysis and demonstrate its robustness 5 6 in recapitulating survival outcomes within individual EPN samples and across primary and 7 recurrent tumors. This approach will complement existing published datasets and provide 8 valuable insights into cell-type-specific properties of EPN, laying the foundation for 9 therapeutic treatments of this disease.

10

Materials and Methods

12 EPN sample preparation for scRNA-Seq

Fresh tumor samples were processed as previously described with minor modifications ³². 13 14 Fresh EPN tissue was excised by physicians with signed informed consent documents that 15 was approved by the ethics committee of Beijing Tiantan Hospital of Capital Medical 16 University. Samples were delivered on ice to Institute of Genetics and Developmental 17 Biology of Chinese Academy of Sciences immediately. Microdissected tissues were 18 transferred to a 24-well cell culture plate and digested by buffer comprising 20 U/mL 19 Papain (LK003178; Worthington, Lakewood, U. S. A.), 100 U/mL DNaseI (LK003172; Worthington), 10 U/L chondroitinase ABC (C3667; Sigma-Aldrich, St. Louis, U.S.A.), 20 0.07% hyaluronidase (R006687; Rhawn, Canton, P.R.C.), 1 X Glutamax (35050061; Life 21 Technologies, Waltham, U. S. A.), 0.05 mM (2R)-amino-5-phosphonovaleric acid (APV; 22

010510; Thermo Fisher Scientific, Waltham, U.S.A.), 0.01 mM Y27632 dihydrochloride 1 (T9531; Sigma), and 0.2 X B27 supplement (17504044; Thermo Fisher Biosciences) in 2 3 Hibernate-E media (A1247601, Life Technologies) for 1-2 hrs at 37°C, and then pooled with Hibernate-E buffer containing 1xGlutamax, 0.05 mM APV, 0.2 X B27, 0.01 mM 4 5 Y27632 dihydrochloride. Tissues were gently triturated through Pasteur pipettes with 6 finely-polished tips of 600, 300 and 200 µm diameters in order, and washed once with 7 Hibernate-E buffer to generate single-cell suspensions. After filtration through a 40 µm strainer (130-101-812; Thermo Fisher Scientific), 1 X red blood cell lysis solution (130-8 9 094-183; Miltenyi Biotec, Bergisch Gladbach, Germany) was added to remove blood contamination during surgery followed by 1800 µL debris removal solution (130-109-398; 10 Miltenyi Biotec). Subsequently, the dissociated cells were stained with DAPI ($0.2 \,\mu g/mL$) 11 to identify dead cells. scRNA-seq libraries were constructed under the manufacturer 12 instructions provided by 10x Genomics accompanying single cell 3' Library and Gel Bead 13 14 Kit V3 (1000075; 10x Genomics, Pleasanton, U.S.A.). A Chromium Single Cell Controller (10x Genomics) loaded cell suspensions (300-600 living cells per microliter determined by 15 Count Star) to generate single-cell gel beads in the emulsion (GEM). Quality control was 16 17 performed on the generated cDNA library using the Agilent 4200 and scRNA-seq was performed on the Illumina Novaseq6000 sequencer. 18

19

Data processing of scRNA-seq

The sequencing data was processed by CellRanger v3.1.0 with reference genome hg19-3.0.0 to generate filtered expression matrices which were analyzed using Seurat v3.2.0³³. Doublet Finder ³⁴ was first applied to erase doublets with default settings. Genes detected in at least ten cells were used for analysis, and cells that possessed transcription numbers

1 fewer than 1,500 or cells with mitochondrial genes talking up more than 12% of reads were removed. After normalizing the data, we used 5,000 highly variable features for 2 downstream analysis and cell cycle variation was regressed out as previously described ³⁵. 3 tSNE analysis was performed with top 50 significant principal components from principal 4 5 component analysis and cells were clustered using 'FindClusters' function based on tSNE reduction. CNV analysis was performed using inferCNV of the Trinity CTAT Project³⁶ 6 (https://github.com/broadinstitute/inferCNV) as described in the following section. 7 Calculation of the undifferentiated score was performed using CytoTRACE ³⁷ in as 8 previously described ³². The EPN single-cell datasets covering malignant cells and tumor-9 infiltrating NM cells were analyzed by 'iCytoTRACE' function as the quantification of the 10 number of expressed genes as an indicator of differentiation potential; genes associated 11 differentiation (deduced by CytoTRACE) and their relative expression levels were used to 12 perform this calculation. KEGG/GO analysis was performed based on the DEGs between 13 14 malignant tumor cells and NM cells of the merged dataset using 'FindAllMarkers' function in Seurat and clusterProfiler ³⁸. The correlation of malignant tumor cells and NM cells 15 among samples was in favor of malignancy separation (Supplementary Fig. 1e) utilizing 16 17 'cor' function of stats package in R v3.6.3.

18 Estimating CNVs in scRNA-seq data

The initial CNVs of single cells were estimated from their whole-genome wide expression level by inferCNV 35,36 . To perform comparison across samples, 300 cells of OPCs were sampled from the GTE009 as a common reference, and all the non-immune cells of each sample were tested against it, with the parameters of 'min_max_counts_per_cell = c(5e2, 6e6); cutoff=0.1; min_cells_per_gene=5' and other default parameters in inferCNV. Then, the estimated CNV values were re-scaled to 0 to 2, with 1 as the normal copy, to compare among samples. The CNV cluster of each sample were deduced by the hierarchical clustering (ward.D2) of inferCNV matrix. The CNV level of each cell was also calculated as previously described ³⁹. The estimated CNV values were re-standardized as -1 to 1, and the CNV level of each cell was then calculated as the quadratic sum of all the expressed genes.

7 Estimating CNVs in WES data

8 Sample DNA was extracted and sequenced via Agilent SureSelect Human All Exon v6 and 9 Illumina platform. Whole-exome sequencing reads were aligned to human reference genome (b37), using BWA 40, followed by marking of duplications via Picard 10 (http://broadinstitute.github.io/picard/). CNVkit ⁴¹ was used to call CNVs from targeted 11 12 regions of exons in each sample, following the default workflow, with the bin size of 1kb. 13 A flat reference was made to run CNVkit with each sample, and during segmentation, the 14 'CBS' method was applied, with 1e-4 as the significance threshold and parameters of '-drop-low-coverage --drop-outliers 3'. 15

16 Statistical analysis

CNV score, gene expression, and undifferentiated score comparison between subclones was analyzed by D'Agostino & Pearson normality test, Shapiro-Wilk test, and Mann Whitney test in GraphPad v8.3.0. Asymptotic Two-Sample Fisher-Pitman Permutation Test of cell-type composition between subclones of the sample GTE009 and between recurrent and primary samples was performed by 'oneway_test' function of coin package in R v3.6.3. Correlation analysis was performed by R package 'corrplot' from Taiyun Wei and Viliam Simko (2021; : Visualization of a Correlation Matrix (Version 0.90)) and cor() function in

1 R v3.6.3.

The survival analysis was performed on published data ^{5,6} by R package 'survminer' 2 from Alboukadel Kassambara, Marcin Kosinski and Przemyslaw Biecek (2021; Drawing 3 Survival Curves using 'ggplot2' (0.4.9)) and R package 'survival' ⁴². The groups were 4 separated by the relapse situation (recurrent or primary annotated by original authors ^{5,6}) 5 or the mean of trajectory scores of samples. First, a survival object was created by 'Surv' 6 7 function; second, the survival curves were created by 'survfit' function based on a tabulation of the number at risk and at death time of events from the supplementary files of these 8 published researches ^{5,6}; third, 'ggsurvplot' function was used for the visualization of these 9 10 curves.

11 Cell-type annotation

For cell malignancy analysis, we combined the following approaches to achieve a 12 combinational separation of non-malignant cells and malignant tumor cells. First, all cells 13 were sorted by t-distributed stochastic neighbor embedding (t-SNE) projections in each 14 patient and colored based on the cell clusters identified by Seurat ³³, as malignant cells 15 were often comprised of multiple clusters and were contiguous in t-SNE projection ⁴³. 16 Second, the malignancy of cells was explored by copy number variation (CNV) scores 17 through whole-exon sequence by CNVkit⁴¹ (Supplementary Fig. 2) and through modified 18 inferCNV (https://github.com/broadinstitute/inferCNV) ^{35,36} (Fig. 1a) for each sample. 19 Third, the malignancy was further supported by the high undifferentiated score calculated 20 21 by CytoTRACE³⁷ (Fig. 1b). Combining the result of cell-cycle stages (Supplementary Fig. 1d), we classified each sample into malignant tumor cells and non-malignant cells. For the 22 better exploration of the intratumoral genome, the pattern revealed by inferCNV was used 23

1 for separation of malignant tumor cells into different subclones of each sample (Fig. 1c-d). To support the genetic information inferred by transcriptome, we applied whole-exome 2 sequencing analyzed by CNVkit⁴¹ (Supplementary Fig. 2) and obtained similar results in 3 chromosomal level comparing to that from inferCNV. Fourth, the high correlation between 4 malignant tumor cells and between non-malignant cells among samples supported 5 6 malignancy separation (Supplementary Fig. 1e). As validation, we performed Kyoto encyclopedia of genes and genomes (KEGG) analysis ³⁸ on the differentially expressed 7 genes (DEGs) of malignant tumor cells compared to non-malignant cells of merged 8 9 samples, which shown enrichment on cell cycles and cancer-related terms: breast cancer, hepatocellular carcinoma, and proteoglycans in cancer (Supplementary Fig. 1f). To sum up, 10 we obtained non-malignant cells and malignant tumor cells and explored the genome of 11 each sample. 12

To achieve cell type classification, we applied signature enrichment analysis 13 14 (Supplementary Fig. 3). Firstly, the DEGs of various cell types were calculated from each published data of corresponding area (Supplementary Table 2) and were used as signatures 15 to distinguish cell types in our tumor samples ¹²⁻¹⁷ (Fig. 1e) which included RGC, AS, EC, 16 EpC, NEU, NSC, OD, OPC, Mic, and T cells, from human and rodent embryonic and 17 18 postnatal cortex scRNA-seq data. The enrichment of these gene signatures was calculated 19 using the 'AddModuleScore' function by subtracting the aggregated expression of control genes from the average expression levels of gene signatures ³⁵. Then the signature 20 21 enrichment of those gene sets in cellular level was summarized in cluster level on average 22 and was utilized as the standard to ascertain the cell type. Conclusively, the cell types of clusters were determined by the highest signature score. 23

1 Studies on scRNA-seq have also employed a reversed approach for cell type classification (rSE; extracting signatures from unknown clusters and enriching them on 2 published data of identified cell types; for details, see methods; Supplementary Fig. 3) 3 ^{5,6,44,45}. We then compared the similar results of these two methods in our data and obtained 4 a high degree of correlation (Supplementary Fig. 3). Hence, the following research applied 5 6 the result of the first mentioned method, SE analysis. This method and calculated signatures 7 (Supplementary Table 2) were further tested on other published data, which displayed high 8 similarity in cell type classification compared to the cell types determined by original authors: human cortex ⁴⁶ (Supplementary Fig. 5b), rodent cortex ¹⁷ (Supplementary Fig. 9 5c), ependymoma ⁵ (Supplementary Fig. 5d), and childhood ependymoma ⁶ 10 (Supplementary Fig. 5e). 11

12 Trajectory analysis

For developmental trajectory analysis, the BAM files from Cell Ranger were processed by 13 Velocyto ⁴⁷ to obtain loom files containing spliced and unspliced transcript counts, which 14 was used as input for scVelo⁴⁸ and Velocyto⁴⁷. Monocle^{49,50} was applied on 2000 variable 15 16 features detected within more than 5% of cells to obtain reduced coordinates by 'DDRTree'. 17 The trajectory score was inferred by 'AddModuleScore'. The undifferentiated trajectory score was calculated based on the significantly highly expressed genes in NSC-like cells 18 in subclone 2 of the sample GTE009, while the differentiated trajectory score was 19 20 calculated based on the significantly highly expressed genes in EpC-like cells in the subclone 1 of the sample GTE009. The final trajectory score was then calculated by 21 subtracting the differentiated trajectory score from the undifferentiated trajectory score of 22 each cell. 23

1 Crosstalk and gene regulatory network analysis

2 Crosstalk analysis on EPN was performed on the integrated dataset of four EPN samples using CellChat ⁵¹ and simulated 3D spatial structure of different cell types was calculated 3 by CSOMAP ⁵². In details, CellChat preprocessed the expression data of our integrated 4 four ependymoma for cell-cell communication analysis; the cell-cell communication 5 network was inferred by computation of the communication probability at a signaling 6 7 pathway level and the calculation of the aggregated data frame. The elevated crosstalk pathways were validated in previously published EPN single-cell datasets ^{5,6}. Similarly, 8 9 CSOMAP computed the network of ligand-receptor interaction and then calculated 10 optimized 3D coordinates.

11 Regulons for individual cell types were computed using the SCENIC (single-cell regulatory network inference and clustering) pipeline ⁵³ on our integrated four EPN 12 samples and validated by previously published EPN single-cell datasets ^{5,6}. A log-13 14 normalized expression matrix of the four integrated EPN samples was used as an input into the pySCENIC workflow with default settings to infer regulon activity scores. To examine 15 relevant networks using cell-cell communication analysis, we identified genes involved in 16 17 crosstalk (ligands or receptors expressed in NSC-like cells) or gene regulatory networks (regulons with significantly high activity in NSC-like cells) of interest and then used genes 18 classified under the same enriched terms in GO/KEGG analysis. Genes that had 19 20 significantly higher expression in recurrent EPN than that in primary EPN were enriched in GO and KEGG analysis to highlight key terms in crosstalk and regulatory networks. 21

22

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7

- 8 Ethics declaration
- 9 *Competing interests*
- 10 The authors declare no competing interests.

11

12 Supplementary information

- 13 Supplementary Figures 1-8
- 14 Supplementary Tables 1-5

15

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1 Figures and figure legends

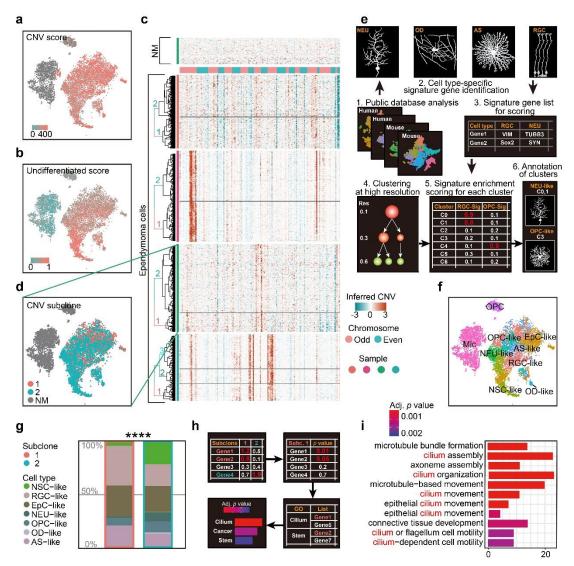
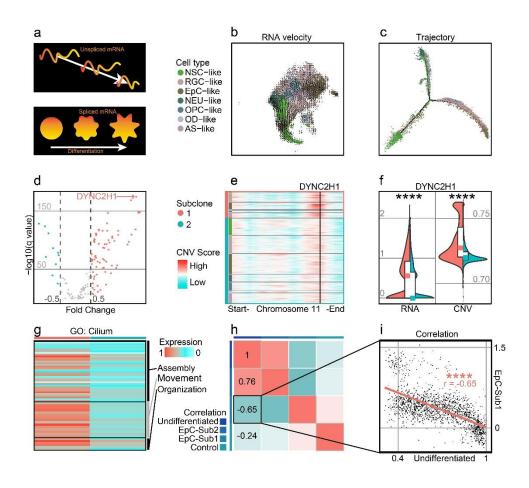


Fig. 1. scRNA-seq analysis reveals intratumoral subclone heterogeneity in PF-EPN. a, 2 CNV score calculated by modified inferCNV of PF-EPN sample GTE009 presented on 3 tSNE reduction. **b**, Undifferentiated score calculated by CytoTRACE of PF-EPN sample 4 GTE009 presented on tSNE reduction. c, CNV heatmap (rows represent cells and columns 5 represent CNV score of genes) of malignant tumor cells from four EPN samples labeled 6 7 by genetic subclone information for each sample. **d**, Subclonal populations in malignant cells and NM cells of PF-EPN sample GTE009 classified by CNV pattern presented on 8 tSNE reduction. e, Workflow of cell-type classification. Signature markers genes are 9 obtained from public transcriptome databases of human and rodent cortex and used for 10

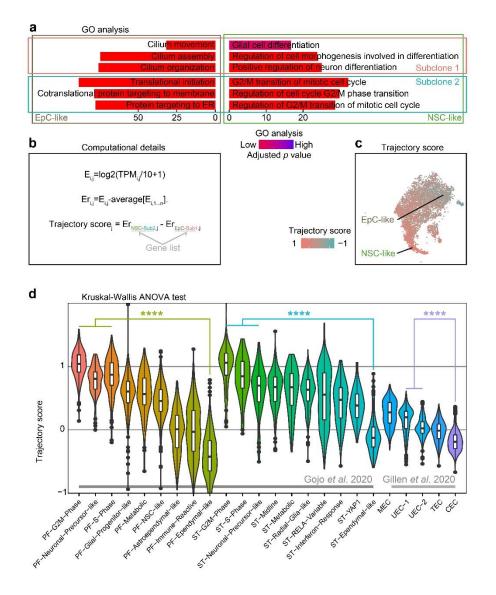
- 1 cell-type assignment and cluster annotation. **f**, tSNE plot of all clusters in PF-EPN sample
- 2 GTE009 color coded by cell types. g, Histogram of cell types in PF-EPN sample GTE009
- 3 colored by cell-types in percentage and outlined by subclone annotation showing
- 4 significant difference (p value = 7.975e-05) in cell type proportions using asymptotic two-
- 5 sample Fisher-Pitman permutation test. **h**, Workflow of gene ontology enrichment analysis
- 6 comparison between PF-EPN sample GTE009 subclone 1 and 2. i, Gene ontology analysis
- 7 of upregulated genes in PF-EPN sample GTE009 subclone 1 compared to the subclone 2
- 8 ordered by adjusted p-value.



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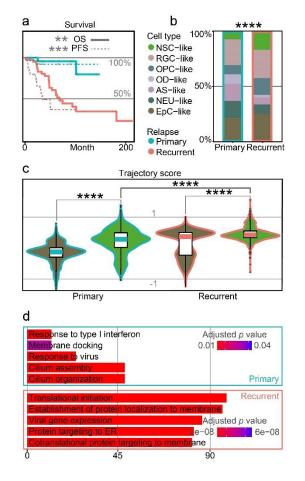
2 Fig. 2. Highly differentiated cells in PF-EPN subclonal populations show CNV amplification and enrichment of cilium-associated genes. a, Schematic of RNA splicing 3 analysis and cell differentiation using RNA velocity and trajectory deduction 4 methodologies. **b**, RNA velocity inferred by Velocyto and scVelo of malignant tumor cells 5 presented on tSNE reduction and colored by cell types in PF-EPN sample GTE009. c, 6 Differentiation trajectory inferred by Monocle of malignant tumor cells in PF-EPN sample 7 GTE009. d, Volcano plot showing genes with differentially expressed CNV values 8 highlighting DYNC2H1 in PF-EPN sample GTE009 subclone 1 compared to the subclone 9 2. e, Heatmap of chromosome 11 showing inferCNV scores colored by cell types 10 designated in Fig. 2b and subclone annotation in PF-EPN sample GTE009. DYNC2H1 is 11 highlighted by black vertical bar. f, Violin plot showing significant difference (p value < 12 0.0001; Mann Whitney test) in gene expression (RNA) and CNV level of DYNC2H1 13 between subclones in PF-EPN sample GTE009. g, Heatmap showing relative expression 14

- 1 of identified genes from cilium-related terms in GO analysis ²¹ colored by subclones in PF-
- 2 EPN sample GTE009. h, Correlation analysis of undifferentiated score in EpC-like cells,
- 3 normalized average expression of markers in EpC-like cells in subclone 1 (EpC-Sub1) and
- 4 subclone 2 (EpC-Sub2), and normalized average expression of Mic (Control; see
- 5 Supplementary Table 2) in PF-EPN sample GTE009. i, Pearson correlation between
- 6 undifferentiated score and normalized average expression of EpC-Sub1 (p value < 0.0001)
- 7 in PF-EPN sample GTE009.



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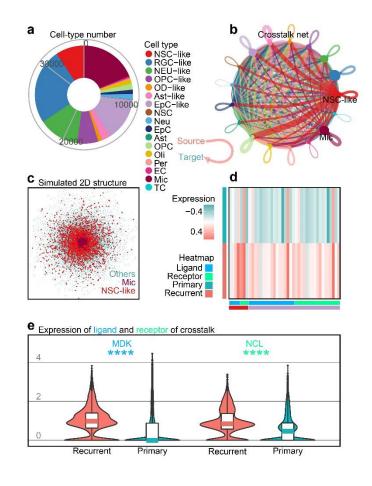
2 Fig. 3. Trajectory score analysis can predict EPN cell compositions associated with 3 poor survival outcomes. a, Gene ontology analysis of differentially expressed genes from EpC-like and NSC-like cells between subclones classified by annotation of subclones and 4 cell types in PF-EPN sample GTE009. b, Workflow for calculating trajectory score based 5 on published computation method 35 . E: expression; TPM_{i,i}: transcript-per-million (TPM) 6 7 for gene i in sample j; Er: relative expression. c, tSNE plot of trajectory score in combined subclone 1 and 2 datasets with EpC-like and NSC-like cell populations labeled in PF-EPN 8 sample GTE009. d, Validation of trajectory score on published scRNA-seq data ^{5,6} of EPN 9 10 using previously defined cell-type annotations (p value < 0.0001; Kruskal-Wallis test).



1

2 Fig. 4. Cellular populations in recurrent EPN with poor prognosis are associated with

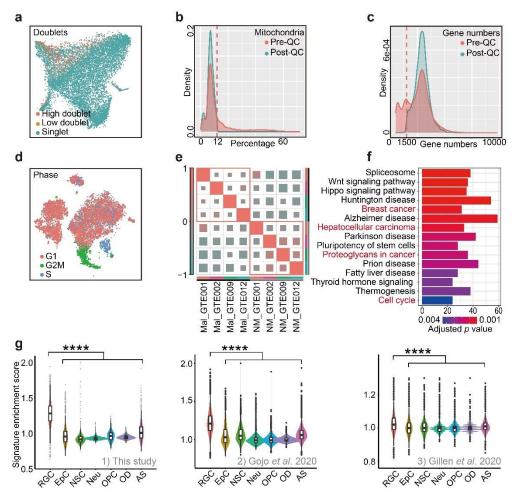
3 higher trajectory score. a, Survival plot of primary and recurrent EPN patients. The solid line refers to overall survival (OS; p value = 0.0018) and the dotted line refers to 4 progression-free survival (PFS; p value = 0.00026), which are colored by relapse situations 5 on published scRNA-seq data ^{5,6}. **b**, Histogram of cell types in primary and recurrent EPN 6 7 colored by cell-types and outlined by primary/recurrent conditions showing significant difference (p value < 2.2e-16) between cell types using asymptotic two-sample Fisher-8 Pitman permutation test. c, Trajectory score analysis comparison between primary and 9 recurrent samples in NSC-like and EpC-like cells using Kruskal Wallis test (all p values < 10 0.0001). d, Gene ontology analysis of differentially expressed genes in NSC-like cells 11 12 between primary and relapse conditions.



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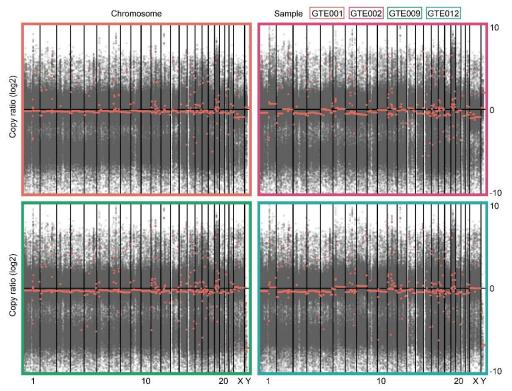
Fig. 5. Crosstalk analysis reveals cell-cell interactions implicating immune cell 2 3 **populations in recurrent EPN. a**, Cell numbers of all cell-types in four EPN samples. **b**, Crosstalk net analyzed by CellChat. Individual lines represent the crosstalk from source to 4 target cells. Related to Supplementary Fig. 7a-b. c, Simulated 2D spatial structure showing 5 overlap of Mic and NSC-like cell populations by CSOMAP. Related to Supplementary Fig. 6 7 7c. d, Heatmap of ligands or receptors with significantly higher expression in recurrent samples compared to primary samples, colored by cell type and gene class (ligands or 8 receptors) using published single-cell transcriptomes of 36 EPN samples ^{5,6}. e, Expression 9 of MDK (ligand) and NCL (receptor) between recurrent and primary samples of 36 EPN 10 patients 5,6 (*p* value < 0.0001; Mann-Whitney test). 11

1 Supplementary information



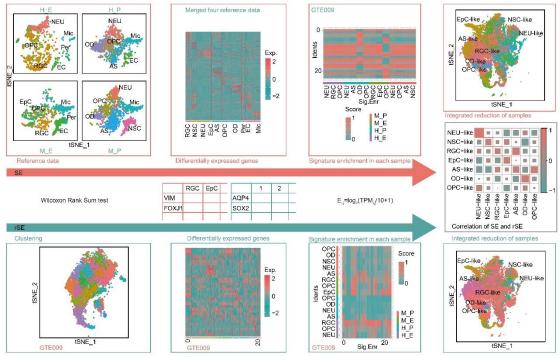
Supplementary Fig. 1. Quality Control of scRNA-Seq Analysis of Human EPN. a, 2 Doublets identified by Doublet Finder presented on tSNE reduction. High doublet cells are 3 filtered out. **b**, Density plot of mitochondria percentage of cells in sample GTE009. Cells 4 5 with more than 5% of mitochondria percentage are filtered out. c, Density plot of captured gene numbers of cells in sample GTE009. Cells with less than 1500 transcripts are filtered. 6 d, Cell cycle phases of cells in sample GTE009 re-calculated after quality control presented 7 on tSNE reduction. e, Correlation plot of transcriptome of malignant tumor cells (Mal) and 8 non-malignant cells (NM) among samples (GTE001, GTE002, GTE009, and GTE012). f, 9 10 KEGG analysis on DEGs of malignant tumor cells compared to non-malignant cells of four merged samples (GTE001, GTE002, GTE009, and GTE012). g, Enrichment of RGC 11 signatures in malignant tumor cells compared to other cells types using single-cell 12 transcriptomes from 1) this study, 2) EPN ⁵ and 3) childhood EPN ⁶ (one-way ANOVA 13

1 analysis; p value < 0.0001).



1 Supplementary Fig. 2. CNV Analysis of Whole-exome Sequencing. CNV heatmap of

² whole-exon sequence data labeled by samples.



Supplementary Fig. 3. Workflow of Cell Type Classification. Top: Schematic for cell
 type classification by signature enrichment (SE). The DEGs of different cell populations

are obtained from published transcriptomic datasets of human and rodent embryonic and

4 adult cortex (details see Supplementary Table 2) and used as signatures to distinguish cell

- 5 types. All unknown cells are then clustered at high resolution to obtain multiple clusters,
- 6 and the highest signature enrichment score in each cluster is designated as the cell type
- identity for these clusters. Bottom: Schematic for cell type classification by reversed

8 signature enrichment (rSE). In the reciprocal analysis pipeline, unknown cells are first

9 clustered at high resolution to obtain multiple clusters and the DEGs of all clusters are

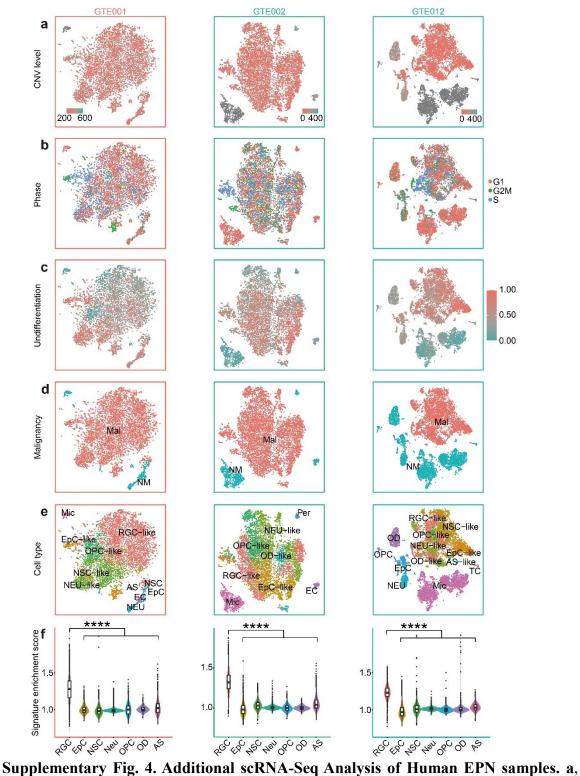
10 calculated and used as signatures to distinguish cell types. The signatures are then

- 11 compared with published transcriptomic datasets of human and rodent embryonic and
- 12 adult cortex (details see Supplementary Table 2), and the highest signature enrichment

13 score is assigned as the name for the unknown cluster. The correlation result of SE- and

rSE-determined cell types by 'cor' function of stats package in R v3.6.3 confirms high

15 correlation across the two analysis pipelines.



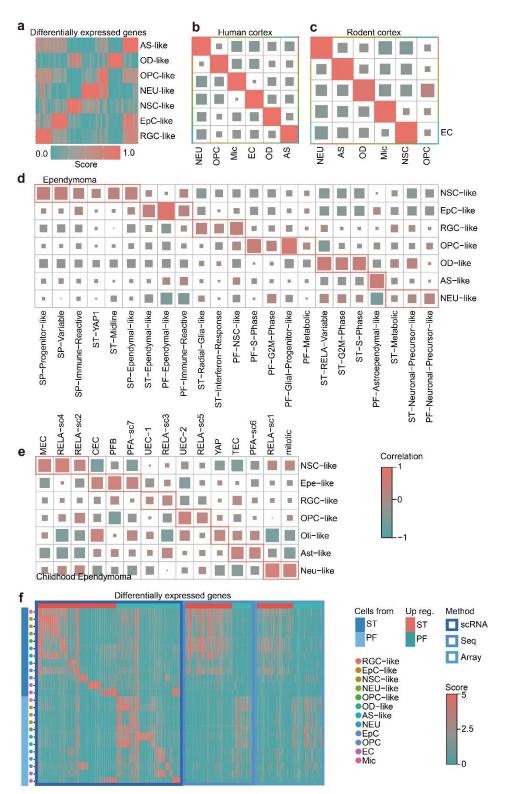
Supplementary Fig. 4. Additional scRNA-Seq Analysis of Human EPN samples. a,
 CNV score calculated by modified inferCNV of samples (GTE001, GTE002, and GTE012)
 presented on tSNE reduction. b, Cell cycle phases in cellular level of samples (GTE001,

4 GTE002, and GTE012) presented on tSNE reduction. c, Undifferentiated score calculated

1 by CytoTRACE of samples (GTE001, GTE002, and GTE012) presented on tSNE

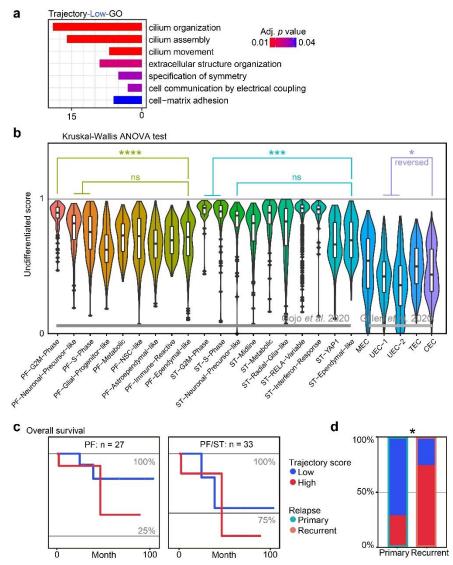
2 reduction. d, Classified non-malignant cells and malignant tumor cells of samples

- 3 (GTE001, GTE002, and GTE012) presented on tSNE reduction. e, Annotated clusters of
- 4 samples (GTE001, GTE002, and GTE012) presented on tSNE reduction. **f**, Enrichment of
- 5 signatures in malignant tumor cells compared to other cell types in samples (GTE001,
- 6 GTE002, and GTE012; one-way ANOVA analysis; p value < 0.0001). See also
- 7 Supplementary Table 1.



Supplementary Fig. 5. EPN cell type classification validation. a, Heatmap of DEGs in annotated clusters of the sample GTE009. b-e, Correlation of cell types classified by method of signature enrichment (rows) and that of original cell types determined by

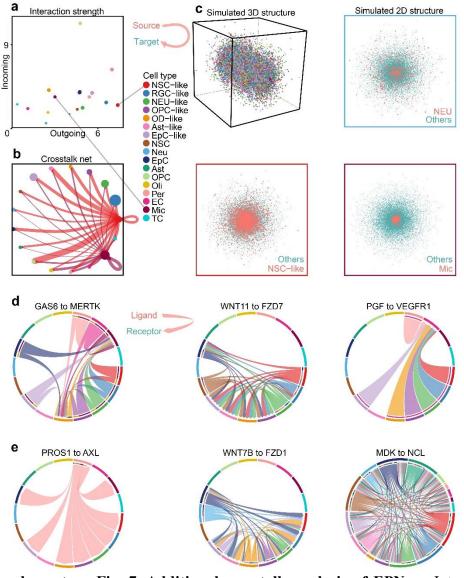
- 1 original authors (b: human cortex ⁴⁶, c: rodent cortex ⁵⁴, d: ependymoma ⁵, and e: childhood
- 2 ependymoma ⁶). **f**, Heatmap of DEGs calculated by cell types and pathogenic sites from
- 3 scRNA-seq data in this study and bulk-DEGs. Aforementioned bulk-DEGs were calculated
- 4 by pathogenic sites from online bulk-seq data (Gene Expression Omnibus ^{55,56}; Seq:
- 5 GSE89448⁵⁷; Array: GSE64415⁵⁸⁻⁶⁰; aligned to human reference genome GRCh38(hg38))
- 6 through DESeq2 61 .



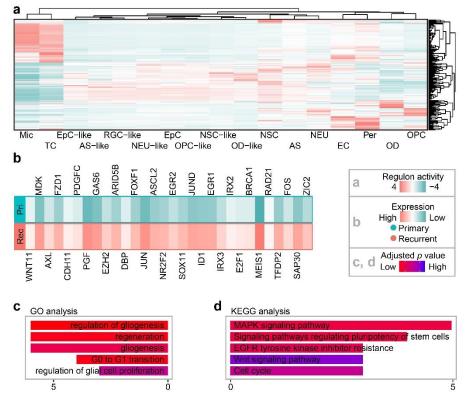
Supplementary Fig. 6. Additional trajectory score analysis of EPN. a, Gene ontology 1 analysis of upregulated genes in patients with low trajectory score compared to patients 2 with high trajectory score in published ependymoma scRNA-seq data ^{5,6}. **b**. 3 Undifferentiated score analysis on published scRNA-seq datasets of ependymoma ^{5,6} 4 (Kruskal-Wallis test). c, Overall survival analysis on the trajectory score. A p value of 0.39 5 and 0.72 were obtained from the difference between two groups of trajectory score of 6 patients (separated by the mean of trajectory score), with a trend of worse survival in 7 patients with high trajectory score. The high and low group were separated by the mean of 8 trajectory score on published scRNA-seq data ^{5,6}. **d**, Histogram showing percentage of cells 9 with high and low trajectory score and outlined by subclone annotation in samples from 10 primary and recurrent patients. Permutation test shown significant compositional 11

- 1 difference between primary and recurrent samples (p value = 0.01241; asymptotic two-
- 2 sample Fisher-Pitman permutation test).

3 *
$$p < 0.05$$
; ** $P < 0.01$; *** $p < 0.001$; **** $p < 0.0001$



1 Supplementary Fig. 7. Additional crosstalk analysis of EPN. a, Interaction strength between cell types profiled in EPN samples inferred by CellChat. **b**, Crosstalk net analyzed 2 3 by CellChat. Individual lines represent the crosstalk from source to target cells, highlighting interactions from NSC-like cells and Mic to other cell types. c, Simulated 3D 4 5 spatial structure of all cells and 2D angle of the simulated spatial structure by CSOMAP of Mic, NSC-like cells, and NEU cells respectively, colored by pink (cell type of interest) and 6 7 blue (other cell types). d-e, Circle plots of ligands and receptors with higher expression in recurrent samples than that in primary samples. Lines represent the crosstalk between 8 9 specific ligands and colors represent the cell type origin for each interaction.



Supplementary Fig. 8. Additional regulon analysis of EPN. a, Gene regulatory networks
were inferred by SCENIC and were clustered by cell types (bottom) and regulons (right).
b, List of significantly upregulated genes in recurrent samples from crosstalk and gene
regulatory network analysis of NSC-like cells which share the same enriched terms in
GO/KEGG analysis. c-d, Visualization of genes using GO and KEGG enrichment analysis.

Table S1. Clinical data from EPN patients. Clinical data of four sequenced EPN samples
 from patients in this study.

3

Table S2. Signatures for cell type classification. For recognition of cell type in SE
algorithms, Seurat was used to calculate the DEGs (latter utilized as signatures) of each
cell type from each reference data 12-16,62 which included RGC, AS, EC, EpC, NEU,
NSC, OD, OPC, Mic, and T cells, from human and rodent embryonic and postnatal cortex
scRNA-seq data.

9

10 Table S3. Differentially expressed genes between subclones of the sample GTE009.

- Differentially expressed genes calculated from subclone 1 compared to the subclone 2 ofthe sample GTE009.
- 13

Table S4. Analysis on cilium-related genes and CNV score. DEGs calculated from
subclone 1 compared to the subclone 2 of the sample GTE009 marked by GO terms,
annotated with results of statistical analysis from CNV score.

17

Table S5. Crosstalk analysis output. List of ligand-receptor interactions annotated by cell
type source and target.