Genomic screening reveals UBA1 as a potent and druggable target in c-MYC-high TNBC models

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78 Author Contributions

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J.C.H., Y.M.B., C.H.B. and A.C.F. wrote the paper. C.H.B., J.C.H., C.C. and A.C.F. supervised
the studies. S.J., T.H.T., J.Y., K.V.F., A.Y., C.C., R.K., and M.A.A. performed cell line and
biochemical studies. S.J., M.A.A., B.H., and T.H.T. performed PDX studies. C.C., C.T.J. and M.D.
performed CRISPR/Cas9 screen analyses. S.J., T.H.T., C.H.B., C.C., J.E.K. and A.C.F. were
involved with the study design. All authors discussed the results and commented on the
manuscript.

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31 Abstract

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33 Triple negative breast cancer (TNBC) accounts for over 30% of all breast cancer-related deaths, 34 despite accounting for only 10%–15% of total breast cancer cases. Targeted therapy 35 development has largely stalled in TNBC, underlined by a lack of traditionally druggable 36 addictions like receptor tyrosine kinases (RTKs). Here, through full genome CRISPR/Cas9 37 screening of TNBC models, we have uncovered the sensitivity of TNBCs to the depletion of the 38 Ubiquitin-Like Modifier Activating Enzyme 1 (UBA1). Targeting UBA1 with the first in-class UBA1 39 inhibitor TAK-243 induced unresolvable ER-stress and activating transcription factor 4 (ATF4)-40 mediated upregulation of pro-apoptotic NOXA, leading to cell death. In five patient derived 41 xenograft models (PDXs) of TNBC, TAK-243 therapy led to tumor inhibition or frank tumor 42 regression. In an intracardiac metastatic model of TNBC, TAK-243 markedly reduced 43 metastatic burden. Importantly, there was an order of magnitude greater sensitivity of TNBC lines 44 to TAK-243 compared to normal tissue-derived cells. Lastly, c-MYC expression correlates with 45 TAK-243 sensitivity and cooperates with TAK-243 to induce a stress response and cell death. We 46 posit UBA1 is an important new target in TNBC expressing high levels of c-MYC.

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49 Significance

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51 Genomic screening of TNBC cell lines revealed broad sensitivity to depletion of the E1 ubiquitin 52 enzyme, UBA1. Disrupting UBA1 with the first in-class inhibitor TAK-243 in TNBC models induces 53 ER-stress through an ATF4-NOXA axis that is dependent on c-MYC, leading to apoptosis, in vitro 54 and in vivo, primary tumor growth inhibition and metastatic inhibition.

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57 Introduction

58 59 TNBC accounts for up to 15% of BCs and is often lethal, in particular, to young, Black women 60 (1). About 4 out of every 5 TNBC cases are basal-like, and they are extremely heterogeneous in 61 terms of genomic alterations (2). Despite major advances in targeted therapy implementation in 62 other BCs like estrogen receptor (ER)+ BC and HER2-amplified BC, outside of BRCA mutant 63 TNBC where PARP inhibitors are approved (2-4), there is a critical need for new targeted 64 therapies. Unfortunately, other than reoccurring PTEN deletions and a small number of PIK3CA 65 mutant TNBCs, both potentially amenable to treatment with PI3K inhibitors, there are no 66 reoccurring mutations that would suggest sensitivity to other clinically available kinase inhibitors. 67

The implementation of targeted therapies has been revolutionary in different cancer paradigms 68 69 including breast cancer. ER inhibitors and HER2 inhibitors have both been implemented into 70 breast cancer patient care, where nearly 90% of patients positive for one of these alterations now 71 survive. TNBCs by definition are not positive for hormone receptors or excessive HER2 receptors. 72 Re-occurring alterations are mostly limited to loss of PTEN (~ 35 percent of cases), PIK3CA activating mutations (~ 9 percent of cases) and loss of BRCA (19.5 percent of cases) (2). Even 73 74 so, treatment with PI3K inhibitors have not been successful in TNBC, and PARP inhibitor therapy 75 has not been as successful in TNBC (5) as it has in BRCA mutant ovarian cancer (6). Extreme 76 heterogeneity may underlie some of the lack of consistency in response to both of these classes 77 of inhibitors (7, 8). Despite this, TNBCs have many common characteristics- for instance, about 78 80% are derived from basal-like tissue, TNBCs in general possess strong immunosuppressive 79 qualities including high PD-L1 expression (9), and TNBCs have a tendency to strike younger 80 minority women, where it is largely more aggressive than other breast cancers (10). Altogether,

the genomic and clinical evidence may suggest that implementation of targeted therapies will
 likely require an expansion of potential targets beyond the kinome.

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85 Results

87 Genomic screening of TNBC reveals hits in the ubiquitin-proteasome system (UPS)

88 In order to capture the full scope of potential targets, we performed whole genome CRISPR/Cas9 89 screening, covering approximately 20,000 target genes with four single-guide (sg)RNAs targeting 90 each gene, in two TNBC cell lines; BT-549 (derived from primary breast tumor) and MDA-MB-468 91 (derived from pleural effusion) (Fig. 1A). Following 21 days of selection, the percent of surviving 92 cells with each sgRNA was calculated by STARS analyses (11) (top hits are listed in Sup. Table 93 1). Interestingly, the analyses uncovered several gene hits in the ubiquitin-proteasome system 94 (UPS) including multiple ubiquitin specific peptidases (USPs) and proteasome subunits, as well 95 as previously reported TNBC survival genes like MYC (Sup. Table 1 and 2) (12, 13). Among the highest-ranked genes in both cell lines was Ubiguitin-Like Modifier Activating Enzyme 1 (UBA1) 96 97 (also known as UBE1) (Sup. Table 1 and 2). UBA1 is the E1 ubiquitin-activating enzyme and 98 represents a critical node of control in the UPS (14, 15). Based on the sensitivity of both cell lines 99 to UBA1 depletion, we expanded our evaluation to an additional 7 TNBC cell lines (HCC1806, 100 HCC70, SUM149PT, MDA-MB-231, Hs578T, MDA-MB-436, HCC1937), (Sup Table 3) using 10 101 UBA1-targeting sgRNAs. All nine cell lines demonstrated sensitivity to depletion of UBA1 (Sup. Fig. S1), suggesting broad efficacy throughout diverse TNBC models. TAK-243 is a novel UBA1 102 103 inhibitor (15-17) currently in clinical evaluation. To assess the potential of TAK-243 in 104 recapitulating the genetic screens results, fourteen TNBC cell lines and three normal-tissue 105 derived cell lines of different origin were treated with TAK-243. We found a striking difference 106 between the sensitivity of the TNBC cell lines and normal tissue-derived cells, suggesting that 107 TAK-243 has the potential to specifically target TNBC cells (Fig. 1B).

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109 TAK-243 induces unresolvable ER stress, mediated by ATF4-NOXA

110 Encouraged by the sensitivity to TAK-243 in the viability assays (Fig. 1B), we investigated whether 111 inhibiting UBA1 was sufficient to induce cell death. Indeed, TAK-243 induced apoptotic cell death 112 in TNBCs but had very little effect in normal tissue-derived HCE-T cells (Fig. 1C). BCL-2 family 113 proteins mediate apoptosis (18), and examination of the level of these proteins demonstrated that 114 TAK-243 did not downregulate key anti-apoptotic proteins in TNBC, nor did consistently 115 upregulate the pro-apoptotic protein BIM. Interestingly, NOXA, another pro-apoptotic protein, was 116 strongly induced in the TNBC models (12.5-fold in BT-549 and 18.4-fold in CAL-51) but less so in 117 HCET cells (2-fold) (Fig. 1D and Sup. Fig. S2).

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119 To test whether NOXA was necessary for the observed effect of TAK-243, shRNA mediated 120 depletion of NOXA was employed (Fig. 1E left panel). NOXA loss in TNBC cells led to mitigation of TAK-243-induced cell death (Fig. 1E, right panel and Sup. Fig. S2), demonstrating a critical 121 122 role for NOXA in TAK-243 efficacy. NOXA is activated by both p53 and ER-stress, and disruption 123 of protein homeostasis often induces ER-stress and the unfolded protein response (UPR), as well 124 as the p53 response (19). To investigate the mechanism of NOXA upregulation, we evaluated both p53 response (Fig. 1D and Sup. Fig. S2) and ER stress response (Fig. 1F and Sup. Fig. S2). 125 126 TAK-243 did not markedly induce p53 in neither the p53 mutant BT-549, MDA-MB-468 and 127 HCC70 cells nor the p53 wild-type CAL-51 cells (Fig. 1D and Sup. Fig. S2). The UPR consists of 128 three main pathway arms initiated by ER stress: 1) Protein kinase RNA-like reticulum kinase 129 (PERK), which activates eukaryotic initiation factor 2 (eIF2 alpha) to repress general translation 130 and promote specific translation of a set of mRNAs including activating transcription factor (ATF4); 131 2) Inositol-requiring enzyme 1 (IRE1), and 3) ATF6 (20). Interestingly, we found TAK-243 strongly

induced ATF4 expression in every TNBC model analyzed, and to a lesser degree in variablemodels, ATF6 expression (Fig. 1F and Sup. Fig. S2).

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To probe the potential functional role of ATF4 and ATF6 in NOXA upregulation, and response to TAK-243, ATF4 and ATF6 expression was reduced using specific shRNAs. While ATF6 knockdown did not impact NOXA induction or cell death, ATF4 depletion mitigated both NOXA upregulation and cell death following TAK-243 treatment (Fig. 2A). These data support a model where blocking UBA1 induces the UPR, leading to unresolvable ER-stress, ATF4-mediated NOXA upregulation, and apoptosis in TNBC (Fig. 2B).

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142 TAK-243 induces some tumor regressions in TNBC patient-derived xenografts

143 We recently characterized several PDX models and corresponding cell lines (21); evaluation of 144 TAK-243 in three PDX spheroid cell cultures demonstrated similar TAK-243 efficacy (Fig. 2C) as 145 we found in the TNBC cell lines (Fig. 1B). Encouraged by the broad, albeit variable, sensitivity of 146 TAK-243 across these TNBC PDX spheroid models, we treated female NSG mice bearing these TNBC PDX tumors and additional TNBC PDX models developed at VCU, with TAK-243 (16). 147 148 (Sup. Table 3 and 4). Treatment was sufficient to induce strong responses with overt tumor 149 regression in one PDX model and with strong, albeit a more mixed response, in the other four PDX models (Fig. 2D). Immunoblot analysis of control and TAK-243 treated tumor tissues further 150 151 corroborated our in vitro results (Sup. Fig. S3). Immunohistochemical analysis of the expression 152 of the cell death marker cl. Caspase 3 (CC3) further validated our findings of increased cell death 153 following TAK-243 treatment compared to vehicle-treated PDX mice (Sup. Fig. S3). Treatment 154 tolerability was indicated by weight maintenance throughout the study (Sup. Fig. S4). Overall, 155 these data are consistent with the *in vitro* findings from the TNBC cell lines, demonstrating a high 156 degree of activity across TNBC models through targeting of UBA1, which can be recapitulated 157 with the small molecule UBA1 inhibitor, TAK-243.

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159 TAK-243 decreases primary metastases in a metastatic mouse model of TNBC

160 Metastasis is responsible for almost all TNBC deaths. TNBC metastasizes to the lymph nodes, liver, lung, bone, and brain. We evaluated the effect of TAK-243 on metastatic growth using an 161 162 intracardiac MDA-MB-231-tomato-luciferase injection model. Drug treatment did not begin until 10 days after injection of the cells allowing time for the cells to extravasate to all organs and begin 163 164 to grow. Importantly, we observed an overall decrease in the metastatic burden of the NSG mice 165 treated with TAK-243 [(25mg/kg), Fig 3A & B)] in primary metastatic regions such as the lung, liver, and bones as well as the ovaries, and kidney (Fig 3C & Sup. Fig S5). These data 166 167 demonstrate that TAK-243 decreases TNBC metastatic burden.

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169 c-MYC is a functional biomarker for TAK-243 activity across TNBC models

170 As high c-MYC levels are detected often in TNBC (22) and we recently demonstrated that n-MYC, a closely related paralog of c-MYC, sensitizes cancer cells to NOXA-mediated toxicity (23), we 171 172 asked whether c-MYC expression could be a potential factor in TAK-243 efficacy in TNBC. To do 173 this, we analyzed c-MYC expression across our panel of TNBC cell lines and normal-tissue 174 derived cells. We found an increase in c-MYC expression between the sensitive TNBCs and the 175 normal-tissue derived cells (Fig 4A; left and right panel). Moreover, we detected increased expression of c-MYC in the TAK-243 sensitive TNBCs compared to insensitive TNBCs (Fig 4A; 176 177 left and right panel). We also noted that in the PDXs, the best responders to TAK-243 (HCI-001 178 and UCD52) had higher levels of c-MYC compared to the other PDXs tested (VCU-BC-01, VCU-179 BC-02 and VCU-BC-03) (Fig 4B), suggesting that c-MYC expression might correlate with 180 sensitivity to TAK-243 in *in vivo* as well.

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182 To determine if c-MYC can modulate TAK-243 sensitivity, we knocked out c-MYC in HCC1806 183 and CAL-51 cells using CRISPR/Cas9 technology (Fig 4C). Indeed, downregulation of c-MYC 184 expression decreased the sensitivity to TAK-243 (Fig. 4D and E). Consistent with the ability of 185 MYC to induce an ER-stress response (24) and ATF4 expression (25-27), cells with reduced c-186 MYC expression had decreased expression of ATF4 and NOXA following TAK-243 treatment. 187 Consistent with these findings, there was a reduction in TAK-243 mediated cell death in the knock 188 out cells, as determined by CC3 expression (Fig 4F). To confirm the involvement of c-MYC in 189 TAK-243 sensitivity in TNBC, we overexpressed c-MYC with lentiviral producing c-MYC-190 expressing plasmids. Consistent with the CRISPR experiment results, exogenous c-MYC 191 expression in CAL-51 lines increased the sensitivity to TAK-243 even further (Fig. 5A-B). Western 192 blot analyses revealed that c-MYC increased levels of ATF4 and NOXA (Fig. 5C); consistent with 193 this observation, cell death markers were increased in the c-MYC overexpressing cells following 194 TAK-243 (Fig. 5C). Altogether, these data suggest that c-MYC by activating the ATF4/NOXA axis, 195 can cooperate with TAK-243 in inducing stress response and cell death, and therefore c-MYC 196 may serve as a functional biomarker for response to TAK-243 in TNBC.

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199 Discussion

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TNBC continues to be routinely treated with chemotherapy where responses are variable; as a
 result, TNBC remains the most fatal breast cancer subtype, despite occurring in younger women.

Full genome CRISPR/Cas9 screening offers tangible advantages to past screening efforts by revealing a greater number of phenotypes through improved penetrance, which is a characteristic of genome editing itself compared to, for instance, screening with short-interfering (si) RNA or short-hairpin (sh) RNA libraries (13). We hypothesized that this technique could reveal a drug target that we could capitalize on, given the growing number of clinically available targeted therapies emerging that are designed to interfere with genes outside the traditional kinome.

Cellular protein homeostasis is maintained through a careful balance of protein synthesis and degradation. The consequence of disruptions in this homeostasis causes diverse human diseases, including cancer (16, 17). Protein synthesis is mainly countered in mammalian cells by the UPS where the protein ubiquitin "tags" proteins for degradation in several cellular proteasomes (17). Here, we found that blocking UBA1, the enzyme responsible for adenylating and capturing a ubiquitin molecule to initiate ubiquitination of cellular proteins (28), was highly toxic to various TNBC models and led to ER-stress and NOXA upregulation, initiating apoptosis.

- While proteasome inhibitors have long been investigated as anti-cancer agents (29), UBA1 inhibitors have taken longer to be developed. Schimmer and colleagues (28) demonstrated that UBA1 was a *bona fide* drug target in several hematological cancers several years ago. This hypothesis became testable in hematological cancers with the recent discovery and characterization of TAK-243, a specific and potent UBA1 inhibitor (16). Indeed, the same group found that acute myeloid leukemia (AML) models are significantly sensitivity to TAK-243 (15, 30).
- Here, we demonstrate that TAK-243 has anti-tumor activity also in solid tumors. Indeed, we found that most TNBCs were sensitive to TAK-243, while normal-tissue derived cells were relatively refractory to TAK-243, being roughly an order of magnitude less sensitive than the TNBC cell lines. TAK-243 was sufficient to uniformly block tumor growth in different TNBC PDXs including the ones developed at VCU. (Fig. 2D). Additionally, TAK-243 decreased metastatic burden of MDA-MB-231- tomato-luc cells in different organs (Fig 4) demonstrating TAK-243 can decrease both the primary tumor and tumors at various metastatic sites.

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234 Moreover, we uncovered the oncogenic transcription factor, c-MYC, as both correlating with TAK-235 243 sensitivity and having a causative role in TAK-243 sensitivity. c-MYC is an important 236 oncogenic transcription factor in several cancers including TNBC (31, 32). In TNBC, c-MYC 237 contributes to chemotherapy resistance (33). As such, c-MYC is a premiere drug target in TNBCs 238 and other cancers but has been historically difficult to target directly due to its protein structure 239 and other characteristics (34). One strategy is to target processes or druggable proteins that are 240 preferentially active in c-MYC expressing TNBCs. For instance, PIM1 is higher in c-MYC-241 expressing TNBCs (35) as is fatty acid oxidation (36) and targeting both these processes in these 242 cancers are effective in preclinical models. While PIM inhibitors have been tested clinically (e.g. 243 NCT01588548), the lack of objective responses across hematological and solid tumors have 244 hindered development (37).

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246 In addition to its roles in supporting proliferation and growth, c-MYC increases ER stress (24, 38) 247 and in particular has an intimate relationship with ATF4 (25). Indeed, both c-MYC and n-MYC 248 have been demonstrated to upregulate ATF4, which may involve the kinase GNC2 (25). Our 249 finding that the expression of c-MYC was important in determining TAK-243 sensitivity is 250 consistent with a recent TAK-243 study in the hematological cancer DLBCL (39), and conceptually 251 with our recent report with n-MYC (23), where we demonstrated n-MYC sensitizes to NOXA-252 mediated death in neuroblastoma. We propose here that TAK-243 treatment can capitalize on 253 the MYC effects on ER-stress, by inducing unresolved ER stress preferentially in c-MYC expressing TNBCs, with cells dying through a NOXA-dependent mechanism. While there is an 254 255 ER-stress response in the sensitive TNBCs without c-MYC expression, it is not as substantial and 256 therefore there is a parallel reduction in cell death and efficacy of TAK-243. Lastly, we found in 257 the normal tissue-derived cells a relatively tempered ER-stress response, which corresponded 258 with less toxicity. Thus, c-MYC dependent ATF4/NOXA induction increases sensitivity of TNBC 259 models to TAK-243 and c-MYC may act as a biomarker for response. Of note, a diagnostic c-MYC immunohistochemical staining has been developed for hematological cancers (40), and this 260 261 could potentially support the use of c-MYC in future clinical studies of TAK-243 or newer UBA1 262 inhibitors in TNBC.

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264 Interestingly, and consistent with our findings, other studies support the role of the UPS in TNBC 265 tumor growth: Lieberman and colleagues previously noted the efficacy of proteasome inhibitors 266 in mouse models of TNBC (12). Furthermore, UBR5, the E3 ubiguitin ligase, is overexpressed in 267 TNBC and genetic targeting of UBR5 results in tumor growth inhibition (41). UBR5 is currently not 268 druggable and UBA1 inhibitors may be preferential to proteasome inhibitors for several reasons. First, proteasome inhibitors can be quite toxic to some normal cells (42-44), and while UBA1 269 270 inhibitors may also demonstrate normal cell toxicity, our data suggest a therapeutic window. 271 Secondly, Kisselev and colleagues demonstrated targeting additional proteasome sites over what 272 the clinically advanced proteasome inhibitors achieve is necessary for efficacy (45). Thirdly, there 273 is transcriptional feedback to maintain proteasome activity, which can be mediated by NRF1 (46). 274 Thus, it appears that TNBCs are vulnerable to targeting of the UPS and targeting the UPS at the 275 UBA1 stage may offer a better chance of a durable target and a therapeutic window.

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In summary, we have demonstrated that targeting UBA1 with TAK-243 in high c-MYC-expressing
 TNBCs has preclinical activity and may provide an effective alternative therapeutic approach over
 chemotherapy. Thus, TAK-243 may be a valuable targeted therapy approach across diverse
 TNBC models.

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283 Material and Methods

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285 CRISPR Screening. TNBC cell lines BT-549 and MDA-MB-468 were infected with a Lentiviral 286 genome-wide CRISPR-guide RNA library of unique sgRNAs targeting 20,000 genes (4 sgRNAs per gene). Cells were selected in puromycin and cultured for 21 days before isolating genomic 287 288 DNA and quantifying sgRNA in surviving cells by deep sequencing. This full genomic screen was 289 followed by screening nine TNBCs-cell lines (MDA-MB-468, MDA-MB-231, Hs578T, SUM149PT,

- BT-549, HCC1806, HCC70, MDA-MB-436, HCC1937) with 10 sgRNAs against UBA1. 290
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292 Cell Lines. The TNBC cell lines in this study were cultured in their respective media with 10% 293 FBS in the presence of 1 µg/mL penicillin and streptomycin. BT-549, HCC1937, HCC70, CAL-51, 294 HCC1806, BT20, MDA-MB-157, MDA-MB-436 and RPE1 were grown in RPMI while MDA-MB-295 231, MDA-MB-468, HCC38, SUM149PT and HCE-T were cultured in DMEM/F12. DMEM was 296 used to culture CAL-120 and MRC5.

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298 Reagents and Antibodies. TAK-243 (MLN 7243) was purchased from Chemietek (CT-M7243) 299 for in vitro and in vivo studies. The antibodies used in this study were purchased from Cell 300 Signaling and Santa Cruz. All primary antibodies were used at 1:1000 dilutions. Corresponding 301 HRP-conjugated secondary antibodies were used at 1:5000 dilution.

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303 Cell Viability Assay. For Cell Titer-Glo experiments, 2000- 3000 cells were seeded in 96-well 304 flat-bottom black plates and treated with increasing concentration of drug for 72h, as previously 305 described (47). Following drug treatment, 25 µL of CellTiter-Glo (Promega), was added and read 306 on a Centro LB 960 microplate luminometer (Berthold Technologies). For crystal violet assay, 307 cells were seeded at 50,000 cells/well of 6-well plate. Cells were treated with increasing 308 concentration of drug as indicated and incubated until no treatment wells were confluent. Cells 309 were then fixed with 50% glutaraldehyde and stained with 0.1% crystal violet (Sigma-Aldrich) and 310 visualized.

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312 FACS Apoptosis Assay. 3x10⁵ cells seeded per well in six well plates were drugged with the 313 desired concentration of TAK-243 for 24h, or left untreated, as previously described (48). Cells 314 were incubated with propidium iodide and annexin V-Cy5 (BD Biosciences) together for 15 min 315 and assayed on a Guava easyCyte flow cytometer (Millipore Sigma). Analysis was performed 316 using FlowJo. Cells stained positive for annexin V-Cy5 and annexin V + propidium were counted 317 as apoptotic.

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319 Western Blot Analysis. Cell lysates prepared in NP-40 lysis buffer (20 mM Tris, 150 mM NaCl, 320 1% Nonidet P-40, 1 mM EDTA, 1 mM EGTA, 10% glycerol, and protease and phosphatase 321 inhibitors), were incubated on ice for 30 min before centrifugation at high speed for 10 min at 4°C. 322 Tumor lysates were homogenized with Tissuemiser (Fisher Scientific) in lysis buffer. Equal 323 amounts of detergent-soluble lysates were resolved using the NuPAGE Novex Midi Gel system on 4-12% Bis-Tris gels (Invitrogen). Proteins were transferred to PVDF membranes 324 325 (PerkinElmer) and blocked in 5% nonfat milk in PBS. Blots were probed with the primary antibodies overnight and later with species appropriate HRP conjugated secondary antibodies. 326 327 Chemiluminescence was detected with the Syngene G:Box camera (Synoptics).

328 329 Immunohistochemical (IHC) Staining. IHC staining for CC3 (1:500, Cell Signaling #9664) was 330 performed in the VCU Tissue and Data Acquisition and Analysis Core with the Leica Bond RX 331 autostainer using heat-induced epitope retrieval buffer 2 (Leica, EDTA pH 8.0) for 20 min with 332 antibody incubation for 45min. Stained slides were then imaged on the Vectra Polaris 333 (PerkinElmer) and scored. H-score was determined by multiplication of the percentage of cells 334 with staining intensity ordinal value ($3 \times \%$ of cells with 3+ intensity) + ($2 \times \%$ of cells with 2+ 335 intensity) + ($1 \times \%$ of cells with 1+ intensity) = H-Score.

337 **Vector Construction and Stable Cell Lines.** For the short-hairpin RNA (shRNA) experiments, 338 shATF4 and shATF6 purchased from Dharmacon and the shNOXA previously described (48) was 339 used. shRNA designed against a scramble sequence (MISSION pLKO.1-shRNA control plasmid 340 DNA) served as the control. For the c-MYC over expression, MYC pLX307 plasmid (Addgene#98363) and pLX307 empty vector (Addgene#117734) were used. Cells were 341 342 transduced with plasmid containing viral particles that were generated in 293T cells and collected 343 over 48h, as previously described (47). The pLKO.1 puromycin resistant vector backbone served 344 as the basis for cell selection in puromycin following infection. plenti CRISPR v2 virus against 345 sgRNA targeting c-MYC (Genscript) and plenti CRISPR v2 virus (control) was commercially made 346 to order from Science Exchange. Cells were then infected with viral particles and selected in 347 puromycin to generate c-MYC KD stable lines.

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349 PDX and ex vivo Studies. All animal experiments were conducted in accordance with a protocol 350 approved by VCU Institutional Animal Care and Use Committee. PDX models HCI-001, WHIM30 351 and UCD52 were obtained from the University of Utah/Huntsman Cancer Institute, Washington 352 University, St. Louis, and the University of Colorado, respectively, and expanded in NSG mice 353 obtained and bred by VCU Cancer Mouse Models Core (CMMC). The VCU-BC PDX (Sup Table 354 3) were developed by VCU CMMC with TNBC tumor samples obtained from VCU Tissue Data 355 Acquisition and Analysis Core (collected under a protocol approved by VCU Institutional Review 356 Board #HM2471). For ex vivo studies, PDX tumors were removed, finely chopped, and digested 357 in DMEM/F12 containing 5% FBS, 300U/ml collagenase (Sigma) and 100 U/ml hyaluronidase 358 (Sigma). Tissue was then suspended in ((NH4)Cl) followed by trypsinization to generate 359 suspensions of single cells, which were previously transduced with lentivirus (BLIV101PA-1, 360 Systems Biosciences) encoding GFP and Luciferase. Cell suspensions were plated at 25,000 361 cells/well in 96-well plates in M87 medium, followed by treatment with varying concentrations of TAK-243 in triplicate. After 72h of treatment, luciferin was added to each well (10% of total volume 362 363 per well) and plates were imaged using the IVIS Spectrum In Vivo Imaging System (PerkinElmer). 364 Cell viability was measured as total photon flux per second, and drug response was evaluated 365 based on percent of vehicle viability (49). For in vivo studies, 500,000 cells, suspended 1:1 in 366 Matrigel, were injected into the fourth mammary fat pads of experimental female NSG mice. Mice with tumor volumes of ~150- 200 mm3 were randomized into two groups: TAK-243 treatment 367 368 and control treatment (vehicle only) and dosed intravenously. TAK-243 was formulated in the vehicle consisting of: 25 mM HCl, 20% 2-hydroxy propyl-β-cyclodextrin (Sigma-Aldrich). Animals 369 370 were treated with 25mg/kg of TAK-243 biweekly (Mon & Thur) for 3 wk. Tumors were measured daily by caliper, in two dimensions (length and width), and tumor volume was calculated with the 371 formula $v = I \times (w)2$ ($\pi/6$), where v is the tumor volume, I is the length, and w is the width (the 372 373 smaller of the two measurements). Following the final treatment, the tumors were harvested after 374 2h and snap frozen in liquid nitrogen for pharmacodynamic studies. 375

376 **Experimental Metastasis Study.** MDA-MB-231-tomato-luciferase cells (1x10⁵ for endpoint 377 study) in 100-µL sterile PBS were injected into the left cardiac ventricle of female 5-week-old NSG 378 mice as described previously (50) and in vivo imaging was performed (IVIS Spectrum, 379 PerkinElmer) immediately to verify widespread seeding of tumor cells. Mice were randomized 380 using Multi-Task program on day 10 into two groups: TAK-243 treatment and controls and dosed intravenously with TAK-243 formulated in 25 mM HCl, 20% 2-hydroxy propyl-β-cyclodextrin 381 (Sigma-Aldrich) or vehicle alone. Animals were treated with 25mg/kg of TAK-243 biweekly (Mon 382 383 & Thur) for 2 wks. Bioluminescence (radiance/sec) emitted from the cells were quantified using

the IVIS Spectrum and Living Image software (PerkinElmer) of the animals. For the endpoint
study, mice were euthanized, and bioluminescence of harvested organs (kidneys, lung, ovaries,
liver, brain, and skeleton) were quantified by ex vivo imaging and analysis.

- 388 Statistical Analyses
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Unpaired student's t-test (two-tailed) was performed for figures 1E & 3C; Mann Whitney and
Welch's unpaired t test was performed for figures 4A & 4B using GraphPad Prism. Differences
considered to be significant if p< 0.05.

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542

543 **Competing interests:** C.H.B. has received research funding from Novartis and Amgen. C.C. is 544 an employee of Novartis. A.C.F. has served as a paid consultant for AbbVie.

545 546 **Study**

546 Study Approval
547 All mouse experiments were approved and performed in accordance with the Institutional Animal
548 Care and Use Committee at VCU (IACUC protocol AD10001048 and AD10001247).

- 549
- 550 Figure legends:
- 551

552 Figure 1. UBA1 is a target in TNBC. (A) Schema of TNBC genetic screen. Whole genome of 553 the TNBC cell lines (BT-549 and MDA-MB-468) followed by UBA1 depletion in nine TNBC cell lines. (B) Graph represents percent viable cells assessed by CellTiter-Glo in TNBCs (blue) and 554 555 normal tissue-derived MRC5, RPE1 and HCE-T cells (red) following 72 h treatment with TAK-243 556 at the indicated concentration. (C) FACS analysis showing annexin-V-Cy5 and propidium iodide 557 staining in HCE-T and TNBC cells following 24h treatment with TAK-243 at indicated 558 concentration (D) Western blot analysis showing dose response and time course of the effects of 559 TAK-243 on apoptosis in TNBC (CAL-51 and BT-549) and normal HCE-T cells, as assessed by 560 immunoblotting for p53, MCL-1, Bcl-xL, BIM, PUMA, cleaved Caspase 3 (CC3) and NOXA. 561 GAPDH was used as a loading control. (E) Western blot analysis showing stable knockdown of

562 NOXA. Reduced NOXA protects from TAK-243 toxicity in CAL-51 cells as assessed by 563 immunoblotting for cleaved PARP, CC3 and NOXA. GAPDH was used as a loading control (left 564 panel). Right panel indicates percent apoptosis assessed by FACS in pLKO.1-shRNA control and 565 CAL-51 NOXA knockdown stable cells treated with TAK-243 at the indicated concentration. (F) 566 Western blot analysis showing dose response and time course of the effects of TAK-243 on UPR 567 proteins in TNBC (CAL-51 and BT-549) and normal HCE-T cells, as assessed by immunoblotting 568 for ATF4 and ATF6. Polyubiquitin (polyUb) indicates ubiquitin engagement in these cells. GAPDH 569 was used as a loading control. For (E), error bars are S.E.M., n =3 and *p<0.05; ***p<0.001.

570

571 Figure 2. TAK-243 is highly effective in inhibiting TNBC tumor growth. (A) Western blot analysis demonstrating the role of ATF4 in the regulation of NOXA as assessed by 572 573 immunoblotting. (B) Model for TAK-243 efficacy in TNBC. (C) Graph represents percent viable 574 cells in ex vivo TNBC cell cultures (WHIM30, UCD52 and HCI-001) following 72 h treatment with 575 TAK-243 at the indicated concentrations. (D) Anti-tumor activity of TAK-243 was assessed by 576 tumor volume over time in mice bearing patient derived TNBC xenografts HCI-001, UCD52, VCU-577 BC-01, VCU-BC-02 and VCU-BC-03. Waterfall plot represents change in tumor volume percent 578 of each tumor to their initial tumor size.

579

Figure 3. TAK-243 decreases metastases in TNBC. (A) Total metastases were imaged *in vivo* in MDA-MB-231 tomato-luciferase model. (B) Graph represents total metastases quantified *in vivo* in MDA-MB-231 tomato-luciferase model. (C) Graph represents metastases quantified *ex vivo* in the organs (liver, lung, kidney, ovaries, bone, and brain) of vehicle and TAK-243 treated mice (*p<0.05; **p<0.01, ns: not significant).

585

586 Figure 4. c-MYC mediates sensitivity to TAK-243 in TNBC. (A) Western blot analysis showing 587 basal levels of c-MYC in TNBC-sensitive to TAK-243, resistant to TAK-243 and normal cell lines 588 (left panel). Right panel indicates c-MYC levels normalized to GAPDH in the sensitive, resistant 589 and non-cancer cell lines (*p<0.05; **p<0.01). (B) Immunoblot showing c-MYC expression in PDX 590 tumor lysates. Right panel indicates c-MYC levels normalized to GAPDH in the PDX tumor lysates 591 (*p<0.05; **p<0.01). (C) Immunoblot showing c-MYC expression in plenti CRISPR v2 (control) 592 and plenti CRISPR c-MYC stable lines. (D) Graph represents percent viable cells assessed by 593 Cell Titer-Glo in two plenti CRISPR v2 (control, blue) and plenti CRISPR c-MYC stable lines (red) 594 following 72 h treatment with TAK-243 at the indicated concentrations. (E) Crystal violet assay in 595 plenti CRISPR v2 (vector) and plenti CRISPR c-MYC stable lines treated for 4d with TAK-243 at 596 the indicated concentrations. (F) Immunoblot showing expression of cl.PARP, CC3 and NOXA in 597 plenti CRISPR v2 (vector) and plenti CRISPR c-MYC stable cells treated with TAK-243 for 24h at 598 the indicated concentrations.

599

Figure 5. c-MYC overexpression increases sensitivity to TAK-243 in TNBC. (A) Graph represents percent viable cells assessed by Cell Titer-Glo in lentiviral pLX307 (vector) and c-MYC_pLX307 expressing CAL-51 cells following 72 h treatment with TAK-243 at the indicated concentrations. (B) Crystal violet assay in lentiviral pLX307 (vector) and c-MYC_pLX307 expressing CAL-51 cells treated for 3d with TAK-243 at the indicated concentrations. (C) Immunoblot showing expression of cl.PARP, CC3 and NOXA in lentiviral pLX307 (vector) and c-MYC_pLX307 expressing CAL-51 treated with TAK-243 for 24h at the indicated concentrations.

607 608

609 **Supplementary table legends**:

- 610
- **Table 1.** Top 400 genes (approximately 2% of total genes targeted) by STARS scores.
- 612 **Table 2.** Ubiquitination related hits and their scores in the primary screen analysis.
- 613 **Table 3.** Details of VCU PDX models.
- 614

615 Supplementary figures:616

Figure 1. UBA1 expression levels in TNBC lines. Graph represents average Log Fold Change
 (LFC) in the expression of guide RNAs against UBA1 in nine TNBC cell lines. Average LFC was
 calculated from LFC of 10 clones/sgRNA of UBA1 in the CRISPR analysis.

620

621 Figure 2. TAK-243 induced cell death in TNBC. (A) Western blot analysis showing dose 622 response and time course of the effects of TAK-243 on ubiquitination and apoptosis in TNBC 623 (MDA-MB-468 and HCC70) and normal HCE-T cells, as assessed by immunoblotting for p53, 624 MCL-1, Bcl-xL, BIM, PUMA, cleaved Caspase 3 (CC3) and NOXA. GAPDH was used as a loading 625 control. (B) Western blot analysis showing dose response and time course of the effects of TAK-626 243 on UPR proteins in TNBC (MDA-MB-468 and HCC70) and normal HCE-T cells, as assessed 627 by immunoblotting for ATF4 and ATF6. Polyubiquitin (polyUb) indicates ubiquitin engagement in 628 these cells. GAPDH was used as a loading control. (C) Polyubiquitin (polyUb) indicates ubiquitin 629 engagement in these cells. GAPDH was used as a loading control. (C) FACS analysis 630 demonstrating Annexin-V-Cy5 and Propidium Iodide staining in pLKO.1-shRNA control and CAL-631 51 NOXA knockdown stable cells following 24h treatment with TAK-243 at the indicated 632 concentrations.

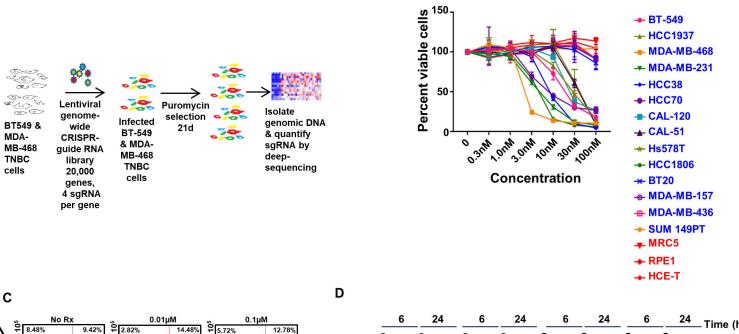
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Figure 3. TAK-243 induces cell death *in vivo*. (A) Western blot analysis showing effects of
TAK-243 on UPR and apoptotic proteins in PDX HCI-001 mice treated with TAK-243, as assessed
by immunoblotting for polyubiquitin (polyUb), ATF4, ATF6, CC3 and NOXA. GAPDH was used
as a loading control. (B) Representative images of cl. caspase 3 (CC3) staining of vehicle (DMSO)
or TAK-243 (25mg/kg, biweekly for 3 weeks) treated PDX tumors (UCD52). Total magnification
20x (scale bar: 50µm). Graph indicates H-Score analysis of the staining in the vehicle and TAK243 treated tumor tissues (**p<0.01).

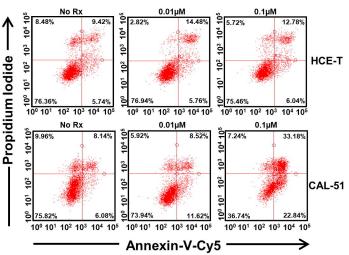
Figure 4. TAK-243 has minimal effect on animal weight. Graph displays the mouse weights of
NSG mice bearing (A) PDX HCI-001, (B) PDX UCD52, (C) VCU-BC-01 (D) VCU-BC-02 and (E)
VCU-BC-03 throughout the efficacy studies.

Figure 5. TAK-243 decreases metastases in primary organs. Images represent total metastases quantified *ex vivo* in the liver and lung of the vehicle and TAK-243 treated mice.

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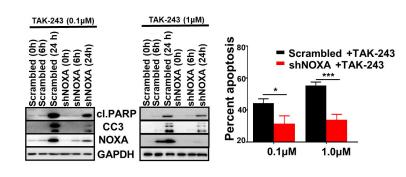


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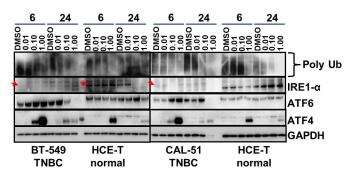


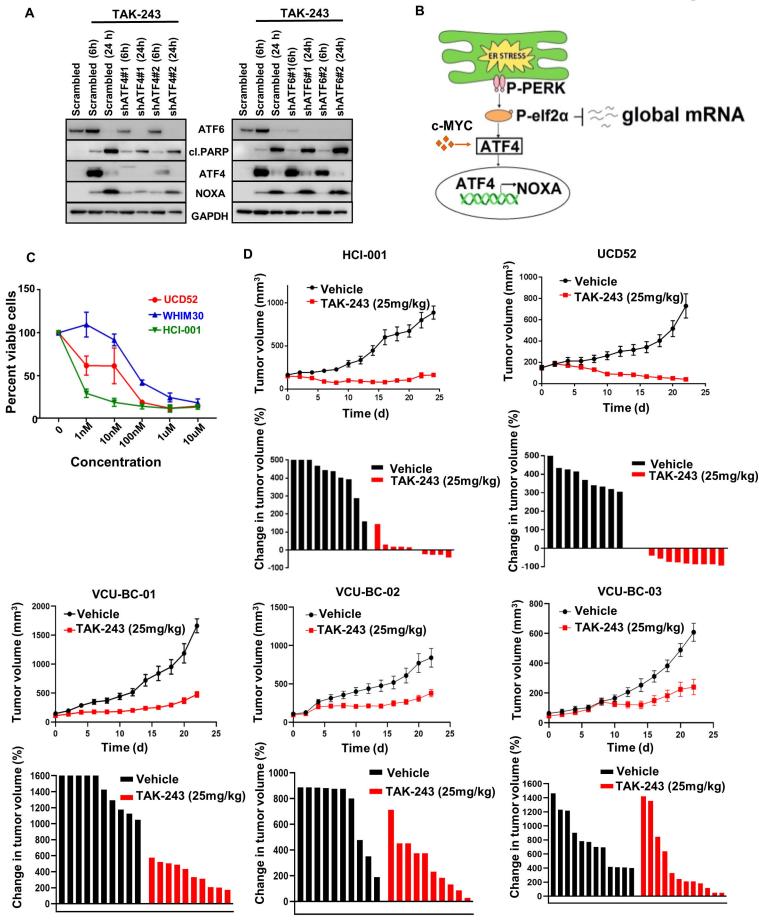
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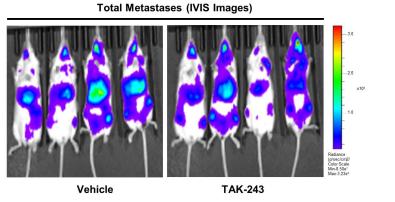


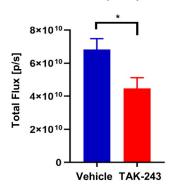




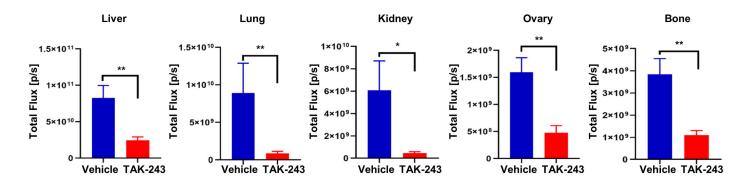


Total Metastases (IVIS quantification)



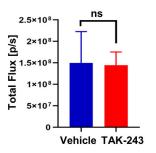


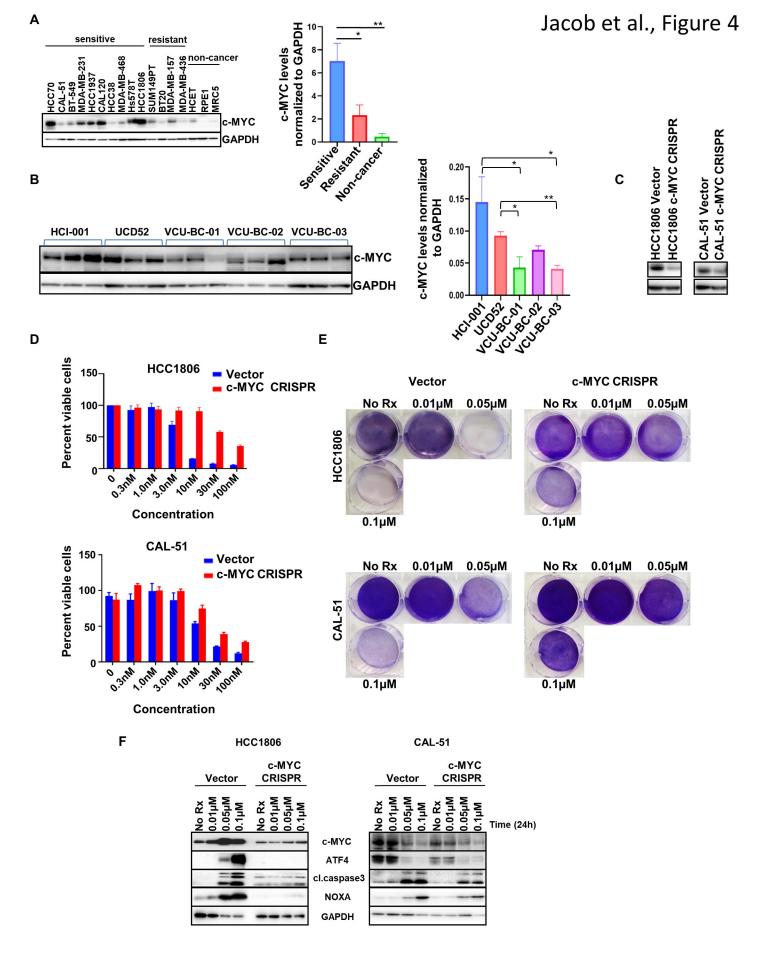
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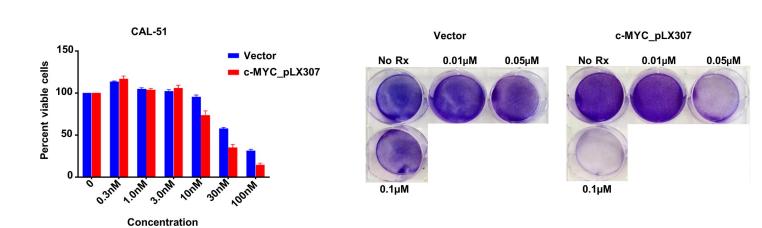


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Brain







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